FINANCIAL INSTITUTION CHECKLIST QUESTIONS

The questions you will encounter during the filing process, called the "Checklist" in the system, are set forth below for your convenience. The numbering system on the checklist will be different. A response to each question is required.

1. **Are there any transactions to report?**

   NOTE: This question is asking if you need to report the deposit, transfer or withdrawal of funds into or out of a new or existing preneed funeral or burial account. The accrual of income to a financial account does not need to be reported.

2. **List Iowa branch locations with active burial trust accounts or upload a list under "Additional Files Submission" as "Optional Supporting Documents".**

   NOTE: Please report any Iowa branch location where a preneed funeral or burial account currently exists.

3. **List any preneed seller who withdrew interest or income from January 1 to December 31 of last year.**

4. **Are any burial accounts held in a master trust at your financial institution? If yes, upload the master trust agreement under "Additional Files Submission" as "Master Trust Agreement".**

You will have the ability to provide explanatory notes and comments.