INSURANCE COMPANY CHECKLIST QUESTIONS

The questions you will encounter during the filing process, called the "Checklist" in the system, are set forth below for your convenience. The numbering system on the checklist will be different. A response to each question is required.

1. **Are there any transactions to report?**

   NOTE: This question is asking if you have any new insurance policies or annuities that were created during the previous calendar year or if you made distributions on any existing insurance policy or annuity or the policy or annuity was changed, such as a transfer or cancellation.

2. **Were any active insurance policies or annuities assigned to a preneed seller without a preneed contract from January 1 to December 31 of last year? (i.e. Final expense agreements)**

   NOTE: This question is asking whether you have any small face amount life insurance policies or annuities assigned to a preneed seller where the purchaser does not have a preneed contract, which are typically called burial insurance or final expense insurance. If your answer is YES, you will be required to identify the total number of policies and aggregate/total net benefits payable to preneed sellers. You will also be required to upload a listing of these policies or annuities under "Additional Files Submission" as "List of Policies or Annuities".

You will have the ability to provide explanatory notes and comments.