# December 31, 2020 - Annual Statement

Iowa Company Number: 0081

# Hawkeye Mutual Insurance Association

Luanne Fitzpatrick 315 S 2nd Ave West, PO BOX 516 Newton IA 50208-05

**ASSETS** 

	A	SSETS			
_		1	Current Year		Prior Year
		Assets	<u>-</u>	3 Net Admitted Assets	4 Net Admitted
1.	Bonds (Schedule D)	19,162,690	Nonadmitted Assets	(Cols. 1 - 2)	Assets
2.	Stocks (Schedule D):	10, 102,000		19,162,690	26,322,918
	2.1 Preferred stocks				
	2.2 Common stocks	3,884,733		3,884,733	3.911.764
3.	Mortgage loans on real estate (Schedule B): 3.1 First liens	, ,		3,007,100	
	3.2 Other than first liens				
4.	Real estate (Schedule A):				
	4.1 Properties occupied by the company (less				
	\$ encumbrances)	153,834		153,834	158,075
	4.2 Properties held for the production of income				
	(less \$ encumbrances)				***************************************
	4.3 Properties held for sale (less				
-	s encumbrances)				
5.	Cash (\$	·			
	(\$, Schedule E-Part 2) and short-term	5 705 045			
6.	investments (\$, Schedule DA)			5,785,245	1,711,674
7.	Contract loans (including \$premium notes)  Derivatives (Schedule DB)				
8.	Derivatives (Schedule DB) Other invested assets (Schedule BA)				
9.	Description 6 100	1			••••••
10.	Securities lending reinvested collateral assets (Schedule DL)				
11.	Aggregate write-ins for invested assets		1		
12.	Subtotals, cash and invested assets (Lines 1 to 11)			28,986,502	32 104 429
13.	Title plants less \$	,,		20,000,002	52,104,420
	only)				
14.	Investment income due and accrued	239,134		T I	331,310
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of				
		32,404	(107)	32,511	26 , 591
	15.2 Deferred premiums, agents' balances and installments booked but				
	deferred and not yet due (including \$earned			İ	
	but unbilled premiums)				
	15.3 Accrued retrospective premiums (\$) and				
16.	contracts subject to redetermination (\$				
10.	16.1 Amounts recoverable from reinsurers	047 540			
	16.2 Funds held by or deposited with reinsured companies	817,516			2,115
	16.3 Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans				••••••••••••
18.1	Current federal and foreign income tax recoverable and interest thereon	964 000	964,000		
18.2	Not deferred toy court				
19.	Guaranty funds receivable or on deposit		1		***************************************
20.		9,005		.9,005	
21.	Furniture and equipment, including health care delivery assets			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	(\$)	1,532	1,532		
22.	Net adjustment in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates				***************************************
24.	Health care (\$) and other amounts receivable				
25. 26	Aggregate write-ins for other-than-invested assets	23,993		23,993	36,956
26.	Total assets excluding Separate Accounts, Segregated Accounts and			1	
27	Protected Cell Accounts (Lines 12 to 25).	31,074,087	965,426	30,108,661	32,501,401
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	Total (Lines 26 and 27)	31,074,087	965,426	30,108,661	30 EU1 104
TAIL	OF WRITE-INS	01,017,007	300,420	50,100,001	32,501,401
01.					
02.					
03.					
	Summary of remaining write-ins for Line 11 from overflow page				
	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
01.	IMT Liability Premiums Receivable	23,993		23,993	28,305
	Salvage Receivable				8,651
03.	S				
	Summary of remaining write-ins for Line 25 from overflow page				
99.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	23,993		23,993	36,956

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Year	2 Prior Year
1. Los	ses (Part 2A, Line 35, Column 8)	74,799	
2. Rei	nsurance payable on paid losses and loss adjustment expenses (Schedule F, Part 1, Column 6)		
3. Los	s adjustment expenses (Part 2A, Line 35, Column 9)		12,000
	nmissions payable, contingent commissions and other similar charges		144,766
	er expenses (excluding taxes, licenses and fees)	19,969	16 , 240
6. Tax	es, licenses and fees (excluding federal and foreign income taxes)	12,504	14,529
	rent federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net	deferred tax liability		
	rowed money \$and interest thereon \$		
	earned premiums (Part 1A, Line 38, Column 5) (after deducting unearned premiums for ceded reinsurance of		
	and including warranty reserves of \$ and accrued accident and		
hea Sei	Ifth experience rating refunds including \$ for medical loss ratio rebate per the Public Health vice Act)	4 220 254	0.700.400
	ance premium		3,783,466
	dends declared and unpaid:	200,226	223,952
ļ	·		
	Stockholders		
	ed reinsurance premiums payable (net of ceding commissions)	96,518	28,741
	ds held by company under reinsurance treaties (Schedule F, Part 3, Column 20)		
	ounts withheld or retained by company for account of others		•••••••••••••••••••••••••••••••••••••••
	ittances and items not allocated		
	rision for reinsurance (including \$ certified) (Schedule F, Part 3, Column 78)		
	adjustments in assets and liabilities due to foreign exchange rates		
	ts outstanding		
	able to parent, subsidiaries and affiliates		
	vatives		
	able for securities		
	able for securities lending		
	lity for amounts held under uninsured plans		
	tal notes \$ and interest thereon \$	*****	***************************************
	egate write-ins for liabilities		***************************************
	liabilities excluding protected cell liabilities (Lines 1 through 25)	4,756,944	4,917,998
27. Prote	ected cell liabilities		
	liabilities (Lines 26 and 27)	4,756,944	4,917,998
	egate write-ins for special surplus funds		
	mon capital stock		***************************************
31. Prefe	erred capital stock		
	egate write-ins for other-than-special surplus funds		
33. Surp	lus notes		
34. Gros	s paid in and contributed surplus		
35. Unas	signed funds (surplus)	25,351,717	27 ,583 ,403
	treasury stock, at cost:		
36.1	shares common (value included in Line 30 \$)		
36.2	shares preferred (value included in Line 31 \$)		
37. Surpl	us as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39)	25,351,717	27,583,403
38. Total	s (Page 2, Line 28, Col. 3)	30,108,661	32,501,401
DETAILS OF	WRITE-INS		02,001,101
2501			
2598. Sumn	nary of remaining write-ins for Line 25 from overflow page		
2599. Totals	(Lines 2501 through 2503 plus 2598) (Line 25 above)		
	nary of remaining write-ins for Line 29 from overflow page		
	(Lines 2901 through 2903 plus 2998) (Line 29 above)		
	, ————————————————————————————————————		
	nary of remaining write-ins for Line 32 from overflow page		
	(Lines 3201 through 3203 plus 3298) (Line 32 above)		
299. Totale			

STATEMENT OF INCOME

		1 Current Year	2 Prior Year
1 Pre	UNDERWRITING INCOME emiums earned (Part 1, Line 35, Column 4)		<u> </u>
, i.	EDUCTIONS:	8,199,811	7,222,03
	sses incurred (Part 2, Line 35, Column 7)	0 278 601	4.641.13
3. Los	ss adjustment expenses incurred (Part 3, Line 25, Column 1)	358 894	576 . 80
4. Oth	ner underwriting expenses incurred (Part 3, Line 25, Column 2)	2 028 832	1,993,91
5. Ag	gregate write-ins for underwriting deductions	1	
6. Tot	al underwriting deductions (Lines 2 through 5)	11 666 417	7,211,86
7. Net	t income of protected cells		
o. Ne	t underwriting gain (loss) (Line 1 minus Line 6 plus Line 7)	(3,466,606)	10 , 170
	INVESTMENT INCOME		
9. Net	t investment income earned (Exhibit of Net Investment Income, Line 17)	860,856	935,424
<ol><li>10. Net</li></ol>	realized capital gains (losses) less capital gains tax of \$	169 443	
11. Net	t investment gain (loss) (Lines 9 + 10)	1,030,298	935,424
	OTHER INCOME		
12. Net	gain (loss) from agents' or premium balances charged off (amount recovered \$ amount		
cha	rged off \$		
13. Fina	ance and service charges not included in premiums	79,049	73,709
14. Agg	gregate write-ins for miscellaneous income	123,218	112,526
15. Tot	al other income (Lines 12 through 14)	202,267	186,235
16. Net	income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes	(0.001.01)	
17. Divi	les 8 + 11 + 15)	(2,234,041)	1, 131,829
18. Net	income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes		
(LIN	ie 16 minus Line 17)	(2,234,041)	1,131,829
19. Fed	leral and foreign income taxes incurred	(935,403)	116,000
20. Net	income (Line 18 minus Line 19) (to Line 22)	(1,298,638)	1.015,829
			.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	CAPITAL AND SURPLUS ACCOUNT		
21. Sur	plus as regards policyholders, December 31 prior year (Page 4, Line 39, Column 2)	27 .583 .403	26 , 193 , 394
22. Net	income (from Line 20)	(1.298.638)	1.015.829
23. Net	transfers (to) from Protected Cell accounts		
24. Cha	inge in net unrealized capital gains or (losses) less capital gains tax of \$	16 960	274,888
25. Cha	inge in net unrealized foreign exchange capital gain (loss)		
zo. Cha	inge in net deterred income tax	1	
28 Cha	Inge in nonadmitted assets (Exhibit of Nonadmitted Assets, Line 28, Col. 3)		99,293
29. Cha	man in according to the contract of		***************************************
30. Sur	olus (contributed to) withdrawn from protected cells		
31. Cun	nulative effect of changes in accounting principles		
32. Cap	ital changes:		
	1 Paid in		
32.2	2 Transferred from surplus (Stock Dividend)		
32.	3 Fransferred to surplus		
	olus adjustments:		
33.	1 Paid in		
33.2	2 Transferred to capital (Stock Dividend)		
34. Net	3 Transferred from capital remittances from or (to) Home Office		
35. Divid			
36. Cha	nge in treasury stock (Page 3, Lines 36.1 and 36.2, Column 2 minus Column 1)		
37. Aggi	regate write-ins for gains and losses in surplus	***************************************	
38. Chai	nge in surplus as regards policyholders for the year (Lines 22 through 37)	(2,231,686)	1,390,010
39. Surp	olus as regards policyholders, December 31 current year (Line 21 plus Line 38) (Page 3, Line 37)	25,351,717	27,583,403
TAILS OF	F WRITE-INS	40,001,111	27,000,400
501			
502			
003 100 C			
190. Sum 199. Tota	mary of remaining write-ins for Line 5 from overflow page		
O1. Reim	ls (Lines 0501 through 0503 plus 0598) (Line 5 above) bursed Office Commissions.		
IO2. Reim	bursed Expense	36,147	33,430
03. Prem	iums Collected for Others	67,691	61,134
98. Sum	mary of remaining write-ins for Line 14 from overflow page	19,381	17,962
99. Total	ls (Lines 1401 through 1403 plus 1498) (Line 14 above)	123,218	112,526
01		.20,210	112,020
02			
03			
98. Sumi	mary of remaining write-ins for Line 37 from overflow page		
	ls (Lines 3701 through 3703 plus 3798) (Line 37 above)	1	

**CASH FLOW** 

Cash from Operations  1. Premiums collected net of reinsurance  2. Net investment income	Current Year	Prior Year
Premiums collected net of reinsurance		
Premiums collected net of reinsurance      Net investment income		
3 Microllynoous issues	1,210,304	1,159,94
3. Miscellaneous income	202,267	186,23
4. Total (Lines 1 through 3)	10,205,364	9,337,50
5. Benefit and loss related payments		4,390,54
Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7. Commissions, expenses paid and aggregate write-ins for deductions		2,472,80
8. Dividends paid to policyholders		
Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).  10. Total (Licon 5 through 6)  11. Total (Licon 5 through 6)  12. Total (Licon 5 through 6)  13. Total (Licon 5 through 6)  14. Total (Licon 5 through 6)  15. Total (Licon 5 through 6)  16. Total (Licon 5 through 6)  17. Total (Licon 5 through 6)  18. Total (Licon 5 through 6)  19. Total (Licon 5 through 6)	21,597	25,00
10. Total (Lines 5 through 9)	13,263,309	6,888,35
11. Net cash from operations (Line 4 minus Line 10)	(3,057,946)	2,449,15
Cash from Investments	1 1	
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	7 ,734 ,084	1,965,00
12.2 Stocks	1,097,337	
12.3 Mortgage loans		
12.4 Real estate		
12.5 Other invested assets		***************************************
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		
12.7 Miscellaneous proceeds		
12.8 Total investment proceeds (Lines 12.1 to 12.7)  13. Cost of investments acquired (long-term only):	8,831,421	1,965,00
13.1 Pands		
13.1 Bonds	567,861	4,481,25
13.2 Stocks		300,08
13.3 Mortgage loans		
13.5 Other invested assets	·	
13.6 Miscellaneous applications		
13.7 Total investments acquired (Lines 13.1 to 13.6)	<del>                                     </del>	
14. Net increase (decrease) in contract loans and premium notes	1,710,794	4,781,342
15 Nat cash from involvments (i.i.e. 1.2.9 minus line (i.e. 7.7 minus)	·	
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	7,120,627	(2,816,342
Cash from Financing and Miscellaneous Sources  16. Cash provided (applied):		
16.1 Surplus notes, capital notes		
16.3 Borrowed funds		
16.4 Net deposits on deposit-type contracts and other insurance liabilities	ļ	
16.5 Dividends to stockholders		
16.6 Other cash provided (applied)	40.000	
16.6 Other cash provided (applied).  Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	10,889	(31,945
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS	10,889	(31,945
18. Net change in cash cash equivalents and short-term investments		
Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	4,073,571	(399,130
19.1 Beginning of year	4 744 07.	
19.2 End of year (Line 18 plus Line 19.1)	5.785.245	2,110,804_ 1,711,674

# UNDERWRITING AND INVESTMENT EXHIBIT PART 1 - PREMIUMS EARNED

	PART 1 - P	REMIUMS EARN			
	Line of Business	1 Net Premiums Written per Column 6, Part 1B	2 Unearned Premiums Dec. 31 Prior Year - per Col. 3, Last Year's Part 1	Dec. 31 Current	4 Premiums Earned During Year (Cols. 1 + 2 - 3)
1.	Fire	5,566,219			5,288,825
2.	Allied lines		,,,	, , , , , , , , , , , , , , , , , , , ,	2,764,787
3.	Farmowners multiple peril			2,100,121	2,104,101
4.	Homeowners multiple peril				
5.	Commercial multiple peril	}			
6.	Mortgage guaranty		,		***************************************
8.	Ocean marine	İ			***************************************
9.	Inland marine				146 100
10.	Financial guaranty	1			146 , 199
11.1	Medical professional liability-occurrence		,		
11.2	Medical professional liability-claims-made	1			
12.	Earthquake				
13.	Group accident and health				
14.	Credit accident and health (group and individual)				•••••••••••••••••••••••••••••••••••••••
15.	Other accident and health	l i			
16.	Workers' compensation				
17.1		l i			
17.2	Other liability-occurrence				
17.3	Other liability-claims-made				
18.1	Excess workers' compensation.				
18.2	Products liability-occurrence	ļ			
	Products liability-claims-made				
	2 Private passenger auto liability	1			
	4 Commercial auto liability	1 1			
21.	Auto physical damage	1 1			
22.	Aircraft (all perils)				
23.	Fidelity				
24.	Surety	I T			
26.	Burglary and theft				
27.	Boiler and machinery				
28.	Credit	-			
29.	International				
30.	Warranty				
31.	Reinsurance-nonproportional assumed property	1			***************************************
32.	Reinsurance-nonproportional assumed liability	i I			
33.	Reinsurance-nonproportional assumed financial lines	-			***************************************
34.	Aggregate write-ins for other lines of business	·	-		
35.	TOTALS	8,754,599	3,783,466	4,338,254	8,199,811
3401.	F WRITE-INS				
3401. 3402.					
3403.					
3498.	Sum. of remaining write-ins for Line 34 from overflow page				
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34 above)	L			

# **UNDERWRITING AND INVESTMENT EXHIBIT**

### PART 1A - RECAPITULATION OF ALL PREMIUMS

	Line of Duck	Amount Unearned (Running One Year or Less from Date of Policy)	One Year from Date of Policy)	3 Earned but	4 Reserve for Rate Credits and Retrospective Adjustments Based	5 Total Reserve for Unearned Premium
	Line of Business	(a)	(a)	Unbilled Premium	on Experience	Cols. 1 + 2 + 3 + 4
1.	Fire	2,169,127				2,169,12
2.	Allied lines	2,169,127				2, 169, 12
3.	Farmowners multiple peril					
4.	Homeowners multiple peril					
5.	Commercial multiple peril					
6.	Mortgage guaranty					
8.	Ocean marine					••••
9.	Inland marine					
10.	Financial guaranty					
11.1	Medical professional liability-occurrence					
11.2	Medical professional liability-claims-made					
12.	Earthquake					
13.	Group accident and health			••••••		
14.	Credit accident and health (group and individual)					
15.	Other accident and health			·		
16.	Workers' compensation					
17.1	Other liability-occurrence					
17.2	Other liability-claims-made				*****	
17.3	Excess workers' compensation					
18.1	Products liability-occurrence					
18.2	Products liability-claims-made					
19.1,19.	2 Private passenger auto liability		,			
19.3,19.	4 Commercial auto liability					
21.	Auto physical damage					
22.	Aircraft (all perils)					
23.	Fidelity					
24.	Surety					
26.	Burglary and theft					
27.	Boiler and machinery					
28.	Credit					-
29.	International					***************************************
30.	Warranty					
31.	Defense of the second					
32.	Reinsurance-nonproportional assumed liability					
33.	Reinsurance-nonproportional assumed financial lines					
34.	Aggregate write-ins for other lines of business					
35.	TOTALS	4,338,254				4 222 254
36.	Accrued retrospective premiums based on experience		<del></del>			4,338,254
37.	Earned but unbilled premiums		***************************************	***************************************		
38.	Balance (Sum of Lines 35 through 37)		***************************************			
	OF WRITE-INS					4,338,254
101.						
402.						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
103.						
98.	Sum. of remaining write-ins for Line 34 from overflow page					i
199.	Totals (Lines 3401 through 3403 plus 3498) (Line 34 above)	1				

(a) State here basis of computation used in each case. Actual Method

# **UNDERWRITING AND INVESTMENT EXHIBIT**

### PART 1B - PREMIUMS WRITTEN

		1 Direct	Reinsurance Assumed         Reins           2         3         4			ance Ceded	6
	Line of Business	Business (a)	From Affiliates	From Non-Affiliates	To Affiliates	5 To Non-Affiliates	Net Premiums Written Cols. 1 + 2 + 3 - 4 - 5
1.	Fire	6,184,434				618,216	5,566,2
2.	Allied lines	3,660,397				618,216	
3.	Farmowners multiple peril	, , ,				010,210	3,042,18
4.	Homeowners multiple peril						
5.	Commercial multiple peril			,	***************************************		
6.	Mortgage guaranty						
8.	_	***************************************	*				
9.		440,400					
		146,199					146 , 19
10.	Financial guaranty		***************************************	-			
11.1	Medical professional liability-occurrence						
11.2	Medical professional liability-claims-made						
12.	Earthquake						
13.	Group accident and health						****
14.	Credit accident and health (group and individual)						
15.	Other accident and health						
16.	Workers' compensation			ļ			
17.1	Other liability-occurrence						
17.2	Other liability-claims-made						
17.3	Excess workers' compensation						
18.1	Products liability-occurrence		***************************************				
18.2	Products liability-claims- made				•		
19.1,19	.2 Private passenger auto liability						
19.3,19	.4 Commercial auto liability						
21.	Auto physical damage						
22.	Aircraft (all perils)				***************************************		
23.	Fidelity		***************************************				
24.			•••••••••••••••••••••••				
26.	Surety				***************************************		
	Burglary and theft						
27.	Boiler and machinery						
28.	Credit						
29.	International						
30.	Warranty						
31.	Reinsurance- nonproportional assumed property	xxx		ľ			
32.	Reinsurance- nonproportional assumed						
33.	Reinsurance- nonproportional assumed	XXX					
34.	Aggregate write-ins for other lines of business	xxx					
35.	TOTALS	0 004 020		-			
		9,991,030				1,236,431	8,754,599
	OF WRITE-INS						
3401.							
3402.							
3403.							
498.	Sum. of remaining write- ins for Line 34 from overflow page						
499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34 above)						

(a) Does the company's direct premiums written include premiums recorded on an installment basis?	Yes [ ] No [ X ]
If yes: 1. The amount of such installment premiums \$	
2. Amount at which such installment premiums would have been reported had they been reco	rded on an annualized basis \$
See Independent Accountant's Compilation Report	

### **UNDERWRITING AND INVESTMENT EXHIBIT**

PART 2 - LOSSES PAID AND INCURRED

Losses Paid Less Salvage
2 3 4 Net Losses Unpaid Prior Year Line of Business <u>Direct Business</u> <u>2,294,683</u> ......42,457,149 ...370 . 169 .19,760 ..11,822 3,500 8,322 .5.7 XXX XXX XXX 44,783,414 34,885,218 74,799 694,304 9,278,691 113.2 Sum. of remaining write-ins for Line 34 from overflow page.
 Totals (Lines 3401 through 3403 + 3498) (Line 34 above)
 Independent Accountant's Compilation Report

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**UNDERWRITING AND INVESTMENT EXHIBIT** 

PART 2A - UNPAID LOSSES AND LOSS ADJUSTMENT EXPENSES Incurred But Not Reported Net Losses Unpaid (Cols. 4 +5 + 6 - 7) 12,419 62,380 Net Unpaid Loss Adjustment Expenses Reinsurance Ceded 125,000 125,000 Direct 125,000 Reinsurance Assumed Direct .....1,036,080 ....2,683,697 Line of Business .1,000 .1,000 XXX 3,720,77 3,645,978 74,799 250,000 74,799

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# **UNDERWRITING AND INVESTMENT EXHIBIT**

PART 3 - EXPENSES

		- EXPENSES			
		1 Loss Adjustment Expenses	2 Other Underwriting Expenses	3 Investment Expenses	4 Total
1. Clai	im adjustment services:				10.0
1.11	Direct	3,222,769			3,222,76
1.21	Reinsurance assumed				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
1.31	Reinsurance ceded	3,088,932			3,088,93
1.4 !	Net claim adjustment services (1.1 + 1.2 - 1.3)	133,837			133,83
2. Com	nmission and brokerage:	, , , , , , , , , , , , , , , , , , , ,			
2.1 [	Direct, excluding contingent		1,493,183		1,493,18
	Reinsurance assumed, excluding contingent		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		1,433,10
	Pointure page and all analysis and the same				1
	Contingent-direct		.15,286		15.28
2.5 (	Contingent-reinsurance assumed				
2.6 (	Contingent-reinsurance ceded			***************************************	
	Policy and membership fees				
1	Net commission and brokerage (2.1 + 2.2 - 2.3 + 2.4 + 2.5 - 2.6 + 2.7)		1 500 450		4 500 404
1	wances to manager and agents		1,508,469		1,508,469
į.		4.529	0.000		
	rds, bureaus and associations	4,529		1,510	15,097
,	reys and underwriting reports		6,809	1 , 135	11,348
1	it of assureds' records		70,249		70,249
	ry and related items:				
l	Salaries				
1	Payroll taxes	66,142		22,047	220 , 475
		24,876	49,752	8,292	82,921
10. Insur	loyee relations and welfare		79,391	13,232	132 , 319
	4. 17	525	1,049	175	1,748
		1,288	2,576	429	4,294
	el and travel items	771	1,543	257	2,571
	and rent items	2,207	4,414	736	7 , 357
		21,070	42,140	7,023	70,233
	or depreciation of EDP equipment and software				
		4,992	9,984	1,664	16,641
		8,413	16,826	2,804	28,044
	l and auditing	5,998	11,996	1,999	19,993
	s (Lines 3 to 18)	183,912	438,073	61,304	683,289
	s, licenses and fees:	İ			
20.1 8	State and local insurance taxes deducting guaranty association		[	İ	
	dits of \$	30,210	60,421	10,070	100,701
	Insurance department licenses and fees	402	805	134	1,341
20.3 (	Gross guaranty association assessments				
20.4	All other (excluding federal and foreign income and real estate)				
20.5 7	Total taxes, licenses and fees (20.1 + 20.2 + 20.3 + 20.4)	30,613	61,225	10,204	102,042
21. Real e	estate expenses			10,040	10,040
22. Real e	estate taxes			3,104	3,104
23. Reimt	bursements by uninsured plans				, , ,
24. Aggre	gate write-ins for miscellaneous expenses	10,532	21,065	3,511	35,108
	expenses incurred	358,894	2,028,832		
	unpaid expenses-current year		28,288	r	a)2,475,889
	and the second of	12,000		18,858	47 , 146
	nts receivable relating to uninsured plans, prior year	12,000	105,321	70,214	187 ,535
29. Amour	nts receivable relating to uninsured plans, current year				
	L EXPENSES PAID (Lines 25 - 26 + 27 - 28 + 29)	370,894	2 405 005	100 5:0	
ETAILS OF V		310,694	2,105,865	139,519	2,616,278
2401. Miscel		10 520	24 225		
402		10,532	21,065	3,511	35 , 108
403					
	ary of remaining write-ins for Line 24 from overflow page				
	(Lines 2401 through 2403 plus 2498) (Line 24 above)	** 500			
	anagement fees of \$ to affiliates and \$	10,532	21,065	3,511	35,108

(a) Includes management fees of \$ \_\_\_\_\_\_\_

See Independent Accountant's Compilation Report

to affiliates and \$ .....to non-affiliates.

**EXHIBIT OF NET INVESTMENT INCOME** 

	During Year		
	)	(a)	U.S. Government bonds
57754,50	845 . 457	(a)	Bonds exempt from U.S. tax
	1	(a)	Other bonds (unaffiliated)
		(a)	Bonds of affiliates
	\	(b)	Preferred stocks (unaffiliated)
1		(b)	Preferred stocks of affiliates
70   175.63	175.570		Common stocks (unaffiliated)
	·		1 Common stocks of affiliates
		(c)	Mortgage loans
2.00	2.000	(4)	Real estate
		Tri	Contract loans
RA 1 21 N	21 080	(6)	Cash, cash equivalents and short-term investments
	21,000	(6)	Derivative instruments
		()-	Other invested assets
			Aggregate write-ins for investment income
	1,044,107		Total gross investment income
l (n) 88.16			Investment expenses
(g)			Investment taxes, licenses and fees, excluding federal income taxes
(b)			Interest expense
1 (1) 4 24			Depreciation on real estate and other invested assets
(1)			Aggregate write-ins for deductions from investment income
92.40			Total deductions (Lines 11 through 15)
860.85	***************************************		Net investment income (Line 10 minus Line 16)
			NLS OF WRITE-INS
i			
	***************************************		
****			Summary of remaining write-ins for Line 9 from overflow page
			Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)
		•••••	
		•••••	Promonent of some states with the facility of facility of
			Totals (Lines 4504 through 4500 at 14500) (Line 15 from overnow page
			Summary of remaining write-ins for Line 9 from overflow page  Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)  Summary of remaining write-ins for Line 15 from overflow page  Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)  sludes \$

(c) Includes \$ (c) Includes \$ (d) Includes \$ (e) Includes \$ (f) Includes \$ (g) Includes \$ segregated	ac ac for ac ac ac ac ac ac ac ac ac ac ac ac ac	ccrual of discount less \$ ccrual of discount less \$ r company's occupancy \$ ccrual of discount less \$ ccrual of discount less \$ vestment expenses and \$ unts.	amorti	zation of premium and less \$ zation of premium and less \$ excludes \$ zation of premium and less \$ zation of premium. stment taxes, licenses and fe	interest on encumb	paid for accrued interest on purchas paid for accrued dividends on purcha paid for accrued interest on purchas orances. paid for accrued interest on purchas al income taxes, attributable to	ases es.
(n) includes \$ (i) Includes \$	4,241 de	erest on surplus notes ar preciation on real estate	nd \$and \$	interest on capital notes. depreciation on other investe	ed assets.		

**EXHIBIT OF CAPITAL GAINS (LOSSES)** 

		1	7.L <u> </u>	AD (FOSSE		
		'	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds					
1.1	Bonds exempt from U.S. tax	259,030		259,030		
1.2	Other bonds (unaffiliated)				•••••	
1.3	Bonds of affiliates					
2.1	Preferred stocks (unaffiliated)					
2.11	Preferred stocks of affiliates					
2.2	Common stocks (unaffiliated)	(89,587)		(89,587)	16,960	
2.21	Common stocks of affiliates					1
3.	Mortgage loans					
4.	Real estate					
5.	Contract loans					
6.	Cash, cash equivalents and short-term investments.	***************************************				
7.	Derivative instruments					
8.	Other invested assets					
9.	Aggregate write-ins for capital gains (losses)			1		l
10.	Total capital gains (losses)	169,443		169,443	16.960	
	LS OF WRITE-INS					
0901.						
0902.			***************************************			
0903.						
0998.	Summary of remaining write-ins for Line 9 from overflow page					
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)					

# **EXHIBIT OF NONADMITTED ASSETS**

		Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
	Bonds (Schedule D).			
2.	Stocks (Schedule D):			
	2.1 Preferred stocks			
	2.2 Common stocks			
3.	Mortgage loans on real estate (Schedule B): 3.1 First liens			
	3.2 Other than first liens			
4.	Real estate (Schedule A):			
	4.1 Properties occupied by the company			
	4.2 Properties held for the production of income.			
	4.3 Properties held for sale			
5.	Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and			
٠.				
6	short-term investments (Schedule DA)			
- -	Contract loans			
	Derivatives (Schedule DB)			
8.	Other invested assets (Schedule BA)		·	
9.	Receivables for securities			
10.	Securities lending reinvested collateral assets (Schedule DL)			
11.	Aggregate write-ins for invested assets			
12.	Subtotals, cash and invested assets (Lines 1 to 11)			
13.	Title plants (for Title insurers only)			
14.	Investment income due and accrued			
15.	Premiums and considerations:			
	15.1 Uncollected premiums and agents' balances in the course of collection	(107)	(46)	0.4
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	. /	(40)	61
	15.3 Accrued retrospective premiums and contracts subject to redetermination			***************************************
	Reinsurance:		***************************************	
	16.1 Amounts recoverable from reinsurers			
	40.0 E			
	16.2 Other emanuals and building			
17	Amounte rangivable relating to unique delica			
18.1	Current federal and foreign income tay recoverable and interest to			
18.2	Current federal and foreign income tax recoverable and interest thereon	964,000	7,000	(957,000
10.2				
20	Guaranty funds receivable or on deposit			
20.	Electronic data processing equipment and software			
21.	Furniture and equipment, including health care delivery assets	1,532	8,464	6,931
22.	Net adjustment in assets and liabilities due to foreign exchange rates			
23.	Receivables from parent, subsidiaries and affiliates			
24.				***************************************
25. /				
26.	Total assets excluding Separate Accounts, Segregated Accounts and			
1	Protected Cell Accounts (Lines 12 to 25)	965,426	15,418	(950,008)
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts		,	(100)
28.	Total (Lines 26 and 27)	965,426	15,418	(950,008)
	S OF WRITE-INS		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	(000,000)
102				
103				
98. \$	Summary of remaining write-ins for Line 11 from overflow page			
99. 1	otals (Lines 1101 through 1103 plus 1198) (Line 11 above)	***************************************		
01	( and the place in the place in above)			<del></del>
				***************************************
98. 9	Summary of remaining write-ins for Line 25 from overflow page	1	i i	

# **GENERAL INTERROGATORIES**

## PART 1 - COMMON INTERROGATORIES

### GENERAL

If yes, complete Schedule Y, Parts 1, 1 And 2.  If yes, complete Schedule Y, Parts 1, 1 And 2.  If yes, diff the reporting entity reporting entity the state of domicile of the principal insurer in the Holding Company System. a registration statement providing disclosure sustainability in the state of domicile of the principal insurer in the Holding Company System. Regulatory of the statement of the tendands adopted by the National Association of Insurance Commissioners (NAIC) in its Model insurance Holding Company System Regulatory Act and model regulations partialing thereto, or is the reporting entity subject to standards and disclosure requirements audicinatively smills or those regulatory and disclosure requirements audicinatively smills or those regulatory and disclosure requirements audicinatively smills or those regulatory or is the reporting entity subject to standards and disclosure requirements audicinatively smills or those regulatory or is the reporting entity subject to standards and disclosure requirements audicinatively smills or those reporting entity.  If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entityliproup.  It has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity.  If yes, doe of change:  If yes, and the the latest financial examination of the reporting entity which are a statement float the date the latest financial examination report became available to from either the state of domicile or the reporting entity.  If yes, doe the date of the examine adjustments within the latest financial examination report and not the date of the examination (balance sheet allowed the properting entity). This date should be the date of the examined balance sheet and not the date for the properting entity. This bit is released shot correspondent of the reporting entity the properting entity that the statement of the properting entity that the statement	1.1	is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more which is an insurer?	e of	Yes [	1	Mo	, v )
disclasure substantially winder to the standards advolved in the standard advolved insurance foliage Company System, within the standards and disclosure recipiements substantially similar to those required by such Act and regulations?  Yes [] No [] N/A [X]  State Regulatory NA.  It is the reporting entity publicly funded or a member of a publicly trained group?  Yes [] No [X]  If the response to 14 is yes, provide the CIK (Cartral Indicex Key) code issued by the SEC for the entity(group.  If the response to 14 is yes, provide the CIK (Cartral Indicex Key) code issued by the SEC for the entity(group.  If the response to 14 is yes, provide the CIK (Cartral Indicex Key) code issued by the SEC for the entity(group.  If the response to 14 is yes, provide the CIK (Cartral Indicex Key) code issued by the SEC for the entity(group.  If the response to 14 is yes, provide the CIK (Cartral Indicex Key) code issued by the SEC for the entity(group.  If yes, cate of change:  State and what date the latest francisel assemblish on the reporting made.  If yes, cate of change:  State and with date the latest francisel assemblish on the reporting made.  If yes, cate of change:  State and with date the latest francisel assemblish on the reporting made.  If yes, and the code of the manned business assemblish to other attract one reporting entity. This date made to the date of the examination sepont became available to other attract one reporting entity. This is the release date or completion date of the examination report been accounted for in a subsequent financial cartral indices.  If yes, and the second cartral indices the cartral indice		If yes, complete Schedule Y, Parts 1, 1A and 2.		res [	1	NO	[ x ]
1.3 State Regulating? NA.  1.4 Is the reporting entity publicly traded or a member of a publicly traded group?  1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.  2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?  2.1 If yes, date of change:  2.1 State as of what date the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the seminated balance sheet and not the date the report was completed or released.  2.12/31/2014  3.1 State as of what date the latest financial examination report became available from either the state of domicile or the reporting entity. This is the resease date or completion date of the examination report and not the date for the examination report and not the date for the examination (value) and the value of the examination report and not the date of the examination report and not the date of the examination (value) and the value of the examination report and not the date of the examination (value) and the value of the examination report and not the date of the examination (value) and the value of the examination report and not the date of the examination (value) and the value of the examination report and not the date of the examination (value) and the value of the value of the examination (value) and the value of the examination (value) and the value of the examination (value) and the value of the examination of the examination of the examination (value) and the value of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination of the examination	1.2	disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System, Regulatory Act and model regulations pertaining thereto are in the proofing active the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining the rest of the proofing active this continuation.	Yes [	l No I	1	N/A J	r X 1
1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.  2.1 Has any rhange been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?  2.2 If yes, date of change:  3.3 State as of what date the latest financial examination of the reporting entity was made or is being made.  3.3 State as of vhat date the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.  3.1 State as of what date the latest financial examination report became available to their states of the public from either the state of domicile or the reporting entity. This is the release date or competition date of the examination (balance sheet class).  3. State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or competition date of the examination (balance sheet class).  4. State and the state of domicile or the reporting entity. This is the responsible entitle of the examination (balance sheet class).  5. Have all financial statement adjustments within the latest financial examination report deen accounted for in a subsequent financial statement filled with Department?  4. During the period covered by this statement, did any agent, broker, sales representative, non-efficiated sales/service organization or any combination thereof under common control (other this saltated entity) entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business?  4.12 enewals?  4.12 enewals?  4.22 enewals?  5. Has the reporting entity been a party to a merger or control dation during the period covered by the statement?  6. If yes, provide the name o	1.3	State Regulating? N/A			,		,
2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?  2.2 If yes, date of change:  3.2 Issue as of what date the latest financial examination of the reporting entity was made or is being made.  3.2 Issue as of what date the latest financial examination report became available from either the state of domicile or the reporting entity. This short date the latest financial examination report became available to the state of domicile or the reporting entity. This is the release date or completion date of the examination (balance sheet date) and the date of the examination report became available to the state of domicile or the contring entity. This is the release date or completion date of the examination (balance sheet date) and the date of the examination (balance sheet date).  3.3 Issue as of what department or departments? lower insurance Division.  3.4 By what department or departments within the latest financial examination report been accounted for in a subsequent financial?  4.5 Issue and if insurance and insurance Division.  3.6 Issue and insurance Division.  4.7 Issue and insurance Division.  4.8 Issue and insurance Division.  4.9 What department or departments?  4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated calculations or any combination thereof under common control (other than statisted employees of the reporting entity) receive credit or commissions for or commissions thereof under common control (other than statisted employees of the reporting entity) receive credit or commissions for or control as substantial part (more than 20 percent of any major line of business?  4.2 Issues of new business?  4.2 Issues of new business?  4.2 Issues of new business?  4.2 Issues of new business?  4.2 Issues of new business?  4.2 Issues of new business?  4.3 Issues of new business?  4.4 Issues of new business?  4.5 Issue the period covered by this	1.4			Yes [	1	No (	[ X ]
2.2 If yes, date of change:  1.2 (If yes, date of change:  1.2 (If yes, date of change:  1.3 (State as of what date the latest financial examination of the reporting entity was made or is being made.  2.3 (State has of of date that the latest financial examination report became evaluable from either the state of domicile or the reporting entity. This date hould be the date of the examined balance sheet and not the date the report was completed or released.  2.2 (State has not date that the latest financial examination report became evaluable to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report became reporting entity. This is the release date or completion date of the examination (valuance sheet date).  2.4 (State as of what date the latest financial examination report became accounted for in a subsequent financial report date).  2.5 (Fee [X] No [] N/A [] (Fee [X]) (F		If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.					
3.1 State as of what date the latest financial examination of the reporting entity was made or is being made.  3.2 State the as of date that the latest financial examination preport became available from either the state of domicile or the reporting entity. This is the varianted belance sheet and not the date freport was completed or released.  3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet alon).  3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement fide with Department?  3.6 Have all of the recommendations within the latest financial examination report been accounted for in a subsequent financial statement fide with Department?  4.1 During the period covered by this statement, did any spant, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct permitting) of:  4.12 renewals?  4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, nonewe credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct permitting) of:  4.2 If the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, nonewe credit or commissions for or control a substantial part (more than 20 percent of any major line of business?  4.2 If sales of new business?  4.2 If yes, complete and file the merger history data file wit		reporting entity?	the	Yes [	]	No !	[ X ]
2.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet the reporting entity.) This is the release date or completion date of the examination report and not the date of the examination (balance sheet the reporting entity.) The statement of departments? It is a statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement adjustments within the latest financial examination report been accounted by the reporting entity in the reporting entity or report the reporting entity or reported by this statement. The reporting entity or report of the reporting entity or report of the reporting entity or reporting entity or report or control of substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  1. **All sales of new business?**  1. **All sales of new business?**  1. **All sales of new business?**  1. **All sales of new							<del>-</del>
3.3 Site as of what date the latest financial examination report became available to other states on the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination (balance sheet the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet the reporting entity) and the part of the reporting entity is a subsequent financial statement and partments? It was insurance Division.  3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial year. If yes [X] No [] N/A [] have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial year. If yes [X] No [] N/A [] have all of the recommendations within the latest financial examination report been accounted for in a subsequent financial year. If yes [X] No [X] N/A [] have all of the recommendations within the latest financial examination report been accounted for in a subsequent financial year. If yes [X] No [X] N/A [] N		State the as of date that the latest financial examination report became available from either the state of demicile as the specific action and the	his				
3.4 By what department or departments? lova Insurance Division  3.5 Have all financial statement digitatments within the latest financial examination report been accounted for in a subsequent financial statement filled with Departments?  4.1 During the period covered by this statement, did any spent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salariad employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.1 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.2 sales of new business?  4.2 sales of new business?  4.2 sales of new business?  4.2 sales of new business?  4.2 sales of new business?  4.2 sales of new business?  4.2 sales of new business?  4.2 sales of new business?  4.3 No [ X ]  5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  4.2 sales of new business?  4.2 sales of new business?  4.3 No [ X ]  4.4 Sales of new business?  4.5 [ ] No [ X ]  5.6 [ If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  5.2 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  5.4 If yes, give full information  5.5 If yes, give full information  6.6 If yes, give full information  6.7 Name of Entity  7.2 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or recipro	3.3	State as of what date the latest financial examination report became available to other states or the public from either the state of domicile the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sha	e or eet				
3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?  4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried emplyoses of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.12 renewals?  4.22 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.22 renewals?  4.22 sales of new business?  4.22 renewals?  5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  6.2 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  6.3 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  6.4 If yes give limiting the period covered by any governmental entity during the reporting period?  7. If yes give limiting the period covernmental entity during the reporting period?  8. If yes, give limiting the period covernmental entity during the reporting entity or more of the reporting entity?  7. Yes [ ] No [ X ]  8. If yes, give limiting the period covernmental entity during the reporting entity (if yes, individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) or if the entity is a mutual or reciprocal, the nationalit	3.4	•	•••••			0/0//2	2015
Have all of the recommendations within the latest financial examination report been complied with?  4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business nessured on direct premiums) of:  4.12 newwals?  4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.22 nerveyla?  5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  6.2 If yes, complete and file the merger history data file with the NAIC.  6.2 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  6.1 Name of Entity  6.2 If yes, give this information  6.3 If yes, give this information  6.4 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  6.3 If yes, give this information  6.4 If yes, give this information  6.5 If yes, give this information  6.6 If yes, give the nationality during the reporting period?  7.2 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identity the type of entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identity the type of entity(s); or if the entity is a mutual or r	3.5	Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial	Yes [	X 1 No f	1	N/A f	1
4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.11 sales of new business?  4.12 renewals?  4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.21 sales of new business?  4.22 renewals?  4.22 renewals?  4.22 renewals?  4.22 renewals?  4.22 renewals?  4.25 ly so, complete and file the merger history data file with the NAIC.  5.2 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  4.3 NAIC Company Code State of Domicile  Aname of Entity  Name of Entity  NAIC Company Code State of Domicile  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  **Company Code State of Domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  **To ease of the entity that the percentage of the entity during the reporting period?  Yes [ ] No [ X ]  Yes [ ] No [ X ]  **Yes [ ] No [ X ]  **Yes [ ] No [ X ]  **Yes [ ] No [ X ]	3.6	House all of the recommendations with the first of the fi					
control as ubstantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.12 renewals?  4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.22 Isales of new business?  4.22 renewals?  5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  If yes, complete and file the merger history data file with the NAIC.  5.2 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  Name of Entity  NAIC Company Code State of Domicile  1. Name of Entity  NAIC Company Code State of Domicile  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Name of Entity  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]  Yes [ ] No [ X ]				. 1 [	1	ma į	J
4.12 renewals?  4.12 renewals?  4.12 renewals?  4.12 renewals?  4.13 renewals?  4.21 sales of new business?  4.22 renewals?  4.23 renewals?  4.24 renewals?  4.25 renewals?  5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  If yes, complete and file the merger history data file with the NAIC.  If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  1	4.1	commination interest under common control (other than salaried employees of the reporting entity) receive credit or commissions for control a substantial part (more than 20 percent of any major line of business measured on direct	any or				
4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  4.21 sales of new business?  4.22 renewals?  5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  1 f yes, complete and file the merger history data file with the NAIC.  1 f yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  1		4.11 sales of flew business:		-	-		
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4.22 renewals?  Yes [] No [X]  Yes [] No [X]  Yes [] No [X]  Yes [] No [X]  Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  If yes, complete and file the merger history data file with the NAIC.  If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  1  Name of Entity  NAIC Company Code  State of Domicile  Name of Entity  NAIC Company Code  State of Domicile  Yes [] No [X]  Yes [] No [X]  Yes [] No [X]  If yes, yes, pive full information  To Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  Yes [] No [X]		amiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured	on				
5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  If yes, complete and file the merger history data file with the NAIC.  1 fyes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  1		4.21 sales of new business?		Yes [	]	No [	χ }
If yes, complete and file the merger history data file with the NAIC.  1. If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.  1. Name of Entity 1. NAIC Company Code 1. State of Domicile 1. Name of Entity	<i>-</i> 4			Yes [	]	No [	Χ]
f.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  1 Yes [ ] No [ X ]  1 Yes, give full information  7.2 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).	<b>5.</b> I			Yes [	]	No [	Χ]
1 Name of Entity NAIC Company Code State of Domicile Name of Entity NAIC Company Code State of Domicile Name of Entity NAIC Company Code State of Domicile Name of Entity NAIC Company Code State of Domicile Name of Entity NAIC Company Code State of Domicile Name of Entity NAIC Company Code State of Domicile Name of Entity No [ X ] Yes [ ] No [ X ] Yes [ ] No [ X ]  7.21 State the percentage of foreign control 7.22 State the nationality(s) of the foreign person(s) or entity(s): or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).	5.2						
Name of Entity  NAIC Company Code  State of Domicile  Name of Entity  NAIC Company Code  State of Domicile  Name of Entity  NAIC Company Code  State of Domicile  Name of Entity  Name of Entity  NAIC Company Code  State of Domicile  Yes [] No [X]  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity and any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  Yes [] No [X]	J.2	ryes, provide the hand of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that h ceased to exist as a result of the merger or consolidation.	as				
Name of Entity  NAIC Company Code  State of Domicile  Name of Entity  NAIC Company Code  State of Domicile  Name of Entity  NAIC Company Code  State of Domicile  Name of Entity  Name of Entity  NAIC Company Code  State of Domicile  Yes [] No [X]  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity and any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  The square of the reporting entity?  Yes [] No [X]  Yes [] No [X]							
6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  7.2 If yes, give full information  7.3 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  7.2 If yes,  7.2.1 State the percentage of foreign control  7.2.2 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).							
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or revoked by any governmental entity during the reporting period?  Yes [] No [X]  1 yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  Yes [] No [X]  7.2 If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).							
or revoked by any governmental entity during the reporting period?  Yes [] No [X]  1 yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  Yes [] No [X]  7.2 If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).			İ				
or revoked by any governmental entity during the reporting period?  Yes [] No [X]  1 yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  Yes [] No [X]  7.2 If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).							
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7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).	6.2	If yes, give full information		-		•	•
7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).				Yes [	1	No [	Х ]
7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).	7.2						
manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).							%
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorn	its ey-				
Type II Ellity		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	7				
		Type of Littly	1				
			┙				

# ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

# **GENERAL INTERROGATORIES**

14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).

8.1 8.2	Is the company a subsidiary of a bank holding company reg If response to 8.1 is yes, please identify the name of the bar	ulated by the Federal Reserve Board? nk holding company.				Yes [	] No [	X ]
8.3 8.4		ations (city and state of the main office) of	aller of the Cu	reconcil (OCC)		Yes [	] No [	Хј
	1	2	3	4	5	6	٦	
	Affiliate Name	Location (City, State)	FRB	occ	FDIC	SEC		
							1	
9.	What is the name and address of the independent certified an annual audit is not required							
	Has the insurer been granted any exemptions to the prohit requirements as allowed in Section 7H of the Annual Financial aw or regulation?	oited non-audit services provided by the cial Reporting Model Regulation (Model A	cortified inde	nondont nubl	ic accountant similar state	Yes [	] No [ X	]
10.2	If the response to 10.1 is yes, provide information related to	this exemption:						
10.3	Has the insurer been granted any exemptions related to t allowed for in Section 18A of the Model Regulation, or subst	he other requirements of the Annual Fi antially similar state law or regulation?	inancial Repo	rting Model F	Regulation as	Yes [	] No [ X	1
10.4	If the response to 10.3 is yes, provide information related to					100 [	) NO [ A	1
10.5	Has the reporting entity established an Audit Committee in c	ompliance with the domicilians state incur	ranna lawa?		Yes í	1 No F V	1 N/A J	,
10.6	<ul> <li>40.5 Has the reporting entity established an Audit Committee in compliance with the domicillary state insurance laws?</li> <li>40.6 If the response to 10.5 is no or n/a, please explain</li> <li>40.6 N/A</li> </ul>							J
11.	What is the name, address and affiliation (officer/employ consulting firm) of the individual providing the statement of a	ctuarial opinion/certification?	consultant ass		an actuarial			
12.1	Actuarial certification is not required  Does the reporting entity own any securities of a real estate	holding company or otherwise hold real of	antata indirect			Van f	7 No. 7 N	,
	and the state of t	12.11 Name of rea				res į	] No [X	•
		12.12 Number of p						
		12.13 Total book/a						
12.2	If yes, provide explanation							
13.	FOR UNITED STATES BRANCHES OF ALIEN REPORTING	ENTITIES ONLY:						
13.1	What changes have been made during the year in the United	States manager or the United States tru	ustees of the r	eporting entity	ls.			
13.2	Does this statement contain all business transacted for the re-	eporting entity through its United States E	Branch on risk	s wherever lo	cated?	Yes [	1 No 1	1
13.3	Have there been any changes made to any of the trust inden	tures during the year?					l No f	i
13.4	If answer to (13.3) is yes, has the domiciliary or entry state a	pproved the changes?			Yes [	] No [	j N/A į	j
14.1	Are the senior officers (principal executive officer, principal similar functions) of the reporting entity subject to a code of a	financial officer, principal accounting offi	icer or control	ler, or person	s performing	V r v	1 N- f	,
	Honest and ethical conduct, including the ethical handlin relationships;	g of actual or apparent conflicts of inter	rest between	personal and	professional	Yes [ X	] NO [	i
	b. Full, fair, accurate, timely and understandable disclosure in c. Compliance with applicable governmental laws, rules and it	the periodic reports required to be filed	by the reporti	ng entity;				
	d. The prompt internal reporting of violations to an appropriat							
	e. Accountability for adherence to the code.	e person or persons identified in the cod	e; and					
14.11	If the response to 14.1 is no, please explain:							
14.2	Has the code of ethics for senior managers been amended?					Yes i	] No [ X	1
	If the response to 14.2 is yes, provide information related to a	mendment(s).				.00 [	1 [ y	1
14.3	Have any provisions of the code of ethics been waived for an	y of the specified officers?				Yes [	] No [X	,
	· ·							

Yes [ ] No [ ] NA [ X ]

# ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

# **GENERAL INTERROGATORIES**

	2 If the	reporting entity the beneficiary of a Le Bank List? response to 15.1 is yes, indicate the A of the Letter of Credit and describe the	merican Bankers Association (ABA) E	Courting Niverbar	and the control of		Yes	1	] No	[ X
	bank	of the Letter of Credit and describe the	Credit is trigger	red.	ming					
		1 American Bankers Association	2		3		4			
		(ABA) Routing Number	Issuing or Confirming Bank Name	Circumstance	es That Can Trigger the Letter of Cred	dit	Amount	:	$\downarrow$	
			BOARD OF D	IDECTOR	e					
16.	Is the	purchase or sale of all investments of				mittee				
17.	410100	the reporting entity keep a complete					Yes	X ]	No	[
		21.					Yes [	XI	No	I i
18.	uic pe	ne reporting entity an established proc art of any of its officers, directors, trust person?	edure for disclosure to its board of di tees or responsible employees that is	rectors or trust in conflict or i	ees of any material interest or affiliati is likely to conflict with the official du	on on ties of		•	No [	
							.50 [	~ 1	.,0 [	. ј
			FINANCIAL							
19.	Has th Accou	nis statement been prepared using a ba inting Principles)?	sis of accounting other than Statutory	Accounting Pri	inciples (e.g., Generally Accepted		Yes [	,	No. I	f V 1
20.1	Total a	amount loaned during the year (inclusiv	re of Separate Accounts, exclusive of	policy loans):	20.11 To directors or other officers	\$	169 [		-	
					20.12 To stockholders not officers		••••			
					20.13 Trustees, supreme or gran- (Fraternal only)	d				
20.2	Total a policy	amount of loans outstanding at the end loans):	of year (inclusive of Separate Accoun	ts, exclusive of	20 21 To dispetate on all an assure	•				
		,			20.21 To directors or other officers 20.22 To stockholders not officers					
					20.23 Trustees, supreme or grand (Fraternal only)	d				
	obligat	any assets reported in this statement so ion being reported in the statement?		nsfer to anothe	r party without the liability for such	Ψ	Yes [			
21.2	If yes,	state the amount thereof at December	31 of the current year: 2	1.21 Rented fro	om others	\$		_		
			2	1.22 Borrowed	from others	\$				
			2	1.23 Leased fro	om others	\$				
22.1	Door t	his statement include a sure of 5	2	1.24 Other		\$	•			
	guaran	his statement include payments for ass ity association assessments? rer is ves:					Yes [	]	No [	X ]
	anow	o. 10 yes.			paid as losses or risk adjustment					
				22.22 Amount p 22.23 Other am	paid as expenses		······			
23.1	Does th	ne reporting entity report any amounts o	due from parent, subsidiaries or affiliat	tes on Page 2 o	of this statement?	\$	Yes [			
20.2	ii yes, i	ndicate any amounts receivable from p	arent included in the Page 2 amount:			\$				
			INVESTM	IENT						
		Il the stocks, bonds and other securities ual possession of the reporting entity or ve full and complete information, relatir	i said date? (other than securities lend	, over which the ding programs a	e reporting entity has exclusive contro addressed in 24.03)	l, in	Yes [ ]	х ]	No [	1
24.03	For sec	curities lending programs, provide a de r collateral is carried on or off-balance s	escription of the program including w	alue for collate lote 17 where th	ral and amount of loaned securities, his information is also provided)	and				
	Cupital	reporting entity's securities lending pro Instructions.								
24.05	For the	reporting entity's securities lending pro	gram, report amount of collateral for o	ther programs.	:	\$				
24.06	Does you	our securities lending program require of the contract?	102% (domestic securities) and 10	5% (foreign se	curities) from the counterparty at the	Э	] No [			<b>Y</b> 1
24.07	Does th	e reporting entity non-admit when the c	collateral received from the counterpar	ty falls below 1	00%?		] No [	-	-	

24.08 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending?

# **GENERAL INTERROGATORIES**

24.09	For the reporting	entity's securities lending progra	m, state the amou	nt of the fo	llowing as of December 31 of the current year:				
	24.091	Total fair value of reinvested co	lateral assets repo	orted on So	chedule DL, Parts 1 and 2	S			
	24.092	Total book adjusted/carrying val	ue of reinvested c	ollateral as	sets reported on Schedule DL, Parts 1 and 2				
	24.093	Total payable for securities lend							
25.1	control of the rep	stocks, bonds or other assets	of the reporting er entity sold or trans	ntity owner	at December 31 of the current year not exclusively under assets subject to a put option contract that is currently in for		Yes [		
25.2	If yes, state the a	mount thereof at December 31 o	f the current year:				•	•	
		25	21 Subject to rep	urchase a	greements	\$			
		25.	22 Subject to rev	erse repur	chase agreements				
		25.	23 Subject to dol	lar repurch	ase agreements				
		25.	24 Subject to rev	erse dollar	repurchase agreements				
		25.	25 Placed under	option agr	eements				
		25.	26 Letter stock or	r securities	restricted as to sale – excluding FHLB Capital Stock		****		
			27 FHLB Capital		,				
		25.	28 On deposit wi	th states					
		25.	29 On deposit wi	th other req	gulatory bodies				
		25.	30 Pledged as co	ollateral – e	xcluding collateral pledged to an FHLB				
					FHLB – including assets backing funding agreements				
			32 Other						
25.3	For category (25.	26) provide the following:							
		1 Nature of Restriction			2 Description		3 Amount		
					Description		Aniount		
26.1	Does the reportin	g entity have any hedging transac	tions reported on	Schedule	DB?		Yes [ ]	No f	X 1
	If yes, has a comp	prehensive description of the hed				res í		N/A I	1
	If no, attach a des	scription with this statement.			•	(	1		,
INES 26.3	26.3 through 26.5 Does the reporting rate sensitivity?	: FOR LIFE/FRATERNAL REPOR g entity utilize derivatives to hedg	RTING ENTITIES of the variable annuity	ONLY: guarantee	s subject to fluctuations as a result of interest				
26.4		26.3 is YES, does the reporting e	entity utilize:				Yes [	] No	[ ]
		26.4	11 Special accou	nting provi	sion of SSAP No. 108		Yes [	1 No	[ ]
			12 Permitted acco				Yes I	1 No	1 1
			13 Other account				Yes I	] No	1 1
26.5	By responding YE				s of SSAP No. 108, the reporting entity attests to		100 [	1 110	ſ j
	the following:				. , , , , , , , , , , , , , , , , , , ,		Yes f	1 No	1 1
	<ul> <li>The re</li> </ul>	porting entity has obtained explic	it approval from th	e domicilia	ry state.			,	. ,
	<ul> <li>Hedgi</li> </ul>	ng strategy subject to the special	accounting provisi	ions is con	sistent with the requirements of VM-21.				
	<ul> <li>Actuar</li> </ul>	rial certification has been obtaine	d which indicates	that the h	edging strategy is incorporated within the establishment of the Actuarial Guideline Conditional Tail Expectation Amount.	VM-			
	<ul> <li>Finand Hedgin</li> </ul>	cial Officer Certification has been	obtained which in	dicates the	at the hedging strategy meets the definition of a Clearly Defi ng Strategy is the hedging strategy being used by the comp	nod			
27.1		d stocks or bonds owned as of D		current ye	ar mandatorily convertible into equity, or, at the option of		Yes [ ]	l old	V 1
27.2	If yes, state the an	nount thereof at December 31 of	the current year.			e	163 [ ]	NO (	v 1
28.	Excluding items in	Schedule E - Part 3 - Special D	enosits real estate	e, mortgag	e loans and investments held physically in the reporting	Ψ	***************************************	***************************************	
	pursuant to a cust Considerations, F.	uits of safety deposit boxes, were odial agreement with a qualified t	all stocks, bonds ank or trust comp	and other: any in acco	securities, owned throughout the current year held ordance with Section 1, III – General Examination greements of the NAIC Financial Condition Examiners				
	nanubook ?				ion Examiners Handbook, complete the following:		Yes [ X ]	No [	]
		Name of C	l ustodian(s)		2 Custodian's Address				
		lowa State Bank			2301 128th Street, Urbandale, IA 50323-1818				
		1			1				

### **GENERAL INTERROGATORIES**

28.02	For all agreements that do not comply wi	ith the requirements of the NAIC Financial Condition Examiners Ha	ndbook, provide the name
	location and a complete explanation:		, promote the manner,

1	2	3
Name(s)	Location(s)	Complete Evalenation(s)
		Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? 28.04 If yes, give full and complete information relating thereto:

Yes [ ] No [ X ]

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Matthew Bral, Principal Securities	U
5,101.2	

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes [ X ] No [ ]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes [ X ] No [ ]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
4820934	Matthew Bral, Principal Securities		No	NO

- 29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])?
- 29.2 If yes, complete the following schedule:

Yes [ ] No [ X ]

1 CUSIP#	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 TOTAL		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1	2	3	4
Name of Mutual Fund	Name of Significant Holding of the Mutual Fund	Amount of Mutual Fund's Book/Adjusted Carrying Value	
(from above table)	of the Mutual Fund	Attributable to the Holding	Date of Valuation
		***************************************	
			l de la companya de la companya de la companya de la companya de la companya de la companya de la companya de

### **GENERAL INTERROGATORIES**

Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or

Statement value for fall value.			
	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	19,162,690		1,414,757
30.2 Preferred Stocks			, , , , , , , , , , , , , , , , , , , ,
30.3 Totals	19,162,690	20,577,447	1,414.757

30.4	Describe the sources or methods utilized in determining	the fair values:
------	---	------------------

Third Party Custodian.

- 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D?
- 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source?
- 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:
- 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?
- 32.2 If no, list exceptions:
- 33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
  - a.Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b.Issuer or obligor is current on all contracted interest and principal payments.
  - c.The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes [ ] No [ X ]

Yes [ X ] No [ ]

Yes [ X ] No [ ]

Yes [ X ] No [ ]

- 34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security: a. The security was purchased prior to January 1, 2018.
  b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as an NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance requisitors.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO. Has the reporting entity self-designated PLGI securities?

Yes [ ] No [ X ]

- By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- The shares were purchased prior to January 1, 2019.

  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
     The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

  - d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [ ] No [ X ]

..11.348

- By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:

  a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.

  b. If the investment is with a nonrelated party or nonaffiliated then it reflects an arms-length transaction with

  - b. If the investment is with a nonrelated party or nonamiliated then it reneats an arms-length transaction with renewal completed at the discretion of all involved parties.
     c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
     d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a. -36.c are reported as long-term investments.
     Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria?

Yes [ X ] No [ ] NA [ ]

### OTHER

- 37.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?
- 37.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations, and statistical or rating bureaus during the period covered by this statement.

1	2
Name	Amount Paid
NAM I C.	\$8,543

### **GENERAL INTERROGATORIES**

	38.1	Amount of	payments	for legal	expenses	if any?
--	------	-----------	----------	-----------	----------	---------

\$ .....

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1	2
Name	Amount Paid
	\$
	\$
	\$

39.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers, or departments of government, if any? \$

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers, or departments of government during the period covered by this statement.

1	2
Name	Amount Paid
L	

# GENERAL INTERROGATORIES PART 2 - PROPERTY & CASUALTY INTERROGATORIES

	boes the reporting entity have any direct medicare Sup	piement insurance in force	γ	·····	······	************	Yes [	] No [X
1.2	If yes, indicate premium earned on U. S. business only.				•••••	\$		
1.3	What portion of Item (1.2) is not reported on the Medica							
	1.31 Reason for excluding		·			•		
	-							
1.4	Indicate amount of earned premium attributable to Cana							·····
1.5	Indicate total incurred claims on all Medicare Suppleme							
1.6	Individual policies:	THE TOTAL CONTROL OF THE TOTAL CONTROL OT THE TOTAL CONTROL OF THE TOTAL				Ψ		
	marriada: policios.		Montau	-4 th				
				ent three years:		_		
				l premium earned				•••••
				I incurred claims				••••
				nber of covered lives			*****	
			All years p	rior to most current thre-	e years:			
			1.64 Tota	Il premium earned	•••••	\$		
			1.65 Tota	I incurred claims		\$		***************************************
			1.66 Num	ber of covered lives				
1.7	Group policies:							
			Most curre	nt three years:				
			1.71 Tota	I premium earned		<b>\$</b>		*
				I incurred claims				
				ber of covered lives				
				rior to most current three				******************************
				premium earned	·=	· ·		
				I incurred claims				
				ber of covered lives				
			1.70 Null	bei bi covered lives				
2.	Health Test:							
				1 Current Year	Б	2 rior Year		
	2.1	Premium Numerator	\$.	Current real				
	2.2	Premium Denominator		8,199,811		7 222 222		
						7,222,032		
	2.3	Premium Ratio (2.1/2.2)						
	2.4	Reserve Numerator						
	2.5	Reserve Denominator	\$ .	4,413,053	\$	4,489,770		
	2.6	Reserve Ratio (2.4/2.5)	-					
3.1	Did the reporting entity issue participating policies during					Ye	es [	] No [ X
3.2	If yes, provide the amount of premium written for particip					_		
				cipating policies				
		•	3.22 Non-	participating policies		<b>\$</b>		
4.	For Mutual reporting entities and Reciprocal Exchanges	only:						
.1	Does the reporting entity issue assessable policies?					Ye	es [ '	] No [ X
.2	Does the reporting entity issue non-assessable policies?				***************************************	Ye		] No [
.3	If assessable policies are issued, what is the extent of the	e contingent liability of the	policyholde	rs?				9
.4	Total amount of assessments paid or ordered to be paid	during the year on deposit	notes or co	ontingent premiums		\$	*****	
5.	For Reciprocal Exchanges Only:							
5. .1	Does the exchange appoint local agents?	***************************************				Ye	:5 [ ]	No [
5. .1								
5. .1	Does the exchange appoint local agents?	5	5.21 Out o	f Attorney's-in-fact comp	ensation	Yes [	] No [	] N/A [X]
5. .1 .2	Does the exchange appoint local agents?	5	5.21 Outo 5.22 As a d		ensation	Yes [	] No [	
5. .1 .2	Does the exchange appoint local agents?	t compensation of the Attorne	5.21 Out o 5.22 As a d ey-in-fact?	f Attorney's-in-fact comp lirect expense of the exc	ensationhange	Yes [ Yes [	] No [	] N/A [X]
5. 5.1 5.2	Does the exchange appoint local agents?	tompensation of the Attorne	5.21 Out o 5.22 As a d ey-in-fact?	f Attorney's-in-fact complirect expense of the exc	ensationhange	Yes [ Yes [	] No [ ] No [	] N/A [X]

### **GENERAL INTERROGATORIES**

### PART 2 - PROPERTY & CASUALTY INTERROGATORIES

6.1	What provision has this reporting entity made to protect itself from an excessive loss in the event of a catastrophe under a workers' compensation contract issued without limit of loss:  N/A					
6.2	Describe the method used to estimate this reporting entity's probable maximum insurance loss, and identify the type of insured exposures comprising that probable maximum loss, the locations of concentrations of those exposures and the external resources (such as consulting firms or computer software models), if any, used in the estimation process:  The company has an unlimited aggregate excess contract					
6.3	What provision has this reporting entity made (such as a catastrophic reinsurance program) to protect itself from an excessive loss arising from the types and concentrations of insured exposures comprising its probable maximum property insurance loss?					
6.4	Does the reporting entity carry catastrophe reinsurance protection for at least one reinstatement, in an amount sufficient to cover its estimated probable maximum loss attributable to a single loss event or occurrence?	Yes	[	1	No	[ X ]
6.5	If no, describe any arrangements or mechanisms employed by the reporting entity to supplement its catastrophe reinsurance program or to hedge its exposure to unreinsured catastrophic loss					
7.1	Has the reporting entity reinsured any risk with any other entity under a quota share reinsurance contract that includes a provision that would limit the reinsurer's losses below the stated quota share percentage (e.g., a deductible, a loss ratio corridor, a loss cap, an aggregate limit or any similar provisions)?	Yes	ı	1	No	[ X ]
7.2 7.3	If yes, indicate the number of reinsurance contracts containing such provisions					
8.1 8.2	provision(s)?.  Has this reporting entity reinsured any risk with any other entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on this risk, or portion thereof, reinsured?.				No No	[ X ]
8.2	If yes, give full information					
9.1	Has the reporting entity ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates) for which during the period covered by the statement: (i) it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; (ii) it accounted for that contract as reinsurance and not as a deposit; and (iii) the contract(s) contain one or more of the following features or other features that would have similar results:					
	<ul><li>(a) A contract term longer than two years and the contract is noncancellable by the reporting entity during the contract term;</li><li>(b) A limited or conditional cancellation provision under which cancellation triggers an obligation by the reporting entity, or an affiliate of the reporting entity, to enter into a new reinsurance contract with the reinsurer, or an affiliate of the reinsurer;</li></ul>					
	<ul><li>(c) Aggregate stop loss reinsurance coverage;</li><li>(d) A unilateral right by either party (or both parties) to commute the reinsurance contract, whether conditional or not, except for such provisions which are only triggered by a decline in the credit status of the other party;</li></ul>					
	(e) A provision permitting reporting of losses, or payment of losses, less frequently than on a quarterly basis (unless there is no activity during the period); or					
	(f) Payment schedule, accumulating retentions from multiple years or any features inherently designed to delay timing of the reimbursement to the ceding entity	Yes	[	j	No	[ X ]
9.2	Has the reporting entity during the period covered by the statement ceded any risk under any reinsurance contract (or under multiple contracts with the same einsurer or its affiliates), for which, during the period covered by the statement, it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it ported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; excluding cessions to approved pooling arrangements or to captive insurance companies that are directly or indirectly controllied by, or under common control with (i) one or more unaffiliated policyholders of the reporting entity, or (ii) an association of which one or more unaffiliated policyholders of the reporting entity is a member where:					
	(a) The written premium ceded to the reinsurer by the reporting entity or its affiliates represents fifty percent (50%) or more of the entire direct and assumed premium written by the reinsurer based on its most recently available financial statement; or					
9.3	(b) Twenty-five percent (25%) or more of the written premium ceded to the reinsurer has been retroceded back to the reporting entity or its affiliates in a separate reinsurance contract. If yes to 9.1 or 9.2, please provide the following information in the Reinsurance Summary Supplemental Filing for General Interrogatory 9:	Yes	ĺ	]	No .	[ X ]
	(a) The aggregate financial statement impact gross of all such ceded reinsurance contracts on the balance sheet and statement of					
	income;  (b) A summary of the reinsurance contract terms and indicate whether it applies to the contracts meeting the criteria in 9.1 or 9.2; and  (c) A brief discussion of management's principle objectives in entering into the reinsurance contract including the economic purpose to					
9.4	be achieved.  Except for transactions meeting the requirements of paragraph 36 of SSAP No. 62R - Property and Casualty Reinsurance, has the reporting entity ceded any risk under any reinsurance contract (or multiple contracts with the same reinsurer or its affiliates) during the period covered by the financial statement, and either:					
	(a) Accounted for that contract as reinsurance (either prospective or retroactive) under statutory accounting principles ("SAP") and as a deposit under generally accepted accounting principles ("GAAP"); or					
9.5	(b) Accounted for that contract as reinsurance under GAAP and as a deposit under SAP?  If yes to 9.4, explain in the Reinsurance Summary Supplemental Filing for General Interrogatory 9 (Section D) why the contract(s) is	Yes	l	]	No [	[ X ]
	treated differently for GAAP and SAP.  The reporting entity is exempt from the Reinsurance Attestation Supplement under one or more of the following criteria:					
	(a) The entity does not utilize reinsurance; or, (b) The entity only engages in a 100% quota share contract with an affiliate and the affiliated or lead company has filed an attestation	Yes	Į.	]	No [	[ X ]
	supplement; or	Yes	[	1	No [	[ X ]
	(c) The entity has no external cessions and only participates in an intercompany pool and the affiliated or lead company has filed an attestation supplement.	Yes	[	J	No [	[ X ]
10.	If the reporting entity has assumed risks from another entity, there should be charged on account of such reinsurances a reserve equal to that which the original entity would have been required to charge had it retained the risks. Has this been done?	Yes [	] No	[ ]	Į N/A	([X]

# GENERAL INTERROGATORIES PART 2 - PROPERTY & CASUALTY INTERROGATORIES

11.1 11.2	Has the reporting entity guaranteed policies issued by any othe ! If yes, give full information				Yes	[	1	No	[ X
12.1	If the reporting entity recorded accrued retrospective premiums the amount of corresponding liabilities recorded for:  12.11 Unpaid losses.  12.12 Unpaid underwriting expenses (including losses)	on insurance contracts o	n Line 15.3 of the asset sch	nedule, Page 2, state					
12.2 12.3	Of the amount on Line 15.3, Page 2, state the amount that is so if the reporting entity underwrites commercial insurance risks, s accepted from its insureds covering unpaid premiums and/or un	ecured by letters of credit, such as workers' compens	collateral and other funds?	\$ \$	••••••				
12.4	12.41 From	otes during the period cov	ered by this statement:						
12.5	12.42 To.  Are letters of credit or collateral and other funds received from i promissory notes taken by a reporting entity, or to secure any o losses under loss deductible features of commercial policies?	nsureds being utilized by	the reporting entity to secur	re premium notes or					9
12.6	ii yes, state the amount thereof at December 31 of current year	•			Yes	•	•		
	12.61 Letters of Credit 12.62 Collateral and other funds			\$ \$					
13.1 13.2	Largest net aggregate amount insured in any one risk (excludin Does any reinsurance contract considered in the calculation of	g workers' compensation)	:	\$					
	reinstatement provision? State the number of reinsurance contracts (excluding individual facilities or facultative obligatory contracts) considered in the ca	facultative risk certificates	hut including facultative n	programs gutamatia			•		•
14.1									••
	Is the reporting entity a cedant in a multiple cedant reinsurance If yes, please describe the method of allocating and recording re	einsurance among the cer	lante:		Yes	l	1	No [	X ]
14.3	If the answer to 14.1 is ves, are the methods described in item 1	14.2 entirely contained in t	ha raenactiva multipla and	ant raine					
14.4	contracts?				Yes	l	]	No [	1
14.5	If the answer to 14.4 is no, please explain:				Yes	[	1	No [	]
15.1									
15.2	Has the reporting entity guaranteed any financed premium acco If yes, give full information				Yes	[	]	No [	Х ]
16.1	Does the reporting entity write any warranty business?				Yes	ı	1 /	No [	Y 1
	If yes, disclose the following information for each of the following	types of warranty covera	ge:	***************************************	100		, ,	io į	^ j
	1 Direct Losses Incurred	2 Direct Losses Unpaid	Premium	4 Direct Premium Unearned		ect P Ear	ren	1	
16.11	Home \$ \$		B	\$	\$				
16.12	Products \$		B	\$	<b>Q</b>				
16.13	Automobile \$		\$ S	\$	\$				
16.14	Other*\$\$		š		\$				

<sup>\*</sup> Disclose type of coverage:

..... 25

### ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

# GENERAL INTERROGATORIES PART 2 - PROPERTY & CASUALTY INTERROGATORIES

17.1	Does the reporting entity include amounts recoverable on unau provision for unauthorized reinsurance?	thorized reinsurance in Schedule F – Part 3 that is exempt from the statute	ory 	Yes [	]	No	[ X ]
	Incurred but not reported losses on contracts in force prior to provision for unauthorized reinsurance. Provide the following in	July 1, 1984, and not subsequently renewed are exempt from the statute formation for this exemption:	ory				
	17.11	Gross amount of unauthorized reinsurance in Schedule F - Part 3 exempt from the statutory provision for unauthorized reinsurance	\$				
	17.12	Unfunded portion of Interrogatory 17.11	\$	·····			
	17.13	Paid losses and loss adjustment expenses portion of Interrogatory 17.11	\$				
	17.14	Case reserves portion of Interrogatory 17.11	\$				
	17.15	Incurred but not reported portion of Interrogatory 17.11	\$				
	17.16	Unearned premium portion of Interrogatory 17.11	\$				
	17.17	Contingent commission portion of Interrogatory 17.11					
18.1	Do you act as a custodian for health savings accounts?			Yes [	1	No	r x ı
		ne reporting date.					
				Yes [			
18.4	If yes, please provide the balance of the funds administered as of	of the reporting date.	\$				
		, eligible or writing business in at least two states?					
19.1	If no, does the reporting entity assume reinsurance business that	at covers risks residing in at least one state other than the state of domicile	of	Yes [			

# FIVE-YEAR HISTORICAL DATA

	1 2020	2 2019	3 2018	4 2017	5 2016
Gross Premiums Written (Page 8, Part 1B, Cols. 1, 2 & 3)		2010	2010	2017	∠016
1. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3,					
18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)					
<ol> <li>Property lines (Lines 1, 2, 9, 12, 21 &amp; 26)</li> <li>Property and liability combined lines (Lines 3, 4, 5,</li> </ol>	9,991,030	8,904,920	7,624,779	6,672,005	5,614,4
8, 22 & 27)					
4. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28,					
29, 30 & 34) 5. Nonproportional reinsurance lines (Lines 31, 32 &					
33)					
6. Total (Line 35)	9,991,030	8,904,920	7.624,779	6,672,005	5,614,46
let Premiums Written (Page 8, Part 1B, Col. 6)			, , , , ,		
7. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3,				ľ	
18.1, 18.2, 19.1, 19.2 & 19.3, 19.4) 8. Property lines (Lines 1, 2, 9, 12, 21 & 26)	8 754 500	7 803 107	6,729,877	E 007 EC0	F 004 F
Property and liability combined lines	, ,		0,129,011	000, 160, 6	5,084,5
(Lines 3, 4, 5, 8, 22 & 27)					
10. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)				1	
11. Nonproportional reinsurance lines					
(Lines 31, 32 & 33)					
12. Total (Line 35)	8,754,599	7,893,107	6,729,877	5,897,560	5,084,59
` " ,	(2.466.606)	10 170	200 004	(400, 000)	
Net underwriting gain (loss) (Line 8)     Net investment gain (loss) (Line 11)	1.030.298		309,904		1,437,69
15. Total other income (Line 15)	202,267		,	,	771,50
16. Dividends to policyholders (Line 17)		,	22,0.0		
17. Federal and foreign income taxes incurred (Line 19)	(935,403)	440.000	450.000		
18. Net income (Line 20)	(1 298 638)	116,000	158,000 1,204,691	53,706	473,96
salance Sheet Lines (Pages 2 and 3)	(1,250,050)	1,010,029	1,204,691	438,185	1,865,36
19. Total admitted assets excluding protected cell					
business (Page 2, Line 26, Col. 3)	30,108,661	32,501,401	30,017,588	28,683,611	27 , 660 , 24
20. Premiums and considerations (Page 2, Col. 3) 20.1 In course of collection (Line 15.1)	22 511	00 504	00.040	01.015	
20.2 Deferred and not yet due (Line 15.1)		26,591	23,918	21,345	23 , 80
20.3 Accrued retrospective premiums (Line 15.3)					
21. Total liabilities excluding protected cell					••••••
business (Page 3, Line 26)	4,756,944	4,917,998			3,124,68
22. Losses (Page 3, Line 1)	74,799				452,59
24. Unearned premiums (Page 3, Line 9)	4.338.254				7,50 2,224,49
25. Capital paid up (Page 3, Lines 30 & 31)			0,112,001	2,000,000	2,224,40
26. Surplus as regards policyholders (Page 3, Line 37)	25,351,717	27,583,403	26,193,394	25,155,594	24,535,56
ash Flow (Page 5)	(0.057.040)				
27. Net cash from operations (Line 11)isk-Based Capital Analysis	(3,057,946)	2,449,158	1,672,973	1,072,363	2,626,29
28. Total adjusted capital	25 351 717	27,583,403	26 103 304	25,155,594	24,535,56
29. Authorized control level risk-based capital			525,695		24,555,56
ercentage Distribution of Cash, Cash Equivalents				100,200	
nd Invested Assets					
(Page 2, Col. 3)(Item divided by Page 2, Line 12, Col. 3) x 100.0					
30. Bonds (Line 1)	66.1	82.0	81.1	81.4	83.
31. Stocks (Lines 2.1 & 2.2)	13.4				
32. Mortgage loans on real estate (Lines 3.1 and 3.2)					
	0.5	0.5	0.5	0.6	0.
34. Cash, cash equivalents and short-term investments (Line 5)	20.0	5.3	7.1	7.	_
	20.0			7.5	8.
36. Derivatives (Line 7)					
37. Other invested assets (Line 8)					
Receivables for securities (Line 9)					
10)					
40. Aggregate write-ins for invested assets (Line 11)			<del>-</del>		
41. Cash, cash equivalents and invested assets (Line	100.0				
12)vestments in Parent, Subsidiaries and Affiliates	100.0	100.0	100.0	100.0	100.0
10 1000					
43. Affiliated preferred stocks	1				
(Sch. D, Summary, Line 18, Col. 1)					
44. Affiliated common stocks (Sch. D. Summary, Line 24, Col. 1)					
45. Affiliated short-term investments (subtotals included					
in Schedule DA Verification, Col. 5, Line 10)					
48. Total of above Lines 42 to 47					
49. Total Investment in parent included in Lines 42 to 47		<del></del>			
above					
50. Percentage of investments in parent, subsidiaries	Ī				
and affiliates to surplus as regards policyholders (Line 48 above divided by Page 3, Col. 1, Line 37 x					

# **FIVE-YEAR HISTORICAL DATA**

		1	tinued) 2	3	4	5
	1-10-1-1	2020	2019	2018	2017	2016
Capita	al and Surplus Accounts (Page 4)					
51.	Net unrealized capital gains (losses) (Line 24)	16,960	274,888	(141,510)	120,000	(59.778)
52.	Dividends to stockholders (Line 35)					
53.	Change in surplus as regards policyholders for the year (Line 38)	(2,231,686)	1,390,010	1,037,800	620,033	1,684,738
Gross	Losses Paid (Page 9, Part 2, Cols. 1 & 2)					
	Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)					
	Property lines (Lines 1, 2, 9, 12, 21 & 26)	44,783,414	4,490,832	3,981,257	4,043,573	1,469,512
56.	Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)					
57.	All other lines					•
E0	(Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)					
56.	Nonproportional reinsurance lines (Lines 31, 32 & 33)					
59.	Total (Line 35)	44,783,414	4,490,832	3,981,257	4,043,573	1,469,512
Net Lo	osses Paid (Page 9, Part 2, Col. 4)					
60.	Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)				j	
61.	Property lines (Lines 1, 2, 9, 12, 21 & 26)	9,898.196	4,388.428	3.933.884	3.975.002	1 460 512
	Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)		1			1,409,512
63.	All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)					
64.	Nonproportional reinsurance lines					
65	(Lines 31, 32 & 33)	0.000.106	4 300 430	2 022 004	0.075.000	4 400 540
65.	Total (Line 35)	9,898,196	4,388,428	3,933,884	3,9/5,002	1,469,512
(Item d	ting Percentages (Page 4) ivided by Page 4, Line 1) x 100.0					
66.	Premiums earned (Line 1)	100.0		100.0	100.0	100.0
	Losses incurred (Line 2)					32.5
	Loss expenses incurred (Line 3)				1	6.2
	Other underwriting expenses incurred (Line 4)  Net underwriting gain (loss) (Line 8)					30.4
70.	Net underwriting gain (loss) (Line o)	(42.3)		4.9	(9.0)	30.9
Other I	Percentages					
71.	Other underwriting expenses to net premiums			ì		
	written (Page 4, Lines 4 + 5 - 15 divided by Page 8, Part 1B, Col. 6, Line 35 x 100.0)	20.9	22.9	22.4	21.9	25.2
72.	Losses and loss expenses incurred to premiums	20.0		22.4	21.8	23.2
	earned (Page 4, Lines 2 + 3 divided by Page 4, Line 1 x 100.0)	117.5	72.3	68.5	82.5	20 7
73.	Net premiums written to policyholders' surplus	117.3	12.3		82.5	38.7
	(Page 8, Part 1B, Col. 6, Line 35 divided by Page 3, Line 37, Col. 1 x 100.0)	34.5	28.6	25.7	23.4	20.7
One Ye	ear Loss Development (\$000 omitted)					
74.	Development in estimated losses and loss expenses incurred prior to current year					
75.	(Schedule P, Part 2-Summary, Line 12, Col. 11) Percent of development of losses and loss					
	expenses incurred to policyholders' surplus of prior year end (Line 74 above divided by Page 4, Line 21, Col. 1 x 100.0)					
Γwo Ye	ear Loss Development (\$000 omitted)	ľ				
76	Development in estimated losses and loss expenses					
	incurred 2 years before the current year and prior year (Schedule P, Part 2 - Summary, Line 12, Col. 12)					
77.	Percent of development of losses and loss			······		
	expenses incurred to reported policyholders' surplus of second prior year end (Line 76 above divided by					

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3 - Accounting Changes and Correction of Errors?

Yes [ ] No [ ] If no, please explain

Schedule F - Part 1

NONE

Schedule F - Part 2

NONE

### SCHEDULE F - PART 3

	Cedd Reinsurace as of December 31, Current Year (5000 Omitted)																		
1	T 2	т — — — — — — — — — — — — — — — — — — —			Ceded	Reinsuran	ce as of De	cember 31, C											
1 1	2	3	4	5	6				Reinsur	ance Recove	erable On				16	Reinsuran	ce Payable	19	20
				i	1 1	7	8	9	10	11	12	13	14	15	1	17	18	1 "	1 20
					1 1							1	1		l			Net Amount	
			ł				1	1			ı				ì		i	Recover-	Funds Held
				1	Reinsur-			Known						1	Amount in		Other		By Company
i	NAIC			l	ance			Case	Known				Contingent	Cols.	Dispute	Ceded	Amounts		
ID.	Company		Domiciliary	Special	Premiums	Paid	Paid	Loss		IDMD Loop	IDNIDIAE	Unearned	Commis-					Reinsurers	Under
Number	Code	Name of Reinsurer	Jurisdiction	Code	Ceded	Losses	LAE	Reserves	Reserves	Dog LUSS	DINK LAE	Premiums	sions	7 through 14 Totals	Included in	Balances	Due to	Cols. 15 -	Reinsurance
Authorized -	Affiliates -	U.S. Intercompany Pooling			00000	200000		110361163	110301100	Leseives	Reserves	Premiums	Sions	14 Totals	Column 15	Payable	Reinsurers	[17 + 18]	Treaties
Authorized -	Affiliates -	U.S. Non-Pool - Captive																	
Authorized -	Affiliates -	U.S. Non-Pool - Other				_							_						
Authorized -	Affiliates -	Other (Non-U.S.) - Captive																	
Authorized -	Affiliates -	Other (Non-U.S.) - Other																	
Authorized -	Other U.S. L	haffiliated Insurers																	
42-0245840	13897	FARMERS MUT HAIL INS CO OF IA	IA.	Г	1.236	818		13.646	200	L250	_								
0999999 - 1	otal Authori	zed - Other U.S. Unaffiliated Insurers	(		1,236	818		3,646	200	250				4,913		97		4,817	
Authorized -	Pools - Mano	atory Pools			1,230	010		3,646	200	250				4,913		97		4,817	
Authorized -	Pools - Volu	ntary Pools		_															
Authorized -	Other Non-U	S Insurers																	
Authorized -																			
		zed Excluding Protected Cells (Sun of 0899999, 0999999,	1000000 1100000		1.236	242													
Unauthor ized	. Affiliates	- U.S. Intercompany Pooling	1039399, 1199399	and 1299999)	1,236	818		3,646	200	250				4,913		97		4,817	
		- U.S. Non-Pool - Captive																	
		- U.S. NonPool - Other																	
Unauthor i zed	- Affiliates	- Other (Non-U.S.) - Captive																	
Unauthorized	- Affiliates	- Other (Non-U.S.) - Other											_						
Unauthor i zed	Other II S	Unaffiliated Insurers																	
		ndatory Pools																	
		luntary Pools																	
Unauthor ized	- Other pen	I C Incurers													-				
Unauthor ized															_				
Contified	ffiliates	U.S. Intercompany Pooling																	
Certified - 7	ffiliates -	U.S. Non-Pool - Captive																	
		U.S. Non-Pool - Other											_						
Cortified 7	ffillates -	Other (Non-U.S) - Captive																	
		Other (Non-U.S.) - Captive																	
		affiliated insurers																	
Certified - F																			
Certified - F																			
Certified - C	OUIS - VOIUN	tary Pools																	
Certified - F																			
Certified - F	rotected cer	IS																	
Reciprocal Ju	risdiction -	Affiliates - U.S. Intercompany Pooling																	
Reciprocal Ju	risdiction -	Affiliates - U.S. Non-Pool - Captive																	
Reciprocal Ju	risdiction -	Affiliates - U.S. Non-Pool - Other											_						
		Affiliates - Other (Non-U.S.) - Captive																	
		Affiliates - Other (Non-U.S.) - Other																	
raciprocal Ju	risdiction -	Other U.S. Unaffiliated Insurers																	
Reciprocal Ju	risdiction -	Pools - Mandatory Pools																	
		Pools - Voluntary Pools						-											
Reciprocal Ju	risdiction -	Other Non-U.S. Insurers																	
Keciprocal Ju	risdiction -	Protected Cells									_			_					
5799999 - To	otal Authoriz	ed, Unauthorized, Reciprocal Jurisdiction and Certified	Excluding Protec	ted Cells															
	(Sum of 1499	1999, 2899999, 4299999 and 5699999)	•		1,236	818		3,646	200	250				4.913		97		4.817	
9999999 T	otals				1.236	818		3.646	200	250		_	-,-	4,913					
					1,200	010		L. 0,040	200	230				4,913		97		4,817	

### SCHEDULE F - PART 3 (Continued)

					Ceded Rei	nsurance as o			ar (\$000 Omitt	ed)							
			Coll	ateral		25	(Credit Ris	27 27					-				
		21	22	23	24	25	26	27		- 00			Reinsurance				
		21	22	23	24				28	29	30 Reinsurance	31	32	33	34	35 Credit Risk Collateralized Recoverables	36 Credit Risk on Uncollateralized Recoverables
ID		į		Issuing or Confirming	Single Beneficiary Trusts &	Total Funds	Net Recoverable	Applicable	Total Amount Recoverable From Reinsurers		Payable & Funds Held (Cols. 17+18+20:	Stressed Net	Total Collateral (Cols. 21 +	Stressed Net Recoverable Net of		(Col. 32 * Factor Applicable to Reinsurer	(Col. 33 * Factor Applicable to Reinsurer
Number From	Name of Reinsurer	Multiple Beneficiary	Letters of	Bank Reference	Other Allowable	Held, Payables &	Net of Funds Held &	Sch. F Penalty (Col.	Less Penalty			Recoverable (Cols. 29 –	22 + 24, not	Collateral	Reinsurer	Designation	Designation
Col. 1	From Col. 3	Trusts	Credit	Number	Collateral	Collateral	Collateral	78)	27)	120%)	Col. 29)	30)	in Excess of Col. 31)	Offsets (Cols. 31 - 32)	Designation Equivalent	Equivalent in Col. 34)	Equivalent in Col. 34)
Authorized - A	Affiliates - U.S. Intercompany Pooling							I		120,07	001. 207	00/	01 001. 01)	31-32)	Equivalent	CO1. 34)	C01. 34)
Authorized - A	Mffiliates - U.S. Mon-Pool - Captive Mffiliates - U.S. Non-Pool - Other											-					
Authorized - 7	Affiliates - U.S. Non-Pool - Uther																
	Affiliates - Other (Non-U.S.) - Captive																
Authorized - 0	Other U.S. Unaffiliated Insurers													_			
42-0245840	FARMERS MUT HAIL INS CO OF IA				···	97	4.817		4.913	5.896	97						
0999999 - To	tal Authorized - Other U.S. Unaffiliated Insurers			XXX		97			4,913	5.896	97	5,800		5,800			
	Pools - Mandatory Pools						7,017		4,010	3,030	31	3,000		5,600	XXX		
	Pools - Voluntary Pools																
	Other Non-U.S. Insurers																
Authorized - F	Protected Cells					_											
	tal Authorized Excluding Protected Cells (Sun of 0899999, 0999999, 1099999, 1199999 and 1299999)			XXX		97	4,817		4.913	5.896	97	5.800		5.800	xxx		
Unauthorized -	Affiliates - U.S. Intercompany Pooling									0,000		0,000		3,000			
Unauthorized -	Affiliates - U.S. Non-Pool - Captive																
	- Affiliates - U.S. NonPool - Other - Affiliates - Other (Non-U.S.) - Captive																
Unauthorized -	Affiliates - Other (Non-U.S.) - Captive - Affiliates - Other (Non-U.S.) - Other															_	
Unauthorized -	Other U.S. Unaffiliated Insurers																
Unauthorized -	Pools - Mandatory Pools																
	Pools - Voluntary Pools																
	Other non-U.S. Insurers																
	Protected Cells																
	filiates - U.S. Intercompany Pooling			_	_												
Certified - Af	filiates - U.S. Non-Pool - Captive																
	filliates - U.S. Non-Pool - Other																
	filiates - Other (Non-U.S) - Captive filiates - Other (Non-U.S.) - Other																
	her U.S. Unaffiliated Insurers																
	ols - Mandatory Pools																
	ols - Voluntary Pools																
	her Non-U.S. Insurers																
Certified - Pr																	
Reciprocal Jur	isdiction - Affiliates - U.S. Intercompany Pooling	-					-										
Reciprocal Jur	isdiction - Affiliates - U.S. Non-Pool - Captive																
Reciprocal Jur	isdiction - Affiliates - U.S. Non-Pool - Other							-									
Reciprocal Jur	isdiction - Affiliates - Other (Non-U.S.) - Captive																
	isdiction - Affiliates - Other (Non-U.S.) - Other isdiction - Other U.S. Unaffiliated insurers																
	isdiction - Pools - Mandatory Pools																
Reciprocal Jur	isdiction - Pools - Waluatory Pools																
	isdiction - Other Non-U.S. Insurers																
	isdiction - Protected Cells				_												
5799999 - Tot	tal Authorized, Unauthorized, Reciprocal Jurisdiction							·	т т								
8	and Certified Excluding Protected Cells (Sum of 1499999, 2899999, 4299999 and 5699999)			xxx		97	4.817		4.913	E 000							
9999999 To				XXX		97	4,817		4,913	5,896	97	5,800		5,800	XXX		
1113000 10				^^^		9/	4,817		4,913	5,896	97	5,800		5,800	XXX		

See Independent Accountant's Compilation Report

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### **SCHEDULE F - PART 3 (Continued)**

							_ r				u <i>j</i>							
					Cede	d Keinsurane	ce as of Dece	mber 31, Cun ded Reinsur		00 Omitted)								
		Rein:	surance Reco	verable on Pa	id Losses and	Paid Loss Ad	liustment Expe	nses	44	45	46	47	48	49	50	51		
		37			Overdue		Jacan en Enpe	43	1 "	40	40	47	40	49	J 50	51	52	53
			38	39	40	41	42	1		Recoverable		Recoverable						
1						i				on Paid		on Paid		i				
									Total	Losses &	Total	Losses &			Percentage	i		
1						l			Recoverable	LAE Over 90	Recoverable	LAE Over 90		Į.	of Amounts			
				ł					on Paid	Days Past	on Paid	Days Past			More Than	ļ		Amounts in
1		i						Total Due	Losses & LAE	Due Amounts	Losses & LAE	Due			90 Days	Percentage		Col. 47 for
ID				ļ			Total	Cols. 37 + 42		in Dispute	Amounts Not	Amounts Not in	Amounts	Percentage	Overdue Not		Is the	Reinsurers
Number							Overdue	(In total	Dispute	Included	in Dispute	Dispute	Received	Overdue	in Dispute (Col. 47/	120 Days Overdue	Amount in Col. 50 Less	with Values
From	Name of Reinsurer		1 – 29	30 – 90	91 – 120	Over 120	Cols. 38 + 39	should equal	Included in	in Cols.	(Cols. 43 –	(Cols. 40 +	Prior 90	Col. 42/Col.	[Cols. 46 +	(Col. 41/	Than 20%	20% in Col.
Col. 1	From Cot. 3 Affiliates - U.S. Intercompany Pooling	Current	Days	Days	Days	Days	+ 40 + 41	Cols. 7 + 8)	Col. 43	40 & 41	44)	41 – 45)	Davs	43	481)	Col. 43)	(Yes or No)	50
Authorized -	Affiliates - U.S. Non-Pool - Captive																1 (7-0-0-7-10)	
Authorized -	Affiliates - U.S. Non-Pool - Other																	
Authorized	Affiliates - U.S. Non-Pool - Other Affiliates - Other (Non-U.S.) - Captive																	
Authorized	Affiliates - Other (Non-U.S.) - Other													-				
Authorized -	Other U.S. Unaffifiated Insurers FARMERS MUT HAIL INS CO OF IA.																	
0000000 Tz	ptal Authorized - Other U.S. Unaffiliated Insurers							818			818						YES	
Authorized .	Pools - Mandatory Pools	818						818			818						XXX	
Authorized - I	Pools - Voluntary Pools																	
Authorized - 0	Other Non-U.S. Insurers																	
Authorized - I	Protected Cells						_											
1499999 - To	otal Authorized Excluding Protected Cells (Sum of											_						
Unauthor i zod	0899999, 0999999, 1099999, 1199999 and 1299999) - Affiliates - U.S. Intercompany Pooling	818						818	L		818		_				XXX	
Unauthor zed	- Affiliates - U.S. Non-Pool - Captive																	
Unauthorized -	- Affiliates - U.S. NonPool - Other																	
Unauthorized -	- Affiliates - Other (Non-U.S.) - Captive																	
Unauthorized	- Affiliates - Other (Non-U.S.) - Other						-											
	Other U.S. Unaffiliated Insurers     Pools - Mandatory Pools																	
	- Pools - Voluntary Pools																	-
Unauthorized -	- Other non-U.S. insurers																	
Unauthorized -	- Protected Cells																	
Certified - Af	ffiliates - U.S. Intercompany Pooling																	
Certified - Af	ffiliates - U.S. Non-Pool - Captive																	
Certified - Af	ffiliates - U.S. Non-Poor - Uther																	
Certified - Af	ffiliates - Other (Non-U.S) - Captive ffiliates - Other (Non-U.S.) - Other																	
Certified - Ot	ther U.S. Unaffiliated Insurers																	
Certified - Po	pols - Mandatory Pools																	
Certified - Po	pols - Voluntary Pools ther Non-U.S. Insurers																	
	rotected Cells																	
Reciprocal Jur	risdiction - Affiliates - U.S. Intercompany Pooling																	
Reciprocal Jur	risdiction - Affiliates - U.S. Non-Pool - Captive																	
Reciprocal Jur	risdiction - Affiliates - U.S. Non-Pool - Other																	
Reciprocal Jur	isdiction - Affiliates - Other (Non-U.S.) - Captive																	
Reciprocal Jur	isdiction - Affiliates - Other (Non-U.S.) - Other isdiction - Other U.S. Unaffiliated Insurers																	
Reciprocal Jur	risdiction - Pools - Mandatory Pools																	
Reciprocal Jur	isdiction - Pools - Voluntary Pools									_								
Reciprocal Jur	isdiction - Other Non-U.S. Insurers																	
Reciprocal Jur	isdiction - Protected Cells																	
5/99999 - To	tal Authorized, Unauthorized, Reciprocal Jurisdiction and Certified Excluding Protected	T												-				
1 3	Cells (Sum of 1499999, 2899999, 4299999 and			ı	[	J		i			- 1				ı	i	l l	
	5699999)	818					- 1	818			818							i
9999999 To	otals	818						818			818						XXX	
											010						۸۸۸	

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# SCHEDULE F - PART 3 (Continued) Ceded Reinsurance as of December 31, Current Year (\$000 Omitted) (Acids of Coded Delegrance)

	(Aging of Ceded Reinsurance)																	
		Reins	Reinsurance Recoverable on Paid Losses and Paid Loss Adjustment Expenses							45	46	47	48	49	50	51	52	53
		37			Overdue			43	Ī						"	٠,	J 52	55
			38	39	40	41	42	1		Recoverable		Recoverable		ŀ				
									1	on Paid		on Paid						
	1	1						]	Total	Losses &	Total	Losses &			Percentage			
1							1			LAE Over 90	Recoverable	LAE Over 90		i	of Amounts			
								ļ	on Paid	Days Past	on Paid	Days Past			More Than			Amounts in
Ì		J					1		Losses &	Due	Losses &	Due			90 Days	Percentage		Col. 47 for
ID		1						Total Due	LAE	Amounts	LAE	Amounts			Overdue Not	More Than	Is the	Reinsurers
Number								Cols. 37 + 42			Amounts Not	Not in	Amounts	Percentage	in Dispute	120 Days	Amount in	with Values
From	Name of Reinsurer	i	1 – 29	30 – 90	91 – 120		Overdue	(In total	Dispute	Included	in Dispute	Dispute	Received	Overdue	(Col. 47/	Overdue	Col. 50 Less	Less Than
Col. 1	From Col. 3	Current	Days					should equal		in Cols.	(Cols. 43 -	(Cols. 40 +	Prior 90	Col. 42/Col.	[Cols. 46 +	(Col. 41/		20% in Col.
		Current	Days	Days	Days	Days	+ 40 + 41	Cols. 7 + 8)	Col. 43	40 & 41	44)	41 – 45)	Days	43	481)	Col. 43)	(Yes or No)	50

# SCHEDULE F - PART 3 (Continued) Ceded Reinsurance as of December 31. Current Year (\$000 Omitted)

						urance as of E				d) -							
						vision for Rein	isurance for		surers) ovision for Cer	tified Reinsura	ance						
		54	55	56	57	58	59	60	61	62	63	64	65	Complete	if Col. 52 = "No" Enter 0	; Otherwise	69
								Percent of Collateral Provided for Net	Percent Credit Allowed on Net	20% of Recoverable		Provision for	20% of Recoverable on Paid	66	67	68	Provision for Overdue Reinsurance Ceded to
				Percent Collateral	Catastrophe	Net Recoverables Subject to Collateral	Dollar Amount of	Recoverables Subject to Collateral	Recoverables Subject to Collateral Requirements	on Paid Losses & LAE Over		Reinsurance with Certified Reinsurers Due to	Losses &	Provided	Net Unsecured Recoverable		Ceded to Certified Reinsurers (Greater of [Col. 62 +
ID Number		Certified Reinsurer	Effective Date of Certified	Full Credit	Recoverables Qualifying for	Requirements for Full Credit	Collateral Required	([Col. 20+Col. 21+Col.	(Col. 60 / Col. 56, not to	Past Due	Net Recoverables	Collateral	Not in Dispute	21+Col.22+ Col. 24; not	for Which	20% of	Col. 65] or Col. 68; not
From Col. 1	Name of Reinsurer From Col. 3	Rating (1 through 6)	Reinsurer Rating	(0% through 100%)	Collateral Deferral	(Col. 19 – Col. 57)	(Col. 56 * Col. 58)	22+Col. 241/Col. 58)	exceed 100%)		. (Col. 57+[Col. 58 * Col. 61])		(Col. 47 * 20%)	to Exceed Col. 63)		Amount in Col. 67	to Exceed
Authorized -	Affiliates - U.S. Intercompany Pooling Affiliates - U.S. Non-Pool - Captive					1 001.017		1 24/1001.00/	100707	10 2070)	130 001.01]/	COI. 03)	20/0)	COI. 63)	03-00, 00)	COI. 67	Col. 63)
Authorized -	Affiliates - U.S. Non-Pool - Other																
Authorized -	Affiliates - Other (Non-U.S.) - Captive Affiliates - Other (Non-U.S.) - Other																
Authorized -	Other U.S. Unaffitiated Insurers																
	FARMERS MUT HAIL INS CO OF IA	XXX	ХХХ	XXX	XXX	ДХХХ	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	1xxx
	Pools - Mandatory Pools	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
Authorized -	Pools - Voluntary Pools																
Authorized -	Other Non-U.S. Insurers Protected Cells																
1499999 - Ta	otal Authorized Excluding Protected Cells (Sum of 0899999)			Γ		$\overline{}$		Τ		1	Т						
	0999999, 1099999, 1199999 and 1299999) - Affiliates - U.S. Intercompany Pooling	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	- Affiliates - U.S. Non-Pool - Captive																
Unauthor ized	- Affiliates - U.S. NonPool - Other		-														
	- Affiliates - Other (Non-U.S.) - Captive - Affiliates - Other (Non-U.S.) - Other																
Unauthor zed	- Other U.S. Unaffiliated Insurers																
Unauthorized	- Pools - Mandatory Pools			-				-								_	
Unauthorized	- Popis - Voluntary Popis																
	- Other non-U.S. Insurers - Protected Cells																
	ffiliates - U.S. Intercompany Pooling																
	ffiliates - U.S. Non-Pool - Captive																
	filiates - U.S. Non-Pool - Other																
	ffiliates - Other (Non-U.S) - Captive ffiliates - Other (Non-U.S.) - Other											_					
	ther U.S. Unaffiliated Insurers																
Certified - Pi	pols - Mandatory Pools									-							
Certified - P	ools - Voluntary Pools																
Certified - 0	ther Non-U.S. Insurers ofected Cells																
	isdiction - Affiliates - U.S. Intercompany Popling	_															
Reciprocal Ju	isdiction - Affiliates - U.S. Non-Pool - Captive																
Reciprocal Ju	isdiction - Affiliates - U.S. Non-Pool - Other																
Reciprocal Ju	isdiction - Affiliates - Other (Non-U.S.) - Captive isdiction - Affiliates - Other (Non-U.S.) - Other	_															
Reciprocal Ju	isdiction - Other U.S. Unaffiliated Insurers	_															
Reciprocal Jul	isdiction - Pools - Mandatory Pools																
	isdiction - Pools - Voluntary Pools																
	isdiction - Other Non-U.S. Insurers	-															
9999999 To		XXX	XXX	XXX				XXX	XXX								
			7000	nnn		L		1 000	nnn								

See Independent Accountant's Compilation Report

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### **SCHEDULE F - PART 3 (Continued)**

	Continued)										
Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)											
(Total Provision for Reinsurance) 70 Provision for Invariance Provision for Overdue Authorized and Total Brovision for December 1											
		70	Provision for Unaut	horized Reinsurance	Provision for Over	due Authorized and		Total Provision	for Reinsurance		
					Reciprocal Jurisd	liction Reinsurance					
			71	72	73	74	75	76	77	78	
				]		Complete if			**	, , ,	
		1		Ì		Col. 52 = "No";					
					Complete if	Otherwise Enter 0					
					Col. 52 = "Yes":						
					Otherwise Enter 0	Greater of 20% of Net	l .				
				!		Recoverable Net of		i			
		1			20% of Recoverable	Funds Held &				ŀ	
1				Provision for Overdue	on Paid Losses & LAF	Collateral, or 20% of					
		20% of Recoverable	Provision for	Reinsurance from	Over 90 Days Past		Provision for Amounts	Provision for Amounts			
		on Paid Losses &	Reinsurance with	Unauthorized	Due Amounts Not in	Losses & LAE Over	Ceded to Authorized	Ceded to		1	
ID		LAE Over 90 Days	Unauthorized	Reinsurers and	Dispute + 20% of	90 Days Past Due	and Reciprocal	Unauthorized	Provision for Amounts		
Number		Past Due Amounts	Reinsurers Due to	Amounts in Dispute	Amounts in Dispute	(Greater of Col. 26 *	Jurisdiction	Reinsurers	Ceded to Certified	Total Provision for	
From	Name of Reinsurer	Not in Dispute	Collateral Deficiency	(Col. 70 + 20% of the	([Col. 47 * 20%] +	20% or [Col. 40 + 41]	Reinsurers	(Cols. 71 + 72 Not in	Reinsurers	Reinsurance	
Col. 1	From Col. 3	(Col. 47 * 20%)	(Col. 26)	Amount in Col. 16)	[Col. 45 * 20%])	* 20%)	(Cols. 73 + 74)	Excess of Col. 15)	(Col. 64 + 69)	(Cols. 75 + 76 + 77)	
	Affiliates - U.S. Intercompany Pooling					1 20,0)	(0010.70 .74)	Excess of Col. 15)	(001.04+05)	(COIS. 15 + 16 + 11)	
Authorized -	Affiliates - U.S. Non-Pool - Captive										
Authorized -	Affiliates - U.S. Non-Pool - Other										
Authorized -	Affiliates - Other (Non-U.S.) - Captive										
Authorized -	Affiliates - Other (Non-U.S.) - Other										
Authorized -	Other U.S. Unaffiliated Insurers	_									
42-0245840	FARMERS MUT HAIL INS CO OF IA		ХХХ	XXX		I		XXX	XXX		
0999999 - 10	otal Authorized - Other U.S. Unaffiliated Insurers		XXX	XXX				XXX	XXX		
Authorized -	Pools - Mandatory Pools			***				3.00	7001		
Authorized -	Pools - Voluntary Pools										
	Other Non-U.S. Insurers										
AUTHORIZEG - I	Protected Cells										
1499999 - 10	otal Authorized Excluding Protected Celfs (Sum of 0899999, 09999999, 10999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 11999999, 119999999, 119999999, 119999999, 119999999, 119999999, 119999999, 119999999, 119999999, 119999999, 1199999999						· · · · · · · · · · · · · · · · · · ·				
Unauthorized	- Affiliates - U.S. Intercompany Pooling		XXX	XXX				XXX	XXX		
Unauthorized	- Affiliates - U.S. Non-Pool - Captive						· · · · · · · · · · · · · · · · · · ·				
Linguithor i zod	- Affiliates - U.S. NonPool - Other	_									
Unauthorized	- Affiliates - Other (Non-U.S.) - Captive										
Inauthor ized	- Affiliates - Other (Non-U.S.) - Captive										
Inauthor ized	Other U.S. Unaffiliated Insurers										
Unauthor ized	- Pools - Mandatory Pools										
Unauthor ized	- Pools - Voluntary Pools										
Unauthorized	Other non-U.S. Insurers										
Unauthorized	- Protected Cells										
Certified - A	fillates - II S. Intercompany Prolling										
Certified - A	filiates - U.S. Non-Pool - Captive										
[Certified - At	ffiliates - U.S. Non-Pool - Other										
Certified - A	filiates - Other (Non-U.S) - Captive										
Certified - A	filiates - Other (Non-U.S.) - Other										
Certified - 0	ther U.S. Unaffiliated insurers										
Certified - Po	pols - Mandatory Pools										
	pols - Voluntary Pools										
Certified - O	her Non-U.S. Insurers		_								
Certified - Pr	otected Cells										
Reciprocal Jun	isdiction - Affiliates - U.S. Intercompany Pooling										
Reciprocal Jun	isdiction - Affiliates - U.S. Non-Pool - Captive										
Reciprocal Jun	isdiction - Affiliates - U.S. Non-Pool - Other										
Reciprocal Jun	isdiction - Affiliates - Other (Non-U.S.) - Captive										
Reciprocal Jun	isdiction - Affiliates - Other (Non-U.S.) - Other										
Reciprocal Jur	isdiction - Other U.S. Unaffiliated Insurers										
Reciprocal Jur	isdiction - Pools - Mandatory Pools										
	isdiction - Pools - Voluntary Pools										
Reciprocal Jur	isdiction - Other Non-U.S. Insurers										

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### **SCHEDULE F - PART 3 (Continued)**

	Ceded Reinsurance as of December 31, Current Year (9000 Omitted) (Total Provision for Reinsurance)											
		70		or Unauthorized Reinsurance Provision for Overdue Authorized and Total Provision for Reinsurance								
						ction Reinsurance						
			71	72	73	74 Complete if	75	76	77	78		
					Complete if	Col. 52 = "No"; Otherwise Enter 0						
					Col. 52 = "Yes"; Otherwise Enter 0	Greater of 20% of Net						
						Recoverable Net of Funds Held &						
				Provision for Overdue	20% of Recoverable on Paid Losses & LAE	Collateral, or 20% of						
		20% of Recoverable on Paid Losses &	Provision for Reinsurance with	Reinsurance from Unauthorized	Over 90 Days Past Due Amounts Not in	Recoverable on Paid Losses & LAE Over	Provision for Amounts Ceded to Authorized	Provision for Amounts Ceded to				
ID Number		LAE Over 90 Days Past Due Amounts	Unauthorized Reinsurers Due to	Reinsurers and Amounts in Dispute	Dispute + 20% of Amounts in Dispute	90 Days Past Due (Greater of Col. 26 *	and Reciprocal Jurisdiction	Unauthorized Reinsurers	Provision for Amounts Ceded to Certified	Total Provision for		
From Col. 1	Name of Reinsurer From Col. 3	Not in Dispute (Col. 47 * 20%)		(Col. 70 + 20% of the	([Col. 47 * 20%] +	20% or [Col. 40 + 41]	Reinsurers	(Cols. 71 + 72 Not in	Reinsurers	Reinsurance		
	risdiction - Protected Cells	(Col. 47 - 20%)	(COL 26)	Amount in Col. 16)	[Col. 45 * 20%])	* 20%)	(Cols. 73 + 74)	Excess of Col. 15)	(Col. 64 + 69)	(Cols. 75 + 76 + 77)		
5799999 - To	otal Authorized, Unauthorized, Reciprocal Jurisdiction and Certified Excluding Protected Cells (Sum of 1499999, 2899999, 4299999 and 5699999)									-		
						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,						
					*****							
			İ		***************************************			t				
									***************************************			
									•••••			
							• • • • • • • • • • • • • • • • • • • •					
	***************************************				ł			l				
			İ		İ							
9999999 To	otals											

SCHEDULE F - PART 4
Issuing or Confirming Banks for Letters of Credit from Schedule F, Part 3 (\$000 Omitted)

Issuing or Confirming									
Confirming				1					
Bank Reference	Letters of	American Bankers		1					
Reference	Credit	Association (ABA)							
Number	Code	Routing Number	Issuing or Confirming Bank Name	Letters of Credit Amount					
Total									

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# SCHEDULE F - PART 5 Interrogatories for Schedule F, Part 3 (000 Omitted)

A.	Report the five largest provisional commission rates included in the cedant's reinsurance treaties. The commission rate to be reported is by contract with ceded p	remium in excess of \$50	000-	
	1	2	3	
4	Name of Reinsurer	Commission Rate	Ceded Premium	
ģ.				
3				
4.		••		
5.				
	Report the five largest reinsurance recoverables reported in Schedule F, Part 3.Column 15, due from any one reinsurer (based on-the total recoverables), Scheduler an affiliated line total	le F. Part 3. Line 999999	9 Column 15 the amou	int of ceded premium, and indicate whether the recoverables are due from
В.	an affiliated insurer.		,	and or occuration, and indicate whether the recoverables are que nom
	1	2	3	4
6	FARRIERS MUTUAL HAIL INS CO OF IA	Total Recoverables	Ceded Premiums	Affiliated
7	PROTEINS MUTUAL TRILL TRO GO OF TR.	4,913	1,236	Yes [ ] No [ X ]
8.				Yes [ ] No [ ]
9.			***************************************	Yes [ ] No [ ]
10				Yes [ ] No [ ]
	NOTE DE LA CASTA DEL CASTA DE LA CASTA DEL CASTA DE LA			100 [ ] 100 [ ]
	NOTE: Disclosure of the five largest provisional commission rates should exclude mandatory pools and joint underwriting associations.			

# **SCHEDULE F - PART 6**

_	Restatement of Balance Sheet to Identify Net Credit	for Reinsurance		
		As Reported	2 Restatement	3 Restated
ACCET	'S (Dags 2, Col. 2)	(Net of Ceded)	Adjustments	(Gross of Ceded)
ASSET	<u>S</u> (Page 2, Col. 3)			
,	Cash and invested assets (Line 12)	20 006 502		20 006 502
"	Cash and invested assets (Line 12)	20,900,502		28,986,502
,	Premiums and considerations (Line 15)	32 511		32 511
-		32,511		
3.	Reinsurance recoverable on loss and loss adjustment expense payments (Line 16.1)	817.516	(817.516)	
	, , , , , , , , , , , , , , , , , , ,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
4	Funds held by or deposited with reinsured companies (Line 16.2)			
5.	Other assets	272,132		272,132
6.	Net amount recoverable from reinsurers		4,816,976	4,816,976
7.	Protected cell assets (Line 27)			
8.	Totals (Line 28)	30,108,661	3,999,460	34, 108, 121
ľ				
LIABIL	TIES (Page 3)	ļ		
9.	Losses and loss adjustment expenses (Lines 1 through 3)	74,799	4,095,978	4,170,777
10.	Taxes, expenses, and other obligations (Lines 4 through 8)	47,146		47 , 146 1
44	Harris de construe (15 a D)	1 200 051		4 200 254
11.	Unearned premiums (Line 9)	4,338,254		4,338,254
12	Advance premiums (Line 10)	200 226		200 226
1	Actures promising (Line 10)	200,220		200,220
13.	Dividends declared and unpaid (Line 11.1 and 11.2)			
14.	Ceded reinsurance premiums payable (net of ceding commissions) (Line 12)	96,518	(96.518)	
ł		·	, , , ,	
15.	Funds held by company under reinsurance treaties (Line 13)			
16.	Amounts withheld or retained by company for account of others (Line 14)			
17.	Provision for reinsurance (Line 16)			
18.	Other liabilities			
19.	Total liabilities excluding protected cell business (Line 26)	4,756,944	3,999,460	8,756,404
20.	Protected cell liabilities (Line 27)			
21.	Surplus as regards policyholders (Line 37)	25,351,717	XXX	25,351,717
22.	Totals (Line 38)	30,108,661	3,999,460	34,108,121

NOTE: Is the restatement of this exhibit the result of grossing up balances ceded to affiliates under 100 percent reinsurance or pooling arrangements? Yes [ ] No [ X ] If yes, give full explanation:

-3

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

# **OVERFLOW PAGE FOR WRITE-INS**

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# ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

# SUMMARY INVESTMENT SCHEDULE

	Gross Inve Holdin		Admitted Assets as Reported in the Annual Statement						
	1	Percentage of Column 1	3	4 Securities Lending Reinvested Collateral	5 Total (Col. 3+4)	Percenta of Column			
Investment Categories	Amount	Line 13	Amount	Amount	`Amount´	Line 1			
Long-Term Bonds (Schedule D, Part 1):									
1.01 U.S. governments						ļ			
1.02 All other governments		ļi	***************************************			ļ			
1.03 U.S. states, territories and possessions, etc. guaranteed									
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed									
1.05 U.S. special revenue and special assessment obligations, etc.     non-guaranteed	19,162,690	66 . 109	19,162,690		19 , 162 , 690	66 . *			
1.06 Industrial and miscellaneous									
1.07 Hybrid securities									
1.08 Parent, subsidiaries and affiliates		ļ				ļ			
1.09 SVO identified funds		ļ							
1.10 Unaffiliated bank loans									
1.11 Total long-term bonds	19,162,690	66 . 109	19,162,690		19,162,690	66 . 1			
2. Preferred stocks (Schedule D, Part 2, Section 1):									
2.01 Industrial and miscellaneous (Unaffiliated)	***************************************					L			
2.02 Parent, subsidiaries and affiliates									
2.03 Total preferred stocks									
Common stocks (Schedule D, Part 2, Section 2):					***************************************				
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)	28 325	0.098	28,325		28.325	0.0			
			20,020		20,020				
•									
3.05 Mutual funds		13.304	3.856,408		2 050 400	40.6			
		13.304	3,000,400		3,856,408	13.3			
3.07 Closed-end funds	•••••	······			***************************************				
	3.884.733	13.402	0.004.700	·					
		13.402	3,884,733		3,884,733	13.4			
Mortgage loans (Schedule B):     A 04 5									
4.01 Farm mortgages									
4.02 Residential mortgages		·							
4.03 Commercial mortgages	······								
4.04 Mezzanine real estate loans									
4.05 Total valuation allowance	•••••								
4.06 Total mortgage loans									
5. Real estate (Schedule A):									
	153,834	0.531	153,834		153,834	0.5			
5.04 Total real estate	153,834	0.531	153,834		153,834	0.5			
Cash, cash equivalents and short-term investments:				1					
6.01 Cash (Schedule E, Part 1)	5,785,245	19.958	5,785,245		5,785,245	19.9			
6.02 Cash equivalents (Schedule E, Part 2)					,,				
6.03 Short-term investments (Schedule DA)									
6.04 Total cash, cash equivalents and short-term investments	5.785.245	19.958	5,785,245		5,785,245	19.9			
7. Contract loans									
Derivatives (Schedule DB)				***************************************		•••••			
A AN A		······							
Receivables for securities									
				VVV					
Securities Lending (Schedule DL, Part 1)				XXX	XXX	XXX			
2. Other invested assets (Page 2, Line 11)									
Total invested assets     Independent Accountant's Compilation Report	28,986,502	100.000	28,986,502		28,986,502	100.0			

# **SCHEDULE A – VERIFICATION BETWEEN YEARS**

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year
	Cost of acquired: 2.1 Actual cost at time of acquisition (Part 2, Column 6)
	2.1 Actual cost at time of acquisition (Part 2, Column 6). 2.2 Additional investment made after acquisition (Part 2, Column 9)
3.	Current year change in encumbrances:
	3.1 Totals, Part 1, Column 13.
	3.2 Totals, Part 3, Column 11
4.	Total gain (loss) on disposals, Part 3, Column 18.
	Deduct amounts received on disposals, Part 3, Column 15
ь.	Total foreign exchange change in book/adjusted carrying value:
	6.1 Totals, Part 1, Column 15
7	
۲.	Deduct current year's other-than-temporary impairment recognized:
	7.1 Totals, Part 1, Column 12
۰	7.2 Totals, Part 3, Column 10.  Deduct current year's depreciation:
u.	Bettet contain year's depreciation. 8.1 Totals, Part 1, Column 11
9.	8.2 Totals, Part 3, Column 9       4, 241         Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)       153,834
10.	Deduct total nonadmitted amounts
11.	Statement value at end of current period (Line 9 minus Line 10). 153, 834

See Independent Accountant's Compilation Report

# **SCHEDULE B – VERIFICATION BETWEEN YEARS**

Mortgage Loans

	Book value/recorded investment excluding accrued interest, December 31 of prior year
2.	Cost of acquired:
	2.1 Actual cost at time of acquisition (Part 2, Column 7)
	2.2 Additional investment made after acquisition (Part 2, Column 8)
3.	Capitalized deferred interest and other:
	3.1 Totals, Part 1, Column 12
	3.2 Totals, Part 3, Column 11
	Accrual of discount
5.	Unrealized valuation increase (decrease):
	5.1 Totals, Part 1, Column 9
	5.2 Totals, Part 3, Column 8
6.	5.1 Totals, Part 1, Column 9 5.2 Totals, Part 3, Column 8 Total gain (loss) on disposals, Part 3, Column 18 Deduct amounts received on disposals, Part 3, Column 15
7.	Deduct amounts received on disposals, Part 3, Column 15
8.	Deduct amortization of premium and mortgage interest points and commitment fees
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:
	9.1 Totals, Part 1, Column 13
	9.2 Totals, Part 3, Column 13
10.	Deduct current year's other-than-temporary impairment recognized:
	10.1 Totals, Part 1, Column 11
	10.2 Totals, Part 3, Column 10
	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)
12.	Total valuation allowance
13.	Subtotal (Line 11 plus Line 12)
14.	Deduct total nonadmitted amounts
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)

# **SCHEDULE BA – VERIFICATION BETWEEN YEARS**

Other Long-Term Invested Assets

1. 2.	Book/adjusted carrying value, December 31 of prior year Cost of acquired: 2.1 Actual cost at time of acquisition (Part 2, Column 8)
3.	Capitalized deferred interest and other:
	3.1 Totals, Part 1, Column 16
4.	3.2 Totals, Part 3, Column 12
	Totals, Part 3, Column 9  Total gain (loss) on disposals, Part 3, Column 19  Deduct amounts received on disposals, Part 3, Column 16  Deduct amounts received on disposals, Part 3, Column 16
_	5.2 Totals, Part 3, Column 9
6.	Total gain (loss) on disposals, Part 3, Column 19.
7.	Deduct amounts received on disposals, Part 3, Column 16
٥.	Deduct amortization of premium and depressation.
9.	Total foreign exchange change in book/adjusted carrying value: 9.1 Totals, Part 1, Column 17
	9.1 Totals, Part 3, Column 14
10.	
	10.1 Totals, Part 1, Column 15
	10.2 Totals, Part 3, Column 11
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)
12.	Deduct total nonadmitted amounts
13.	Statement value at end of current period (Line 11 minus Line 12)

# **SCHEDULE D – VERIFICATION BETWEEN YEARS**

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year.	30 234 679
2.	Book/adjusted carrying value, December 31 of prior year.  Cost of bonds and stocks acquired, Part 3, Column 7	1 710 794
3.	Accrual of discount	1 021
4.	Unrealized valuation increase (decrease):	4,921
	4.1 Part 1, Column 12.	
	4.2 Part 2, Section 1, Column 15.	
	4.3 Part 2, Section 2, Column 13. 53,128	
	4.4 Part 4. Column 11 (36, 168)	16.960
5.	Total gain (loss) on disposals, Part 4, Column 19 Deduction consideration for bonds and stocks disposed of, Part 4, Column 7.	169,443
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	8.831.421
7.	Deduct amortization of premium	257,953
8.		,
	8.1 Part 1, Column 15	
	8.2 Part 2, Section 1, Column 19	
	8.3 Part 2, Section 2, Column 16.	
_	8.4 Part 4, Column 15	
9.		
	9.1 Part 1, Column 14.	
	9.2 Part 2, Section 1, Column 17	
	9.3 Part 2, Section 2, Column 14	
40	9.4 Part 4, Column 13	
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line (2)	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	23,047,423
12. 13.	Deduct total nonadmitted amounts.	00 047 400
13.	Statement value at end of current period (Line 11 minus Line 12)	23,047,423

# **SCHEDULE D - SUMMARY BY COUNTRY**

	Lo	ng-Term Bonds and Stocks (	OWNED December 31 o			
			1	2	3	4
D de Per			Book/Adjusted	Fair Value	Actual Cost	Par Value of Bonds
Description			Carrying Value		Actual Cost	rai value di bolius
BONDS	1.					••••
Governments (including all obligations	2.	Canada				
guaranteed by governments)	3.	Other Countries				
	4.	Totals				
U.S. States, Territories and Possessions				İ		
(direct and guaranteed)	5.	Totals				
U.S. Political Subdivisions of States, Territories						
and Possessions (direct and guaranteed)	6.	Totals				
U.S. Special Revenue and Special Assessment			ĺ			
Obligations and all Non-Guaranteed		1				
Obligations of Agencies and Authorities of		1				
Governments and their Political Subdivisions	7.	Totals	19,162,690	20,577,447	19,746,338	18,223,212
Industrial and Miscellaneous, SVO Identified	8.	United States				
Funds, Unaffiliated Bank Loans and Hybrid	9.	Canada				
Securities (unaffiliated)	10.	Other Countries				
	11.	Totals				
Parent, Subsidiaries and Affiliates	12.	Totals				
	13.	Total Bonds	19,162,690	20,577,447	19,746,338	18,223,212
PREFERRED STOCKS	14.	United States				
Industrial and Miscellaneous (unaffiliated)	15.	Canada				
, .	16.	Other Countries				
	17.	Totals				
Parent, Subsidiaries and Affiliates	18.	Totals				
	19.	Total Preferred Stocks				
COMMON STOCKS	20.	United States	3.884.733	3.884.733	3,699,880	Į
Industrial and Miscellaneous (unaffiliated)	21.					j
made and moderations (and made a)	22.	Other Countries				
	23.	Totals	3,884,733	3.884.733	3,699,880	
Parent, Subsidiaries and Affiliates	24.	Totals	3,000,000	, , , , , , , , , , , , , , , , , , , ,		1
Tarong Capadianes and Aminates	25.	Total Common Stocks	3,884,733	3.884.733	3,699,880	1
	26.		3,884,733	3.884.733	3,699,880	1
	27.		23.047.423	24,462,180	23,446,219	1
	21.	TORRI DOING AND STOCKS	20,017,420	21,102,100	20,, 210	1

(	J	כ
5		5

	1	2	3	4	5	6	7	8	9	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Places (a)
1. U.S. Governments	1 Teal of Leas	5 Tears	Thiough to reals	TITIOUGIT ZO TEATS	Over 20 Tears	Date	Current real	76 OI LINE 11.7	Filor real	THUI TOU	Haded	(a)
1.1 NAIC 1						XXX						
1.2 NAIC 2	***************************************	***************************************				XXX					•••••••••••••••••••••••••••••••••••••••	
1.3 NAIC 3						XXX					***************************************	•••••••••••••••••••••••••••••••••••••••
1.4 NAIC 4						XXX		•••••••••••••••••••••••••••••				
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals						XXX						
2. All Other Governments												
2.1 NAIC 1						XXX						
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX		•••••		***************************************	•	
2.6 NAIC 6						XXX						
2.7 Totals						XXX						
3. U.S. States, Territories an	d Possessions, etc.,	Guaranteed				7705						
3.1 NAIC 1		1				XXX						
3.2 NAIC 2						XXX						
3.3 NAIC 3					*/-/	XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						***************************************
3.6 NAIC 6						XXX					***************************************	
3.7 Totals						XXX						
4. U.S. Political Subdivisions	of States, Territories	and Possessions, Gua	ranteed									
4.1 NAIC 1		1				XXX						
						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX						
5. U.S. Special Revenue & S	pecial Assessment C	Obligations, etc., Non-G	uaranteed			*****						
5.1 NAIC 1		700.757	1,807,552	3,516,479	13.137.903	XXX	19,162,690	100.0		100.0		19,162,690
5.2 NAIC 2						XXX	[					
5.3 NAIC 3						XXX						
5.4 NAIC 4					***************************************	XXX			1			
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		1
5.7 Totals		700.757	1.807.552	3,516,479	13.137.903	XXX	19.162.690	100.0	26,322,915	100.0		19.162.690

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5		7	_		40		
	!	Over 1 Year Through	Over 5 Years	Over 10 Years	5	6 No Maturity	Total	8 Col. 7 as a	9 Total from Col. 7	10 % From Col. 8	11 Total Publicly	12 Total Privately Placed
NAIC Designation	1 Year or Less	5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.7	Prior Year	Prior Year	Traded	(a)
6. Industrial and Miscellaned	ous (unaffiliated)								7.110. 1.00.			(6)
6.1 NAIC 1						ххх						
6.2 NAIC 2						XXX						
6.3 NAIC 3						ххх						
6.4 NAIC 4						ххх						
6.5 NAIC 5						XXX						
6.6 NAIC 6						XXX						
6.7 Totals						XXX						
7. Hybrid Securities												
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX						
7.3 NAIC 3	l		***************************************			ХХХ			1			
7.4 NAIC 4						ХХХ						
7.5 NAIC 5						ХХХ						
7.6 NAIC 6						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries ar	nd Affiliates											
8.1 NAIC 1						ХХХ						
8.2 NAIC 2						ххх						
8.3 NAIC 3						ххх						
8.4 NAIC 4			***************************************			Lxxx						
8.5 NAIC 5			***************************************			XXX						
8.6 NAIC 6		1				XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	ХХХ	ХХХ	ХХХ							
9.2 NAIC 2	XXX	XXX	ХХХ	ххх	XXX							
9.3 NAIC 3	ХХХ	XXX	ХХХ	XXX	XXX							
9.4 NAIC 4	XXX	XXX	ХХХ	XXX	XXX							
9.5 NAIC 5	ХХХ	XXX	ХХХ	ХХХ	XXX							
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.1 NAIC 1						XXX	1					
10.2 NAIC 2						ХХХ						
10.3 NAIC 3						XXX						
10.4 NAIC 4	***************************************					ХХХ						
10.5 NAIC 5			• • • • • • • • • • • • • • • • • • • •			ХХХ						
10.6 NAIC 6						ХХХ						
10.7 Totals						XXX						

SCHEDULE D - PART 1A - SECTION 1 (Continued)

	1	Quality and	Maturity Distribution of	of All Bonds Owned De	cember 31, at Book/Ac	ljusted Carrying Value	s by Major Types of Is	sues and NAIC Design	nations 9	10	11	12
		Over 1 Year Through	Over 5 Years	Over 10 Years	9	No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately Placed
NAIC Designation	1 Year or Less	5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.7	Prior Year	Prior Year	Traded	(a)
11. Total Bonds Current Year		7			0.00.00.00.0			70 01 2010 1111	1 1101 1 441			1
11.1 NAIC 1	(d)	700.757	1,807,552	3,516,479	13,137,903		19,162,690		ХХХ	ххх		
11.2 NAIC 2	(d)								ххх	XXX		
11.3 NAIC 3	(d)								ХХХ	ХХХ		
11.4 NAIC 4	(d)								XXX	ХХХ		
11.5 NAIC 5	(d)		***************************************				(c)		XXX	ХХХ		
11.6 NAIC 6	(d)						(c)		XXX	XXX		1
11.7 Totals		700,757	1,807,552	3,516,479	13,137,903		(b)19,162,690	100.0	XXX	ХХХ		
11.8 Line 11.7 as a % of Col. 7		3.7	9.4	18.4	68.6		100.0	XXX	XXX	XXX	1	100.0
12. Total Bonds Prior Year												
12.1 NAIC 1	280.052	703.240	3,100,539	4,563,604	17,675,479		XXX	XXX	26,322,915	100.0		26,322,915
12.2 NAIC 2	·	· ·					XXX	XXX				
12.3 NAIC 3							ХХХ	XXX				
12.4 NAIC 4							XXX	XXX				
12.5 NAIC 5							XXX	XXX	(c)			
12.6 NAIC 6							XXX	XXX	(c)			
12.7 Totals	280,052		3, 100, 539	4,563,604	17,675,479		XXX	XXX	(b)26,322,915			
12.8 Line 12.7 as a % of Col. 9	1.1	2.7	11.8	17.3	67.1		XXX	XXX	100.0	XXX		100.0
13. Total Publicly Traded Bonds												
13.1 NAIC 1											İ	XXX
13.2 NAIC 2												XXX
13.3 NAIC 3												XXX
13.4 NAIC 4		1									L	XXX
13.5 NAIC 5		<u> </u>										XXX
13.6 NAIC 6												XXX
13.7 Totals												XXX
13.8 Line 13.7 as a % of Col. 7								XXX	XXX	ХХХ		XXX
13.9 Line 13.7 as a % of Line												
11.7, Col. 7, Section 11								XXX	XXX	XXX		XXX
14. Total Privately Placed												
Bonds												
14.1 NAIC 1		700,757	1,807,552	3,516,479	13 , 137 , 903		19,162,690	100.0	26,322,915	100.0	XXX	19, 162,690
14.2 NAIC 2											XXX	
14.3 NAIC 3											XXX	
14.4 NAIC 4		ļ									XXX	
14.5 NAIC 5		<b></b>									ХХХ	
14.6 NAIC 6											XXX	
14.7 Totals		700,757	1,807,552	3,516,479	13 , 137 , 903		19,162,690	100.0	26,322,915	100.0	XXX	19,162,690
14.8 Line 14.7 as a % of Col. 7		3.7	9.4	18.4	68.6		100.0	XXX	XXX	ХХХ	XXX	
14.9 Line 14.7 as a % of Line												
11.7, Col. 7, Section 11		3.7	9.4	18.4	68.6		100.0	XXX	XXX	XXX	XXX	100.0

11.7, Col. 7, Section 11 | 3.7 | 9.4 | 18.4 | 68.6 | 100.0 | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |

### SCHEDULE D - PART 1A - SECTION 2

		-4le. Di-4-1		DOFE D -								
Distribution by Type	1 1 Year or Less	Over 1 Year Through 5 Years	of All Bonds Owned  3  Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	ong Values by Majo 6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments			,		010:20 / 04:0		Cuitone real	70 O. E.NO 11.00	THO TOU	1 Hoi Toui	Haded	riaceu
1.01 Issuer Obligations						XXX						
1.02 Residential Mortgage-Backed Securities						XXX						
1.03 Commercial Mortgage-Backed Securities						XXX						
1.04 Other Loan-Backed and Structured Securities						XXX						
1.05 Totals						XXX						
All Other Governments     2.01 Issuer Obligations		1										
2.01 Issuer Obligations						ХХХ						<u> </u>
2.02 Residential Mortgage-Backed Securities						XXX						
2.03 Commercial Mortgage-Backed Securities.						XXX						
2.04 Other Loan-Backed and Structured Securities						XXX						
2.05 Totals						XXX						
U.S. States, Territories and Possessions, Guaranteed     3.01 Issuer Obligations						XXX						,
3.02 Residential Mortgage-Backed Securities.						XXX						
3.03 Commercial Mortgage-Backed Securities						XXX						
3.04 Other Loan-Backed and Structured Securities						XXX					***************************************	
3.05 Totals						XXX				1		
U.S. Political Subdivisions of States, Territories and Possessi     4.01 Issuer Obligations	ions, Guaranteed					XXX						
4.02 Residential Mortgage-Backed Securities	•••••	***************************************				XXX						
4.03 Commercial Mortgage-Backed Securities			***************************************			XXX						
4.04 Other Loan-Backed and Structured Securities						XXX		*******				
4.05 Totals			-			XXX						
5. U.S. Special Revenue & Special Assessment Obligations, etc.,	Non Commissed											
5.01 Issuer Obligations	Non-Guaranteeu	700.757	1,807,552	3.516.479	13.137.903	l xxx	19,162,690	100.0	26.322.915	100.0		19,162,69
5.02 Residential Mortgage-Backed Securities			1,007,002			XXX			20,322,813	100.0		19,102,08
5.03 Commercial Mortgage-Backed Securities						XXX					•	
5.04 Other Loan-Backed and Structured Securities.						XXX						
5.05 Totals		700.757	1.807.552	3.516.479	13.137.903	2XX	19.162.690	100.0	26.322.915	100.0		19.162.69
Industrial and Miscellaneous		700,101	1,007,002	3,010,413	10,137,303	^^^	15,102,030	100.0	20,322,913	100.0		19, 102,09
6.01 Issuer Obligations 6.02 Residential Mortgage-Backed Securities						xxx					*******	
6.03 Commercial Mortgage-Backed Securities						XXX						
6.03 Commercial Mongage-Backed Securities		<b></b>				XXX						
6.04 Other Loan-Backed and Structured Securities 6.05 Totals						XXX						
		*********				XXX						
7. Hybrid Securities 7.01 Issuer Obligations		l i				VVV						
7.01 Issuer Obligations						XXX						
7.03 Commercial Mortgage-Backed Securities						XXX						
7.04 Other Loan-Backed and Structured Securities						XXX						
7.04 Other Loan-Backed and Structured Securities						XXX						
						XXX						
Parent, Subsidiaries and Affiliates     8.01 Issuer Obligations						VVV						
8.02 Residential Mortgage-Backed Securities						XXX				ļ		
8.03 Commercial Mortgage-Backed Securities.	••••••								•••••			
8.04 Other Loan-Backed and Structured Securities	***************************************					XXX						
8.05 Affiliated Bank Loans – Issued						XXX						
8.06 Affiliated Bank Loans – Issued						XXX						
8.07 Totals						XXX						
0.07 TOTAIS						XXX						

SCHEDULE D - PART 1A - SECTION 2 (Continued)

					1A - SEC							
		Maturity Distribution	of All Bonds Owne	ed December 31, at I	Book/Adjusted Carry		or Type and Subtype	of Issues				
	1	2	3	4	5	6	_ 7	8	9	10	11	12
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.08	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	XXX	ХХХ	XXX	ХХХ	xxx							
9.02 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
9.03 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.01 Bank Loans - Issued						XXX						
10.02 Bank Loans - Acquired						XXX	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
10.03 Totals						XXX						
11. Total Bonds Current Year						7881	-					<del> </del>
11.01 Issuer Obligations		700.757	1,807,552	3.516.479	13.137.903	XXX	19.162.690	100.0	XXX	XXX		19,162,69
11.02 Residential Mortgage-Backed Securities						XXX			XXX	XXX		10,102,00
11.03 Commercial Mortgage-Backed Securities						XXX	***************************************		XXX	XXX		
11.04 Other Loan-Backed and Structured Securities					1	XXX		***************************************	XXX	XXX		1
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		1
11.06 Affiliated Bank Loans		//////////////////////////////////////	nnh			XXX			XXX	XXX		***************************************
11.07 Unaffiliated Bank Loans						XXX	***************************************		XXX	XXX		†
11.08 Totals		700.757	1.807.552	3,516,479	13, 137, 903		19,162,690	100.0	XXX	XXX		19,162,69
11.09 Lines 11.08 as a % Col. 7		3.7	9.4	18.4	68.6		100.0	XXX	XXX	XXX		100.
12. Total Bonds Prior Year		9,1	3.4	10.4	00.0		100.0	^^^		444		100.
12.01 Issuer Obligations	280.052		3.100.539	4.563.604	17.675.479	XXX	XXX	XXX	26.322.915	100.0		26,322.91
12.02 Residential Mortgage-Backed Securities	200,002			4,303,004	17,013,419	XXX	XXX	XXX	20,322,913	100.0		20,322,91
12.03 Commercial Mortgage-Backed Securities						XXX	XXX	XXX				1
12.04 Other Loan-Backed and Structured Securities			***************************************			XXX	xxx	XXX		*		
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		XXX	XXX		•		1
12.06 Affiliated Bank Loans	AAA					XXX	XXX	XXX		ł		ł
12.07 Unaffiliated Bank Loans						XXX	XXX	XXX	·	ł		ł
12.08 Totals	280.052	700.040	0 100 F00			XXX						
12.09 Line 12.08 as a % of Col. 9		703,240	3,100,539	4,563,604	17,675,479		ХХХ	XXX	26,322,915	100.0		26,322,91
	1.1	2.7	11.8	17.3	67.1		XXX	XXX	100.0	XXX		100.
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations												XXX
13.02 Residential Mortgage-Backed Securities				• • • • • • • • • • • • • • • • • • • •		XXX				ļ		XXX
13.03 Commercial Mortgage-Backed Securities						XXX						XXX
13.04 Other Loan-Backed and Structured Securities						XXX						xxx
13.05 SVO Identified Funds	XXX	ХХХ	XXX	ХХХ	XXX					ļ		XXX
13.06 Affiliated Bank Loans						XXX				ļ		XXX
13.07 Unaffiliated Bank Loans						XXX						XXX
13.08 Totals										ļ		ХХХ
13.09 Line 13.08 as a % of Col. 7	ļ						ļ	ХХХ	XXX	XXX		ххх
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11								XXX	XXX	XXX		XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations		700,757	1,807,552	3,516,479	13,137,903	XXX		100.0	26,322,915	100.0	XXX	19,162,69
14.02 Residential Mortgage-Backed Securities						ХХХ	ļ	ļ		1	XXX	I
14.03 Commercial Mortgage-Backed Securities						XXX	1	ļ		1I	XXX	I
14.04 Other Loan-Backed and Structured Securities	l					XXX	1	<u> </u>		1I		1
14.05 SVO Identified Funds	XXX	ХХХ	XXX	ххх	XXX					l I	XXX	l
14.06 Affiliated Bank Loans						XXX	1	L		L	XXX	
14.07 Unaffiliated Bank Loans					i	XXX					XXX	T
14.08 Totals		700.757	1,807,552	3.516.479	13, 137, 903		19.162.690	100.0	26.322.915	100.0	XXX	19.162.69
14.09 Line 14.08 as a % of Col. 7		3.7	9.4	18.4	68.6		100.0	XXX	XXX	XXX	XXX	100.
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11		3.7	9.4	18.4	68.6		100.0	XXX	XXX	XXX	XXX	100.
e Independent Accountant's Compilation Report					00.01		1 100.0		.300	1400	19171	100.

Schedule DB - Part A - Verification NONE

Schedule DB - Part B - Verification NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification NONE

# SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalent	s)			
	1	2	3	4
			Money Market	
	Total	Bonds	Mutual Funds	Other (a)
Book/adjusted carrying value, December 31 of prior year				
Cost of cash equivalents acquired				
3. Accrual of discount				
Unrealized valuation increase (decrease)				
Total gain (loss) on disposals.		••••		
Deduct consideration received on disposals				
7. Deduct amortization of premium				
Total foreign exchange change in book/adjusted carrying value				
Deduct current year's other-than-temporary impairment recognized				
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)				
11. Deduct total nonadmitted amounts				
12. Statement value at end of current period (Line 10 minus Line 11)				

 $<sup>\</sup>textbf{(a) Indicate the category of such investments, for example, joint ventures, transportation equipment}\\$ 

### E

#### SCHEDULE A - PART 1

								A - PAI								
	·							D December 31 of								
1	2	Loca	ition	5	6	7	8	9	10	Chang	e in Book/Adjus	ted Carrying Val	ue Less Encumb	rances	16	17
		3	4							11	12	13	14	15		
			l										"	,,,		
											Current Year's					
					1			Book/Adjusted			Other-Than-		l	Total Foreign	Gross Income Earned Less	Taxes.
l i	ł			l	Date of			Carrying Value	Fair Value	l	Temporary	Current Year's	Total Change in	Exchange		Repairs and
Description of Property	Code	City	State	Date	Last	Actual	Amount of	Less	Less	Current Year's	Impairment	Change in	B./A.C.V.	Change in	Incurred on	Expenses
Properties occupied by the reporting ent	ity - Hea	Ith Care Delivery	State	Acquired	Appraisal	Cost	Encumbrances	Encumbrances	Encumbrances	Depreciation	Recognized	Encumbrances	(13-11-12)	B./A.C.V.	Encumbrances	Incurred
Properties occupied by the reporting ent	ity - Adm	inistrative														
Land		Newton	A	01/01/2000	01/01/2000	14,000		14,000 139,834				I			Γ	I
Building		Newton	IA	10/31/2014	10/31/2014	165,374		139,834		4,241			(4,241)		2,000	13,144
0399999 - Total Properties occupied by the rep	be report	inc entity	ve			179,374 179,374		153,834 153,834		4,241 4,241			(4,241)		2,000	
Properties held for the production of in-	cone	ing on ry				113,314		100,004		4,241		l	(4,241)	L	2,000	13,144
Properties held for sale																
																I
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	***********														• • • • • • • • • • • • • • • • • • • •	
0699999 Totals						470.074		450 004								
see Independent Accountant's Compilation Repor	-1					179,374		153,834		4,241		L.	(4,241)		2,000	13,144

NONE

Schedule A - Part 3

**NONE** 

Schedule B - Part 1

**NONE** 

Schedule B - Part 2

**NONE** 

Schedule B - Part 3

**NONE** 

Schedule BA - Part 1

**NONE** 

Schedule BA - Part 2

**NONE** 

Schedule BA - Part 3

**NONE** 

#### SCHEDULE D - PART 1

										ILE D -										
			4					Showing All L			mber 31 of Current			,						
1 1	2	3 4	des	NAIC	7	Fair 8	Value 9	10	11		nange in Book / Adjust	ted Carrying Value				Interes				tes
		Fore	9	Designation , NAIC Designation Modifier and SVO	1	Rate Used to Obtain	g		Book/ Adjusted	12 Unrealized Valuation	13 Current Year's	14 Current Year's Other Than Temporary	Total Foreign Exchange Change	16	17 Effective	18	19 Admitted Amount	Amount Rec.	21	22 Stated Contractual
CUSIP		g	Bond	Administrat-		Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	In	Rate	Rate	When	Due &	During		Maturity
Identification	Description Governments - Issuer Obligation	Code n	CHAR	ive Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	Governments - Residential Mor		od Securitie	es										<del></del>						
Bonds - U.S.	Governments - Commercial Morto	gage - Backed	Securities	s			-													
	Governments - Other Loan-Backe Other Governments - Issuer Obl		uctured Secu	urities																
Bonds - All	Other Governments - Issuer Obi	igations I Mortoace	Ranked Sec	irities																
Bonds - All	Other Governments - Residentia Other Governments - Commercial	Mortgage B	Backed Secur	rities						·	<del></del>									
Bonds - All	Other Governments - Other Loan-	<ul> <li>Backed and</li> </ul>	Structured	d Securities																
Bonds - U.S.	States, Territories and Posse States, Territories and Posses	assions (Di	rect and Gu	uaranteed) -	Issuer Obligat	ions	C													
Bonds - U.S.	States, Territories and Posses	ssions (Dir	rect and Gua	aranteed) - (	Commercial Morte	rigage-backed Se	occurities								-					
I Bonds - U.S.	States, Territories and Posses	ssions (Dir	rect and Gua	aranteed) - (	Other Loan-Backs	ed and Structu	red Securities													
Bonds - U.S.	Political Subdivisions of Stat	tes, Territ	ories and F	Possessions (	(Direct and Guar	ranteed) - Iss	uer Obligations													
Ronds - U.S.	Political Subdivisions of State Political Subdivisions of State	es, lerrit	ories and F	Possessions	(Direct and Guar	ranteed) - Res	idential Mortgag	e-Backed Secur	ities											
Bonds - U.S.	Political Subdivisions of Stat	tes. Territ	ories and F	Possessions I	(Direct and Quar	ranteed) - Oth	er Loan-Backed a	nd Structured	Securities											
Bonds - U.S.	Special Revenue and Special As	ssessment 0	bligations	and all Non-	-Guaranteed Obli	igations of Ag	pencies and Autho	rities of Gove	rnments and The	ir Polítical Subdi	risions - Issuer ObT	igations								
914364-QU-1	UNIV OF TOWA			1.A	106,387	101.1200	101,119	100.000	100.321		(770)			3.000	3.000	JD	250	3,000	12/31/1999	06/21/2023
679384-DQ-7	DLATHE KS			1.A	102,266	100.2000	100 199	100,000	100,000					3.000	3.000	FA	1,125 1,500	3,000	12/31/1999	08/16/2023 07/01/2024
385443-R9-9.	GRAND FORKS, ND.			1.A	100,000	102.5600	102,563	100,000	100.000					3.125	3.125	JD	260	3,125	12/01/2011	12/01/2024
052455-FK-3 810453-5G-1	AUSTIN, TX		ļ	1.A	101,417	110.9700	110,971	100,000	100 ,381		(87)			5.250 3.000	5.250	MN		5,250	01/01/2009	02/01/2025
502768-78-1	LA CROSSE WI			1.A	100,000	103.6900	104,191	100,000	100,000		(59)			3.000 4.375	3.000 4.375	JJ	1,500 365	3,000 4,375	12/31/1999 01/01/2011	05/01/2025 12/21/2025
021087-85-1	LA CROSSE, WI ALPINE, UT			1.A	104.828	103.2400	103,240	100,000	100,720		(557)			3.000	3.000	MS	625	3.000	12/31/1999	03/22/2026
	STATE OF OREGON			1.4	112,150	106.0400	106,044	100 ,000	101,854		(1,171)			4.000	4.000	FA	1.667	4,000	01/01/2009	08/22/2026
439881-JG-9				1.4	99,500	102.5200	102,518	100 ,000			33			3.250 4.000	3.250 4.000	JD	271	3,250 4,000	01/01/2011	12/01/2026 02/21/2027
	KANSAS CITY, MO			1.A	222, 122	100.3400	200.688	200,000	200 . 191		(2,283)			5.000	5.000	JDFAFA	4,167	10,000	01/01/2011	02/21/2027
155048-0P-4 030807-02-0	CENTRAL PUGET SOUND, WA			1.A	122,550 101,304	105.0000	105,003	100,000	102,727		(2,517)			5.000	5.000	FA	2,084	5,000	12/31/1999	02/22/2027
924258-YA-8	STATE OF VERMONT			1.A	99.873	101.4400	101,438	100,000	100,068		(163)			3.000	3.000	JD		3,000	12/31/1999 01/01/2012	06/21/2027 08/01/2027
792881-E8-3	ST PAUL, MN			1.A	99,141	101 . 1900	101,194	100,000	99 970		90			4.000	4.000	MN	667	4.000	01/01/2011	05/21/2028
924258.YR-6	MARICOPA CO, AZ. STATE OF VERMONT.	···-		1.A		108.4800	108,482	100,000	100,000					3.250	3.250	JJ	1 .625	3,250	12/31/1999	07/24/2028
534238-7J-3	LINCOLN, NE.			1.4	100,000	101.3400	101,342	100,000	100,000		39			3.000	3.000	FA	1,125	3,000	01/01/2012 01/01/2011	08/01/2028 08/21/2028
677521-P#-0.	STATE OF OHIO.			1.A	112,202	100 .8000	100,795	100,000	100.464		(1,314)			4.500	4.500	MS	1 313	4.500	01/01/2012	09/15/2028
792881-F2-5 534238-7K-0			<del> </del>	1.A	98,103	101.1900	101,194	100,000	99,934	***************************************	198			4.000	4.000	MN		4,000	01/01/2011	05/21/2029
119475-GQ-8	BUFFALO CO, NE.			1.4	105,507	101.0000	100,996	100.000	100 . 115		(551)			3.300 4.750	3.300	JD		3,300	01/01/2011 01/01/2011	12/21/2029
914119-YE-6.	UNIV OF CINCINNATI			1.A	108,496	111.5900	L111.590 L	100,000	102,062		(551) (853)			5.000	5.000	LJDJ.	417	5,000	12/31/1999	06/23/2030
744890-S7-8	HENDERSON, NV			1.A 1.A		108.6300	104,794 108,633	100,000	95,806		1,735			3.250 5.000	3.250	JD	271 417	3,250	12/31/1999	06/23/2031
86771R-AT-3.	PUEBLO CO, CO. SUNRISE, FL.			1.A	99.581	108.1600	108,161	100.000				***************************************		3.125	3.125	JJ	1,563	5,000	12/31/1999 04/14/2015	
579083-SC-1.	MC ALLEN, TX			1.A	100 ,000	106.8200	106 815	100,000	100,000					4.000	4.000	FA		4,000	12/31/1999	02/22/2034
815832-RB-8	MC ALLEN, TX. ST LOUIS, MO. SEGUIN, TX.		1	1.A		106.4000	106 ,404	100,000	100,000		(696)			5.000	5.000	MN	833	5,000	12/31/1999	05/22/2034
46613S-FY-1	JACKSONVILLE, FL			1.A	108,767	117.7200	117,721	100,000	106,043		(895)			4.000	4.000	MS	1,333	4,000	12/31/1999	09/22/2034 10/27/2035
442331-L8-5	HOUSTON, TX. STATE OF KANSAS			1.4	108,408	113.7100	113,706	100,000	104,381		(848)			4.000	4.000	MS	1,333	4.000	03/28/2016	D3/26/2036
485429-XG-4 060095-4C-7			·····	1.A	100,800	101.1500	101,149	100,000	100,036		(108) 2.055			4.500	4.500	AO	750	4,500	12/31/1999	05/21/2036
744434-EJ-6.	PUBLIC POWER AGENCY, NE.			1.8	115,284	120.5600	120,557	100,000	109,340					3.250 5.000	3.250	FA	1,625	3,250 5.000	12/31/1999	07/22/2036 01/26/2037
227381-PS-3	CROSBY, TX			1.8	109,163	112.5500	112,550	100,000	102,366		(901)	/**************************************		5.000	5.000	FA	1.875	5.000	12/31/1999	02/23/2037
93974C-28-5	STATE OF CONNETICUT			1.A	103,481	115 . 1300	115,131 104,863	100,000	102,186 100,905		(347)			4.000	4.000	A0 FA		4,000	04/20/2017	04/27/2037
57448R-GR-5	MARYSVILLE, OH			1,A	106.235	112.0800	112,080	100.000	103,171		(645)			4.000	4.000	JD		4,000		08/22/2037 12/25/2037
744434-EK-3.	NEB PUBLIC POWER			1.A	225,560	120 .4000	240,802	200,000	217,302		(3,146)			5.000	5.000	MS	5,000	10,000	D8/20/2018	01/26/2038

#### SCHEDULE D - PART 1

											PART 1	.,								
1	2		odes	6	7	Fair	Value	5nowing All L	ong-Term BOI		ember 31 of Current hange in Book / Adjust					Interes	st		Da	ites
		3 4 F o		NAIC Designation , NAIC Designation		8	9			12	13	14 Current Year's Other	15 Total Foreign	16	17	18	19	20	21	22
CUSIP	Description	e i g Code n		Modifier and SVO Administrat- ive Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Than Temporary Impairment Recognized	Exchange Change In B./A.C.V.	Rate	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
	STATE OF PA			1.A	206,292	117.3500	234,698	200,000	204,645	(Dooredse)	(648)	Recognized	D.JA.O.V.	4.000	4.000	MS	2.667	8.000	06/14/2018	03/28/2038
676306-DB-6	OGDEN, UT	·		1.A	112,342	113.0500	111,167	100 ,000	103,518		(1,431)			4.750	4.750	JD	198	4,750	12/31/1999	06/23/2038
	REGIONAL TRANS DIS			1.A	223.262	109.3900	113,049	100,000	104,691		(1,149) (2,938)		ł	5.000 5.000	5.000 5.000	FAJD	2,083	5,000 10,000	09/03/2015 07/02/2015	02/25/2039 06/23/2039
495289-4S-6	KINGS CO, MA	I		1.A	116 ,357	111.9900	111,991	100,000	106,960		(2.784)		İ	5.000	5.000	JJ	2.500	5.000	08/16/2017	D1/26/2039
	MIAMI GARDEN, FL.	ļļ		1.A	113,363	116 .4900	116,490	100,000	104,600		(1,314)			5.000	5.000	JJ	2,500	5,000	12/31/1999	07/24/2039
	WASHINGTON STATE	ł		1.A	111,456	116 . 4900	116,490	100,000	105,599 100,938		(1,600)		***************************************	5.000	5.000 5.000	JJ FA	2,500 2,083	5,000 5.000	02/05/2015	07/24/2039
	SPARTANBURG, SC			1.8	106,695	109.2200	109.223	100,000	102.767		(664)			4.000	4.000	MS	1.333	4.000	01/28/2015	08/21/2039
072246-AT-8	BAY CO, FL	·		1.4	110,843	117 . 1500	117,145	100,000	105,146		(1,103)		1	5.000	5.000	MS	1,667	5,000	10/27/2015	09/25/2040
	ATLANTA, GA	+		1.A		116.4900	116,494 236,962	100,000	105,363		(1,262)	•••••		5.000	5.000 5.000	AO	1,250 1,667	5,000	07/30/2015	10/25/2040
606092-LC-6	MISSOURI ELEC		1	1.A	229,684	117 .4300	234.860	200,000	213,167		(3,329)	•••••	İ	5.000	5.000	JD		10,000	07/06/2015 07/05/2017	12/26/2040
	CENTRAL FL EXPRESSWAY			1.A	206,818	112.9900	225,972	200,000	204.748		(731)			4.000	4.000	JJ	4,000	8,000	03/01/2018	07/27/2041,
88283K-AB-4.	TEXAS TRANS COMM	····	+	1.A	112,691 109,206	107 .8000	107,801 107,801	100,000	102,781		(1,711)		ļ	5.000	5.000	FA	1.875	5,000	03/19/2015	08/22/2041
717813-TL-3	PHILADELPHIA, PA			1.8	223.348	120.3700	240.740	200.000	214.697		(2.232)		····	5.000 5.000	5.000 5.000	FA	1,875	5,000 10,000	06/02/2015 02/10/2017	08/22/2041,
	PHILADELPHIA, PA			1.A	227,644	122.0400	244,076	200,000	217,696		(2,688)	•	1	5.000	5.000	FA	4,167	10.000	04/24/2017	08/27/2041
	MEST TRAVIS CO TXANCHORAGE. AK	+	+	1.A		113.9100	113,910	100,000	103,593		(542)		ļ	4.000	4.000	FA	1,500	4,000	02/07/2018	08/27/2041
	NO HARRIS CO TX		+	1.4	111,203	112.5000	236,300	200,000	210,232		(2,612)	•		5.000 4.000	5.000	JD JD		10,000 4,000	09/21/2015 07/25/2016	12/24/2041
454898-TX-5	INDIANA MUNI			1.A	231,112	118.7900	237.570	200,000	216,559		(3,011)		t	5.000	5.000	JJ	5.000	10,000	03/08/2016	01/26/2042
549220-59-3	LUBBOCK, TXST LOUIS. NO	ļ		1.A	112,746	105.3800	105,382	100,000	101,870		(1,662)		I	5.000	5.000	FA	1,875	5,000	12/31/1999	02/22/2042
	HOUGHTON PORTAGE, MI	•		1.A	114,408	106 .4000	120,885	100,000	102,533		(1,900)		ļ	5.000	5.000	NN	833	5,000	12/31/1999	05/22/2042
59333F-RZ-5	MIAM! DADE, FL			1.A	112.743	114.5600	114,564	100,000	111,522		(1,651)			5.000 4.000	5.000	MNJJ	833 2.000	5,000	06/29/2017 07/06/2020	05/27/2042 07/06/2042
364116-BP-7				1.A	215,860	112.9600	225,924	200,000	212,214		(2, 188)			4.000	4.000	FA	3.333	8.000	05/03/2019	
59334D-HH-U.,	MIAMI DADE CO, FL	<del>  </del>		1.A	110,250 110,769	108.4200	108,419	100,000	102,453		(1,402)		ļ	5.000	5.000	AO	1,250	5,000	06/09/2015	10/22/2042
	OREGON DEPT OF TRANS	†	1	1.4	226,216	121.2000	242.390	200,000	102,622	*******	(1,498)			5.000	5.000	AO	1,250	5,000 7,889	07/24/2015	10/22/2042
227381-PY-0.				1.A	226,642	112.5500	225,100	200,000	208,652		(3.296)			5.000	5.000	FA	3.750	10.000	07/13/2015	D2/23/2043
44236P - J5 - 8	HOUSTON COMM COLLEGE	·		1.8	115,851 111,594	108.2500	108,246	100,000	104,841		(2,278)			5.000	5.000	FA	1,875	.5,000	03/01/2016	02/23/2043
040319-WE-1.	ARGVIE TX	tt	<del> </del>	1	116,158	116.3300	116,325	100,000	105,306		(1,179)			5.000 5.000	5.000	JJ	2,500 1,875	5,000 5,000	09/03/2015	07/25/2043
052476-04-7	AUSTIN TX WTR AND WASTEWATER		1	1.4	223 .550	109.4700	218.936	200.000	207.159		(3,014)			5.000	5.000	MN	1,250		07/23/2015	08/24/2043 11/23/2043
047870 -NF -3				1.A	232,010	120.4100	240,814	200,000	214,601		(3,369)			5.000	5.000	MN	1.667	10.000	02/27/2015	11/25/2043
298047 -KG - 5 262061 - PW - 8	DRIPPING SPRINGS, TX	····		1.A	231,016	120.2300	240,460 112,262	200,000 100,000	217,786		(3,528)			5.250	5.250	JJ	4,812	10,500	03/30/2017	11/26/2044
796253-3B-6	SAN ANTONIO, TX	İ		1.4	113,192	111,7800	111.779	100,000	104,417		(425)		***************************************	4.000 5.000	4.000 5.000	FA	1,500 2,083	4,000 5.000	12/31/1999	D2/24/2044 D2/24/2044
	COLORADO REG TRANS			1.A	109,325	107.7400	107,738	100,000	103,919		(1,622)			4.500	4.500	JD.	2.250	4.500	08/31/2017	06/23/2044
	HOMESTEAD, FL			1.A	110,639	115.0400	115,038	100,000	104, 100		(1,171)			5.000	5.000	JJ	2,500	5,000	05/29/2015	07/24/2044
982674-KF-9	WYANDOTTE CO. KS.	t	1	1.A	115,154	115.0400	115,038	100,000	105,608	***************************************	(1,602)			5.000	5.000	JJMS	2,500	5.000	01/12/2015	07/24/2044 D7/24/2044
982674-KF-9	WYANDOTTE CO. KS		ļ	1.A	113,722	112.9100	112,913	100,000	105,415		(1,477)			5.000	5.000	MS	1.667	5.000	04/21/2015	07/24/2044
	WYANDOTTE CO, KS		ļ	1.A	114,108	112.9100	112,913	100,000	105,328		(1,453)			5.000	5.000	MS	1,667	5,000	12/31/1999	07/24/2044
702541_H0_9	PASCO CO. FL	ł	·····	1.A	115,247	114.2200	114,216	100,000	106,442		(1,718) (1,622)			5.000	5.000	A0	1,250	5,000	02/18/2015	10/24/2044
882723-TC-3	TEXAS STATE MOBILITY	1		1.A	115.556	117.7900	117.789	100,000	106,082		(1,622)		ł	5.000	5.000		1,250 1,250	5.000	03/05/2015 05/29/2015	10/24/2044
	MIAMI DADE CO, FL	ļ		1.A	216,340	116.3900	232,776	200,000	211,261		(1,670)			4.000	4.000	A0	1.000	4,000	12/14/2017	10/27/2044
159334D-JQ-8	MIAMI DADE CO FL	ļ	ļ	1.A	104,059	116.3900	116,385	100,000	102,922		(433)			4.000	4.000	A0	2,000	8,000	05/14/2018	10/27/2044
	HOFFMAN ESTATES. IL	····	1	1.A	114,004	113.5600	113,562 108,274	100,000	104,450		(1,571) (255)			5.000	5.000	JD		5,000	12/31/1999	11/23/2044
033177-YZ-3	ANCHORAGE, AK			1.A	109,927	114.2700	114,271	100,000	104,666		(1,191)		1	4.000	4.000	JD		4,000	02/19/2015	12/24/2044
462460-20-8	IA HIGHER ED LOAN	ļ	ļ	1.A	215,940	109.3400	218,684	200,000	209,054		(2,312)			4.000	4.000	JD	667	8,000	01/04/2018	12/24/2044
586145-N7-6	FORT BEND CO, TX		·	1.4	112,099	114 . 1200	114,121 111.958	100,000	106,205		(1,489)			5.000	5.000	NS	1,667	5,000	01/18/2017	03/25/2045
	W TRAVIS CO TX.		1	1.0	207,682	111.9600	225.032	200,000	205,381		(812)			5.000 4.000	5.000	A0	1,250 3,000	5,000	05/26/2015 02/27/2018	04/24/2045
982674-KZ-5	WYANDOTTE CO, KS	1		1.A	115,377	115.9100	115.911	100,000	107,554		(1,619)			5.000	5.000	MS	1.667	5.000	02/26/2016	09/25/2045

### **SCHEDULE D - PART 1**

											PARII									
1	2	Co	des	6	7	Fair	Value	Showing All L	ong-Term BUI		ember 31 of Current hange in Book / Adjust					Interes			l no	ites
1	_	3 4	5	NAIC	1	8	9	,0		12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	F o r e i g	Bond CHAR	Designation , NAIC Designation Modifier and SVO Administrat- ive Symbol	- Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
59324P-DC-0	MIAMI DADE CO FL.			1.A	103,605	108.7900	108,787	100.000	102.307		(494)			4.000	4.000	NS	1,333	4,000	05/14/2018	09/25/2045
	RICHLAND, WA	•			229,530	116.6000	233,204	200,000	214 , 454	***************************************	(2,990)			5.000	5.000	NN	1,667	10,000	12/15/2015	11/25/2045
692004 FG 7	OMAHA PUBLIC POWER	· · · · · · · · · · · · · · · · · · ·	ł	1.4	114,931 118,061	114 .3000	114,297	100,000	105,169		(1,723)			5.000	5.000 5.250	JJ	2,500	5,000	D4/28/2015	01/24/2046
682004-FH-4				1.4	115.031	116.6300	116,630	100,000	107,708		(1,860)			5.250 5.000	5.250	FA.	2,625	5,000 5,250	04/23/2015 03/07/2016	02/25/2046 02/26/2046
	GENESEE CO. MI			1.8	114,259	117.2100	117 .206	100,000	108,527		(1.677)			5.000	5.000	FA	2.083	5.000	07/28/2017	02/26/2046
481305-JQ-4				1.A	107,342	112.6000	112.596	100.000	104.641		(913)		***************************************	4.000	4.000	FA	1.667	4.000	.01/18/2018	02/26/2046
67760H-MA-6.	OHIO TURNPIKE			1 A	206,592	112.5900	225,172	200,000	204,674		(661)			4.000	4.000	FAMS	3.000	8.000	02/21/2018	02/28/2046
	MIAMI DATE CO FL	···	ļ	1.A	223,900	117 .6400	235,276	200,000	217,471		(3,354)			5.000	5.000	MS	2,917	10,000	02/05/2019	03/26/2046
	MASSACHUSETTS GO			1.A	110,771	110.3700	111,809	100,000	105,439		(1,280)			4.000	4.000	A0	1,000	4,000		04/25/2046
007266-KS-7	ADRIAN, MI	·	İ	1.0	117,676	118.6500	118.653	100,000	109,526		(1,786)			5.000	4.000 5.000	MN	833	5.000	07/18/2016 06/06/2016	05/26/2046 
517845-AX-1	LAS VEGAS, NV			1.4	226,404	119.5200	239.030	200.000	215,427		(2,848)			5.000	5.000	JD	833	10.000	02/21/2017	06/26/2046
	LIVE OAK, CA			1.A	228,166	112.2100	224 .426	200,000	225.675		(2,491)			4.000	4.000	FA	3.333	4,000	07/13/2020	08/01/2046
669674-CN-1	NORWOOD, OH	ļ		1.A	226 ,572	117.9800	235,954	200,000	215,674		(2,939)			5.000	5.000	MN	1,667	10,000	04/25/2017	11/26/2046
196707-TD-5.	OKLAHOMA TURNPIKE. COLORADO STATE UNIV			1.A	226,714	120 .8000	241,600	200,000	220,462		(3,410)			5.000	5.000	JJ	5,000	10,000	02/28/2019	01/27/2047
57582R-NF-9	STATE OF MA	····		1.A	115,640	119.3700	119,372	100,000	107 ,241		(1,738)			5.000	5.000	MS	1,667	5,000	03/03/2016	03/25/2047
355514-NU-0_ [RASER, M] 1.A 116.188 119.7900 119,790 100,000 110.404 11.643) 5.000 5.000 MN 833 5.000 6621/2017 55/27/20															05/27/2047					
495289-46-2 KING CO. NA. 14. 116.054 115.8800 115.880															07/25/2047					
495299-35-2   KING CO. NA.															09/27/2047					
59334D-J5-4	MIAMI DADE CO FL	ļ		1.A	215,920	115.5200	231,046	200,000	211,045		(1,636)			4.000	4.000	AO	2,000		01/08/2018	10/27/2047
	PHILADELPHIA, PA			1.A	2,500	109.4100	244 220	223,212	217,822		(2,640)			5.000	5.000	AO	2,500	10,000	12/13/2018	12/27/2047
	THREE RIVERS, OH			1.A	104,699	108.4300	108,431	100,000	101,872 108,912		(478)			4.000	4.000	JD	333	4,000	02/03/2015	12/24/2047
050195-UH-7_	AURREY TX	†		1.A	208.192	114.5400	229.086	200,000	205,588		(1,389)			5.000 4.000	5.000 4.000	JDFA	417 3.000	5,000 8,000	05/01/2017 02/20/2018	12/27/2047 02/27/2048
097067 -LZ -3	Boerne TX Indot Sch Dist	1		1.4	226.952	115.5300	231,056	200,000	223,353		(3.599)			4.000	4.000	FA	3.333	8.000	01/23/2020	02/01/2048
014393-YM-8	ALDINE. TX	I		1.A	224,104	115.9900	231.988	200,000	220.660		(2,900)	***************************************		4.000	4.000	FA	3.000	8.000	10/23/2019	02/28/2048
180848-XJ-7	CLARK CO, NV	ļ		1.A	233,570	121.5200	243,038	200,000	227,286		(3,679)			5.000	5.000	MN	1,667	10,000	04/17/2019	05/28/2048
	ENTERPRISE, CA			1.A	225,348	113.3200	226,646	200,000	219,082		(3,418)			5.000	5.000	FA	4,167	10,000	02/25/2019	08/26/2048
152/6P-NU-9	CENTRAL CA UNI SCH NE INDEP SCH, TX.	<del> </del>		1.A	222,210 223,624	119.0400	238,074	200,000	218,147		(3,250)			4.000	4.000	FA	3,333	8,000	09/25/2019	08/26/2048
979595. K.9	MOODLAND HILLS, PA			1.A	224 .848	118.6000	228,774	200,000	219,645 218,466		(2,984) (3,259)			4.000 5.000	4.000	FA	3,333	8,000 10,000	09/19/2019 01/01/2019	08/27/2048
318171-DH-5.	FIRELANDS, OH.			1.4	216,254	108.1500	216.308	200,000	211.654		(3,680)			4.000	4.000	MN MN	1,333	8.000	09/26/2019	11/24/2048
91754R-A6-4	UTAH ST BD OF REGENTS			. 1.A	230,432	120 . 1900	240.374	200.000	219,844		(3.043)			5.000	5.000	JD	833	10,000	07/10/2017	06/27/2049
05922K - B8 - 1	BALTIMORE, MD.			1.8	219,044	118.4100	236,812	200,000	216,358		(1,924)			4.000	4.000	JJ	4,000	8000	05/22/2019	07/29/2049
407781-AL-7 348172-UH-8	HAMILTON, OH.			1.8	214,818	109 . 1400	218,276	200,000	211,571		(1,998)			4.000	4.000	A0,	1,666	8,000	05/16/2019	10/26/2049
	STATE OF ND BO OF ED.	····	ļ	1.8	223,628 226,340	117.0800	234,160 232,214	200,000			(2,662)			4.000	4.000	AO	2,000		11/13/2019	10/28/2049
	Bonds - U.S. Special Revenue an	nd Special	Accorport		220,340	110.1100		200,000	222,915		(2,765)			4.000	4.000	AU	2,000	8,000	10/16/2019	04/29/2050
2000000	and all Non-Guaranteed Obliga	ations of A	nencies an	d d	}								i							i I
	Authorities of Governments an	nd Their Po	litical Su	bdivisions -																i l
	Issuer Obligations				19,746,338	XXX	20,577,447	18,223,212	19,162,690		(202,343)			XXX	XXX	XXX	239.134	804.281	XXX	XXX
Bonds - U.S.	Special Revenue and Special A	ssessment O	bl igat ions	and all Non-	-Guaranteed Obli	igations of Ag	encies and Autho	orities of Gove	rnments and The	ir Political Subdi	visions - Residential	Mortgage-Backed S	ecurities							
Bonds - U.S.	Special Revenue and Special A	ssessment U	bligations	and all Non-	Guaranteed Obli	igations of Ag	encies and Autho	orities of Gove	rnments and The	ir Political Subdi	visions - Commercial I	Mortgage-Backed Se	curities							
3100000 T	Special Revenue and Special A Bonds - U.S. Special Revenue an	obessment 0	vilgations	Obligations	ouaranteed Obli	yarrions of Ag	encies and Autho	JIII les of Gove	riments and The	ir Political Subdi	visions - Other Loan-E	sacked and Structu	rea Securities							
3133333 - 1	and all Non-Guaranteed Obliga	ations of A	nencies an	d igacions					ĺ		l i		1			1 1				
1	Authorities of Governments ar	nd Their Po	litical Su	bdivisions -									1			1				
1	Subtotals - U.S. Special Reve	enue and Spi	ecial Asse	ssment				l	l											
	Obligations and all Non-Guara	inteed Oblin	gations of	Agencies of									1			1 1	l			
	Governments and Their Politic				19,746,338	XXX	20,577,447	18,223,212	19,162,690		(202,343)			XXX	XXX	XXX	239,134	804,281	XXX	XXX
	strial and Miscellaneous (Unaf				hard danier															
	strial and Miscellaneous (Unaf strial and Miscellaneous (Unaf																			
	etrial and Miscellaneous (line)																			

INDUSTRIAL AND ASSOCIATIONS (Unaffil lated) - Commercial Mortgage-Backed Securities
Bonds - Industrial and Miscalianeous (Unaffil lated) - Other Loan-Backed and Structured Securities
Bonds - Hybrid Securities - Issuer Obligations

E10.2

#### SCHEDULE D - PART 1

	2		odes	6	7		Value	10	11		hange in Book / Adjust					Interes	st		L D	ates
		3	.	NAIC Designation , NAIC Designation Modifier	:	8 Rate Used	9		Book/	12 Unrealized	13	14 Current Year's Other Than	Total Foreign Exchange	16	17	18	19 Admitted	20 Amount	21	Stat
USIP		I I.	D4	and SVO		to Obtain		_	Adjusted	Valuation	Current Year's	Temporary	Change	l	Effective		Amount	Rec.		Contra
tification	Description	Code !		Administrat- ive Symbol		Fair Value	Fair Value	Par Value	Carrying Value	Increase/ (Decrease)	(Amortization)/	Impairment	ln .	Rate	Rate	When	Due &	During		Mati
s - Hvh	rid Securities - Residential Mo	thane Ra	Wed Secur	ios	CUSI	ı value	value	value	value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Da
s - Hyb	rid Securities - Commercial Mor	nane-Bar	sed Securiti	29				_												
s - Hyb	rid Securities - Other Loan-Back	ed and S	ructured Se	ecurities																
- Pari	ent. Subsidiaries and Affiliate	s - Issue	Dolination	15																
- Pari	ent, Subsidiaries and Affiliate	- Resid	ential Morto	age-Backed Sc	ecurities															
s - Pari	ent, Subsidiaries and Affiliate:	- Conne	rcial Mortga	ege-Backed Sec	curities															
s - Pare	ant. Subsidiaries and Affiliate:	- Other	Loan-Backed	and Structur	ed Securities															
- Pare	ent, Subsidiaries and Affiliate:	- Affil	ated Bank L	oans - Issued	1															
- Pare	ent, Subsidiaries and Affiliate:	- Affil	ated Bank I	.oans - Acquir	ed ed								-		•					
: SV0	Identified Funds - Exchange Tra	led Funds	- as Identi	fied by the S	SVO															-
<ul> <li>SY0</li> </ul>	Identified Funds - Bond Mutual	Funds -	as Identifie	ed by the SVO								****								
- Una	filiated Bank Loans - Unaffilia	ated Bank	Loans - iss	ued																
- Una	ffiliated Bank Loans - Unaffilia	ited Bank	Loans - Acc	uired	•												***			
999 -	Bonds - Total Bonds - Subtotals	- Issue	Obligation	5	19,746,338	XXX	20,577,447	18,223,212	19,162,690		(202,343)			XXX	XXX	XXX	239, 134	804.281	XXX	T
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9999	Subtotals - Total Bonds				19.746.338	XXX	20,577,447	18,223,212	19.162.690		(202,343)			XXX	XXX	XXX	239,134	804.281	XXX	X

See Independent Accountant's Compilation Report

E10.3

## SCHEDULE D - PART 2 - SECTION 1

													of Current Year							
1	2		des	5	6	7	8	Fair	Value	11		Dividends			Change in	Book/Adjusted Carryin	g Value		20	21
		3	4					9	10		12	13	14	15	16	17	18	19	NAIC Designation, NAIC	
CUSIP dentification	Description	Code	Foreign	Number Of Shares	Par Value Per Share	Rate Per Share	Book/ Adjusted Carrying Value	Rate Per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared but Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change In B./A.C.V. (15+16-17)	Total Foreign Exchange Change In B./A.C.V.	Designation Modifier and SVO Admin- istrative Symbol	Date Acquired
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DOODO Total	Preferred Stocks							XXX											CALLED CO	XXX

BOOK	kajusted Carrying Value by	NAI	Designation Category Fi	ootnot	e:									
1A	\$	1B	\$	1C	\$	***************************************	1D	\$ 1E	s	1F	\$	1G	s	
2A	\$	2B	\$	2C	\$								•	
3A	\$	3B	\$	3C	\$									
4A	\$	4B	\$	4C	\$									
5A	\$	5B	\$	5C	\$									
6	\$													

### SCHEDULE D - PART 2 - SECTION 2

						SUME	DULE	J - PAR	1 2 - 3	ECTION	I						
							ving all COMMON	STOCKS Owner	December 31 o	of Current Year							
1	2		Codes	5	6	Fair '	Value	9		Dividends			Change in Book/Ad	justed Carrying Value	,	17	18
		3	4			7 Rate per	8		10	11	12	13	14	15	16		NAIC Designation NAIC
CUSIP				Number of	Book / Adjusted Carrying	Share Used To Obtain Fair	Fair	Actual	Declared	Amount Received	Nonadmitted Declared	Unrealized Valuation Increase/	Current Year's Other-Than- Temporary Impairment	Total Change in B/A.C.V.	Total Foreign Exchange Change in	Date	Designatio Modifier and SVO Administra
Identification	Description    Scellaneous (Unaffiliated) Publicly Traded	Code	Foreign	Shares	Value	Value	Value	Cost	but Unpaid	During Year	But Unpaid	(Decrease)	Recognized	(13-14)	B./A.C.V.	Acquired	ive Symbo
26989*-10-5	NAMIC			90.000	28.325 I	314.720	28.325	4.500				(0.00)				12/31/1999	
	istrial and Miscellaneous (Unaffiliated) Pub	licly Trad	ed		28.325	XXX	28.325	4,500				(216)		(216)		XXX	XX
Industrial and	fiscellaneous (Unaffiliated) Other	,			10.020		20.020	4,500				[210)	1	(210)	L	AAA	AAA
Parent, Subsidi.	aries and Affiliates Publicly Traded							-									
Parent, Subsidi	aries and Affiliates Other																
Mutual Funds							-										
552984-30-4	MFS.Muni. High. In Fd Cl A.			5,659.132	48,046 529,455		48,046	50,000				(170)		(170)		12/31/1999	1.A
552984-30-4 552984-30-4	MFS.Muni.High. In Fd CIA			62,362.142	529,455	8.490	529,455	500.000		31.366		(1,871)		(1,871)		02/13/2017	1.A
	Nuveen, High, Yield Municipal Bond Fd CIA		+	62.344.140	529,302 ,405,754			500.000				(1,870)		(1.870)		12/05/2017	1.A
	Nuveen High Yjeld Municipal Bond Fd CIA	••••	+	5.783.690		17.630	101.966	400,000 100,000		6,142 6.142		(8.285) (2.082)		(8.285)		12/31/1999	1.A
	Nuveen High Yield Municipal Bond Fd Cl A	**********	+	5,440,696	95,919	17.630	95,919	100.000	***************************************			(2,082)		(2.082)		12/31/1999	1.A
70650-74-9	Nuveen High Yield Municipal Rond Ed CLA		***************************************	2,154,866	37,990	17.630	37,990	50.000	***************************************			(776)		(4,081)		12/31/1999	1.A
670650-74-9	Nuvean High Yield Municipal Bond Fd CLA		1	28.026.906	494,114	17.630	494.114	500,000		50.653		(10.090)		(10,090)		12/31/1999	11.2
370650-74-9	Nuveen High Yield Municipal Bond Ed CLA			14.132.278	249.152	17.630	249.152	250.000		6.142		(5,088)		(5.088)		12/31/1999	1.3
70650-74-9	Nuxeen. High. Yield Municipal Bond Fd Cl A	***************************************		11,641.444	205,239	17 .630				5.142		(4.191)	••••	(4.191)	***************************************	12/31/1999	1.Â
21946-40-6	Yanguard High Dividend Yield ETF			1,812.000	165,816	91.510	165,816	149.959		27.356		(3.986)		(3.986)	,,	05/16/2018	1.A
21946-40-6	Yanguard High Dividend Yield ETF			565.000	51,703	91.510	51.703	49,737		352		(1.243)		(1,243)		08/28/2018	I1.A
921946-40-6 921946-40-6	Yanguard, High, Dividend Yield ETF			2.126.000	194,550	91.510	194,550	200 ,037	,,,,			(4,677)		(4.677)		12/20/2019	I1.A
721946-40-6 721946-40-6	Vanguard High Dividend Yield ETF Vanguard High Dividend Yield ETF		·	4,176.000	382,146	91.510	382,146	347,936						34,210		03/25/2020	1.A
921946-40-6 46434Y-62-1	Kanguard High Dividend Yield Elf.			1,392.000	127,382	91.510	127,382	99,285		2.527		28,097		28,097		04/23/2020	1.A
	Shares Core High Dividend Growth ETF		+	4,075.000 630.000				148.886		2.527		33.756		33.756		05/20/2020	1.A
9499999 - Mutu	al Funde			p30.000	3.856.408	XXX	3.856.408	3.695.380				5,691		5,691		04/23/2020	1.A
Unit Investment			~		3,000,400	AAA	3,836,408	3,695,380		146,902		53,344	L	53,344		XXX	XXX
Closed-End Fund:	110313																
010300-11010		_															
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0790000 Tot	al Common Stocks		k		3.884.733	XXX	3,884,733	0.000.000			***************************************						
	al Preferred and Common Stocks				3.884,733	XXX		3,699,880		146,902		53.128		53,128		XXX	XXX
							3.884.733	3 699 880		146 902		53 128		53 128		YYY	YYY

	Adjusted Carrying Value b										
1A	\$ 3,856,408	1B	\$ 1C	\$	 1D	\$ 1E	\$ 1F	\$ 	1G	s	
2A	\$	2B	\$ 2C	\$							
3A	\$	3B	\$ 3C	S							
4A	\$	4B	\$ 4C	\$							
5A	\$	5B	\$ 5C	\$							
6	\$										

See Independent Accountant's Compilation Report

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### SCHEDULE D - PART 3

			Sho	wing All Long-Term Bonds and Stocks ACQUIRED During Curre	nt Year			
1	2	3	4	5	6	7	8	9
CUSIP					1			_
Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual	DV-I	Paid for Accrued
Bonds - U.S. Governments	Description	Totelgir	Date Acquired	Name of Vendor	Snares or Stock	Cost	Par Value	Interest and Dividend
Bonds - All Other Government								
Bonds - U.S. States, Territo	ries and Possessions (Direct and Guaranteed)							
	, TX		01/23/2020PE	RISHING LLC.	L XXX	226 .952 L	200.000	3.9
59333F-RZ-5MIAMI	DADE FL		07./06/2020 PE	RISHING LLC	XXX	112,743	100 000	
538064-DY-9LIVE 0			07/13/2020PE	RISHING LLC.	XXX	228,166	200,000	3.6
1/9999 - Bonds - U.S. Sta	tes, Territories and Possessions (Direct and	Guaranteed)				567,861	500,000	7,
Sonds - U.S. Political Subdi	visions of States, Territories and Possessio	ons (Direct and Guarantee	d)					
Bonds - U.S. Special Revenue Bonds - Industrial and Misce	and Special Assessment and all Non-Guarante	ed Obligations of Agenci	es and Authorities of	Sovernments and Their Political Subdivisions				
Bonds - Industrial and Misce Bonds - Hybrid Securities	Haneous (Unaffiliated)							
Bonds - Parent, Subsidiaries	and MIII at an							
Bonds - SVO Identified Funds								
Bonds - Subtotals - Unaffili								
8399997 - Bonds - Subtotal				1000		242		
8399999 - Bonds - Subtotal						567,861	500,000	7,
Preferred Stocks - Industria	I and Miscellaneous (Unaffiliated) Perpetual	Proformed				567,861	500,000	7,1
Preferred Stocks - Industria	f and Miscellaneous (Unaffiliated) Redeemabl	e Preferred						
Preferred Stocks - Parent, S	ubsidiaries and Affiliates Perpetual Prefer	ed						
Preferred Stocks - Parent, S	ubsidiaries and Affiliates Redeemable Prefer	red						
Common Stocks - Industrial a	nd Miscellaneous (Unaffiliated) Publicly Tra	rded						
Common Stocks - Industrial a	nd Miscellaneous (Unaffiliated) Other							
Common Stocks - Parent, Subs	idiaries, and Affiliates Publicity Traded							
Common Stocks - Parent, Subs	idiaries and Affiliates Other							
Common Stocks - Mutual Funds								
73937B-65-4 Invesco	S&P 500 High Dividend Low Vol		02/03/2020 PE	RISHING LLC.	2,278.000 [	99,491 [	XXX	
73937B-65-4 Invesco	S&P 500 High Dividend Low Vol		02/20/2020 PE	RISHING LLC	2,326,000		XXX	
73937B-65-4 Invesco 73937B-65-4 Invesco	o S&P 500 High Dividend Low Vol. o S&P 500 High Dividend Low Vol. High Yield Municipal Bond Fd Cl A.		03/25/2020 PE	RISHING LLC.	3,200.000	99.134	XXX	
	S&P 500 High Dividend Low Vol		03/25/2020PE	RISHING LLC	1,400.000		XXX	
921946-40-6 Yanguar	d High Dividend Yield ETF		02/26/2020 PE 02/03/2020 PE	RISHING LLC	5,440.696	100,000	XXX	
921946-40-6 Yanguar	d High Dividend Vield ETE		02/20/2020 PE	RISHING LLC	1,060.000		XXX	
921946-40-6 Vanguar	d High Dividend Yield ETFd High Dividend Yield ETF		03/25/2020 PE	RISHING LLC	1,061.000		XXX	
921946-40-6	d High Dividend Yield ETF		03/25/2020 PE	RISHING LLC	635,000	49,632	XXX	
46434V-62-1 iShares	Core Dividend Growth FTF		05/20/2020 PE	RISHING LLC	1,425,000	49,704	XXX	
46429B-66-3 iShares	Core High Dividend Growth ETF		04/23/2020 PF	RISHING LLC	630,000	49,541	XXX	
	S&P 500 High Dividend Low Yol		05/20/2020 PE	RISHING LLC	1,445,000	49.352	XXX	
73937B-65-4   nvesco	S&P 500 High Dividend Low Yor		05/20/2020PE	RISHING LLC.	10.000	344	XXX	
921946-40-6 Vanguar 921946-40-6 Vanguar	d High Dividend Yield ETF.			RISHING LLC		49.659	XXX	
	d High Dividend Yield ETF		04/23/2020PE	RISHING LLC.		49,626	XXX	
9499999 - Common Stocks - I			07/08/2020 PE	RISHING LLC	2,650.000	99.182	XXX	
Common Stocks - Unit Investm						1,142,933	XXX	
Common Stocks - Unit Investment								
	Subtotals - Common Stocks - Part 3							
9799999 - Common Stocks - S	Subtotals - Common Stocks					1,142,933	XXX	
0800000 - Common Stocks - 9	Subtotals - Preferred and Common Stocks				. 110.	1,142,933	XXX	
	Constant Treferred and Million Stocks					1,142,933	XXX	
9999999 Totals						1,710,794	XXX	7.6

# SCHEDULE D - PART 4

					Showing all	Long-Term F	Bonds and St	ocks SOLD	REDEEMED 4	r Otherwise D	SPOSED OF I	During Current	Year						
1	2	3 4	5	6	7	8	9	10			Book/Adjusted Ca		1 001	16	17	18	19	20	21
		F				·			11	12	13	14	15	1 "	"	"	19	20	21
CUSIP		e i g		Number of				Prior Year Book/ Adjusted	Unrealized Valuation	Current Year	Current Year's Other-Than- Temporary	Total Change in	Total Foreign Exchange	Book/ Adjusted Carrying Value	Foreign Exchange Gain	Realized Gain	Total Gain	Bond Interest/Stock Dividends	Stated Contractus
Identi- fication	Description	n Disposal Date	No. of Book	Shares of				Carrying	Increase/	(Amortization)/	Impairment	B./A.C.V.	Change in	at	(Loss) on	(Loss) on	(Loss) on	Received	Maturity
	Governments	Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Value	(Decrease)	Accretion	Recognized	(11+12-13)	B./A.C.V.	Disposal Date	Disposal	Disposal	Disposal	During Year	Date
Bonds - All	Other Governments											_					_		
	States, Territories and Possessions														-				
953590 -US -8 584288 -BZ -6	FRANKLIN, IN	01/10/2020.	PERISHING LLC.	XXX	15,000	15,000	15,000	15,000				ļ							01/01/202
286299-78-8		01/15/2020		XXX		80.000		80,000				<b></b>		80,000				1,200	
232393-05-5		02/01/2020		XXX	100.000	100,000		100,000		(46)	• • • • • • • • • • • • • • • • • • • •			100,000					
154624 - D6 - 1	INDIANA BOND BANK		PERISHING LLC	XXX	100,000	100,000	100,875	100,008	• • • • • • • • • • • • • • • • • • • •	(45)		(45)	·	100,000			***************************************	2,500	
	BENIDJI, WL	02/01/2020	PERISHING LLC	XXX	150,000	150.000	149,208	149.700		300	***************************************	300		150,000		t	***************************************	2,375	
87057-ZA-1	Venomonee Falls, Wi		PERISHING LLC	XXX	100,000	100,000	107,351	100,000				1		100,000			***************************************	1,563	
	Portland, OR	06/01/2020.		ХХХ	100,000	100,000	100,327	100,011		(11)		(11)		100.000				2.000	
	FRANKLIN, IN	07/01/2020.		XXX	15,000	15,000	15,000	15,000						15,000					01/10/202
133714-4N-5 14681T-GC-9			PERISHING LLC. PERISHING LLC.	XXX	100,000	100,000	97.700	99,802	·····	198	ļ	198		100,000		ļ		4,375	08/20/203
67736-NG-8				XXX	100.000	100,000	109,289	100.821	ļ	(821)		(821)		100,000				3,500	
153590 -DS-8	FRANKLIN, IN.	09/08/2020	PERISHING LLC	XXX	73.247	70.000		70,320		(71)		(71)				2,997	(8)	1,283	11/18/202
86285 - AY - 2		09/08/2020.	PERISHING LLC	XXX	,100,338	100,000	100.644	100.052		(38)	***************************************	(38)		100.014		324	2,99/	5,199	01/01/202
		09/08/2020	PERISHING LLC	XXX,	102,604	100,000	103.027	100.448		(211)		(211)		100,237	***************************************	2,367	2,367	3.378	
7705L-2N-6		09/08/2020,	PERISHING LLC	XXX	105,550	100,000		101,955		(558)		(558)		101.396		4.154	4.154	3.422	
99491-3M-1	COLUMBUS, CH.	09/08/2020.	PERISHING LLC	XXX	103,449	100,000	116,178	103,049		(1,355)		(1,355)		101,694		1,755	1,755	5.944	
59829-A4-6	OZARK, MO	09/08/2020,	PERISHING LLC	XXX	110,275	100,000	109,383	103,233		(631)		(631)		102,602			7,673	3,861	06/23/202
12624-PK-7			PERISHING LLC	XXX	109,430	100,000	108,863	103,529		(642)		(642)		102,888		6,542	6,542	5,111	09/23/204
	UNIV OF VA	09/08/2020	PERISHING LLC	XXX	112,355	100,000	111,035	103 ,733		(1,057)		(878)	ļ	102,855		6,945	6,945	4,278	
			PERISHING LLC	XXX	107,600	100.000	112,185	103,700		(1,057)		(1.057)		104,359		7,996	7,996	3,861	06/23/204
	MYOMING, CH.		PERISHING LLC	XXX	107,600	100.000	112,142	103,611		(1996)		(996)	····	102,679		4,921 4,985	4,921	3,861	12/22/203
	CHARLESTON, SC	09/08/2020	PERISHING LLC.	XXX	101,079	100.000	111,716	101,746	***************************************	(1,164)		(1, 164)		100,582		4,965	4,965	5.944	01/21/204
	DENVER, CO	09/08/2020	PERISHING LLC	XXX	108,651	100,000	114,468	107,008		(1,206)		(1,206)		105,802		2.849	2.849	4.083	11/23/204
	DENVER, CO.	09/08/2020	PERISHING LLC	XXX	108,651	100.000	113,943	106,059		(1,042)		(1,042)		105.017		3.634	3.634	4,063	11/23/204
3/151-KL-2 75301-E¥I-9	SO CAROLINA SCH.	09/08/2020,	PERISHING LLC.	XXX	111,620	100,000	113,414	106,793		(921)		(921)		105,872		5,748	5,748	3,861	12/24/204
	SO CAROLINA SCH	09/08/2020.	PERISHING LLC PERISHING LLC	XXX		100,000	112,793	104,386		(1,170)		(1,170)		103,217		4,720	4,720	5,944	07/22/204
	BROWARD CO. FL.	09/08/2020	PERISHING LLC	xxx		200,000	218,262	209,535		(1,293)		(1,293)		208,242		14,998	14,998	7,722	12/24/2046
		09/08/2020	PERISHING LLC	XXX	109,189	100,000	110,164	103,865		(942)		(942)		102,943		6,246	6,246	4,694	10/22/2042
93235-6V-0	MIAMI BEACH, FL	09/08/2020.	PERISHING LLC.	XXX	111.600	100.000	112,161	108.208		(966)		(966)		107,242		6,191 4,358	6,191	4,694 5.111	10/22/203
	LAKE CITY, MI	09/08/2020	PERISHING LLC	XXX	108.128	100,000	109,658	106,247		(658)		(658)	***************************************	105,590		2,538	2.538	3.422	05/26/2046
7910H-QA-1	OKLAHOMA POWER	09/08/2020	PERISHING LLC	XXX	111,350	100.000	110,686			(757)		(757)		106.052		5.298	5 298	4.756	01/26/2036
6014P-AW-9 93235-GV-0	NIACC		PERISHING LLC	XXX	108,740	100,000	112,199	106,948		(1.263)		(1,263)		105,685		3,055	3,055	5,367	09/23/2044
	WOUNT PLEASANT. WI	09/08/2020,,	PERISHING LLC.	XXX	111,600	100,000	115,533	109,225		(1,085)		(1,085)		108,140		3,460	3,460	5,111	09/25/204
01600-JE-9	PARKKAY, TX		PERISHING LLC	XXX	235,800	200,000	229,300	227,109		(2,191)		(2,191)		224,919		10,881	10,881	9,389	04/28/204
80848-MB-6	CLARK CO. NV.		PERISHING LLC	XXX	215,100	200,000	199.562	199,809		(1,008)	•••••	(1,008)		100,000		5,803	5,803	4,178	03/20/202
	DALLAS FT WORTH	09/15/2020	PERISHING LLC	XXX	106,100	100.000	114,782	105,388		(1,265)		28		199,838			15,262	10,173	07/24/203
	DALLAS FT WORTH.	09/15/2020	PERISHING LLC.	ХХХ	106,100	100,000	114,738	105.375		(1.268)		(1,268)		104,108		1,992	1,977	4,389	11/22/204
		09/15/2020,.	PERISHING LLC	XXX	110,706	100,000	114,714	106,428		(1,143)		(1.143)		105,285		5.421	5.421	4,806	10/01/203
	CLARK CO. NV	09/15/2020	PERISHING LLC	XXX	226,100	200,000	225.822	214,202		(1,721)		(1,721)	*********	212,481		13,619	13,619	12,111	07/01/2040
6285#-PQ-3 09224-HP-7	STATE OF PA		PERISHING LLC.	XXX	107,330	100,000	114,815	104,367		(1.838)		(1.838)		102,530		4,800	4,800	6,056	01/01/204
	STATE OF PA	09/15/2020	PERISHING LLC	XXX	224,912 226.730	200,000	228,850	216,669		(2.052)		(2,052)		214,617		10,295	10,295	7,944	12/25/2049
	STATE OF UT	09/15/2020	PERISHING LLC	XXX	104.829	100,000	229,666	218,316		(2.064)		(2,064)		216.252		10,478	10,478	7,944	12/25/2041
6285N-SS-6		09/15/2020	PERISHING LLC	XXX	109,820	100,000	111,260	107 175		(797)		(757)		104,116		713	713	3,689	04/24/2042
6285W-SS-6		09/15/2020,	PERISHING LLC	XXX	109.820	100,000	311.472	107 .358	·····	(818)		(818)		106,541		3,442	3,442	4,844 4,844	01/26/2039
	HILLSBOROUGH CO, FL		PERISHING LLC.	XXX	109,651	100,000	112,138	107,730		(1.085)		(1.085)		106,645		3.006	3,279	4,896	01/26/203
		09/15/2020	PERISHING LLC	XXX	233,350	200,000	228,390	220,742		(2.074)		(2,074)		218,667		14,683	14,683	10,444	09/26/203
		09/15/2020	PERISHING LLC	XXX	107,330	100,000	110.433	108,269		(970)		(970)		107,298		32	32	6,056	10/24/2044
	OH TURNPIKE	09/15/2020	PERISHING LLC	XXX	221,806	200,000	206,910	205,671		(463)		(463)		205,208		16,598	16,598	8,711	02/28/2046
	CANVERAL, FL		PERISHING LLC	XXX	231,842	200,000		222,017		(1,782)		(1,782)		220,235		11,607	11,607	7,944	12/26/2040.
				XXX	226,060	200,000	223,486	220,970		(1,678)		(1,678)		219,292		6,768	6,768	7 944	07/29/2049
ter	4			<i>NN</i> //	232,012		231,5/8			(2,552)		(2,552)	L	226,475		6.337	6,337	11.278	08/01/20

### SCHEDULE D - PART 4

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					Showing all	Long-Term	Bonds and St		REDEEMED o		SPOSED OF [		Year						
1 1	2 :	3 4	5	6	7	8	9	10			Book/Adjusted Ca	rrying Value		16	17	18	19	20	21
		F	1						11	12	13	14	15	1					
1	l l	٥																	
		[]					1												
1 1		e		1				Prior Year			Current Year's			Book/	l			Bond	
CUSIP	I.	11	1	Number of	1			Book/	Unrealized		Other-Than-	Total	Total Foreign	Adjusted	Foreign	l l		Interest/Stock	Stated
Identi-		n Disposal	1	Shares of				Adjusted	Valuation	Current Year	Temporary	Change in	Exchange	Carrying Value	Exchange Gain		Total Gain	Dividends	Contractual
fication	Description	Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Carrying Value	(Decrease)	(Amortization)/	Impairment	B./A.C.V. (11+12-13)	Change in B./A.C.V.	Disposal Date	(Loss) on Disposal	(Loss) on	(Loss) on Disposal	Received During Year	Maturity Date
	PHOENIX, AZ	09/15/2020	PERISHING LLC	XXX	218,870		222,961		(Decrease)	Accretion	Recognized		B./A.C.V.		Disposai	Disposal			
	CHARLOTTE. NC	09/15/2020		XXX	100.000	200.000		222,665		(1,591)		(1,591)		221,075		(2.205)	(2.205)	5,711	10/24/2044
	HAMILTON CO. TN.	10/26/2020		XXX	200 000		106,606		***************************************	(528)		(528)		100,000				4,625	07/20/2039
	SNOHOMISH CO. WA	12/01/2020		XXX	200.000	200.000	202,000	200,000 199,805		195		195	***************************************	200,000				9,236	
	onds - U.S. States, Territories and Po			.J	7,734,084	7,160,000		7,525,214		(50.689)		(50.689)				250 000	259.030	301.841	12/20/2029 XXX
	Political Subdivisions of States, Terr			land)	7,734,004	7,160,000	7,003,222	7,025,214		(50,689)		(50,689)		7,475,054		259,030	259,000	301,841	AXX
	Special Revenue and Special Assessment				Man of Comment	of a seal Theology	ALIZZIA AL POLICIO												
Bonds Indust	trial and Miscellaneous (Unaffiliated)	and all horr-ou	aranteed on igations of Ager	icles and Authori	ities of covernie	nis and their P	OTTERE SUDDIV	STORS											
Bonds - Hybrid																			
	t. Subsidiaries, and Affiliates																		
	dentified Funds																	_	
	tals - Unaffiliated Bank Loans																		
8390997 . B	onds - Subtotals - Bonds - Part 4				7.734.084	7,160,000	7.603.222	7.525.214		(60, 600)		/FA 6660		7 475 054			0/0 000		
	onds - Subtotals - Bonds				7,734,084	7,160,000		7,525,214		(50,689)		(50,689)		7,475,054 7,475,054		259,030 259,030	259,030 259,030	301,841	XX
	cks - Industrial and Miscellaneous (Un	affiliated) Per	matural Professed		1,734,004	7,100,000	1,003,222	1,525,214		(30,009)		(50,009)		7,475,034		259,030	259,030	301,841	
	cks - Industrial and Miscellaneous (Un																		
	cks - Parent, Subsidiaries and Affilia																		
	cks - Parent, Subsidiaries and Affilia																		
	- Industrial and Miscellaneous (Unaff																		
	- Industrial and Miscellaneous (Unaff		.19 118000																
	- Parent, Subsidiaries, and Affiliate		ted																
	- Parent, Subsidiaries and Affiliates																		
	- Mutual Funds	ottiei																	
	American Balanced CI A	L.09/08/2020	PERISHING LLC	1.395.697	40.782	XXX	24.054	39.777	(15,723)			(15,723)		24.054		16,728	16,728	484	
	Income Ed of Amer CI A.			2.604.172	58.073	XXX	49.110	60,521	(12,032)			(12,032)		48,489					
	Fidelity Advisor Balance Ed Ci T			709.078	17.592	XXX		16.039	(12,032)			(4.359)		11.680		9,584 5,912	9,584	859	
	Eaton Vance Nat I Muni Cl A	09/08/2020		2.836.667	29.133	XXX	39.527	28.679	6,321	***************************************		6.321	•	35.000		(5.867)	5.912	79	
210202-33-0,	Federated Muni High Yield Adv Fund		PERIORING CCC	2,030.007	29.133	nnn	39.521	20,0/9								(5.86/)	(5.867)		
313923-86-4	Α	09/08/2020_	PERISHING LLC	3.818.389	34.022	XXX	39.565	35,206	4.062			4.062		39.268		(5.246)	(5,246)	852	323
	Franklin High Yield Tax Free Cl A			714,718	7,204	XXX	10.102	7,269	2,731			2,731		10,000		(2.796)	(2.796)	190	XXX
683940-30-8	Oppenheimer Rochester Muni CI A	09/08/2020	PERISHING LLC.	5.431.727	41.987	XXX	70.961	43.074				26,926				(28,013)	(28,013)	1,697	XXX
	Capital Inc Builder CI A.	09/08/2020		1.033.016	61,495	XXX	52.446	65,411	(13.635)			(13.635)	***************************************	51.776	***************************************	9.719	9,719	1.033	XXX
939330-10-6	Washington Mutual Inv CI A		PERISHING LLC	670.107	31.220	XXX	19,531	32,266	(12,855)			(12,855)		19,411		11.809	11.809	275	XXX
	Invesco Van Kampen Comstock CI A	09/08/2020	PERISHING LLC	3.506.293	73,772	XXX	65.512	87.833	(22,600)			(22,600)		65.233		8 539	8,539	720	XXX
	Eaton Yance Insured Muni Bond Fund.	.09/04/2020		2,390,000	31.031	XXX	35.000	30.783	4,123			4.123		34,906		(3.875)	(3,875)	902	XXX
	Pinco Muni Incone Fund III	09/04/2020		3,160,000	35 .847	XXX	49.963	39.753	10,208			10.208				(14, 114)	(14, 114)	1,323	XXX
	Pioneer Municipal High Income	09/04/2020		1,885.000	20,435	XXX	30.032	20.377	9,655			9 655		30.032		(9.597)	(9,597)	608	XXX
	invesco S&P 500 High Dividend Low			1										1	İ	(9.567)	(3,397)		·····
73937B-65-4	Vol	09/04/2020.	PERISHING LLC	3,785.000	129,989	XXX	149,967	166,654	(16,686)			(16,686)		149,967	L	(19.978)	(19,978)	11,741	xxx
I	Invesco S&P 500 High Dividend Low	1	l	I		www									l				
	Vol.	09/04/2020	PERISHING LLC	1,170.000	40 , 182	XXX	49,815	51,515	(1,700)			(1.700)				(9.633)	(9,633)	3,644	XXX
	Invesco S&P 500 High Dividend Low Vol	09/04/2020	PERISHING LLC	2,286,000	78.508	XXX	100.048	400.000	(000)			1000			ı			l	l
	Invesco S&P 500 High Dividend Low		PERSON ING ELG.	2,200.000			100,048	100,653	(605)			(605)		100,048	····	(21,539)	(21,539)	3,644	XXX
	Vol.	09/04/2020	PERISHING LLC	9.204.000	316.094	XXX	347,589	347.589						347,589	ı	(31,495)	(31,495)	l	XXX
	Invesco S&P 500 High Dividend Low											***************************************			····	(31,450)	(31,483)		t
73937B-65-4	Yo1	09/04/2020	PERISHING LLC	1 ,455 .000	49,969	XXX	49.696	49,696						49,696	L	274	274	l	XXX
	ommon Stocks - Mutual Funds				1,097,337	XXX	1,194,497	1,223,092	(36, 168)			(36, 168)		1,186,924		(89.587)	(89.587)	28.668	
	- Unit Investment Trusts																,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	- Closed-End Funds																_		
	ommon Stocks - Subtotals - Common Stoc				1,097,337	XXX	1,194,497	1,223,092	(36,168)			(36, 168)		1,186,924		(89,587)	(89,587)	28,668	XXX
	ommon Stocks - Subtotals - Common Stoc				1,097,337	ХХХ	1,194,497	1,223,092	(36,168)			(36, 168)		1,186,924		(89,587)	(89,587)	28,658	XXX
	ommon Stocks - Subtotals - Preferred a	ind Common Stock	15		1,097,337	XXX	1,194,497	1,223,092	(36,168)			(36, 168)		1,186,924		(89,587)	(89,587)	28,668	XXX
9999999 To	otals				8,831,421	XXX	8.797.719	8.748.307	(36, 168)	(50,689)		(86.857)		8.661.978		169 443	169 443	330.509	XXX

Schedule D - Part 5

**NONE** 

Schedule D - Part 6 - Section 1

**NONE** 

Schedule D - Part 6 - Section 2

**NONE** 

Schedule DA - Part 1

NONE

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part A - Section 2

NONE

Schedule DB - Part B - Section 1

**NONE** 

Schedule DB - Part B - Section 2

**NONE** 

Schedule DB - Part D - Section 1

**NONE** 

Schedule DB - Part D - Section 2

**NONE** 

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### ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

# **SCHEDULE E - PART 1 - CASH**

1		2	3	4			7
	1  Depository  OPEN DEPOSITORIES	Code	Rate of	Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7
	OPEN DEPOSITORIES	1 0000	Interest	i cai	Current real	J Daiance	—
Bank Towa US Bank - Che		1		21 260		5 555 256	TYYY
US Bank - Che	cking		D.009	21,260 2,081		5,555,256 229,889	XXX
0199998	Deposits in depositories that do not exceed the allowable limit in any one depository - Open Depositories						+ ***
0.00000	allowable limit in any one denocitory. Open Denocitorios	VVV	XXX				
0199999 T	otals - Open Depositories	XXX	XXX	23,341		5 705 445	XXX
01000001	otals - Open Depositories	- ^^^	۸۸۸	23,341		5,785,145	1 XXX
		• • • • • • • • • • • • • • • • • • • •		f	······	***************************************	
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0000000	110 1 0						J
U399999 To	otal Cash on Deposit ash in Company's Office	XXX	XXX	23,341		5,785,145	XXX XXX
0499999 C	ash in Company's Office	XXX	XXX	XXX	XXX	100	XXX
0500000 T	otal Cash	XXX	XXX	23,341		5,785,245	ууу

TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR

1. January		4. April	1,703,127	7. July	809,617	10. October	2,178,255
2. February		5. May	1,581,388	8. August	1,842,743	11. November	4,829,121
3. March	1,811,941	6. June	1,764,256	<ol><li>September</li></ol>	4,284,703	12. December	5,785,245

# SCHEDULE E - PART 2 - CASH EQUIVALENTS

1		Snow	Investments Owner	December 31 of Curren	t Year			
	2	3	4	5	6	7	8	9
			Date	Rate of	Maturity	Book/Adjusted	Amount of Interest	Amount Received
CUSIP	Description	Code	Acquired	Interest	Date	Carrying Value	Due & Accrued	During Year
nds - U.S. Governm	nents - Issuer Obligations		1		1 500	Currying value	Due a recided	During Teal
ds - U.S. Governm	nents - Residential Mortgage-Backed Securities	·						
ds - U.S. Governm	nents - Commercial Mortgage-Backed Securities							
ds - U.S. Governm	nents - Other Loan-Backed and Structured Securities							
ds - All Other Go	overnments - Issuer Obligations							
ds - All Other Go	wernments - Residential Mortgage-Backed Securities							
nde - All Othor Co	wernments - Commercial Mortgage-Backed Securities							
nds - All Other Go	wernments - Other Loan-Backed and Structured Securities							
rds - II S States	Territories and Possessions (Direct and Guaranteed) - Issuer Obligations							
ds - U.S. States	Territories and Possessions (Direct and Guaranteed) - Residential Mortgage-Backed Sec	ritine						
de . S States	Territories and Possessions (Direct and Guaranteed) - Commercial Mortgage-Backed Secur	li i i i i i i i i i i i i i i i i i i						
de - II S States	Territories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structure	Conveition						
de - U.S. Politic	al Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Issuel	Securities						
ds - U.S. Politic	al Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Reside	ntial Martenes Desiral	Committee					
ids - II S Politic	al Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Residing	nitia: Mortgage-backed	Securities					
de - II S Politic	al Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Other	crai mortgage-backed s	ecurities					
de - II S Sponial	Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agent	Loan Backed and Struct	ured Securities					
de - II C Special	Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agent	ies and Authorities of	Governments and Their	Political Subdivisions -	ssuer Ubligations			
Special Boucous	nevenue and operati Assessment obligations and all Non-suaranteed obligations of Agent	les and Authorities of	Governments and Their	Political Subdivisions -	tesidentia i Mortgage-Bac	ked Securities		
to 11 C Coop of	and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and	Authorities of Governm	ents and Their Politic	a! Subdivisions - Commerci:	i Mortgage-Backed Secur	ities		
la ladiatrial a	Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agence	ies and Authorities of	Governments and Their	Political Subdivisions -	ther Loan-Backed and St	ructured Securities		
XXX	nd Miscellaneous - Issuer Obligations Northern Trust Govt Select							
	NOTTHER I FUST GOVE SELECT.		01/01/2020	1.0	0012/31/2050			
299999 - Bonds -	Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							
ds - Industrial a	nd Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities							
ds - industrial a	nd Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities							
ds - industrial a	nd Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities							
899999 - Bonds -	Industrial and Miscellaneous (Unaffiliated) - Subtotals - Industrial and Miscellaneous	(Unaffiliated)						
ds - Hybrid Secur	ities - Issuer Obligations	(ond:Tittatoo)						
ds - Hybrid Secur	ities - Issuer Obligations ities - Residential Mortgage-Backed Securities	(one-rinaroo)						
ds - Hybrid Secur ds - Hybrid Secur	ities - Issuer Obligations ities - Residential Mortgage-Backed Securities ities - Compencial Mortgage-Backed Securities	(Since Printerso)						
nds - Hybrid Secur nds - Hybrid Secur nds - Hybrid Secur	ities - Issuer Obligations ities - Residential Mortgage-Backed Securities ities - Commercial Mortgage-Backed Securities ities - Other Loan-Backed and Structured Securities	(Oscillation)						
nds - Hybrid Secur nds - Hybrid Secur nds - Hybrid Secur nds - Parent, Subs	ities - Issuer Obligations Ities - Residential Mortgage-Backed Securities Ities - Commercial Mortgage-Backed Securities Ities - Other Loan-Backed and Structured Securities Ities - Other Loan-Backed and Structured Securities Ities - Other Loan-Backed and Structured Securities Ities - Other Loan-Backed and Structured Securities	(Since 11 Trial Co.)						
ds - Hybrid Secur ds - Hybrid Secur ds - Hybrid Secur ds - Parent, Subs ds - Parent, Subs	Itles - Issuer Obligations Itles - Residential Mortgage-Backed Securities Itles - Comercial Mortgage-Backed Securities Itles - Omercial Mortgage-Backed Securities Itles - Other Lone-Backed and Structured Securities Idlaries and Affiliates Monds - Issuer Obligations Idlaries and Affiliates Bonds - Issuer Obligations Idlaries and Affiliates Bonds - Residential Mortgae-Backed Securities	, one-in-in-in-in-in-in-in-in-in-in-in-in-in-						
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Book/	۱dju	isted Carrying Value b	y NAIC	; D	esignation Category F	potnot	e:					
1A	\$		1B	\$		1C	\$	***************************************	1D	\$ 	1E	5
2A	\$		2B	\$		2C	\$					
3A	\$		3B	\$		3C	\$					
4A	\$		4B	\$		4C	\$					
5A	\$	***************************************	5B	\$		5C	\$	***************************************				
6	\$											

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# ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

### SCHEDULE E - PART 3 - SPECIAL DEPOSITS

		1	2	Depos	sits For	_	***
			-		sits For All Policyholders		ecial Deposits
	01-1	Type of Deposits	Purpose of	3 Book/Adjusted Carrying Value	4 Fair	5 Book/Adjusted	6 Fair
<u> </u>	States, etc.	Deposits	Deposits	Carrying Value	Value	Carrying Value	Value
	. Alabama AL 2. Alaska AK						
	3. Arizona AZ					***	
	I. Arkansas AR						
	5. California CA						
6	S. Colorado CO						
7	7. Connecticut CT				***************************************		ļ
1	B. Delaware DE						
	District of Columbia DC						<u></u>
1	). Florida FL I. Georgia GA						
	l. Georgia GA P. Hawaii HI	***************************************		***************************************			ł
	3. Idaho ID				•		<b>†</b>
1	J. Illinois						***************************************
1	5. Indiana IN						
16	3. lowa IA						
17	. Kansas KS					1	
1	3. Kentucky KY			ļ			ļ
1	). Louisiana LA			ļ		-	ļ
1	). Maine ME			ļ			ļ
1	. Maryland MD	ļ		ļ			ł
	Massachusetts MA Michigan	<b></b>		†····	<b></b>		ł
	J. Michigan MI J. Minnesota MN						ł
	i. Mississippi MS					*	<b>†</b>
	i. Missouri MO						
	'. Montana MT						
28	i. Nebraska NE						
29	). Nevada NV						
30	. New Hampshire NH					-	
1	. New Jersey NJ		N()	<b>\</b>       -			•
	. New MexicoNM						
1	. New York NY					+	
	North Carolina NC North Dakota ND	***************************************				<del></del>	
1	. Ohio OH					+	
1	. Oklahoma OK				•		
1	. Oregon OR						
39	Pennsylvania PA						
40	. Rhode Island Ri						
1	. South Carolina SC						
•	. South Dakota SD	·					
	. Tennessee TN						***************************************
	. Texas TX				•••••••	·	
I	. Utah UT		l			<u> </u>	
1	. VirginiaVA					<b>*******</b>	***************************************
I	. Washington WA						
	. West Virginia WV						
	. Wisconsin WI				•••••	<b></b>	
	. Wyoming WY						••••
1	. American Samoa AS				••••••		
	. Guam GU	******					
	. Puerto Rico PR . US Virgin Islands VI						
	. Northern Mariana Islands MP				••••	T	
	. Canada CAN					†	
	. Aggregate Alien and Other OT	XXX	XXX				***************************************
	. Total	XXX	XXX				
DETAIL	S OF WRITE-INS		-				
5801.		ļ				1	
5802.							
5803. 5898.	Sum of remaining with the 4- 11	ļ				ļ	
	Sum of remaining write-ins for Line 58 from overflow page Totals (Lines 5801 - 5803 + 5898)	ХХХ	ххх				
5899.	Totals (Lines 5801 - 5803 + 5898) (Line 58 above)	XXX	XXX				
	(Ellie 36 above)	1 444	XXX				

### **NOTES TO FINANCIAL STATEMENTS**

- 1. Summary of Significant Accounting Policies and Going Concern
  - a. The accompanying financial statements of the Association have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners as modified by Iowa Administrative Code Section 0371B for insurance companies domiciled in the State of Iowa.
  - b. The preparation of the financial statements of the Association in conformity with statutory accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.
  - c. Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceding companies for reinsurance.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

Asset values are generally stated as follows: Short-term investments at amortized cost; bonds at amortized cost for investment grade, and the lower of amortized cost or fair value for below investment grade; stocks at fair value. The pro rata method was used in the amortization of bonds in this statement. This method provides for amortization of the premium or the discount in equal amounts over the life of the bond. The pro rata method is applied by dividing the premium or discount by the number of years, or interest periods, over the contract life of the security until maturity.

The Association uses the straight line method of depreciation for all of its real estate holdings with the lives varying depending on the type of building. The Association uses the straight line and certain accelerated methods of depreciation to depreciate its electronic data processing (EDP) equipment.

d. Based on its evaluation of relevant conditions and events, management does not have substantial doubt about the Association's ability to continue as a going concern.

- 2. Accounting Changes and Corrections of Errors
  - The Association had no material changes in accounting principles or corrections of errors.
- 3. Business Combinations and Goodwill
  - The Association had no business combinations accounted for under the statutory purchase method.
  - b. The Association had no statutory mergers.
  - c. The Association had no impairment losses.

#### 4. Discontinued Operations

The Association did not have any discontinued operations.

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COMMISSIONER OF INSURANCE

INSURANCE DIVISION OF IOWA

#### 5. Investments

- a. The Association has no mortgage loans.
- b. The Association is not a creditor for any restructured debt.
- c. The Association has no reverse mortgages.
- d. The Association does not hold any loan backed securities.
- e. The Association has no dollar repurchase agreements and/or securities lending transactions at year end.
- f. The Association has no repurchase agreement transaction accounted for as secured borrowing.
- g. The Association has no reverse repurchase agreement transactions accounted for as secured borrowing.
- h. The Association has no repurchase transactions accounted for as a sale.
- i. The Association has no reverse repurchase agreement transaction accounted for as a sale.
- The Association has no investments in real estate.
- k. The Association has no investments in low-income housing tax credits.
- The Association has no restricted assets.
- m. The Association has no working capital finance investments.
- n. The Association has no offsetting and netting of assets and liabilities.
- The Association has no 5\*GI securities.
- p. The Association has no short sales.
- The Association has no prenaument penalty and acceleration fees

### **NOTES TO FINANCIAL STATEMENTS**

#### 9. Income Taxes

- a. The Association is not required to record deferred taxes.
- b. The Association is not required to record deferred taxes.
- c. Federal income tax incurred for 2020 and 2019 was \$(935,403) and \$116,000, respectively. The Association is not subject to recording of deferred income taxes.
- d. The variation in the relationship between income tax expense and the amount computed by applying the statutory rates for Federal income taxes results principally from tax-exempt investment income and statutory adjustments as required by the Internal Revenue Code.
- e. 1. At December 31, 2020, the Association had \$2,796,584 of operating loss carryforward.
  - The following are federal income taxes incurred in the current and prior years that will be available for recoupment in the event of future net losses:

2020 (current year)

\$

2019 (current year -1)

\$114,271

- The Association does not have any deposits admitted under Section 6603 of the Internal Revenue Service Code.
- f. The Association's Federal Income Tax return is not consolidated with any other entity.
- g. The Association does not have any federal or foreign tax loss contingencies.
- h. The Association does not have repatriation transition tax (RTT).
- The Association does not have any alternative minimum tax (AMT) credits.

#### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

- a. The Association is not directly or indirectly owned or controlled by any other entity.
- b. The Association did not assume an agency in the current year.
- c. The Association did not assume an agency in the current year.
- d. There are no amounts due to or from related parties.
- e. There are no guarantees or undertakings, written or otherwise, for the benefit of an affiliate or related party that result in a material contingent exposure of the Company's or any related party's assets or liabilities.
- f. There are no material management or service contracts and no cost sharing arrangements, other than cost allocation arrangements based upon generally accepted accounting principles, involving the Association or any affiliated insurer.
- g. The Association is not directly or indirectly owned or controlled by any other entity.
- h. The Association does not own shares of an upstream intermediate or ultimate parent, either directly or indirectly via a downstream subsidiary, controlled or affiliated company.
- The Association does not have an investment in a Subsidiary, Controlled or Affiliated Company that exceeds 10% of admitted assets of the insurer.
- The Association did not recognize any impairment write down for its investments in Subsidiary, Controlled or Affiliated Company during the statement period.
- k. The Association does not have any investments in a foreign insurance subsidiary.
- I. The Association does not have any investment in a downstream noninsurance holding company.
- m. The Association does not have any SCA investments.
- n. The Association does not have any investments in insurance SCAs.
- o. The Association does not have any SCA loss tracking.

#### 11. Debt

The Association has no debt.

# 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- a. The Association does not maintain a defined benefit pension plan.
- b. The Association does not maintain a defined benefit pension plan.
- c. The Association does not maintain a defined benefit pension plan.
- d. The Association does not maintain a defined benefit pension plan.
- The Association maintains retirement plan with NAMIC and has a profit share based on the 7% liability reimbursement with IMT.
- f. The Association does not have a multiemployer plan.
- g. The Association does not have consolidated/holding company plans.
- h. The Association does not have postemployment benefits and compensated absences.
- The Association did not have an impact of the Medicare Modernization Act on Postretirement Benefits.
- 13 Canital and Surplus Dividend Restrictions and Quasi-Reorganization

#### **NOTES TO FINANCIAL STATEMENTS**

- k. No surplus notes or similar obligations exist.
- The Association has not participated in quasi-reorganization.
- m. The Association has not participated in quasi-reorganization.

#### 14. Liabilities, Contingencies and Assessments

- a. The Association has committed no reserves to cover any contingent liabilities.
- b. The Association does not have any assessments that could have a material financial effect.
- c. The Association has no gain contingencies.
- d. The Association has no claims related to extra contractual obligations or bad faith losses stemming from lawsuits.
- e. The Association has no product warranties.
- f. The Association has no joint and several liability arrangements.
- g. The Association has no other contingencies or impairment of assets.

#### 15. Leases

- a. The Association does not have any material lease obligations at this time.
- b. The Association does not have any material lease obligations representing a significant part of their business activities at this time.
- 16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

The Association does not have financial instruments with off-balance sheet risk or with concentrations of credit risk.

- 17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities
  - The Association has no transfers of receivables reported as sales.
  - b. The Association has no transfer and servicing of financial assets.
  - c. The Association has no wash sales
- 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans
  - The Association has no ASO plans.
  - b. The Association has no ASC plans.
  - The Association has no Medicare or similarly structured cost based reimbursement contract.
- 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

The Association has no premiums written/produced by Managing General Agents or Third Party Administrators.

#### 20. Fair Value Measurement

- A. A framework for measuring fair value has been established which provides a fair value hierarchy that priorities the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy are described below:
- Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Association has the ability to access.
- Level 2: Inputs to the valuation methodology include: Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2020 and 2019.

Debt and Equity Securities: Equity securities are valued at current fair value based on unadjusted quoted prices in the active investment market at the Statement of Assets, Liabilities and Policyholders' Surplus - Statutory date. Debt securities below investment grade are valued at the lower of amortized cost or fair value at the Statement of Assets, Liabilities, and Policyholders' Surplus - Statutory date. These totaled \$3,884,733 and

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### ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

### **NOTES TO FINANCIAL STATEMENTS**

#### December 31, 2020

	Level 1	L	evel 2	Level 3		<u>Net A</u> <u>Value (</u>		<u>Total</u>	
Bonds Preferred Stock	\$ 0	\$	0	\$	0	\$	0	\$	0
Common Stock and Mutual Funds	 3,856,408		28,325		0		0		3,884,733
Total Assets at Fair Value	\$ 3,856,408	\$	28,325	\$	0	\$	0	\$	3,884,733

#### December 31, 2019

		Level 1	<u>Level 2</u>			el 3	<u>Net A</u> Value (1		<u>Total</u>		
Bonds Preferred Stock	\$	0	\$	0	\$	0	\$	0	\$ 0		
Common Stock and Mutual Funds		3,883,223		28,541		0		0	3,911,764		
Total Assets at Fair Value	\$ 3,883,223		\$	28,541	\$	0	\$	0	\$ 3,911,764		

- (2) The Association does not have any fair value measurements in level 3 of the fair value hierarchy.
- B. The Association does not have other accounting pronouncements.
- C. Fair Value of all Financial Instruments

Type of Financial Instrument Bonds	Aggregate <u>Fair Value</u> \$20,577,447		\$ Admitted Assets 19,162,690	( <u>Level 1)</u> \$ 0		(Level 2) \$ 20,577,447		(Level 3) \$ 0		Net Asset Value (NAV) \$ 0		Not Practicable (Carrying Value) \$ 0	
Preferred Stock	\$	0	\$ 0	\$	0	\$	0	\$	0	\$	0	\$	0
Common Stock and Mutual Funds	\$ 3,88	4,733	\$ 3,884,733	\$ 3,85	6,408	\$	28,541	\$	0	\$	0	\$	0

- D. Not applicable.
- E. Not applicable.

#### 21. Other Items

- a. The Association has no unusual or infrequent items to report.
- b. The Association did not have any troubled debt restructuring.
- c. The Association has no other disclosures and unusual items.
- d. The Association did not receive any business interruption insurance recoveries.
- e. The Association has no state transferable and non-transferable tax credits to report.
- g. The Association has no insurance-linked securities (ILS) contracts.
- h. The Association has no amounts that could be realized on life insurance.

### 22. Events Subsequent

- a. Management has evaluated subsequent events through February 11, 2021, the date which the financial statements were available for issue.
- b. The economic uncertainties resulting from the COVID-19 pandemic may potentially impact the Company's operating results. However, the general effects of the COVID-19 pandemic continue to change and remain unpredictable and the related financial impact and duration cannot be reasonably estimated at this time.

#### 23. Reinsurance

- a. The Association has \$4,816,976 of unsecured aggregate recoverables for losses, paid or unpaid, including IBNR, loss adjustment expenses, and unearned premium that exceeds 3% of policyholder surplus.
- b. The Association has no reinsurance recoverable in dispute.

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### ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Hawkeye Mutual Insurance Association

### **NOTES TO FINANCIAL STATEMENTS**

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

The Association has no retrospectively rated contracts or contracts subject to redetermination.

25. Change in Incurred Losses and Loss Adjustment Expenses

- Reserves as of December 31, 2019 were \$706,303. As of December 31, 2020, \$323,960 has been paid for incurred losses and loss adjustment expenses attributed to insured events of prior years. Reserves remaining for prior years are now \$74,799 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Fire, Allied Lines and Commercial lines of insurance. Therefore, there has been a (\$307,544) unfavorable (favorable) prior-year development since December 31, 2019 to December 31, 2020. The increase (decrease) is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this increase (decrease), the Association experienced \$0 of unfavorable (favorable) prior year loss development on retrospectively rated policies. However, the business to which it relates is subject to premium adjustments.
- b. The Association had no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.
- 26. Intercompany Pooling Arrangements

The Association has no intercompany pooling arrangements.

- 27. Structured Settlements
  - a. The Association has not purchased any annuities for which a claimant is listed as payee.
  - b. N/A
- 28. Health Care Receivables

The Association has no health care receivables.

29. Participating Policies

The Association has no participating policies.

30. Premium Deficiency Reserves

The Association has no premium deficiency reserves.

31. High Deductibles

The Association has no reserve credit that has been recorded for high deductibles on unpaid claims.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

The Association does not discount its Unpaid Losses or Unpaid Loss Adjustment Expenses.

33. Asbestos/Environmental Reserves

The Association has no potential for liability due to asbestos or environmental losses.

34. Subscriber Savings Accounts

The Association is not a reciprocal insurance Association.

35. Multiple Peril Crop Insurance

The Association has no multiple peril crop insurance.

36. Financial Guaranty Insurance

The Association has no financial guaranty insurance policies.