ANNUAL STATEMENT

OF THE

Delta Dental of Iowa

1641 55786 Delta Dental of Iowa 9000 Northpark Drive Johnston, IA 50131

NAME AND REPORT OF THE PERSON OF THE PERSON

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Annual Statement

of

Johnston

in the state of

lowa

TO THE

Insurance Department

OF THE

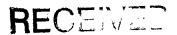
STATE OF Iowa

FOR THE YEAR ENDED

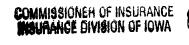
DECEMBER 31, 2019

HEALTH

2019



FEB 2 8 2020





ANNUAL STATEMENT

FOR THE YEAR ENDING DECEMBER 31, 2019 OF THE CONDITION AND AFFAIRS OF THE

			Delta Dental of	Iowa					
			(Name)						
NAIC Group Code	4690 (Current Period)	, <u>4690</u> (Prior Period)	NAIC Company Cod	e 55786	Employer's ID	Number	42-0959302		
Organized under the Lav	ws of	lowa		State of Domicile	or Port of Entry _		lowa		
Country of Domicile			U	nited States					
Licensed as business typ	pe: Life, Accide	ent & Health []	Property/Casualty	y[]	Hospital, Medical &	Dental Serv	vice or Indemnity []		
	Dental Serv	rice Corporation [X]	Vision Service Co	orporation []	Health Maintenance	e Organizati	on []		
	Other []		ls HMO, Federa	lly Qualified? Ye	s[]No[]				
Incorporated/Organized	1	10/28/1970	Comm	enced Business		10/28/197	70		
				onoou Buomoso					
Statutory Home Office		9000 Northpari (Street and Num		·	Johnstor (City or Town, Sta	n, IA, US 501 ate, Country and			
Main Administrative Offic	ce		•	9000 Northpark D	Orive				
		2.50404	·	(Street and Number	er)				
	Johnston, IA, US or Town, State, Countr				515-261-550 (Area Code) (Telephone				
Mail Address	90	00 Northpark Drive	,		Johnston, IA,	US 50131			
	(Stree	et and Number or P.O. Box)	·		(City or Town, State, Co	ountry and Zip Co	ode)		
Primary Location of Boo	ks and Records				orthpark Drive				
	Johnston, IA, US				515-261-550				
Internet Web Site Addre	or Town, State, Count	у ана дір Сове)	12.man	Are) v.deltadentalia.co	a Code) (Telephone Numi	oer) (Extension)			
Statutory Statement Cor		Sherry Marie Pe		v.ueitaGentalia.cc					
		(Name)	SI KIIIS	515-261-5554 (Area Code) (Telephone Number) (Extension)					
sp	erkins@deltade: E-Mail Addre:				888-558-921 (Fax Number)	<u>/</u>			
				_					
Name		Title	OFFICER	S Name			Title		
Jeffrey Stephen R	Russell ,	President & C	EO	Sherry Marie			Secretary		
Sherry Marie Pe	rkins ,	Treasurer			1				
Michael Lee El	I		OTHER OFFIC		la alcominibio	\ f:_	a Danaidant		
Todd Daniel He	, _	Vice Preside Vice Preside		Suzanne Bower F Elizabeth Alic			e President e President		
Jeffrey Gee Chaffi	n DDS,	Vice Preside	ent	April Elaine S	chmaltz	Vic	e President		
		DIRE	CTORS OR T	RUSTEES					
Charles Edward E		William Albert Weve	er II DDS	Thomas Joseph l			nony Maletta DDS		
Jeffrey Stephen R Gregory Francis D		Rowena Gladys C	Crosbie	Krista Kay T	anner	Donna Gr	rant Paulsen DDS		
State of	lowa								
County of	Polk	SS							
The officers of this reporting									
above, all of the herein des that this statement, togethe	scribed assets were er with related exh	e the absolute property of ibits, schedules and expl	the said reporting entity anations therein contain	r, free and clear fro ned, annexed or re	om any liens or claims eferred to is a full and	thereon, exce	pt as herein stated, and		
liabilities and of the condition	on and affairs of th	e said reporting entity as	of the reporting period s	tated above, and o	of its income and deduction	ctions therefro	om for the period ended.		
and have been completed i may differ; or, (2) that state	rules or regulation	ns require differences in r	eporting not related to a	ccounting practices	s and procedures, acc	ording to the b	best of their information.		
knowledge and belief, resp when required, that is an e	ectively. Furthermo	ore, the scope of this attes	station by the described	officers also includ	les the related corresp	onding electro	onic filing with the NAIC,		
regulators in lieu of or in ad	dition to the enclos	ed statement.	due to electronic illing)	of the enclosed s	catement. The electron	ic ming may t	e requested by various		
- Jally	Lunell	Sha	m W Br	miles	Sharing	N β	erlin		
	ephen Russell ent & CEO		Sherry Marie Pe Secretary	rkins	35	Sherry Marie Treasur			
110010	<u></u> 		Coordiary		this on salety of 50				
Subscribed and sworn	to before me thi	s		a. Is b. If	this an original filing no:	j?	Yes [X] No []		
day	of Februa	ry, 2020			State the amendme	nt number			
~ .	. 1.				Date filed Number of pages at	tached			
Marla Wylie, Notary	ylee				. •				
Marla Wylie, Notary April 19, 2020	0								



ASSETS

			Current Year		Prior Year
		1	2	3	4
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Net Admitted Assets
	Davida (Cabadula D)	41,873,396	Nonadifficed Assets	41,873,396	39,424,762
1.	Bonds (Schedule D)	41,013,350		41,073,380	
2.	Stocks (Schedule D):	0			
	2.1 Preferred stocks			0	
	2.2 Common stocks	21,583,987	1,826,852	19,757,136	14,489,315
3.	Mortgage loans on real estate (Schedule B):				
	3.1 First liens			0	o
	3.2 Other than first liens			0	0
4.	Real estate (Schedule A):				
	4.1 Properties occupied by the company (less				
	\$ encumbrances)	13,063,047		. 13,063,047	13,468,892
	•	13,000,047		10,000,047	10,400,032
1	4.2 Properties held for the production of income				
	(less \$ encumbrances)			. 0	U
	4.3 Properties held for sale (less				
1	\$ encumbrances)			0	0
5.	Cash (\$28,567,012 , Schedule E-Part 1), cash equivalents				
	(\$ 4,021,832 , Schedule E-Part 2) and short-term				
ļ	investments (\$0 , Schedule DA)	32,588,844		32,588,844	16,381,995
6.	Contract loans (including \$ premium notes)			0	L
7.	Derivatives (Schedule DB)	0		0	n
8.	Other invested assets (Schedule BA)	210,579		.210,579	
9.	· · ·	3,833		1	1 705
	Receivables for securities	3,833		3,833	1,735
10.	Securities lending reinvested collateral assets (Schedule DL)		+		0
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	109,323,686	1,826,852	107,496,834	
13.	Title plants less \$ charged off (for Title insurers				
1	only)		<u>.</u>	lo	0
14.	Investment income due and accrued	.245,856		245,856	238,481
15.	Premiums and considerations:			2.0,000	200,101
'	15.1 Uncollected premiums and agents' balances in the course of				
1	,	0 070 404	20.004	0 000 040	4 5 40 055
	collection	2,372,134	32,821	2,339,313	1,543,255
1	15.2 Deferred premiums, agents' balances and installments booked but				
İ	deferred and not yet due (including \$ earned				
	but unbilled premiums)			0	0
1	15.3 Accrued retrospective premiums (\$) and				
1	contracts subject to redetermination (\$		<u> </u>	0	5,794,126
16.	Reinsurance:				
i	16.1 Amounts recoverable from reinsurers			0	n
	16.2 Funds held by or deposited with reinsured companies			0	1
					J
1	16.3 Other amounts receivable under reinsurance contracts			ע	U
17.	Amounts receivable relating to uninsured plans	1,257,822	1,119	1,256,704	1,168,509
18.1	Current federal and foreign income tax recoverable and interest thereon			0	0
18.2	Net deferred tax asset			0	0
19.	Guaranty funds receivable or on deposit			l 0	0
20.	Electronic data processing equipment and software	873,977		873,977	2,022,775
21.	Furniture and equipment, including health care delivery assets			1	
	(\$)	204,358	204,358	0	n
22.	Net adjustment in assets and liabilities due to foreign exchange rates	207,000	204,000	0	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
23.		400.004	20 507	1	U
	Receivables from parent, subsidiaries and affiliates	100,091	39,507	60,585	37,720
24.	Health care (\$ 128,098) and other amounts receivable	255,931	9,476	246,454	371,834
25.	Aggregate write-ins for other-than-invested assets	2,011,802	2,011,802	0	0
26.	Total assets excluding Separate Accounts, Segregated Accounts and				
	Protected Cell Accounts (Lines 12 to 25)	116,645,658	4,125,934	112,519,723	94,943,399
27.	From Separate Accounts, Segregated Accounts and Protected				
1	Cell Accounts			0	n
28.	Total (Lines 26 and 27)	116,645,658	4,125,934	112,519,723	94,943,399
—	S OF WRITE-INS		1,120,004	112,010,120	0 1,010,000
1101.				_	_
1				0]0
1102.			 	ļ 0	l 0
1103.				0	0
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	. 0		0
1199.	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501.	Prepaid Expenses and Deposits	2,011,802	2,011,802	0	n
2502.		, .,	1	0	0
2503.				1	
1	Cumpage of complete make the feet the Office	^		l	0
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
<u>25</u> 99.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	2,011,802	2,011,802	0	0

LIABILITIES, CAPITAL AND SURPLUS

	LIABILITIES, GAI		Current Year		Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$ reinsurance ceded)	5,880,757		5,880,757	6,479,128
2.	Accrued medical incentive pool and bonus amounts	630,000		630,000	970,379
3.	Unpaid claims adjustment expenses	458,702		458,702	490,234
4.	Aggregate health policy reserves, including the liability of				
	\$ for medical loss ratio rebate per the Public				
	Health Service Act	9,337,481		9,337,481	1,041,455
5.	Aggregate life policy reserves			0	
6.	Property/casualty unearned premium reserves			0	0
7.	Aggregate health claim reserves			0	0
8.	Premiums received in advance	3,796,321		3,796,321	1,918,081
9.	General expenses due or accrued	7,703,682			6,343,813
10.1	Current federal and foreign income tax payable and interest thereon (including				
	\$ on realized capital gains (losses))			0	0
10.2	Net deferred tax liability			0	0
11.	Ceded reinsurance premiums payable			0	0
12.	Amounts withheld or retained for the account of others	43			6,366
13.	Remittances and items not allocated			0	
14.	Borrowed money (including \$ current) and				
	interest thereon \$ (including				
	\$ current)			0	0
15.	Amounts due to parent, subsidiaries and affiliates	330,592		330,592	345,480
16.	Derivatives.			0	0
17.	Payable for securities	250,000			0
18.	Payable for securities lending			0	0
19.	Funds held under reinsurance treaties (with \$				
	authorized reinsurers, \$ unauthorized				
	reinsurers and \$ certified reinsurers)				
20.	Reinsurance in unauthorized and certified (\$)				
	companies		-	0	0
21.	Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22.	Liability for amounts held under uninsured plans	172,200		172,200	180,200
23.	Aggregate write-ins for other liabilities (including \$				
	current)	5,008,413	0	5,008,413	6,066,624
24.	Total liabilities (Lines 1 to 23)	33,568,190	0	. 33,568,190	23,841,760
25.	Aggregate write-ins for special surplus funds	XXX	XXX	1,260,489	O
26.	Common capital stock	XXX	xxx		0
27.	Preferred capital stock	XXX	, XXX		0
28.	Gross paid in and contributed surplus	XXX .	XXX		0
29.	Surplus notes	XXX	XXX		0
30.	Aggregate write-ins for other-than-special surplus funds	XXX	XXX	0	0
31.	Unassigned funds (surplus)		XXX	77,691,044	71,101,639
32.	Less treasury stock, at cost:				
	32.1 shares common (value included in Line 26				
	\$	XXX	XXX		J
	32.2 shares preferred (value included in Line 27				
	\$	XXX	XXX 4		0
l .	Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	a-U		
34.	Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX '9 -	112,519,723	94,943,399
	Other Liabilities	154,463		154 460	EGE EDO
1	Payable to Public Benefit Fund	T .		154,463	
2302.		4,853,950		4,853,950	5,501,088
2303.			 	0	l 0
2398.	Summary of remaining write-ins for Line 23 from overflow page			0	
2399.	Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	5,008,413	0	5,008,413	6,066,624
2501.	Special Surplus for Section 9010 Fee	xxx	XXX	1,260,489	o
2502.		xxx	XXX		0
2503.	<u></u>	xxx	xxx		0
2598.	Summary of remaining write-ins for Line 25 from overflow page	xxx	xxx .	O	
2599.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	xxx	xxx	1,260,489	0
3001.		xxx	xxx		0
3002.		xxx	xxx		0
3003.		XXX	xxx		0
3098.	Summary of remaining write-ins for Line 30 from overflow page			0	
	· · · · · · · · · · · · · · · · · · ·	XXX	XXX		0
3099.	Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

		Currer	nt Year	Prior Year
		1	2	3
		Uncovered XXX	Total 6,491,653	Total 6,190,085
	Member Months Net premium income (including \$ 0 non-health premium income)	XXX	158,086,086	168,291,436
_	Net premium income (including \$ 0 non-health premium income) Change in unearned premium reserves and reserve for rate credits	XXX	100,000,000	0
3.	- · · · · · · · · · · · · · · · · · · ·	XXX		0
4.	Fee-for-service (net of \$ medical expenses)	XXX		0
5. £	Aggregate write-ins for other health care related revenues	XXX	0	٥
6. 7	Aggregate write-ins for other non-health revenues	XXX	٥	0
7. 8.	Total revenues (Lines 2 to 7)	XXX	158,086,086	168,291,436
1	oital and Medical:			100,251,100
٠ .	Hospital/medical benefits		118,534,493	119,512,631
10.	Other professional services			0
11.	Outside referrals			0
12.	Emergency room and out-of-area			0
13.	Prescription drugs			0
14.	Aggregate write-ins for other hospital and medical	.0	0	ا ٥
15.	Incentive pool, withhold adjustments and bonus amounts		630,000	0
16.	Subtotal (Lines 9 to 15)	0	119, 164, 493	119,512,631
Less			10, 107, 700	, , , , , , , , , , , , , , , , , , , ,
17.	Net reinsurance recoveries		(9,511,565)	(20,079,743)
18.	Total hospital and medical (Lines 16 minus 17)	0	128,676,058	139,592,374
19.	Non-health claims (net)		20,0.0,00	0
20.	Claims adjustment expenses, including \$ 311,859 cost containment expenses		4,928,632	4,674,065
21.	General administrative expenses		23,766,309	23,447,034
22.	Increase in reserves for life and accident and health contracts (including	• • • • • • • • • • • • • • • • • • • •	20,700,000	20, 111,001
	\$ increase in reserves for life only)		788	17,737
23.	Total underwriting deductions (Lines 18 through 22)	1 0	157,371,788	167,731,210
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	xxx	714,299	560,225
25.	Net investment income earned (Exhibit of Net Investment Income, Line 17).	***	2,750,159	2,645,380
26.	Net realized capital gains (losses) less capital gains tax of \$		320,091	422,441
27.	Net investment gains (losses) (Lines 25 plus 26)	0	3,070,250	3,067,821
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered		0,0,0,200	.0,007,021
	\$ (amount charged off \$)		0	0
29	Aggregate write-ins for other income or expenses	0	(64,892)	(67,005)
	Net income or (loss) after capital gains tax and before all other federal income taxes		(01,002)	(01,000)
55.	(Lines 24 plus 27 plus 28 plus 29)	xxx	3,719,657	3,561,041
31	Federal and foreign income taxes incurred	xxx		0
32.	Net income (loss) (Lines 30 minus 31)	xxx	3,719,657	3,561,041
	S OF WRITE-INS	AAA	0,710,007	0,001,041
0601.	o mare-mo	xxx		١
0602.		xxx		0
0603.	· · · · · · · · · · · · · · · · · · ·	xxx		0
0698.	Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0
0699.	Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	n	
0701.	Totals (Lines 6601 till dugit 6665 plus 6650) (Line 6 above)	XXX	•	0
0702.				_
0702.		XXX XXX		0
0798.	Summary of remaining write-ins for Line 7 from overflow page		0	0
0799.	Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	0	0
1401.	Totals (Lines 0707 tillough 0703 plus 0730) (Line 7 above)		0	0
1401.				0
1402.	· · · · · · · · · · · · · · · · · · ·		T	
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	. 0
1499.	Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	0	0
2901.	DeltaUSA Income (Expense)	0		
2902.	Other Income (Expense)	†	(76,823)	(67,479) 473
2903.	The modifier (Experience)		11,931	4/3
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	o	0
2999.	Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	(64,892)	(67,005)
2000.	Totals (Emiss 230) (Mitodyn 2300 pius 2330) (Eilis 23 above)	ı <u>U</u>	(04,092)	[(CUU, 10)

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1 Current Year	2 Prior Year
	CAPITAL & SURPLUS ACCOUNT		
33.	Capital and surplus prior reporting year	71,101,639	67,501,493
34.	Net income or (loss) from Line 32	3,719,657	3,561,041
35.	Change in valuation basis of aggregate policy and claim reserves		0
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$	2,461,210	(1,959,934)
37.	Change in net unrealized foreign exchange capital gain or (loss)		0
38.	Change in net deferred income tax		0
39.	Change in nonadmitted assets	1,669,027	1,999,040
40.	Change in unauthorized and certified reinsurance	0	0
41.	Change in treasury stock	0	0
42.	Change in surplus notes	0	0
43.	Cumulative effect of changes in accounting principles		0
44.	Capital Changes:		
	44.1 Paid in	0	0
	44.2 Transferred from surplus (Stock Dividend)		0
	44.3 Transferred to surplus		C
45.	Surplus adjustments:		
	45.1 Paid in	0	
	45.2 Transferred to capital (Stock Dividend)		0
	45.3 Transferred from capital		
46.	Dividends to stockholders		
47.	Aggregate write-ins for gains or (losses) in surplus	L	
48.	Net change in capital and surplus (Lines 34 to 47)	7,849,894	3,600,146
49.	Capital and surplus end of reporting year (Line 33 plus 48)	78,951,533	71,101,639
DETAIL	S OF WRITE-INS		
4701.			
4702.			0
4703.			
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	C

CASH FLOW

	<u> </u>		
	Cash from Operations	1 Current Year	2 Prior Year
	Cast non Operations	- Carroni roai	THUI TOUL
1	Premiums collected net of reinsurance	164,962,393	165,834,692
	Net investment income	3,159,567	3,044,098
		(64,892)	(67,006)
	Miscellaneous income	168,057,068	168.811.784
	Total (Lines 1 through 3)		
	Benefit and loss related payments	126,279,734	144,873,832
	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	00 400 440	0
	Commissions, expenses paid and aggregate write-ins for deductions		23,144,591
	Dividends paid to policyholders		ū
9.	Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	0	0
10.	Total (Lines 5 through 9)	148,686,174	168,018,423
11.	Net cash from operations (Line 4 minus Line 10)	19,370,894	793,361
	Cash from Investments		
12.	Proceeds from investments sold, matured or repaid:		
,	12.1 Bonds	9,223,701	6,898,392
	12.2 Stocks	793,546	1,614,246
	12.3 Mortgage loans	0	0
	12.4 Real estate	n l	U
	12.5 Other invested assets	0	٠
		0 1	. 0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	* ·	207 740
	12.7 Miscellaneous proceeds	670,694	397,748
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	10,687,941	8,910,386
13.	Cost of investments acquired (long-term only):		
	13.1 Bonds	11,674,185	8,102,633
	13.2 Stocks	3,211,946	2,891,270
	13.3 Mortgage loans	[0
	13.4 Real estate	0	0
	13.5 Other invested assets	210,579	0
	13.6 Miscellaneous applications	500,000	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	15,596,710	10,993,903
14.	Net increase (decrease) in contract loans and premium notes	0	0
	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(4,908,769)	(2,083,517)
	Cash from Financing and Miscellaneous Sources	(4,000,700)	(2,000,017)
16.	<u>-</u>		
IŲ.		0	n
	16.1 Surplus notes, capital notes	- 1	•
	16.2 Capital and paid in surplus, less treasury stock		. 0
	16.3 Borrowed funds	U	V
	16.4 Net deposits on deposit-type contracts and other insurance liabilities		0
	16.5 Dividends to stockholders	0 .	
	16.6 Other cash provided (applied)	1,744,724	4,991,928
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	1,744,724	4,991,928
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	16,206,849	3,701,772
	Cash, cash equivalents and short-term investments:		
19.	easily easily education and entert term investments:		
19.	19.1 Beginning of year	16,381,995	12,680,223

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

		ANALIS	3 OF OFER	KAHUNS D	I LINES O	POSINES	13			
	1 Total	2 Comprehensive (Hospital & Medical)	3 Medicare Supplement	4 Dental Only	5 Vision Only	6 Federal Employees Health Benefit Plan	7 Title XVIII Medicare	8 Title XIX Medicaid	9 Other Health	10 Other Non-Health
1. Net premium income	158.086.086	0	0	158,086,086	0	0	0	0	0	0
Change in unearned premium reserves and reserve for rate										
credit	0		.		1					
3. Fee-for-service (net of \$										
medical expenses)	0						1			XXX
4. Risk revenue	0									XXX
Aggregate write-ins for other health care related revenues.	0	0	0	0	0	0	. 0	0	0	XXX
Aggregate write-ins for other non-health care related revenues	0	XXX	XXX		XXX	XXX	XXX	XXX	XXX	0
7. Total revenues (Lines 1 to 6).	158,086,086	. 0	0	158,086,086	ļ0	0	0	ļ 0	0	, O
8. Hospital/medical benefits	118,534,493			118,534,493						XXX
9. Other professional services	0							<u> </u>		XXX
10. Outside referrals	. 0						1		 	XXX
11. Emergency room and out-of-area	0								ļ	XXX
12. Prescription drugs	0									XXX
13. Aggregate write-ins for other hospital and medical	[0]	0	0	0	L 0	0	0	0	0	, XXX
14. Incentive pool, withhold adjustments and bonus amounts.	630,000			630,000						XXX
15. Subtotal (Lines 8 to 14)	119,164,493	0	0	119,164,493	L	0	0	0	. 0	XXX
16. Net reinsurance recoveries	(9,511,565)			(9,511,565)						XXX
17. Total hospital and medical (Lines 15 minus 16)	128.676.058	0	0	128,676,058	0	0	0	0	0	XXX
18. Non-health claims (net)	0	XXX	xxx	xxx	xxx	xxx	xxx	XXX	xxx	0
19. Claims adjustment expenses including					7000	7001				
\$ 311,859 cost containment expenses	4,928,632			4,928,632						1
20. General administrative expenses	23,766,309			23,822,532			1		(56,222)	
21. Increase in reserves for accident and health contracts	788			788						XXX
22. Increase in reserves for life contracts	0	XXX	xxx	XXX	xxx	xxx	XXX	L xxx	XXX.	
23. Total underwriting deductions (Lines 17 to 22)	157,371,788	0	0	157,428,010	0	0	0	0	(56,222)	0
24. Net underwriting gain or (loss) (Line 7 minus Line 23)	714,299	0	Ó	658,076	0	0	0	0	56,222	_0
DETAILS OF WRITE-INS										
0501.										XXX
0502.			,		Ī					xxx
0503.					i		1		****	XXX
0598. Summary of remaining write-ins for Line 5 from overflow page	n	Λ	n '	n	n	0	n	n	0	XXX
0599. Totals (Lines 0501 through 0503 plus 0598) (Line 5 above)	T	0	u	i o	0	i n	l ő	ľ	n	XXX
0601.	 	XXX	xxx	xxx	xxx	XXX	xxx	XXX	xxx	7001
0602.								XXX	XXX	•
0603.	ļ	XXX	XXX		XXX	XXX	XXX	XXX	XXX	1
	1	XXX	XXX	XXX	XXX	XXX		XXX	XXX	
0698. Summary of remaining write-ins for Line 6 from overflow page	} <u>0</u> }	XXX	XXX	XXX	XXX	. XXX	XXX		XXX	u
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	-01	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1 100
1301.	1						+			XXX
1302.						. .			1	XXX
1303.	1.									XXX
1398. Summary of remaining write-ins for Line 13 from overflow page	0	0		0	0	. 0	0	. 0	0	XXX .
1399. Totals (Lines 1301 through 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	XXX

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE Delta Dental of Iowa

UNDERWRITING AND INVESTMENT EXHIBIT

PART 1 - PREMIUMS

	PARI 1	- PREMIUMS				
			1	2	3	4
,	Line of Business		Direct Business	Reinsurance Assumed	Reinsurance Ceded	Net Premium Income (Cols. 1+2-3)
Comprehensive (hospital and medical)						0
2. Medicare Supplement						0
3. Dental only			148,636,953	9,449,133		158,086,086
4. Vision only						. 0
5. Federal Employees Health Benefits Plan						0
6. Title XVIII - Medicare					,	0
7. Title XIX - Medicaid						
8. Other health				<u> </u>		0
9. Health subtotal (Lines 1 through 8)		<u></u>	148,636,953		0	158,086,086
10. Life						o
11. Property/casualty						
12. Totals (Lines 9 to 11)			148,636,953	9,449,133	0	158,086,086

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2 - CLAIMS INCURRED DURING THE YEAR

		P	ARIZ-CLAIN	IS INCURRED D	JURING THE TE	<u> </u>				T
	1	2 Comprehensive	3	4	5	6 Federal Employees Health	7	8 Title XIX	9	10 Other Non-
	Total	(Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Health Benefits Plan	Title XVIII Medicare	Medicaid	Other Health	Health
Payments during the year:	IUIAI	Wedical)	Supplement	Offity	Office	Deficitio Figure	Hiedicare	Modicard		
1.1 Direct	119,214,958			. 119,214,958			}			
1.2 Reinsurance assumed	9,511,565			9,511,565						
1.3 Reinsurance ceded	0									
1.4 Net	128,726,523	0	0	128,726,523	0	0	0	0	. 0	(
2. Paid medical incentive pools and bonuses				970,379						
3. Claim liability December 31, current year from Part 2A:										
3.1 Direct	5,880,757	0		5,880,757	0	0	D	0	0	
3.2 Reinsurance assumed	0	0	0	0	0	0	ļ 0	J	U	
3.3 Reinsurance ceded	0	. 0	0]	Į v	0	
3.4 Net	5.880,757	0	0	. 5,880,757	0	0	l "	J		
4. Claim reserve December 31, current year from Part 2D:	_									
4.1 Direct	U									
4.2 Reinsurance assumed			^	Λ			1	,	0	
4.3 Reinsurance ceded	۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰	0			0	0	'n	j	n n	.
5. Accrued medical incentive pools and bonuses, current year	630,000	U	U	630,000	U				Ĭ	
, , , , , , , , , , , , , , , , , , , ,	82.094			82 094						
Net healthcare receivables (a) Amounts recoverable from reinsurers December 31, current				02,094						
year	0									
8. Claim liability December 31, prior year from Part 2A:	Ĭ									
8.1 Direct	6,479,128	0	0 1	6.479,128	0	0	0	0	0	. (
8.2 Reinsurance assumed	0	ō	ō	0		L	٥	0	<u> </u>	t <mark></mark>
8.3 Reinsurance ceded	0		0	0		Ω	0	0	0	t <u></u> (
8.4 Net	6, 479, 128	0	0	6,479,128	0	0	0	0	ļ	+
9. Claim reserve December 31, prior year from Part 2D:										
9.1 Direct	0		0	00	0	<u>0</u>	0	0		
9.2 Reinsurance assumed	0		0	0] 0] . 0]		
9.3 Reinsurance ceded	0	0	0	0	0	0	J D	J] U	
9.4 Net	0	0	0	U	U] U	. 0	U	
10. Accrued medical incentive pools and bonuses, prior year	970,379	0	0	970,379		ļ0	J 0		J	
11. Amounts recoverable from reinsurers December 31, prior year	_		0	0	^		1		l	,
	U	U		U	U		· · · · · · · · ·	· · · · ·		-
12. Incurred benefits:	110 534 403	_	۸ ا	110 504 400	^	_	1	_	l	
12.1 Direct	9,511,565	<u>n</u>	ا ۾	118,534,493 9,511,565]. V	, , , , , , , , , , , , , , , , , , ,) v	l	
12.2 Reinsurance assumed	. 9,5(1,565 n	.	U	505,116,8 n		۱)	l n		
12.3 Reinsurance ceded	128,046,058	0		128,046,058	0	0	0	0	0	· ·
12.4 Net		0	0	630,000	<u> </u>	0	- 0	0	0	
3. Incurred medical incentive pools and bonuses	630,000	0		030,000	U	1 0		<u>. </u>	ι	<u> </u>

⁽a) Excludes \$

loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2A - CLAIMS LIABILITY END OF CURRENT YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital and Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
Reported in Process of Adjustment:										
1.1. Direct	2,300,213			2,300,213						
1.2. Reinsurance assumed	0									
1.3. Reinsurance ceded				•						
1.4. Net	2,300,213	0	. 0	2,300,213	0	. 0	0	0		D
Incurred but Unreported:										
2.1. Direct	3,580,544			3,580,544						·············
2.2. Reinsurance assumed	0									
2.3. Reinsurance ceded	0									
2.4. Net	3,580,544	.0		3,580,544	0	0	0	0		0
Amounts Withheld from Paid Claims and Capitations:										
3.1. Direct	0									
3.2. Reinsurance assumed	0									
3.3. Reinsurance ceded	0									
3.4. Net	0		0	0	. 0	0	J0	0	1	0
4. TOTALS:										
4.1. Direct	5.880,757	. 0	0	5,880,757	.0	0	0	0		0
4.2. Reinsurance assumed	0	0	0	0	0	0	0	0		0
4.3. Reinsurance ceded	0	0	. 0	0		0	0	. 0		0
4.4. Net	5,880,757	0	0	5,880,757	0	0	0	_0		0

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2B - ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR-NET OF REINSURANCE

PART 2B - ANALYSIS OF CLAIMS UNPAID			Claim Reser	5	6	
	Claims Paid I	Ouring the Year 2	Liability December	31 of Current Year 4		Estimated Claim
Line of Business	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid December 31 of Prior Year	On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Reserve and Claim Liability December 31 of Prior Year
Comprehensive (hospital and medical)		<u> </u> 			0	0
2. Medicare Supplement					0	o
3. Dental Only	5,333,288	123,393,235		5,880,360	5,333,685	6,479,128
4. Vision Only		<u> </u>			0	0
5. Federal Employees Health Benefits Plan						0
6. Title XVIII - Medicare					o	0
7. Title XIX - Medicaid					0	0
8. Other health					0	. 0
9. Health subtotal (Lines 1 to 8)	5,333,288	123,393,235	397	5,880,360	5,333,685	6 , 479 , 128
10. Healthcare receivables (a).		82.094			0	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	970,379			630,000		970,379
13. Totals (Lines 9-10+11+12)	6,303,667	123,311,141	397	6,510,360	6,304,064	7,449,507

(a) Excludes \$

loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS (\$000 Omitted)

Section A - Paid Health Claims - Dental Only

			Си	mulative Net Amounts P	aid	
	W. C. Will L. Co., W. and L. Co., I	1 2045	2	3 2017	4 2018	5 2019
	Year in Which Losses Were Incurred	2015	2016			
1. Prior		239,079	238,442	238,442	238,442	
2. 2015		110,931	111,163	111,163	111,163	111,163
3. 2016		XXX	116,416	122,160	122,160	
4. 2017		XXX	XXX	126,355	132,415	132,415
5. 2018		XXX	XXX	. XXX	134,450	139,784
6. 2019		XXX	XXX	XXX	XXX	123,393

Section B - Incurred Health Claims - Dental Only

GOOGLOTI D'INCULTOUR TOURING DOTTION OF	Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
Year in Which Losses Were Incurred	1 2015	2 2016	3 2017	4 2018	5 2019
1. Prior	252,627	250,492		250,487	250,487
2. 2015	112,407	118,529	118,529	118,529	118,529
3. 2016	XXX	125,136	130 ,886	130,886	130,886
4. 2017	XXX	XXX	134,852	140,912	140,912
5. 2018	XXX	XXX	ХХХ	141,900	147,234
6. 2019	XXX	XXX	XXX	XXX	129,904

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Dental Only

	1	2	3	4	5	6	7	8	9	10
					Claim and Claim				Total Claims and	
					Adjustment				Claims	
Years in which			Claim Adjustment		Expense			Unpaid Claims	Adjustment	(0-1-0/4)
Premiums were Earned and Claims			Expense	(Col. 3/2)	Payments	(Col. 5/1)	.	Adjustment	Expense Incurred	(Col. 9/1)
were Incurred	Premiums Earned	Claims Payments	Payments	Percent	(Col. 2+3)	Percent	Claims Unpaid	Expenses	(Col. 5+7+8)	Percent
1. 2015	135,928	111,163	3,955	3.6	115,118	847			115,118	84.7
2. 2016	152,943	122,160	5,860	4.8	128,020	83.7			128,020	83.7
3. 2017	160,222	132,415	5,357	.4.0	137,773	86.0			137,773	
4. 2018	168,291	139,784	4,743	3.4	144,526	85.9			144,526	85.9
5. 2019	158,086	123,393	4,382	3.6	127,775	80.8	6,5 <u>11</u>	459	134,745	85.2

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS (\$000 Omitted)

Section A - Paid Health Claims - Grand Total

			Cui	mulative Net Amounts F	Paid	
		1	2	3	4	5
	Year in Which Losses Were Incurred	2015	2016	2017	2018	2019
1. Prior	-	239,079		238,442	238,442	.238,44
2. 2015		110,931	111,163	111,163		111,16
3. 2016		XXX	116,416	122,160	122,160	122,16
4. 2017		XXX	L XXX	126,355	132,415	132,41
5. 2018		ХХХ	XXX	ХХХ	134,450	139,78
6. 2019		XXX	XXX	XXX	XXX	123,39

Section B - Incurred Health Claims - Grand Total

		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year						
	Year in Which Losses Were Incurred	1 2015	2 2016	3 2017	4 2018	5 2019		
1. Prior		252,627	250,492	250,487	250,487	250,487		
2. 2015		112,407	118,529	118,529	118,529	118,529		
3. 2016		XXX .	125,136	130,886	130,886	130,886		
4. 2017		XXX	XXX .	134,852	140,912	140,912		
5. 2018		XXX	XXX	, , ххх		147,234		
6. 2019		XXX	XXX	XXX	XXX	129,904		

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Grand Total

	1	2	3	4	5	6	7	8	9	10
					Claim and Claim				Total Claims and	
					Adjustment				Claims	
Years in which			Claim Adjustment		Expense			Unpaid Claims	Adjustment	
Premiums were Earned and Claims			Expense	(Col. 3/2)	Payments	(Col. 5/1)		Adjustment	Expense Incurred	(Col. 9/1)
were Incurred	Premiums Earned	Claims Payments	Payments	Percent	(Col. 2+3)	Percent	Claims Unpaid	Expenses	(Col. 5+7+8)	Percent
1. 2015	135,928	111,163	3,955	3.6	115,118	847	0	L 0 1	115,118	84.7
2. 2016	152,943	122,160	5,860	4.8	128,020	83.7	. 0	0		83.7
3. 2017	160,222	132,415	5,357	4.0	137,773	86.0	0	0	137,773	86.0
4. 2018	168,291	139,784	4,743		144,526	85.9	0	0	144,526	85.9
5. 2019	158,086	123,393	4,382	3.6	127,775	80.8	6,511	459	134,745	85.2

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2D - AGGREGATE RESERVE FOR ACCIDENT AND HEALTH CONTRACTS ONLY

	ART 2D - AGGRE	GATE RESERV	E FOR ACCIDE	NI AND HEALI	HCONTRACT			8	T 0
	1	2	3	4	5	6	′	°	, ,
		Comprehensive			İ	Federal Employees			
		(Hospital &	Medicare			Health Benefit	Title XVIII	Title XIX	
,	Total	Medical)	Supplement	Dental Only	Vision Only	Plan	Medicare_	Medicaid	Other
Unearned premium reserves	0								
2. Additional policy reserves (a)	9,337,481			9,337,481					
Reserve for future contingent benefits.	0								
4. Reserve for rate credits or experience rating refunds (including									
\$for investment income).									
Aggregate write-ins for other policy reserves		0	0		0	0	0	0	0
6. Totals (gross)	9,337,481	0	0	9,337,481	0	.0	0	0	0
7. Reinsurance ceded	0								
8. Totals (Net) (Page 3, Line 4)	9,337,481	0	0	9,337,481	0	0	.0	0_	0
Present value of amounts not yet due on claims	0								
10. Reserve for future contingent benefits									
11. Aggregate write-ins for other claim reserves	0	. 0		0	0	0	0	0	0
12. Totals (gross)			0		. 0	0	0	0	0
13. Reinsurance ceded	0								1
14. Totals (Net) (Page 3, Line 7)	0	0	0	0	0	0	0	0	0
DETAILS OF WRITE-INS									
0501	0								
0502									
0503.	0								
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	. 0	0	0	0	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	0
1101	0								
1102	0								
1103					i				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	ا م	0	0	0	0	[
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	n l	0	n	0	0	0	0	

⁽a) Includes \$

⁰ premium deficiency reserve.

UNDERWRITING AND INVESTMENT EXHIBIT PART 3 - ANALYSIS OF EXPENSES

		Claim Adjustm	ent Expenses	3	4	5
		1 Cost Containment Expenses	2 Other Claim Adjustment Expenses	General Administrative Expenses	Investment Expenses	Total
1.	Rent (\$		283,930	974,495		1,258,425
2.	Salaries, wages and other benefits	578,894	4, 196, 541	11,488,931		
3.	Commissions (less \$ ceded plus					
	\$ assumed)			5,521,178		5,521,178
4.	Legal fees and expenses		17,315	. 104,521		121,836
5.	Certifications and accreditation fees] ,
6.	Auditing, actuarial and other consulting services	7,106	639,972	584,551		1,231,63
7.	Traveling expenses		74,982	263,288		338,27
8.	Marketing and advertising		133,726	928,251		1,061,97
9.	Postage, express and telephone		690,974	598,867		1,289,84
10.	Printing and office supplies		601,909	553,858		1,155,76
11.	Occupancy, depreciation and amortization		30,155	105,849		136,00
12.	Equipment		179,604	632,728		812,33
13.	Cost or depreciation of EDP equipment and software		1,334,684	1,624,305		2,958,98
14.	Outsourced services including EDP, claims, and other services		425,780	2,211,920		2,637,70
15.	Boards, bureaus and association fees		3,989	587,037		.591,02
16.	Insurance, except on real estate		4,588	168,010		172,59
	Collection and bank service charges			198,278		198,27
	Group service and administration fees					
19.	Reimbursements by uninsured plans	(304,391)	(4,407,116)	. (10,491,978)	·	(15,203,48
20.	Reimbursements from fiscal intermediaries	(***,****)	, , , , , , , , , , , , , , , , , , ,	(/ 0 (/ 0) (0)		(10,200,10
21.	Real estate expenses		96,860	360,582		457 , 44
	Real estate taxes	,	58,703	206,019	: : :::::::::	264,72
23.	Taxes, licenses and fees:			200,010		201,72
	23.1 State and local insurance taxes					
	23.2 State premium taxes	,		899,928		899,92
	23.3 Regulatory authority licenses and fees			37,182	*	37,18
	23.4 Payroll taxes	30,250	243,919	653,826		927,99
	23.5 Other (excluding federal income and real estate taxes)	30,200	210,010	(404,659)		(404,65
24.	Investment expenses not included elsewhere		** *	(101,000)	167,721	167,72
25.	Aggregate write-ins for expenses	0	6,258	5,959,342	0	5,965,60
26.	Total expenses incurred (Lines 1 to 25)	311,859	4,616,773	23,766,309	167,721	
27.	Less expenses unpaid December 31, current year	311,033	4,010,773		107,721	(a) 28,862,66
28.	Add expenses unpaid December 31, prior year	0	•	7,703,682		8,162,38
29.	•			6,343,813		6,834,04
30.	Amounts receivable relating to uninsured plans, prior year Amounts receivable relating to uninsured plans, current year	0	0	1,168,509	0	1,168,50
	•	311,859	A 640 206	1,256,704	467 704	1,256,70
	Total expenses paid (Lines 26 minus 27 plus 28 minus 29 plus 30) LS OF WRITE-INS	311,009	4,648,305	22,494,635	167,721	27,622,52
	Miscellaneous Reimbursements		0.000	444.000		450.00
			9,222	144,682		153,904
502.	•		10,729	312,717		323,445
503. 500	Contributions		//0.000	5,519,783		5,519,783
598.	Summary of remaining write-ins for Line 25 from overflow page	0	(13,693)	(17,839)	0	(31,53

⁽a) Includes management fees of \$

2599. Totals (Line 2501 through 2503 plus 2598) (Line 25 above)

to non-affiliates.

6,258

5,959,342

5,965,600

to affiliates and \$

EXHIBIT OF NET INVESTMENT INCOME

		1 Collected During Year	2 Earned During Year
1.	U.S. Government bonds	(a) 95,429	91,846
1.1	Bonds exempt from U.S. tax	(a)	
1.2	Other bonds (unaffiliated)	(a) 1,133,962	1,144,856
1.3	Bonds of affiliates	(a) 0	
2.1	Preferred stocks (unaffiliated)	(b) 0	
2.11	Preferred stocks of affiliates	(b) 0	
2.2	Common stocks (unaffiliated)	808,073	808,073
2.21	Common stocks of affiliates	0	
3.	Mortgage loans	(c)	
4.	Real estate	(d) 1,258,425	1,258,425
5.	Contract loans		
6.	Cash, cash equivalents and short-term investments	(e)	20,526
7.	Derivative instruments	(f)	
8.	Other invested assets		
9.	Aggregate write-ins for investment income	0	0
10.	Total gross investment income	3,313,243	3,323,726
11.	Investment expenses		(g) 167,721
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g)
13.	Interest expense		
14.	Depreciation on real estate and other invested assets		(i) 405,845
15.	Aggregate write-ins for deductions from investment income] ''
16.	Total deductions (Lines 11 through 15)		573,567
17.	Net investment income (Line 10 minus Line 16)		2,750,159
DETA	LS OF WRITE-INS		·
0901.	Miscellanous Investment Income	l n	0
0902.		ľ	
0903.			
0998.	Summary of remaining write-ins for Line 9 from overflow page	l n	l n
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)	1	1 0
1501.			1
1501.			
1502.			
1503.	Cummany of tampining quite in fact the 45 from augustine		
1596.	Summary of remaining write-ins for Line 15 from overflow page		,
1099.	Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)] 0

(a) Includes \$ (b) Includes \$ (c) Includes \$	32,686	accrual of discount less \$ accrual of discount less \$ accrual of discount less \$		amortization of premium and less \$ amortization of premium and less \$ amortization of premium and less \$	0	paid for accrued interest on purchases. paid for accrued dividends on purchases. paid for accrued interest on purchases.
(d) Includes \$	1,258,425	for company's occupancy of its			interest on encun	•
(e) Includes \$		accrual of discount less \$		amortization of premium and less \$		paid for accrued interest on purchases.
(f) Includes \$		accrual of discount less \$		amortization of premium.		·
(g) Includes \$		investment expenses and \$		investment taxes, licenses and fe	es, excluding fede	eral income taxes, attributable to
segregated	and Separate A	ccounts.				
(h) Includes \$		interest on surplus notes and \$		interest on capital notes.		
(i) Includes \$	405,845	depreciation on real estate and	1\$	depreciation on other invest	ed assets.	

EXHIBIT OF CAPITAL GAINS (LOSSES)

	EAHDII	OI OAI I	IAE OAII1	O (LOGGE	. ,	
		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreig Exchange Capita Gain (Loss)
1.	U.S. Government bonds	(9,088)		. (9,088)	10,881	
1.1	Bonds exempt from U.S. tax			0		
1.2	Other bonds (unaffiliated)	31,158	(23,862)	7,296		
1.3	Bonds of affiliates	0	0	0	0	
2.1	Preferred stocks (unaffiliated)	0	0	. 0	0	
2.11	Preferred stocks of affiliates	0	0	0	0	
2.2	Common stocks (unaffiliated)	321,884	. 0	321,884	2,517,547	
2.21	Common stocks of affiliates	. 0	0	0	(67,218)	
3.	Mortgage loans	0	0	0	0	
4.	Real estate	0	. 0	0		
5.	Contract loans			0		
6.	Cash, cash equivalents and short-term investments		•	0	0	
7 .	Derivative instruments			0		
8.	Other invested assets	0		0	0	
9.	Aggregate write-ins for capital gains (losses)	0	0	0		
10.	Total capital gains (losses)	343,954	(23,862)	320,091	2,461,210	
DETAI	LS OF WRITE-INS					
0901.				0		
0902.				0		
0903.				0		
0998.	Summary of remaining write-ins for Line 9 from overflow page	0		0		
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)	0	0	0	0	

EXHIBIT OF NONADMITTED ASSETS

		1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1.	Bonds (Schedule D)	0	0	
2.	Stocks (Schedule D):			
	2.1 Preferred stocks	0	0	0)
	2.2 Common stocks	1,826,852	1,404,060	(422,792)
3.	Mortgage loans on real estate (Schedule B):			
	3.1 First liens			
	3.2 Other than first liens	. 0	. 0	0
4.	Real estate (Schedule A):			
	4.1 Properties occupied by the company	0	0	0
1	4.2 Properties held for the production of income	0	0	0
	4.3 Properties held for sale	0	0	0
5.	Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and			
Ĭ	short-term investments (Schedule DA)	0	0	0
6.	Contract loans	. 0	0	0
7.	Derivatives (Schedule DB)	0	0	0
8.	Other invested assets (Schedule BA)		0	0
9.	Receivables for securities			0
10.	Securities lending reinvested collateral assets (Schedule DL)	0	0	0
11.	Aggregate write-ins for invested assets	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	1,826,852	1,404,060	(422,792)
13.	Title plants (for Title insurers only)	0	0	
14.	Investment income due and accrued	0	0	0
15.	Premiums and considerations:			
	15.1 Uncollected premiums and agents' balances in the course of			
	collection	32,821	412,495	379,675
	15.2 Deferred premiums, agents' balances and installments booked but deferred			
	and not yet due	0	0	0
	15.3 Accrued retrospective premiums and contracts subject to redetermination	0	0	0
16.	Reinsurance:			·
	16.1 Amounts recoverable from reinsurers	0	0	0
	16.2 Funds held by or deposited with reinsured companies	0	0	0
l	16.3 Other amounts receivable under reinsurance contracts	0	0	0
17.	Amounts receivable relating to uninsured plans	1,119	34.389	
l .	Current federal and foreign income tax recoverable and interest thereon	0	0	0
	2 Net deferred tax asset	0	0	0
19.	Guaranty funds receivable or on deposit	0	0	0
20.	Electronic data processing equipment and software	0	1,626,876	1,626,876
21.	Furniture and equipment, including health care delivery assets		218,979	14,620
22.	•	0	0	0
23.	,	39,507	122,844	83,337
24.	Health care and other amounts receivable	9,476	8,061	(1,415)
25.	Aggregate write-ins for other-than-invested assets	2,011,802	1,967,258	(44,544)
26.		2,011,002	1,507,200	(17,011)
	Protected Cell Accounts (Lines 12 to 25)	4,125,934	5,794,962	1,669,027
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
	Total (Lines 26 and 27)	4,125,934	5,794,962	1,669,027
	LS OF WRITE-INS	1,120,001	0,104,002	1,000,021
			_	0
1102.			U	0
1103.		-		
l	Summary of remaining write-ins for Line 11 from overflow page	· · · · · · · · · · · · · · · · · · ·		J
1		0	J U	0
	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) Prepaid Expenses and Deposits	2 044 000	4 007 000	0
2501.	response Expenses and deposits	2,011,802	1,967,258	(44,544)
2502.			0	
ſ	Summary of remaining write-ins for Line 25 from overflow page	^	0	0
		2 011 903	1 067 359	0
ZJ33.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	2,011,802	1,967,258	(44,544)

EXHIBIT 1 - ENROLLMENT BY PRODUCT TYPE FOR HEALTH BUSINESS ONLY

			Total Members at End o	of		6
	1	2	3	4	5	Current Year
Source of Enrollment	Prior Year	First Quarter	Second Quarter	Third Quarter	Current Year	Member Months
Health Maintenance Organizations	0					
2. Provider Service Organizations						
3. Preferred Provider Organizations.	188,861	207,852	207,475	206,514	207,061	2,484,05
4. Point of Service.	D					
5. Indemnity Only	330,770	328,389	333,686	337 , 799	338,890	4,007,60
6. Aggregate write-ins for other lines of business	0	0		. 0	0	
7. Total	519,631	536,241	541,161	544,313	545,95 <u>1</u>	6,491,65
ETAILS OF WRITE-INS						
601.	. 0					
602	0					
603.	0					
698. Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	
699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	0	0	0	0	0	

EXHIBIT 2 - ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

	EXHIDH 2 - ACCIDENT AN	ID IIEAEIII	LIZEIMIONO	DOM / (III)	JIII AID		
	1	2	3	4	5	6	7
	Name of Debtor	1 - 30 Days	31 - 60 Days	61 - 90 Days	Over 90 Days	Nonadmitted	Admitted
0199999 Total individuals		23,687	7,406	1,908	20,475	20,475	33,002
Group subscribers:							
Dental Wellness Plan		1,340,917					1,340,917
Healthy and Well Kids in Iowa (hawk-1)		458,873]			458,873
Meredith Corporation		288,380	5,310				293,691
The Waldinger Corporation		62,098				.	62.098
Council Bluffs CSD		43,400	1,084				44,484
Oasis AHR Welfare Benefiit Plan		36,639					36,639
Willman Construction Inc		291	291		10,759	10.759	872
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0299997 Group subscriber subtotal		2,230,596	6.685	291	10.759	10,759	2,237,57
0299998 Premiums due and unpaid not individually I		63,857	3.561	1,321	1.587	1,587	68,74
0299999 Total group		2.294,453		1.612	12.346	12,346	2,306,31
0399999 Premiums due and unpaid from Medicare e	entities	1			1	I	·
0499999 Premiums due and unpaid from Medicaid e		1]	T			
0599999 Accident and health premiums due and unit		2.318,140	17,653	3,521	32.821	32.821	2,339,31
0000000 Accident and Health premiums due and uni	yalid (1 age 2, Line 10)	2,010,170	17,000	0,021	02,02.		

EXHIBIT 3 - HEALTH CARE RECEIVABLES

	CITIDITIO II		VE IVECTIAN	1000		· · · · · · · · · · · · · · · · · · ·	7
1 Name of Debtor		2 1 - 30 Days	3 31 - 60 Days	61 - 90 Days	Over 90 Days	Nonadmitted	Admitted
Pharmaceutical Rebate Receivables							
Claim Overpayment Receivables							
0299998 - Aggregate of amounts not individually listed above.		86,140			9,477	9,477	128,098
0299999 - Claim Overpayment Receivables		86,140	41,959	0	9,477	9,477	128,09
Loans and Advances to Providers			·				
Capitation Arrangement Receivables	•						·-
Risk sharing Receivables							
Other Receivables							
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0799999 Gross Health Care Receivables		86.140	41,959	0	9,477	9,477	128,0

EXHIBIT 3A - ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

EXHIBIT SA - ANALTOIG OF TILALITY OAKE KE	Health Care Rec	eivables Collected the Year	Health Care Rec	eivables Accrued 31 of Current Year	5	6
Type of Health Care Receivables	On Amounts Accrued Prior to January 1 of Current Year	2	3 On Amounts Accrued	4	Health Care Receivables in Prior Years (Columns 1 + 3)	Estimated Health Care Receivables Accrued as of December 31 of Prior Year
Type of House Food and Control of the Control of th						
Pharmaceutical rebate receivables					U	
2. Claim overpayment receivables	55,836	1.388,382		137,575	55,836	55.481
3. Loans and advances to providers					0	
4. Capitation arrangement receivables					0	
5. Risk sharing receivables					0	
6. Other health care receivables					0	
7. Totals (Lines 1 through 6)	55.836	1,388,382	0	137,575	55,836	55,48

Note that the accrued amounts in Columns 3, 4 and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 – CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims							
1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total	
Claims Unpaid (Reported)							
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0199999 Individually listed claims unpaid	1 0	1 0	0	0	0	0	
0299999 Aggregate accounts not individually listed-uncovered	l			I		1. 0	
0399999 Aggregate accounts not individually listed-covered	2,300,612	326		399		2,300,213	
0499999 Subtotals	2,300,612	326			0	2,300,213	
0599999 Unreported claims and other claim reserves				• •		3,580,544	
0699999 Total amounts withheld	· · · · · · · · · · · · · · · · · · ·					<u> </u>	
0799999 Total claims unpaid	<u>-</u>	·				5,880,757	
0799999 Tecrued medical incentive pool and honus amounts				·		630,000	

EXHIBIT 5 - AMOUNTS DUE FROM PARENT, SUBSIDIARIES AND AFFILIATES

1	2	3	4	5	6	Adm	nitted
	_	-		_		7	8
Name of Affiliate	1 - 30 Days	31 - 60 Days	61 - 90 Days	Over 90 Days	Nonadmitted	Current	Non-Current
Delta Dental of lowa Foundation	.28, 197	4	127			28,327	
Veratrus Benefit Solutions, Inc.	73,415	1,370	694	1		75,478	l 0
Veratrus Investments, LLC.	4				39,507		
Veratrus Health, Inc			,			32,253	
			.				
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0199999 Individually listed receivables	122 960	1.373	821	39,507	39,507	136,063	0
0299999 Receivables not individually listed			_		1	, in the second of the second	
0399999 Total gross amounts receivable	133.869	1.373	821	39,507	39,507	136,063	0

EXHIBIT 6 - AMOUNTS DUE TO PARENT, SUBSIDIARIES AND AFFILIATES

1	2	3	4	5
Affiliate	Description	Amount	Current	Non-Current
Veratrus Benefit Solutions, Inc	Vision Premium	406,070	406.070	
	· · · · · · · · · · · · · · · · · · ·			
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0199999 Individually listed payables		406,070	406,070	0
0299999 Payables not individually listed				
0399999 Total gross payables		406.070	406,070	0

EXHIBIT 7 - PART 1- SUMMARY OF TRANSACTIONS WITH PROVIDERS

Payment Method	1 Direct Medical Expense Payment	2 Column 1 as a % of Total Payments	3 Total Members Covered	4 Column 3 as a % of Total Members	5 Column 1 Expenses Paid to Affiliated Providers	6 Column 1 Expenses Paid to Non-Affiliated Providers
Capitation Payments:						
1. Medical groups	.0	0.0		0.0		
2. Intermediaries	.0.	0.0		0.0		
3. All other providers	0	0.0		1		ļ
4. Total capitation payments	0		00	<u> </u>	0	ļ U
Other Payments:						
5. Fee-for-service	67,662,910	56.8	XXX	xxx		67,662,910
6. Contractual fee payments	51,552,048	43.2	xxx	ļ xxx		51,552.048
7. Bonus/withhold arrangements - fee-for-service	0	0.0	XXX	xxx		
Bonus/withhold arrangements - contractual fee payments	0	0.0	l xxx	xxx		
9. Non-contingent salaries	0	0.0	XXX	xxx	•••	
10. Aggregate cost arrangements	. 0	0.0	XXX	xxx	-	
11. All other payments	0	0.0	xxx	xxx		
12. Total other payments	119,214,958	100.0	xxx	XXX	0	119,214,958
13. Total (Line 4 plus Line 12)	119,214,958	100 %	XXX	XXX	0	119,214,958

EXHIBIT 7 - PART 2 - SUMMARY OF TRANSACTIONS WITH INTERMEDIARIES

1 NAIC Code	2 Name of Intermediary	3 Capitation Paid	4 Average Monthly Capitation	5 Intermediary's Total Adjusted Capital	6 Intermediary's Authorized Control Level RBC
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	mana and a summary and a summa				·
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				1000	
9999999 Totals			XXX	XXX	XXX_

EXHIBIT 8 – FURNITURE, EQUIPMENT AND SUPPLIES OWNED

	1	2	3	4	5	6
Description	Cost	Improvements	Accumulated Depreciation	Book Value Less Encumbrances	Assets Not Admitted	Net Admitted Assets
Administrative furniture and equipment	1,706,019		1,508,671		197,348	
2. Medical furniture, equipment and fixtures				.		
3. Pharmaceuticals and surgical supplies						
4. Durable medical equipment						
5. Other property and equipment	7,011				7,011	
6. Total	1,713,030	0	1,508,671	0	204,358	0

NOTES TO FINANCIAL STATEMENTS

Item 1. Summary of Significant Accounting Policies and Going Concerns

A. The accompanying financial statements of the Company have been prepared in conformity with the accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Iowa.

Α.	2045.	F/S	F/S	0040		2040
NET INCOME	SSAP#	<u>Page</u>	<u>Line#</u>	<u>2019</u>		<u>2018</u>
(1) Company state basis (Page 4, Line 32, Columns 2 & 3)	XXX	XXX	. XXX	3,719,657	\$	3,561,041
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:			\$		\$	
(3) State Permitted Practices that increase/(decrease) NAIC SAP:			\$		s	
(4) NAIC SAP (1-2-3=4)	xxx	xxx	xxx \$	3,719,657	. \$	3,561,041
SURPLUS (5) Company state basis (Page 3, Line 33, Columns 3 & 4)	xxx	xxx	xxx \$	78,951,533	\$	71,101,639
(6) State Prescribed Practices that increase/(decrease) NAIC	SAP:		. \$		\$	
(7) State Permitted Practices that increase/(decrease) NAIC SAP:			\$		\$	
(8) NAIC SAP (5-6-7=8)	xxx	xxx	XXX \$	78,951,533	_ \$	71,101,639

- B. The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported assets and liabilities as well as contingent assets and liabilities at the date of the financial statements and the revenue and expenses reported during the period. Actual results could differ from the estimates.
- C. The Company uses the following policies:
 - 1. Short-term investments are stated at amortized cost.
 - 2. Bonds are stated at amortized cost using the effective interest method.
 - 3. Unaffiliated Common stocks are stated at market value as determined by the Securities Valuation Office of the NAIC and the related unrealized capital gains (losses) are reported in the unassigned funds. Common Stock investments in uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the equity basis.
 - 4. The Company owns no preferred stock as of December 31, 2019.
 - 5. The Company owns no mortgage loans as of December 31, 2019.
 - 6. Loan-backed securities are stated at either the amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all such securities except interest only which are valued using the prospective method.
 - 7. The Company has a 100% ownership in Veratrus Health, Inc. (VHI) as of December 31, 2019. Veratrus Benefit Solutions, Inc. (VBS) which is owned by VHI is carried on the books using the statutory equity method. Amounts related to the investment in VHI other than VBS are non-admitted by the Company.
 - 8. The Company owns no investments in joint ventures, partnerships or limited liability companies.
 - 9. The Company owns no derivative instruments.
 - 10. The Company anticipates investment income as a factor in the premium deficiency calculation, in accordance with SSAP No 54, Individual and Group Accident and Health Contracts.

NOTES TO FINANCIAL STATEMENTS

- 11. Unpaid claims and claims adjustment expense liabilities represent the estimated ultimate net cost of all reported and unreported claims incurred through December 31, 2019. The Company does not discount claims and claim adjustment expense liabilities. The liabilities for unpaid claims and claims adjustment expenses are estimated using past experiences and statistical analysis. Those estimates are subject to the effects of trends in utilization of dental services, the amount of charges and other factors. Although considerable variability is inherent in such estimates, management believes the liabilities for claims and unpaid claims adjustment expenses are adequate. The estimates are continually reviewed and adjusted as necessary as experience develops or new information becomes known; such adjustments are included in current operations.
- 12. The Company capitalizes assets which have an acquisition cost greater than or equal to \$2,000 and when the asset is expected to benefit the company more than one year.

Item 2. Accounting Changes and Corrections of Errors

- A. The Company made no corrections of accounting errors for the year ended December 31, 2019.
- B. There were no material changes in Accounting Principles.
- Item 3. Business Combinations and Goodwill Not Applicable
- Item 4. Discontinued Operations Not Applicable
- Item 5. Investments
 - A. Mortgage Loans Not Applicable
 - B. Debt Restructuring Not Applicable
 - C. Reverse Mortgages Not Applicable
 - D. Loan Backed Securities
 - 1. For fixed-rate agency mortgage-backed securities, Clearwater Analytics calculates prepayment speeds utilizing Mortgage Industry Advisory Corporation (MIAC) Mortgage Industry Medians (MIMs). MIMs are derived from a semi-monthly dealer-consensus survey of long-term prepayment projections. For other mortgage-backed, loan-backed, and structured securities, Clearwater utilizes prepayment assumptions from Moody's Analytics. Moody's applies a flat economic credit model and utilizes a vector of multiple monthly speeds as opposed to a single speed for more robust projections. In instances where Moody's projections are not available, Clearwater uses data from Reuters, which utilizes the median prepayment speed from contributors' models.
 - 2. Summarize loan-backed security other-than-temporary impairments by quarter.

		11	2a	2b	3
			OTTI Recognized in Loss	OTTI Recognized in Loss	
	Recognized 1st Quarter	Amortized Cost before OTTI	Interest-Related (Unrealized) Loss	Non-Interest Loss (OTTI)	1Q19 FV 1-(2a+2b)
a.	Intent to Sell	0	0	0	0
b.	Inability/ lack of intent to retain long enough to recover AC	0	0	0	0
c.	Total 1st		-		
	Quarter	0	0	0	0
		1	2a	2b	3
			OTTI Recognized in Loss	OTTI Recognized in Loss	
ro	TI Recognized	Amortized Cost	Interest-Related	Non-Interest Loss	2Q19 FV

NOTES TO FINANCIAL STATEMENTS

	2nd Quarter	before OTTI	(Unrealized) Loss	(OTTI)	1-(2a+2b)
a.	Intent to Sell	0	0	0	0
b.	Inability/ lack				
	of intent to				
	retain long				
	enough to				
	recover AC	0	0	0	0
c.	Total 2nd				
	Quarter	0	0	0	0
		1	2a	2b	3
			OTTI Recognized	OTTI Recognized	
			in Loss	in Loss	
01	TI Recognized	Amortized Cost	Interest-Related	Non-Interest Loss	3Q19 FV
	3rd Quarter	before OTTI	(Unrealized) Loss	(OTTI)	1-(2a+2b)
a.	Intent to Sell	0	0	0	0
b.	Inability/ lack				
	of intent to				
	retain long				
	enough to				
	recover AC	0	0	0	0
c.	Total 3rd				
	Quarter	0	0	0	0
			OTTI Recognized	OTTI Recognized	
			in Loss	in Loss	
O	TI Recognized	Amortized Cost	Interest-Related	Non-Interest Loss	4Q19 FV
	4th Quarter	before OTTI	(Unrealized) Loss	(OTTI)	1-(2a+2b)
a.	Intent to Sell	0	0	0	0
b.	Inability/ lack				
	of intent to				
	retain long				
	enough to				
	recover AC	0	0	0	0
c.	Total 4th				
	Quarter	0	0	0	0

3. Listing all loan-backed securities that have recognized an OTTI due to cash flow analysis in the current reporting period.

1	2	3	4	5	6	
	Book Adj					
	Amortized Cost			Amortized Cost	Fair Value	
	before	Projected	Recognized	after	at time of	
<u>Secid</u>	<u>0TTI</u>	Cash Flow	OTTI	<u> </u>	<u>OTTI</u>	<u>Date</u>
NONE						12/31/2019

- 4. All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized related impairment remains):
- a. Aggregate Unrealized Losses

1.	Less than 12 months	8,491
2.	12 Months or Longer	390

b. Aggregate FV of Securities with Unrealized Losses

1. Less than 12 months 1,492,256
2. 12 Months or Longer 83,406

5. In determining whether a decline in value is other than temporary, the Company considers several factors including but not limited to the following: the extent and duration of the decline in value; the Company's inability or lack of intent to retain the investment for a period of time sufficient to recover the amortized cost basis; and the performance of the security's underlying collateral and projected future cash flows. In projecting future cash flows, the

NOTES TO FINANCIAL STATEMENTS

Company incorporates inputs from third-party sources and applies reasonable judgment in developing assumptions used to estimate the probability and timing of collecting all contractual cash flows.

- E. Dollar Repurchase Agreements Not Applicable
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing-Not Applicable
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing-Not Applicable
- H. Repurchase Agreements Transactions Accounted for as a Sale-Not Applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale-Not Applicable
- J. Real Estate Not Applicable
- K. Investments in low-income housing tax credits Not Applicable
- L. Restricted Assets-Not Applicable
- M. Working Capital Finance Investments-Not Applicable
- N. Offsetting and Netting of Assets and Liabilities-Not Applicable
- O. 5GI Securities-Not Applicable
- P. Short Sales-Not Applicable
- Q. Prepayment Penalty and Acceleration Fees

General Account

(1) Number of CUSIPs(2) Aggregate Amount of Investment Income

3 \$ 6,061

- Item 6. Joint Ventures, Partnerships and Limited Liability Companies Not Applicable
- Item 7. Investment Income
 - A. The Company had no amount due and accrued excluded from investment income.
- Item 8. Derivative Instruments Not Applicable
- Item 9. Income Taxes-Not Applicable
- Item 10. Information Concerning Parent, Subsidiaries, Affiliates and other Related Parties
 - 1. The Company established the Delta Dental of Iowa Foundation (DDIAF) to administer funds set aside for Public Benefit programs. A request to establish the DDIAF as a 501(c)(3) entity was approved by the Internal Revenue Service. The DDIAF was incorporated on July 24, 2007 to support and improve the oral health of Iowans. The DDIAF was organized to assist in carrying out the charitable purposes of the Company.

The DDIAF is a supporting organization that has one member, the Company. The DDIAF Board of Directors will be comprised of not more than fourteen members representing the Company, government/public health, the dental community, academia, community health, and/or other philanthropic organizations. Two of the fourteen positions are seated positions: 1) President of Delta Dental of Iowa and; 2) Chairman of the Board of Directors for Delta Dental of Iowa or another member of the Board of Directors of Delta Dental shall serve as a Director of the

NOTES TO FINANCIAL STATEMENTS

Foundation. Twelve of the DDIAF Directors are annually elected by Delta Dental of Iowa Board of Directors.

In addition, the Vice President of Public Affairs of the Company serves as the executive director of DDIAF, the President and Chief Executive Officer of the Company serves as President of DDIAF, and the Vice President of Finance and Controller of the Company serves as Treasurer of DDIAF. DDIAF pays a management fee for services provided by the Company. Management fee expense for the year ending December 31, 2019 was \$352,940 and for the year ending December 31, 2018 was \$333,175. The Company also processes and allocates other common expenses which are reimbursed by DDIAF. These amounts totaled \$24,402 for the year ended December 31, 2019 and \$14,079 for the year ended December 31, 2018.

At December 31, 2019 and 2018, the net amount owed to the Company by DDIAF for the above agreement and other expense reimbursements was \$28,327 and \$33,370, respectively.

The Company made a contribution of \$4,703,950 and \$3,000,000 during 2019 and 2018, respectively, to the Foundation.

The Company established a for-profit holding company, Veratrus Health, Inc. (VHI) on February 27, 2017, and purchased 10,000 shares of common stock of VHI for consideration of \$1,050,000 and all common stock of Veratrus Benefit Solutions, Inc (VBS). VHI is incorporated in the State of Iowa as a for-profit corporation and will be the holding company of various organizations offering services in the State of Iowa. DDIA recorded a receivable from VHI of \$32,253 as of December 31, 2019, and \$28,300 as of December 31, 2018. DDIA also recorded a receivable from Veratrus Investments, LLC, a subsidiary of VHI, of \$39,511 as of December 31, 2019, and \$98,894 as of December 31, 2018. The Company established a management agreement with VHI beginning January 1, 2019. Management fee expense for the year ending December 31, 2019 was \$339,467.

The Company has a management agreement with VBS, a subsidiary of VHI. Management fee expense for the year ending December 31, 2019 was \$387,007 and for the year ending December 31, 2018 was \$231,092.

The net amount owed to VBS by the Company for the management agreement and other expense reimbursements for the year ending December 31, 2019 was \$330,592 and for the year ending December 31, 2018 was \$345,480.

Item 11. Debt

A. Under an unsecured line of credit agreement with a bank, the Company has available borrowings of approximately \$2,000,000, which expire on April 30, 2020. Interest on outstanding balances is based on a variable rate as detailed in the agreement. There were no borrowings on this line of credit as of December 31, 2019.

Item 12. Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences and other Post-retirement Benefit Plans

A. Not Applicable

- B. The Company has a qualified contributory 401(K) plan that covers substantially all employees. Employee participation in the 401(K) plan is optional. The Company matches 100% of the first 3% and 50% of the next 2% of the employee's contribution. Expenses related to the employer match were \$437,570 in 2019 and \$394,291 in 2018. The Company elected to contribute an additional 4% of each eligible employee's earnings in 2019 and in 2018. The expenses for this discretionary contribution were \$468,421 for 2019 and \$411,446 for 2018.
- C. Effective January 1, 2010, the Company established the Delta Dental of Iowa Supplemental Retirement Plan (the SERP Plan) as a nonqualified deferred compensation plan to provide for supplemental retirement benefits for selected management and highly compensated employees as set forth in Sections 201(2), 301(a)(3), and 401(a)(1) of ERISA. The Company makes discretionary contributions to the SERP Plan, and unvested benefits are held by the Company. The plan was closed to investments as of January 1, 2016 and all assets were sold in 2018.

NOTES TO FINANCIAL STATEMENTS

- D. Effective July 1, 2003, the Company established the Delta Dental Plan of Iowa 457(b) Retirement Plan (the "457 Plan"). The 457 Plan is intended to provide deferred compensation benefits for a select group of management and directors in accordance with Part 1 of Title I of the Employee Retirement Income and Security Act of 1974. The select group of management and directors enter into a Salary Deferral Agreement with the Company to elect to contribute a portion of their compensation from the Company to the 457 Plan. Assets are held in a Rabbi Trust. At December 31, 2019 and 2018, the Company had established a liability of \$608,843 and \$429,713 respectively, for compensation deferred under the 457 Plan. The assets in the Rabbi Trust, which are common stocks and cash, had fair market value of \$544,060 and \$64,784 respectively, at December 31, 2019. As of December 31, 2018, the fair market values of the common stocks and cash were \$334,567 and \$95,146, respectively. Investment returns, which are recorded as investment income, match increases or decreases in the related liability.
- E. Effective January, 1, 2016, the Company established the Delta Dental of Iowa Executive 457(f) Plan (the "Plan"). The Plan is intended to provide for incentive and retention benefits for selected executive employees. The Company makes discretionary contributions to the Plan, and unvested benefits are held by the Company. As of December 31, 2019 and 2018, the Company had established a liability of \$1,143,989 and \$1,154,686, respectively for benefits earned under the Plan. The assets in the Plan, which are common stocks and cash, had fair market value of \$569,232 and \$368,368, respectively, at December 31, 2019. As of December 31, 2018, the fair market values of the common stocks and cash were \$485,450 and \$462,847 respectively. Investment returns, which are recorded as investment income, match increases or decreases in the related liability.
- F. Effective January 1, 2017, the Company established the Delta Dental of Iowa 2017 Executive Long-Term Incentive Plan (the "LTIP Plan"). The primary purpose of the LTIP Plan is to focus the executive team on long-term strategic initiatives that help meet the organization's mission and enhance the long term sustainability and growth of the Corporation. Further, the LTIP Plan is designed to enhance the Board's efforts at retention of its executive staff. The LTIP Plan is effective January 1, 2017 to December 31, 2019. The LTIP Plan is intended and at all times shall be an unfunded and unsecured plan that is limited to key management employees of the organization. As of December 31, 2019 and 2018, the Company had established a liability of \$616,408 and \$407,307 respectively, for benefits under the LTIP Plan.
- Item 13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations Not Applicable
- Item 14. Contingencies
 - A. The Company has no known contingent liabilities.
 - B. The Company has committed no reserves to cover any contingent liabilities.

Item 15. Leases

- A. 1. The Company entered into a lease for mail equipment on December 16, 2014. The current lease ends March 16, 2020. Rental expense for 2019 was \$3,718 and for 2018 it was \$6,198.
- 2. At January 1, 2020, the minimum aggregate rental commitments are as follows:

Year ending December 31

Operating Lease

2020

\$ 1,138

- Item 16. Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk Not Applicable
- Item 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
 - A. Transfers of Receivables reported as Sales Not Applicable

NOTES TO FINANCIAL STATEMENTS

- B. Transfer and Servicing of Financial Assets Not Applicable
- C. Wash Sales

The Company did not have any wash sales for the year ended December 31, 2019.

Item 18. Gain or Loss from Uninsured Plans

- A. ASO Plans Not applicable
- B. ASC Plans

The gain from operations from Administrative Services Contract (ASC) uninsured plans and the uninsured portion of partially insured plans was as follows during 2019:

	ASC		sured on of ASC		T	otal
1. Gross Reimbursement for medical cost incurred	\$ 196,886	,759	\$ 0	\$ 1	196,886,	759
2. Gross Admin Fees Accrued	\$ 15,203	,485	\$ 0	\$	15,203,	485
3. Other Income/Expense	\$	0	\$ 0	\$		0
4. Gross Expenses incurred	\$ 212,034	,022	\$ 0	\$ 2	212,034,0	022
5. Total Net Gain or Loss from Operations	\$ 56	,222	\$ 0	\$	56,	222

Item 19. Direct Premium Written/Produced by Managing General Agents/TPA's - Not Applicable

Item 20. Fair Value Measurement

A. The fair value of the Company's financial assets and financial liabilities has been determined using available market information as of December 31, 2019. Although the Company is not aware of any factors that would significantly affect the fair value of the financial assets and financial liabilities, such amounts have not been comprehensively revalued since those dates. Therefore, estimates of fair value subsequent to the valuation dates may differ significantly from the amounts presented herein. Considerable judgment is required to interpret market data to develop the estimates of fair value. The use of different market assumptions and/or estimation methodologies may have a material effect on the estimated fair value amounts.

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. In determining fair value, the Company primarily uses the market approach which utilizes process and other relevant information generated by market transactions involving identical or comparable assets or liabilities. To a lesser extent, the Company also uses the income approach which uses discounted cash flows to determine fair value. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs. Observable inputs reflect the assumptions market participants would use in valuing a financial instrument based on market data obtained from sources independent of the Company. Unobservable inputs reflect the Company's estimates about the assumptions market participants would use in valuing financial assets and financial liabilities based on the best information available in the circumstances.

The Company is required to categorize its financial assets and financial liabilities carried at fair value on the statutory statements of admitted assets, liabilities and capital and surplus according to a three-level hierarchy. A level is assigned to each financial asset and financial liability based on the lowest level input that is significant to the fair value measurement in its entirety. The levels of fair value hierarchy are as follows:

NOTES TO FINANCIAL STATEMENTS

Level 1-Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market.

Level 2-Fair value is based on significant inputs, other than quoted prices included in Level 1, that are observable in active markets for identical or similar assets and liabilities.

Level 3-Fair value is based on at least one or more significant unobservable inputs. These inputs reflect the Company's assumption about the inputs market participants would use in pricing the assets or liabilities.

The Company uses prices and inputs that are current as of the measurement date. In periods of market disruption, the ability to observe prices and inputs may be reduced, which could cause an asset or liability to be reclassified to a lower level.

Inputs used to measure fair value of an asset or liability may fall into different levels of the fair value hierarchy. In these situations, the Company will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value.

The following table summarizes by level of fair value hierarchy the financial assets and liabilities measured and reported by the Company at fair value as of year end:

		Level 1		Level 2	2	Level 3		Total
Short-term Investments								
Cash Equivalents								
Money Market Mutual Funds		4,021,832						4,021,832
Common Stocks								
Industrial & Misc.		18,271,615						18,271,615
Total Financial Assets	<u> </u>	22,293,447		\$ -		\$ -	\$	22,293,447
	\$		\$		\$		\$	
Total Financial Liabilities			•				<u>·</u>	· · · · · ·

- (1) The Company did not have any transfers between Level 1 and Level 2 of the fair value hierarchy during the current year.
- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy-Not Applicable
- (3) Transfers of securities among levels occur at the beginning of the reporting period.
- (4) Not Applicable no level 2 or 3 assets or liabilities
- (5) Not Applicable
- B. Not Applicable
- C. The following table summarizes by level of fair value hierarchy the aggregate fair value of all financial assets and liabilities held by the Company as of the current year end:

	Aggregate	Admitted				Not Practicable
Type of Financial Instrument	Fair Value	Assets*	Level 1	Level 2	Level 3	Carrying Value
Bonds	43,013,793	41,873,396	2,597,688	39,275,708		
Common Stock	18,271,615	18,271,615	18,271,615	-	-	
Short Terms	4,021,832	4,021,832	4,021,832			
Total Assets	65,307,240	64,166,843	24,891,135	39,275,708	-	•

NOTES TO FINANCIAL STATEMENTS

D. Not Practicable to Estimate Fair Value-Not Applicable

Item 21. Other Items-Not Applicable

Item 22. Events Subsequent –

The Company is subject to an annual fee under section 9010 of the Federal Affordable Care Act (ACA). This annual fee is allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of health insurance for any U.S. health risk that is written during the preceding calendar year. A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for an U.S. health risk for each calendar year beginning on or after January 1 of the year that the fee is due.

Public Law No: 115-120 was signed into law on January 22, 2018, and imposes a moratorium on the ACA fee for calendar year 2019.

As of December 31, 2019, the Company has written health insurance subject to the ACA assessment, expects to conduct health insurance business in 2020, and estimates their portion of the annual health insurance industry to be payable on September 30, 2020 to be \$1,260,489.

NOTES TO FINANCIAL STATEMENTS

		(Current Year		Prior Year
A.	Did the reporting entity write accident and health insurance premium that is subject to Section 9010 of the Federal			No	
	Affordable Care Act (YES/NO)?	V	'es	No	
В.	ACA fee assessment payable for the upcoming year	\$	0	\$	
C.	ACA fee assessment paid	\$	0	\$	
D.	Premium written subject to	•		•	
E.	ACA 9010 assessment	\$	0	\$	
⊏.	Total Adjusted Capital before surplus adjustment (Five-Year Historical Line 14)	\$	78,951,533	\$	71,101,639
F.	Total Adjusted Capital after surplus adjustment (Five-Year Historical Line 14 minus 22B above)	\$	78,951,533	\$	71,101,639
G.	Authorized Control Level (Five-Year Historical Line 15)	\$	5,827,594	\$	5,874,015
H.	Would reporting the ACA assessment as of Dec. 31, 2019 have triggered an RBC action level (YES/NO)?	N	o	No	

Item 23. Reinsurance

During 2014, the Company entered into two separate agreements with Delta Dental Insurance Company. Under the first agreement the Company assumes certain dental insurance under a 3.02% risk sharing contract. In the other agreement the Company assumes certain dental insurance under a 2.53% risk sharing contract that covers two separate programs. Gross premiums of \$9,449,133 and \$20,530,620 were received under the agreements during 2019 and 2018, respectively. The first agreement ended December 31, 2018 with one year of runout under the agreement.

Item 24. Retrospective Rated Contracts - Not Applicable

Item 25. Change in Incurred Claims and Claim Adjustment Expenses

The Company utilizes an independent actuary to review the adequacy of the Company's loss and loss expense reserves. Based on the assessment of the Company's reserves, the actuary concluded the amount carried on the statements of admitted assets, liabilities and surplus reflects an adequate provision for all unpaid losses and loss adjustment expenses obligations of the Company.

Activity in the liability for unpaid claims and adjustment expenses is summarized as follows:

	<u>2019</u>	<u>2018</u>
Balance as of January 1,	\$ 6,969,362	\$ 7,926,958
Add provision for claims occurring in:		
Current year	134,151,665	145,643,002
Prior years	(1,176,975)	(1,376,563)
Total Incurred	132,974,690	144,266,439
Deduct payments for claims occurring in:		
Current year	128,049,189	138,926,372
Prior years	5,555,404	6,297,663
Total Paid	133,604,593	145,224,035
Balance as of December 31,	\$ 6,339,459	\$ 6,969,362

NOTES TO FINANCIAL STATEMENTS

The forgoing reconciliation reflects a decrease of reserves of \$1,176,975 and \$1,376,563 as of December 31, 2019 and 2018, respectively. The changes in those reserves were primarily the result of differences in actual and assumed utilization and cost of dental services.

- Item 26. Intercompany Pooling Arrangements Not Applicable
- Item 27 Structured Settlements Not Applicable
- Item 28. Health Care Receivables -
 - A. The Company does not have any pharmaceutical rebate receivables
 - B. The Company does not have any risk sharing receivables
- Item 29. Participating Policies Not Applicable
- Item 30. Premium Deficiency Reserves-Not Applicable
- Item 31. Anticipated Salvage & Subrogation Not Applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

	porting entity a n an insurer?	nember of an Insurance Holding (Company System cons	sisting of two or r	nore annated			16	s į X	.]	No []
If yes, co	mplete Schedule	Y, Parts 1, 1A and 2.										
regulatory disclosure Insurance	ry official of the s re substantially si re Holding Comp	ntity register and file with its domicil state of domicile of the principal in imilar to the standards adopted by any System Regulatory Act and requirements substantially similar t	nsurer in the Holding C the National Association model regulations pert	Company System, on of Insurance (taining thereto, o	, a registration Commissioners or is the reporti	statement providin (NAIC) in its Mode	ng el to	[X] N	lo [] N	/A [1
1.3 State Reg	gulating? lowa								_			
	• • • •	licly traded or a member of a public	- ·					Ye	es []	No [Х]
		es, provide the CIK (Central Index										
reporting	entity?	ade during the year of this stateme	ent in the charter, by-law	ws, articles of inc	corporation, or	deed of settlement	of the	Ye	es [)	-		
2.2 If yes, da	•										/20/2	
3.2 State the	e as of date that t	latest financial examination of the in the latest financial examination repure the examined balance sheet and	ort became available fro	om either the stat	e of domicile o	the reporting entity	y. This				/31/2 /31/2	-
3.3 State as the repor	of what date the	latest financial examination report is the release date or completion d	became available to oth	her states or the p	public from eith						/07/2	
date).	donostraont or de	anortmente? Jours Department of C	ommorae Incurance Div	dolon						00	10112	2010
3.5 Have all	-	epartments? lowa Department of Co lent adjustments within the latest rtments?			unted for in a	subsequent financi	al Yes	111	₩ [1 N] A\I	X 1
	•	ndations within the latest financial e	xamination report been	complied with?				[X] I	-	•		•
_ ,,,,,,,									•		ı	,
combina	ation thereof und a substantial part	ed by this statement, did any age ler common control (other than so (more than 20 percent of any majo	alaried employees of the or line of business meas	he reporting entit	ty) receive cred			Y	es [ì	No [[X]
,	•		4	4.12 renewals?				Υ	es [ì	No [[X]
affiliate,	receive credit or	ed by this statement, did any sale commissions for or control a sub							•	•	•	•
	emiums) of:											
airect pre			4	4.21 sales of new	business?			Υ	es ſ	- 1	No 1	[X]
airect pri	,				business?						•	• •
•	ŕ	een a party to a merger or consolic	4	4.22 renewals?				γ	es [es [es	j	No [[X]
5.1 Has the	reporting entity b	een a party to a merger or consolic	dation during the period	4.22 renewals?				γ	es [j	No [
5.1 Has the r If yes, co	reporting entity b omplete and file to provide the name	, , ,	dation during the period NAIC.	4.22 renewals? covered by this s	statement?	on) for any entity th	nat has	γ	es [j	No [[X]
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5.1 Has the r If yes, co 5.2 If yes, pr ceased to 6.1 Has the or revoke 6.2 If yes, gi	reporting entity be complete and file to crovide the name to exist as a result of the complete and the compl	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E	dation during the period NAIC. a, and state of domicile Entity censes or registrations period?	4.22 renewals? covered by this s (use two letter s NAIC C	statement? tate abbreviation 2 company Code	3 State of Domicil	pended	Y	es [No [[x]
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5.1 Has the relative for revoke 6.2 If yes, gift. 1.1 Does any	reporting entity be complete and file to provide the name to exist as a result of the complete and the compl	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E	dation during the period NAIC. a, and state of domicile Entity censes or registrations period?	4.22 renewals? covered by this s (use two letter s NAIC C	statement? tate abbreviation 2 company Code	3 State of Domicil	pended	YYY	es [es [No { No {	[x]
5.1 Has the relative for revoke 6.2 If yes, gift. 1.1 Does any	reporting entity be complete and file to provide the name to exist as a result reporting entity had by any governity foreign (non-Ur 7.21 State the 7.22 State the	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E	dation during the period NAIC. e, and state of domicile Entity censes or registrations period? dy or indirectly control 10 con(s) or entity(s); or if	4.22 renewals? covered by this s (use two letter s NAIC C (including corporate) 0% or more of the	tate abbreviation 2 Company Code rate registration e reporting entity	3 State of Domicil I, if applicable) susp	pended	Y Y	es [es [No { No {	[x]
5.1 Has the relative for revoke 6.2 If yes, gift. 1.1 Does any	reporting entity be complete and file to consider the name to exist as a result reporting entity hed by any govern give full information foreign (non-Ur 7.21 State the 7.22 State the manager	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E and any Certificates of Authority, licensental entity during the reporting point. Indicates of person or entity direct percentage of foreign control enationality(s) of the foreign person attorney-in-fact and identify the	dation during the period NAIC. e, and state of domicile Entity censes or registrations period? dy or indirectly control 10 con(s) or entity(s); or if	4.22 renewals? covered by this s (use two letter s NAIC C (including corporate) 0% or more of the	tate abbreviation 2 Company Code rate registration e reporting entit nutual or recipriration, governmentation, governmentation	State of Domicil State of Domicil The state of Domicil State of	pended	Y Y	es [es [No { No {	[x]
5.1 Has the relative for revoke 6.2 If yes, gift. 1.1 Does any	reporting entity be complete and file to consider the name to exist as a result reporting entity hed by any govern give full information foreign (non-Ur 7.21 State the 7.22 State the manager	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E Name of	dation during the period NAIC. e, and state of domicile Entity censes or registrations period? dy or indirectly control 10 con(s) or entity(s); or if	4.22 renewals? covered by this s (use two letter s NAIC C (including corporate) 0% or more of the	tate abbreviation 2 Company Code rate registration e reporting entity	State of Domicil State of Domicil The state of Domicil State of	pended	Y Y	es [es [No { No {	[x]
5.1 Has the relative for revoke 6.2 If yes, gift. 1.1 Does any	reporting entity be complete and file to consider the name to exist as a result reporting entity hed by any govern give full information foreign (non-Ur 7.21 State the 7.22 State the manager	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E and any Certificates of Authority, licensental entity during the reporting point. Indicates of person or entity direct percentage of foreign control enationality(s) of the foreign person attorney-in-fact and identify the	dation during the period NAIC. e, and state of domicile Entity censes or registrations period? dy or indirectly control 10 con(s) or entity(s); or if	4.22 renewals? covered by this s (use two letter s NAIC C (including corporate) 0% or more of the	tate abbreviation 2 Company Code rate registration e reporting entit nutual or recipriration, governmentation, governmentation	State of Domicil State of Domicil The state of Domicil State of	pended	Y Y	es [es [No { No {	[x]
5.1 Has the relative for revoke 6.2 If yes, gift. 1.1 Does any	reporting entity be complete and file to consider the name to exist as a result reporting entity hed by any govern give full information foreign (non-Ur 7.21 State the 7.22 State the manager	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E and any Certificates of Authority, licensental entity during the reporting point. Indicates of person or entity direct percentage of foreign control enationality(s) of the foreign person attorney-in-fact and identify the	dation during the period NAIC. e, and state of domicile Entity censes or registrations period? dy or indirectly control 10 con(s) or entity(s); or if	4.22 renewals? covered by this s (use two letter s NAIC C (including corporate) 0% or more of the	tate abbreviation 2 Company Code rate registration e reporting entit nutual or recipriration, governmentation, governmentation	State of Domicil State of Domicil The state of Domicil State of	pended	Y Y	es [es [No { No {	[x]
5.1 Has the relative for revoke 6.2 If yes, gift. 1.1 Does any	reporting entity be complete and file to provide the name to exist as a result of the exist as a	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E and any Certificates of Authority, licensental entity during the reporting point. Indicates of person or entity direct percentage of foreign control enationality(s) of the foreign person attorney-in-fact and identify the	dation during the period NAIC. e, and state of domicile Entity censes or registrations period? dy or indirectly control 10 con(s) or entity(s); or if	4.22 renewals? covered by this s (use two letter s NAIC C (including corporate) 0% or more of the	tate abbreviation 2 Company Code rate registration e reporting entit nutual or reciproration, governmentation, governmentation	State of Domicil State of Domicil The state of Domicil State of	pended	Y Y	es [es [No { No {	[x]
5.1 Has the relative for revoke 6.2 If yes, gift. 1 Does any	reporting entity be complete and file to provide the name to exist as a result of the exist as a	the merger history data file with the of the entity, NAIC company code it of the merger or consolidation. 1 Name of E Name of	dation during the period NAIC. e, and state of domicile Entity censes or registrations period? dy or indirectly control 10 con(s) or entity(s); or if	4.22 renewals? covered by this s (use two letter s NAIC C (including corporate) 0% or more of the	tate abbreviation 2 Company Code rate registration e reporting entit nutual or reciproration, governmentation, governmentation	State of Domicil State of Domicil The state of Domicil State of	pended	Y Y	es [es [No { No {	[x]

GENERAL INTERROGATORIES

8.1	Is the company a subsidiary of a bank holding company re-	gulated by the Federal Reserve Board?				Yes [] No [X]
8.2	If response to 8.1 is yes, please identify the name of the ba	ink holding company.						
8.3 8.4	Is the company affiliated with one or more banks, thrifts or if response to 8.3 is yes, please provide the names and loc financial regulatory services agency [i.e. the Federal Reservederal Deposit Insurance Corporation (FDIC) and the Secregulator.	cations (city and state of the main office) o ve Board (FRB), the Office of the Comptre	oller of the Cu	rrency (OCC)	, the	Yes [] No [X]
	1	2	3	4	5	6	ר	
	<u>'</u>	Location	,	7		1		
	Affiliate Name	(City, State)	FRB	occ	FDIC	SEC		
10.1	What is the name and address of the independent certified Plante & Moran, PLLC, 27400 Highway, Southfield, MI 48! Has the insurer been granted any exemptions to the profrequirements as allowed in Section 7H of the Annual Final law or regulation? If the response to 10.1 is yes, provide information related to	037 ilbited non-audit services provided by the ncial Reporting Model Regulation (Model	certified inde	ependent publ	ic accountant	Yes [] No [X]	
10.3	Has the insurer been granted any exemptions related to allowed for in Section 18A of the Model Regulation, or sub-		inancial Repo	orting Model F	Regulation as	Yes [1 No (X 1	
10.4	If the response to 10.3 is yes, provide information related to	,				100 (1 []	
	, , , , , , , , , , , , , , , , , , , ,							
	Has the reporting entity established an Audit Committee in If the response to 10.5 is no or n/a, please explain	compliance with the domiciliary state insu	irance laws?		Yes	[X] No [] N/A [}
11.	What is the name, address and affiliation (officer/empliconsulting firm) of the individual providing the statement of Anne L. Treankler, FSA, MAAA, Director, Actuarial Servic WI 54481	actuarial opinion/certification? ces, Delta Dental of Wisconsin, 2801 Hoo	over Road, P.					
12.1	Does the reporting entity own any securities of a real estate			tly?		Yes [] No [X]
		12.11 Name of rea	al estate holdi	ng company				
		12.12 Number of p	parcels involv	ed			. ()
		12.13 Total book/a	adjusted carry	ing value	\$.			
12.2	If yes, provide explanation							
40	FOR UNITED STATES BRANCHES OF ALIEN BERGET	UO ENTITIES ONLY						
	FOR UNITED STATES BRANCHES OF ALIEN REPORTII				_			
13.1	What changes have been made during the year in the Unit	ed States manager or the United States tr	rustees of the	reporting enti	y?			
13.2	Does this statement contain all business transacted for the	roporting ontity through its United States	Dronah on sia	leo suboros en l	antad?	l aoV	1 No. I	1
	Have there been any changes made to any of the trust indi-		DIANCH OILUS	ks wherever h	cated?	Yes [Yes [] No [] No [1
	If answer to (13.3) is yes, has the domiciliary or entry state				Yes	•] N/A [1
	Are the senior officers (principal executive officer, principal		fficer or contro	aller or nerso] ww [1
	similar functions) of the reporting entity subject to a code of	of ethics, which includes the following stan	idards?	oller, or perso	is perioriting	Yes [)	() No [1
	 Honest and ethical conduct, including the ethical hand relationships; 			personal and	l professional	·		•
	b. Full, fair, accurate, timely and understandable disclosure	in the periodic reports required to be filed	d by the repor	ting entity;				
	c. Compliance with applicable governmental laws, rules an	d regulations;						
	d. The prompt internal reporting of violations to an appropr	iate person or persons identified in the co	de; and					
	e. Accountability for adherence to the code.							
14.11	If the response to 14.1 is no, please explain:							
44.0	Handler and a fall to favor t						1 41	
	Has the code of ethics for senior managers been amended					Yes [] No [X	1
14.21	If the response to 14.2 is yes, provide information related to	o amendment(s).						
	Have any provisions of the code of ethics been waived for	•				Yes [] No [X	J
14.31	If the response to 14.3 is yes, provide the nature of any wa	iiver(s).						

GENERAL INTERROGATORIES

	1	7 2		3					_
	ı American	2		3		4			
	Bankers Association								
	(ABA) Routing Number	Issuing or Confirming Bank Name	Circumstance	es That Can Trigger the Letter of Credit		Amo	unt		
								<u></u>	
									4
			-						
		BOARD OF	DIRECTOR	es	•				_
Is the purchase	or sale of all investments			ard of directors or a subordinate comm	nittee				
thereof?	ting antity kaon a complet	ha mannanan 14 annan 15 dha annan 1		and alternation of the House to the A		Ye	es [X }	N
thereof?				of directors and all subordinate comm		Ye	es [Х]	N
Has the reportir the part of any	ng entity an established pr of its officers, directors, tr	ocedure for disclosure to its board of ustees or responsible employees the	of directors or trust at is in conflict or	tees of any material interest or affiliation is likely to conflict with the official duti	on on es of				
such person?	,,			To many to some that are onto at a su	00 01	Ye	es [Х]	Ne
		FINANCIA							
Has this statemed Accounting Prin	ent been prepared using a ciples)?	basis of accounting other than Statu	tory Accounting Pr	rinciples (e.g., Generally Accepted		Ye	es [1	N
Total amount los	aned during the year (inclu	sive of Separate Accounts, exclusive	of policy loans):	20.11 To directors or other officers	\$.			•	
			,	20.12 To stockholders not officers	\$				
				20.13 Trustees, supreme or grand					
Tatal amazzat af		and affirm a Construction of O		(Fraternal only)	\$				
policy loans);	loans outstanding at the e	end of year (inclusive of Separate Acc	counts, exclusive o	20.21 To directors or other officers	\$				
				20.22 To stockholders not officers	\$				
				20.23 Trustees, supreme or grand (Fraternal only)					
Were any asset	s reported in this statement reported in the statement	it subject to a contractual obligation t	transfer to anoth	er party without the liability for such		٧	es [1	N
•	amount thereof at Decemb		21.21 Rented f	from others	\$	10	25 Į	1	11
, ,			21.22 Borrowe		\$				
			21.23 Leased f		\$				
			21.24 Other		\$				
Does this staten guaranty associ	nent include payments for ation assessments?	assessments as described in the An	nual Statement Ins	structions other than guaranty fund or		Υe	es []	N
If answer is yes:			22.21 Amount	t paid as losses or risk adjustment	\$				
			22.22 Amount	t paid as expenses	\$				
			22.23 Other a	· ·	\$				
		nts due from parent, subsidiaries or a	=	2 of this statement?			es [
If yes, indicate a	any amounts receivable fro	m parent included in the Page 2 amo	ount:		\$.				
		INVES	STMENT						
Were all the stor	cks, bonds and other secu	rities owned December 31 of current ty on said date? (other than securitie	year, over which t s lending programs	the reporting entity has exclusive contro addressed in 24.03)	ıl, in	Υe	s [Хļ	N
If no, give full ar	nd complete information, re	elating thereto							
For security len whether collater	ding programs, provide a al is carried on or off-balar	description of the program including the sheet. (an alternative is to referent	ng value for collat nce Note 17 where	teral and amount of loaned securities, this information is also provided)	and				
Does the compa	any's security lending pro-	gram meet the requirements for a c	onforming prograr	m as outlined in the Risk-Based Capit	al Yes []	No [· 1] N
		collateral for conforming programs.			\$				
		collateral for other programs.	1.48601.00						
outset of the cor	ntract?			securities) from the counterparty at th]	No []	ı N
		the collateral received from the count				1	No []	, N.
conduct securitie	es lending?			ecurities Lending Agreement (MSLA) t		1	No []	l N
For the reporting		program, state the amount of the follo		-					
		e of reinvested collateral assets repo			\$				
				orted on Schedule DL, Parts 1 and 2					
	24.103 Total payable	for securities lending reported on the	liability page		\$				

GENERAL INTERROGATORIES

25.1	control of the reporting	ks, bonds or other assets of g entity or has the reporting e bject to Interrogatory 21.1 ar	entity sold or trans	itity owned a sferred any a	at December 3 assets subject	31 of the curre to a put option	ent year not exclusively unden contract that is currently in for	r the orce?	Yes []	No [)	x j
25.2	If yes, state the amour	nt thereof at December 31 of	the current year:									
		25.2	1 Subject to rep	urchase agr	reements			\$.				
		25.2	2 Subject to rev	erse repurcl	hase agreemer	nts		\$				
		25.2	3 Subject to doll	lar repurcha	se agreements	3		\$				
		25.2	4 Subject to rev	erse dollar r	epurchase ag	reements		\$				
		25.2	5 Placed under	option agree	ements			\$				
		25.2	6 Letter stock or	r securities i	estricted as to	sale – exclud	ing FHLB Capital Stock	\$.				
		25.2	7 FHLB Capital	Stock				\$				
		25.2	8 On deposit wit	th states				\$				
		25.2	9 On deposit wi	th other regi	ulatory bodies			\$				
		25.3	O Pledged as co	ollateral – ex	cluding collate	ral pledged to	an FHLB	\$				
		25.3	1 Pledged as co	lateral to F	HLB – includin	g assets back	ting funding agreements	\$				
		25.3	2 Other					\$				
25.3	For category (25.26) p	provide the following:										
		1 Neture of Bootsistian	<u></u>			2			3			
		Nature of Restriction				Descriptio	<u>n</u>	-	Amount	\dashv		
26.1	Dogs the reporting on	titu haya any hadaina transas	tions reported on	Sahadula D	\B2				Van I	1 N	. r v	,
	, ,	tity have any hedging transac ensive description of the hedg	•			miallian, stata		l soV	Yes [X] (-
20.2		tion with this statement.	jing program bee	ii iiiaue ava	nable to the do	iniciliary state	: f	Yes [] No [] N//	X] X	J
		R LIFE/FRATERNAL REPOR			. authia at ta fire	A						
20.3	rate sensitivity?	tity utilize derivatives to hedge	e variable annuity	guarantees	subject to fluc	tuations as a	result of interest		Yes [1	No [1
26.4	If the response to 26.3	is YES, does the reporting e	ntity utilize:						•	•	•	•
		26.4	1 Special accou	inting provis	ion of SSAP N	o. 108			Yes []	No []
		26.4	2 Permitted acc	ounting prac	ctice				Yes []	No []
		26.4	3 Other account	ting guidand	e				Yes []	No []
26.5	By responding YES to	26.41 regarding utilizing the	special accountin	g provisions	of SSAP No.	108, the repo	rting entity attests to					
	the following:								Yes []	No []
	 The report 	ing entity has obtained explic	it approval from th	he domicilia	ry state.							
	 Hedging st 	trategy subject to the special	accounting provis	ions is cons	sistent with the	requirements	of VM-21.					
	Actuarial of	ertification has been obtaine	d which indicates	that the he	edging strategy	is incorporat	ed within the establishment of	f VM-				
							tional Tail Expectation Amoun					
	Hedging S	omicer Certification has been strategy within VM-21 and tha il day-to-day risk mitigation ef	t the Clearly Defi	ndicates tha ined Hedgin	t the hedging s g Strategy is th	strategy meets ne hedging st	s the definition of a Clearly De rategy being used by the com	fined pany				
27.1		ocks or bonds owned as of D		e current ye	ar mandatorily	convertible in	to equity, or, at the option of		Yes [1 No	XIC	1
27.2	if ves, state the amour	nt thereof at December 31 of	the current vear.					\$	100 [,	, i ,	J
	Excluding items in Sch	nedule E – Part 3 – Special D	eposits, real esta	te. mortgag	e loans and inv	estments held	d physically in the reporting	Ψ				
	entity's offices, vaults	or safety deposit boxes, were	all stocks, bonds	and other s	securities, own	ed throughout	the current year held					
	Considerations, F. Ou	l agreement with a qualified be tsourcing of Critical Functions	ank or trust comp c. Custodial or Sa	oany in acco fekeeping a	ordance with Se greements of t	ection 1, III – t he NAIC <i>Fina</i>	General Examination					
	Handbook?		.,	g	9.00		ADD CONGROUP EXAMINETS		Yes [X] No] (]
28.01	For agreements that c	omply with the requirements	of the NAIC <i>Final</i>	ncial Conditi	on Examiners	Handbook, co	emplete the following:					
			1		I		2	1				
		Name of C	ustodian(s)			Custodia	n's Address					
		Bankers Trust			P0 Box 897, I	Des Moines,	A 50204					
28.02	For all screements the	at do not comply with the requ	iromente of the N	IAIC Einana	ial Condition E	vaminam Han	adhaale musid- N					
20.02	location and a complet	te explanation:	mements of the N	IAIC FINANC	iai Condition E	xaminers nar	nabook, provide the name,					
		1		2			3		1			
		Name(s)		Location			Complete Explanation(s)					
									j			
00.55	41											
28.03 28.04	Have there been any of If yes, give full and con	changes, including name chai mplete information relating the	nges, in the custo ereto:	idian(s) iden	tified in 28.01	during the cur	rent year?		Yes [] N c) [X]
	, J											
		1		2		3 Doto of	4					
	0	lld Custodian	New	/ Custodian		Date of Change	Reason					

GENERAL INTERROGATORIES

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual			2 Affiliation			
Jeffrey Russell -Investment Committee		I				
Sherry Perkins-Investment Committee		1				
Larry Cobb-Investment Committee		l I				
Donn Hutchins-Investment Committee		l I				
Asset Allocation & Management Company, LLC		U				

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes [X] No []

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes [X] No []

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109875	Asset Allocation & Management Company, LLC	5URRAMPU5ELNW8AQJB87	SEC	NO

Does the reporting entity have any diversified mutual funds reported in Schedule D - Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])?
 If yes, complete the following schedule:

Yes [X] No []

	1	2	3
	CUSIP#	Name of Mutual Fund	Book/Adjusted Carrying Value
29.2001	256219-10-6	Dodge & Cox Stock Fund	2,667,114
29.2002	413838-72-3	Oakmark International Fund Institutional	1,330,287
29.2003	52469H-78-4	Legg Mason Clearbridge Large Cap	1,791,659
29.2004	552981-85-4	Legg Mason Clearbridge Large Cap MFS Intl New Discovery !	1 350 200
29.2005	808509-44-2	Schwab Fdmt I US Lg Co Idx	873,336
29.2006	808509-84-8	Schwab Smail Cap Index	0E2 446
29.2007	921909-81-8	Vanguard Total Intl Stock Index Adm	1,714,184
29.2008	921936-20-9	Vanguard Primecap Fund Adm	1,768,618
	922908-66-0	Vanguard Growth Index Adm	1,753,698
	922908-67-8	Vanguard Value Index Adm	1 722 202
	922908-71-0	Vanguard 500 Index Admiral	1,773,330
29.2999	TOTAL		17,608,323

29.3 For each mutual fund listed in the table above, complete the following schedule:

1	2	3 Amount of Mutual Fund's	4
Name of Mutual Fund (from above table)	Name of Significant Holding of the Mutual Fund	Book/Adjusted Carrying Value Attributable to the Holding	Date of Valuation
Dodge & Cox Stock Fund	Well Fargo & Co		12/31/2019
Dodge & Cox Stock Fund	Charles Schwab Corp	92,015	12/31/2019
Dodge & Cox Stock Fund	Occidental Petroleum Corp		12/31/2019
Dodge & Cox Stock Fund	Capital One Financial Corp	90,148	12/31/2019
Dodge & Cox Stock Fund	Comcast Corp	86,948	12/31/2019
Dakmark International Fund Institutional	Glencore PLC	35,195	12/31/2019
Oakmark International Fund Institutional	BNP Paribas	35,108	12/31/2019
lakmark International Fund nstitutional	intesa Sanpaolo	34,060	12/31/2019
akmark International Fund nstitutional	Daimler AG	29,693	
Dakmark International Fund Institutional	Credit Suisse Group AG	29,693	12/31/2019
egg Mason Clearbridge Large Cap	Amazon.com Inc	52,400	12/31/2019
.egg Mason Clearbridge Large Cap	Microsoft Corp	43,841	12/31/2019
egg Mason Clearbridge Large Cap	Facebook Inc A	42,619	12/31/2019
egg Mason Clearbridge Large Cap	Visa Inc Class A	38,514	
.egg Mason Clearbridge Large Cap	Alphabet Inc C	26,637	12/31/2019
FS Intl New Discovery !	OBIC Co LTD	26,549	12/31/2019
FS Intl New Discovery I	Amadeus IT Group SA A		12/31/2019
FS Intl New Discovery I	Croda International PLC	14,410	12/31/2019
FS Intl New Discovery I	Symrise AG	11,790	12/31/2019
FS Intl New Discovery !	Sika AG Registered Shares	10,655	12/31/2019
chwab Fdmti US Lg Co Idx.	Apple Inc	44,715	12/31/2019
chwab Fdmti US Lg Co Idx	Exxon Mobile Corp	21,047	12/31/2019
chwab Fdmtl US Lg Co ldx	Microsoft Corp		12/31/2019

GENERAL INTERROGATORIES

1	2	3	4
Name of Mutual Fund (from above table)	Name of Significant Holding of the Mutual Fund	Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	Date of Valuation
Schwab Fdmt I US Lg Co idx	AT&T Inc	17,205	12/31/2019
Schwab Fdmtl US Lg Co ldx	Chevron Corp		12/31/2019
Schwab Small Cap Index	NovoCure Ltd	3,406	12/31/2019
Schwab Small Cap Index	Arrowhead Pharmaceuticals Inc	2,882	12/31/2019
Schwab Small Cap Index	The Medicines Co	2,707	12/31/2019
Schwab Smail Cap Index	Haemonetics Corp	2,620	12/31/2019
Schwab Small Cap Index	Generac Holdings Inc	·	12/31/2019
Vanguard Total Intl Stock Index Adm	Nestle SA	20,399	12/31/2019
Vanguard Total Intl Stock Index	Alibaba Group Holding Ltd ADR	19,713	12/31/2019
Vanguard Total Intl Stock Index Adm	Taiwan Semiconductor Manufacturing Co Ltd	16,456	12/31/2019
Vanguard Total Intl Stock Index	Tencent Holdings Ltd	16,456	12/31/2019
Vanguard Total Intl Stock Index	Roche Holding AG Dividend Right Cert.		12/31/2019
Vanguard Primecap Fund Adm	Adobe Inc	83,832	12/31/2019
Vanguard Primecap Fund Adm	Microsoft Corp	82,594	12/31/2019
Vanguard Primecap Fund Adm	Texas Instruments Inc.	. 70,745	12/31/2019.
Vanguard Primecap Fund Adm	Eli Lilly and Co		12/31/2019
Vanguard Primecap Fund Adm	Biogen Inc		12/31/2019
Vanguard Growth Index Adm	Microsoft Corp	150,458	12/31/2019
Vanguard Growth Index Adm	Apple Inc	141,617	12/31/2019
Vanguard Growth Index Adm	Amazon.com Inc	98,629	
Vanguard Growth Index Adm	Facebook Inc A	63,095	12/31/2019
Vanguard Growth Index Adm	Alphabet Inc A	50,788	12/31/2019
Vanguard Value Index Adm	Berkshire Hathaway Inc Class B	54,775	12/31/2019
Vanguard Value Index Adm	JPMorgan Chase & Co	50,961	12/31/2019
Vanguard Value Index Adm	Johnson & Johnson	46,281	12/31/2019
Vanguard Value Index Adm	Procter & Gamble Co	38,828	
Vanguard Value Index Adm	Exxon Mobil Corp		12/31/2019
Vanguard 500 Index Admiral	Microsoft Corp	78,027	
Vanguard 500 Index Admiral	Apple Inc	76,608	12/31/2019
Vanguard 500 Index Admiral	Amazon.com inc	50,540	12/31/2019
Vanguard 500 Index Admiral	Facebook Inc A	32,807	. 12/31/2019
Vanguard 500 Index Admiral	Berkshire Hathaway Inc Class B	28,373	12/31/2019

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	41,873,396	43,013,793	1,140,397
30.2 Preferred Stocks	0		0
30.3 Totals	41,873,396	43,013,793	1,140,397

30.4 Describe the sources or methods utilized in determining the fair values:

The Company used FT Interactive Data in determining the Fair Value.

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D?

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source?

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

32.2 If no, list exceptions:

Yes [X] No []
Yes [X] No []

Yes [X] No []

GENERAL INTERROGATORIES

33.	By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI se			
	a.Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating FE or PL security is not available.	j for an		
	b.Issuer or obligor is current on all contracted interest and principal payments.			
	c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.			
	Has the reporting entity self-designated 5GI securities?		Yes [] No [X]
34.	By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLG a. The security was purchased prior to January 1, 2018. b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security. c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as an NR which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators. d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.	SRO		
	Has the reporting entity self-designated PLGI securities?		Yes [] No [X]
35.	By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of designated FE fund: a. The shares were purchased prior to January 1, 2019. b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security. c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity at to January 1, 2019. d. The fund only or predominantly holds bonds in its portfolio. e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned CRP in its legal capacity as an NRSRO. f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed. Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?	s an NRSRO prior ned by an NAIC	Yes [] No [X]
	OTHER			
36.1	Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?	\$		559,332
	List the name of the organization and the amount paid if any such payment represented 25% or more of the tot associations, service organizations, and statistical or rating bureaus during the period covered by this statement.	•)	000,002
	1 Name	2 Amount Paid		
	Delta Dental Plan Association \$	505,981		
	Amount of payments for legal expenses, if any? List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for the period covered by this statement. 1 Name Nyemaster Goode PC \$	\$ legal expenses during 2 Amount Paid 72,801	 J	105,690

38.1	Amount of payments for expenditures in connection with matters before legislative bodies, officers, or departments of government,	
	if any?	\$ 52 504

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers, or departments of government during the period covered by this statement.

	1	 	2
	Name	 	Amount Paid
Karla Fultz McHenry			\$ 52,504

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

1.1 1.2	Does the reporting entity have any direct Medicare Suppl If yes, indicate premium earned on U.S. business only.	ement Insurance in force	?			•	Yes []	No [X]
1.3	•	Supplement Insurance I	- -vnerience Ev	hihit?		\$ \$		0
	1.31 Reason for excluding	s oupplement madrance t	-xperience L			a		
1.4 1.5	Indicate amount of earned premium attributable to Canac Indicate total incurred claims on all Medicare Supplemen		ot included in I	tem (1.2) above		\$ \$		0
1.6	Individual policies:	insulatios.				Ψ		U
			Most current	three years:				
			1.61 Total pre	emium earned		\$		0
			1.62 Total inc	curred claims		\$		
			1.63 Number	of covered lives				0
			= -	r to most current three	e years:			
			· ·	emium eamed		\$		0
			1.65 Total inc			\$.		. 0
1.7	Group policies:		1.66 Number	of covered lives			****	0
	Group politics.		Most current	three vears:				
				emium earned		\$.		0
			1.72 Total inc			\$. 0
			1.73 Number	of covered lives				0
			All years prio	r to most current three	e years:			
			1.74 Total pre	emium earned		\$		0
			1.75 Total inc	curred claims		\$. 0
			1.76 Number	of covered lives				0
2.	Health Test:							
				1		2		
				Current Year		Prior Year		
	2.1	Premium Numerator	\$	158,086,086	\$.	168,291,436		
	2.2	Premium Denominator	\$	158,086,086	\$	168,291,436		
	2.3	Premium Ratio (2.1/2.2)		1.000		1.000		
	2.4	Reserve Numerator	\$	15,848,238	\$	8,490,962		
	2.5	Reserve Denominator	\$	15,848,238	\$	8,490,962		
	2.6	Reserve Ratio (2.4/2.5)	•	1.000	Ψ .	1,000		
	2.0	(2.472.0)		1.000				
3.1	Has the reporting entity received any endowment or gi	ft from contracting hospit	tals, physiciar	ns, dentists, or others	s that is agr	eed will be		
22	returned when, as and if the earnings of the reporting ent	ty permits?			_		Yes []	No [X]
J.Z	If yes, give particulars:							
4.1		nature of hospitals', ph	ysicians', an	d dentists' care offer	red to subse	cribers and		
	dependents been filed with the appropriate regulatory age	ency?					Yes [X]	No []
	If not previously filed, furnish herewith a copy(ies) of such	agreement(s). Do these	agreements i	include additional ben	efits offered	?		No [X]
5.1	Does the reporting entity have stop-loss reinsurance?						Yes []	No [X]
5.2	If no, explain:	1.1 11 1 1 1 1 1						
5.3	Dental payments are restricted by an annual maximum v Maximum retained risk (see instructions)	vnich eliminates the need			art of the can			
	The American Total		5.32 Medical	chensive Medical				
				re Supplement				
			5.34 Dental					
				imited Benefit Plan		\$		
			5.36 Other			\$		
6.	Describe arrangement which the reporting entity may including hold harmless provisions, conversion privileges any other agreements:	have to protect subscrib with other carriers, agree	ers and their ements with p	dependents against providers to continue r	the risk of rendering se	insolvency rvices, and		
7.1 7.2	Does the reporting entity set up its claim liability for providing in a give details	ler services on a service (date basis?				Yes [X]	No []
8.	Provide the following information regarding participating p	roviders:						
				s at start of reporting				1,749
0.4	Door the annualing patter have been			s at end of reporting y	ear			1,745
9.1 9.2	Does the reporting entity have business subject to premiul figure, direct premium earned:	m rate guarantees?					Yes [X]	No []
	, ==, an oot promisen carried.	9.21 Busine	ss with rate o	uarantees between 15	5-36 months		5	5.103.818
				uarantees over 36 mo				0.

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

10.1	Does the repor	ung enuty nave ir	iceliuve Pool, with	ים וט טוטווו	onus Arrangements in its provider	contracts?			ies [v	ן טאון
10.2	If yes:									
					10.21 Maximum amoun	t payable bonuses		\$		630,000
					10.22 Amount actually p	aid for year bonus	es	\$		
					10.23 Maximum amoun	t payable withholds	i	\$		
					10.24 Amount actually p	aid for year withho	lds	\$.		
11.1	Is the reporting	entity organized	as:							
					11.12 A Medical Group/	Staff Model,			Yes [] No [X]
					11.13 An Individual Pra	ctice Association (I	PA), or,		Yes [] No [X]
					11.14 A Mixed Model (c	ombination of above	/e) ?		Yes [] No [X]
11.2	Is the reporting	entity subject to	Statutory Minimun	n Capital a	and Surplus Requirements?				Yes [X] No []
11.3	If yes, show the	e name of the sta	ite requiring such r	ninimum a	apital and surplus.			lowa .		
11.4	If yes, show the	e amount require	d.					\$.		5,000,000
11.5	Is this amount	included as part o	of a contingency re	serve in s	tockholder's equity?				Yes [] No [X]
11.6	If the amount is	s calculated, show	w the calculation						•	
12.	List service are	eas in which repor	rting entity is licens	sed to ope	rate:					
					1					
					Name of Sen	rice Area				
			lowa	а						
			<u> </u>							
13.1	Do you act as	a custodian for he	ealth savings accor	unts?					Yes [] No [X]
13.2	If yes, please p	rovide the amour	nt of custodial fund	is held as	of the reporting date.			\$		
13.3	Do you act as	an administrator f	for health savings a	accounts?					Yes [] No [X]
13.4	If yes, please p	rovide the balance	ce of the funds adn	ninistered	as of the reporting date.			\$		
14 1	Are any of the	captivo affiliatos :	raparted on Sahad	ula C. Dari	t 3 as authorized reinsurers?			Vaa [1 No. f	ALZA E V 1
			ase provide the foll		t 3 as authorized reinsurers?			Yes [] No [N/A [X]
			1	2	3	4	Assets	Supporting Rese	rve Credit	
				NAIC			5	6	7	7
		Compar	ny Name	Company Code	Domiciliary Jurisdiction	Reserve Credit	Letters of Credit	Trust Agreements		Other
45	Danida de Sal		1 0 100 0							
15.	ceded).	owing for individu	uai ordinary lite ins	surance p	olicies (U.S. business only) for the	current year (prior	to reinsurance ass	sumed or		
					15.1 Direct Premiu	ım Written		\$		
					15.2 Total Incurred	d Claims		\$		
					15.3 Number of Co	overed Lives				
			, , , , , , , , , , , , , , , , , , , 							
		-	Term (whether full unc		ry Life Insurance Includes mited underwriting, jet issue, "short form	200")				
		The second secon			ing, limited underwriting, jet issue, "short					
			Variable Life (with or v			потпарр /				
			Universal Life (with or							
					thout secondary guarantee)					
		_		*						
16.					fied, eligible or writing business in				Yes [] No [X]
16.1	If no, does the	reporting entity a	ssume reinsurance	e business	s that covers risks residing in at lea	ist one state other	than the state of do	omicile of	V 1 20V	l No. [1

FIVE - YEAR HISTORICAL DATA

	FIVE-	TEAR OF			1 4	
		2019	2 2018	3 2017	4 2016	5 2015
Balan	ce Sheet (Pages 2 and 3)					
1.	Total admitted assets (Page 2, Line 28)		94,943,399	88,304,300	87,122,825	85,052,301
2.	Total liabilities (Page 3, Line 24)	. 33,568,190	23,841,760	20,802,808	24,445,864	21,099,928
3.	Statutory minimum capital and surplus requirement	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
4.	Total capital and surplus (Page 3, Line 33)	78,951,533	71,101,639	67,501,493	62,676,961	63,952,373
Incon	ne Statement (Page 4)					
5.	Total revenues (Line 8)	158,086,086	168,291,436	160,222,487	152,943,282	135,928,456
6.	Total medical and hospital expenses (Line 18)	128,676,058	139,592,374	135,149,971	124,762,375	112,406,735
7.	Claims adjustment expenses (Line 20)	4,928,632	4,674,065	5,517,789	5,948,357	5,751,580
8.	Total administrative expenses (Line 21)	23,766,309	23,447,034	21,304,391	19,853,443	18,181,827
9.	Net underwriting gain (loss) (Line 24)	714,299	560,225	(1,671,765)	2,729,532	. (143,774)
10.	Net investment gain (loss) (Line 27)	3,070,250	3,067,821	5,036,260	2,266,829	2,652,948
11.	Total other income (Lines 28 plus 29)	(64,892)	(67,005)	(47,848)	(53,050)	(47,762
12.	Net income or (loss) (Line 32)	3,719,657	3,561,041	3,316,647	4,943,140	2,461,412
Cash	Flow (Page 6)					
13.	Net cash from operations (Line 11)	19,370,894	793,361	(4,407,062)	7,589,929	(2,388,243
Risk-	Based Capital Analysis					
14.	Total adjusted capital	78,951,533	71,101,639	67,501,493	62,676,961	63,952,373
15.	Authorized control level risk-based capital	5,827,594	5,874,015	5,578,541	5,245,381	4,924,677
Enrol	Iment (Exhibit 1)					
16.	Total members at end of period (Column 5, Line 7)	545,951	. 519,631	504,681	414,578	400,372
17.	Total members months (Column 6, Line 7)	6,491,653	6, 190, 085	5,417,211	5,039,753	4,539,296
Opera	ating Percentage (Page 4)					
(Item	divided by Page 4, sum of Lines 2, 3, and 5) x 100.0					
18.	Premiums earned plus risk revenue (Line 2 plus Lines 3 and 5)	100.0	100.0	100.0	100.0	100.0
19.	Total hospital and medical plus other non-health (Lines 18 plus Line 19)	81.4	82.9	84.4	81.6	82.7
20.	Cost containment expenses	0.2	0.2	0.2	0.2	
21.	Other claims adjustment expenses	2.9	2.6	3.3	3.7	. 3.9
22.	Total underwriting deductions (Line 23)	99.5	99.7	101.0	98.2	100.1
23.	Total underwriting gain (loss) (Line 24)	0.5	0.3	(1.0)	1.8	(0.1
Unpai	id Claims Analysis					
(U&I E	Exhibit, Part 2B)					
24.	Total claims incurred for prior years (Line 13, Col. 5)	6,304,064	6,193,552	7,873,013	6,985,192	5,790,237
25.	Estimated liability of unpaid claims – [prior year (Line 13, Col. 6)]	7,449,507	8,497,137	8,726,057	7,358,798	. 7,038,601
Inves	tments In Parent, Subsidiaries and Affiliates					
26.	Affiliated bonds (Sch. D Summary, Line 12, Col. 1)	0	0	0		0
27.	Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)	0	0	. 0	0	0
28.	Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)	2,862,372	2,429,590	1,807,225	678,102	569,828
29.	Affiliated short-term investments (subtotal included in Sch. DA Verification, Col. 5, Line 10)	0	0	0	0	0
30.	Affiliated mortgage loans on real estate		0			
31.	All other affiliated	0	0	0	0	
32.	Total of above Lines 26 to 31	2,862,372	2,429,590	1,807,225	678,102	569,828
33.	Total investment in parent included in Lines 26 to 31					
	above	L	<u> </u>			

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3 - Accounting Changes and Correction of Errors?

Yes [] No [] If no, please explain



EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION Delta Dental of Iowa

								(LOCATION)		
AIC Group Code 4690 BUSINESS IN THE STATE OF I	owa			DURING THE YEAR					IC Company Code	55786
	1		ehensive & Medical)	4	5	6	7	8	9	10
		2	3	- Medicare	Vision	Dental	Federal Employees Health Benefit	Title XVIII	Title XIX	
	Total	Individual	Group	Supplement	Only	Only	Plan	Medicare	Medicaid	Other
Total Members at end of:										
1. Prior Year	519,631					519,631				
2 First Quarter	536,241					536,241				
3 Second Quarter	541,161				-	541,161				
4. Third Quarter	544,313					. 544,313				
5. Current Year	545,951					545,951				
6 Current Year Member Months	6,491,653					6,491,653				
Total Member Ambulatory Encounters for Year:										
7. Physician	0									
8. Non-Physician	0							-		
9. Total	0	0	0	0	0	0	0	0	0	
10. Hospital Patient Days Incurred	0									
11. Number of Inpatient Admissions	0									
12. Health Premiums Written (b)	148,636,953					148,636,953				<u> </u>
13. Life Premiums Direct	0			,						ļ
14. Property/Casualty Premiums Written	. 0									
15. Health Premiums Earned	148,636,953				.	148,636,953		<u>.</u>		
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	119,214,958					119,214,958				
18. Amount Incurred for Provision of Health Care Services	119,164,493					119,164,493				

⁽a) For health business: number of persons insured under PPO managed care products

338,890

^{207,061} and number of persons insured under indemnity only products

⁽b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$



EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION Delta Dental of Iowa

								(LOCATION)	10.0	55786
AIC Group Code 4690 BUSINESS IN THE STATE OF C	onsolidated 1	Compre	hensive	DURING THE YEAR 4	2019 5	6	7	8 NA	IC Company Code 9	10
		(Hospital a	& Medical) 3						l	
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	519,631	O	0		0	519,631	0	0	ļ 0 Ì	
2 First Quarter	536,241		0	0	0	536,241	0	0	0	
3 Second Quarter	541,161		0	0	0	541,161	0	0	0	
4. Third Quarter	544,313	. 0	0	0	0	544,313	0	0	0	
5. Current Year	545,951	0	0	0	0	545,951	0	0_	0	
6 Current Year Member Months	6,491,653	0	0	0	0	6,491,653	0	0	0	
Total Member Ambulatory Encounters for Year:								-		
7. Physician	0	0	0	0	o	0	0	0	0	
8. Non-Physician	0	0	0	0	0	_ 0	0	0	0	
9. Total	0	0	0	0	0	0	0	0	0	
10. Hospital Patient Days Incurred	0	0	0	0	0	0	0	0	0	
11. Number of Inpatient Admissions	0	0	0	0	0	0	0	0	0	<u> </u>
12. Health Premiums Written (b)	148,636,953	0	0		0	148,636,953	0	0	0	I
13. Life Premiums Direct	0	. 0		0	0	0	0	0	0	r·· ······
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	
15. Health Premiums Earned	148,636,953	0	0	0	0	148,636,953	0	0	0	
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	
17. Amount Paid for Provision of Health Care Services	119,214,958	0	0	. 0	0	119,214,958		0	. 0	I
18. Amount Incurred for Provision of Health Care Services	119,164,493	0	0	0	0	119,164,493	0	0	0	<u></u>

(a) For health business: number of persons insured under PPO managed care products		207,061 and number of persons insured under indemnity only products	338,890
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SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

1	2	3	4	5	6	7	8	9	10 Reserve Liability	11	12	13
NAIC					Type Of	Type Of			Other Than For	Reinsurance	Modified	Francis Militaria
Company Code	ID Number	Effective Date	Name of Reinsured	Domiciliary Jurisdiction	Reinsurance Assumed	Business Assumed	Premiums_	Unearned Premiums	Unearned Premiums	Payable on Paid and Unpaid Losses	Coinsurance Reserve	Funds Withheld Under Coinsurance
Affiliates -	U.S Captive			•								
Affiliates -												
	Non-U.S Capt											
	Non-U.S Othe								·			
	es - U.S. Non-Af											
54211	94-1461312	01/01/2014	Delta Dental of California	L CA	OTH/G	D	11,216			1		1
54211 54211	94-1461312 94-1461312	01/01/2014 01/01/2014	Delta Dental of California Delta Dental of California	CA CA	OTH/G OTH/G	D D				1		1
					.j	υ	9,449,133		0	0	0	0
	es - Non-U.S. No	ates - U.S. Non-A	HITTIAIES				J 7,448, 133					<u> </u>
		n-Allillates ates - Total Non-	Affiliates				9.449.133		0	<u> </u>		0
		of 0399999 and 08					9,449,133	ň	0	- ŏ	0	- 0
1199999 -	Total 0.3. (Suit	00000000 and 00	33333) 	T	1		0,440,100					
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	']	ľ l]	<u>.</u>		
1				<u>.</u>	<u>.</u>							
9999999	Totals	*					9,449,133	0	0	0	0	0

Schedule S - Part 2

NONE

Schedule S - Part 3 - Section 2

NONE

Schedule S - Part 4

NONE

Schedule S - Part 5

NONE

Schedule S - Part 6

NONE

Schedule S - Part 7

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Allocated by States and Territories

	Name and the second sec				states and Territo		: O-b-			
		1				Direct Bus	iness Only 6		ĺ	l
			2	3	4	5 Federal Employees	Life & Annuity Premiums &	7	8	9
	State, Etc.	Active Status (a)	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Health Benefits Plan Premiums	Other Consideration s	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama AL	N							0	[
2.	Alaska	N			1		-	ě	0	(
3.	Arizona AZ	. N							0	,
4. 5.	Arkansas AR California CA	N N							0	
5. 6.	Colorado CO	l "N							1 0	' '
7.	Connecticut CT	N				ļ			. 0]
8.	Delaware DE	. N				ļ			0	
9.	District of ColumbiaDC	N							0	. 1
10.	Florida FL	N .							0	(
11.	Georgia GA	l N	1						Q	!
12. 13.	Hawaii HI	N							0	'
13. 14.	Illinois IL	N							0	
15.	Indiana IN	N					1		١ ٥	
16.	lowa IA	Ĺ	148,636,953						148,636,953	
17.	Kansas KS	N.							0	
18.	KentuckyKY	N								
19.	Louisiana LA	N 							. 0	
20.	Maine ME	N							0	
21. 22.	Maryland MD Massachusetts MA	N N] 		
22. 23.	Massachusetts MA Michigan MI	N N							0	
24.	Minnesota MN	N	. · ·			1				<u></u>
25.	Mississippi MS	N	[0	
26.	Missouri MO	N					İ			_
27.	Montana MT	N							0	
28.	Nebraska NE	N							0	
29.	Nevada NV	N				}			0	
30.	New Hampshire NH	N N			·				0	
31. 32.	New Jersey NJ New Mexico NM	N N	ļ		,				0 0	
32. 33.	New Mexico NM New York NY	N N							0	
34.	North Carolina NC	l n							0	
35.	North Dakota ND	l n	ļ					<u> </u>	0	
36.	Ohio OH	N					<u> </u>		, o	
37.	Oklahoma OK	N							0	
38.	Oregon OR	N							0	
39.	Pennsylvania PA	N							O	
40.	Rhode Island RI	N							0	
41. 42.	South Carolina SC South Dakota SD	N					+		0	
42. 43.	Tennessee TN	N		İ					· · · · · · · · · · · · · · · · ·	
44.	Texas TX	N	<u></u>	<u> </u>					0	
45.	Utah UT	N]				o	
46.	Vermont VT	N .		[0	
47.	Virginia VA	Ν.		ļ					0	
48.	Washington WA	N							. ο	
49.	West Virginia WV	N	<u>.</u>	1					0	
50.	Wisconsin WI	N							0	
51. 52.	Wyoming WY American Samoa AS	N N							0 n	
52. 53.	American Samoa AS Guam GU	N		·····	1	<u> </u>			^	
54.	Puerto Rico PR	N N			1				j ^	
55.	U.S. Virgin Islands VI	N N	l		,				0	
56.	Northern Mariana Islands MP	N N				ļ			.l	
57 .	Canada	N							O	
58.	Aggregate other alien OT	XXX	0	0	0	0	0	0		
59.	Subtotal	XXX	148,636,953	0	0	0	0	0	148,636,953	
60.	Reporting entity contributions for Employee Benefit Plans	XXX.							n	
61.	Total (Direct Business)	XXX	148,636,953	0	0	0	0	0	148,636,953	
	S OF WRITE-INS	1	1,,,	<u> </u>	1	†	<u> </u>	†		
8001.		. XXX.	1							
8002. 8003.		XXX. XXX								
	Summary of remaining write-ins					1	1			
	for Line 58 from overflow page	ХХХ	. 0	0	0	0	0	O	ο	
o8999.	Totals (Lines 58001 through 58003 plus 58998) (Line 58					-				
	COUCH PIES OUGGU! (ENIC OU	XXX	l 0	l 0	l 0	1 0	0	l 0	0	

⁽a) Active Status Counts
L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG
E – Eligible – Reporting entitles eligible or approved to write surplus lines in the state
N – None of the above – Not allowed to write business in the state lines in the state

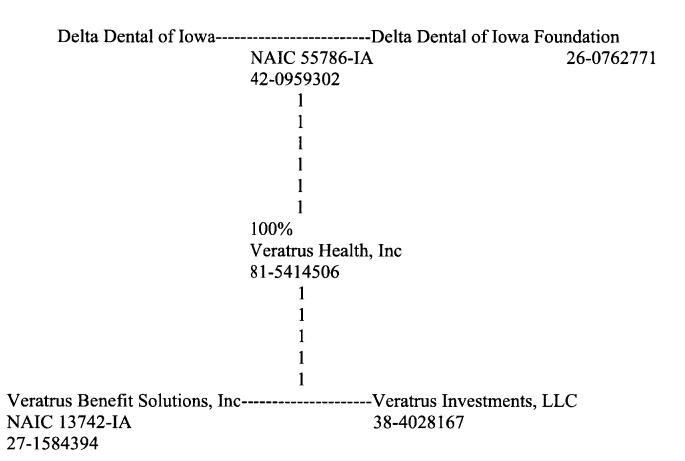
R – Registered – Non-domiciled RRGs
 Q – Qualified – Qualified or accredited reinsurer

⁽b) Explanation of basis of allocation of premiums by states, etc. ${\sf AII}\ {\sf Business}\ is\ {\sf written}\ in\ {\sf lowa}.$

SCHEDULE T — PART 2 INTERSTATE COMPACT — EXHIBIT OF PREMIUMS WRITTEN Allocated By States and Territories

		<u> </u>		Direct Bus		- ·····	T -
		1 Life	2	3 Disability Income	4 Long-Term Care	5	6
States, Etc.		(Group and Individual)	Annuities (Group and Individual)	(Group and Individual)	(Ğroup and Individual)	Deposit-Type Contracts	Totals
1. Alabama	AL						
2. Alaska	AK						
3. Arizona	AZ						
4. Arkansas	AR						
5. California	CA		!				
6. Colorado	co .						1
	CT .						• • • • • • • • • • • • • • • • • • • •
7. Connecticut							
8. Delaware	. DE						
9. District of Columbia							
10. Florida	FL						
11. Georgia	GA			,			
12. Hawaii	HI			'			
13. Idaho	ID .						
14. Illinois	IL						
. .	IN						
16. lowa	IA						
17. Kansas	KS						
18. Kentucky	KY						
19. Louisiana	LA						
20. Maine	ME					ļ	
21. Maryland	MD	·				Í	
22. Massachusetts	. MA .						
23. Michigan	MI.				*		
-							
24. Minnesota	. MN .		<u></u>				
25. Mississippi	MS						
26. Missouri	MO		DN				
27. Montana	MT						
28. Nebraska	NE						1
29. Nevada	NV						
30. New Hampshire	NH			<u> </u>			
31. New Jersey							
	NJ .						1
32. New Mexico	NM						
33. New York	NY						
34. North Carolina	NC						,
35. North Dakota	ND						
36. Ohio	ОН						
37. Oklahoma	OK						
38. Oregon	OR						
39. Pennsylvania	PA				,		
-			·			ľ	
40. Rhode Island	RI			-			
41. South Carolina	sc						
42. South Dakota	SD						
43. Tennessee	TN]
44. Texas	. TX						1
45. Utah	UΤ						
46 Marmont	VT				· ·	[
47. Virginia	VA					l	1.
48. Washington			f · · · · · · · ·			1	ľ
_	WA		1			1	
49. West Virginia	WV	-				ł ·	
50. Wisconsin	WI	ļ				İ	
51. Wyoming	WY		1			l	
52. American Samoa	AS		l		l	l	1
53. Guam	GU		l		[
54. Puerto Rico	PR					1	
			ĺ	·			-
55. US Virgin Islands	VI						
56. Northern Mariana Islands	MP						
57. Canada	CAN		1				
58. Aggregate Other Alien	OT		1				1
		l o	0	0	0		1

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	ls an SCA Filing Required? (Y/N)	<u>•</u>
04690	Delta Dental of lowa	55786	42-0959302				Delta Dental of lowa	IA	RE	Delta Dental of lowa	Board of Directors Board of	0.0	Delta Dental of	N	0
04690	Delta Dental of Iowa	13742	27-1584394				Veratrus Benefit Solutions, Inc	IA	D\$	Veratrus Health, Inc	Directors Board of	100.0		N	0
			81-5414506				Veratrus Health, Inc	IA .	DS	Veratrus Health, Inc.	Directors Board of	100.0	lowa Delta Dental of	. N	. 0
			38-4028167				Veratrus Investments, LLC	IA		Veratrus Health, Inc Delta Dental of Iowa	Directors Board of	100.0	Delta Dental of	N-	0
			26-0762771				Delta Dental of Iowa Foundation	IA	NIA	Foundation	Directors.	100.0	lowa	N	0
										·					
,				1					1						·
							· · · · · · · · · · · · · · · · · · ·								
1				1	1			. 1	ł	1 .	1.			1	

Asterisk	Explanation

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals (27)	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
55786 13742	42-0959302 27-1584394	Delta Dental of lowa	(07.500)	(500,000)			1,079,414			(4,703,950)	(4,124,537) (484,507)	
13/42	26-0762771	Veratrus Benefit Solutions Inc Delta Dental of lowa Foundation	(97,500)	. 0		1	(387,007) (352,940)			4,703,950	4 351 010	· · · · · · · · · · · · · · · · · · ·
1	81-5414506	Veratrus Health Inc.	.97 ,500	500,000			(339,467)		Ī		258,033	F
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									 	<u> </u>		
9999999	Control Totals		0	U	0	0	0	0	XXX	0	0	ı (

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	MARCH FILING	Responses
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2.	Will an actuarial opinion be filed by March 1?	YES
3.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	WAIVED
4.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required by March 1?	YES
	APRIL FILING	
5.	Will Management's Discussion and Analysis be filed by April 1?	. YES
6.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES .
7.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
	JUNE FILING	
8.	Will an audited financial report be filed by June 1?	YES
9.	Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
	AUGUST FILING	
10.	Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES

The following supplemental reports are required to be filed as part of your statement filing if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING

11.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12.	Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?	NO
13.	Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?	NO
14.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?	NO
15.	Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
17.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
18.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
19.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed with electronically with the NAIC by March 1?	. NO
	APRIL FILING	
20.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
21.	Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?	. NO
22.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	NO .
23.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
24.	Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1?	N O
25.	Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1?	NO
	AUGUST FILING	
26.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	NO .

Explanation:

Bar code:



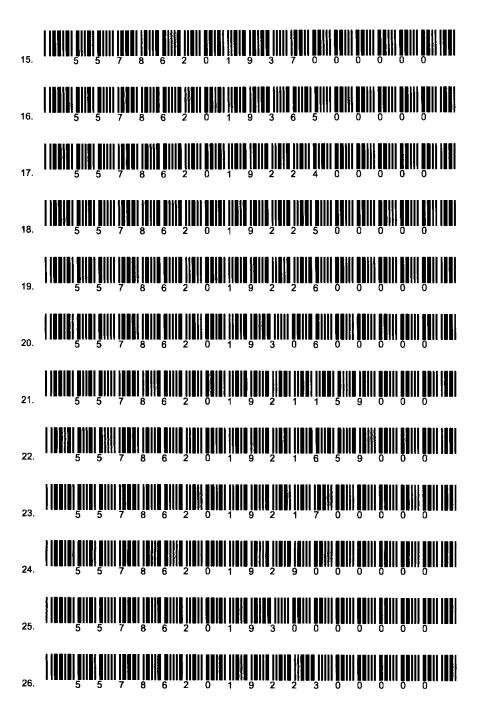








SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES



OVERFLOW PAGE FOR WRITE-INS

M014 Additional Aggregate Lines for Page 14 Line 25. *EXEXP - Underwriting and Investment Exhibit - Part 3

	1	2	3	4	5
	Cost	Other Claim	General		
	Containment	Adjustment	Administrative	Investment	
	Expenses	Expenses	Expenses	Expenses	Total
2504. Change in Claims Adjustment Expenses		(13,693)	(17,839)		(31,532)
2505.					
2597 Summary of remaining write-ins for Line 25 from Page 14	0	(13,693)	(17.839)	0	(31,532)

SUMMARY INVESTMENT SCHEDULE

	Gross Inves		<u> </u>			
	Holding 1	s 2	3	in the Annual S	5	6
		Percentage of Column 1		Securities Lending Reinvested Collateral	Total (Col. 3+4)	Percentage of Column 5
Investment Categories	Amount	Line 13	Amount	Amount	Amount	Line 13
1. Long-Term Bonds (Schedule D, Part 1):			ļ			
1.01 U.S. governments	3,503,205	3.204	3,503,205		3,503,205	3.259
1.02 All other governments	. 499,247	0.457	499,247		499,247	0.464
1.03 U.S. states, territories and possessions, etc. guaranteed	80,000	0.073	80,000		80,000	0.074
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed	909,332	0.832	909,332		909,332	0 .846
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	9,560,635	. 8.745	9,560,635		9,560,635	8.894
1.06 Industrial and miscellaneous	27,320,978	24.991	27,320,978		27,320,978	25.416
1.07 Hybrid securities	0	0.000				0.000
1.08 Parent, subsidiaries and affiliates	0	0.000			0	0.000
1.09 SVO identified funds	0	.0.000			0	0.000
1.10 Unaffiliated bank loans	0	.0.000			0	0.000
1.11 Total long-term bonds	41,873,396	38.302	41,873,396		41,873,396	38.95
Preferred stocks (Schedule D, Part 2, Section 1):					ļ	
2.01 Industrial and miscellaneous (Unaffiliated)	0	0.000			0	0.000
2.02 Parent, subsidiaries and affiliates	0	0.000			0	0.00
2.03 Total preferred stocks	0	.0.000	.0]0		0.00
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)	0	0.000			0	0.00
3.02 Industrial and miscellaneous Other (Unaffiliated)	0	0.000			0	0.00
3.03 Parent, subsidiaries and affiliates Publicly traded	0	0.000			0	0.00
3.04 Parent, subsidiaries and affiliates Other		2.618	1,035,520		1,035,520	0.96
3.05 Mutual funds	18,721,615	17 . 125	18,721,615		18,721,615	17.41
3.06 Unit investment trusts	O	0.000			0	0.00
3.07 Closed-end funds	0	0.000			0	0.00
3.08 Total common stocks		19.743	. 19,757,136	0	19,757,136	18.37
Mortgage loans (Schedule B):						
4.01 Farm mortgages	0	0.000			0	0.00
4.02 Residential mortgages	0	0.000				0.00
4.03 Commercial mortgages	0	0.000			0	0.00
4.04 Mezzanine real estate loans	0	0.000			0	0.00
4.05 Total mortgage loans	0	0.000	0	0	0	0.00
5. Real estate (Schedule A):						
5.01 Properties occupied by company	13,063,047	. 11.949	13,063,047		13,063,047	12.15
5.02 Properties held for production of income	0	. 0.000	0		0	0.00
5.03 Properties held for sale		0.000	0		0	0.00
5.04 Total real estate	13,063,047	11.949	13,063,047	0	13,063,047	12.15
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	28,567,012	26.131	28,567,012	1	28,567,012	26.57
6.02 Cash equivalents (Schedule E, Part 2)	4,021,832	3.679	4,021,832		4,021,832	3.74
6.03 Short-term investments (Schedule DA)		0.0.000			0	0.00
6.04 Total cash, cash equivalents and short-term investments	32,588,844	29.809	32,588,844	0	32,588,844	30.31
7. Contract loans	0	0.000	0	1	0	0.00
8. Derivatives (Schedule DB)	0	0 . 000	0		0	0.00
9. Other invested assets (Schedule BA)	210,579	0.193	210,579	1	210,579	0.19
10. Receivables for securities	3,833	0.004	3,833	L	3,833	0.00
11. Securities Lending (Schedule DL, Part 1)	0	0.000	. 0	XXX	xxx	XXX
12. Other invested assets (Page 2, Line 11)	0	0.000	0		0	0.00
13. Total invested assets	109,323,686	100.000	107,496,834	0	107,496,834	100.00

SCHEDULE A – VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year		13,468,892
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 6)	Ü	•
	2.2 Additional investment made after acquisition (Part 2, Column 9)	U	U
3.	Current year change in encumbrances:	•	
	3.1 Totals, Part 1, Column 13	Ü	^
	3.2 Totals, Part 3, Column 11	U	Û
4.	Total gain (loss) on disposals, Part 3, Column 18		. 0
5.	Deduct amounts received on disposals, Part 3, Column 15		U
6.	Total foreign exchange change in book/adjusted carrying value:	•	
	6.1 Totals, Part 1, Column 15	Ű	•
	6.2 Totals, Part 3, Column 13	U	
7.	Deduct current year's other-than-temporary impairment recognized:		
	7.1 Totals, Part 1, Column 12	0	
	7.2 Totals, Part 3, Column 10	. 0	0
8.	Deduct current year's depreciation:		
	8.1 Totals, Part 1, Column 11 4	105,845	
	8.2 Totals, Part 3, Column 9	0	405,845
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		13,063,047
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		13,063,047

SCHEDULE B – VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		0
2.	Cost of acquired:	0	
	2.1 Actual cost at time of acquisition (Part 2, Column 7)	U	
	2.2 Additional investment made after acquisition (Part 2, Column 8)	U	U
3.	Capitalized deferred interest and other:	_	
	3.1 Totals, Part 1, Column 12	<u>0</u>	_
	3.2 Totals, Part 3, Column 11	0	0
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 9	0	
	5.2 Totals, Part 3, Column 8	0	0
6.	Total gain (loss) on disposals, Part 3, Column 18		0
7.	Deduct amounts received on disposals, Part 3, Column 15		0
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:		
	9.1 Totals, Part 1, Column 13	0	
	9.2 Totals, Part 3, Column 13	0	0
10.	Deduct current year's other-than-temporary impairment recognized:		
	10.1 Totals, Part 1, Column 11	0	
	10.2 Totals, Part 3, Column 10	0	0
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		0
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		0
14.	Deduct total nonadmitted amounts		
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)		0

SCHEDULE BA – VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year		0
2.	Cost of acquired:	149,269	
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	61.310	210,579
•	2.2 Additional investment made after acquisition (Part 2, Column 9)	01,010	210,010
Э.	3.1 Totals, Part 1, Column 16	0	
	3.2 Totals, Part 3, Column 12	Ŏ	0
4	Accrual of discount	•	
4. E	Unrealized valuation increase (decrease):		
Э.	5.1 Totals, Part 1, Column 13	U	
	5.2 Totals Dest 2 Column 0	0	0
6	Total gain (loss) on disposals, Part 3, Column 19	·	0
7	Deduct amounts received on disposals, Part 3, Column 16.		0
g.	Deduct amortization of premium and depreciation		
a.	Total foreign exchange change in book/adjusted carrying value:		
٥.	9.1 Totals, Part 1, Column 17	0	
	9.2 Totals, Part 3, Column 14	0	0
10.	Deduct current year's other-than-temporary impairment recognized:		
	10.1 Totals, Part 1, Column 15	0	
	10.2 Totals, Part 3, Column 11	0	0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		210,579
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		210,579

SCHEDULE D – VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of bonds and stocks acquired, Part 3, Column 7		15,386,131
3.	Accrual of discount		32,686
4.	Unrealized valuation increase (decrease):		
	4.1 Part 1, Column 12		
	4.2 Part 2, Section 1, Column 15	0	
	4.3 Part 2, Section 2, Column 13	2,688,149	0.404.040
_		(237,820)	
5.	Total gain (loss) on disposals, Part 4, Column 19		343,954
6.	, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,,		
7.	Deduct amortization of premium		49,685
8.	Total foreign exchange in book/adjusted carrying value:	0	
	8.1 Part 1, Column 15		
	8.2 Part 2, Section 1, Column 19		
	8.3 Part 2, Section 2, Column 16	<u>v</u>	
	8.4 Part 4, Column 15	. 0	. 0
9.	Deduct current year's other-than-temporary impairment recognized:		
	9.1 Part 1, Column 14	Ü	
	9.2 Part 2, Section 1, Column 17	0	
	9.3 Part 2, Section 2, Column 14		
	9.4 Part 4, Column 13		23,862
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Lir	ie (2).	6,061
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)		63,457,383
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

		ng-Term Donds and Otocks				
			1	2	3	4
Description			Book/Adjusted Carrying Value	Fair Value	Actual Cost	Par Value of Bonds
BONDS	1.	United States	3.503.205	3,603,817	3,559,076	3,492,599
Governments (including all obligations	2.	Canada	499,247	506,127	498,533	500,000
	3.	Other Countries	455,241		100,000	
guaranteed by governments)	3. 4.	Totals	4,002,451	4,109,943	4,057,609	3,992,599
U.S. States. Territories and Possessions	4.	TOLAIS	4,002,431	4,100,040	4,007,000	0,002,000
	_	Totalo	80.000	78,245	80,000	80.000
(direct and guaranteed)	5.	Totals	60,000	70,245	00,000	00,000
U.S. Political Subdivisions of States, Territories	_		000 333	052 726	045 117	880,000
and Possessions (direct and guaranteed)	6.	Totals	909,332	953,736	915,117	000,000
U.S. Special Revenue and Special Assessment						
Obligations and all Non-Guaranteed						
Obligations of Agencies and Authorities of						0 470 704
Governments and their Political Subdivisions	7.	Totals	9,560,635	9,816,761	9,568,925	9,472,731
Industrial and Miscellaneous, SVO Identified	8.	United States	26,528,407	27,230,998	26,528,188	26,408,837
Funds, Unaffiliated Bank Loans and Hybrid	9.	Canada	349,426	367,845	349,234	
Securities (unaffiliated)	10.	Other Countries	443,144	456,264	443,411	437,712
	11.	Totals	27,320,978	28,055,107	27,320,833	27, 196, 549
Parent, Subsidiaries and Affiliates	12.	Totals	0	0	0	0
	13.	Total Bonds	41,873,396	43,013,793	41,942,485	41,621,879
PREFERRED STOCKS	14.	United States				
Industrial and Miscellaneous (unaffiliated)	15.	Canada				
	16.	Other Countries				
	17.	Totals	0	0	0	
Parent, Subsidiaries and Affiliates	18.	Totals	0	0	0	
	19.	Total Preferred Stocks	0	0	0	
COMMON STOCKS	20.	United States	18,721,615	18,721,615	13,401,027	
Industrial and Miscellaneous (unaffiliated)	21.	Canada]	1	
·	22.	Other Countries			1	
	23.	Totals	18,721,615	18,721,615	13,401,027	
Parent, Subsidiaries and Affiliates	24.	Totals	2,862,372	2,862,372	2,862,372	1
	25.	Total Common Stocks	21,583,987	21,583,987	16,263,399	
	26.	Total Stocks	21,583,987	21,583,987	16,263,399	1
	27.	_	-	64,597,780	58,205,884	
	1 21.	I Otal Bonds and Stocks	03,437,383	1 04,387,760	00,200,004	J

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1 1	2	1 2	1 4	5	6	7	1 8	9	10	11	12
	'	Over 1 Year Through	Over 5 Years	Over 10 Years		No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately
NAIC Designation	1 Year or Less	5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.7	Prior Year	Prior Year	Traded	Placed (a)
1. U.S. Governments					·-							
1.1 NAIC 1	540,961	2,490,128	404,014	65,455	2,647	. XXX	3,503,205	8.4	4,309,651	10.9	3,503,205	
1.2 NAIC 2						XXX	. 0	0.0	0	0.0		
1.3 NAIC 3						ХХХ	0	0.0	0	0.0		
1.4 NAIC 4						XXX		0.0	0	0.0		
1.5 NAIC 5		·				XXX	. 0	0.0	0	0.0		
1.6 NAIC 6						XXX	0	0.0	0	0.0	·	
1.7 Totals	540,961	2,490,128	404,014	65,455	2,647	XXX	3,503,205	8.4	4,309,651	10.9	3,503,205	0
2. All Other Governments												
2.1 NAIC 1	149,948	149 ,783	199,515			XXX	499,247	1.2	499,031	1.3	499,247	
2.2 NAIC 2				ļ.		XXX	0		0	0.0		
2.3 NAIC 3						XXX		0.0	0	0.0		
2.4 NAIC 4						. XXX	0	0.0	. 0			
2.5 NAIC 5						XXX	. 0	0.0	0	0.0		
2.6 NAIC 6						XXX	0	0.0	0	0.0		
2.7 Totals	149,948	149,783	199,515	0	0	XXX	499,247	1.2	499,031	1.3	499,247	
3. U.S. States, Territories ar	nd Possessions, etc., (Guaranteed										
3.1 NAIC 1			80,000			XXX		0.2	110,000	0.3	80,000	4.4
3.2 NAIC 2						XXX	0	0.0	0	0.0		
3.3 NAIC 3						XXX	0	0.0	.0	0.0		
3.4 NAIC 4						XXX	0		0	0.0		
3.5 NAIC 5						XXX .	0	0.0	. 0	0.0		
3.6 NAIC 6						XXX	0	0.0	0	0.0		
3.7 Totals	0	0	80,000	0	0	XXX	80,000	0.2	110,000	0.3	80,000	
4. U.S. Political Subdivisions	s of States. Territories	and Possessions, Gua								_		
4.1 NAIC 1		138.681 I	770,652	İ		XXX	909,332	2.2	752,782	1.9	909,332	
4.2 NAIC 2		,	,			XXX	0	0.0	0	0.0		
4.3 NAIC 3		[']	[XXX		0.0	0	0.0		
4.4 NAIC 4						XXX	0	0.0	0	0.0		
4.5 NAIC 5						XXX	0	0.0	0	0.0		
4.6 NAIC 6						XXX	0	0.0	0	0.0		
4.7 Totals	0	138,681	770,652	0	0	XXX	909,332	2.2	752, 7 82	1.9	909,332	[[
5. U.S. Special Revenue & S	Special Assessment O						,					
5.1 NAIC 1	826,698	3 . 817 . 822	3,180,902	1.557,682	177,531	XXX	9.560,635		9,673,571	24.5	9.560,635	
5.2 NAIC 2						XXX	0	0.0		0.0		
5.3 NAIC 3						XXX	. 0	0.0	0	0.0		
5.4 NAIC 4						XXX	. 0	0.0	0	0.0		
5.5 NAIC 5]		ХХХ	0	0.0	0	0.0		
5.6 NAIC 6						XXX	0	0.0	0	0.0		
5.7 Totals	826,698	3,817,822	3,180,902	1,557,682	177,531	XXX	9.560,635	22.8	9,673,571	24.5	9,560,635	(

SCHEDULE D - PART 1A - SECTION 1 (Continued) Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1 4	2	3	<u> </u>	5	6	7	8	9	10	11	12
	'	Over 1 Year Through	Over 5 Years	Over 10 Years	3	No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately
NAIC Designation	1 Year or Less	5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.7	Prior Year	Prior Year	Traded	Placed (a)
6. Industrial and Miscellane										45.0	40 404 540	
6.1 NAIC 1	2,963,010					XXX	18,401,542	43.9	17,858,102	45.3	18,401,542	
6.2 NAIC 2	224,952	3,185,475	5,509,008			XXX .	8,919,436	21.3	6,221,624		8,919,436	
6.3 NAIC 3						, XXX	0	0.0	. 0	0.0		
6.4 NAIC 4						XXX			ļ0 ļ	0.0		
6.5 NAIC 5						XXX	0	0.0	L 0 1	0.0		
6.6 NAIC 6						XXX	0	0.0	0 24 272 722	0.0	07 220 079	
6.7 Totals	3,187,963	13,253,702	10,879,313	0	0	XXX	27 , 320 , 978	65.2	24,079,726	61.1	27,320,978	
7. Hybrid Securities]											
7.1 NAIC 1						. XXX	0	0.0	U	0.0	•	
7.2 NAIC 2						XXX	0	0.0		. 0.0		
7.3 NAIC 3						. XXX	0		· U	0.0		
7.4 NAIC 4						XXX		0.0	U	0.0	**	
7.5 NAIC 5						XXX	U	0.0		0.0		
7.6 NAIC 6						XXX	U	0.0	0			
7.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0		
8. Parent, Subsidiaries a	nd Affiliates									0.0		
8.1 NAIC 1						XXX	0	0.0	0			
8.2 NAIC 2						XXX	0	0.0	U	0.0		
8.3 NAIC 3						XXX	0	0.0	U	0.0		
8.4 NAIC 4						XXX	0	0.0	0	0.0	et '	
8.5 NAIC 5						XXX		0.0				
8.6 NAIC 6	L					XXX	0	0.0		0.0	0	
8.7 Totals	0	0	0	0	0	XXX	0	0.0	0_	0.0	U	
9. SVO-Designated Secu												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX		0	0.0	ļ. <u>0</u>	0.0		
9.2 NAIC 2	XXX	XXX	XXX	. XXX	XXX		0	. 0.0	0	0.0		
9.3 NAIC 3	XXX	Д ХХХ	XXX	XXX	XXX		0	0.0		0.0		
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX			0.0	0	0.0		
9.5 NAIC 5	. XXX	XXX	XXX	XXX	XXX		0	. 0.0	0	0.0		
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX		. 0	0.0	<u> </u>	0.0		
9.7 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	. 0	<u> </u>
10. Unaffiliated Bank Loans						<u> </u>						
10.1 NAIC 1						XXX	0	0.0	0	0.0		
10.2 NAIC 2						XXX	0	0.0	10	0.0		
10.3 NAIC 3						XXX.	0	0.0] 1.0	0.0		
10.4 NAIC 4						XXX	0	0.0	J	0.0		
10.5 NAIC 5						XXX	<u>0</u>	0.0	l ŏ	0.0	***	
10.6 NAIC 6						XXX	0	0.0	0	0.0		
10.7 Totals	0	0	0	0	0	XXX	0	0.0	Γ 0	0.0	0	10

SCHEDULE D - PART 1A - SECTION 1 (Continued)

		Quality and	Maturity Distribution of	f All Bonds Owned De	cember 31, at Book/A	djusted Carrying Value	s by Major Types of Is	sues and NAIC Design	nations		 	
NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year						,	·					1
11.1 NAIC 1	(d) 4,480,617	16,664,640	10,005,388	1,623,137	180,178	0	32,953,960		XXX	XXX	.32,953,960	
11.2 NAIC 2	(d) 224,952	3,185,475	5,509,008	0	0	0	8,919,436	21.3	XXX	XXX	8,919,436	ļ U
11.3 NAIC 3	(d)0	0		0	0	0	. 0	0.0	XXX	L. XXX		ļ U
11.4 NAIC 4	(a) 0		0	0	. 0	0		0.0	XXX	XXX		
11.5 NAIC 5	(d) O	0	0	0	0	0	(c)0	0.0	XXX	, XXX	<u>V</u>	0
11.6 NAIC 6	(d) (J	0	0	0	0	0	Ÿ.,	0.0	XXX	XXX	U	<u> </u>
11.7 Totals	4,705,570	19,850,115	15,514,396	1,623,137	180,178	0		100.0	XXX	XXX	41.873.396	U
11.8 Line 11.7 as a % of Col. 7	11.2	47.4	37.1	3.9	0.4	0.0	100.0	XXX	XXX	XXX	100.0	0.0
12. Total Bonds Prior Year			"									
12.1 NAIC 1	3,480,687	18,042,352	8,852,117	.2,341,435	486,546	0	XXX	XXX	33,203,138		33,203,138	U
12.2 NAIC 2	649,896	3,357,036	2,131,278	83,415		0	XXX	XXX	6,221,624	15.8	6,221,624	0
12.3 NAIC 3	0	0	. 0	0		0	XXX	XXX	0	0.0	Į Ų]
12.4 NAIC 4	0	0	0	0	0	0	XXX	XXX	0	0.0	Ų	U
12.5 NAIC 5	0	0		0		. 0	XXX	XXX	(c)	0.0	U U	· · · · · · · · · · · · · · · · · · ·
12.6 NAIC 6	0	0	0	0	0	0	XXX	XXX	(c)	0.0	00 101 700	
12.7 Totals	4,130,582	21,399,388	10,983,395	2,424,850	486,546	0	XXX	L XXX	(b) 39,424,762	100.0	39,424,762	0.0
12.8 Line 12.7 as a % of Col. 9	10.5	54.3	27.9	6.2	1.2	0.0	XXX	XXX	100	XXX	100.0	0.0
13. Total Publicly Traded Bonds												, , , , , , , , , , , , , , , , , , ,
13.1 NAIC 1	4,480,617	16,664,640	10,005,388	1,623,137	. 180,178			78.7		84.2	32,953,960	
13.2 NAIC 2	224,952	3,185,475	5,509,008				8,919,436	21.3	6,221,624	15.8	8,919,436	
13.3 NAIC 3		ļ					0	0.0	0	0.0	J	XXX
13.4 NAIC 4		<u>.</u>					o	0.0	0	0.0	J	XXX XXX
13.5 NAIC 5							0	0.0	ļ <u>V</u>	0.0	U	XXX
13.6 NAIC 6							0	0.0	U	0.0	44 070 000	
13.7 Totals	4.705,570	19,850,115	15,514,396	1,623,137	180,178]. 0	41,873,396	100.0	39,424,762		41.873,396	
13.8 Line 13.7 as a % of Col. 7.		47.4	. 37.1	3.9	0.4	0.00	100.0	XXX	XXX.	XXX	100.0	^^^
13.9 Line 13.7 as a % of Line		!					400.0	NVV	VVV	VVV	100.0	XXX
11.7, Col. 7, Section 11	11.2	47.4	37.1	3.9	0.4	0.0	100.0	XXX	XXX	XXX	100.0	
14. Total Privately Placed												
Bonds							۸	١ , , ,	۱ ,	0.0	XXX	
14.1 NAIC 1						-		0.0 0.0		0.0	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
14.2 NAIC 2							U	0.0	l V	0.0	XXX	·†···
14.3 NAIC 3								0.0	···	0.0	XXX	ň
14.4 NAIC 4							U	0.0	i k	0.0	XXX	Ť ň
14.5 NAIC 5								0.0	٠	0.0	XXX	Ť
14.6 NAIC 6			^	<u> </u>	Λ			0.0	- 0	0.0	XXX	
14.7 Totals		0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	, , , , , , , , , , , , , , , , , , ,	0.0
14.8 Line 14.7 as a % Col. 7	0.0		0.0	ן ט.ט	0.0	0.0			<u>^^^</u>	^^^	, AAA	1
14.9 Line 14.7 as a % of Line	0.0	0.0	0.0	0.0	0.0	0.0	0.0	xxx	l xxx	1 xxx	XXX	0.0
11.7, Col. 7, Section 11	U.0	U.U	U.U]	0.0	0.0	0.0	U.U	1 ^^^	1 000			1 0.0

⁽a) Includes \$ 0 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

⁽b) Includes \$ 145,000 current year of bonds with Z designations, and\$ 160,000 prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.

⁽c) Includes \$ 0 current year, \$ 0 prior year of bonds with 5Gl designation was assigned by the SVO in reliance on the insurer's certification that the issuer is current in all principal and interest payments.

reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1\$ 0; NAIC 2\$ 0; NAIC 4\$; NAIC 5\$ NAIC 6\$

SCHEDULE D - PART 1A - SECTION 2

	10	turity Dietribution	of All Bonde Owned	December 31 At B	ook/Adjusted Carry	ing Values by Mai	or Type and Subtype	of Issues				
Distribution by Type	1 1	2	3	A	5	6	7	8	9	10	11	12
Distribution by Type	· '	Over 1 Year	Over 5 Years	Over 10 Years	Ĭ	No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately
	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.08	Prior Year	Prior Year	Traded	Placed
1. U.S. Governments							0.507.000	0.0	0 544 700	8.9	2.597,688	
1.01 Issuer Obligations	399,728	2,197,960				XXX	2,597,688	6.2	3,511,738	2.0	619,199	
1.02 Residential Mortgage-Backed Securities	141 ,233		117,697	65,455	2,647	XXX	619,199	1.5	797,914	0.0	286,317	
1.03 Commercial Mortgage-Backed Securities			286,317		4.4	XXX XXX	286,317	0.7		0.0		
1.04 Other Loan-Backed and Structured Securities	540.004	0 400 400	101 011	05 455	2.047	XXX	3,503,205	8.4	4,309,651	10.9	3.503,205	O.
1.05 Totals	540,961	2,490,128	404,014	65,455	2,647	AAA	3,503,203	0.4	4,309,001	10.5	3,000,200	
All Other Governments 2.01 Issuer Obligations	149.948	149.783	199,515		1	XXX	499,247	1.2	499.031	1.3		
2.02 Residential Mortgage-Backed Securities	110,510	. , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				XXX	0	0.0	0	.0.0		** *
2.03 Commercial Mortgage-Backed Securities			·		·	XXX		0.0	0	.0.0		
2.04 Other Loan-Backed and Structured Securities					İ	XXX	0	0.0	0	0.0		
2.05 Totals	149,948	149,783	199,515	0	0	XXX	499,247	1.2	499,031	1.3	499,247	0
3. U.S. States, Territories and Possessions, Guaranteed						NAM .	00.000		440.000	0.3	80.000	
3.01 Issuer Obligations			80 , 000			XXX	80,000	0.2	110,000	0.3	00,000	
3.02 Residential Mortgage-Backed Securities			=			XXX			. 0	0.0		***
3.03 Commercial Mortgage-Backed Securities						XXX XXX		0.0	0	0.0		
3.04 Other Loan-Backed and Structured Securities		•	80.000	0	0	XXX	80,000	0.0	110,000		80.000	
3.05 Totals	-i Currents and	U	80,000	U		۸۸۸	60,000	0.2	110,000	- 0.0	00,000	
U.S. Political Subdivisions of States, Territories and Posses 4.01 Issuer Obligations	sions, Guaranteed	138.681	770.652			XXX	909,332	2.2	752.782	1.9	909,332	
4.02 Residential Mortgage-Backed Securities		100,001			i	XXX	0	0.0	0	0.0		
4.03 Commercial Mortgage-Backed Securities				• ••		XXX	I	0.0	0	. 0.0		
4.04 Other Loan-Backed and Structured Securities						XXX	0	0.0	0	0.0		
4.05 Totals	0	138.681	770,652	0	0	XXX	909,332	2.2	752,782	1.9	909,332	0
5. U.S. Special Revenue & Special Assessment Obligations, etc.	, Non-Guaranteed						0.004.057		0.507.044		3.224.057	
5.01 Issuer Obligations	22,757	1,437,868	1,248,480	514,952		XXX	3,224,057	7.7	2 .587 ,014 6 .585 .260	6.6	5.840.702	
5.02 Residential Mortgage-Backed Securities	803,941	2,379,954	1,436,546	1,042,731	177 ,531	XXX	5,840,702 495,876	13.9	501.297	1.3	495.876	
5.03 Commercial Mortgage-Backed Securities			495,876			XXX XXX	495,876	0.0		0.0	493,070	
5.04 Other Loan-Backed and Structured Securities	200, 000	0.047.000	2 400 000	4 FÉ7 CO2	177,531	XXX	9.560.635	22.8	9.673,571	24.5	9,560,635	
5.05 Totals	826,698	3,817,822	3,180,902	1,557,682	177,531	۸۸۸	9,300,033	22.0	9,013,311	24.0	0,000,000	
Industrial and Miscellaneous 6.01 Issuer Obligations	1,919,450	8.973,908	9,721,108			XXX	20.614.466	49.2	19,020,525	48.2	20.614.466	
6.02 Residential Mortgage-Backed Securities	1,313,400		3,721,100			XXX	20,011,100	0.0	0	0.0		••••
6.03 Commercial Mortgage-Backed Securities	63.739	1.336.712	971.715			XXX	2.372.166	5.7	2.141.383	5.4	2,372,166	
6.04 Other Loan-Backed and Structured Securities	1,204,774	2.943,082	186,489			XXX	4,334,345	10.4	2,917,818	7.4	4,334,345	
6.05 Totals	3.187,963	13,253,702	10,879,313	0	Ö	XXX	27,320,978	65.2	24,079,726	61.1	27, 320, 978	0
7. Hybrid Securities							·					
7.01 Issuer Obligations						XXX	0	0.0	0	0.0		
7.02 Residential Mortgage-Backed Securities						XXX				0.0		
7.03 Commercial Mortgage-Backed Securities	.					XXX	0	0.0	Ü	0.0		
7.04 Other Loan-Backed and Structured Securities						XXX	1 0	0.0		0.0	<u> </u>	
7.05 Totals	0	0	0	0	0	XXX	<u> </u>	U.U		0.0	 	
Rarent, Subsidiaries and Affiliates 8.01 ssuer Obligations						XXX	n	0.0	0	0.0		
8.02 Residential Mortgage-Backed Securities						XXX	j ő	0.0	0	0.0		
8.03 Commercial Mortgage-Backed Securities	·····	•				XXX	j	0.0	0	0.0		
8.04 Other Loan-Backed and Structured Securities						XXX] 0	0.0	0	0.0		
8.05 Affiliated Bank Loans – Issued						XXX	ļ 0	0.0				
8.06 Affiliated Bank Loans - Acquired		·		ĺ		XXX	0	0.0				
8.07 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

SCHEDULE D - PART 1A - SECTION 2 (Continued)

		Maturity Distribution	of All Bonds Owne	d December 31, at E	Book/Adjusted Carry	ing Values by Majo	r Type and Subtype	of Issues				
	1	2 Over 1 Year	3 Over 5 Years	4 Over 10 Years	5	6 No Maturity	7 Total	8 Col. 7 as a	9 Total from Col. 7	10 % From Col. 8	11 Total Publicly	12 Total Privately
Distribution by Type	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.08		Prior Year	Traded	Placed
9. SVO Identified Funds	i leal Oi Less	Tillough 5 Tears	Tinough to reals	Tillough 20 Totals	OVGI ZO TOLIIS							
9.01 Exchange Traded Funds Identified by the SVO	XXX.	XXX	XXX	XXX .	XXX		0	0.0	0	0.0		
9.02 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		
9.03 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	_0	0.0	0	U
10. Unaffiliated Bank Loans												
10.01 Bank Loans - Issued						XXX	0	0.0	0	0.0		
10.02 Bank Loans - Acquired						XXX	0	0.0		0.0		
10.03 Totals	0	0	0	0	0	XXX	0	0.0		0.0		
11. Total Bonds Current Year							27 004 700	66.7	XXX	XXX	27,924,790	0
11.01 Issuer Obligations		12,898,199	12,019,755	.514,952		XXX	27,924,790	15.4	XXX	1	6,459,901	0
11.02 Residential Mortgage-Backed Securities	945,173	2,672,122	1,554,243	1,108,185	180,178	XXX	6,459,901 3,154,360	7.5		XXX	3,154,360	0
11.03 Commercial Mortgage-Backed Securities	63,739	1,336,712	1,753,909		0	XXX		10.4		xxx	4,334,345	0
11.04 Other Loan-Backed and Structured Securities	1,204,774	2,943,082	186,489	, U	U	ХХХ	4,334,345	0.0	XXX	xxx	0	0
11.05 SVO Identified Funds	ХХХ	XXX	.XXX	XXX	XXX	XXX		0.0	XXX	XXX		
11.06 Affiliated Bank Loans			,	ا م			l n	0.0	XXX	XXX	0	0
11.07 Unaffiliated Bank Loans	4.705.570	19.850.115	15,514,396	1,623,137	180,178	^^^	41.873.396	100.0	XXX	XXX	41,873,396	0
11.08 Totals	4,705,570	47.4	37.1	3.9	0.4	0.0	100.0	XXX	XXX	XXX	100.0	0.0
11.09 Lines 11.08 as a % Col. 7	. 11.2	47.4	37.1	3.3	0.4	.0.0	100.0	JANA				
12.01 Issuer Obligations	2,392,730	15,670,094	7.763.722	602,880		XXX	XXX	XXX	26,481,090	67.2		0
12.02 Residential Mortgage-Backed Securities	834,330	2,513,472	1,778,519	1.821.970	434,882	XXX	XXX	XXX	7,383,173	18.7	7,383,173	0
12.03 Commercial Mortgage-Backed Securities	227 .578	1,201,259	1,213,843	0	0.,002	XXX	XXX	XXX	2,642,680		2,642,680	0
12.04 Other Loan-Backed and Structured Securities	675.944	2.014.564	227,311	0	ŏ	XXX	XXX	XXX	2,917,818	7.4	2,917,818	ļ 0
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	XXX	XXX		0.0	0] . 0
12.06 Affiliated Bank Loans	0	0	0	0	0	XXX	xxx	XXX .	0	0.0	0	ļ. 0
12.07 Unaffiliated Bank Loans		-	· ·			XXX	XXX	XXX				
12.08 Totals	4,130.582	21,399,388	10,983,395	2,424,850	486,546	0	XXX	XXX	39,424,762		39,424,762	0
12.09 Line 12.08 as a % of Col. 9	10.5	54.3	27.9	6.2	1.2	0.0	XXX	XXX	100.0	XXX	100.0	0.0
13. Total Publicly Traded Bonds				" "							27 224 722	VVV
13.01 Issuer Obligations	2,491,884	12,898,199	12,019,755	514,952		XXX	27,924,790		26,481,090		27.924.790	XXXXXX
13.02 Residential Mortgage-Backed Securities	945,173	2,672,122	1,554,243	1 , 108 , 185	180,178	XXX	6,459,901	15.4	7,383,173	18.7	6,459,901 3,154,360	XXX
13.03 Commercial Mortgage-Backed Securities	63,739	1,336,712	1,753,909			. XXX	3,154,360	7.5	2,642,680		4,334,345	, xxx
13.04 Other Loan-Backed and Structured Securities	1,204,774	2,943,082	186,489			XXX			2,917,818	0.0	1 4,334,343	
13.05 SVO Identified Funds	XXX	ххх	XXX	XXX .	XXX			0.0	ປນ	0.0)	l xxx
13.06 Affiliated Bank Loans			-			XXX	1 0	0.0	u	0.0	n	XXX
13.07 Unaffiliated Bank Loans					100 170	XXX	41.873.396		39,424,762	100.0	41,873,396	XXX
13.08 Totals	4,705,570	19,850,115	15,514,396	1,623,137	180 , 178	0.0	100.0		XXX XXX	XXX	100.0	XXX
13.09 Line 13.08 as a % of Col. 7	11.2	47.4	37.1	3.9	0.4	0.0	100.0		XXX	1 xxx	100.0	l xxx
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	11.2	47.4	37.1	3.9	0.4	0.0	100.0	^^^	^^^		10010	
14. Total Privately Placed Bonds						XXX	۸ ا	0.0	0	0.0	XXX	
14.01 Issuer Obligations			•••••		•	XXX)o	0.0	ĺ	0.0	XXX	[o
14.02 Residential Mortgage-Backed Securities 14.03 Commercial Mortgage-Backed Securities				-		XXX	1 n	0.0	o o	0.0	XXX	<u>[</u> . 0
14.04 Other Loan-Backed and Structured Securities						XXX	ň	0.0	0	0.0	XXX	1 0
14.05 SVO Identified Funds	XXX	xxx	XXX	XXX	XXX	٥	Ō	0.0	0	0.0	XXX	ļ., c
14.06 Affiliated Bank Loans		, nnn				XXX] 0	0.0	L 0	0.0	XXX	Į
14.07 Unaffiliated Bank Loans						XXX	Ö	0.0			XXX	
14.08 Totals	0	0	0	0	0	. 0	0	0.0	.0	0.0	XXX	ļ
14.09 Line 14.08 as a % of Col. 7	0.0	0.0	0.0	0.0	. 0.0	0.0	0.0		XXX.	XXX	XXX	0.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	0.0	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0.0

Schedule DA - Verification Between Yrs

NONE

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents) Money Market Mutual Funds Total Bonds Other (a) 0 0 596,812 596,812 1. Book/adjusted carrying value, December 31 of prior year 13,404,713 13,404,713 2. Cost of cash equivalents acquired. 3. Accrual of discount 0 0 4. Unrealized valuation increase (decrease) 0 5. Total gain (loss) on disposals 9,979,693 9,979,693 6. Deduct consideration received on disposals 0 7. Deduct amortization of premium 0 8. Total foreign exchange change in book/adjusted carrying value. 0 9. Deduct current year's other-than-temporary impairment recognized 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) 4,021,832 4,021,832 11. Deduct total nonadmitted amounts 0

4,021,832

4,021,832

12. Statement value at end of current period (Line 10 minus Line 11)

⁽a) Indicate the category of such investments, for example, joint ventures, transportation equipment

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE Delta Dental of Iowa

SCHEDULE A - PART 1

						Showing All F	Real Estate OWNE	D December 31 of	Current Year							
1	2	Loca	tion	5	6	7	8	9	10	Chang	e in Book/Adjus	ted Carrying Va	lue Less Encumb	rances	16	17
·	_	3	4		-					11	12	13	14	15		
Description of Property Properties occupied by the reporting ent	Code	City	State	Date Acquired	Date of Last Appraisal	Actual Cost	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Fair Value Less Encumbrances	Current Year's Depreciation			Total Change in B./A.C.V. s (13-11-12)	Total Foreign Exchange Change in B./A.C.V.	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs and Expenses
Properties occupied by the reporting ent			• • • • • • • • • • • • • • • • • • • •													
Land		Johnston .	. IA .	10/06/2009	10/06/2009	2,665,500		2,665,500 10,397,547	2,665,500 10,397,547				0		1,258,425	722,164
Home Office Building		Johnston.	IA .	08/01/2010	08/01/2010	13,187,415						<u> </u>	(405,845 (405,845	0		
0299999 - Properties occupied by the rep			ve			15,852,915	0	13,063,047	13,063,047	405,845	0	<u> </u>		- 0		
0399999 - Total Properties occupied by t	he report	ing entity	<u> </u>			15,852,915	0	13,063,047	13,063,047	405,845	U	<u> </u>	(405,645	<u> </u>	1,230,423	122,104
Properties held for the production of in	соте															
Properties held for sale							,			· · · · · ·	1			-	Γ -	T
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				<u> </u>							<u> </u>				4 050 105	700 404
0699999 Totals						15,852,915	0	13,063,047	13,063,047	405,845	_0		(405,845) 0	1,258,425	5 722,164

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 1

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

						Sho	wina Other	Long-Term Ir	nvested Asse	ts OWNED D	December 31 of C	current Year							
F1	2	3	Locatio	n .	6	7	8	T 9	10	11	12		Change in B	ook/Adjusted Carry	ying Value		18	19	20
' '	2	° ⊢	A	5	9	'	·	1 -	"		"-	13	14	15	16	17	1		1
			•	J									1 "						
			ļ			NAIC		1									1		
				İ		Desig-										1			1 1
						nation		1			Book / Adjusted		Current Year's	Current Year's		Total			
						and					Carrying	Unrealized	(Depreciation)	Other-Than-	Capitalized	Foreign		Commitment	
					Name of	Admin-	Date	Туре			Value	Valuation	or	Temporary	Deferred	Exchange	ļ	for	Percentage
CUCID						istrative		and	Actual	Fair	Less	Increase	(Amortization)/	Impairment	Interest and	Change in	Investment	Additional	of
CUSIP	Name or Description	Code	City	State	Vendor or General Partner	Symbol	Originally Acquired	Strateov	Cost	Value	Encumbrances	(Decrease)	Accretion	Recognized	Other	B./A.C.V.	Income	Investment	Ownership
	name or Description	Looel	City	State	General Partner	Буннон	Acquired	Strategy	COST	ı vaiue	Circuitorarices	(Decidese)	7,000,000	1 1000g/neou	<u> </u>				
	action - Affiliated							.			_			·					
	quipment - Unaffiliated									-									
	nuipment - Affiliated						-				•								
Mineral Rights -																			
Mineral Rights -	Affiliated		*																
	ivate Funds with Underlying Asse	ts Having	Characteristics of:	Bonds - NAIC Desi	gnation Assigned by the S	ecurities Va	Iluation Offic	ce (SVO) - Unaffi	iliated										
	ivate Funds with Underlying Asse																		
Non-Registered Pr	ivate Funds with Underlying Asse	ets Having	Characteristics of:	Bonds - NAIC Desi	gnation Not Assigned by t	he Securitie	s Valuation (Office (SVO) – Ur	naffi∣iated	_									
	ivate Funds with Underlying Asse					he Securitie	s Valuation (Office (SVO) - A	ffiliated			,,							
	ivate Funds with Underlying Asse																		
Non-Registered Pr	ivate Funds with Underlying Asse	ets Having	Characteristics of:	Mortgage Loans -	Affiliated							 							
Non-Registered Pr	ivate Funds with Underlying Asse	ets Having	Characteristics of:	Other Fixed Incom	e Instruments - Unaffilia	ted		•••											
Non-Registered Pr	ivate Funds with Underlying Asse	ets Having	Characteristics of:	Other Fixed Incom	e Instruments - Affiliate	<u> </u>				11 10 000	73 : N-1: Off:	/000 (f:1	interes						
Joint Venture, Pa	rtnership or Limited Liability (company int	erests for Which the	Underlying Asset:	s Have the Characteristics	s of : Fixed	Income Instri	Jments - NAIC Des	signation Assign	ed by the Secur	itles valuation office	CB (SVU) - Unaiiii	lateo						
Joint Venture, Pa	artnership or Limited Liability (ompany int	erests for Which the	Underlying Asset:	s have the Characteristic	s of: Fixed	income instri	ments - NAIL Des	signation Assign	ed by the Secur	tiles valuation office	Office (PVO) line	Etilioted						
Joint Venture, Pa	arthership or Limited Liability (arthership or Limited Liability (ompany Int	erests for Which the	Underlying Asset:	s have the Unaracteristics	s of: Fixed	income instri	ments - NAIL Des	signation Not As	signed by the S	ecurities valuation	Office (SVO) - Una	iliated						
Joint Venture, Pa	rthership or Limited Liability (company Int	erests for which the	Underlying Asset	s Have the Characteristic	s of: Fixed	Income Instru	aments - NATU Des	signation not as	signed by the 5	ecurities valuation	UTTICE (STU) - ATT	I I I al EU		•				
Joint Venture, Pa	artnership or Limited Liability (company int	eresis for which the	Underlying Asset	s mave the Characteristics	S DT: COMPRE	ri Stocks - ui	filiated		.									
Joint Venture, Pa	artnership or Limited Liability (artnership or Limited Liability (ompany int	erests for which the	Underlying Asset	S have the Characteristics	s of: Commit	Estate Una	ffiliated										<u> </u>	
Joint Venture, Pa	arthership or Limited Liability (company Int	erests for Which the	Underlying Asset	Have the Characteristics	e of: Pool	Estate - Mff	Listed											
Joint Venture Pa	arthership or Limited Crability (ompany int	erests for Which the	Inderlying Asset	s Have the Characteristics	s of: Morte	ane paris -	naffiliated											
	arthership or Limited Liability (*		-							
	artnership or Limited Liability (
	arthership or Limited Liability (_							
	s. etc Unaffiliated	,																	
Surplus Debenture	s. etc Affiliated			1484.0															
Collateral Loans	- Unaffiliated																		
Collateral Loans	- Affiliated																		
	pans - Unaffiliated																		
Non-Collateral Lo				-															
Capital Notes - L																			
Capital Notes - A					····						-								
	Low Income Housing Tax Credit																		
	l Low Income Housing Tax Credit																		$\overline{}$
	ederal Low Income Housing Tax Cre																		
	ederal Low Income Housing Tax Cre															-			
	Low Income Housing Tax Credit -									<u>-</u>									
	Low Income Housing Tax Credit - ate Low Income Housing Tax Credi										_						*****		
	ate Low Income Housing Tax Creditate Low Income Housing Tax Credit																		
	come Housing Tax Credit - Unaffil		ateu					•••	-		·								
All Other Low Inc	come Housing Tax Credit - Unartilia come Housing Tax Credit - Affilia	ated							•••										
Morking Capital C	inance investment - Unaffiliated	1																	
	of Assets - Unaffiliated	•			•						-								
may other orass t	Next Level Ventures Fund II.	Т Т	-1		•	1		T'											
000000-00-0	ILLP	l lne	s Moines	IA .	Direct H.O.		06/18/2019.	Lt	210.579	210.579	210.579	0	0	O	<u> </u> 0	<u> </u>		. 789.42	
	Other Class of Assets - Unaffilia								210.579	210.579		0	0	. 0	0		0	789.42	1 XXX
	of Assets - Affiliated		· · · · · · · · · · · · · · · · · · ·								-								
	ototals - Unaffiliated								210.579	210.579	210.579	D	0	0			0		
	ototals - Offiliated							•	n	0	0	0		0	0	0	0		D XXX
5099999 Tota			··-·	• • • • • • • • • • • • • • • • • • • •					210.579	210.579	210.579	0		0	0	0	0	789.42	1 XXX
3023333 10ld	19								2.0.010	1 2.0.070	1	' -							

				Showing Other Long-Term Invested Assets	ACCUIDED AND ADDIT		her 31 of Current Year			
1	2	10	ocation	Showing Other Long-Term invested Asset	S ACCOINED AND ADDIT	7	nder 31 Or Current Tear	9	10	11
. '	2	3	4	3		,	"		10	''
] 3	1 4	Name						
				Name of	Date	Time				Percentage
CUSIP		Į.		Vendor or		Type and	Antuni	Additional Investment Made	Amount of	of
Identification	Name or Description	City	State	General Partner	Originally Acquired		Actual Cost at Time of Acquisition	Additional investment Made	Encumbrances	Ownership
	tion - Unaffiliated	City	State	General Partner	Acquired	Strategy	Cost at Time of Acquisition	Arter Acquisition	Encumbiances	Ownership
	ction - Affiliated			* .						
	ipment - Unaffiliated									-
fransportation Equ	ipment - Affiliated					· · · · · · · · · · · · · · · · · · ·			-	
Mineral Rights - L	Inaffiliated							***		
Mineral Rights - A									· · ·	
		aracteristics of Bon	ds - NAIC Designation	Assigned by the Securities Valuation Office (SVO) - Unaffi	Liated					
				Assigned by the Securities Valuation Office (SVO) - Affili						
				Not Assigned by the Securities Valuation Office (SVO) - Un						
Non-Registered Pri	vate Funds with Underlying Assets Having Ch	aracteristics of : Boni	ids - NAIC Designation I	Not Assigned by the Securities Valuation Office (SVO) - Af	filiated					
Non-Registered Pri	vate Funds with Underlying Assets Having Cha	aracteristics of: Mor	Igage Loans - Unaffilia	ated	-					
Non-Registered Pri	vate Funds with Underlying Assets Having Cha	aracteristics of: Mo	rtoage Loans - Affilia	ted						
Non-Registered Pri	vate Funds with Underlying Assets Having Chi	aracteristics of: Othe	er Fixed Income Instru	ments - Unaffiliated						
Non-Registered Pri	vate Funds with Underlying Assets Having Chi	aracteristics of: Other	er Fixed Income Instru	ments - Affiliated				***		
Joint Venture, Par	tnership or Limited Liability Company Inter-	ests for Which the Uni	derlying Assets Have th	ne Characteristics of: Fixed Income Instruments - NAIC Des	ignation Assigned by the Securi	ties Valuation Office (SV	/0) - Unaffiliated	- ·		
Joint Venture, Par	thership or Limited Liability Company Inter-	ests for Which the Un	derlying Assets Have th	ne Characteristics of: Fixed Income Instruments - NAIC Des	ignation Assigned by the Securi	ties Valuation Office (SV	(0) - Affiliated			
Full Joint Ventu	ire, Parthership or Limited Liability Company	y Interests for Which	the Underlying Assets	Have the Characteristics of: Fixed Income Instruments - N	MAIC Designation Not Assigned by	the Securities Valuation	n Office (SVO) - Unaffiliated			
Joint Venture, Par	tnership or Limited Liability Company Inter-	ests for Which the Uni	derlying Assets Have th	ne Characteristics of: Fixed Income Instruments - NAIC Des	ignation Not Assigned by the Se	curities Valuation Office	e (SVO) - Affiliated			
Joint Venture, Par	tnership or Limited Liability Company Inter	ests for Which the Uni	derlying Assets Have th	ne Characteristics of: Common Stocks - Unaffiliated						
oint Venture. Par	thership or Limited Liability Company Inter	ests for Which the Uno	derlying Assets Have th	ne Characteristics of: Common Stocks - Affiliated						
				ne Characteristics of: Real Estate - Unaffiliated						
				ne Characteristics of: Real Estate - Affiliated						
Joint Venture, Par	thership or Limited Liability Company Intere	ests for Which the Uno	derlying Assets Have th	ne Characteristics of: Mortgage Loans - Unaffiliated						***
Joint Venture, Par	tnership or Limited Liability Company Inter	ests for Which the Unc	derlying Assets Have th	ne Characteristics of: Mortgage Loans - Affiliated						
				ne Characteristics of: Other - Unaffiliated						
Joint Venture, Par	tnership or Limited Liability Company Intere	ests for Which the Uni	derlying Assets Have th	ne Characteristics of: Other - Affiliated						
	, etc Unaffiliated									
	. etc Affiliated					·				
Collateral Loans -					-					
Collateral Loans - Non-collateral Loa	Affiliated									
Non-collateral Loa Capital Notes - Un	ns - Attitiated									
Capital Notes - Un Capital Notes - Af						-				
	Low Income Housing Tax Credit - Unaffiliate	- 4								
waranteed rederal	Low Income Housing Tax Credit - Orallitated	eu								
	era! Low Income Housing Tax Credit - ATTITIATED									
	eral Low Income Housing Tax Credit - Unallin									
Suprenteed State	ow Income Housing Tax Credit - Williated	ateu								
	ow Income Housing Tax Credit - Affiliated			HILE III						
ion Concentood Sta	te Low Income Housing Tax Credit - Williams	nted								
or Cuaranteed Sta	te Low Income Housing Tax Credit - Mailiti	areu ad								-
I Other Low Inco	me Housing Tax Credit - Unaffiliated									
	me Housing Tax Credit - Mail Hated									
	nance Investment - Unaffiliated									
	Assets - Unaffiliated									
	lext Level Ventures Fund 11, LLLP	Des Moines	T IA	Direct H.O.	06/18/2019	1	149.269	61,310	0	
	her Class of Assets - Unaffiliated			pridot 11.9			149.269		n	
	Assets - Affiliated						143.203	1 31,510	0	
	totals - Unaffiliated						149.269	61,310	<u>0</u>	XXX
							149.269	01,310		
4999999 - Sub							<u> </u>	ļ	0	
5099999 Totals							149.269	61,310	0	XXX

				Showing Other Long-Term I	Invested As	sets DISP	DSED, Tran	sferred or	Repaid Dur	ring the Cu	rrent Year								
1	2	Loca	tion	5	6	7	8		Chang	e in Book/Adj	usted Carryin	g Value		15	16	17	18	19	20
CUSIP Identification	Name or Description	3 City	4 State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Valuation	Of (Amortization)/	11 Current Year's Other-Than- Temporary Impairment Recognized	Deferred Interest	13 Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in	Book/Adjusted Carrying Value Less Encumbrances on Disposal		Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
					,														
·						·			 	<u> </u>	} .	-		}		+ · · ·			
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4499999 - Subtotal	s - Unaffiliated					F1.2	0	D	0	0	0	0	0	0	0	0	0	0	. 0
4599999 - Subtotal	s - Affiliated						ō	0	0	0	0	ō	0	0	0	0	0	0	0
4699999 Totals							n	0	1	n	n	n	0	1 0	1 0	T 0	0	0	

								Showing All L	ong Torm BON		nber 31 of Current	Voor								
1	2	Co	des	T 6	7	Fair \	Value	10 I	11		ange in Book / Adjust					Interes	st			ites
	<u>-</u>	3 4 F o	5	NAIC	'	8	9			12	13	14 Current Year's Other	15 Total Foreign	16	17	18	19	20	21	22
CUSIP		eig	Bond	Designation and Admin- istrative	Actual	Rate Used to Obtain Fair	Fair	Par	Book/ Adjusted Carrying	Unrealized Valuation Increase/	Current Year's (Amortization)/	Than Temporary Impairment	Exchange Change In	Rate	Effective Rate	When	Admitted Amount Due &	Amount Rec. During		Stated Contractual Maturity
Identification	Description Governments - Issuer Obligati	Code n	CHAR	Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	UNITED STATES TREASURY	Uns		1 1	340 245	116.8590 I	292,148	250.000 1	274,243	nΤ	(7,813)	n :	0.1	7 625	4.014	MAI I	2,461	19,063	12/15/2009	11/15/2022
912810-EQ-7	UNITED STATES TREASURY			1	340,245 226,336	116.1410	232,281	200,000	206,998	ŏ ļ	(1,715)	ŏ	Ď	7.625 6.250	5.178	FA	4.721	12 500	10/15/2003	08/15/2023
	UNITED STATES TREASURY UNITED STATES TREASURY			ļ. ļ	249,229 299,693	100.2190 101.5160	250,547 315,501	250,000	249,747	0	260	0	0	1.875	1.983	JD	218	4,688	12/27/2017	12/15/2020
	UNITED STATES TREASURY	İ		1 1	297,271	101.1250	303,375	310,791 300,000	306,435 298,352	5,382	1,295 542	U	ا ۱	0.625	. 1.068 2.196	AO	414 525	1,920 6,000	12/27/2018 12/27/2017	04/15/2023 11/30/2022
912828-N4-8	UNITED STATES TREASURY			1 1	149,908	100.0940	150 , 141	150,000	149,981	ĬŎ	19	0		1.750	1.763	JD	7	2,625 5,000	12/29/2015	12/31/2020
	UNITED STATES TREASURY UNITED STATES TREASURY	· · · · · · · · · · · · · · · · · · ·		1	394,298 148,796	99.4060	397 ,625 145 ,136	400,000 145,000	397,848 146,659	0	1,150	0	0	1.250	1.549	AO		5,000	11/10/2016	10/31/2021
912828-06-5	UNITED STATES TREASURY			1 1	247,100	100.2970	250,742	250,000	248,841	0		0 .	ا ۵	1.625	1.180	FA			06/30/2016 12/28/2016	08/15/2022 11/30/2021
	UNITED STATES TREASURY			1	301,025	99.7970	316,788	317,433	318,583	5,499	748	0		0.125	0.374	AO.	85	392	06/22/2017	04/15/2022
	Bonds - U.S. Governments - Issu Governments - Residential Mor			00	2,653,901	XXX	2,654,285	2,573,224	2,597,688	10,881	(5,545)	0	0	XXX	XXX	XXX	10,555	58.918	XXX	XXX
36179M-SV-3	G2 MA0532 - RMBS	- gage - Dacker	4	11	82,176	100.4350	82,883	82,525	82.199	0.1	(5)	0.1	ηI	2 500	2.561	MON	172	2,030	02/12/2013	11/20/2042
36179M-VQ-0	G2 MA0623 - RMBS	1	4	1	101,365	100.6450		102,196	101.432	ő [(8)	ŏ	. ŏ[2.500 2.500	2.627	MON	213	2,511	03/26/2013	12/20/2042
36200N-07-4 36202D-2V-2	GN 604994 - RMBS 62 003488 - RMBS		4	ļļ	7,202 8,181	111.8260 112.7920	8,050 9,196	7,199 8,153	7,198 8,170	0	2	ļ 0	. 0	5.000	4.992	MON	30	354	11/17/2004	02/15/2034
36202D-7K-1			4	İ	9,654	115.7430	10,928	9,442	9.596	, N	(2)	<u>u</u>		5.500 6.000	5.412 5.492	MON .		438 556	04/26/2004 07/28/2004	12/20/2033 08/20/2034
	G2 004597 - RMBS		4	1	41,635	104.5440	42,772	40,913	41,540	ō [(21)			4.000	3.618	MON	. 136	1,603	06/28/2010	12/20/2039
36202F-EG-7 36202F-M7-8	G2 004635 - RMBS G2 004882 - RMBS		4.	 	40,876 45,583	105.5230 106.1410	43,101 48,473	40,845 45,668	40,862 45,581	0		0		4.000 4.000	3.972	MON	136	1.599	03/31/2011	02/20/2040
36202X - WZ - 6	GN 612764 - RMBS		. 4	1 i 1	7,144	112.8540	7,909	7,009	7,096	0	(5)	0	ا ٥	5.000	4.028 4.627	MON	152 29 155 264	1,787 344	03/28/2011 05/13/2003	12/20/2040 06/15/2033
3620AJ-DD-8	GN 731000 - RMBS	ļ	. 4 .	1 1	45,184	104.9670	48,745	.46,439	45,327			0	Ō	4.000	4 . 552	MON	155	1,828	04/07/2010	02/15/2040.
	GN 628046 - RMBS		4 1	+ 1	59,166 19,937	113.4680 100.3540	65,248 19,858	57,504 19,788	.58,715 19,748	0	(147) (24)	0		5.500 4.500	4.882 4.403	MON I	264 74	3,113 656	02/05/2009	04/15/2034
92261W-AA-8	VENDE 111 DA - CMO/RMBS	1 1	. 4	1 i 1	31,503	101.3660	31.672	31,245	31,276		(18)	0	ا م	3.750	3.532	MON	. 98	1.081	04/30/2010 12/20/2011	04/20/2038 02/15/2035
	ZARAPL 1 A2 - RMBS	<u> </u>	4	1 1	120,451	102.3370	123,266	120,451	120,459	0	j′	0	0	2.702	2.700	FMAN	371	3,127	09/16/2014	08/20/2026
	Bonds - U.S. Governments - Resi Securities Governments - Commercial Mort				620,058	xxx	644,957	619,375	619,199	0	(211)	0	0	XXX	XXX	ххх	1,914	21,027	XXX	XXX
38380M-FP-1	GNR 18114 B - CMBS	gage-backed	4	<u> </u>	285,117	101.5250	304,575	300,000	286,317	ÖΤ	1,200	0 [01	3.100	3.803	MON I	775	6.975	03/07/2019	01/16/2059
	Bonds - U.S. Governments - Comm	nercial Mort	gage-Backe	ed												IIIOI4	- ',,,	- 0,5/0	00/0//2015	D171072003
Danda II C	Securities				285,117	XXX	304,575	300,000	286,317	0	1,200	0	0	XXX	XXX	XXX	775	6,975	XXX	XXX
	Governments - Other Loan-Backe londs - U.S. Governments - Subt				3.559.076	XXX T	3.603.817	3.492.599	3.503.205	10.881	(4,556)	0 1	0.1	XXX	XXX	XXX	13,244	00 000 1	XXX	XXX
	Other Governments - Issuer Obl		. OUTCITING	ait 5	3,333,010	AAA	3,003,017	3,432,333	3,303,203	10,001	(4,330)	0	<u></u>	۸۸۸	۸۸۸	۸۸۸	13,244	86,920	***	***
563469-UH-6	MANITOBA, PROVINCE OF	. Ç		1FE	149,724 149,547	100.1420	150,213 151,093	150,000	149,948	0 [.	56	0	Ω	2.050	2.089	MN	265 505	3,075	11/20/2015	11/30/2020
68323A-DP-6	MANITOBA, PROVINCE OFONTARIO, PROVINCE OF			1FE 1FE	149,547 199,262	100.7290 102.4100	151,093 204,821	150,000	149,783	0			D	2.125 2.500	2.189 2.542	MN AO	505 .889	3,188	04/27/2017	05/04/2022
0699999 - B	londs - All Other Governments -	Issuer Obl	igations	·	498,533	XXX	506,127	500,000	499,247	0	216	ນ ກ ໄ		2.500 XXX	XXX	XXX	1,658	5,000 11,263	04/20/2016 XXX	04/27/2026 XXX
IBonds - All f)ther Governments - Residential	Mortnage-P	Sacked Secu	rities										ж.	77		1,000	17,200	AAA	AAA
Bonds - All C	Other Governments - Commercial Other Governments - Other Loan-	Mortgage-Ba	cked Secur	rities																
	onds - All Other Governments -					Т			Т		1		·	T		···				
	Governments				498,533	XXX	506,127	500,000	499,247	0	216	0	اه	xxx	XXX	XXX	1.658	11.263	XXX	XXX
Bonds - U.S.	States, Territories and Posse	essions (Dir	ect and Gu																	
97705M-NQ-4	wisconsin si onds - U.S. States, Territorie	s and Posse	ssions (Di	rect and	80,000	97 .8060	78,245	80,000	80,000	0	0	0	0	2.141	2.141	MIN	290	0	. 10/02/2019	05/01/2027
İ	Guaranteed) - Issuer Obligati	ons			80.000	XXX	78.245	80,000	80.000	اه	0	n	0	xxx	XXX	xxx	290	n	XXX	XXX
Bonds - U.S.	States, Territories and Posses	ssions (Dire	ct and Gua	ranteed) - F	Residential Mor	gage-Backed Se	ecurities							MAA	AAA	nnn	200]		AAA	^^^
Bonds - U.S.	States, Territories and Posses	sions (Dire	ct and Gua	ranteed) - Co	ommercial Mortga	ge Backed Secu	urities													
1799999 - B	States. Territories and Posses onds - U.S. States. Territorie	s and Posse	ssions (D)	rect and	iner Loan-Backet	and Structure	ea Securities	- 1		-			-					1		
	Guaranteed) - Subtotals - U.S	. States, To			ł						1	ľ		ļ			1	!		
Dondo II O	Possessions (Direct and Guara	nteed)		<u></u>	80,000	XXX	78,245	80,000	80,000	0	. 0	0	0	XXX	XXX	XXX	290	0	XXX	XXX
BONOS - U.S.	Political Subdivisions of Stat	es, territo	ries and P	ossessions (E	Direct and Guara	anteed) - Issue	er Obligations													

												.,								
	2		odes	T	, ,	Fair	Value	Showing All L	ong-Term BOI		ember 31 of Current			1		Intere	ct		Da	tee
'		3 4		- 6	'	B Fair	Value 9	10	17	12	nange in Book / Adjust	ed Carrying Value	15	16	17	18	st I 19 I	20	21	22
		F		NAIC		J	3				13	Current Year's Other	Total Foreign	10	17	10			2,	
CUSIP Identification		e i g Code n	Bond	Designation and Admin- istrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Than Temporary Impairment Recognized	Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
686053-BN-8. 686053-GG-8.	HONOLULU HAWAII CITY & CNTY		1	1FE 1FE	115,000 158,033 212,084	106.8730 118.3490 111.7720	122,904 165,689 217,955	115,000 140,000 195,000	115,000 154,759 209,573	000	0 (2,016) (1,434)	0	0 0	3.553 5.550 4.759	3.553 3.682 3.725	MS. Sp.	1,362 22 	4,041 7,770 9,280	08/16/2018 05/04/2018 03/16/2018	09/01/2028 06/30/2028 06/30/2028
864813-7A-2 882724-GU-5				1FE 1FE 1FE	120,000 200,000 110,000	110.7720 99.8450 104.1560	132,926 199,690 114,572	. 120,000 200,000 110,000	120,000 200,000 110,000	. 0 . 0	D 0 0	0	0 0 0	3.970 2.411 3.112	3.970 2.411 3.112	JD FA AO	397 991 856	4,817 0 3,613	11/07/2018 09/26/2019 08/29/2018	06/01/2027 02/01/2029 10/01/2023
	Bonds - U.S. Political Subdivisi Possessions (Direct and Guaran	teed) -	Issuer Obli	gations	915,117	XXX	953,736	880,000	909,332	0	(3,450)	0	0	XXX	XXX	XXX	3,653	29,521	XXX	XXX
Bonds - U.S.	Political Subdivisions of State Political Subdivisions of State	s. Terri	tories and	Possessions	Direct and Guar	anteed) - Kes	ndentia: Mortga	ge-Backed Securi	II (es ties											
Bonds - U.S.	Political Subdivisions of State	s, Terri	tories and	Possessions	Direct and Guar	anteed) - 0th	er Loan-Backed	and Structured	Securities											
2499999 -	Bonds - U.S. Political Subdivisi Possessions (Direct and Guaran Political Subdivisions of State	leed) - S	Subtotals -	U.S.													·			
D. H.	(Direct and Guaranteed)		0.1.		915,117	XXX	953,736	880,000	909,332	0	(3,450)	0	0	XXX	XXX	XXX	3,653	29,521	XXX	XXX
052414-RT-8	Special Revenue and Special Ass AUSTIN TEX ELEC UTIL SYS REV	essment i	Ubligations 1	I 1FE	Guaranteed 0611	gations of Ag 102.8530	encies and Autho	orities of Gove	rnments and The 110.000	ir Political Subdi	visions - (ssuer Obl	igations 0	1 0	2.837	2.837	MN	399 [1,318	05/23/2019	. 11/15/2027
	BAY AREA TOLL AUTH CALIF TOLL		11	1FE	183,624	121.5300	182,295	150,000	177,876	0	(2,096)	. 0	0	6.793	4.508	AO	2,547	10,190	02/16/2017	04/01/2030
13067W-JA-1	PROJ REV. CONNECTICUT ST SPL TAX OBLIG			1	5,046	101.8970	5,095	5,000	. 5,022	. 0	. (7)	. 0	0	2.637	2.480	JD .	11	132	06/30/2016	12/01/2022
207758-KM-4	REV DALLAS FORT WORTH TEX INTL	-	1	1FE	230,624	118.8060	237,612	200,000	225,717	0	(1,864)	. 0	0	5.459	3.986	MN	1,820	10,918	04/05/2017	11/01/2030
	ARPT REV DALLAS TEX WTRWKS & SWR SYS		1	1FE	200,000	97 . 4360	194,872	200,000	200,000	0		0	o	2.434	2.434	MN	811	933	08/08/2019	11/01/2028
235416-7D-0 29270C-L3-7	ENERGY NORTHWEST WASH ELEC		1	1FE	185,000	101.4290 102.0620	187,644 5,103	185,000	185,000	0 	. 0	0	. 0	2.485	2.485	A0.	1,149	4,597	03/11/2015	07/01/2021
	FEDERAL NATIONAL MORTGAGE ASSOCIATION		'	1	148,364	99.7540	149,631		149,474	0	448	0	0	2.814	2.814	JJ .	716	2.063	04/24/2015 06/29/2017	02/26/2021
41978C-AH-8 45462T-HH-8	HAWAII ST ARPTS SYS CUST FAC CHARGE REV INDIANA BD BK REV		1	1FE 1FE	135,000 200,000	102.8250 102.5460	138,814 205,092	135,000 200,000	135,000 200,000	0	0	0	0	3.025 2.967	3.025 2.967	JJ		4,084 2,489	07/13/2017 01/25/2019	07/01/2025 01/15/2023
54627R-AH-3	LOUISIANA LOC GOVT ENVIRON FACS & CMNTY			1FE	128,238	99.1710	127,206	128,270	128,265	0			ο	2.860	2.861	FA	1,529	3,447	07/29/2014	08/01/2028
	MAINE MUN BD BK LIQUOR OPERATION REV NEW YORK NY CITY		1	1FE	100,000	104 .5010	104,501		100,000	0		0	0	. 4.102	4.101	JD	342	4,102	08/28/2013	06/01/2022
	TRANSACTIONAL FIN NEW YORK STATE DORMITORY		1	1FE	201,482	103.5300	207,060	200,000			(178)	0	0	3.250	3.151	. MAN	1,083	6,500	03/04/2014	11/01/2022
	AUTHORITY NEW YORK ST URBAN DEV CORP		11	1FE	250,000	99.9980	. 249,995	250,000	250,000	0	0	0	0	2.727	2.727	FA	0	0	12/19/2019	02/15/2029
	OHIO ST WITR DEV AUTH POLLUTN		11	1FE	. 195,918	104.6060	209,212	200,000	196,616	0	407	. 0	. 0	3.150	3.417	MS .	1,855	6,300	04/10/2018	03/15/2027
	OKLAHOMA ST WTR RES BRD REVOLVING FD REV		1	1FE	113,000	114.2320 98.9710	114,232 98,971	100,000	111,359	. U	(554)	0	0	4.879 2.361	3.869 2.361	JD AO	407 79	4,879	11/28/2016	12/01/2034 04/01/2029
	OREGON ST DEPT TRANSN HWY USER TAX REV		11	1FE	100,000	99.9630	99,963	100,000	100,000	0		0		2.301	2.301	MIN		0	11/07/2019	11/15/2027
880591-EN-8	PORT MORROW ORE TRANSMISSION FACS REV. TENNESSEE VALLEY AUTHORITY TEVAS A R M INNIV DEVS		1	1FE 1. 1FE	50,000 198,244	99.7110 100.2610			50,000	0	0 181	0	0 0	1.782	1.782	MS FA	297 1,417	891 3,750	06/22/2016 08/06/2012	09/01/2021 08/15/2022
007 124-RO-9	TEXAS A & M UNIV REVS		<u>1</u> 1	1 11-6	125,000	102.5340	128,168	125,000	125,000	0	D [<u>0</u>	<u></u> 0	2.683	2.683	MN	429	3,354	01/07/2015[05/15/2023

								Objection APLA	Tara BO			V								
	2	_	odec	1 0	7	F=:-	Volue	Showing All L	ong-Term BON		ember 31 of Current					Intere			D-	ites
	2	3 4 F	:	NAIC	· /	8	Value 9	10	17	12	hange in Book / Adjust 13	14 Current Year's Other	15 Total Foreign	16	17	18	19	20	21	22
CUSIP Identification	n. Description	code n	Bond	Designation and Admin- istrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Than Temporary Impairment Recognized	Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
91802R-AU-3	UTILITY DEBT SECURITIZATION			1FE	149,993	100.5270	150.791	150,000	150,005	0	(2)	0	0	2.554	2.552	JD	170	3,831	12/12/2013	06/15/2022
977100-GH-3	WISCONSIN ST GEN FD ANN			1FE	118,970	103.5910	129,489	125,000	119,680	0	618	,	0	3.154	3.825	MN	657	3.943	11/05/2018	.05/01/2027.
2599999 -	Bonds - U.S. Special Revenue ar and all Non-Guaranteed Obliga Authorities of Governments ar Issuer Obligations	ations of	Agencies ar	nd Š	3,233,502	xxx	3,289,261	3.168.270	3,224,057	0	(3.046)	0	0	XXX	XXX	XXX	20.836	77.860	XXX	xxx
	. Special Revenue and Special A	ssessment	Obligation:	s and all Non-	Guaranteed Obli	gations of Age				r Political Subdi	visions - Residential	Mortgage-Backed S	Securities		1	7071-1	20,000	,		
3128M5-CU-2 3128M7-6A-9 3128MJ-VZ-0 3128MJ-VZ-0 3128MJ-VX-0 3128MJ-W4-8 3128MJ-W4-8 3128MJ-W4-8 3128MJ-W5-3 3128MJ-W5-3 3128MJ-Y8-3 3128MJ-Y8-3 3128MJ-Y8-3 3128MJ-Y8-3 3128MJ-Y8-3 3128MJ-Y8-3 3128MJ-Y8-3 3129MT-C0-0 31297T-WJ-3 3129MT-C0-0 31297T-MW-6 3132HC-C0-6 3132HC-C0-6 3138WJ-G1-3 3138MZ-MM-7 3138MZ	FH 614433 - RMBS FH 608540 - RMBS. FH 608666 - RMBS. FH 608670 - RMBS. FH 608670 - RMBS. FH 608670 - RMBS. FH 608697 - RMBS. FH 608711 - RMBS. FH 608711 - RMBS. FH 608711 - RMBS. FH 608711 - RMBS. FH 608731 - RMBS. FH 608731 - RMBS. FH 608747 - RMBS FH 608747 - RMBS FH 608747 - RMBS FH 408741 - RMBS FH 427392 - RMBS FH A29079 - RMBS FH A29079 - RMBS FH A29079 - RMBS FH A29373 - RMBS FH A29173 - RMBS FH A29173 - RMBS FH A14321 - RMBS FH A14321 - RMBS FH A14525 - RMBS FN A55590 - RMBS FN A16571 - RMBS FN A16574 - RMBS FN A16574 - RMBS FN A1674 - RMBS FN A17201 -		4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4		5, 999 23, 242 28, 924 48, 260 52, 276 108, 878 145, 201 158, 452 154, 468 159, 736 165, 068 196, 986 196, 986 196, 889 24, 488 83, 748 6, 680 9, 709 29, 455 11, 367 107, 035 108, 580 131, 451 6, 760 16, 162 52, 195 39, 805 81, 776 68, 292 63, 439 111, 276 68, 292 63, 439 111, 276 137, 300 153, 923 141, 394 190, 286 147, 355 120, 067 119, 798 13, 157 19, 798 13, 157 18, 986 147, 355 120, 067 119, 798 130, 107 19, 798 110, 798 111, 798 120, 002 120, 002 137, 958 13, 157 14, 368 14, 368 14, 368 11, 465 11, 465 11, 466 15, 667	113.2750 110.0270 110.0270 110.0270 107.9530 104.9950 101.6150 103.3100 103.0080 103.0080 103.0080 103.0080 103.0100 103.0080 102.4100 102.4400 102.4940 105.4850 107.9510 106.1630 104.2460 113.1430 111.8500 113.1440 103.2110 106.6850 106.6850 105.7550 103.5290 107.2500 101.3410 101.3390 102.4910 104.3780 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 102.7960 103.5500 111.6360 110.3680 110.3680 110.3680 110.3680 110.3870	6. 598 25. 773 30. 619 50. 215 51. 809 111. 992 148. 639 162. 812 159. 037 157, 445 161. 811 165. 864 135, 423 200. 198 194. 093 200. 126 6. 929 10. 788 33. 147 12. 555 109. 429 115. 201 131, 439 7. 685 17. 279 53. 827 41. 050 86. 263 67. 661 62. 893 118. 590 134. 969 160. 811 144. 837 196. 438 151. 212 122. 657 125. 543	5,825 23,425 28,364 47,827 50,986 108,404 144,299 158,057 154,396 152,845 157,085 161,961 132,239 200,612 194,494 195,258 15,578 24,476 6,647 9,534 29,635 111,507 127,632 6,843 16,196 50,898 39,650 80,432 66,67 62,062 114,922 135,427 156,441 141,317 188,199 147,910 119,321 122,126 119,256 206,072 13,468 9,280 11,373 21,150 15,426 15,967	5,949 23,283 28,843 48,199 51,776 108,827 145,106 158,404 154,445 152,555 159,513 164,449 135,263 199,792 196,779 196,725 15,319 24,481 83,870 6,669 9,685 29,454 11,364 106,967 108,233 130,996 6,774 16,062 39,685 81,638 67,621 62,261 111,641 137,156 155,143 141,379 190,231 147,365 119,986 120,002 119,996 119,996 119,996 119,996 119,996 119,996 119,996 119,996 119,996 119,996 111,416 121,264 14,811 15,701		(13) 24 (34) (34) (10) (71) (9) (26) (8) (8) (8) (107) (108) (107) (108) (82) (22) (65) (212) (4 (30) (28) (25) (49) (8) (8) (21) (154) (22) (131) (154) (22) (30) (94) (111) (111) (88) (62) (43) (33) (31) (18) (46) (55) (21) (22) (44) (15) (55) (20) (24) (14) (33) (30) (14) (33) (20)			5.500 5.500 4.500 3.500 3.000 3.000 3.000 3.000 3.000 3.000 2.500 2.500 4.500 5.500 5.500 3.000 3.000 4.500 5.500 3.000 3.000 3.000 3.000 3.000 3.000 3.000 3.000 3.000 3.000 3.000 3.000 3.000 5.500 3.000 3.000 3.000 5.500 3.000 3.000 5.500	4.837 5.673 4.108 3.345 1.906 2.931 2.898 2.959 2.992 2.992 2.698 2.615 2.559 2.324 4.119 4.495 5.166 4.801 2.844 3.427 4.15 4.216 5.384 5.759 4.053 3.368 3.658 1.935 1.936 3.496 2.289 3.284 2.272 4.119 4.495 5.166 5.166 5.474 5.759 4.053 3.075 3.368 3.658 1.935 3.264 2.2872 2.2548 3.496 2.289 3.2840 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.240 2.975 3.264 3.2887 4.540 5.799 5.301 6.055	MON MON MON MON MON MON MON MON MON MON	27 107 106 139 106 271 361 395 386 382 393 405 444 418 418 405 282 282 282 282 279 319 31 62 244 123 31 265 279 319 31 62 287 287 287 287 287 287 287 287 287 28	313 1,284 1,281 1,261 1,299 3,213 4,278 4,687 4,530 4,658 4,803 3,922 4,978 4,822 5,807 678 1,081 3,348 4,822 5,807 678 1,081 3,348 4,823 3,131 3,289 3,764 4,664 1,764	06/04/2003 10/25/2007 03/07/2011 10/28/2011 05/11/2015 09/21/2015 08/03/2015 11/24/2015 06/04/2015 06/04/2015 06/04/2016 04/20/2016 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2018 05/13/2010 05/13/2008 08/06/20/2005 08/06/20/2005	06/01/2033 08/01/2037 08/01/2037 08/01/2040 10/01/2041 05/01/2043 03/01/2045 09/01/2045 03/01/2045 03/01/2045 03/01/2045 03/01/2046 04/01/2046 11/01/2046 02/01/2047 12/01/2035 07/01/2040 11/01/2040 09/01/2041 11/01/2040 09/01/2033 12/01/2034 10/01/2034 10/01/2035 01/01/2041 01/01/2035 01/01/2041 01/01/2035 01/01/2043 03/01/2041 04/01/2041 04/01/2041 04/01/2041 04/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043 05/01/2043

												Voor								
1	2	Тс	odes	6	7	Fair	Value	50 Showing All L	ong-Term BOI		ember 31 of Current hange in Book / Adjust		· 	I		Interes	st		Da	ites
CUSIP	Description	3 4 F o r e i g Code n	5 Bond	NAIC Designation and Admin- istrative Symbol	Actual Cost	8 Rate Used to Obtain Fair Value	9 Fair Value	Par Value	Book/ Adjusted Carrying Value	12 Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/	14 Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change In B./A.C.V.	16 Rate	17 Effective Rate of	18 When	Admitted Amount Due & Accrued	20 Amount Rec. During Year	21 Acquired	Stated Contractual Maturity Date
31409T-UJ-S 314409Y-DZ-7 314417R-RC-5 31417F-YA-0 31418R-U7-1 31418C-DL-5 31418C-EG-5 31418C-HG-5 31418C-HG-3 31418D-FJ-6 31418D-FJ-6 31419B-CT-0 31419K-LG-8	FN 878185 - RMBS FN 882220 - RMBS FN AB4082 - RMBS FN AB7059 - RMBS FN AB7059 - RMBS FN MA1505 - RMBS FN MA2606 - RMBS FN MA2804 - RMBS FN MA2804 - RMBS FN MA2807 - RMBS FN MA3687 - RMBS FN MA3687 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB368 - RMBS FN AB568 - RMBS FN AB568 - RMBS MN ST HSG F1N AGY		4 4 4 4 4 4 4 4 4 4 4 4 4	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	8,798 13,974 36,181 114,457 130,951 111,536 110,238 167,567 181,243 243,425 307,969 44,251 19,912	112 .2860 115 .0150 102 .0880 99 .6620 99 .8600 101 .5530 102 .3770 104 .4920 103 .8770 102 .5340 102 .5490 105 .4550 103 .5180	10,078 15,904 36,481 111,919 136,410 121,304 114,454 171,846 184,389 243,062 307,647 46,746 20,532	8, 976 13, 827 35, 735 112, 299 136, 602 119, 449 111, 797 164, 458 177, 506 237, 055 300,000 44, 328 19, 835	8,844 13,922 35,999 114,103 131,618 111,914 110,317 167,476 180,907 243,219 307,966 44,247 19,860	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	26 (5) (10) (85) (287) (287) (189) (207) (3) (4) 3	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0	5.500 6.500 2.500 2.500 2.500 3.000 3.000 3.500 3.500 3.500 3.500	5.895 6.260 2.162 2.239 3.073 3.922 3.211 3.118 3.087 2.238 2.267 3.514	MON MON MON MON MON MON MON MON MON	41 75 74 234 285 299 279 480 518 593 750 129 58	491 880 863 2,776 3,379 3,535 3,319 5,667 6,134 1,778 0 1,528 660	08/22/2006 07/25/2007 12/21/2011 12/21/2011 12/21/2012 05/28/2013 08/16/2013 03/17/2017 12/23/2016 02/15/2017 09/05/2019 12/20/2019 08/09/2011 03/28/2011	08/01/2036 09/01/2036 12/01/2026 11/01/2042 03/01/2043 11/01/2046 12/01/2046 03/01/2047 09/01/2034 01/01/2035 03/01/2041 11/01/2025
	HOMEOWNERSHIP FIN	1 1	2	1FE	. 44,286	98.6670	43,695	44,286	44,285	0	0	0	٥	2.700	2.699	MON	100	1,168	04/10/2013	09/01/2041
2699999 - 8	onds - U.S. Special Revenue ar and all Non-Guaranteed Obliga Authorities of Governments ar Residential Mortgage-Backed S	ations of a nd Their P	Agencies an olitical Sul	ď	5,837,860	xxx	5,992,259	5,819,461	5,840,702	0	(1,053)	0	0	XXX	XXX	xxx	15,283	166,078	XXX	XXX
	Special Revenue and Special As			and all Non-	Guaranteed Obli		encies and Autho	orities of Gove	rnments and The	ir Political Subdi	visions - Commercial	Mortgage-Backed Se	ecurities							
3137FH-PJ-6	FHMS K077 A2 - CMBS FHMS K080 A2 - CMBS		4	1 1	241,314 256,250	109.8360 110.8510	258,115 277,128	235,000 250,000	240,382 255,494	0	(617) (599)	0	0	3.850 3.926	3.522 3.618		754 818	9,048 9,815	06/14/2018 09/27/2018	05/25/2028 07/25/2028
	onds - U.S. Special Revenue ar and ali Non-Guaranteed Obliga Authorities of Governments ar Commercial Mortgage-Backed Se Special Revenue and Special A	ations of a nd Their Po ecurities	Agencies and olitical Sul	d bdivisions -	497,564	XXXX	535,242	485,000	495,876	0	(1,216)	0 Packed and Structu	0 O	XXX	XXX	XXX	1,572	18,863	XXX	XXX
	onds - U.S. Special Revenue ar and all Non-Guaranteed Obliga Authorities of Governments ar Subtotals - U.S. Special Reve Obligations and all Non-Guara Governments and Their Politic	nd Special ations of a the street of the str	Assessment Agencies and olitical Sul pecial Asses igations of	Obligations d bdivisions - ssment	9,568.925	XXX	9,816,761	9,472,731	9,560,635	1	(5.315)	o	0	XXX	XXX	XXX	37.690	262.801	XXX	xxx
Bonds - Indus	trial and Miscellaneous (Unafi			ligations	9,300,923	۸۸۸	9,010,701	9,412,131	9,300,033	U	(5,515)		<u> </u>] ^^^	1 ^^^]	37,090	202,001	۸۸۸	1 ^^^
00206R-CN-0 00287Y-AX-7 010392-FE-3 02079K-AC-1 025816-BR-9 03040W-AU-9			2	2FE 2FE 1FE 2FE 2FE 2FE	248,053 99,987 149,679 146,879 143,552 249,448 251,726	104 .8490 101 .9640 102 .5370 .99 .5560 103 .2520 105 .3880 104 .9260	262,122 101,964 153,806 149,333 154,879 263,471 262,315	250,000 100,000 150,000 150,000 150,000 250,000 250,000	249,349 99,995 149,949 147,861 144,772 249,481 252,317	0 0 0 0 0 0	818 .1 .35 .297 .969 .33 .318	0 0 0 0 0 0 0	0 0 0 0 0 0 0 0	3.400 2.850 3.950 1.998 3.000 3.450 3.500	3.423 2.852 3.975 2.231 3.797 3.476 3.330	MAN JD FA AO JD JD	1.086 372 494 1.132 763 719 632	5,100 2,850 5,925 2,997 4,500 4,744 5,250	12/16/2019 .05/09/2016 .05/18/2011 .08/02/2016 .09/27/2018 .05/06/2019 .12/16/2019	05/15/2025 05/14/2023 06/01/2021 08/15/2026 10/30/2024 06/01/2029 12/05/2026
035240-AQ-3 040555-CV-4 05531F-BB-8 05723K-AE-0 059165-EG-1 060516F-AA-1 06406R-AA-5 092113-AR-0 10112R-2Y-0 11112R-2Y-5	WORLDWIDE INCARIZONA PUBLIC SERVICE CO BB&T CORP		2 2 2	近 作 作 作 作 作 作 作 作 作 作 作 作 作 作 作 作 作 作 作	223,184 149,306 99,944 99,918 142,176 149,550 252,940 99,071 99,786 249,433 252,861 123,143	115.8910 99.5680 100.3740 103.3850 104.2540 100.3620 105.2640 100.5690 101.4210 99.9930 100.9410 100.6670	231, 781 149, 352 100, 374 103, 385 156, 381 150, 543 263, 159 100, 569 101, 421 249, 982 252, 353 125, 834	200,000 150,000 100,000 100,000 150,000 150,000 250,000 100,000 250,000 250,000 250,000 250,000 125,000	222, 198 149, 517 99, 994 99, 944 143, 163 149, 691 252, 835 99, 976 99, 907 249, 447 252, 797 124, 482	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	(986) 65 12 11 716 42 (82) 18 42 42 14 (64)	0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0	4.750 2.550 2.625 2.850 3.337 2.400 3.864 2.500 2.600 3.050 2.750 2.000	3.289 2.603 2.637 2.863 4.012 2.434 3.518 2.519 2.646 3.076 2.562 2.166	JJ MS JD FA JJ AO FA AO FA	4,169 1,126 15 515 222 1,360 4,240 528 1,040 1,864 1,719 1,042	4,750 3,825 2,625 2,825 5,006 3,600 7,728 2,500 2,600 0	07/15/2019 09/15/2016 06/22/2015 10/23/2017 08/13/2018 08/15/2016 12/16/2019 02/16/2016 01/31/2017 12/16/2019 12/16/2019 07/26/2012	01/23/2029 09/15/2026 06/29/2020 10/26/2024 12/15/2027 08/15/2026 07/23/2024 04/15/2021 02/07/2022 10/15/2029 10/01/2026 08/01/2022
11133T-AD-5 8				2FE 1FE	249,282 147,492	99.8750 107.3270	249,687 160,990	250,000 150,000	249 ,285 147 ,940	0	3 250	0	0	2.900 3.650	2.933 3.875	JD.		0 5,475	12/16/2019 03/14/2018	12/01/2029 01/12/2027

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ANNUAL STATEMENT FOR THE YEAR 2019 OF THE Delta Dental of Iowa

												F / NIN I	Voor								
1	2		Cod	es	6	7	Fair \	/alue	5nowing Ali L	ong-Term BUN		ember 31 of Current ` hange in Book / Adjust		· · · ·			Interes	<u></u>		Da	tes
	-	3	4 F 0	5	NAIC	,	8	9	10	''	12	13	14 Current Year's Other	15 Total Foreign	16	17	18	19	20	21	22
CUSIP Identification	Description	1 -	e i g	Bond	Designation and Admin- istrative	Actual	Rate Used to Obtain Fair	Fair	Par	Book/ Adjusted Carrying	Unrealized Valuation Increase/	Current Year's (Amortization)/	Than Temporary Impairment	Exchange Change In	Rate of	Effective Rate	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
125523-AH-3 12572Q-AE-5	CIGNA CORP CME GROUP INC	Code	n	CHAR 1	Symbol 2FE 1FE	Cost 219,958 149,537	Value 110.7650 102.8690	Value 221,529 154,304	Value 	Value 219,653 149,864	(Decrease) 0 0	Accretion (305) 48	Recognized 0	B./A.C.V.	4.375 3.000	3.058 3.035	AO MS	1,847 1,325	0 4,500	12/16/2019 09/05/2012	10/15/2028 09/15/2022
126408-GV-9 126650-CX-6 133131-AU-6	CVS HEALTH CORP				2FE 2FE 1FE	124,374 216,136 149,721	102.5550 109.0670 107.0130	128,194 218,134 160,520		124,896 215,799 149,880	0 0 0	(337) (27)		0 0 0	4.250 4.300 4.250	4.311 3.172 4.272	JD MS JJ	443 2 293 2 940	5,313 0 6,375	05/20/2011 10/18/2019 11/20/2013	06/01/2021 03/25/2028 01/15/2024
136385-AT-8 14040H-AY-1	CAPITAL ONE FINANCIAL CORP		C		2FE 2FE	149,346 149,306	104.5730 103.9590		150,000 150,000	. 149,513 149,872	. 0	103 79	0	D 0	3.800 4.750	3.883 4.808	AO JJ	1,203 3,285	5,700 7,125	05/24/2018 07/14/2011	04/15/2024 07/15/2021
14913Q-2S-7 17275R-AH-5 198280-AF-6	COLUMBIA PIPELINE GROUP INC			1 1	1FE 1FE		106 .0260 100 .0870 109 .2470	159,038 150,130 218,494		150,022 149,999 208,662	0	(5) 26 (1,136)		0 0	3.650 4.450 4.500	3.646 4.468 3.575	1D 11 1D	365 3,078 750	5,475 6,675 9,000	12/04/2018 11/09/2009 03/26/2019	12/07/2023 01/15/2020 06/01/2025
202795-HV-5 209111-EZ-2. 210518-DC-7	CONSOLIDATED EDISON CO OF NY			1	1FE		100.7840 101.1190 110.3590	100,784 101,119 220,718		99,999 99,988 199,552	0 0	25 42	0	0	4.000 4.450 3.800	4.002 4.476 3.830	JD		4,000 4,450 7,642	07/26/2010 06/02/2010 10/29/2018	08/01/2020 06/15/2020 11/15/2028
22966R-AB-2 233331-AW-7 233331-BB-2 24422E-UE-7	CUBESMART LP DTE ENERGY CO. DTE ENERGY CO. JOHN DEERE CAPITAL CORP WALT DISNEY CO			9 1	2FE 2FE 2FE 1FE 1FE	52,520 104,965 74,916 49,873 178,468	106.3720 100.2020 100.5300 106.2060 98.1520	53,186 105,212 75,397 53,103 196,304	.50,000 105,000 75,000 50,000 200,000	51,518 104,967 74,931 49,896 180,924	0	(377) 2 15 18 2,456	0	0 0	4 .375 2 .529 2 .600 3 .450 1 .850	3.495 2.536 2.639 3.494 3.485	JD AO JD MS JJ	97 664 87 518 1,552	2,188 538 986 1,725 3,700	03/30/2017 08/08/2019 06/10/2019 09/05/2018 01/10/2019	12/15/2023 10/01/2024 06/15/2022 03/13/2025 07/30/2026
268207 - AA - 5 29278N - AH - 6 29364D - AU - 4 29717P - AN - 7 302491 - AU - 9	DY7 LEASING LLC ENERGY TRANSFER OPERATING LP ENTERGY ARKANSAS INC. ESSEX PORTFOLIO LP FMC CORP.		С	1 !	1 2FE 1FE 2FE 2FE	37,712 209,714 149,507 157,637 125,115	101.8020 106.1880 105.1920 105.6280 103.3570	38,391 212,377 157,789 158,441 129,196	37,712 200,000 150,000 150,000 125,000	37,712 208,928 149,679 156,933 125,113	. 0 0 0 0	0 (786) 45 (704) (2)	0 0 0 0	0 0	2.578 4.500 3.500 3.875 3.450	2.578 3.352 3.538 2.673 3.439	MJSD AO AO MN AO	57 1,900 1,313 969 1,210	1,311 3,375 5,250 2,906	12/03/2013 12/16/2019 01/05/2016 07/18/2019 09/18/2019	12/10/2025 04/15/2024 04/01/2026 05/01/2024 10/01/2029
341099-CM-9 343498-AB-7 370334-CG-7 375558-AW-3	FIFTH THIRD BANK (OHIO) DUKE ENERGY FLORIDA LLC FLOWERS FOODS INC GENERAL MILLS INC GILEAD SCIENCES INC			.2 1	1FE 1FE 2FE 2FE 1FE	198,970 149,915 99,473 24,950 154,412	101.4790 100.6190 102.7240 111.2980 106.2090	202,958 150,928 102,724 27,825 159,313	200,000 150,000 100,000 25,000 150,000	199,729 149,999 99,628 24,957 153,080	0 0 0	149 6 48 48 (710)	0 0 0 0	. 0 0 0 0	2.875 4.550 3.500 4.200 3.700	2.955 4.554 3.562 4.225 3.150	AO AO . AO . AO .	1,438 1,706 875 216 1,388	5,750 6,825 3,500 1,050 5,550	09/02/2014 03/22/2010 09/23/2016 04/03/2018 02/02/2018	10/01/2021 04/01/2020 10/01/2026 04/17/2028 04/01/2024
40428H-PV-8 42328E-AA-4	GLAXOSMITHKLINE CAPITAL PLC HSBC USA INC HELIOS LEASING 11 LLC HOME DEPOT INC. HUNTINGTON NATIONAL BANK		C	2	1FE 1FE 1 1FE	199,378 199,824 50,579 148,356 250,555	103.7100 100.3730 101.8860 99.7910 104.7360	207,420 200,746 51,533 149,686 261,840	200,000 200,000 50,579 150,000 250,000	199,474 199,978 50,579 148,860 250,465	0	96 35 0 0 156 (90)		0 0 0	3.000 2.750 2.668 2.125 3.550	3.064 2.768 2.668 2.248 3.496	JD FA MJSD MS AO	500 2,200 49 939 2,095	4,100 5,500 1,284 3,188 8,875	03/19/2019 08/04/2015 01/27/2014 09/06/2016 01/29/2019	06/01/2024 08/07/2020 03/18/2025 09/15/2026 10/06/2023
452308-AX-7 459200-JZ-5.	ILLINOIS TOOL WORKS INCINTERNATIONAL BUSINESS MACHINES CORP	!			1FE	139,470 258,873	102.8500	154,275 263.535	150,000 250,000	141,106 258,595	0	1, 120	0	0	2.650 3.300	2.707	MN .	508	3,975 2,475	07/11/2018 12/16/2019	11/15/2026 05/15/2026
460690-BN-9. 46647P-AU-0	INTERPUBLIC GROUP OF COMPANIES INC. JPMORGAN CHASE & CO			1	2FE 1FE	150 113 190 000	102.5810 105.3610	153,871 200,186	150,000 190,000		0 n	(36)	0	0	3.750	3.723 3.798	AO JJ	1,406 3,166	5,781 7,214	09/25/2018 07/16/2018	10/01/2021 07/23/2024
49327M-2U-7 49427R-AL-6				. 1	1FE 2FE 1FE	248,503 99,444 124,625	103.9840 108.4960 101.0980	259,960 108,496 126,372	250,000 100,000 125,000	248 ,956 99 ,658 124 ,975		305 51 42	0 0		3.375 4.375 3.625	3.515 4.443 3.660	MS AO FA	2,672 1,094 1,888	8.438 4,375 4,531	07/10/2018 09/09/2015 07/26/2010	03/07/2023 10/01/2025 08/01/2020
529043-AD-3 532457-BQ-0	LP. LAM RESEARCH CORP. LEXINGTON REALTY TRUST ELI LILLY AND CO. LOWES CO INC.			1 1	2FE 2FE 2FE 1FE 2FE 2FE	149 ,241 257 ,374 149 ,825 149 ,774 149 ,102 206 ,954	101.3750 107.1720 103.9870 101.2950 105.8850 103.6610	152,063 267,930 155,981 151,942 158,828 207,322	150,000 250,000 150,000 150,000 150,000 200,000	149,863 256,978 149,918 149,891 149,631 206,854	0 0 0 0 0	113 (395) 16 44 91 (100)	0 0 0 0	0 0 0 0	3.500 3.750 4.400 2.350 3.875 4.000	3.581 3.234 4.414 2.382 3.947 3.503	MS MS JD MN MS MS	1,750 2,760 293 450 1,711 2,356	5,250 3,979 6,600 3,525 5,813 0	02/19/2014 12/16/2019 05/13/2014 05/04/2017 09/04/2013 12/16/2019	03/01/2021 03/15/2026 06/15/2024 05/15/2022 09/15/2023 03/15/2028
579780-AH-0 58013M-EJ-9				1	1FE 1FE 2FE 2FE 1FE	149,885 199,962 149,469 124,503 88,478	107 .2290 106 .0420 102 .3790 100 .7880 107 .1500		150,000 200,000 150,000 125,000 89,000	149,931 199,977 149,906 124,969 88,590	0 0	10 5 58 58 57 70	0 0 0 0 0	0 0 0 0	3.750 3.375 3.900 3.500 3.500	3.758 3.378 3.943 3.547 3.598	MS AO JJ JJ MS	1,672 1,688 2,698 2,017 917	5,625 6,750 5,850 4,375 4,597	09/09/2015 06/12/2018 07/05/2011 07/28/2010 06/08/2018	03/14/2026 04/01/2024 07/15/2021 07/15/2020 03/15/2025

1	2		des	T 6	T 7	Foir V	Value	Showing All L	ong-Term BUI		ember 31 of Current hange in Book / Adjust			Т.		laters	-4			ates
•	-	3 4 F O	5	NAIC		8	9	10	11	12	13	14 Current Year's Other	15 Total Foreign	16	17	Interes	19	20	21	22
CUSIP Identification	Description	e i g Code n	Bond CHAR	Designation and Admin- istrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Than Temporary Impairment Recognized	Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
594918-BR-4	MICROSOFT CORP MONTEFIORE MEDICAL CENTER NEXTERA ENERGY CAPITAL		2	1FE 1Z	199,628 145,000	101.0750 98.0790	202,150 142,214	200,000 145,000	199,746 145,000	0	35 0	0 0	0	2.400 2.152	2.421	FA .	1,907	4,800 2,912	. 08/01/2016 . 05/04/2016	08/08/2026 10/20/2026
66989H-AM-0 67021C-AL-1 674599-CR-4 680033-AC-1 682680-AX-1 68389X-BB-0 69353R-EW-4 695114-CS-5 70109H-AK-1	NOTE THAT HE WAS A STATE OF THAT HOLDINGS INC NORTHERN TRUST CORP NOVARTIS CAPITAL CORP NSTAR ELECTRIC CO OCCIDENTAL PEROLEUM CORP OLD NATIONAL BANCORP CNEOK INC ORACLE CORP PNC BANK NA PACIFICORP PARKER HANNIFIN CORP POST APARTMENT HOMES LP PRESIDENT AND FELLOWS OF		2	2FE 2FE 1FE 1FE 1FE 2FE 1FE 2FE 1FE 2FE 1FE 2FE 2FE 2FE 2FE	115,000 149,033 199,750 198,898 149,478 199,862 100,000 199,924 199,296 249,848 199,744 123,560 99,972	103.1520 103.7200 108.1030 101.3550 100.6840 101.1530 105.0900 100.5660 101.4520 100.2010 104.8920 103.5150 103.0350	118,625 .155,580 .216,206 .202,710 .151,026 .202,307 .105,090 .201,132 .202,905 .250,502 .209,784 .129,394 .103,035	115.000 150.000 200.000 200.000 150.000 200.000 100.000 200.000 200.000 250.000 200.000 125.000 100.000	115,000 149,100 199,780 199, 483 149,651 199,870 100,000 199,943 199,751 249,959 199,850 124,623 99,993	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 67 21 208 8 0 20 101 30 224 130 2	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	3.150 4.000 3.650 2.400 2.700 3.200 4.125 2.750 2.150 2.150 3.350 3.350 3.375	3.150 4.097 3.665 2.513 2.740 3.211 4.124 2.756 2.555 2.163 3.365 3.618 3.378	AO MS FA MN JD FA FA MS MO JJ MS JD	906 1,767 3,001 587 338 2,542 1,558 2,078 639 926 3,350 1,288 281	1,781 3,000 7,300 4,800 4,050 0 4,125 0 5,000 5,375 6,700 4,375 3,375	03/28/2019 06/06/2019 07/31/2018 02/14/2017 05/23/2016 08/06/2019 08/12/2014 12/16/2019 04/28/2015 04/26/2016 06/16/2015 09/07/2010 11/02/2012	04/01/2024 03/15/2027 08/03/2028 05/17/2022 06/01/2026 08/15/2026 08/15/2024 09/01/2024 05/15/2022 04/29/2021 07/01/2025 09/15/2022 12/01/2022
740816-AJ-2 743315-AR-4 74432Q-BM-6	HARVARD COLLEGE PROGRESSIVE CORP PRUDENTIAL FINANCIAL INC PUBLIC SERVICE ELECTRIC AND		1 1 1	1FE 1FE 1FE	175,000 149,948 99,390	101.7850 100.6300 101.5210	178 , 124 150 , 945 101 . 521		175,000 149,962 99,964	0 0 0	0 5 74	0 0 0	0 0	2.300 2.450 5.375	2.300 2.454 5.454	. AO. . JJ . JD	1,006 1,695 149	4,025 3,675 5,375	04/29/2013 08/22/2016 06/16/2010	10/01/2023 01/15/2027 06/21/2020
74456Q-BR-6 74949L-AB-8 755111-BT-7 75884R-AX-1	GAS CO RELX CAPITAL INC RAYTHEON CO REGENCY CENTERS LP RETAIL OPPORTUNITY INV		11	1FE 2FE 1FE 2FE	149 ,507 44 ,810 99 ,465 214 ,672	98.7060 103.8250 100.9140 108.3440			149,659 44,874 99,952 214,616	0 0 0	46 36 59 (59)	0 0 0	0 0	2.250 3.500 3.125 4.125	2.287 3.593 3.187 3.077	MS MS AO MS	994 459 660 2,429	3,375 1,575 3,125 1,031	09/07/2016 03/09/2018 10/13/2010 12/16/2019	09/15/2026 03/16/2023 10/15/2020 03/15/2028
828807 - DD - 6 833034 - AK - 7 844895 - AV - 4 857477 - AZ - 6 867914 - BS - 1 87612E - AV - 2 88224L - AF - 5 88224L - AF - 5 882508 - AY - 0 883556 - BZ - 4 89236T - DW - 2 906548 - CP - 5 907818 - EH - 7 911312 - BC - 9 913017 - BD - 8 91324P - BV - 3 922776 - AH - 0 92343V - AX - 2 92343V - AX - 2 92343V - AX - 2 9236U - AE - 9 92940P - AE - 4 931142 - DU - 4 94106L - BG - 3 947890 - AH - 2	PTNRSHIP LP ROCKWELL AUTOMATION INC ROYAL BANK OF CANADA CHARLES SCHWAB CORP SHERWIN-WILLIAMS CO. SIMON PROPERTY GROUP LP SNAP-ON INC. SOUTHWEST GAS CORP STATE STREET CORP STATE STREET CORP STATE STREET CORP STATE STREET CORP TEXAS CHILDRENS HOSP TEXAS CHILDRENS HOSP TEXAS CHILDRENS HOSP TEXAS CHILDRENS HOSP TEXAS INSTRUMENTS INC THERMO FISHER SCIENTIFIC INC TOYOTA MOTOR CREDIT CORP UNION ELECTRIC CO UNION ELECTRIC CO UNION ELECTRIC CO UNION ELECTRIC CO UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITED TECHNOLOGIES CORP UNITEDHEALTH GROUP INC VERIZON COMMUNICATIONS INC WERLZON COMMUNICATIONS INC WEPP FINANCE 2010. W. P. CAREY INC WASTE MANAGEMENT INC WASTE MANAGEMENT INC WEBSTER FINANCIAL CORP WELLS FARGO & CO	Č.	1 1 1	光声 化苯甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲	150 , 384 49 , 833 199 , 888 149 , 760 201 , 457 149 , 729 48 , 778 124 , 958 150 , 000 150 , 219 124 , 641 220 , 000 153 , 419 198 , 962 194 , 390 249 , 788 146 , 750 199 , 972 99 , 501 150 , 460 124 , 138 149 , 015 123 , 931 137 , 229 206 , 321 149 , 523 180 , 916 249 , 980 257 , 524 99 , 208 149 , 673	103.9800 107.5530 105.4920 102.1020 101.2650 102.1130 104.2990 103.2880 101.5330 108.2270 101.1290 100.1230 101.0860 98.8780 103.5020 107.1470 102.1810 101.1380 100.4300 101.0860 101.1380 101.33640 101.3640 101	155,970 53,776 210,985 153,153 202,530 153,170 52,150 129,110 152,299 162,340 126,411 220,271 151,628 197,756 207,004 267,868 153,272 151,707 200,860 100,664 163,391 127,366 153,557 129,205 141,799 210,453 160,546 185,190 254,350 267,206 104,909 154,631	150,000 50,000 200,000 150,000 150,000 50,000 150,000 150,000 150,000 150,000 150,000 220,000 150,000 200,000 200,000 150,000	150, 177 49, 845 199, 914 149, 856 201, 458 149, 826 48, 950 124, 992 150, 000 150, 173 124, 977 220, 000 151, 037 198, 968 195, 725 249, 804 149, 085 147, 655 199, 994 99, 984 150, 378 124, 790 149, 491 124, 842 136, 738 205, 959 149, 668 180, 800 249, 995 257, 476 99, 635 149, 922		(40) 12 21 45 11 48 126 33 0 (29) 41 41 0 (910) 6 908 17 134 905 5 55 (60) 91 137 120 (530) (530) (530) (362) 42 (416) 79 34	O O O O O O O O O O O O O O O O O O O	D D D D D D D D D D D D D D D D D D D	5.000 3.500 3.700 2.650 2.950 2.750 3.250 3.875 2.653 4.000 2.750 2.650 2.371 2.750 2.600 2.750 2.350 2.350 2.350 4.500 2.875 3.125 4.600 4.329 3.750 4.250 3.900 3.500 2.875 3.125 4.600 4.329 3.750 4.500 3.950	4.967 3.540 3.712 2.683 2.860 2.786 3.585 3.878 2.654 3.975 2.118 2.660 3.440 3.510 2.859 3.038 2.353 4.558 3.899 2.371 2.118 2.660 3.440 3.510 2.859 3.038 2.353 4.558 3.899 2.371 3.038 4.706 4.288 3.427 2.351 3.069 4.473 3.525	JD MS AO JD AO MS MS AO JD AO S MS MS AO JD AO S MS MS AO JD AO S MS MS AO JD AO S MS MS AO JD AO S MS AO S	333 583 1,768 1,723 2,049 344 542 1,211 508 1,000 2,234 1,304 1,249 1,199 1,192 2,576 1,375 441 1,984 950 2,222 1,058 208 1,438 1,503 2,125 1,594 569 261 383 1,663 1,683 1,683 1,683 1,683	7,500 875 7,400 3,975 0 4,125 1,625 4,844 3,980 6,000 4,594 4,125 3,525 4,700 4,500 5,925 3,594 4,688 5,750 2,706 2,813 6,375 3,6375 2,918 4,375 2,918 4,375 2,918 4,375 2,918	12/05/2013 02/27/2019 10/02/2018 12/04/2017 12/16/2019 11/30/2017 08/16/2018 03/20/2012 05/10/2017 04/26/2018 07/13/2010 12/10/2014 04/27/2017 12/16/2019 07/10/2018 03/04/2019 01/26/2016 02/23/2010 02/23/2010 02/23/2010 03/04/2019 01/26/2016 02/23/2010 02/23/2010 02/23/2010 03/04/2019 01/26/2016 01/14/2019 09/07/2016 03/03/23/2011 07/15/2019 12/16/2019 09/07/2016 10/18/2019 10/11/2017 12/16/2019 02/04/2014 03/01/2012	12/15/2023 03/01/2029 10/05/2023 01/25/2023 08/15/2029 06/01/2023 03/01/2027 04/01/2022 05/15/2023 05/01/2025 07/15/2020 10/01/2020 03/12/2021 10/01/2029 03/15/2029 03/01/2028 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 03/01/2029 09/21/2028 09/19/2024 00/01/2028 01/15/2029 06/01/2028 06/01/2028 06/01/2028 06/01/2028 06/01/2028 06/01/2028 06/01/2028 06/01/2028 06/15/2029 06/15/2029

								Showing All L	ong-Term BOI		ember 31 of Current \									
1	2	$\overline{}$	Codes	6	7	Fair '	Value	10	11	CI	nange in Book / Adjust	ed Carrying Value				Interes	st		Da	tes
ļ		1 1	4 5	1		8	9			12	13	14	15	16	17	18	19	20	21	22
		1 1	F			l						Current		1						
]		0									Year's	Total							
	1	i I	г	NAIC							i	Other	Foreign							
			е	Designation		Rate Used			Book/	Unrealized		Than	Exchange				Admitted	Amount		Stated
1	}		i	and Admin-		to Obtain			Adjusted	Valuation	Current Year's	Temporary	Change		Effective		Amount	Rec.		Contractual
CUSIP	İ	1	g Bond	istrative	Actual	Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	ln n	Rate	Rate	When	Due &	During .		Maturity
Identification	Description	Code	n CHAR	Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	WESTAR ENERGY INC	ļ ļ.		1FE	199,224	103 . 3360	206,672	200,000	199 , 425	0			0	3.100	3 . 145	AO	1,550	6,200	02/27/2017	04/01/2027
98389B-AW-0		- -		2FE	248,192	98.9570	247,392	250,000	248 , 213	0	21	0	0	2.600		JD	975	0	12/16/2019	12/01/2029
98419M-AJ-9				2FE	149,691	103 7380	155,606	150 , 000	149,785	0	28	ļ0		3.250	3.274	MN		4 .875	10/03/2016	11/01/2026
98978V-AL-7.	ZOETIS INC			2FE	149,166	102 .3270	153,490	150,000	149,340	0		0	0	3.000	3.065	MS	1,363	4,500	09/05/2017	09/12/2027
3299999 - 1	Bonds - Industrial and Miscella	aneous (l	Unaffiliated;) - Issuer	00 504 000	i i				_		_	_			l i				
0	Obligations				20,594,933	XXX	21,246,743	20,522,290	20,614,466	0	4,662	0	0	XXX	XXX	XXX	169,386	528,821	XXX	XXX
	istrial and Miscellaneous (Unaf																			
Bonds - Indu	strial and Miscellaneous (Unaf	rillated) - Commerci	a⊩ Mortgage-Ba				****												
	BACM 17BNK3 A2 - CMBS	} -	- - 4	I IFE	257,489	101.6750	254 . 188	250,000		. 0	(1.548)	0	0	3 . 116	2.465	MON	649	7,790	.02/02/2017	02/17/2050
	BANK 19BN22 A1 - CMBS BMARK 19B10 A4 - CMBS	1	4	1FE	246,058	99.9110	245,845	246,064	246,055	0	[3]	. 0	Į 0 l	2.077	2.054	MON	426	426	10/25/2019	11/17/2062
	CSAIL 17CX9 A2 - CMBS	f l	4	1FE	257,491	108.7900	271,975	250,000	257.001	0	(490)	. 0	ļ. <u>0</u> ļ	3.717	3.367	MON	774	6,195	03/21/2019	03/17/2062
	IGSMS 12GCJ9 A3 - CMBS		1 4	1FE 1FE	206,000	101.9790	203,958	200,000	203 174	ñ	(1,250)		} ŭ	3.054	2.380	MON	509	6,108	09/19/2017	09/16/2050
	MSBAM 15C27 A4 - CMBS		4	1 1FE	202,490 205,988	101.2910 106.4820	200,106 212,964	197,556	198,476 203,627		(363)	0]0]	2.773	2.580	MON	457	5,511	11/16/2012	11/10/2045
	MSC 17H1 A2 - CMBS		1 7	1FE	205,966	101,7300	203,460	200,000	202,779	U U	(600) (1,284)	0	الإ	3.753 3.089	3.401 2.414	MON I	626 515	7,506	11/19/2015	12/17/2047
	UBSBB 12C4 A5 - CMBS		1 7	1 1FE	250,664	101.7300	253,773	250,000	250,331	U	(1,284)	0	۱ ٪۱	2.850	2.414	MON.	594	6,178 7,125	05/24/2017 01/05/2018	06/17/2050 12/12/2045
	UBSCM 17C6 A5 - CMBS	1	1 7	ife	257 . 498	106.9290	267,323	250.000	256,071		(698)	U		3.580	3.231	MON	746	7,123 8,949	12/01/2017	12/12/2045
94988X-AR-7	WFCM 14LC16 A2 - CMBS	t I	1 4	ife	3.792	99.9870	3.681	3.682	3.696	o	12		"	2.819	2.254	MON I	740	0,949 55	05/21/2014	08/17/2050
	WFCM 16C32 A4 - CMBS	i I	1 4	1FE	102.995	105.9210	105.921	100.000	101.876		(299)		, ,	3.560	3.209	MON	297	3,560	02/03/2016	01/17/2059
	WFCM 16C36 A4 - CMBS	1	1 4	1FE	154.495	103.3360	155.004	150,000	153.140	o l	(438)	ň	1 61	3.065	2.722	MON	383	4.598	10/21/2016	11/18/2059
	WFCM 19C50 A1 - CMBS	j	1 4	1FE	42.823	100.4120	43.000	42.824	42.817	ň	(430)	ň	ا م	2.741	2.715	MON	98	1,291	04/29/2019	05/17/2052
	Bonds - Industrial and Miscella	neous (L	Inaffiliated)					12,021			10/	. 0		2.771	2.110	#IOI1	50	1,231	D4/23/2013	55/1//2052
	Commercial Mortgage-Backed Se				2.393.783	XXX	2,421,197	2,340,126	2,372,166	0	(7.136)	0	1 01	xxx i	XXX	l xxx l	6,081	65,291	xxx	xxx i
Bonds - Indu	strial and Miscellaneous (Unaf			an-Backed and			_,,	210.01.120	2,012,100	•	(1,100/1		· • • • • • • • • • • • • • • • • • • •		ллл			50,251	AAA	****
02005A - GM - 4	AMOT 173 A2 - ABS	Ι Ι΄	4	, 1FE	197,422	99.9940	199,988	200,000	199,136	0	1,714 [0	n 1	2.040	.3.020	MON	181	3,740	01/29/2019	06/15/2022
	AMXCA 177 A - ABS	1	1 4	1FE	196,250	101.2750	202 550	200,000	197,715	n	782	D	ا ۱ ۱	2.350	2.793	MON	209	4,700	02/01/2018	05/15/2025
037680-AA-3	AEPWV 1113 A1 - ABS	Ī	1 4	1FE	158.692	100.0160	159,178	159 152	158.720	ñ	27	ň	1 6	2.008	2.187	FA	1,331	2,185	06/05/2019	02/01/2024
126802-DH-7	CABMT 152 A1 - ABS] 4	1FE	149,940	100.1130	150 169	150,000	149,993	0	12	Ö	ا هٔ ا	2.250	2.269	MON	150	3,375	07/08/2015	07/17/2023
	COMMET 191 A - ABS]]	4	l 1FE	199.965	102.0780	204,155	200,000	199,970	0	5	Ŏ	ا ة	2.840	2.860	MON	252	4,497	02/20/2019	12/16/2024
	CNP 4 A2 - ABS		. 4	1FE	. 37,982	99.9160	38.018	38,050	38,041	0	7	Ŏ	[ō l	2.161	2.228	AO	174	157	04/23/2014	10/15/2021
	CNP 4 A3 - ABS	ļ.	. 4	1FE	99,375	102 . 4870	. 102,487	100,000	99,775	0	105	0	ا و ا	3.028	3.111	AO.	639	3.028	04/21/2014	10/15/2025
	CCCIT 14A1 A1 - ABS		. 4	1FE	141 ,553	101.0080	141,411	140,000	140,259	0	(253)	. 0	0	2.880	2.700	JJ	1,792	4,032	03/19/2014	D1/23/2023
	CCCIT 18A1 A1 - ABS	ļ.,	4	1FE	198,625	100.6550	201,309	200,000	199,257	0	632	0	ļ0 ļ	2.490	2.850	JJ	2,227	2,490	01/29/2019	01/20/2023
	CMRS 14A A2 - ABS		4	1FE	127,926	102.2300	130,780	127 ,928	127 ,931	0	(1)	0	ļ	2.962	2.960	MN	632	3,789	07/14/2014	11/03/2025
	DCENT 172 A - ABS		4	1 <u>FE</u>	99,953	101.0180	101,018	100,000	99,980	0]	.9	0	, 01	2.390	2.412	MON	106	2,390	01/13/2017	07/15/2024
	DCENT 181 A - ABS		∤4	1FE	199,993	102.6930	205,386	200,000	199,992	0	10	<u>0</u>	i õt	3.030	3.052	MON	269	6,060	03/08/2018	08/15/2025
	DY9 LEASING LLC ENOI 1 A - ABS	····		1 1FE	120,471 124,039	101 .4010 100 .9490	122,159 125,251	120,471 124,073	120,478 124,058	. 0	(1) -	0	}	2.372	2.370	MJSD	95	2,742	05/04/2015	03/19/2027
	FEOH 2013 A2 - ABS			1FE	16,725	99.9980	16,725		16,726	V I		. 0	ا لا	2.670	2.674	JD	276	2,870	01/25/2017	06/01/2027
	FORDF 183 A1 - ABS		***************************************	1FE	256,719	102.4640	256,161	16,726 250,000	255,347	N I	(1,372)	ย	ا ۸ ا	1.726	1.720	JJ	133	(204)	06/12/2013	01/15/2022
	FORDO 19A A3 - ABS		1 4	1 1FE	249.958	101.3600	253.399	250,000	249.972		(1,3/2)		ا لا	3.520 2.780	2.309	MON .	391 309	4,400 5,077	07/10/2019	10/15/2023
	GMCAR 192 A2A - ABS		1 7	1FE	158,213	100.2800	158.670	158,227	158,221	%			ן אַ	2.760	. 2.686	. MON.	175	2.794	03/19/2019 04/09/2019	09/15/2023 06/16/2022
	HELIOS LEASING 1 LLC		1	1 1	32,264	100.1500	32,313	32.264	32.264		(1)		۱ ۲	2.018	2.021	FMAN	58	611	06/12/2012	05/29/2024
	HART 19B A3 - ABS	i	4	I 1FE	199,991	100.0220	200.044	200.000	199 991	n l			1 61	1.940	1.950	MON	172	420	10/29/2019	02/15/2024
	HART 19A A3 - ABS		4	I 1FE	199.974	101.0960	202, 192	200,000	199 982	n l	· · · · · · · · · · · · · · · · · · ·		l il	2.660	2.681	MON	236	3.621	04/03/2019	06/15/2023
	JDOT 2019 A3 - ABS		4	I IFE	174,978	101.2380	177 . 167	175,000	174,985	ň	6	n	וֹ חַ	2.910	2.932	MON	226	3,848	03/05/2019	07/17/2023
	MEXICAN AIRCRAFT FINANCE V	l I	1		,				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				ļ	5.0	2.002			3,040	20,00,2010	21.11.2020
59280L-AA-8.		I	.]. 1	1 1	74,917	101,0090	75,673	74.917	74,917	o l	nl	0	ا ما	2.329	2.329	JAJO	373	1.687	02/24/2015	01/14/2027
	PSNH 181 A2 - ABS.		. 4	I IFE]	164,994	105.6960	174,399	165,000	165,008	0		Ŏ	ا ۱۸	3.506	3.505	FA	2,410	7,119	05/01/2018	08/01/2028
87165L-AF-8	SYNCT 151 A - ABS		4	1FE	99,980	100.0720	100,072	100,000	99,999	ōl	4	Ŏ	ا هٔ ا	2.370	2.386	MON	105	2.370	03/09/2015	03/15/2023
	TAGUA LEASING LLC		1 1	Į 1 I	42,322	99.8400	42,254	42,322	42,322	ō [0	Ö	ا هٔ ا	1.900	1.900	JAJO	176	767	07/11/2012	07/12/2024
	TAGUA LEASING LLC	l	Į. 1	11	63,374	99.4090	63,000	63,374	63,374		ō [Ŏ	l ől	1.732	1.732	MJSD	40	1,037	09/10/2012	09/18/2024
	TAOT 188 A3 - ABS		4	1FE.	199.997	100.9860	201,971	200,000	199,999	0	Ī[Õ	ı öl	2.960	2.979	MON	263	5,920	. 05/09/2018	09/15/2022
	UNION 16 LEASING LLC		ļ	1 1	. 69,506	99.9930 .	69,501	69,506	69,506	0	0 [Ō	i ol	1.863	1.863	JAJO	248	1,241	01/28/2013	01/22/2025
	VZOT 18A A1A - ABS		4 4	1FE	174,997	101.5480	177,709	175,000	175,000	0	. 0	. 0	D	3.230	3.252	MON	173	5,652	10/02/2018	04/20/2023
98162E-AU-1.	WOART 17A A3 - ABS		4	1FE	101,023	99.9370	102,059	102 , 124].	101,425	0	388	0	0	1.930	2.951	MON		1,971	12/21/2018	09/15/2022

8399999 Subtotals - Total Bonds

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE Delta Dental of Iowa

SCHEDULE D - PART 1

											ember 31 of Current	Voor								
1 7	2	Co	des	6	7	Fair	Value	onowing All L	ong-Term BUI		ember 31 of Current hange in Book / Adjust					Interes			D:	ates
'	٤	3 4	5	ქ ა	'	R	yaiue g	וייי	11	12	13	14	l 15	16	17	1 18	19	20	21	22
		Fore	_	NAIC Designation		Rate Used to Obtain	, 9		Book/ Adjusted	Unrealized Valuation	Current Year's	Current Year's Other Than Temporary	Total Foreign Exchange Change	10	Effective	10	Admitted Amount	Amount Rec.		Stated Contractu
CUSIP			Bond	istrative	Actual	Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	In In	Rate	Rate	When	Due &	During		Maturity
dentification	Description	Code n		Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date 1
3599999 - B	onds - Industrial and Miscell					74.45	75.00			(200.0000)	7 1001011071	**************************************	2			1.07.0	7.00.000			
	Loan-Backed and Structured S	ecurities	,	• • • • • • • • • • • • • • • • • • • •	4.332.117	l xxx	4.387.167	4,334,133	4.334.345	0	2,114	0	0	xxx	l xxx	I xxx I	13.913	94.386	XXX	XXX
	onds - Industrial and Miscell		ffiliated\	- Subtotals			.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			· ·				<u> </u>	,			
	- Industrial and Miscellaneo				27,320,833	l xxx	28.055.108	27 . 196 . 549	27.320.978	0	(360)	0	0	XXX	XXX	I xxx I	189.379	688.498	XXX	XXX
onds - Hvbri	d Securities - Issuer Obligat	ions							, , , , , , , , , ,	•	(000)	•	· ·		1	1			•	•
	d Securities - Residential Mo		ed Securit	ies			_				······································									
onds - Hybri	d Securities - Commercial Mor	tgage Backe	ed Securiti	es	•	•						· · · · · · · · · · · · · · · · · · ·								
	d Securities - Other Loan-Bac									·				 						
	t. Subsidiaries and Affiliate																			
	t, Subsidiaries and Affiliate				ecurities															
onds - Paren	1. Subsidiaries and Affiliate	es - Commerc	ial Mortoa	ge-Backed Ser	curities														_	
	1. Subsidiaries and Affiliate																			
	1. Subsidiaries and Affiliate																			
	t Subsidiaries and Affiliate						_													
	entified Funds - Exchange Tra																			
	dentified Funds - Exchange Tra																			
	iliated Bank Loans - Unaffili																			
	iliated Bank Loans - Unaffili																			
	onds - Total Bonds - Subtotal				27.975.986	עעע ז	28.728.396	27,723,784	27.924.790	40.004	1 /7 (CO)			VVV	1 000	1 "VVV 1	200 270 1	706.382	XXX	! XXX
	onds - Total Bonds - Subtotal				27,975,986	XXX	26,726,396	21,123,184	27,924,790	10,881	(7,163)	0	0	XXX	XXX	XXX	206,378	700,302	777	***
7799999 - 5		s - Residen	iiai wortga	аде-васкео	6,457,918	l xxx	0.007.045	6.438.836	6.459.901	a	(4.004)	n	ا ا	XXX	l xxx	xxx	17.197	187 , 105	XXX	XXX
7000000 0	Securities onds - Total Bonds - Subtotal:	0		Control	0,457,916	^^^	6,637,215	0,430,630	6,459,901	U	(1,264)	U	U	XXX	***	***	17,197	167,100	444	***
7899999 - B		s - Commerc	iai mortgag	је-васкеа	2 470 402	VVV	2 004 045	2 425 426	0 454 000		(7.400)	n	ا ا	VVV	l xxx	xxx	8.428	91.129	XXX	XXX
7000000 0	Securities	. 0.1	5		3,176,463	XXX	3,261,015	3,125,126	3,154,360	0	(7,152)	U	0	XXX	XXX	***	8,428	91,129	AAA	. ***
7999999 - B	onds - Total Bonds - Subtotal	s - Other Lo	oan-Backed	and	4 000 447	XXX	4 207 407	4 004 400	4 004 045		0.44	^		000	VVV	xxx	40.040	94.386	xxx	XXX
	Structured Securities				4,332,117	7.7.7	4,387,167	4,334,133	4,334,345	0	2,114	0	0	XXX	XXX	777	13,913	94,386	XXX	777
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10,881

(13,465)

43,013,793 41,621,879 41,873,396

41,942,485

SCHEDULE D - PART 2 - SECTION 1

								Sho	wing All PRE	FERRED S	TOCKS Owne	ed December 31	of Current Year							
1	2		odes	5	6	7	8	Fair	Value	11		Dividends			Change in E	Book/Adjusted Carryin	ng Value		20	21
		3	4			1		9	10		12	13	14	15	16	17	18	19		
CUSIP Identification	Description	Code	Foreign	Number Of Shares	Par Value Per Share	Rate Per Share	Book/ Adjusted Carrying Value	Rate Per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared but Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change In B./A.C.V. (15+16-17)	Total Foreign Exchange Change In B./A.C.V.	NAIC Desig- nation and Admin- istrative Symbol	Date Acquired
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8999999 Total (Preferred Stocks						0	XXX	0	0	0	0	0	0	1 0	0	0	0	XXX	XXX

SCHEDULE D - PART 2 - SECTION 2

								I STOCKS Owned	December 31 c	of Current Year							
1	2	c	Codes	5	6	Fair '	Value	9		Dividends			Change in Book/Adi	usted Carrying Value	1	17	18
		3	4		Book / Adjusted	7 Rate per Share Used To Obtain	8		10	11 Amount	12 Nonadmitted	13 Unrealized Valuation	14 Current Year's Other-Than- Temporary	15 Total Change in	16 Total Foreign Exchange		NAIC
CUSIP				Number of	Carrying	Fair	Fair	Actual	Declared	Received	Declared	Increase/	Impairment	B./A.C.V.	Change in	Date	Desig-
Identification	Description	Code	Foreign	Shares	Value	Value	Value	Cost	but Unpaid	During Year	But Unpaid	(Decrease)	Recognized	(13-14)	B./A.C.V.	Acquired	nation
Industrial and A	liscellaneous (Unaffiliated) Publicly Traded		1 0.0.5	,	1 1000	*5.00	1 1000	0031	Dut Oripula	During rear	- Dut Oripaid	(Decirease)	recognized	(10-14)	B371.0.4.	1 Modelled	
Industrial and I	lisce laneous (Unaffiliated) Other													1111			
	aries and Affiliates Publicly Traded									***							
Parent Subsidia	aries and Affiliates Other																
000000-00-0	Veratrus Health, Inc			10,000.000	2,862,372	286.237	2.862,372					(67, 218)		(67.218)		02/27/2017	XXX
	ent. Subsidiaries and Affiliates Other				2,862,372	XXX	2,862,372	2.862.372	0	0	0	(67,218)	0	(67.218)	0	XXX	XXX
Mutual Funds	DODAE A CON ATACK																
256219-10-6 413838-72-3	DODGE & COX STOCK CAKMARK INTERNATI INST	ł		13,765,040	2 667 114	193 . 760	2,667,114	1.582,997	0	261.630	0	262.512	. 0	262 . 512	Q	12/19/2019	
52469H-78-4	CB LARGE CAP GR	i	t	53,382,300 32,808,270	1.330.287 1.791.659	24.920 54.610	1,330,287 1,791,659	1,316,108	. . .	24,199	ļ <u>0</u>	217.637	ļ0	217.637	0	12/23/2019	
	MFS INTL NEW DISCV !		† · · · · ·	37,654,740	1,350,299		1,350,299	1,350,784 1,353,923		81,725 35,099		353.486 216.113	Į v	353 . 486 216 . 113	ע ע	12/18/2019 12/10/2019	
	SCHWAB CAP:FDW US LCI	İ	1	49.063.850	873.336	17.800	873.336	568.089	U	41,657		142.930	, ,	142.930		12/23/2019	1
808509-84-8	SCHWAB CAP:SC IDX	1	Ī	29,272.520	852.416	29.120	852.416	816.831		43,388	ň	109.774	Ĭ	109.774	ň	12/23/2019	1
921909-81-8	VANGUARD TOT 1 S ADM			57 , 388 . 150	1,714,184	29.870	1.714,184	1 . 478 . 138		21,086	Ö	110.320	Ö	110.320	Ö	12/20/2019	i
921936-20-9	VANGUARD PRIMECAP ADM		ļ	12,267.580	1,768,618	144 . 170	1.768.618	959.915	0	119.154	0		. 0	266.410	. 0	12/17/2019	
	VANGUARD GRO IDX ADM VANGUARD VAL IDX ADM			18,688.180	1,753,698	93.840	1.753,698	1.467.150	0	10,775		237 .904	o	237 .904	o	12/23/2019	
	VANGUARD VAL. TUX ADM VANGUARD 500 IDX ADM			37,061.840 5.947.980	1.733,382 1.773,330	46.770	1,733,382	.823.940	ō	41,656		303.813				12/23/2019	
298706-84-7	AMERI FNDS EUROPACIFIC GRWTH R4 FD	ļ	1	991.430	53,983	298 .140 54 .450	1.773,330 53,983	679.182 47.097	N	33,477 1,497		391.113 8.794	l D	391.113 8.794	. 0	12/20/2019 12/19/2019	
	PRINCIPAL MIDCAP S&P 400 IDX R5 FD	ľ	İ	2 798 610	58.547	20.920	58,547	57.760	U	3,212	U n	7.333	, v	7.333	U	12/20/2019	
74251T-33-9	PRINCIPAL LGCAP S&P 500 IDX R5 FD	Ĭ	Ī	17,206.080	343.433	19.960	343,433	286.844	Ď	11,798	, o	64,124	l ő	64.124	0	12/20/2019	
742511-87-5	PRINCIPAL CORE PLUS BOND R5 FD		1	6.859.510	75,249	10.970	75,249	74.213	Ď	2,364 5,617	Ď	3.408	Ö	3.408	Ď	12/31/2019	1
74253J-21-4	PRINCIPAL SMICAP S&P 600 IDX R5		ŀ	3.437.070	88,849	25.850	88,849	89,361	0			9,347	. 0	9.347	Ō	12/20/2019	
742553 - 38 - 1	MELLON CAP MIGHT BOND MKT IDX R5 FD.	ŀ		7.034.900	78,228		78,228	75.863	D	1,647			0	4.033	0	12/20/2019.	
	PRINCIPAL LIFETIME HYBRID 2020 R6 FD PRINCIPAL LIFETIME HYBRID 2030 R6 FD			11,015.130	127 665	11.590	127.665			3,911	٥	12,952	0	12.952	0	12/31/2019	
	PRINCIPAL LIFETIME HYBRID 2030 RG FD			14,918.580 4.806.480	180.217 60.225	12.080 12.530		161.016	0	6 ,537	0	20,838	0	20,838	0	12/31/2019	ŀ
	PRINCIPAL LIFETIME HYDRID INC R6 FD		f	4.362.410	46.896	10.750	50,225 46,896	52.177 43.837	. ע	2,260 1,351	Ü	9,034 3,494	<u>V</u>	9.034		12/31/2019 12/31/2019	
9499999 - Mutu				7.302.410	18.721.615	XXX	18.721.615	13,401,027		754.040	0	2.755.367		2.755.367		XXX	XXX
Unit Investment					1. 10,721.010	AMA	10,721.010	13,401.027	U	7 34 . 040	U	2,700,001	U	2.700,001	U		
Closed-End Funds							-										
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9799999 Total	al Common Stocks				21.583.987	XXX	21,583,987	16.263.399	0	754.040	^	2 688 149	0	2.688.149	Λ	1 000	- WWV
	al Preferred and Common Stocks				21,583.987	XXX	21,583,987		0		U		•		<u> </u>	XXX	XXX
3033333 1012	a Fieleneu and Common Stocks				21,583.987	AXA	21,583.987	16,263.399	U	754.040	0	2,688,149	0	2.688,149	0	XXX	XXX

Showing All Lon	g-Term Bonds and Stoc	ks ACOURED Duris	on Current Vear
CHOWING AN LON		vo vokouved Dani	IO CUITELL LEAL

			s	howing All Long-Term Bonds and Stocks ACQUIRED During Current Year				
1	2	3	4	5	6	7	8	9
CUSIP					Number of	Actual		Paid for Accrued
Identification	Description	Foreign	Date Acquired	Name of Vendor	Shares of Stock	Cost	Par Value	Interest and Dividends
Bonds - U.S. Governm	ments				0.000			
	JGNR 18114 B - CMBS		03/07/2019	BREAN CAPITAL LLC	XXX	285,117		
0599999 - Bonds -						285,117	300,000	284
Bonds - All Other Go	overnments Territories and Possessions (Direct and Guaranteed)							
97705M-NQ-4		Τ .	10/02/2019	IGOLDIMAN SACHS & CO. TINC.	YXX	80,000	80.000	0
1799999 - Bonds -	U.S. States, Territories and Possessions (Direct and Guar-	anteed)				80,000	80,000	0
Bonds - U.S. Politic	cal Subdivisions of States, Territories and Possessions (D	rect and Guaranteed						
864813-7A-2	U.S. Political Subdivisions of States. Territories and Po	(D)		WELLS FARGO BROKERAGE	XXX	200,000		
Ronds - U.S. Special	I Revenue and Special Assessment and all Non-Guaranteed Ob	Ssessions (Direct an	g Guaranteeg) s and Authorities c	of Covernments and Their Political Subdivisions		200,000	200,000	1 0
052414-RT-8	AUSTIN TEX ELEC UTIL SYS REV	I I I I I I I I I I I I I I I I I I I	05/23/2019	JP MORGAN SECURITIES INC.	I XXX	110,000	I 110.000	1 0
235036-4P-2	DALLAS FORT WORTH TEX INTL ARPT REV		08/08/2019	JP MORGAN SECURITIES INC.	XXX	200,000	200,000	Ō
31418D-FJ-6 31418D-KK-7	FN MA3768 - RMBS FN MA3897 - RMBS		09/05/2019 12/20/2019	INTL FCStone L.P.	XXX XXX	. 253,473 307,969	246,839	
45462T-HH-8	INDIANA BD BK REV		01/25/2019	INTL POSTONE L.P	XXX	200,000]
64990F-QV-9	NEW YORK STATE DORMITORY AUTHORITY		12/19/2019	MORGAN STANLEY & CO LLC	XXX	250,000	250,000	ĺ
67919P-PW-7 68607D-TY-1	OKLAHOMA ST WTR RES BRD REVOLVING FD REV		12/04/2019	MERRILL LYNCH MUNICIPLE	<u> </u>			
	OREGON ST DEPT TRANSN HWY USER TAX REV	Guaranteed Oblication		CITIGROUP GLOBAL MARKETS. INC	XXX	100,000 1,521,441		
	and Miscellaneous (Unaffiliated)	odaranteed obrigation	ns or Ageneres and	ADDITIONAL TIES OF SOVETIMENTS AND THE PERFORMANCE SUBDITIVISIONS		1,321,441	1,000,000	113
00206R-CN-0	AT&T INC		12/16/2019	CREDIT SUISEE FIRST BOSTON	XXX		100,000	312 181
02005A -GM-4 03040W-AU-9	AMOT 173 A2 - ABS AMERICAN WATER CAPITAL CORP	}	01/29/2019	WELLS FARGO BROKERAGE JP MORGAN SECURITIES INC.		197,422	200.000	
032654-AN-5	ANALOG DEVICES INC		05/06/2019 12/16/2019	IMARKETAXESS	XXX		250.000 100.000	0
035240-AQ-3	ANHEUSER-BUSCH INBEV WORLDWIDE INC] . c	07/15/2019	MILLENNIUM ADVISORS. LLC	XXX		200,000	4,592
037680-AA-3	AEPWY 1113 A1 - ABS		.06/05/2019	WELLS FARGO BROKERAGE	XXX	90,578	91,104	126 4,592 640 778 202 258 477 615
06051G-HL-6 06540X-BC-4	BANK OF AMERICA CORP BANK 19BN22 A1 - CMBS		12/16/2019 10/25/2019	MERRILL LYNCH FIXED INCOME WELLS FARGO BROKERAGE	XXX XXX		50,000 250,000	7/8
08162V-AE-8	BMARK 19B10 A4 - CMBS		03/21/2019	DEUTSCHE BANC SECURITIES INC.	XXX	257,491	250,000	258
092113-AR-0	BLACK HILLS CORP			VARIOUS	XXX	249,433		477
10112R-AY-0 11133T-AD-5	BOSTON PROPERTIES LP BROADRIDGE FINANCIAL SOLUTIONS INC		12/16/2019 12/16/2019	VARIOUS	XXX XXX	252,861 249,282		615
125523-AH-3	CIGNA CORP		12/16/2019	VARIOUS	ŶŶŶ	219,958	200,000	103 510
126650-CX-6	CVS_HEALTH_CORP		10/18/2019	WELLS FARGO BROKERAGE	XXX	216,136	200,000	L645
14041N-FT-3 17305E-GK-5	COMET 191 A - ABS			RBC CAPITAL MARKETS	XXX XXX	199,965 198,625	200,000	0 152
198280-AF-6	COLUMBIA PIPELINE GROUP INC	c t	03/26/2019	MADRIGAN STANLEY & COLLC	l âxx	209,798	200.000	2.925
233331-AW-7	DTE ENERGY CO		08/08/2019	WELLS FARGO BROKERAGE	[XXX [104,965	105,000	2,925 184
233331-BB-2 25468P-DM-5	DTE ENERGY CO		06/10/2019	CITIBANK, N.A. CANTOR FITZGERALD & CO	XXX XXX	. 74,916 178,468	75,000 200,000	1 696
29278N-AH-6	ENERGY TRANSFER OPERATING LP			VARIOUS	T XXX	209,714	200,000	1,686 1,200
29717P-AN-7	ESSEX PORTFOLIO LP		07/18/2019	WELLS FARGO BROKERAGE	1 xxx [157,637	150,000	1,308
302491-AU-9 34528Q-GH-1	FMC CORP FORDF 183 A1 - ABS			CITIBANK, N.A.	XXX	125,115	125,000	0
34533F - AD - 3	FORDO 19A A3 - ABS		07/10/2019 03/19/2019	JP MORGAN SECURITIES INC. JP MORGAN SECURITIES INC.	l XXX	. 256,719 249,958	250,000 250,000	660
36257F-AB-6	GMCAR 192 A2A - ABS		04/09/2019	BARCLAYS CAPITAL	XXX	249,978	250,000	[0
377373-AG-0	GLAXOSMITHKLINE CAPITAL PLC HUNTINGTON NATIONAL BANK	C .		VARIOUS.	XXX		200,000	
44644A - AE - 7 44891 J - AC - 2	HUNTINGTUN NATTONAL BANK			WELLS FARGO BROKERAGE RBC CAPITAL MARKETS	XXX		250,000	2,835
44932N-AD-2	HART 19A A3 - ABS		04/03/2019	COWEN AND COMPANY LLC	XXX	199,974	200,000	[0
459200-JZ-5	INTERNATIONAL BUSINESS MACHINES CORP		12/16/2019	VARIOUS	XXX	258,873	250,000	
47789J-AD-8 512807-AS-7	JDOT 2019 A3 - ABS LAM RESEARCH CORP			CITIBANK, N.A. VARIOUS	XXX			922
55336V - AR - 1	MPLX LP			VARIOUS.	XXX	206.954	200,000	1,283
65339K -BG-4	NEXTERA ENERGY CAPITAL HOLDINGS INC.		03/28/2019	MERRILL LYNCH FIXED INCOME	[xxx [115,000		
655664-AS-9 674599-CR-4	NORDSTROM NC OCCIDENTAL PETROLEUM CORP	<u> </u>	06/06/2019	GOLDMAN SACHS & CO. INC. MERRILL LYNCH FIXED INCOME	XXX XXX	149,033 199,862		
682680-AX-1	ONEOK INC	 	08/06/2019 12/16/2019	WARIOUS	XXX	199,862	200,000	470
75884R-AX-1	REGENCY CENTERS LP		12/16/2019	SUSQUEHANNA FINANCIAL GROUP LLP	XXX	189,712	175,000	1.865
773903-AH-2	ROCKWELL AUTOMATION INC.		02/27/2019	MERRILL LYNCH FIXED INCOME	XXX	49,833	50,000	0

CUSIP Date Acquired Name of Vendor Number of Shares of Stock Par Value Paid for Accrued Interest and Divident Name of Vendor Shares of Stock Cost Par Value Paid for Accrued Name of Vendor Shares of Stock Cost Par Value Paid for Accrued Name of Vendor Shares of Stock Cost Par Value Paid for Accrued Name of Vendor Shares of Stock Cost Par Value Paid for Accrued Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Shares of Stock Cost Par Value Name of Vendor Name of Ven				_	OCILDOLL D - I AIX I 3				
Color Part	4				thowing All Long-Term Bonds and Stocks ACQUIRED During Current Year		7		1 6
	1	2	3	4	5	6	'	0	9
1,000 1,00									
State			Foreign						
Section 10 Sect	824348-BJ-4							200,000	1,193
\$111.6.2.3. In 1th 9401, \$2000 W. \$110 9401, \$2000	B83556-B2-4							200,000	
SCHAFF 1			-	03/04/2019				250,000 450,000	588
Control Cont		VEDIZON COMMUNICATIONS INC	···· · · · · · · · · · · · · · · · · ·	07/15/2019					1,744
100-04-04-04-050 100-040-04-04-050 100-040-04-04-04-04-04-04-04-04-04-04-04-	02036M-AF-A		· · · · · · · · · · · · · · · · · · ·	12/16/2019				200.000	1,90
1913-13-13 INCER MANDERS IN C	92940P-AF-4		۷	10/18/2019					1,647
State Process State St		WASTE MANAGEMENT INC	i				257 524		25
\$20,000 \$20,		WECM 19050 A1 - CMBS					174.998		173
Prest Design Securities Prest Design Securities Prest Design Securities Prest Design Securities Prest Design Securities Prest Design Securities Prest Design Securities Prest Design Securities Prest Design Securities Prest Design Securities Desi						Lxxx		250,000	14
Prince Absorber	3899999 - Bonds -	Industrial and Miscellaneous (Unaffiliated)			<u> </u>		9,587,627	9,436,104	35,04
Proceedings Process	Bonds - Hybrid Secur	ities				•			
Part Part	Bonds - Parent, Subs	idiaries, and Affiliates							
1,501,185 1,32,243 31, 50,000 1,500									
1.574, 165 1.52, 40 1.574, 165 1.52, 40 1.574, 165 1.52, 40 1.574, 165 1.52, 40 1.574, 165 1.52, 40 1.574, 165 1.52, 40 1.574, 165 1.							11,674,185		
### ### ### ### #### #### ############	8399999 - Bonds -	Subtotals - Bonds					11,674,185	11,522,943	36,04
### ### ### ### #### #### ############	Preferred Stocks - I	ndustrial and Miscellaneous (Unaffiliated) Perpetual Prefe	erred						
Interest Stocks - Perent Stocklarines and Affiliates Repeatable Preferred	Preferred Stocks - I	ndustrial and Miscellaneous (Unaffiliated) Redeemable Pre-	ferred						
	Preferred Stocks - P	Parent, Subsidiaries and Affiliates Perpetual Preferred		1					
### Store - Industrial and Miscal Improse. (Interfit Interfit Discript Trainage Marcing Interfit Interfit Marcing Interfit	Preferred Stocks - P.	Parent, Subsidiaries and Affiliates Redeemable Preferred							
### Stors - Parent Substitutines April Transport Substitutines April Transport Substitutines April Transport Substitutines April Transport Substitutines April Transport Substitutines April Transport Substitutines S	Common Stocks - Indu	strial and Miscellaneous (Unaffiliated) Publicly Traded							
Description Substitution Subst	Common Stocks - Indu	strial and Miscellaneous (Unaffiliated) Other							
Description Substitution Subst	Common Stocks - Pare	nt. Subsidiaries, and Affiliates Publicly Traded							
\$39,999 - Common Stocks - Planet I, Subsidiaries and Affiliates Other \$30,000 \$33,000 \$3	Common Stocks - Parei	ent Subsidiaries and Affiliates Other							
Minor Stocks Multiple Funds Stock St	0-00000-00-0	Veratrus Health, Inc.		02/08/2019	Direct H.OB.				
25829-10-6							500,000	XXX	
41383-72-3 DAMANN NITEMAT INST	Common Stocks - Mutua	al Funds							
41383-72-3 DAMANN NITEMAT INST	256219-10-6	DODGE & COX STOCK			DIRECT	5/0.210			
S2496-78-4 CB LNEE CAP CR 12/18/2019 DIECT 1,577.650 81.725 XXX	256219-1U-6	DOUGE & CUX STUCK		12/19/2019	DIRECT	1,094.670			
55289-1-95-4 MFS INTL NEW DISCY		CO LADOC CAD OD		12/23/2019					†
806599-44-2	552081-85-4	MEG INTL NEW DIGOV I							Ì
BOSSOP-94-8 SCH-MBS CAP SC IDX							91 657		· ·
22/1909-61-8 WARQUARD TOT 1 STA ADM									· ·
S21996-98-8 VANGUARD TOT S.ADM		VANGUARD TOT I STK ADM		03/22/2019					1.
\$2196-20-9 VANGLARD PRINCE/IA DAM		VANGUARD TOT I S ADM		12/20/2019	DIRECT				1 .
922908-66-0 VANSAMO BRO IDX AM 12/22/2019 DIRECT 9,181-300 BS8-891 XXX 922908-67-8 VANSAMO VALLE IDX ADM 03/27/2019 DIRECT 9,181-300 10,004 XXX 922908-67-8 VANSAMO VALLE IDX ADM 12/22/2019 DIRECT 9,170-090 81-652 XXX 9,22908-71-0 VANSAMO SO INDEX ADM 12/22/2019 DIRECT 9,353-40 9,207 XXX 922908-71-0 VANSAMO SO INDEX ADM 12/22/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 DIRECT 9,000 ADM 12/20/2019 PRINCIPAL GLOBAL INVESTORS 9,000 ADM 12/20/2019 ADM 12/20/2019 PRINCIPAL GLOBAL INVESTORS 9,000 ADM 12/20/2019 ADM 12/20/2019 PRIN				12/17/2019					
922908-67-8 VANGLARD VALLE IDX ADM 03/27/2019 DIRECT 240:300 10.004 XXX 922908-71-0 VANGLARD VAL IDX ADM 12/27/2019 DIRECT 1.770.090 81:652 XXX 922908-71-0 VANGLARD 500 IDXEX ADM 12/27/2019 DIRECT 9.400 9.207 XXX 922908-71-0 VANGLARD 500 IDXEX ADM 12/27/2019 DIRECT 9.400 9.207 XXX 9.208-67-0 VANGLARD 500 IDX ADM 12/27/2019 DIRECT 9.400 9.207 XXX 9.208-67-0 VANGLARD 500 IDX ADM 12/27/2019 DIRECT 9.400 9.207 XXX 9.208-67-0 VANGLARD 500 IDX ADM 12/27/2019 PIRC 12/2019 PIR				03/27/2019	DIRECT				
922906-67-8 VANGUARD VALID X DM 1,770,090 81,652 XXX 922908-71-0 VANGUARD 500 INDX ADM 0,320/2019 DIRECT 3,5340 9.207 XXX 922908-71-0 VANGUARD 500 INDX ADM 12/20/2019 DIRECT 35,340 9.207 XXX 922908-71-0 VANGUARD 500 INDX ADM 12/20/2019 DIRECT 94,070 26,361 XXX 922908-71-0 VANGUARD 500 INDX ADM 12/20/2019 PRINCIPAL GLOBAL INVESTORS 251,380 12,539 XXX 9.207 XXX 9.208-71-3-3-9 PRINCIPAL GLOBAL INVESTORS 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,946,320 20,940 XXX 742511-33-9 PRINCIPAL GORD FOR FOR 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,946,320 20,940 XXX 742531-31-34-9 PRINCIPAL GORD MOR FOR 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,946,320 20,940 XXX 742531-31-4 PRINCIPAL GORD MOR FOR 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,946,320 24,202 XXX 742531-31-4 PRINCIPAL GLOBAL INVESTORS 1,946,320 24,202 XXX 742531-31-4 PRINCIPAL LIFETI INVESTORS 1,946,320 24,202 XXX 9.208-70	922908-66-0	VANGUARD GRO IDX ADM		12/23/2019					
922096-71-0 VANGJARD 500 INDEX ADM		YANGUAKU YALUE IDX ADM		03/27/2019					
92709-71-0 VANQUARD 500 IDX ADM 12/20/2019 PIRCT 12/31/2019 PIRCTPL G.GRAIL INVESTORS 251.380 12.539 XXX 251.360 XXX 251.360 XXX 251.			İ	12/23/2019					
288706-84-7 AMERI FNDS EUROPACIFIC GRWTH RA FID 12,19/2019 PRINCIPAL GLOBAL INVESTORS 251,380 12,539 XXX 742511-15-6 PRINCIPAL MIDCAP S&P 500 IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 834,360 16,663 XXX 742511-37-9 PRINCIPAL LICAP S&P 500 IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 5,034,160 87,237 XXX 742511-37-9 PRINCIPAL GLOBAL S&P 500 IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 5,034,160 87,237 XXX 742511-37-9 PRINCIPAL GLOBAL S&P 500 IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 954,220 2X XX 742553-38-1 MELLON CAP MSHT BOND MST IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 954,220 2X XX 742551-38-1 MELLON CAP MSHT BOND MST IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 954,220 2X XX 742561-40-2 PRINCIPAL LIFETIME HYBRID 2030 R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,550,260 17,656 XXX 742561-40-2 PRINCIPAL LIFETIME HYBRID 2030 R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 3,248,250 35,491 XXX 742561-40-2 PRINCIPAL LIFETIME HYBRID 2030 R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-0 PRINCIPAL LIFETIME HYBRID 2040 R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-0 PRINCIPAL LIFETIME HYBRID 2040 R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-0 PRINCIPAL LIFETIME HYBRID 2040 R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-5 PRINCIPAL LIFETIME HYBRID 10X R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-5 PRINCIPAL LIFETIME HYBRID 10X R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-5 PRINCIPAL LIFETIME HYBRID 10X R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-5 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 742561-60-5 PRINCIPAL GLOBAL INVESTORS 1,194,64 XXX 742561-60-5 PRINCIPAL GLOBAL INVESTORS 1,194,64 XXX 742561-60-5 PRINCIPAL GLOBAL INVESTORS 1,194,64 XXX 742561-60-5 PRINCIPAL GLOBAL INVESTORS 1,194,64 XXX 1,194,64 XXX 1,194,64 XXX 1,194,64 XXX 1,194,64 XXX 1,194,64 XXX 1,194,64 XXX 1,194,64 XXX 1									
74251T-15-6 PRINCIPAL INICIPAL SAP 400 IDX R5 FD				12/10/2019					
74251T-33-9 PRINCIPAL LGCAP SAP 500 IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 5,034,160 87,237 XXX 74251T-87-5 PRINCIPAL GRE PLUS BOND R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,946,320 20,940 XXX 742537-21-4 PRINCIPAL SAR CAP SAP 600 IDX R5 12/20/2019 PRINCIPAL GLOBAL INVESTORS 954,220 24,202 XXX 742553-33-1 MELLON CAP MIGHT BOND MRT IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,650,260 17,656 XXX 742564-40-2 PRINCIPAL LIFETIME HYBRID 2020 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,660,260 15,656 XXX 742564-40-2 PRINCIPAL LIFETIME HYBRID 2030 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 4,605,400 52,534 XXX 742564-40-2 PRINCIPAL LIFETIME HYBRID 2030 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 4,605,400 52,534 XXX 742564-86-5 PRINCIPAL LIFETIME HYBRID 2030 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX XX 742564-86-5 PRINCIPAL LIFETIME HYBRID 2030 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX XX 742564-86-5 PRINCIPAL LIFETIME HYBRID 2030 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX XX XX XX XX XX XX	74251T-15-6	PRINCIPAL MIDCAP SAP 400 IDX R5 FD			PRINCIPAL GLORAL INVESTORS				1
742511-87-5 PRINCIPAL CORE PLUS BOND R5 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,946.320 20,940 XXX 74253-14-4 PRINCIPAL SUR CAP SAP 600 IDX R5 12/20/2019 PRINCIPAL GLOBAL INVESTORS 954.220 XXX 742553-38-1 MELLON CAP MGMT BOND MKT IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,650.260 17.6565 XXX 742550-320-4 PRINCIPAL LIFET INE HYBRID 2020 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 3,248.250 35.491 XXX 74256M-40-2 PRINCIPAL LIFET INE HYBRID 2030 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 3,248.250 35.491 XXX 74256M-60-0 PRINCIPAL LIFET INE HYBRID 2040 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 4,605.400 52.543 XXX 74256M-80-5 PRINCIPAL LIFET INE HYBRID 2040 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192.490 13,244 XXX 74256M-80-5 PRINCIPAL LIFET INE HYBRID 2040 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192.490 13,244 XXX 74256M-80-5 PRINCIPAL LIFET INE HYBRID INC R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,345.130 13.561 XXX 989999 - Common Stocks - Unit Investment Trusts 9311,946 XXX 999999 - Common Stocks - Unit Investment Trusts 9311,946 XXX 999999 - Common Stocks - Subtolals - Common Stocks - Part 3 9799997 - Common Stocks - Subtolals - Common Stocks - Subtolals - Preferred and Common Stocks - Subtolals - Preferred and Common Stocks XXX 9899999 - Common Stocks - Subtolals - Preferred and Common Stocks XXX 9899999 - Common Stocks - Subtolals - Preferred and Common Stocks XXX 9899999 - Common Stocks - Subtolals - Preferred and Common Stocks XXX 9899999 - Common Stocks - Subtolals - Preferred and Common Stocks XXX 9899999 - Common Stocks - Subtolals - Preferred and Common Stocks XXX		PRINCIPAL LGCAP S&P 500 IDX R5 FD							
74253-21-4 RINCIPAL SM CAP SAP 600 IDX R5 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,650.260 17,656 XXX 1,2253-38-1 MELLON CAP MGMT BOND MKT IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,650.260 17,656 XXX 1,650.260 17,656 XXX 1,2250-20-4 PRINCIPAL LIFET IME HYBRID 2020 R6 FD 1,231/2019 PRINCIPAL GLOBAL INVESTORS 1,650.260 35,491 XXX 1,2250-20-4 PRINCIPAL LIFET IME HYBRID 2030 R6 FD 1,231/2019 PRINCIPAL GLOBAL INVESTORS 1,605.400 52,534 XXX 1,2250-60-0 PRINCIPAL LIFET IME HYBRID 2040 R6 FD 1,231/2019 PRINCIPAL GLOBAL INVESTORS 1,192.490 13,244 XXX 1,2250-60-0 PRINCIPAL LIFET IME HYBRID 2040 R6 FD 1,231/2019 PRINCIPAL GLOBAL INVESTORS 1,192.490 13,244 XXX 1,2450-60-0 PRINCIPAL LIFET IME HYBRID INC R6 FD 1,231/2019 PRINCIPAL GLOBAL INVESTORS 1,345.130 1,345.130 3,211,946 XXX 1,345.130 3,211,946 XXX 1,345.130 3,211,946 XXX 1,345.130 3,711,946 XXX 1,345.130 3	74251T-87-5	PRINCIPAL CORE PLUS BOND R5 FD.			PRINCIPAL GLOBAL INVESTORS			XXX	
742553-38-1 MELLON CAP MGMT BOND MKT IDX R5 FD 12/20/2019 PRINCIPAL GLOBAL INVESTORS 1,650,260 17,656 XXX 74256W-20-4 PRINCIPAL LIFET IME HYBRID 2020 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 3,248,250 35,491 XXX 74256W-60-0 PRINCIPAL LIFET IME HYBRID 2030 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 4,605,400 52,534 XXX 74256W-60-0 PRINCIPAL LIFET IME HYBRID 2040 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 74256W-86-5 PRINCIPAL LIFET IME HYDRID INC R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192,490 13,244 XXX 949999 - Common Stocks - Mutual Funds 1,345,130 13,561 XXX 949999 - Common Stocks - Unit Investment Trusts 9799997 - Common Stocks - Unit Investment Trusts 9799997 - Common Stocks - Subtotals - Common Stocks - Part 3 9799999 - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks 989999 - Common Stocks - Subtotals - Preferred and Common Stocks 989999 - Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Preferred and Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals -	74253J-21-4	PRINCIPAL SM CAP S&P 600 IDX R5			PRINCIPAL GLOBAL INVESTORS	954.220	24,202	XXX	
74256W-20-4 PRINCIPAL LIFETIME HYBRID 2020 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 3, 248.250 35, 491 XXX	742553-38-1	MELLON CAP MIGHT BOND MKT IDX R5 FD		12/20/2019	PRINCIPAL GLOBAL INVESTORS				
74256W-60-0 PRINCIPAL LIFETIME HYBRID 2040 R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,192.490 13,244 XXX 9499999 - Common Stocks - Mutual Funds mmon Stocks - Unit Investment Trusts mmon Stocks - Closed-End Funds 9799997 - Common Stocks - Subtotals - Common Stocks - Part 3 9799999 - Common Stocks - Subtotals - Common Stocks - Subtotal				12/31/2019					
74256W-86-5 PRINCIPAL LIFETIME HYDRID INC R6 FD 12/31/2019 PRINCIPAL GLOBAL INVESTORS 1,345.130 13.561 XXX 9499999 - Common Stocks - Mutual Funds 3,211,946 XXX mmon Stocks - Unit Investment Trusts 9799997 - Common Stocks - Subtotals - Common Stocks - Part 3 9799999 - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks - Subtotals - Preferred and Common Stocks				12/31/2019			52,534		
9499999 - Common Stocks - Mutual Funds mmon Stocks - Unit Investment Trusts 9799997 - Common Stocks - Closed-End Funds 9799997 - Common Stocks - Subtotals - Common Stocks - Part 3 9799999 - Common Stocks - Subtotals - Common Stocks - Subtotals - Common Stocks 9899999 - Common Stocks - Subtotals - Preferred and Common Stocks 9899999 - Common Stocks - Subtotals - Preferred and Common Stocks	/4256W-60-0	PRINCIPAL LIFETIME HYBRID 2040 R6 FD		12/31/2019	PRINCIPAL GLOBAL INVESTORS	1,192.490	13,244		+
mmon Stocks - Unit Investment Trusts mmon Stocks - Closed-End Funds 9799997 - Common Stocks - Subtotals - Common Stocks - Part 3 9799999 - Common Stocks - Subtotals - Common Stocks 989999 - Common Stocks - Subtotals - Preferred and Common Stocks 989999 - Common Stocks - Subtotals - Preferred and Common Stocks				12/31/2019	PRINCIPAL GLOBAL INVESTORS	1,345.130			
mmon Stocks - Closed-End Funds 9799997 - Common Stocks - Subtotals - Common Stocks - Part 3 9799999 - Common Stocks - Subtotals - Common Stocks 9899999 - Common Stocks - Subtotals - Common Stocks 9899999 - Common Stocks - Subtotals - Preferred and Common Stocks 3,711,946 XXX							3,211,946	XXX	L
9799997 - Common Stocks - Subtotals - Common Stocks - Part 3 9799999 - Common Stocks - Subtotals - Common Stocks 3,711,946 XXX 9899999 - Common Stocks - Subtotals - Preferred and Common Stocks 3,711,946 XXX					<u></u>				
9799999 - Common Stocks - Subtotals - Common Stocks 9899999 - Common Stocks - Subtotals - Preferred and Common Stocks 3,711,946 XXX						T	27/1 2/2	VVV	
9899999 - Common Stocks - Subtotals - Preferred and Common Stocks	9/9999/ - Common \$1	TOCKS - SUDICIAIS - Common Stocks - Part 3						77777	
999999 rotals 15,386,131 XXX 36,		tocks - Subtotals - Preferred and Common Stocks							
	9999999 Totals						15,386,131	XXX	1 36,04

					Showing all	Long-Term	Bonds and St	ocks SOLD, I	REDEEMED a	r Otherwise DK	SPOSED OF	During Current	Year						
1	2	3 4	5	6	7	8	9	10			ook/Adjusted Ca			16	17	18	19	20	21
		F						İ	11	12	13	14	15	7					
		0										İ							
]	Dries Vees			Current Veerle			Book/				Bond	
		l i					i l	Prior Year Book/	Unrealized		Current Year's Other-Than-	Total	Total Foreign	Adjusted	Foreign			Interest/Stock	Stated
CUSIP		a		Number of				Adjusted	Valuation	Current Year	Temporary	Change in	Exchange		Exchange Gain	Realized Gain	Total Gain	Dividends	Contractual
ldenti-		n Disposal		Shares of	l i			Carrying	Increase/	(Amortization)/	Impairment	B./A.C.V.	Change in	at	(Loss) on	(Loss) on	(Loss) on	Received	Maturity
fication	Description	Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Value	(Decrease)	Accretion	Recognized	(11+12-13)	B./A.C.V.	Disposal Date	Disposal	Disposal	Disposal	During Year	Date
Bonds - U.S.		1 1 10/04/00/0	la :	1 000	1											_		1	
	G2 MA0532 - RMBS G2 MA0623 - RMBS	12/01/2019		XXX	. 13,842	13,842	13,783	13.788	0	. 54	0	54	0	13,842	0	0	0		11/20/2042
	GN 604994 - RMBS	12/01/2019		1 xxx		16 . 138	16,007 2.501	16.019 2.499	U	.119	U	119		16,138 2,500				274 67	12/20/2042 02/15/2034
	G2 003488 - RMBS	12/01/2019		XXX	1.460	1.460	1.465	1.463	n	(3)	0	(3)	U	1,460	n) · · · · · · · · · · · · · · · · · · ·	. ,	56	12/20/2033
	G2 003598 - RMBS	12/01/2019	Paydown	XXX	1.631	1.631	1.668	1.659	ő	(28)	ő	(28)	ő	1,631	Ö	ا هٔ ا	Ď	65	08/20/2034
	G2 004597 - RMBS	12/01/2019	Paydown	xxx	9.107	9.107	9.268	9,251	0	(144)	0	(144)	0	9.107	0	0	0	256	12/20/2039
	G2 004635 - RMBS	12/01/2019.	Paydown	XXX	7.104	7 . 104	7.109	7.105	0	(2)	0	. (2)	0	. 7.104	0	0	0	190	02/20/2040
	G2 004882 - RMBS	12/01/2019.	Paydown	XXX	8.525	8 . 525		8.510	0	16	. 0	16	. 0	8 525	. 0	0	D	238	12/20/2040
	GN 612764 - RMBS. GN 731000 - RMBS.	12/01/2019. 12/01/2019.	Paydown	XXX	8.261	423	431 8.038	429	0	(6)	0	(6)	0	423	. 0	0	0	18	06/15/2033
	GN 628046 - RMBS	12/01/2019	PaydownPaydown.	XXX	8.261 7.250	8.261 7.250	8.038 7.459	8.061 7.421	0	200 (171)	D	200	0	8.261 7.250	. 0	0	0	245 305	02/15/2040 04/15/2034
	GNR D9106F PD - CMO/RMBS	12/01/2019	Paydown.	xxx	67,840	67.840	68,349	67.785	0	55	0	(171) 55	υ 0	67.840	. U	"	0	1.999	04/15/2034
	UNITED STATES TREASURY		RBS GREENWICH	XXX	98,074	100.000	100.082	100.029	D	[70]	0	1 (1)	n	100.028	n	(1,954)	(1,954)	517	08/31/2020
912828-N8-9.	UNITED STATES TREASURY	05/21/2019	CABRERA CAPITAL MARKETS	XXX	147.714	150.000	151.512	150.646	Ö	(119)	ō	(119)		150.527		(2,812)	(2,812)	1.664	01/31/2021
912828-Q3-7.	UNITED STATES TREASURY		JP MORGAN SECURITIES INC.	XXX	298 . 183	300.000	299.720	299.873	0	47	0	47	. 0	299.920		(1,738)	. (1,738)	4.129	03/31/2021
912828-UF-5	UNITED STATES TREASURY	01/11/2019	NOMURA SECURITIES INTERNATIONAL	XXX	69,032	70.000	68,958	68,970	0	37	0	37	٥	69.006	0	25	25	30	12/31/2019
	UNITED STATES TREASURY	03/11/2019	JP MORGAN SECURITIES INC.	l xxx	297 280	300.000	299.673	299.868		21		21	0	299 889	U	(2,609)	(2,609)	2.397	03/15/2020
	VENDE 111 DA - CMO/RMBS	12/01/2019	Paydown	XXX	18,200	18 .445	18,597	18.474	0	(274)	0	(274)	Ŏ	18,200		0	(2,005)	464	02/15/2035
	ZARAPL 1 A2 - RMBS	. 12/01/2019	Paydown	. XXX	16,040	16 ,040	16,040	16,040	0	0	0	L ` o'	0	16.040	0	0	0	399	08/20/2026
	onds - U.S. Governments				1.088,603	1.098.565	1,099,169	1.097,889	0	(198)	0	(198)	0	1.097.691	Ŏ	(9,088)	(9,088)	13.547	XXX
	ther Governments	- /D:> /	- t 1\												. <u> </u>				
	States, Territories and Possessions Political Subdivisions of States.			ood)															
631294-3T-0			Maturity @ 100.00	L XXX	150.000	150.000	150.000	150.000	<u> </u>	١	0	0	0	150.000	. 0	1 01	<u> </u>	1 844	01/15/2019
	onds - U.S. Political Subdivisions				1					Ů						 			
	Guaranteed)				150.000	150.000	150.000	150.000	0	0	. 0	0	0	150.000	0	0	0	1,844	XXX
	Special Revenue and Special Assessm																		
	FH G01563 - RMBS FH A48673 - RMBS	12/01/2019		XXX	1.082	1.082	1,114	1.107		(25)	D	(25)	0	1,082	0		0	41	06/01/2033.
	FH G12D16 - RMBS		VARIOUS	XXX	6,680	6 ,584 66	6.590 63	6.584 66		(1)		(1)	0	6.584	0	96	. 96		05/01/2036 04/01/2019
	FH G03383 - RMBS	12/01/2019	Paydown	XXX	914	914	907	907	0	7	n	7	0	914	U	1 %	n	32	08/01/2019
3128M7-6A-9	FH G05965 - RMBS,	12/01/2019	Paydown	XXX	4,297	4.297	4.382	4.375		(78)	0	(78)	0	4,297	O	[142	08/01/2040
3128M8-2R-4	FH G06784 - RMBS.	12/01/2019	Paydown	XXX	7.665	7.665	7,735		0	(61)	0	(61)		7.665	0] 0	0	181	10/01/2041
3128MD-EE-9	FH 614433 - RMBS	12/01/2019	Paydown	XXX	15,107	15.107	15,490	15.363	0	(255)	0	(255)	0	15,107	0	0	C	. 241	05/01/2027
3128MJ-S6-8	FH G08540 - RMBS	12/01/2019	Paydown	XXX	14,037	14.037		14.093	0	. (56)	0	(56)	0	14.037	0	0	0	284	08/01/2043
3128MJ-V5-6 3128MJ-VZ-0	FH G08635 - RMBS. FH G08631 - RMBS	12/16/2019	VAR IOUS.	XXX	209.620	205.561	204.710	204 .755	0		0	. 107	0	204.862	0	4,758	4,758	6,024	04/01/2045
	FH G08666 - RMBS	12/01/2019	Paydown	1	21,037		.20,946 L 21,090 L	. 20.937 . 21.085	U	(120)	U	(120) (47)	0	20,816	U A		וט	428 437	03/01/2045 09/01/2045
	FH G08670 - RMBS	12/01/2019	Paydown	L XXX	21,873	21.873	21,884			(6)	0	(6)	n	21.873	. 0	1 61	n l	464	10/01/2045
3128MJ-WA-4	FH G08640 - RMBS	12/01/2019	Paydown	XXX	.20,893	20 893	20,852	20.854		. 39	Ď	39	ő	20,893	ő	ا ة	o l	427	05/01/2045
	FH G08653 - RMBS	12/01/2019	Paydown	XXX	22,108	22 . 108	22,481	22 . 465	0	(357)	. 0	. (357)	0	22 108	0] 0 [.0	458	. 07/01/2045
	FH G08697 - RMBS	12/01/2019	Paydown	XXX	22 , 435	22 . 435	22,866	22,850	0	(415)	. 0	(415)	0	22 435	0	0	0	472	.03/01/2046
3128MJ-X7-0.	FH G08701 - RMBS	12/01/2019.	Paydown	XXX	20,225		20,718	20.700	0	(475)	. 0	(475)	0	20,225	0	0	0		04/01/2046
	FH G08731 - RMBS FH G08719 - RMBS	12/01/2019	Paydown Paydown	XXX	19,141	19.141 20.382	19,057	19.060 20.630	0	. 80	0	80	0	19,141		F 1		315	11/01/2046
	FH G08747 - RMBS	12/01/2019	Paydown	xxx	20,382	20.382	20,643 [23,693 [23.684	ν 1	(248) . (184)	0	(248) (184)	U	20,382		} ·····		333 477	08/01/2046 02/01/2047
	FH 1K0054 - RMBS	06/17/2019	VARIOUS	XXX.	1.821	2.020	2.057	2.087	ň	(9)	0. 0	(9)	n	23.500	0	(257)	(257)	57	11/01/2036
3128Q\$-RW-8	FH 1G2301 - RMBS	06/17/2019.	VARIOUS	XXX	5.115	5.436	5.561	5.602	ŏl	(27)	. 0	(27)	D	5.575	0	(460)	(460)	152	11/01/2037
	FH 100020 - RMBS	12/01/2019	Paydown	XXX	11,701	11.701	11,566	11.666	. 0		0	35	0	11.701	ō]	0	234	12/01/2035
	FH C01552 - RMBS	06/01/2019	VARIOUS.	XXX	4,046	3.864	3.948	3,929	0	(5)	0	(5)	0	3.924	0	122	122	103	05/01/2033
	FH A93093 - RMBS		Paydown	XXX	4.469	4.469	4,471	4,469	0	0	0	0	0	4.469	0] 0	0	142	07/01/2040
	FH A94951 - RMB\$		Paydown	XXX	14,657		14,492	14,508	0	149	0	149	0				0	348	11/01/2040
	FH B12315 - RMBS FH A26049 - RMBS	04/01/2019 12/01/2019	VAR I OUS	XXX	245	245	247	245			0		0	245	0		.0	1	02/01/2019
	FH A27392 - RMBS	12/01/2019	Paydown Paydown	XXX	. 1,765 394	1.765 394	1,774	1.771 L		(6)	0	(6)		1,765	. 0	0	. 0	60 34	09/01/2034
	FH A29079 - RMBS	12/01/2019		î îxx	8.216	8.216	8.166	8 173	 n	43	U. n	(7)		8 216	. 0		U	382	10/01/2034 12/01/2034
	FH A29373 - RMBS	. 12/01/2019		l xxx	528	528	541	543	o	(15)	U	(15)	n	528	0	, ,,	. v	46	01/01/2035
					L				· · · · · · · · · · · · · · · · · · ·	(10/)	U	(10/)		. 320 [0		0 1	40 j	0110112033

					Showing all	Long-Term E		1EDUI ocks SOLD, I		or Otherwise Di		During Current	Year						
1	2	3 4	5	6	7	8	9	10		Change in E	ook/Adjusted Ca	arrying Value		16	17	18	19	20	21
		F							11	12	13	14	15						
CUSIP Identi- fication	Description	r e i g n Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year (Amortization)/ Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
	FH Q14321 - RMBS	12/01/2019	Paydown.	XXX	18,343	18.343	18,518	18,505	0	(162)	. 0	(162)	0	.18.343	0	0	0	372	12/01/2042
	FH Q14636 - RMBS.	12/01/2019	Paydown	XXX	14,008	14.008	13,640	13,673	. 0	335	0	335 (375)		14.008	0	0		. 288 287	01/01/2043
	FH Q14696 - RMBS	12/01/2019 04/01/2019	Paydown VARIOUS	XXX XXX	13,589 156,297	13.589 158.729	13,995 156,249	13,963 156,437	0	(375) 57	. 0	57		156.494	0	(197)	(197)	1.324	09/01/2043
31371L-KY-4.	FN 255111 - RMBS	12/01/2019	Paydown	XXX	1.066	1.066	1.053	1.055	0	11	. 0	11	0	1.066	0		0	43	03/01/2034
31371L-ZL-6 31371M-DF-1	FN 255547 - RMBS		VARIOUS	XXX	907 11,053	909 11.053	869 11.029	. 902 11.051	0	3 2	. 0	3	0	11.053	0		2	15 457	01/01/2020 06/01/2035
3137 M-DF-1.	FHMS KOO9 A1 - CMBS	. 12/01/2019. .05/01/2019.	Paydown Paydown	ŶŶŶ	4.209	4.209	4.251	4.205	0	4	. 0	4	0	4.209			0	34	05/25/2020
3138A1-VP-3	FN AH0621 - RMBS	12/01/2019	Paydown	XXX	6 . 651	6.651	6,820	6.796	0	(145)	. 0	(145)	0	6.651	. 0	0	0	168	01/01/2041
3138A2-N#-7	FN AH1295 - RMBS	. 12/01/2019.	Paydown	XXX	10,706	10,706	10,748	10.718	0	(12)	. 0	(12)	0	9.194	0		D	248 239	01/01/2026
3138A8-JU-1 3138EC-AA-1	FN AH6574 - RMBS	12/01/2019 12/01/2019	Paydown Paydown	XXX	9.194 16,655	9, 194 16, 655	9.348 17.061	9.342 16.921	0	(149) (266)	. U n	(149) (266)	"	16.655	0	[0	239	04/01/2027
3138LQ-3C-6	FN A00794 - RMBS .	12/01/2019	Paydown	XXX	16,241	16 . 241	16,601	16.473	ő	(232)	0	(232)	0	16.241		0	.0	263	04/01/2027
3138M8-J6-1.	FN AP4784 - RMBS	12/01/2019	Paydown	XXX	14,588	14 588	14,125	14,164	0	424	0	424	0	14.588	0	0	0	301	09/01/2042
3138MR - K6 - 7 3138W6 - GB - 6	FN AQ9316 - RMBS	12/01/2019	Paydown I VARIOUS	XXX	15,468 144,968	15 468 147 223	. 15,682 142,669		0	(202)	0 n	(202)	ן ט ה	15.468 143.146	. U N	1.822	1,822		01/01/2043 05/01/2043
3138W7 -GG-3	FN AR9198 - RMBS	12/01/2019	Paydown	XXX	.22,595	22.595	22,232	22 259		336	. 0	336	0	22.595	. 0	0	0	454	03/01/2043
3138WE-JU-4	FN A\$4774 - RMBS	12/01/2019	Paydown.	XXX	20,656	20.656	20,667		0	(6)	. 0	(6)	0	20,656	0	0		438	04/01/2045
3138WF - GC - 4. 3138WJ - GH - 5.	FN ASS594 - RMBS FN AS8299 - RMBS	12/01/2019. 12/01/2019.	Paydown Paydown	XXX			34,372	34,370	. 0	. (375)	0	(375)	0	33,995 21,642	U	0	0	790 425	08/01/2045. 11/01/2046.
3138WP-G2-4	FN AT2016 - RMBS	12/01/2019	Paydown	xxx	15.889	15.889	15,989	15.978	0	(89)	0	(89)		15.889	0	Ö	. 0	333	04/01/2043
3138WP-GY-4	FN AT2014 - RMBS	12/01/2019	Paydown	xxx	15,381	15.381	15,088	15,110	0	270	0	270	0	15.381	. 0	. 0	0	. 313	04/01/2043
3138WQ-AX-0	FN AT2721 - RMBS	12/01/2019	Paydown	XXX	16,417	16.417	16,520	16,509	0	(92)		(92)	0	16,417	. 0	0	0	338 1.337	05/01/2043 03/15/2025
31398V-F\$-0 31401F-YH-3	FHR 3640F AD - CMO/RMBS FN 707312 - RMBS	12/01/2019 12/01/2019	Paydown Paydown	XXX	43,928 767	43.928 767	42,199 750	43 ,304 753	ט ח	624	υ	624	u	43.928		0	0	39	06/01/2033
31402C-U6-7	FN 725205 - RMBS	06/01/2019	VARIOUS	1 XXX	3.258	3.123	3.051	3,062	. 0	0	0	Ö		3.062		196	196	76	03/01/2034
31402N-YA-0,	FN 734305 - RMBS .	12/01/2019	Paydown	XXX	3.404	3,404	3,296	3.316	0		0	88		3 404		0	0	108	09/01/2033
314030-XC-8 31405H-S9-0	FN 745975 - RMBS FN 789944 - RMBS	06/01/2019 12/01/2019	VARIOUS Paydown	XXX XXX	5.524 621	6,123 621	6,171 627	6 222 625	0	(20)	0	(20)		6 203	U.		. (679)	135 47	11/01/2036 07/01/2034
314050-59-0 314060-68-1	FN 789944 - RMBS FN 817281 - RMBS	12/01/2019	Paydown	x xxx	859	859	868	865	0	(6)	0	(6)	0	859		.0	0	30	06/01/2035
31407B-WY-1	FN 826063 - RMBS	12/01/2019	Paydown	XXX	2,466	2,466	2,348	2.365	0	102	0	102	0	2 . 466	0				07/01/2035
31407H-C4-6	FN 830891 - RMBS	06/01/2019	VARIOUS	XXX	1,785	1,732	1,629	1.643	0		0	(7)	. 0	1.636	0	149	149		08/01/2035
31408F-UD-9 31408J-CT-6	FN 850280 - RMBS	12/01/2019	VARIOUS Paydown	XXX	1,346 951	1,283 951	1,243 895	1.248	0	(4)	U N	(4) 49	U	1 243 951	ن ۱	103	103 	35 34	12/01/2035 12/01/2035
31409T-UJ-5	FN 878185 - RMBS	12/01/2019	Paydown	xxx	564	564	553	554	ő	10	ő	10	0	564		0		20	08/01/2036
31409Y-DZ-7	FN 882220 - RMBS	12/01/2019	Paydown	XXX	3,122	3,122	3,155	3.145	0	(23)	. 0	(23)	0	. ,3.122	0	0	0	162	09/01/2036
31411E-YB-7.	FN 906206 - RMBS.	06/01/2019	VARIOUS	. XXX XXX	4,646	4,318 678	4,453 677	4,427 677	0	(7)	0	(7)	0	4 420 576	0	. 226	226	136 15	01/01/2037 .01/01/2022
31411H-MX-5 31417A-RC-5	FN 908574 - RMBS	06/01/2019 12/01/2019	VARIOUS Paydown	l âxx			14,724	14,655	0	(112)	0	(112)	0	14.543	0	6	Ó		12/01/2026
31417D-ZZ-9	FN AB7059 - RMBS	12/01/2019	Paydown	XXX	10,399	10.399	10,599	10 574	ő	(175)	0	(175)	Ö	10.399	0	0	. 0	187	11/01/2042
31417F-YA-0	FN AB8804 - RMBS	12/01/2019	Paydown	XXX	13,951	13,951	13,374	13.432	0	518	0	518	0	13.951	.0			225	03/01/2043
31418A-U7-1 31418C-DL-5	FN MA1505 - RMBS FN MA2806 - RMBS	12/01/2019	Paydown Paydown	XXX XXX	12,620 15,187	12.620 15.187		11,854	0	766 205	0	766 205	D 0	12.620 15.187	D				07/01/2043 11/01/2046
31418C-EG-5	FN MA2834 - RMBS	12/01/2019	Paydown	1 .	34,546	34.546	35,199	35,189		(643)	0	(643)	Ö	34.546	0	l ől	0	829	12/01/2046
31418C-HF-4.	FN MA2929 - RMBS	12/01/2019_	Paydown	XXX	31,633	31.633	32,299	32 273		(640)	0	(640)	0	31.633	. 0	0	0	791	03/01/2047
	FN MA3768 - RWBS	12/01/2019_	Paydown	XXX	9,784	9.784	10,047			(263)		(263)	0	9.784	. 0	0	0	49 168	09/01/2034 03/01/2041
	FN AE0981 - RMBS	12/01/2019	Paydown	XXX	. 6.850 . . 8.590 .	6.850 8.590	6.838 8.623	6 .838 8 .600	U n	(10)	υ 0	12 (10)	U	. 6.850 8.590	บ ก	0	. U	168 190	11/01/2025
	LOUISIANA LOC GVT ENVIRON FACS &	ľ	,			l	i			(10)	Ü	(10)		1					
54627R-AH-3.	CMNTY	09/01/2019	Paydown	XXX	16,332	16.332	16,328	16 . 331		1		ļ 1 ·	0	16 .332	0	0	0	558	08/01/2028
592646-4A-8	REV.		Maturity @ 100.00	XXX	100.000	100.000	117.263	101.917	0	(1,917)	0	(1,917)	0	100.000		0	0		. 10/01/2019
60416Q-FW-9	MN ST HSG FIN AGY HOMEOWNERSHIP FIN	07/02/2019	Call @ 100.00	XXX	4.151	. 4.151	4,151	4.151	0	0	0	0	. 0	4.151	. 0	0	. 0	69	09/01/2041
60416Q-FW-9	MN ST HSG FIN AGY HOMEOWNERSHIP FIN	12/02/2019	VARIOUS	xxx	3,227	3.227	3,227	3,227	0	0	0		0	.3.227	. 0	0	0	75	09/01/2041
	SAN MATED CNTY CA TRAN DIST SALES			XXX					•		^		•	150.000	•		•	1.465	06/01/2019.
799054-GQ-8 3199999 - B	INX		Maturity 6 100.00 all Non-Guaranteed Oblicat		150.000	150.000	150.000	150.000	. 0	† °	U	0	U	Γ		U	U		
	Agencies and Authorities of Government				1,631,091	1,631.978	1,642,975	1.619.015	0	(3,860)	0	(3,860)	0	1.625.202	0	5.889	5,889	34.412	XXX
Bonds - Indus	trial and Miscellaneous (Unaffiliated)																		

					Chaudan all	Long Torm				or Otherwise DI		During Current	Voor						
1	2	3 4	5	6	Snowing an	Long-Term t	orius and Sid	10	KEDEEMED (Change in F	Book/Adjusted Ca	arrying Value	Teal	16	17	18	19	20	21
'	1	F	3	"	'	0	5	10	11	12	13	14	15	1 ''	''		13	20	
CUSIP Identi- fication	Description	o r e i g n Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year (Amortization)/ Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Totał Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
00507U-AP-6	ACTAVIS FUNDING SCS	C 03/21/2019.	MORGAN STANLEY & CO LLC	XXX	149.912	150 . 000	149.993	149.998	0	0	0	0	0	149.998	0	(87)	(87)	2.413	03/12/2020
008117-AN-3	AETNA INC	08/16/2019.	TENDER	XXX	129.296	125.000	123.251	124.512	0	121	0	121	0	124 .633	0	4.663	4.663	3,638	06/01/2021
015271-AF-6 02665W-BM-2	ALEXANDRIA REAL ESTATE AMERICAN HONDA FINANCE CORP.	07/12/2019. C03/28/2019	JP MORGAN SECURITIES INC.	XXX	150 .329 149 .186	150.000	149 .690 149 .787	149 938 149 920		32		32		149.970		358 (752)	358 (752)	4.148 1.892	01/15/2020 02/14/2020
035240-AD-2	ANHEUSER-BUSCH INBEV WORLDWIDE INC	C04/25/2019.	VARIOUS.		205.663	200.000	210.244	206 . 670	, o	(667)		(667)	l ,	206.003	J	(6.003)	(6,003)	11.496	01/15/2022
037680-AA-3	AEPWV 1113 A1 - ABS		Paydown	XXX	34,140	34,140	34,074		. 0	66	Ď	66	0	34 140	0	0	0	670	02/01/2024
045487-AA-3	ASSOCIATED BANC-CORP	10/15/2019.	Call @ 100.00	XXX	150.000	150.000	149.798	149.964	0	32	0	32	0	149.997	0	3	3	3.781	11/15/2019
046353-AF-5	ASTRAZENECA PLC	C09/18/2019.	Maturity @ 100.00	XXX	150.000	150 .000	149.814	149.981	0	19	0		0		0	0	0	2,925	09/18/2019
06540X-BC-4 12624Q-AP-8	BANK 19BN22 A1 - CMBS		Paydown .	XXX XXX	3,936	3,936	3.936	0 000	. 0	0	0	0	0	3.936	.0	0	0	1.813	11/17/2062
15200W-AB-1	COMM 12CRE4 A2 - CMBS	11/01/2019	Paydown Paydown	XXX	87,528 72,224	87 .528 72 .224	89,714 72,097	88 .039 72 . 195	. 0	(511)	0	(511)	0	87 528 72 224]	n	V	1.840	10/11/2045
20030N-BR-1	COMCAST CORP	12/11/2019	MARKETAXESS	xxx	204.734	200 000	199.694	199.816		40	i	40	0	199.856	ň	4.878	4.878	7.058	03/01/2023
20605P-AH-4	CONCHO RESOURCES INC.		BARCLAYS CAPITAL	XXX	154 461	150.000	143.964	144.261	0	266	0			144 .527	Ŏ	9 935	9.935	4 156	10/01/2027
210717-AB-0	CMR\$ 14A A2 - AB\$	11/01/2019.	Paydown	XXX	. 2.072	2.072	2.072	2.072	. 0	0	0	0	0	2.072		[D	0		11/03/2025
244199 -BC -8	DEERE & CO	10/16/2019	Maturity @ 100.00.	XXX	150 . 000	150.000	. 149.184	149.926	. 0	74	0	74	0	150.000	0	0	0		10/16/2019
26818L-AB-6 268207-AA-5	DY9 LEASING LLC	12/19/2019 C 12/10/2019	Paydown	XXX XXX	16,743 49,788	16,743 49,788	16,743 49,788	16.744 49.788	0	(1)	0	(1)	0	16.743 49.788	J 0	0	U	1.468	03/19/2027 12/10/2025
26875P-AD-3	EOG RESOURCES INC		Paydown. Maturity @ 100.00	l	100.000	100.000	99.816	99.992	0	8	ľ	8	'n	100,000	l 0	l	0	2,813	06/01/2019
291011-BA-1	EMERSON ELECTRIC CO.	04/15/2019		XXX	100.000	100.000	98,892	99.962	ő	38	ŏ	38	ŏ	100.000	l ő	0	Ö	2.500	04/15/2019
29277V-AA-4	ENOI 1 A - ABS	12/01/2019	Paydown	XXX	25,575	.25,575	25,568	.25 . 57 1	0	4	0	4	0	25.575	0) o	0	961	06/01/2027.
30219G-AQ-1	EXPRESS SCRIPTS HOLDING CO	10/07/2019	CREDIT SUISEE FIRST BOSTON	XXX	153 . 338	150.000	149.867	149.912	. 0	14	0	14	0	149.926	0	3.411	3.411	5.550	. 07/15/2023
33766Q-AB-3	FEOH 2013 A2 - ABS	08/01/2019	Paydown	XXX. XXX	67,037	67.037	67,034	67.036	0	0	0	0	0	67.037	0	0	0	1.358	01/15/2022
345370-CR-9 36159J-CV-1	FORD MOTOR CO GEMINT 122 A - ABS	08/19/2019 04/01/2019	MARKETAXESS VARIOUS	XXX	153.251 100.000	150 . 000 100 . 000	150.000 99,964	150.000 100.000	U	"	U	l ,	l v	150.000 100.000	0	3,251	3.251	4.581 185	12/08/2026 01/18/2022
36192P-AJ-5	GSMS 12GCJ9 A3 - CMBS	12/01/2019	Paydown	ŶŶŶ	2.018	2.018	2.069	2.031	0	(13)	0	/131	ľ	2,018	ا ا	ľ	ő	34	11/10/2045
36257F-AB-6	GMCAR 192 A2A - ABS	12/16/2019	Paydown	XXX .	91,773		91,765	0		8		8	L 0	91.773	٥	Ö	Ŏ:	1.235	06/16/2022
200000 87 2	GENERAL ELECTRIC CAPITAL	04/45/0040	ID MODERN CECUDITIES AND		70.500	75 000	D7 504	02 445		(40)		(40)		200		(0.040)	/2 042	1 710	00/45/2022
36962G-XZ-2 42328B-AA-0	CORPORATION	12/01/2019	JP MORGAN SECURITIES INC Paydown	- XXX	79,583 6.785	75.000 6.785	87,664 6.785	83 .415 6 .785	U.	(19)	. 0	. (19)		83.396 6.785	0	(3,813)	(3.813)	1.716 126	03/15/2032 05/29/2024
42328E - AA - 4	HELIOS LEASING II LLC	12/18/2019	Paydown	XXX	8.822	8.822	8.822	8.822	0	ő		i i i	Ď	8.822	l ö	o l	0	213	03/18/2025
44932H-AC-7	IBM CREDIT LLC		GOLDMAN SACHS & CO. INC.	XXX	148.817	150.000	150.000	150.000	0				0	150.000	.0	(1,184)	(1.184)	2.576	. 09/08/2022
46640J-AP-2	JPMCC 13C13 A2 - CMBS	05/01/2019	Paydown	XXX		43.952	44.391		0	13	0	. 13	0		0	0	0	304	01/18/2046
487836-BC-1 50540R-AR-3	KELLOGG CO LABORATORY CORP OF AMERICAN HLDGS	08/06/2019	TENDER	XXX XXX	97,495 149,621		99,652	99.966	0	24	0	24	0	99.990 149.970	. 0	(2,495)	(2.495)	2.559	11/15/2019
55336V-AQ-3	MPLX LP	10/30/2019	BARCLAYS CAPITAL	χ̂χ̂	153.741	150.000	150.068	150.061	0	(11)	, o	(11)	0	150.050	l 'n	3.691	3.691	5.709	03/15/2023
585055-B\$-4	MEDTRONIC INC.	C 07/09/2019	TENDER	XXX	115.222	111.000	110.348	110.400	Ď	46	Ō	46	ŏ	110.446	Ō	4,776	4.776	5.051	03/15/2025.
58933Y-AS-4	MERCK & CO INC	03/28/2019	MORGAN STANLEY & CO LLC	XXX	124.281	125.000	124.976	124.995	0	1	0	1	0	124.996	0	(715)	(715)	1.484	02/10/2020
59280L-AA-8	MEXICAN AIRCRAFT FINANCE V LLC	11/01/2019	Paydown	XXX	10,333	10.333	10,333	10.333	0	0	0	J	0	10.333	0	ŏ	0	208	01/14/2027
61237W-AB-2	MONTEFIORE MEDICAL CENTER. NATE RURAL UTILITIES COOP FINANCE	11/01/2019	Call @ 100.00		15,000	. 15.000	15,000	15.000	U	"	U	l "	"	15.000	† "	ا ۱	"	424	10/20/2026
637432-NB-7	CORP	10/15/2019	Call @ 100.00	XXX	100.000	100.000	.99,864	99,976	0	22	0	22	0	99.998	. 0		2	2.108	11/15/2019
654106-AC-7 67741Y-AB-4	NIKE INC	05/07/2019	MARKETAXESS	XXX	148.190	150.000	149 . 745	149.885	. 0	ļ <u>9</u>	0] 9	J 0	149.894		(1,704)	. (1,704)	1.763	05/01/2023
694308-GT-8	AEPOH 1308 A2 - ABS	02/19/2019	Paydown MORGAN STANLEY & CO LLC	XXX	46,750 129.000		46,749 126,000	46,750 149,855		J		(23.855)			"	3,000	3.000	725 0	07/01/2020 10/01/2020
742718-EN-5	PROCTER & GAMBLE CO	. 03/28/2019.	GOLDMAN SACHS & CO. INC.	XXX	148.479	150.000	149.972	149.988	0	[<u>1</u>		(23.003)	0	149.990	L 0	(1,511)	(1.511)	1.842	02/02/2021
74444B-CC-3	PUBLIC SERVICE CO OF COLORADO	. 03/29/2019	VARIOUS	XXX .	100 398	100.000		99 .973	0	16	0	16	0	99.989	0	ļ 11 ļ	11	2.078	. 06/01/2019
744538-AB-5	PUBLIC SERVICE CO OF NEW HAMPSHIRE	12/01/2019.	Maturity @ 100.00	XXX		150.000	149.420	149.938	0	62	ō	. 62	0	150.000	0	0	. 0	. 6.750	12/01/2019.
776696-AE-6. 81721M-AJ-8.	ROPER INDUSTRIES INC SENTOR HOUSING PROPERTIES TRUST	06/19/2019.	MORGAN STANLEY & CO LLC	XXX		150.000 150.000	149.886	149.954	0	5	0	5	, ,			2.423	. 2.423	2.813	11/15/2022.
822582-AJ-1	SHELL INTERNATIONAL FINANCE BY	C 09/22/2019	Maturity @ 100.00	XXX	150.000	150.000	149.481	149.959	U	41	n	41	, v	150.000		(420)	(428) 0	6.450	09/22/2019
842400-FR-9	SOUTHERN CALIFORNIA EDISON CO	02/11/2019	MORGAN STANLEY & CO LLC. STIFEL NICOLAUS & COMPANY	XXX	149 . 534		149.135	149.764	0	11	0	11	0	149.775		(241)	(241)	1.163	06/01/2021
872540-AP-4 873782-AA-4	TJX CO INC TAGUA LEASING LLC	06/06/2019	INU.	XXX XXX	151.224	150.000	149.867	149.940	. 0	ļ	. 0) . O	149.946	ļ ,	1.278	1.278	2.135	05/15/2023
873782-AB-2	TAGUA LEASING LLC	12/18/2019	Paydown Paydown	XXX	8,435 12,649	8.435 12,649	8,435 12,649	8,435 12,649			. U	U		8,435 12,649	l D	"	์ ก	138 198	07/12/2024 09/18/2024
87938W-AR-4	TELEFONICA EMISIONES SAU	03/21/2019	GOLDMAN SACHS & CO. INC.	XXX	158.031		157 500	156 . 460		(317)	. 0	(317)		156 . 143	0.0	1.888	1.888	2.818	04/27/2023
89352H-AS-8	TRANSCANADA PIPELINES LTD	01/15/2019.	Maturity @ 100.00	XXX	150.000	150.000	149.576	149.994	. 0	6	0	6	ļ	150.000	0	.0	0		01/15/2019
89417E-AF-6	TRAVELERS CO INC		Maturity @ 100.00	XXX	100.000	100.000	99,560	99,978	0	22	0	22	0	100.000	0			2.950	. 06/02/2019
	UNION 16 LEASING LLC	11/01/2019	Paydown	XXX XXX	12,532	12,532	12,532	12,532	0	} <u>0</u> }	0		0		ļ	0	0	201	01/22/2025
91131Z-AM-8	UNITED PARCEL SERVICE INC	01/14/2019.	WELLS FARGO BROKERAGE		125.796	125.000	124 . 163	124.812	0	<u> </u>	0	<u> </u>	<u>0</u>	124.816	<u> </u>	980	980	1.964	01/15/2021

9999999 Totals

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE Delta Dental of Iowa

SCHEDULE D - PART 4

			<u> </u>		Showing all	Long-Term	Bonds and S	tocks SOLD,	KEDEEMED (or Otherwise DI			Year						
1	2	3 4	5	6	7	8	9	10		Change in I	Book/Adjusted Ca	arrying Value		16	17	18	19	20	21
		F 0							11	12	13	14	15						
		e						Prior Year			Current Year's			Book/				Bond	
		[i]						Book/	Unrealized		Other-Than-	Total	Total Foreign	Adjusted	Foreign			Interest/Stock	Stated
CUSIP		9		Number of			1	Adjusted	Valuation	Current Year	Temporary	Change in	Exchange	Carrying Value		Realized Gain	Total Gain	Dividends	Contractu
Identi- fication	Description	n Disposal		Shares of		D14-1		Carrying	Increase/	(Amortization)/	Impairment	B./A.C.V.	Change in	at	(Loss) on	(Loss) on	(Loss) on	Received	Maturity
927804-FH-2	Description VIRGINIA ELECTRIC AND POWER CO	Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Value	(Decrease)	Accretion	Recognized	(11+12-13)	B./A.C.V.	Disposal Date	Disposal	Disposal	Disposal	During Year	Date
94988X-AR-7	WFCM 14LC16 A2 - CMBS		Maturity @ 100.00	XXX		100.000		99,988		12	0	12	0	100.000	0	0	. 0	2.500	
	WECM 19050 A1 - CMBS	08/01/2019		l ŝŝŝ	174.335	174 .335		174 .444	0	(109)	ļ. <u>0</u>	(109)	0	174.335	0	0	U	1.576	
976826-BG-1	WISCONSIN POWER AND LIGHT CO	12/01/2019			132 . 176	132 . 176		0	ļ	l- !	0		1 0	132.176	0	0	0	3.714	
		12/15/2019	2. Maturity & 100.00.	·	100.000	100.000	99,385		ļ U	41	ļ D	. 41	0	100.000	0	0	0	5.000	
	Bonds - Industrial and Miscellaneous		Paydown		97,876 6.354.008	97.876	96,821	96.834	<u>.</u>	1.042	0 000	1.042	0	97.876	0	0	0 05 050	1.095	
	id Securities	(ullati i fi fateu)			6,354,008	6,333,479	6,328,308	6,106,679	<u> </u>	525	23.862	(23.337)	l U	6,322.678	0	25.269	25.269	153.627	XXX
	nt, Subsidiaries, and Affiliates			•						-									
	Identified Funds						****												
	otals - Unaffiliated Bank Loans																		
	Bonds - Subtotals - Bonds - Part 4				9.223.701	9.214.022	9.220,452	8 973 583		(2.534)	72 067	(27 200)		0 405 574		T 22 070 I	22, 070	203 . 430	XXX
	Bonds - Subtotals - Bonds				9.223,701	9.214.022	9.220,432		0	(3,534)	23.862 23.862	(27.396)		9.195.571	0		22.070 22.070	203.430	
	ocks - Industrial and Miscellaneous (Unaffiliated\ Pe	rnetual Preferred		9,223,701	J.214.022	3.220,432	0.373,303	1 0	[3,934]	23.002	[27.390]] 0	9.195.5/1	J 0	22.070	22.070	203.430	
	ocks - Industrial and Miscellaneous (-													
	ocks - Parent. Subsidiaries and Affil			_															
	ocks - Parent. Subsidiaries and Affil																		
	s - Industrial and Miscellaneous (Una																		
Common Stocks	s - Industrial and Miscellaneous (Una	ffiliated) Other	City Illaded					•	·										
	s - Parent Subsidiaries and Affilia																		
	s - Parent, Subsidiaries and Affilial																		
	s - Mutual Funds	C3 OTHER														· · · · · · · · · · · · · · · · · · ·			
	DODGE & COX STOCK	12/20/2019	TOURECT	2.595.380	500.000	XXX	272.281	448 .508	(176.227)			(470 207)		T 070 004		207 740	007.740	54.040	T 700
	VANGUARD 500 IDX ADM	12/20/2019		504.780	150.000	- xxx	55,836	116.826	(60.990)	ļ	U	(176,227)	v	272.281	· · V	227 719	227.719		
	AMERI FNDS EUROPACIFIC GRWTH R4 FD		PRINCIPAL GLOBAL INVESTORS	253.890	11,146	XXX		11.201		ļ	. 0			. 55.836	ļ ,	94.164	94.164	2.091	XXX
	PRINCIPAL MIDCAP S&P 400 IDX R5 FD		PRINCIPAL GLOBAL INVESTORS	809.510	14,199	χ̂χ̂	14,199	14.239	(56)	}		(56)	J	11,146	"	"	U	U	XXX
	PRINCIPAL LGCAP S&P 500 IDX R5 FD	01/02/2019		2.479.010	39,168	χ̂χ̂	39.168	39.119			. 0	(40)		14 . 199	,		U	0	XXX
	PRINCIPAL CORE PLUS BOND R5 FD		PRINCIPAL GLOBAL INVESTORS	613.000	6.357	XXX	6.357		50	0	ט	50	١	39.168	1	1 0	U	١	XXX
	PRINCIPAL SM CAP S&P 600 IDX R5	01/02/2019		492.800	11.152	XXX	11.152	6.351	b			.0	ا ا	6,357	l ,	1 0	0	J	XXX
	MELLON CAP MGMT BOND MKT IDX R5 FD PRINCIPAL LIFETIME HYBRID 2020 R6		PRINCIPAL GLOBAL INVESTORS	2.730.970	28,730	XXX		11.108	(620) . 55	0	0	(620) 55	0	3.376 28.730	0	0	0	0	XXX
74256₩-20-4.	FD PRINCIPAL LIFETIME HYBRID 2030 R6	01/02/2019	PRINC∤PAL GLOBAL INVESTORS.	154 .640	1.577	XXX	1.577	. 1,577	. 0	. 0	0	. 0	0	1,577		0	0	0	XXX
74256₩-40-2	FD	01/02/2019.	PRINCIPAL GLOBAL INVESTORS	148.160	1,536	XXX	1.536	1,535	1	0	0	L 1	. 0	. 1.536		o	0	0	ххх
74256W-60-0.	FD.	01/02/2019	PRINCIPAL GLOBAL INVESTORS.	2,681.430	28,155	XXX.	28,155	28 , 155				0	0	28.155	0	l ol	0	D	XXX
	PRINCIPAL LIFETIME HYDRID INC R6 FD	01/02/2019	PRINCIPAL GLOBAL INVESTORS.	154 . 130	1,526	XXX	1,526	. 1,524	2	0	0 1	. 2	. 0	1,526	l o		0	L	XXX
	Common Stocks - Mutual Funds				793.546	XXX	471.662	708.818	(237,820)	0	0	(237.820)	0	463.886	0	321.884	321.884	54.033	XXX
	- Unit Investment Trusts							-											•
	- Closed-End Funds														1.1			· · · · · · · · · · · · · · · · · · ·	
	common Stocks - Subtotals - Common St				793.546	XXX	471.662	708.818	(237,820)	0	0	(237,820)	0	463.886	0	321.884	321.884	54.033	XXX
	common Stocks - Subtotals - Common St				793.546	XXX	471.662	708.818	(237,820)	0	0	(237,820)	0	463.886	Ō	321.884	321.884	54.033	
	ommon Stocks - Subtotals - Preferred	and Common Stock	ks		793.546	XXX	471.662	708.818	(237,820)	0	0	(237,820)	0	463.886	0	321.884	321.884	54,033	XXX
QQQQQQQ T	-4-1-	· · · · · · · · · · · · · · · · · · ·			10 017 247	VVV	0 602 114	0 693 404	(227 820)	/2 E24)	22 002	(DEE 016)		0.050.467		242.054	242.054	057 400	

343.954

257 462

9.692,114 9,682.401

						Showing	all Long-Term	Bonds and St	ocks ACQUIRED	During Year ar	d Fully DISPO	SED OF During C	Current Year							
1	2	3	4	5	6	7	8	9	10	11		Change in	Book/Adjusted C	arrying Value		17	18	19	20	21
		F									12	13	14	15	16]				
CUSIP Identification	Description		ate uired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stocks)		Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/	Current Year's Other Than Temporary Impairment Recognized	Total Change In B./A. C.V. (12 + 13 - 14)	Total Foreign Exchange Change in B./A. C.V.	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
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SCHEDULE D - PART 6 - SECTION 1 Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

1			Valuati	U. U.,	C. Q G. C. C. C.	,	or Affiliated Con				
	2	3	4	5	6	7	8	9	10	Stock of	
						Do				Company O	
						Insurer's				Insurer on Stat	ement Date
						Assets				11	12
				1		Include					
				•		Intangible					
		1 1				Assets					
		1				Connected					
						with					
	Description					Holding of	Total Amount	Book /			
	Name of Subsidiary,	1	NAIC		NAIC	Such	of Such	Adjusted		Number	
CUSIP	Controlled or Affiliated	1	Company	1	Valuation	Company's	Intangible	Carrying	Nonadmitted	of	% of
entification		Foreign		ID Number	Method	Stock?	Assets	Value	Amount	Shares	Outstandi
eferred St	ocks - Parent			•				•			
eferred St	ocks - U.S. Property & Casua	lty Insure	er .		•						
referred St	ocks - U.S. Life Insurer	•									
	ocks - U.S. Health Entity										
	ocks - Alien Insurer										
	ocks - Non-Insurer Which Con	trols Insu	irer								
	ocks - Investment Subsidiary					,					
	ocks - Other Affiliates										
ommon Stock											
	s - U.S. Property & Casualty	Insurer									
	s - U.S. Life Insurer										
amman Stack	s - U.S. Health Entity										
	. 11' . 1										
ommon Stock	s - Alien Insurer	I. I									
ommon Stock: ommon Stock:	s - Non-Insurer Which Contro	ls Insure	ſ								
ommon Stock ommon Stock ommon Stock	s - Non-Insurer Which Contro s - Investment Subsidiary	ls Insure	r								
ommon Stock ommon Stock ommon Stock ommon Stock	s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates	ls Insure	·	1	I 01:			2,862,370	4 000 000	40.000.000	400
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0	s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc				. 8bi	No No		2,862,372	1,826,852	10,000.000	
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			. 8bi	No	0	2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc	ites			8b i	No No	0				
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8b i	No .		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8b i	No .		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8b i	No		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8bi	No		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8bi	No		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8bi	No		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8bi	No		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8bi	No		2,862,372	1,826,852	XXX	XXX
ommon Stock ommon Stock ommon Stock ommon Stock 00000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites			8bi	No		2,862,372	1,826,852	XXX	XXX
ommon Stock: ommon Stock: ommon Stock: ommon Stock: 0000-00-0 1799999 - (s - Non-Insurer Which Contro s - Investment Subsidiary s - Other Affiliates [Veratrus Health, Inc. Common Stocks - Other Affilia	ites Common Sto	icks		8bi	No		2,862,372	1,826,852	XXX	

Amount of insurer's capital and surplus from the prior period's statutory statement reduced by any admitted EDP, goodwill and net deferred tax assets included therein:
 Total amount of intangible assets nonadmitted

SCHEDULE D - PART 6 - SECTION 2

1	2	3	4 Total Amount of Intangible Assets	Stock in Lower-Tier Owned Indirectly by Statement D	Insurer on
CUSIP Identification	Name of Lower-Tier Company	Name of Company Listed in Section 1 Which Controls Lower-Tier Company	Included in Amount Shown in Column 8, Section 1	5 Number of Shares	6 % of Outstanding
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Schedule DA - Part 1

NONE

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part A - Section 2

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part B - Section 2

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DB - Part E

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

SCHEDULE E - PART 1 - CASH

		• •	CAUL			
1	2	3	4	5	6	7
		Rate of	Amount of Interest Received During	Amount of Interest Accrued December 31 of		
Depository OPEN DEPOSITORIES	Code	Interest	Year	Current Year	Balance	
ankers Trust Depository Account Bankers Trust, Des Moines, IA		1			34,027,082	XX
Bankers Trust Claims Account Bankers Trust, Des Moines, IA			Ţ		(4,972,743)	XX
ankers Trust Accounts Payable Bankers Trust Des Moines, IA					(382,323)	XX
0199998 Deposits in 4 depositories that do not exceed the allowable limit in any one depository - Open Depositories	XXX	XXX			(105,054)	XX
0199999 Totals - Open Depositories	XXX	XXX	0	0	28,566,962	
Crosses Foliate Control Control	7,7,7				20,000,002	+**
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0399999 Total Cash on Deposit	XXX	ХХХ	0	0	28,566,962	٦ xx
0400000 Cash in Company to Office	ххх	XXX	XXX	XXX	50	XX
0499999 Cash in Company's Office 0599999 Total Cash	XXX	XXX	1 717171	7,1111		

TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR						
1. January	11,011,237 4. April	21,878,353 7. July	14,728,884 10. October	26,357,158		
2. February	15,798,148 5. May	20,325,619 8. August	19,913,082 11. November	31,126,067		
3 March	20 072 230 6 June	17 3/5 702 Q Sentember	22 750 600 12 December	20 567 042		

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year								
1	2	3	4	5	6	7	8	9
·			Date	Rate of	Maturity	Book/Adjusted	Amount of Interest	Amount Received
CUSIP	Description	Code	Acquired	Interest	Date	Carrying Value	Due & Accrued	During Year
	ents - Issuer Obligations		,					
Bonds - U.S. Governme	ents - Residential Mortgage-Backed Securities		· · ·					
Bonds - U.S. Governme	ents - Commercial Mortgage-Backed Securities							
Bonds - U.S. Governme	ents - Commercial Mortgage-Backed Securities ents - Other Loan-Backed and Structured Securities							
Bonds - All Other Gov	vernments - Issuer Obligations							
Bonds - All Other Gov	ernments - Residential Mortgage-Backed Securities	-						
Bonds - All Other Gov	ernments - Commercial Mortgage-Backed Securities							
	ernments - Other Loan-Backed and Structured Securities			- ***				
	Territories and Possessions (Direct and Guaranteed) - Issuer Obligations							
Bonds - U.S. States.	Territories and Possessions (Direct and Guaranteed) - Residential Mortgage-Backed Secur	rities						
BondsS. States,	erritories and Possessions (Direct and Guaranteed) - Commercial Mortgage-Backed Securi Territories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structured	Consistion		.,				-
Bonds - U.S. States.	Territories and Possessions (direct and Guaranteed) - Other Loan-backed and Structured II Subdivisions of States. Territories and Possessions (Direct and Guaranteed) - Issuer	Obligations	*					
	il Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Issuer Il Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Resider		Securities					· · · · · · · · · · · · · · · · · · ·
Ponds (L.C. Politica	il Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Nesider	ria Mortgage-backed	Securities					
Ponds II S Politica	Il Subdivisions of States. Territories and Possessions (Direct and Guaranteed) - Other I	loan-Backed and Struc	tured Securities					
Ronds - U.S. Politica	Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agenc	ies and Authorities o	f Governments and The	ir Political Subdivisions - I	ssuer Obligations			
Bonds - II S Special	Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agenc	ies and Authorities o	f Governments and The	ir Political Subdivisions - R	esidential Mortgage-Ba	cked Securities		
ILS Special Revenue	and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and A	Authorities of Govern	ments and Their Polit	ical Subdivisions - Commercia	I Mortgage-Backed Secu	rities		
Bonds - II S Special	Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agenc	ies and Authorities o	f Governments and The	ir Political Subdivisions - 0	ther Loan-Backed and S	tructured Securities		
Ronds - Industrial ar	d Miscellaneous - Issuer Obligations							
	d Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities		1 1	***				
	d Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities				-			
Bonds - Industrial ar	d Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities							
Bonds - Hybrid Securi	ties - Issuer Obligations							
Bonds - Hybrid Securi	ties - Residential Mortgage-Backed Securities							
Bonds - Hybrid Securi	ties – Commercial Mortgage-Backed Securities							
	ties - Other Loan-Backed and Structured Securities							
	diaries and Affiliates Bonds - Issuer Obligations						<u> </u>	
	diaries and Affiliates Bonds - Residential Mortgage-Backed Securities				***			
Bonds - Parent, Subsi	diaries and Affiliates Bonds - Commercial Mortgage-Backed Securities							
Bonds - Parent, Subsi	diaries and Affiliates Bonds - Other Loan-Backed and Structured Securities				·			
	diaries and Affiliates Bonds - Affiliated Bank Loans - Issued		****					
	diaries and Affiliates Bonds - Affiliated Bank Loans - Acquired	•						
	d Funds - Exchange Traded Funds —as Identified by the SVO							
	d Funds - Bond Mutual Funds - as Identified by the SVO						<u> </u>	
	Bank Loans - Unaffiliated Bank Loans - Issued							
	Bank Loans - Unaffiliated Bank Loans - Acquired							
Sweep Accounts								
	utual Funds - as identified by SVO				_			
All Other Money Marke			10/04/0040	4 5.	in I vvv I	3,588,680	3,711	n
38141#-27-3	GOLDMAN:FS GOVT INST.		12/31/2019 12/31/2019	1.5 ⁴ 2.1 ⁴	10 XXX 10 XXX	433,152		9.464
	VANGUARD TREAS MONEY MKT INV FD r Money Market Mutual Funds	<u> </u>	12/31/2019		10 L AAA	4.021.832		9,464
						4,021,032	3,711	3,404
Other Cash Equivalent						4.021.832	3.711	9.464
8899999 Total Cas	sn Equivalents					4,021,032	1	

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

		1	2	the Benefit of A			ecial Deposits
		Type of	Purpose of	3 Book/Adjusted	4 Fair	5 Book/Adjusted	6 Fair
	States, etc.	Deposits	Deposits	Carrying Value	Value	Carrying Value	Value
		AL .	·				
		AK					
		AZ					
		ARCA		1 1			
		co				• • • • • • • • • • • • • • • • • • • •	
		ст					
		DE .					
		DC .					
		FL					
		GA					
	-	ні					
13.	Idaho	ıo					
14.	Illinois	nL					
15.	Indiana	IN .					
16.	Iowa	IA					
17.	Kansas	ks					
18.	Kentucky .	KY			,		
19.	Louisiana	LA					
20.	Maine	ME .					
21.	Maryland	MD .					
22.	Massachusetts	MA					
23.	Michigan	мі					
24.	Minnesota	MN					
25.	Mississippi	MS					
26.	Missouri	MO					
27.	Montana	MT					
28.	Nebraska	NE					
29.	Nevada	NV					
30.	New Hampshire	NH	NO	.			
31.	New Jersey	NJ					
32.	New Mexico	NM		. <u></u>			
33.	New York	NY					
34.	North Carolina	NC					
35.	North Dakota	ND					
36.	Ohio	он					
37.	Okiahoma	ок					
38.	Oregon	OR					
39.	Pennsylvania	PA					
40.	Rhode Island	RI .					
41.	South Carolina	sc					
42.	South Dakota	SD				1	
		TN				 	
		тх				 	
		UT					
		VT					
	-	VA			-		
	•	WA					
	•	wv				1	
		WI			 	1	+
	, ,	WY			 		
	. American Samoa	AS			1	1	
	. Guam	1				 	
		PR			+	 	
	. US Virgin Islands	VI		† ·	ł	}	
		MP		1.	1	t	+
		CAN			1		
	. Aggregate Alien and Other	I				0	
59	. Total	XXX	xxx	0	0	0	
ETAIL	S OF WRITE-INS						
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5802.				-	1		
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