



LIFE AND ACCIDENT AND HEALTH COMPANIES — ASSOCIATION EDITION

ANNUAL STATEMENT
For the Year Ended December 31, 2016
OF THE CONDITION AND AFFAIRS OF THE
SOLBERG REINSURANCE COMPANY

NAIC Group Code 00431, 00431 NAIC Company Code 00000 Employer's ID Number 61-1583842
Organized under the Laws of Iowa, State of Domicile or Port of Entry Iowa
Country of Domicile United States
Incorporated/Organized 12/27/2011 Commenced Business 12/30/2011
Statutory Home Office 4350 Westown Parkway, West Des Moines, IA, US 50266
Main Administrative Office 4350 Westown Parkway, West Des Moines, IA, US 50266 515-226-7100
Mail Address 4350 Westown Parkway, West Des Moines, IA, US 50266
Primary Location of Books and Records 4350 Westown Parkway, West Des Moines, IA, US 50266 515-273-0874
Internet Web Site Address N/A
Statutory Statement Contact Daniel E Edsen 515-273-0874
DESEN@SFGMEMBERS.COM 877-586-0249

OFFICERS

Name Title Name Title
Esfandyar Eruch Dinshaw President, Chairman and Chief Executive Officer Brian Darrel Hansen # SVP, General Counsel and Secretary
Daniel Merle Kiefer Chief Financial Officer Timothy Al Reuer SVP, Corporate Actuary & Appointed Actuary

OTHER OFFICERS

Theresa Baird Kuiper AVP & Treasurer

DIRECTORS OR TRUSTEES

Donald T. Lyons Esfandyar Eruch Dinshaw Brian Darrel Hansen # Heather Lynn Kreager
Steven Craig Palmitier

State of Iowa
County of Polk ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Esfandyar Eruch Dinshaw President, Chairman and Chief Executive Officer
Brian Darrel Hansen SVP, General Counsel and Secretary
Daniel Merle Kiefer Chief Financial Officer
a. Is this an original filing? Yes [X] No []
b. If no:
1. State the amendment number
2. Date filed
3. Number of pages attached

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

ASSETS

	Current Year			Prior Year
	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Net Admitted Assets
1. Bonds (Schedule D).....	123,797,293		123,797,293	169,727,024
2. Stocks (Schedule D):				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens				
3.2 Other than first liens				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ encumbrances).....				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$28,381,561 , Schedule E-Part 1), cash equivalents (\$9,998,792 , Schedule E-Part 2) and short-term investments (\$, Schedule DA).....	38,380,353		38,380,353	19,089,820
6. Contract loans (including \$ premium notes).....				
7. Derivatives (Schedule DB).....				
8. Other invested assets (Schedule BA)				
9. Receivables for securities				
10. Securities lending reinvested collateral assets (Schedule DL).....				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	162,177,646		162,177,646	188,816,844
13. Title plants less \$ charged off (for Title insurers only).....				
14. Investment income due and accrued	1,178,263		1,178,263	1,564,923
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums).....				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies	200,079,365		200,079,365	142,993,694
16.3 Other amounts receivable under reinsurance contracts	180,068		180,068	3,883,462
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	1,063,363		1,063,363	1,160,328
18.2 Net deferred tax asset.....				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software.....				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable.....				
25. Aggregate write-ins for other-than-invested assets	583,347,288		583,347,288	558,037,294
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	948,025,992		948,025,992	896,456,544
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....				
28. Total (Lines 26 and 27)	948,025,992		948,025,992	896,456,544
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. Irrevocable standby letters of credit.....	583,347,288		583,347,288	558,037,294
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	583,347,288		583,347,288	558,037,294

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$783,426,653 (Exhibit 5, Line 9999999) less \$ included in Line 6.3 (including \$ Modco Reserve)	783,426,653	701,030,988
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ Modco Reserve)		
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)		
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)		
5. Policyholders' dividends \$ and coupons \$ due and unpaid (Exhibit 4, Line 10)		
6. Provision for policyholders' dividends and coupons payable in following calendar year—estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)		
6.2 Dividends not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of Lines 4 and 14)		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$301,532 assumed and \$ ceded	301,532	
9.4 Interest Maintenance Reserve (IMR, Line 6)	441,463	
10. Commissions to agents due or accrued-life and annuity contracts \$accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 6)	25,000	25,000
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 5)		
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)		
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by company as agent or trustee		
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated		
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	312,565	271,770
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates		
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities		
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	784,507,213	701,327,758
27. From Separate Accounts statement		
28. Total liabilities (Lines 26 and 27)	784,507,213	701,327,758
29. Common capital stock	100,000	100,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	2,400,000	2,400,000
34. Aggregate write-ins for special surplus funds	583,347,288	558,037,294
35. Unassigned funds (surplus)	(422,328,508)	(365,408,508)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	163,418,780	195,028,786
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55)	163,518,780	195,128,786
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	948,025,992	896,456,544
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)		
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198) (Line 31 above)		
3401. Irrevocable standby letters of credit	583,347,288	558,037,294
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34 above)	583,347,288	558,037,294

SUMMARY OF OPERATIONS

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	134,512,088	142,940,803
2. Considerations for supplementary contracts with life contingencies		
3. Net investment income (Exhibit of Net Investment Income, Line 17)	12,041,820	9,648,209
4. Amortization of Interest Maintenance Reserve (IMR, Line 5)	21,367	(1,163)
5. Separate Accounts net gain from operations excluding unrealized gains or losses		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)		
7. Reserve adjustments on reinsurance ceded		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts		
8.2 Charges and fees for deposit-type contracts		
8.3 Aggregate write-ins for miscellaneous income		
9. Totals (Lines 1 to 8.3)	146,575,275	152,587,849
10. Death benefits	63,298,831	51,103,639
11. Matured endowments (excluding guaranteed annual pure endowments)		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)		
13. Disability benefits and benefits under accident and health contracts		
14. Coupons, guaranteed annual pure endowments and similar benefits		
15. Surrender benefits and withdrawals for life contracts		
16. Group conversions		
17. Interest and adjustments on contract or deposit-type contract funds		
18. Payments on supplementary contracts with life contingencies		
19. Increase in aggregate reserves for life and accident and health contracts	82,395,664	106,076,031
20. Totals (Lines 10 to 19)	145,694,495	157,179,670
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)		
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)	13,445,923	23,236,669
23. General insurance expenses (Exhibit 2, Line 10, Columns 1, 2, 3 and 4)	133,932	133,230
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3)	100	100
25. Increase in loading on deferred and uncollected premiums		
26. Net transfers to or (from) Separate Accounts net of reinsurance		
27. Aggregate write-ins for deductions	87,572,915	70,353,124
28. Totals (Lines 20 to 27)	246,847,366	250,902,794
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(100,272,090)	(98,314,945)
30. Dividends to policyholders		
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	(100,272,090)	(98,314,945)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(43,296,358)	(42,070,817)
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(56,975,732)	(56,244,128)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ (excluding taxes of \$ 301,193 transferred to the IMR)		
35. Net income (Line 33 plus Line 34)	(56,975,732)	(56,244,128)
CAPITAL AND SURPLUS ACCOUNT		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	195,128,786	207,445,335
37. Net income (Line 35)	(56,975,732)	(56,244,128)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$		
39. Change in net unrealized foreign exchange capital gain (loss)		
40. Change in net deferred income tax		
41. Change in nonadmitted assets		
42. Change in liability for reinsurance in unauthorized and certified companies		
43. Change in reserve on account of change in valuation basis, (increase) or decrease		
44. Change in asset valuation reserve	(40,795)	(58,699)
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2 Col. 2 minus Col. 1)		
46. Surplus (contributed to) withdrawn from Separate Accounts during period		
47. Other changes in surplus in Separate Accounts statement		
48. Change in surplus notes		
49. Cumulative effect of changes in accounting principles		
50. Capital changes:		
50.1 Paid in		
50.2 Transferred from surplus (Stock Dividend)		
50.3 Transferred to surplus		
51. Surplus adjustment:		
51.1 Paid in		
51.2 Transferred to capital (Stock Dividend)		
51.3 Transferred from capital		
51.4 Change in surplus as a result of reinsurance		
52. Dividends to stockholders		
53. Aggregate write-ins for gains and losses in surplus	25,406,522	43,986,278
54. Net change in capital and surplus for the year (Lines 37 through 53)	(31,610,006)	(12,316,549)
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	163,518,780	195,128,786
DETAILS OF WRITE-INS		
08.301		
08.302		
08.303		
08.398 Summary of remaining write-ins for Line 8.3 from overflow page		
08.399 Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)		
2701. Experience refund on reinsurance assumed	87,572,915	69,708,918
2702. Reinsurance assumed risk charge		644,206
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page		
2799. Totals (Lines 2701 through 2703 plus 2798) (Line 27 above)	87,572,915	70,353,124
5301. Change in irrevocable standby letters of credit	25,309,994	44,082,806
5302. Change in disallowed IMR	96,528	(96,528)
5303.		
5398. Summary of remaining write-ins for Line 53 from overflow page		
5399. Totals (Lines 5301 through 5303 plus 5398) (Line 53 above)	25,406,522	43,986,278

CASH FLOW

	1 Current Year	2 Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	81,431,343	88,981,126
2. Net investment income	12,838,514	9,793,649
3. Miscellaneous income		
4. Total (Lines 1 through 3)	94,269,857	98,774,775
5. Benefit and loss related payments	63,298,831	51,103,639
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7. Commissions, expenses paid and aggregate write-ins for deductions	101,152,870	93,723,123
8. Dividends paid to policyholders		
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(43,092,130)	(64,779,088)
10. Total (Lines 5 through 9)	121,359,571	80,047,674
11. Net cash from operations (Line 4 minus Line 10)	(27,089,714)	18,727,101
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	56,376,910	14,183,354
12.2 Stocks		
12.3 Mortgage loans		
12.4 Real estate		
12.5 Other invested assets		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		
12.7 Miscellaneous proceeds		
12.8 Total investment proceeds (Lines 12.1 to 12.7)	56,376,910	14,183,354
13. Cost of investments acquired (long-term only):		
13.1 Bonds	9,996,663	54,744,912
13.2 Stocks		
13.3 Mortgage loans		
13.4 Real estate		
13.5 Other invested assets		
13.6 Miscellaneous applications		
13.7 Total investments acquired (Lines 13.1 to 13.6)	9,996,663	54,744,912
14. Net increase (decrease) in contract loans and premium notes		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	46,380,247	(40,561,558)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes		
16.2 Capital and paid in surplus, less treasury stock		
16.3 Borrowed funds		
16.4 Net deposits on deposit-type contracts and other insurance liabilities		
16.5 Dividends to stockholders		
16.6 Other cash provided (applied)		
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)		
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	19,290,533	(21,834,457)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	19,089,820	40,924,277
19.2 End of year (Line 18 plus Line 19.1)	38,380,353	19,089,820

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	Ordinary			6	Group		Accident and Health			12
			3	4	5		7	8	9	10	11	
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (a)	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
1. Premiums and annuity considerations for life and accident and health contracts	134,512,088		132,221,517				2,290,571					
2. Considerations for supplementary contracts with life contingencies												
3. Net investment income	12,041,820		11,800,983				240,836					
4. Amortization of Interest Maintenance Reserve (IMR)	21,367		20,940				427					
5. Separate Accounts net gain from operations excluding unrealized gains or losses												
6. Commissions and expense allowances on reinsurance ceded												
7. Reserve adjustments on reinsurance ceded												
8. Miscellaneous Income:												
8.1 Fees associated with income from investment management, administration and contract guarantees from Separate Accounts												
8.2 Charges and fees for deposit-type contracts												
8.3 Aggregate write-ins for miscellaneous income												
9. Totals (Lines 1 to 8.3)	146,575,275		144,043,441				2,531,835					
10. Death benefits	63,298,831		63,048,831				250,000					
11. Matured endowments (excluding guaranteed annual pure endowments)												
12. Annuity benefits												
13. Disability benefits and benefits under accident and health contracts												
14. Coupons, guaranteed annual pure endowments and similar benefits												
15. Surrender benefits and withdrawals for life contracts												
16. Group conversions												
17. Interest and adjustments on contract or deposit-type contract funds												
18. Payments on supplementary contracts with life contingencies												
19. Increase in aggregate reserves for life and accident and health contracts	82,395,664		80,760,983				1,634,682					
20. Totals (Lines 10 to 19)	145,694,495		143,809,814				1,884,682					
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)												
22. Commissions and expense allowances on reinsurance assumed	13,445,923		13,216,916				229,006					
23. General insurance expenses	133,932		131,254				2,679					
24. Insurance taxes, licenses and fees, excluding federal income taxes	100		98				2					
25. Increase in loading on deferred and uncollected premiums												
26. Net transfers to or (from) Separate Accounts net of reinsurance												
27. Aggregate write-ins for deductions	87,572,915		85,821,457				1,751,458					
28. Totals (Lines 20 to 27)	246,847,366		242,979,539				3,867,827					
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(100,272,090)		(98,936,098)				(1,335,992)					
30. Dividends to policyholders												
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	(100,272,090)		(98,936,098)				(1,335,992)					
32. Federal income taxes incurred (excluding tax on capital gains)	(43,296,358)		(42,430,431)				(865,927)					
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(56,975,732)		(56,505,667)				(470,065)					
DETAILS OF WRITE-INS												
08.301.												
08.302.												
08.303.												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page												
08.399. Total (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)												
2701. Experience refund on reinsurance assumed	87,572,915		85,821,457				1,751,458					
2702.												
2703.												
2798. Summary of remaining write-ins for Line 27 from overflow page												
2799. Total (Lines 2701 through 2703 plus 2798) (Line 27 above)	87,572,915		85,821,457				1,751,458					

(a) Includes the following amounts for FEGLI/SGLI: Line 1 Line 10 Line 16 Line 23 Line 24

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group	
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance	8 Annuities
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)								
1. Reserve December 31, prior year	701,030,988		687,053,544				13,977,444	
2. Tabular net premiums or considerations	261,569,146		256,250,608				5,318,538	
3. Present value of disability claims incurred					XXX			
4. Tabular interest	31,470,502		30,843,203				627,299	
5. Tabular less actual reserve released								
6. Increase in reserve on account of change in valuation basis								
7. Other increases (net)								
8. Totals (Lines 1 to 7)	994,070,637		974,147,355				19,923,281	
9. Tabular cost	170,435,765		166,925,880		XXX		3,509,885	
10. Reserves released by death	1,546,052		1,515,242	XXX	XXX		30,810	XXX
11. Reserves released by other terminations (net)	38,662,167		37,891,707				770,460	
12. Annuity, supplementary contract, and disability payments involving life contingencies								
13. Net transfers to or (from) Separate Accounts								
14. Total deductions (Lines 9 to 13)	210,643,984		206,332,830				4,311,154	
15. Reserve December 31, current year	783,426,653		767,814,526				15,612,127	

EXHIBIT OF NET INVESTMENT INCOME

	1 Collected During Year	2 Earned During Year
1. U.S. Government bonds	(a) 2,241,606	1,918,228
1.1 Bonds exempt from U.S. tax	(a)	
1.2 Other bonds (unaffiliated)	(a) 3,038,868	2,975,586
1.3 Bonds of affiliates	(a)	
2.1 Preferred stocks (unaffiliated)	(b)	
2.11 Preferred stocks of affiliates	(b)	
2.2 Common stocks (unaffiliated)		
2.21 Common stocks of affiliates		
3. Mortgage loans	(c)	
4. Real estate	(d)	
5. Contract loans		
6. Cash, cash equivalents and short-term investments	(e) 6,649	6,649
7. Derivative instruments	(f)	
8. Other invested assets		
9. Aggregate write-ins for investment income	7,339,508	7,339,508
10. Total gross investment income	12,626,630	12,239,971
11. Investment expenses		(g) 198,151
12. Investment taxes, licenses and fees, excluding federal income taxes		(g)
13. Interest expense		(h)
14. Depreciation on real estate and other invested assets		(i)
15. Aggregate write-ins for deductions from investment income		
16. Total deductions (Lines 11 through 15)		198,151
17. Net investment income (Line 10 minus Line 16)		12,041,820
DETAILS OF WRITE-INS		
0901. Investment income - funds withheld coinsurance	7,339,508	7,339,508
0902.		
0903.		
0998. Summary of remaining write-ins for Line 9 from overflow page		
0999. Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)	7,339,508	7,339,508
1501.		
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		
1599. Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)		

- (a) Includes \$ 309,153 accrual of discount less \$ 719,187 amortization of premium and less \$ paid for accrued interest on purchases.
- (b) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued dividends on purchases.
- (c) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (d) Includes \$ for company's occupancy of its own buildings; and excludes \$ interest on encumbrances.
- (e) Includes \$ 671 accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (f) Includes \$ accrual of discount less \$ amortization of premium.
- (g) Includes \$ investment expenses and \$ investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ interest on surplus notes and \$ interest on capital notes.
- (i) Includes \$ depreciation on real estate and \$ depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

	1 Realized Gain (Loss) On Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds	673,730		673,730		
1.1 Bonds exempt from U.S. tax					
1.2 Other bonds (unaffiliated)	186,821		186,821		
1.3 Bonds of affiliates					
2.1 Preferred stocks (unaffiliated)					
2.11 Preferred stocks of affiliates					
2.2 Common stocks (unaffiliated)					
2.21 Common stocks of affiliates					
3. Mortgage loans					
4. Real estate					
5. Contract loans					
6. Cash, cash equivalents and short-term investments					
7. Derivative instruments					
8. Other invested assets					
9. Aggregate write-ins for capital gains (losses)					
10. Total capital gains (losses)	860,551		860,551		
DETAILS OF WRITE-INS					
0901.					
0902.					
0903.					
0998. Summary of remaining write-ins for Line 9 from overflow page					
0999. Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)					

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

EXHIBIT 1 - PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

	1 Total	2 Industrial Life	Ordinary		5 Credit Life (Group and Individual)	Group		Accident and Health			11 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities		6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other	
FIRST YEAR (other than single)											
1. Uncollected											
2. Deferred and accrued											
3. Deferred, accrued and uncollected:											
3.1 Direct											
3.2 Reinsurance assumed											
3.3 Reinsurance ceded											
3.4 Net (Line 1 + Line 2)											
4. Advance											
5. Line 3.4 - Line 4											
6. Collected during year:											
6.1 Direct											
6.2 Reinsurance assumed											
6.3 Reinsurance ceded											
6.4 Net											
7. Line 5 + Line 6.4											
8. Prior year (uncollected + deferred and accrued - advance)											
9. First year premiums and considerations:											
9.1 Direct											
9.2 Reinsurance assumed											
9.3 Reinsurance ceded											
9.4 Net (Line 7 - Line 8)											
SINGLE											
10. Single premiums and considerations:											
10.1 Direct											
10.2 Reinsurance assumed											
10.3 Reinsurance ceded											
10.4 Net											
RENEWAL											
11. Uncollected											
12. Deferred and accrued											
13. Deferred, accrued and uncollected:											
13.1 Direct											
13.2 Reinsurance assumed											
13.3 Reinsurance ceded											
13.4 Net (Line 11 + Line 12)											
14. Advance											
15. Line 13.4 - Line 14											
16. Collected during year:											
16.1 Direct											
16.2 Reinsurance assumed	134,512,088		132,221,517			2,290,571					
16.3 Reinsurance ceded											
16.4 Net	134,512,088		132,221,517			2,290,571					
17. Line 15 + Line 16.4	134,512,088		132,221,517			2,290,571					
18. Prior year (uncollected + deferred and accrued - advance)											
19. Renewal premiums and considerations:											
19.1 Direct											
19.2 Reinsurance assumed	134,512,088		132,221,517			2,290,571					
19.3 Reinsurance ceded											
19.4 Net (Line 17 - Line 18)	134,512,088		132,221,517			2,290,571					
TOTAL											
20. Total premiums and annuity considerations:											
20.1 Direct											
20.2 Reinsurance assumed	134,512,088		132,221,517			2,290,571					
20.3 Reinsurance ceded											
20.4 Net (Lines 9.4 + 10.4 + 19.4)	134,512,088		132,221,517			2,290,571					

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

EXHIBIT 1 - PART 2 - DIVIDENDS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

	1 Total	2 Industrial Life	Ordinary		5 Credit Life (Group and Individual)	Group		Accident and Health			11 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities		6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other	
DIVIDENDS AND COUPONS APPLIED (included in Part 1)											
21. To pay renewal premiums.....											
22. All other.....											
REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED											
23. First year (other than single):											
23.1 Reinsurance ceded											
23.2 Reinsurance assumed											
23.3 Net ceded less assumed											
24. Single:											
24.1 Reinsurance ceded											
24.2 Reinsurance assumed											
24.3 Net ceded less assumed											
25. Renewal:											
25.1 Reinsurance ceded											
25.2 Reinsurance assumed	13,445,923		13,216,916			229,006					
25.3 Net ceded less assumed	(13,445,923)		(13,216,916)			(229,006)					
26. Totals:											
26.1 Reinsurance ceded (Page 6, Line 6)											
26.2 Reinsurance assumed (Page 6, Line 22)	13,445,923		13,216,916			229,006					
26.3 Net ceded less assumed	(13,445,923)		(13,216,916)			(229,006)					
COMMISSIONS INCURRED (direct business only)											
27. First year (other than single)											
28. Single											
29. Renewal											
30. Deposit-type contract funds											
31. Totals (to agree with Page 6, Line 21)											

EXHIBIT 2 - GENERAL EXPENSES

	Insurance				5 Investment	6 Total
	1 Life	Accident and Health		4 All Other Lines of Business		
		2 Cost Containment	3 All Other			
1. Rent						
2. Salaries and wages						
3.11 Contributions for benefit plans for employees						
3.12 Contributions for benefit plans for agents						
3.21 Payments to employees under non-funded benefit plans						
3.22 Payments to agents under non-funded benefit plans						
3.31 Other employee welfare						
3.32 Other agent welfare						
4.1 Legal fees and expenses						
4.2 Medical examination fees						
4.3 Inspection report fees						
4.4 Fees of public accountants and consulting actuaries	25,000					25,000
4.5 Expense of investigation and settlement of policy claims						
5.1 Traveling expenses						
5.2 Advertising						
5.3 Postage, express, telegraph and telephone						
5.4 Printing and stationery	1,823					1,823
5.5 Cost or depreciation of furniture and equipment						
5.6 Rental of equipment						
5.7 Cost or depreciation of EDP equipment and software						
6.1 Books and periodicals						
6.2 Bureau and association fees						
6.3 Insurance, except on real estate						
6.4 Miscellaneous losses						
6.5 Collection and bank service charges	4,597					4,597
6.6 Sundry general expenses						
6.7 Group service and administration fees	100,000					100,000
6.8 Reimbursements by uninsured plans						
7.1 Agency expense allowance						
7.2 Agents' balances charged off (less recovered)						
7.3 Agency conferences other than local meetings						
9.1 Real estate expenses						
9.2 Investment expenses not included elsewhere				198,151		198,151
9.3 Aggregate write-ins for expenses	2,513					2,513
10. General expenses incurred	133,932			198,151	(a)	332,083
11. General expenses unpaid December 31, prior year	25,000					25,000
12. General expenses unpaid December 31, current year	25,000					25,000
13. Amounts receivable relating to uninsured plans, prior year						
14. Amounts receivable relating to uninsured plans, current year						
15. General expenses paid during year (Lines 10+11-12-13+14)	133,932			198,151		332,083
DETAILS OF WRITE-INS						
09.301. Software	2,513					2,513
09.302.						
09.303.						
09.398. Summary of remaining write-ins for Line 9.3 from overflow page						
09.399. Totals (Lines 09.301 through 09.303 + 09.398) (Line 9.3 above)	2,513					2,513

(a) Includes management fees of \$ 100,000 to affiliates and \$ to non-affiliates.

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

	Insurance			4 Investment	5 Total
	1 Life	Accident and Health			
		2	3 All Other Lines of Business		
1. Real estate taxes					
2. State insurance department licenses and fees	100				100
3. State taxes on premiums					
4. Other state taxes, incl. \$ for employee benefits					
5. U.S. Social Security taxes					
6. All other taxes					
7. Taxes, licenses and fees incurred	100				100
8. Taxes, licenses and fees unpaid December 31, prior year					
9. Taxes, licenses and fees unpaid December 31, current year					
10. Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	100				100

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	1 Life	2 Accident and Health
	1. Applied to pay renewal premiums	
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid-in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included in Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
DETAILS OF WRITE-INS		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Line 0801 through 0803 + 0898) (Line 8 above)		

NONE



ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

EXHIBIT 5 - INTERROGATORIES

- 1.1 Has the reporting entity ever issued both participating and non-participating contracts?
1.2 If not, state which kind is issued:
2.1 Does the reporting entity at present issue both participating and non-participating contracts?
2.2 If not, state which kind is issued:
3. Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?
4. Has the reporting entity any assessment or stipulated premium contracts in force?
4.1 Amount of insurance:
4.2 Amount of reserve:
4.3 Basis of reserve:
4.4 Basis of regular assessments:
4.5 Basis of special assessments:
4.6 Assessments collected during the year:
5. If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts:
6. Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis?
6.1 If so, state the amount of reserve on such contracts on the basis actually held:
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives.
7. Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements:
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount
7.3 State the amount of reserves established for this business:
7.4 Identify where the reserves are reported in the blank
8. Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements:
8.2 State the amount of reserves established for this business:
8.3 Identify where the reserves are reported in the blank:
9. Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year?
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:
9.2 State the amount of reserves established for this business:
9.3 Identify where the reserves are reported in the blank:

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

Table with 4 columns: 1 Description of Valuation Class, 2 Valuation Basis Changed From, 3 Valuation Basis Changed To, 4 Increase in Actuarial Reserve Due to Change. Includes rows for LIFE CONTRACTS, ACCIDENT AND HEALTH CONTRACTS, and DEPOSIT-TYPE CONTRACTS. A large 'NONE' watermark is present across the table.

Exhibit 6 - Agg. Res. for A&H Contracts

NONE

Exhibit 7 - Deposit Type Contracts

NONE

Exhibit 8 - Part 1

NONE

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements during the year:											
1.1 Direct											
1.2 Reinsurance assumed	63,298,831		63,048,831				250,000				
1.3 Reinsurance ceded											
1.4 Net	(d) 63,298,831		63,048,831				250,000				
2. Liability December 31, current year from Part 1:											
2.1 Direct											
2.2 Reinsurance assumed											
2.3 Reinsurance ceded											
2.4 Net											
3. Amounts recoverable from reinsurers December 31, current year											
4. Liability December 31, prior year:											
4.1 Direct											
4.2 Reinsurance assumed											
4.3 Reinsurance ceded											
4.4 Net											
5. Amounts recoverable from reinsurers December 31, prior year											
6. Incurred benefits:											
6.1 Direct											
6.2 Reinsurance assumed	63,298,831		63,048,831				250,000				
6.3 Reinsurance ceded											
6.4 Net	63,298,831		63,048,831				250,000				

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$in Line 1.1, \$in Line 1.4.
 \$in Line 6.1 and \$in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$in Line 1.1, \$in Line 1.4.
 \$in Line 6.1 and \$in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$in Line 1.1, \$in Line 1.4.
 \$in Line 6.1 and \$in Line 6.4.

(d) Includes \$premiums waived under total and permanent disability benefits.

EXHIBIT OF NONADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D).....			
2. Stocks (Schedule D):			
2.1 Preferred stocks			
2.2 Common stocks			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens			
3.2 Other than first liens			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company			
4.2 Properties held for the production of income.....			
4.3 Properties held for sale			
5. Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and short-term investments (Schedule DA).....			
6. Contract loans			
7. Derivatives (Schedule DB).....			
8. Other invested assets (Schedule BA)			
9. Receivables for securities			
10. Securities lending reinvested collateral assets (Schedule DL).....			
11. Aggregate write-ins for invested assets			
12. Subtotals, cash and invested assets (Lines 1 to 11)			
13. Title plants (for Title insurers only).....			
14. Investment income due and accrued			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection.....			
15.2 Deferred premiums, agents' balances and installments booked but earned and not yet due.....			
15.3 Accrued retrospective premiums and contracts subject to reformation.....			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers			
16.2 Funds held by or deposited with reinsured companies			
16.3 Other amounts receivable under reinsurance contracts			
17. Amounts receivable relating to uninsured plans			
18.1 Current federal and foreign income tax recoverable and interest thereon			
18.2 Net deferred tax asset.....			
19. Guaranty funds receivable or on deposit			
20. Electronic data processing equipment and software.....			
21. Furniture and equipment, including health care delivery assets			
22. Net adjustment in assets and liabilities due to foreign exchange rates			
23. Receivables from parent, subsidiaries and affiliates			
24. Health care and other amounts receivable.....			
25. Aggregate write-ins for other-than-invested assets			
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....			
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
28. Total (Lines 26 and 27)			
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page			
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)			
2501.			
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page			
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)			

NONE

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Basis of presentation

Under the certificate of authority granted as a limited purpose subsidiary company by the State of Iowa, the Company prepares its statutory basis financial statements in accordance with accounting practices prescribed or permitted by the Iowa Insurance Division. Prescribed statutory accounting practices include state laws, regulations and general administrative rules. Permitted practices encompass all accounting practices not so prescribed. The Company utilized a prescribed practice that allows a limited purpose subsidiary company domiciled in Iowa to carry an irrevocable standby letter of credit as an admitted asset.

	<u>SSAP #</u>	<u>F/S Page</u>	<u>F/S Line #</u>	<u>2016</u>	<u>2015</u>
NET INCOME					
(1) Company state basis (Page 4, Line 35, Columns 1 & 2)XXX.....XXX.....XXX.....	\$(56,975,732)	\$(56,244,128)
(2) NAIC SAPXXX.....XXX.....XXX.....	\$(56,975,732)	\$(56,244,128)
SURPLUS					
(3) Company state basis (Page 3, Line 38, Columns 1 & 2)XXX.....XXX.....XXX.....	\$163,518,780	\$195,128,786
(4) State Prescribed Practices that increase/(decrease) NAIC SAP:					
Irrevocable standby letters of credit00.....3.....34.....	\$583,347,288	\$558,037,294
(5) NAIC SAP (3-4=5)	<u>XXX</u>	<u>XXX</u>	<u>XXX</u>	<u>\$(419,828,508)</u>	<u>\$(362,908,508)</u>

B. The preparation of financial statements in conformity with the practices prescribed and permitted by the State of Iowa requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosures of contingent assets and liabilities at the date of the financial statements and the reported amount of revenue and expenses during the period. Actual results could differ from those estimates.

C. The Company uses the following accounting policies:

1. Short-term investments are stated at amortized cost.
2. Bonds are backed by other loans are stated at amortized cost using the interest method.
3. The Company has no common stocks.
4. The Company has no preferred stocks.
5. The Company has no mortgage loans.
6. Loan-backed bonds, CMO's and other structured securities are stated at amortized cost using the interest method including anticipated prepayments.
7. The Company has no investments in subsidiary, controlled, or affiliated companies.
8. The Company has no ownership interest in joint ventures, partnerships, or limited liability companies.
9. The Company has no derivative instruments.
10. The Company does not need to perform a premium deficiency calculation.
11. The Company holds no A & H contracts.
12. The Company has not modified its capitalization policy from prior period.
13. The Company has no estimated pharmaceutical rebate receivables.

D. After evaluating the Company's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the Company's ability to continue as a going concern as of the filing of this statement.

2. Accounting Changes and Corrections of Errors

A. The Company had no changes in accounting principles or corrections of errors during 2016.

3. Business Combinations and Goodwill

- A. The Company was not subject to any business combinations in 2016.
- B. The Company does not carry any goodwill resulting from a statutory merger.
- C. The Company does not have goodwill resulting from assumption reinsurance.
- D. The Company did not recognize an impairment loss on the transactions described above.

4. Discontinued Operations

The Company does not have any amounts related to Discontinued Operations.

5. Investments

- A. The Company does not hold any mortgage loans.
- B. The Company is not a creditor for any restructured debt.
- C. The Company has no reverse mortgages.
- D. Loan-backed Securities
 1. The Company obtains its prepayment assumptions on loan-backed securities from market observable sources and internal prepayment models.
 2. The Company has no loan-backed securities with a recognized other than temporary impairment to be disclosed in aggregate as of December 31, 2016.
 3. There were no loan-backed securities with a recognized other than temporary impairment as of December 31, 2016.
 4. The following summarizes the aggregate unrealized losses and fair values of loan-backed securities with unrealized losses segregated by those loan-backed securities that have been at an unrealized loss position for less than twelve months and those in an unrealized loss position for greater than twelve months.
 - a. The aggregate amount of unrealized losses:

	1. Less than 12 Months	\$
	2. 12 Months or Longer	\$(5,531)
 - b. The aggregate related fair value of securities with unrealized losses:

	1. Less than 12 Months	\$
	2. 12 Months or Longer	\$7,179,349

5. Not applicable

NOTES TO FINANCIAL STATEMENTS

- E. The Company has no repurchase agreements.
- F. The Company has no real estate investments.
- G. The Company has no investments in low-income housing tax credits.
- H. The Company has no restricted assets.
- I. The Company has no working capital finance investments
- J. The Company has no offsetting and netting of assets or liabilities
- K. The Company has no structured notes
- L. The Company has no 5* securities.

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company has no investments in Joint Ventures, Partnerships, or Limited Liability Companies that exceed 10% of its admitted assets.
- B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

There was no due and accrued income excluded from investment income for 2016.

8. Derivative Instruments

The Company has no derivative instruments.

9. Income Taxes

- A. The components of the net deferred tax asset(liability) at December 31, are as follows:

1.

	12/31/2016		
	(1)	(2)	(3)
	Ordinary	Capital	(Col 1+2) Total
(a) Gross Deferred Tax Assets	\$..... 13,027,498	\$.....	\$..... 13,027,498
(b) Statutory Valuation Allowance Adjustments	\$..... 12,990,538	\$.....	\$..... 12,990,538
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	\$..... 36,960	\$.....	\$..... 36,960
(d) Deferred Tax Assets Non-admitted	\$.....	\$.....	\$.....
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	\$..... 36,960	\$.....	\$..... 36,960
(f) Deferred Tax Liabilities	\$..... 36,960	\$.....	\$..... 36,960
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	\$.....	\$.....	\$.....

	12/31/2015		
	(1)	(2)	(3)
	Ordinary	Capital	(Col 1+2) Total
(a) Gross Deferred Tax Assets	\$..... 21,244,397	\$.....	\$..... 21,244,397
(b) Statutory Valuation Allowance Adjustments	\$..... 21,184,185	\$.....	\$..... 21,184,185
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	\$..... 60,212	\$.....	\$..... 60,212
(d) Deferred Tax Assets Non-admitted	\$.....	\$.....	\$.....
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	\$..... 60,212	\$.....	\$..... 60,212
(f) Deferred Tax Liabilities	\$..... 60,212	\$.....	\$..... 60,212
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	\$.....	\$.....	\$.....

	Change		
	(7)	(8)	(9)
	(Col 1-4) Ordinary	(Col 2-5) Capital	(Col 7+8) Total
(a) Gross Deferred Tax Assets	\$..... (8,216,899)	\$.....	\$..... (8,216,899)
(b) Statutory Valuation Allowance Adjustments	\$..... (8,193,647)	\$.....	\$..... (8,193,647)
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	\$..... (23,252)	\$.....	\$..... (23,252)
(d) Deferred Tax Assets Non-admitted	\$.....	\$.....	\$.....
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	\$..... (23,252)	\$.....	\$..... (23,252)
(f) Deferred Tax Liabilities	\$..... (23,252)	\$.....	\$..... (23,252)
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	\$.....	\$.....	\$.....

2.

	12/31/2016		
	(1)	(2)	(3)
	Ordinary	Capital	(Col 1+2) Total

Admission Calculation Components SSAP No. 101

(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carry backs.	\$.....	\$.....	\$.....
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	\$.....	\$.....	\$.....
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date.	\$.....	\$.....	\$.....
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	<u>XXX</u>	<u>XXX</u>	\$..... 24,527,817

NOTES TO FINANCIAL STATEMENTS

(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.	\$..... 36,960	\$.....	\$..... 36,960
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101.			
Total (2(a) + 2(b) + 2(c))	\$..... 36,960	\$.....	\$..... 36,960

12/31/2015		
(4)	(5)	(6)
Ordinary	Capital	(Col 4+5) Total

(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carry backs.	\$.....	\$.....	\$.....
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	\$.....	\$.....	\$.....
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date.	\$.....	\$.....	\$.....
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	XXX	XXX	\$..... 29,269,318
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.	\$..... 60,212	\$.....	\$..... 60,212
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101.			
Total (2(a) + 2(b) + 2(c))	\$..... 60,212	\$.....	\$..... 60,212

Change		
(7)	(8)	(9)
(Col 1-4) Ordinary	(Col 2-5) Capital	(Col 7+8) Total

(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carry backs.	\$.....	\$.....	\$.....
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	\$.....	\$.....	\$.....
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date.	\$.....	\$.....	\$.....
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	XXX	XXX	\$.....(4,741,501)
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.	\$.....(23,252)	\$.....	\$.....(23,252)
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101.			
Total (2(a) + 2(b) + 2(c))	\$.....(23,252)	\$.....	\$.....(23,252)

3.	2016	2015
----	------	------

(a) Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount. 861% 992%
(b) Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation In 2(b) 2 Above.	\$..... 163,518.780	\$..... 195,128.786

12/31/2016	
(1)	(2)
Ordinary	Capital

Impact of Tax-Planning Strategies

(a) Determination Of Adjusted Gross Deferred Tax Assets And Net Admitted Deferred Tax Assets, By Tax Character As A Percentage.	
1. Adjusted Gross DTAs Amount From Note 9A1(c) 36,960
2. Percentage Of Adjusted Gross DTAs By Tax Character Attributable To The Impact Of Tax Planning Strategies 0.0%
3. Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e) 36,960
4. Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies 0.0%

12/31/2015	
(3)	(4)
Ordinary	Capital

(a) 1. Adjusted Gross DTAs Amount From Note 9A1(c) 60,212
2. Percentage Of Adjusted Gross DTAs By Tax Character Attributable To The Impact Of Tax Planning Strategies 0.0%
3. Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e) 60,212
4. Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies 0.0%

Change	
(5)	(6)
(Col 1-3) Ordinary	(Col 2-4) Capital

(a) 1. Adjusted Gross DTAs Amount From Note 9A1(c)(23,252)
2. Percentage Of Adjusted Gross DTAs By Tax Character Attributable To The Impact Of 0.0%

NOTES TO FINANCIAL STATEMENTS

Tax Planning Strategies		
3. Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e)	(23,252)	
4. Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies	0.0%	

(b) Does the Company's tax-planning strategy include the use of reinsurance? Yes No X

B. Regarding deferred tax liabilities that are not recognized:

1. Not applicable

C. Current income taxes incurred consist of the following major components:

	(1) 12/31/2016	(2) 12/31/2015	(3) (Col 1-2) Change
1. Current Income Tax			
(a) Federal	\$ (43,296,358)	\$ (42,070,817)	\$ (1,225,541)
(b) Foreign	\$	\$	\$
(c) Subtotal	\$ (43,296,358)	\$ (42,070,817)	\$ (1,225,541)
(d) Federal income tax on net capital gains	\$ 301,193	\$ (59,736)	\$ 360,929
(e) Utilization of capital loss carry-forwards	\$	\$	\$
(f) Other	\$	\$	\$
(g) Federal and foreign income taxes incurred	\$ (42,995,165)	\$ (42,130,553)	\$ (864,612)
2. Deferred Tax Assets:			
(a) Ordinary			
(1) Discounting of unpaid losses	\$	\$	\$
(2) Unearned premium reserve	\$	\$	\$
(3) Policyholder reserves	\$ 13,027,498	\$ 21,173,569	\$ (8,146,071)
(4) Investments	\$	\$	\$
(5) Deferred acquisition costs	\$	\$ 70,828	\$ (70,828)
(6) Policyholder dividends accrual	\$	\$	\$
(7) Fixed assets	\$	\$	\$
(8) Compensation and benefits accrual	\$	\$	\$
(9) Pension accrual	\$	\$	\$
(10) Receivables – non-admitted	\$	\$	\$
(11) Net operating loss carry-forward	\$	\$	\$
(12) Tax credit carry-forward	\$	\$	\$
(13) Other (including items <5% of total ordinary tax assets)	\$	\$	\$
(99) Subtotal	\$ 13,027,498	\$ 21,244,397	\$ (8,216,899)
(b) Statutory valuation allowance adjustment	\$ 12,990,538	\$ 21,184,185	\$ (8,193,647)
(c) Non-admitted	\$	\$	\$
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	\$ 36,960	\$ 60,212	\$ (23,252)
(e) Capital:			
(1) Investments	\$	\$	\$
(2) Net capital loss carry-forward	\$	\$	\$
(3) Real estate	\$	\$	\$
(4) Other (including items <5% of total capital tax assets)	\$	\$	\$
(99) Subtotal	\$	\$	\$
(f) Statutory valuation allowance adjustment	\$	\$	\$
(g) Non-admitted	\$	\$	\$
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	\$	\$	\$
(i) Admitted deferred tax assets (2d + 2h)	\$ 36,960	\$ 60,212	\$ (23,252)
3. Deferred Tax Liabilities:			
(a) Ordinary			
(1) Investments	\$ 36,960	\$ 60,212	\$ (23,252)
(2) Fixed assets	\$	\$	\$
(3) Deferred and uncollected premium	\$	\$	\$
(4) Policyholder reserves	\$	\$	\$
(5) Other (including items <5% of total ordinary tax liabilities)	\$	\$	\$
(99) Subtotal	\$ 36,960	\$ 60,212	\$ (23,252)
(b) Capital:			
(1) Investments	\$	\$	\$
(2) Real estate	\$	\$	\$
(3) Other (including items <5% of total capital tax liabilities)	\$	\$	\$
(99) Subtotal	\$	\$	\$
(c) Deferred tax liabilities (3a99 + 3b99)	\$ 36,960	\$ 60,212	\$ (23,252)
4. Net deferred tax assets/liabilities (2i - 3c)	\$	\$	\$

D. The provision for federal and foreign income taxes incurred is different from that which would be obtained by applying the statutory Federal income tax rate to income before income taxes. The significant items causing this difference are as follows:

NOTES TO FINANCIAL STATEMENTS

	12/31/2016	Effective Tax Rate
Provision computed at statutory rate	(34,794,039)	35.0%
IMR amortization	(7,478)	0.0%
Accrual adjustments prior year	-	0.0%
Change in non-admitted assets	-	0.0%
Change in statutory valuation allowance	(8,193,648)	8.2%
Other	-	0.0%
Total	(42,995,165)	43.2%
Federal and Foreign income tax incurred	(42,995,165)	43.2%
Change in net deferred income taxes	-	0.0%
Total statutory income taxes	(42,995,165)	43.2%

E. Operating Loss and Tax Credit Carry-forwards and Protective Tax Deposits

1. At December 31, 2016, the Company did not have any unused operating loss or tax credit carry-forwards available to offset against future taxable income.
2. The following are income taxes incurred in the current and prior years that will be available for recoupment in the event of a future operations loss:

Year	Amount
2016	\$ 0
2015	\$ 0
2014	\$ 0

3. The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Code.

F. Consolidated Federal Income Tax Return

1. The Company's Federal Income Tax return is consolidated with the following entities for the current year:

Midland National Life Insurance Company, MNL Reinsurance Company

2. The method of federal income tax allocation is subject to a written agreement. Allocation is based upon separate return calculations with credit applied for losses as appropriate. The Company has the enforceable right to recoup prior year payments in the event of future losses.

G. Federal or Foreign Federal Income Tax Loss Contingencies

1. The Company does not have any tax loss contingencies for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.

10. Information Concerning Parent, Subsidiaries and Affiliates

- A., B., C. No transactions were entered into by the Company or any affiliated insurer with any affiliate other than what is reflected on Schedule Y - Part 2 of this statement. The transactions exclude any non-insurance transaction that involves less than 1/2 of 1% of the total assets of Midland National Life Insurance Company, which is the largest affiliated insurer, and cost allocation transactions that are based on generally accepted accounting principles.
- D. Amounts due/to from related parties at December 31, 2016 are presented on Page 2, Line 23 and Page 3, Line 24.04 of this statement. The terms of the settlement require that these amounts be settled within 90 days.
- E. The Company is not a party to any guarantee or undertaking for the benefit of an affiliate, which results in a material contingent exposure of the Company or any affiliated insurer's assets or liabilities.
- F. The following describes the management or service contracts and all cost sharing arrangements involving the Company or any affiliated insurer with any affiliate during 2016.
1. The Company received management and administrative services from its parent, Midland National Life Insurance Company. Under the terms of the agreement, Midland National Life Insurance Company provides the Company with general management and administrative services, which include regulatory reporting, compliance services, legal, accounting, financial reporting, tax reporting and other services as may be required.
 2. The Company received investment management services from Guggenheim Partners Investment Management, LLC, in return for which the Company paid a fee.
- G. The Company's outstanding shares are 100% owned by Midland National Life Insurance Company which is in turn 100% owned by Sammons Financial Group, Inc., which is in turn 100% owned by Consolidated Investment Services, Inc. (CISI), which is in turn 100% owned by SEI, which is in turn 100% owned by Sammons Enterprises, Inc. ESOT. More detailed information concerning the domiciliary of the above corporations and other affiliated corporations of the Company are reflected on the organizational chart of Schedule Y - Part 1 of this statement.
- H. The Company does not own shares, either directly or indirectly via a downstream subsidiary, controlled or affiliated company, of an upstream intermediate or ultimate parent.
- I. The Company does not hold a Subsidiary, Controlled or Affiliated Company that exceeds 10% of admitted assets of the insurer.
- J. The Company did not recognize an impairment write-down for investment in Subsidiary, Controlled, or Affiliated Companies in 2016.
- K. The Company has no investment in a foreign subsidiary.
- L. The Company does not hold any investments in a downstream noninsurance holding company.
- M., N.. The Company has no SCA investments as of December 31, 2016.

11. Debt

- A. The Company has no capital note obligations, no outstanding liabilities for borrowed money, and no reverse repurchase agreements.
- B. The Company does not hold any agreements with Federal Home Loan Bank (FHLB).

12. Retirement Plans, Deferred Compensation, Post Employment Benefits and Compensated Balances and Other Postretirement Benefit Plans

- A. The Company has no deferred benefit plans, no deferred compensation plans, no post-retirement benefit plans, no compensated balances, no other postretirement benefit plans, and no impact from the Medicare Modernization Act on Postretirement Benefits. The Company has no employees.

13. Capital and Surplus and Shareholder's Dividend Restrictions

1. The Company has 100,000 shares authorized, issued, and outstanding.
2. The Company has no preferred stock outstanding.
3. Dividends to shareholders may be paid, provided such dividends do not decrease the paid-in capital and surplus below the minimum amount designated by the Commissioner or reduce the Company's RBC to a level below that required by Iowa Regulation 191-99.
4. The Company has never paid dividends, either ordinary or extraordinary.

NOTES TO FINANCIAL STATEMENTS

5. Within the limitations of (3) above, there are no restrictions placed on the portion of the Company's profits that may be paid as ordinary dividends to its stockholders.
6. The unassigned surplus of \$(422,328,508) is unrestricted and held for the benefit of the Company's stockholder.
7. The Company has no unpaid advances to surplus.
8. The Company holds no stock, including stock of affiliated companies, for special purposes such as conversion of preferred stock and employee stock options.
9. The Company has a special surplus fund balance of \$583,347,288 which is due to Irrevocable Standby Letters of Credit.
10. The unassigned funds (surplus) has not been increased or decreased by unrealized gains or losses since they are zero.
11. The Company has no surplus debentures outstanding.
12. 13. The Company has never been involved in quasi-reorganization.

14. Contingencies

- A. At December 31, 2016, the Company had no outstanding capital commitments to limited partnerships.
- B. The Company has no assessments that may materially affect the Company's financial position.
- C. The Company has no gain contingencies recognized in the financial statements.
- D. The Company is not a defendant in any lawsuits.
- E. The Company has no contingent liabilities, loss contingencies, or impairment of assets that may materially affect the Company's financial position.

15. Leases

- A. Lessee Operating Lease
 1. The Company has no leases.
 2. The Company has no rental commitments.
 3. The Company is not involved in any material sale-leaseback transactions.
- B. Leasing is not a part of the Company's business activities.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentration of Credit Risk

The Company does not have any financial instruments with off-balance sheet risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities

- A. The Company did not have any transfers of receivables reported as sales.
- B. The Company had no repurchase or reverse repurchase transactions.
- C. The Company did not have any wash sales.

18. Gain or Loss to the Insurer from Uninsured A & H Plans and the Uninsured Portion of Partially Insured Plans

The Company does not administer an uninsured accident and health plan or a partially uninsured plan.

19. Direct Premium Written by Managing General Agents/Third Party Administrators

The Company had no direct premiums written through a managing general agent or third party administrator.

20. Fair Value Measurements

- A. Not applicable.
- B. Not applicable
- C. The Company is able to obtain a fair value for the following assets.

Types of Financial Instruments	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable Carrying Value
a. Bonds	126,230,523	123,797,293		126,230,523		
b. Preferred stock – industrial and misc.						
c. Common stock – industrial and misc.						
d. Mortgage Loans						
e. Cash, cash equivalents & short-terms	38,380,353	38,380,353	38,380,353			
f. Total	164,610,876	162,177,646	38,380,353	126,230,523		

- D. Not applicable

21. Other Items

- A. The Company has no unusual or infrequent items.
- B. The Company has no restructuring of troubled debt.
- C. The Company has no other disclosures and unusual items.
- D. The Company has no business interruption insurance recoveries.
- E. The Company has no state transferable tax credits.
- F. The Company has no subprime mortgage related risk exposure, either direct or indirect.
- G. The Company has no retained asset accounts.
- H. The Company has no insurance-linked securities.

22. Events Subsequent

The Company is not aware of any recognized event occurring subsequent to the close of the books that would have a material effect on the financial condition of the Company. The date through which subsequent events have been evaluated is February 27, 2017, for the statutory statement issued on February 27, 2017.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes () No (X)
2. Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

1. Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes () No (X)
 - a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? N/A

NOTES TO FINANCIAL STATEMENTS

b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of liability, for these agreements in the statement?
N/A

2. Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amount which, in aggregate and allowing for offset of mutual credit from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part B

1. What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? NA

2. Have any new agreements been executed by the company as of the effective date of the agreement? Yes () No (X)

- B. The Company has no uncollectible reinsurance.
- C. The Company has not commuted any ceded reinsurance.
- D. The Company has no reinsurance agreements with certified reinsurers.
- E. The Company has no variable annuity contracts or certificates.
- F. The Company has no variable annuity contracts or certificates with an affiliated captive reinsurer.
- G. The Company does not utilize captive reinsurers to reinsure XXX/AXXX reserves.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company does not have any retrospectively rated contracts and contracts subject to redetermination.

25. Change in Incurred Losses and Loss Adjustment Expenses

The Company did not have a change in incurred losses or loss adjustment expense during the reported year.

26. Intercompany Pooling Arrangements

The Company was not involved in any intercompany pooling arrangements.

27. Structured Settlements

The Company does not operate in the structured settlement market and carries no reserves associated with such products.

28. Health Care Receivables

The Company does not operate in the health care market and carries no receivables associated with such products.

29. Participating Policies

The Company has never issued participating policies.

30. Premium Deficiency Reserves

- | | | |
|----|--|------------------|
| 1. | Liability carried for premium deficiency reserves | \$ |
| 2. | Date of the most recent evaluation of this liability | 12/31/2016 |
| 3. | Was anticipated investment income utilized in the calculation? | Yes [] No [X] |

31. Reserves for Life Contracts and Deposit-Type Contracts

1. Not applicable

2. Not applicable

3. As of December 31, 2016, the Company had \$4,942,121,132 of insurance in force for which the gross premiums are less than the net premiums according to the standard of valuation set by the State of Iowa. Reserves to cover the above insurance totaled \$28,047,336 at year end and are reported in Exhibit 5, Life Insurance and Annuities sections.

4. Not applicable

5. Not applicable

6. Not applicable

32. Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

The Company has no annuity reserves or deposit liabilities.

33. Premiums and Annuity Considerations Deferred and Uncollected

The Company has no deferred and uncollected life insurance premiums and annuity considerations.

34. Separate Accounts

The Company has no Separate Accounts.

35. Loss/Claim Adjustment Expenses

The Company has no loss/claim adjustment expenses.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [] No []
 If yes, complete Schedule Y, Parts 1, 1A and 2.
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? Yes [] No [] N/A []
- 1.3 State Regulating? Iowa.....
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No []
- 2.2 If yes, date of change:
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made.12/31/2016
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.12/31/2011
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).09/11/2012
- 3.4 By what department or departments? Iowa Insurance Division.....
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A []
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A []
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
 4.11 sales of new business? Yes [] No []
 4.12 renewals? Yes [] No []
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
 4.21 sales of new business? Yes [] No []
 4.22 renewals? Yes [] No []
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No []
- 5.2 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....
.....
.....
.....
.....

- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No []
- 6.2 If yes, give full information
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? Yes [] No []
- 7.2 If yes,
 7.21 State the percentage of foreign control
- 7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).

1 Nationality	2 Type of Entity
.....
.....
.....
.....
.....

GENERAL INTERROGATORIES

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide the names and locations (city and state of the main office) of any affiliates regulated by a federal financial regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Asset Consulting Group, LLC.....	St. Louis, MO.....				YES.....
Guggenheim Funds Distributors, LLC.....	Lisle, IL.....				YES.....
Guggenheim Investment Advisors, LLC.....	New York, NY.....				YES.....
Security Investors, LLC dba Guggenheim Investments.....	New York, NY.....				YES.....
Guggenheim Partners Investment Management, LLC.....	Santa Monica, CA.....				YES.....
Guggenheim Fund Solutions, LLC.....	New York, NY.....				YES.....
GS Gamma Advisors, LLC.....	New York, NY.....				YES.....
Guggenheim Real Estate LLC.....	Boston, MA.....				YES.....
Guggenheim Funds Investment Advisors, LLC.....	Lisle, IL.....				YES.....
Security Distributors, LLC.....	Topeka, KS.....				YES.....
Guggenheim Securities, LLC.....	New York, NY.....				YES.....
Guggenheim Investor Services, LLC.....	New York, NY.....				YES.....
Sammons Financial Network, LLC.....	West Des Moines, IA.....				YES.....
E2M Partners, LLC.....	Dallas, TX.....				YES.....
Guggenheim Partners Advisors, LLC.....	Chicago, IL.....				YES.....
Guggenheim Liquid Markets, LLC.....	New York, NY.....				YES.....

- 9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?
PricewaterhouseCoopers, LLP, Chicago, IL.....
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? Yes [] No [X]
- 10.2 If the response to 10.1 is yes, provide information related to this exemption:
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? Yes [] No [X]
- 10.4 If the response to 10.3 is yes, provide information related to this exemption:
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? Yes [] No [] N/A [X]
- 10.6 If the response to 10.5 is no or n/a, please explain
As a captive reinsurer, the Company is exempt from establishing an audit committee.....
- 11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?
Timothy A. Reuer, SVP & Corporate Actuary, 5400 S. Solberg Ave., Sioux Falls, SD 57108.....
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? Yes [] No [X]
 - 12.11 Name of real estate holding company
 - 12.12 Number of parcels involved
 - 12.13 Total book/adjusted carrying value \$.....
- 12.2 If yes, provide explanation
- 13. FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? Yes [] No []
- 13.3 Have there been any changes made to any of the trust indentures during the year? Yes [] No []
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? Yes [] No [] N/A []
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - a. Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - b. Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - c. Compliance with applicable governmental laws, rules and regulations;
 - d. The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - e. Accountability for adherence to the code.
- 14.11 If the response to 14.1 is no, please explain:
- 14.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s)
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount
.....
.....
.....

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict or is likely to conflict with the official duties of such person? Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers \$.....
 - 20.12 To stockholders not officers \$.....
 - 20.13 Trustees, supreme or grand (Fraternal only) \$.....
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers \$.....
 - 20.22 To stockholders not officers \$.....
 - 20.23 Trustees, supreme or grand (Fraternal only) \$.....
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others \$.....
 - 21.22 Borrowed from others \$.....
 - 21.23 Leased from others \$.....
 - 21.24 Other \$.....
- 22.1 Does this statement include payments for assessments as described in the *Annual Statement Instructions* other than guaranty fund or guaranty association assessments? Yes [] No [X]
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$.....
 - 22.22 Amount paid as expenses \$.....
 - 22.23 Other amounts paid \$.....
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$.....

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [] No [X]
- 24.02 If no, give full and complete information, relating thereto
All bonds, stocks, and other securities are held under custodial agreement with Bank of New York Mellon.....
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
- 24.04 Does the company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [] No [] NA [X]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. \$.....
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs. \$.....
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] NA [X]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] NA [X]
- 24.09 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending? Yes [] No [] NA [X]
- 24.10 For the reporting entity's security lending program, state the amount of the following as of December 31 of the current year:
- 24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$.....
 - 24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$.....
 - 24.103 Total payable for securities lending reported on the liability page \$.....

GENERAL INTERROGATORIES

- 25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes [] No [X]
- 25.2 If yes, state the amount thereof at December 31 of the current year:
- | | |
|---|---------|
| 25.21 Subject to repurchase agreements | \$..... |
| 25.22 Subject to reverse repurchase agreements | \$..... |
| 25.23 Subject to dollar repurchase agreements | \$..... |
| 25.24 Subject to reverse dollar repurchase agreements | \$..... |
| 25.25 Placed under option agreements | \$..... |
| 25.26 Letter stock or securities restricted as to sale – excluding FHLB Capital Stock | \$..... |
| 25.27 FHLB Capital Stock | \$..... |
| 25.28 On deposit with states | \$..... |
| 25.29 On deposit with other regulatory bodies | \$..... |
| 25.30 Pledged as collateral – excluding collateral pledged to an FHLB | \$..... |
| 25.31 Pledged as collateral to FHLB – including assets backing funding agreements | \$..... |
| 25.32 Other | \$..... |

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

- 26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [] No [X]
- 26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]
If no, attach a description with this statement.
- 27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [] No [X]
- 27.2 If yes, state the amount thereof at December 31 of the current year. \$.....
28. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

28.01 For agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Bank of New York Mellon.....	PO Box 11214, New York, NY 10286-1214.....

28.02 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes [] No [X]
- 28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

GENERAL INTERROGATORIES

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Guggenheim Partners Investment Management, LLC.....	A.....
.....
.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes No

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes No

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
137432.....	Guggenheim Partners Investment Management, LLC.....	549300XWQLVNUK615E79.....	Securities Exchange Commission.....	DS.....

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D - Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])? Yes No

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
.....
.....
.....
29.2999 TOTAL		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation
.....
.....
.....

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds.....	133,796,085	136,229,314	2,433,229
30.2 Preferred Stocks.....			
30.3 Totals	133,796,085	136,229,314	2,433,229

30.4 Describe the sources or methods utilized in determining the fair values:

Fair values for securities are obtained from quoted market prices, independent pricing sources, broker quotes, and fair value/cash flow models.....

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes No

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes No

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes No

32.2 If no, list exceptions:

GENERAL INTERROGATORIES

OTHER

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? \$

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
.....	\$.....
.....	\$.....
.....	\$.....

34.1 Amount of payments for legal expenses, if any? \$

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
.....	\$.....
.....	\$.....
.....	\$.....

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? \$

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
.....	\$.....
.....	\$.....
.....	\$.....

GENERAL INTERROGATORIES

PART 2 - LIFE INTERROGATORIES

- 1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes [] No [X]
- 1.2 If yes, indicate premium earned on U. S. business only. \$
- 1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$
- 1.31 Reason for excluding:

- 1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. \$
- 1.5 Indicate total incurred claims on all Medicare Supplement insurance. \$
- 1.6 Individual policies:
- Most current three years:
- 1.61 Total premium earned \$
- 1.62 Total incurred claims \$
- 1.63 Number of covered lives
- All years prior to most current three years:
- 1.64 Total premium earned \$
- 1.65 Total incurred claims \$
- 1.66 Number of covered lives
- 1.7 Group policies:
- Most current three years:
- 1.71 Total premium earned \$
- 1.72 Total incurred claims \$
- 1.73 Number of covered lives
- All years prior to most current three years:
- 1.74 Total premium earned \$
- 1.75 Total incurred claims \$
- 1.76 Number of covered lives

2. Health Test:

		1		2
		Current Year		Prior Year
2.1	Premium Numerator	\$	\$	\$
2.2	Premium Denominator	\$134,512,088	\$	\$142,940,803
2.3	Premium Ratio (2.1/2.2)
2.4	Reserve Numerator	\$	\$	\$
2.5	Reserve Denominator	\$755,379,316	\$	\$650,789,760
2.6	Reserve Ratio (2.4/2.5)

- 3.1 Does this reporting entity have Separate Accounts? Yes [] No [X]
- 3.2 If yes, has a Separate Accounts statement been filed with this Department? Yes [] No [] N/A [X]
- 3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account? \$
- 3.4 State the authority under which Separate Accounts are maintained:

- 3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? Yes [] No []
- 3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? Yes [] No [X]
- 3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)? \$
- 4.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? Yes [X] No []
- 4.2 Net reimbursement of such expenses between reporting entities:
- 4.21 Paid \$100,000
- 4.22 Received \$
- 5.1 Does the reporting entity write any guaranteed interest contracts? Yes [] No [X]
- 5.2 If yes, what amount pertaining to these items is included in:
- 5.21 Page 3, Line 1 \$
- 5.22 Page 4, Line 1 \$
6. For stock reporting entities only:
- 6.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity: \$2,400,000
7. Total dividends paid stockholders since organization of the reporting entity:
- 7.11 Cash \$
- 7.12 Stock \$

GENERAL INTERROGATORIES

8.1 Does the reporting entity reinsure any Workers' Compensation Carve-Out business defined as: Yes [] No [X]
 Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.

8.2 If yes, has the reporting entity completed the *Workers Compensation Carve-Out Supplement* to the Annual Statement? Yes [] No []

8.3 If 8.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
8.31 Earned premium.....
8.32 Paid claims.....
8.33 Claim liability and reserve (beginning of year).....
8.34 Claim liability and reserve (end of year).....
8.35 Incurred claims.....

8.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 8.31 and 8.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability And Reserve
8.41	<\$25,000
8.42	\$25,000 – 99,999
8.43	\$100,000 – 249,999
8.44	\$250,000 – 999,999
8.45	\$1,000,000 or more

8.5 What portion of earned premium reported in 8.31, Column 1 was assumed from pools? \$.....

9.1 Does the reporting entity have variable annuities with guaranteed benefits? Yes [] No [X]

9.2 If 9.1 is yes, complete the following table for each type of guaranteed benefit.

Type		3	4	5	6	7	8	9
1 Guaranteed Death Benefits	2 Guaranteed Living Benefits	Waiting Period Remaining	Account Value Related to Col.3	Total Related Account Values	Gross Amount of Reserve	Location of Reserve	Portion Reinsured	Reinsurance Reserve Credit

10. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

10.1 Amount of loss reserves established by these annuities during the current year: \$.....

10.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1 P&C Insurance Company and Location	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)
.....
.....
.....
.....

11.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

11.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$.....

11.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

11.4 If yes, please provide the balance of the funds administered as of the reporting date. \$.....

GENERAL INTERROGATORIES

12.1 Are any of the captive affiliates reported on Schedule S, Part 3, as authorized reinsurers? Yes [] No [] N/A []

12.2 If the answer to 12.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

13. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded).

13.1 Direct Premium Written..... \$.....

13.2 Total Incurred Claims \$.....

13.3 Number of Covered Lives

*Ordinary Life Insurance Includes
Term (whether full underwriting, limited underwriting, jet issue, "short form app")
Whole Life (whether full underwriting, limited underwriting, jet issue, "short form app")
Variable Life (with or without secondary guarantee)
Universal Life (with or without secondary guarantee)
Variable Universal Life (with or without secondary guarantee)

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e., 17.6.
 Show amounts of life insurance in this exhibit in thousands (OMIT \$000)

	1 2016	2 2015	3 2014	4 2013	5 2012
Life Insurance in Force (Exhibit of Life Insurance)					
1. Ordinary-whole life and endowment (Line 34, Col. 4)					
2. Ordinary-term (Line 21, Col. 4, less Line 34, Col. 4)	52,957,916	55,329,238	58,275,264	51,346,006	44,180,007
3. Credit life (Line 21, Col. 6)					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)	832,865	859,175	908,748	867,262	749,841
5. Industrial (Line 21, Col. 2)					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4)					
7. Total (Line 21, Col. 10)	53,790,781	56,188,413	59,184,012	52,213,268	44,929,848
New Business Issued (Exhibit of Life Insurance)					
8. Ordinary-whole life and endowment (Line 34, Col. 2)					
9. Ordinary-term (Line 2, Col. 4, less Line 34, Col. 2)			9,031,997	9,151,179	8,035,851
10. Credit life (Line 2, Col. 6)					
11. Group (Line 2, Col. 9)			80,667	142,585	107,774
12. Industrial (Line 2, Col. 2)					
13. Total (Line 2, Col. 10)			9,112,663	9,293,764	8,143,625
Premium Income - Lines of Business (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2)					
15.1 Ordinary life insurance (Line 20.4, Col. 3)	132,221,517	140,607,074	145,081,474	128,544,029	109,409,892
15.2 Ordinary individual annuities (Line 20.4, Col. 4)					
16. Credit life, (group and individual) (Line 20.4, Col. 5)					
17.1 Group life insurance (Line 20.4, Col. 6)	2,290,571	2,333,729	2,565,646	2,386,457	2,232,855
17.2 Group annuities (Line 20.4, Col. 7)					
18.1A & H-group (Line 20.4, Col. 8)					
18.2A & H-credit (group and individual) (Line 20.4, Col. 9)					
18.3A & H-other (Line 20.4, Col. 10)					
19. Aggregate of all other lines of business (Line 20.4, Col. 11)					
20. Total	134,512,088	142,940,803	147,647,120	130,930,487	111,642,747
Balance Sheet (Pages 2 and 3)					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	948,025,992	896,456,544	802,651,611	627,057,986	482,921,464
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26)	784,507,213	701,327,758	595,206,276	456,572,790	344,104,339
23. Aggregate life reserves (Page 3, Line 1)	783,426,653	701,030,988	594,954,957	456,320,095	343,999,196
24. Aggregate A & H reserves (Page 3, Line 2)					
25. Deposit-type contract funds (Page 3, Line 3)					
26. Asset valuation reserve (Page 3, Line 24.01)	312,565	271,770	213,071	137,984	77,881
27. Capital (Page 3, Lines 29 & 30)	100,000	100,000	100,000	100,000	100,000
28. Surplus (Page 3, Line 37)	163,418,780	195,028,786	207,345,335	170,385,197	138,717,124
Cash Flow (Page 5)					
29. Net cash from operations (Line 11)	(27,089,714)	18,727,101	35,450,141	11,400,385	48,148,436
Risk-Based Capital Analysis					
30. Total adjusted capital	163,831,346	195,400,556	207,658,406	170,623,181	138,895,005
31. Authorized control level risk-based capital	19,027,362	19,688,259	20,475,172	18,441,874	16,346,837
Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Col. 3) (Line No./Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1)	76.3	89.9	76.0	74.4	54.1
33. Stocks (Lines 2.1 and 2.2)					
34. Mortgage loans on real estate (Lines 3.1 and 3.2)					
35. Real estate (Lines 4.1, 4.2 and 4.3)					
36. Cash, cash equivalents and short-term investments (Line 5)	23.7	10.1	24.0	25.6	45.9
37. Contract loans (Line 6)					
38. Derivatives (Page 2, Line 7)					
39. Other invested assets (Line 8)					
40. Receivables for securities (Line 9)					
41. Securities lending reinvested collateral assets (Line 10)					
42. Aggregate write-ins for invested assets (Line 11)					
43. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA

(Continued)

	1 2016	2 2015	3 2014	4 2013	5 2012
Investments in Parent, Subsidiaries and Affiliates					
44. Affiliated bonds (Sch. D Summary, Line 12, Col. 1)					
45. Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)					
46. Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)					
48. Affiliated mortgage loans on real estate					
49. All other affiliated					
50. Total of above Lines 44 to 49					
51. Total investment in parent included in Lines 44 to 49 above					
Total Nonadmitted and Admitted Assets					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2)					
53. Total admitted assets (Page 2, Line 28, Col. 3)	948,025,992	896,456,544	802,651,611	627,057,986	482,921,464
Investment Data					
54. Net investment income (Exhibit of Net Investment Income)	12,041,820	9,648,209	6,256,020	3,783,410	1,900,878
55. Realized capital gains (losses) (Page 4, Line 34, Column 1)					
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1)					
57. Total of above Lines 54, 55 and 56	12,041,820	9,648,209	6,256,020	3,783,410	1,900,878
Benefits and Reserve Increase (Page 6)					
58. Total contract benefits-life (Lines 10, 11, 12, 13, 14 and 15, Col.1 minus Lines 10, 11, 12, 13, 14, and 15, Cols. 9, 10 and 11)	63,298,831	51,103,639	49,377,964	38,153,955	27,200,569
59. Total contract benefits-A & H (Lines 13 & 14, Cols. 9, 10 & 11)					
60. Increase in life reserves-other than group and annuities (Line 19, Cols. 2 & 3)	80,760,983	103,954,511	136,249,396	110,106,288	89,746,565
61. Increase in A & H reserves (Line 19, Cols. 9, 10 & 11)					
62. Dividends to policyholders (Line 30, Col. 1)					
Operating Percentages					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col.1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.00	10.1	16.3	27.7	31.2	32.1
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Column 4, Lines 14 & 15) / ½ (Exhibit of Life Insurance, Column 4, Lines 1 & 21)] x 100.00	4.3	5.1	3.7	4.1	4.9
65. A & H loss percent (Schedule H, Part 1, Lines 5 & 6, Col. 2)					
66. A & H cost containment percent (Schedule H, Part 1, Line 4, Col. 2)					
67. A & H expense percent excluding cost containment expenses (Schedule H, Part 1, Line 10, Col. 2)					
A & H Claim Reserve Adequacy					
68. Incurred losses on prior years' claims-group health (Sch. H, Part 3, Line 3.1, Col. 2)					
69. Prior years' claim liability and reserve-group health (Sch. H, Part 3, Line 3.2, Col. 2)					
70. Incurred losses on prior years' claims-health other than group (Sch. H, Part 3, Line 3.1, Col. 1 less Col. 2)					
71. Prior years' claim liability and reserve-health other than group (Sch. H, Part 3, Line 3.2, Col. 1 less Col. 2)					
Net Gains From Operations After Federal Income Taxes by Lines of Business (Page 6, Line 33)					
72. Industrial life (Col. 2)					
73. Ordinary-life (Col. 3)	(56,505,667)	(54,280,682)	(65,282,371)	(53,906,102)	(14,845,951)
74. Ordinary-individual annuities (Col. 4)					
75. Ordinary-supplementary contracts (Col. 5)					
76. Credit life (Col. 6)					
77. Group life (Col. 7)	(470,065)	(1,963,447)	(144,980)	(697,000)	214,398
78. Group annuities (Col. 8)					
79. A & H-group (Col. 9)					
80. A & H-credit (Col. 10)					
81. A & H-other (Col. 11)					
82. Aggregate of all other lines of business (Col. 12)					
83. Total (Col. 1)	(56,975,732)	(56,244,128)	(65,427,351)	(54,603,103)	(14,631,553)

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3—Accounting Changes and Correction of Errors? Yes [] No []

If no, please explain



0 0 0 0 0 2 0 1 6 4 3 0 1 6 0 0 0

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

DIRECT BUSINESS IN THE STATE OF Iowa

DURING THE YEAR 2016

NAIC Group Code 00431

LIFE INSURANCE

NAIC Company Code 00000

Table with 5 columns: DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS, 1 Ordinary, 2 Credit Life (Group and Individual), 3 Group, 4 Industrial, 5 Total. Rows include Life insurance, Annuity considerations, and Grand Totals.

Table with 10 columns: DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED, 1-2 Ordinary (No., Amount), 3-4 Credit Life (No. of Ind. Pols. & Gr. Certifs., Amount), 5-6 Group (No. of Certifs., Amount), 7-8 Industrial (No., Amount), 9-10 Total (No., Amount). Rows include Unpaid December 31, Incurred during current year, and Policy Exhibit.

(a) Includes Individual Credit Life Insurance: prior year \$, current year \$
Includes Group Credit Life Insurance: Loans less than or equal to 60 months at issue, prior year \$, current year \$
Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

Table with 5 columns: 1 Direct Premiums, 2 Direct Premiums Earned, 3 Dividends Paid or Credited On Direct Business, 4 Direct Losses Paid, 5 Direct Losses Incurred. Rows include Group policies, Federal Employees Health Benefits Plan, Medicare Title XVIII, and Non-cancelable policies.

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products



0 0 0 0 0 2 0 1 6 4 3 0 5 9 0 0 0

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

DIRECT BUSINESS IN THE STATE OF Consolidated

DURING THE YEAR 2016

NAIC Group Code 00431

LIFE INSURANCE

NAIC Company Code 00000

Table with 5 columns: 1 Ordinary, 2 Credit Life (Group and Individual), 3 Group, 4 Industrial, 5 Total. Rows include: DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS, DIRECT DIVIDENDS TO POLICYHOLDERS, DIRECT CLAIMS AND BENEFITS PAID, and DETAILS OF WRITE-INS.

Table with 10 columns: 1 No., 2 Amount, 3 No. of Ind. Pols. & Gr. Certifs., 4 Amount, 5 No. of Certifs., 6 Amount, 7 No., 8 Amount, 9 No., 10 Amount. Rows include: DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED and POLICY EXHIBIT.

(a) Includes Individual Credit Life Insurance: prior year \$, current year \$
Includes Group Credit Life Insurance: Loans less than or equal to 60 months at issue, prior year \$, current year \$
Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

Table with 5 columns: 1 Direct Premiums, 2 Direct Premiums Earned, 3 Dividends Paid or Credited On Direct Business, 4 Direct Losses Paid, 5 Direct Losses Incurred. Rows include: 24. Group policies (b), 24.1 Federal Employees Health Benefits Plan premium (b), 24.2 Credit (Group and Individual), 24.3 Collectively renewable policies (b), 24.4 Medicare Title XVIII exempt from state taxes or fees, 24.5 Other Individual Policies, 25.1 Non-cancelable (b), 25.2 Guaranteed renewable (b), 25.3 Non-renewable for stated reasons only (b), 25.4 Other accident only, 25.5 All other (b), 25.6 Totals (sum of Lines 25.1 to 25.5), 26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

EXHIBIT OF LIFE INSURANCE

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10 Total Amount of Insurance (a)
	1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)	5 Number of Individual Policies and Group Certificates	6 Amount of Insurance (a)	Number of		9 Amount of Insurance (a)	
							7 Policies	8 Certificates		
1. In force end of prior year			144,905	55,329,238			1	1,998	859,175	56,188,413
2. Issued during year										
3. Reinsurance assumed										
4. Revived during year										
5. Increased during year (net)										
6. Subtotals, Lines 2 to 5										
7. Additions by dividends during year	XXX		XXX		XXX		XXX	XXX		
8. Aggregate write-ins for increases										
9. Totals (Lines 1 and 6 to 8)			144,905	55,329,238			1	1,998	859,175	56,188,413
Deductions during year:										
10. Death			222	62,286			XXX	2	250	62,536
11. Maturity							XXX			
12. Disability							XXX			
13. Expiry										
14. Surrender										
15. Lapse			6,981	2,309,035				.75	26,061	2,335,095
16. Conversion							XXX	XXX	XXX	
17. Decreased (net)										
18. Reinsurance										
19. Aggregate write-ins for decreases										
20. Totals (Lines 10 to 19)			7,203	2,371,321				.77	26,311	2,397,632
21. In force end of year (Line 9 minus Line 20)			137,702	52,957,916			1	1,921	832,865	53,790,781
22. Reinsurance ceded end of year	XXX		XXX		XXX		XXX	XXX		
23. Line 21 minus Line 22	XXX		XXX	52,957,916	XXX	(b)	XXX	XXX	832,865	53,790,781
DETAILS OF WRITE-INS										
0801.										
0802.										
0803.										
0898. Summary of remaining write-ins for Line 8 from overflow page										
0899. Totals (Lines 0801 through 0803 plus 0898) (Line 8 above)										
1901.										
1902.										
1903.										
1998. Summary of remaining write-ins for Line 19 from overflow page										
1999. Totals (Lines 1901 through 1903 plus 1998) (Line 19 above)										

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)

(b) Group \$; Individual \$

EXHIBIT OF LIFE INSURANCE (Continued)

ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

	Industrial		Ordinary	
	1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)
24. Additions by dividends	XXX		XXX	
25. Other paid-up insurance				
26. Debit ordinary insurance	XXX	XXX		

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

Term Insurance Excluding Extended Term Insurance	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)
27. Term policies-decreasing				
28. Term policies-other			137,702	52,957,916
29. Other term insurance-decreasing	XXX		XXX	
30. Other term insurance	XXX		XXX	
31. Totals, (Lines 27 to 30)			137,702	52,957,916
Reconciliation to Lines 2 and 21:				
32. Term additions	XXX		XXX	
33. Totals, extended term insurance	XXX	XXX		
34. Totals, whole life and endowment				
35. Totals (Lines 31 to 34)			137,702	52,957,916

CLASSIFICATION OF AMOUNT OF INSURANCE (a) BY PARTICIPATING STATUS

	Issued During Year (included in Line 2)		In Force End of Year (included in Line 21)	
	1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36. Industrial				
37. Ordinary			52,957,916	
38. Credit Life (Group and Individual)				
39. Group			832,865	
40. Totals (Lines 36 to 39)			53,790,781	

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

	Credit Life		Group	
	1 Number of Individual Policies and Group Certificates	2 Amount of Insurance (a)	3 Number of Certificates	4 Amount of Insurance (a)
41. Amount of insurance included in Line 2 ceded to other companies	XXX		XXX	
42. Number in force end of year if the number under shared groups is rounded on a pro-rata basis		XXX		XXX
43. Federal Employees' Group Life Insurance included in Line 21				
44. Servicemen's Group Life Insurance included in Line 21				
45. Group Permanent Insurance included in Line 21				

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46. Amount of additional accidental death benefits in force end of year under ordinary policies (a)	
---	--

BASIS OF CALCULATION OF ORDINARY TERM INSURANCE

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Children, etc. policies and riders included above	
47.1	
47.2	

POLICIES WITH DISABILITY PROVISIONS

Disability Provision	Industrial		Ordinary		Credit		Group	
	1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)	5 Number of Policies	6 Amount of Insurance (a)	7 Number of Certificates	8 Amount of Insurance (a)
48. Waiver of Premium								
49. Disability Income								
50. Extended Benefits			XXX	XXX				
51. Other								
52. Total		(b)		(b)		(b)		(b)

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)

(b) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions.

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS

	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year				
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Total (Lines 1 to 4)				
Deductions during year:				
6. Decreased (net)				
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)				
9. In force end of year				
10. Amount on deposit		(a)		(a)
11. Income now payable				
12. Amount of income payable	(a)	(a)	(a)	(a)

NONE

ANNUITIES

	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year				
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Totals (Lines 1 to 4)				
Deductions during year:				
6. Decreased (net)				
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)				
9. In force end of year				
Income now payable:				
10. Amount of income payable	(a)	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance	XXX	(a)	XXX	(a)

NONE

ACCIDENT AND HEALTH INSURANCE

	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year						
2. Issued during year						
3. Reinsurance assumed						
4. Increased during year (net)		XXX		XXX		XXX
5. Totals (Lines 1 to 4)				XXX		XXX
Deductions during year:						
6. Conversions		XXX	XXX	XXX	XXX	XXX
7. Decreased (net)		XXX		XXX		XXX
8. Reinsurance ceded		XXX		XXX		XXX
9. Totals (Lines 6 to 8)		XXX		XXX		XXX
10. In force end of year		(a)		(a)		(a)

NONE

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS

	1	2
	Deposit Funds Contracts	Dividend Accumulations Contracts
1. In force end of prior year		
2. Issued during year		
3. Reinsurance assumed		
4. Increased during year (net)		
5. Totals (Lines 1 to 4)		
Deductions during year:		
6. Decreased (net)		
7. Reinsurance ceded		
8. Totals (Lines 6 and 7)		
9. In force end of year		
10. Amount of account balance	(a)	(a)

NONE

(a) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions.

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

Interest Maintenance Reserve

	1 Amount
1. Reserve as of December 31, prior year	(96,528)
2. Current year's realized pre-tax capital gains/(losses) of \$860,551 transferred into the reserve net of taxes of \$ 301,193	559,358
3. Adjustment for current year's liability gains/(losses) released from the reserve	
4. Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	462,830
5. Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	21,367
6. Reserve as of December 31, current year (Line 4 minus Line 5)	441,463

Amortization

Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1+2+3)
1. 2016	(3,306)	24,673		21,367
2. 2017	(5,489)	50,563		45,074
3. 2018	(9,779)	52,899		43,120
4. 2019	(14,931)	54,906		39,975
5. 2020	(20,546)	57,011		36,465
6. 2021	(20,192)	59,676		39,484
7. 2022	(15,371)	56,750		41,379
8. 2023	(10,323)	49,549		39,226
9. 2024	(4,528)	41,691		37,163
10. 2025	1,196	33,503		34,699
11. 2026	2,992	24,889		27,881
12. 2027	2,052	18,671		20,723
13. 2028	1,087	14,983		16,071
14. 2029	449	10,834		11,283
15. 2030	160	6,454		6,615
16. 2031		2,305		2,305
17. 2032				
18. 2033				
19. 2034				
20. 2035				
21. 2036				
22. 2037				
23. 2038				
24. 2039				
25. 2040				
26. 2041				
27. 2042				
28. 2043				
29. 2044				
30. 2045				
31. 2046 and Later				
32. Total (Lines 1 to 31)	(96,528)	559,358		462,830

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

ASSET VALUATION RESERVE

	Default Component			Equity Component			7 Total Amount (Cols. 3+6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1+2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4+5)	
1. Reserve as of December 31, prior year	271,770		271,770				271,770
2. Realized capital gains/(losses) net of taxes-General Account							
3. Realized capital gains/(losses) net of taxes-Separate Accounts							
4. Unrealized capital gains/(losses) net of deferred taxes-General Account							
5. Unrealized capital gains/(losses) net of deferred taxes-Separate Accounts							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves							
7. Basic contribution	57,701		57,701				57,701
8. Accumulated balances (Lines 1 through 5 - 6 + 7).....	329,471		329,471				329,471
9. Maximum reserve	343,802		343,802				343,802
10. Reserve objective.....	244,944		244,944				244,944
11. 20% of (Line 10 - Line 8)	(16,905)		(16,905)				(16,905)
12. Balance before transfers (Lines 8 + 11)	312,565		312,565				312,565
13. Transfers							
14. Voluntary contribution							
15. Adjustment down to maximum/up to zero							
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	312,565		312,565				312,565

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1+2+3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4x5)	7 Factor	8 Amount (Cols. 4x7)	9 Factor	10 Amount (Cols. 4x9)
LONG-TERM BONDS												
1		Exempt Obligations	43,083,270	XXX	XXX	43,083,270						
2	1	Highest Quality	63,770,649	XXX	XXX	63,770,649	0.0004	25,508	0.0023	146,672	0.0030	191,312
3	2	High Quality	16,943,374	XXX	XXX	16,943,374	0.0019	32,192	0.0058	98,272	0.0090	152,490
4	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
5	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
6	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
7	6	In or Near Default		XXX	XXX				0.2000		0.2000	
8		Total Unrated Multi-class Securities Acquired by Conversion		XXX	XXX		XXX		XXX		XXX	
9		Total Long-Term Bonds (Sum of Lines 1 through 8)	123,797,293	XXX	XXX	123,797,293	XXX	57,701	XXX	244,944	XXX	343,802
PREFERRED STOCK												
10	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
11	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
12	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
13	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
14	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
15	6	In or Near Default		XXX	XXX				0.2000		0.2000	
16		Affiliated Life with AVR		XXX	XXX							
17		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	
SHORT-TERM BONDS												
18		Exempt Obligations		XXX	XXX							
19	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
20	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
21	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
22	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
23	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
24	6	In or Near Default		XXX	XXX				0.2000		0.2000	
25		Total Short-Term Bonds (Sum of Lines 18 through 24)		XXX	XXX		XXX		XXX		XXX	
DERIVATIVE INSTRUMENTS												
26		Exchange Traded		XXX	XXX		0.0004		0.0023		0.0030	
27	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
28	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
29	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
30	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
31	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
32	6	In or Near Default		XXX	XXX				0.2000		0.2000	
33		Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX	
34		Total (Lines 9 + 17 + 25 + 33)	123,797,293	XXX	XXX	123,797,293	XXX	57,701	XXX	244,944	XXX	343,802

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1+2+3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4x5)	7 Factor	8 Amount (Cols. 4x7)	9 Factor	10 Amount (Cols. 4x9)
		MORTGAGE LOANS										
		In Good Standing:										
35		Farm Mortgages - CM1 - Highest Quality			XXX		0.0010		0.0050		0.0065	
36		Farm Mortgages - CM2 - High Quality			XXX		0.0035		0.0100		0.0130	
37		Farm Mortgages - CM3 - Medium Quality			XXX		0.0060		0.0175		0.0225	
38		Farm Mortgages - CM4 - Low Medium Quality			XXX		0.0105		0.0300		0.0375	
39		Farm Mortgages - CM5 - Low Quality			XXX		0.0160		0.0425		0.0550	
40		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0006		0.0010	
41		Residential Mortgages - All Other			XXX		0.0013		0.0030		0.0040	
42		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0006		0.0010	
43		Commercial Mortgages - All Other - CM1 - Highest Quality			XXX		0.0010		0.0050		0.0065	
44		Commercial Mortgages - All Other - CM2 - High Quality			XXX		0.0035		0.0100		0.0130	
45		Commercial Mortgages - All Other - CM3 - Medium Quality			XXX		0.0060		0.0175		0.0225	
46		Commercial Mortgages - All Other - CM4 - Low Medium Quality			XXX		0.0105		0.0300		0.0375	
47		Commercial Mortgages - All Other - CM5 - Low Quality			XXX		0.0160		0.0425		0.0550	
		Overdue, Not in Process:										
48		Farm Mortgages			XXX		0.0420		0.0760		0.1200	
49		Residential Mortgages - Insured or Guaranteed			XXX		0.0005		0.0012		0.0020	
50		Residential Mortgages - All Other			XXX		0.0025		0.0058		0.0090	
51		Commercial Mortgages - Insured or Guaranteed			XXX		0.0005		0.0012		0.0020	
52		Commercial Mortgages - All Other			XXX		0.0420		0.0760		0.1200	
		In Process of Foreclosure:										
53		Farm Mortgages			XXX				0.1700		0.1700	
54		Residential Mortgages - Insured or Guaranteed			XXX				0.0040		0.0040	
55		Residential Mortgages - All Other			XXX				0.0130		0.0130	
56		Commercial Mortgages - Insured or Guaranteed			XXX				0.0040		0.0040	
57		Commercial Mortgages - All Other			XXX				0.1700		0.1700	
58		Total Schedule B Mortgages (Sum of Lines 35 through 57).....			XXX		XXX		XXX		XXX	
59		Schedule DA Mortgages			XXX		0.0030		0.0100		0.0130	
60		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

AVR - Equity Component

NONE

Asset Valuation Reserve RSA

NONE

Schedule F - Claims

NONE

Schedule H - Part 1

NONE

Schedule H - Part 2

NONE

Schedule H - Part 3

NONE

Schedule H - Part 4

NONE

Schedule H - Part 5 - Health Claims

NONE

Schedule S - Part 1 - Section 2

NONE

Schedule S - Part 2

NONE

Schedule S - Part 3 - Section 1

NONE

Schedule S - Part 3 - Section 2

NONE

Schedule S - Part 4

NONE

Schedule S - Part 5

NONE

Schedule S - Part 6

NONE

Schedule S - Part 7

NONE

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE T—PREMIUMS AND ANNUITY CONSIDERATIONS

Allocated by States and Territories

States, Etc.	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit - Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama	AL						
2. Alaska	AK						
3. Arizona	AZ						
4. Arkansas	AR						
5. California	CA						
6. Colorado	CO						
7. Connecticut	CT						
8. Delaware	DE						
9. District of Columbia	DC						
10. Florida	FL						
11. Georgia	GA						
12. Hawaii	HI						
13. Idaho	ID						
14. Illinois	IL						
15. Indiana	IN						
16. Iowa	IA	L					
17. Kansas	KS						
18. Kentucky	KY						
19. Louisiana	LA						
20. Maine	ME						
21. Maryland	MD						
22. Massachusetts	MA						
23. Michigan	MI						
24. Minnesota	MN						
25. Mississippi	MS						
26. Missouri	MO						
27. Montana	MT						
28. Nebraska	NE						
29. Nevada	NV						
30. New Hampshire	NH						
31. New Jersey	NJ						
32. New Mexico	NM						
33. New York	NY						
34. North Carolina	NC						
35. North Dakota	ND						
36. Ohio	OH						
37. Oklahoma	OK						
38. Oregon	OR						
39. Pennsylvania	PA						
40. Rhode Island	RI						
41. South Carolina	SC						
42. South Dakota	SD						
43. Tennessee	TN						
44. Texas	TX						
45. Utah	UT						
46. Vermont	VT						
47. Virginia	VA						
48. Washington	WA						
49. West Virginia	WV						
50. Wisconsin	WI						
51. Wyoming	WY						
52. American Samoa	AS						
53. Guam	GU						
54. Puerto Rico	PR						
55. US Virgin Islands	VI						
56. Northern Mariana Islands	MP						
57. Canada	CAN						
58. Aggregate Other Alien	OT	XXX					
59. Subtotal	(a)	1					
90. Reporting entity contributions for employee benefits plans		XXX					
91. Dividends or refunds applied to purchase paid-up additions and annuities		XXX					
92. Dividends or refunds applied to shorten endowment or premium paying period		XXX					
93. Premium or annuity considerations waived under disability or other contract provisions		XXX					
94. Aggregate other amounts not allocable by State		XXX					
95. Totals (Direct Business)		XXX					
96. Plus reinsurance assumed		XXX	134,512,088			134,512,088	
97. Totals (All Business)		XXX	134,512,088			134,512,088	
98. Less reinsurance ceded		XXX					
99. Totals (All Business) less Reinsurance Ceded		XXX	134,512,088	(b)		134,512,088	
DETAILS OF WRITE-INS							
58001.		XXX					
58002.		XXX					
58003.		XXX					
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX					
58999. Total (Lines 58001 through 58003 + 58998)(Line 58 above)		XXX					
9401.		XXX					
9402.		XXX					
9403.		XXX					
9498. Summary of remaining write-ins for Line 94 from overflow page		XXX					
9499. Total (Lines 9401 through 9403 + 9498)(Line 94 above)		XXX					

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, etc., of premiums and annuity considerations

All premiums are reinsurance assumed and does not require an allocation by state.

(a) Insert the number of L responses except for Canada and Other Alien.

(b) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4 and 16.4, Cols. 8, 9 and 10, or with Schedule H, Part 1, Column 1, Line 1 indicate which; Exhibit 1, Lines 6.4, 10.4 and 16.4, Cols. 8, 9 and 10.

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE T – PART 2
INTERSTATE COMPACT – EXHIBIT OF PREMIUMS WRITTEN

Allocated By States and Territories

States, Etc.		Direct Business Only					Totals
		1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	
1. Alabama	AL						
2. Alaska	AK						
3. Arizona	AZ						
4. Arkansas	AR						
5. California	CA						
6. Colorado	CO						
7. Connecticut	CT						
8. Delaware	DE						
9. District of Columbia	DC						
10. Florida	FL						
11. Georgia	GA						
12. Hawaii	HI						
13. Idaho	ID						
14. Illinois	IL						
15. Indiana	IN						
16. Iowa	IA						
17. Kansas	KS						
18. Kentucky	KY						
19. Louisiana	LA						
20. Maine	ME						
21. Maryland	MD						
22. Massachusetts	MA						
23. Michigan	MI						
24. Minnesota	MN						
25. Mississippi	MS						
26. Missouri	MO						
27. Montana	MT						
28. Nebraska	NE						
29. Nevada	NV						
30. New Hampshire	NH						
31. New Jersey	NJ						
32. New Mexico	NM						
33. New York	NY						
34. North Carolina	NC						
35. North Dakota	ND						
36. Ohio	OH						
37. Oklahoma	OK						
38. Oregon	OR						
39. Pennsylvania	PA						
40. Rhode Island	RI						
41. South Carolina	SC						
42. South Dakota	SD						
43. Tennessee	TN						
44. Texas	TX						
45. Utah	UT						
46. Vermont	VT						
47. Virginia	VA						
48. Washington	WA						
49. West Virginia	WV						
50. Wisconsin	WI						
51. Wyoming	WY						
52. American Samoa	AS						
53. Guam	GU						
54. Puerto Rico	PR						
55. US Virgin Islands	VI						
56. Northern Mariana Islands	MP						
57. Canada	CAN						
58. Aggregate Other Alien	OT						
59. Totals							

NONE

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Sammons Enterprises, Inc. ESOT				
Sammons Enterprises, Inc.				
Consolidated Investment Services, Inc.				
Asheville Resolution Corporation				
GPI Ventures, LLC				
Community Investments, Inc.				
Sammons Corporation				
Sammons Financial Group, Inc.				
Sammons Securities, Inc.				
Sammons Financial Network, LLC				
Midland National Life Insurance Company	46-0164570	66044	IA	
MNL Reinsurance Company	27-4013872		IA	
Solberg Reinsurance Company	61-1583842		IA	
Midland National Services Corporation, LLC				
North American Company for Life and Health Insurance	36-2428931	66974	IA	
Sammons Retirement Solutions, Inc.				
Property Disposition, Inc.				
Briggs International, Inc.				
Briggs Equipment, Inc.				
Controladora Briggs de Mexico S. de R.L. de C.V.				
Forklift Operations de Mexico, S.A. de C.V.				
Mexcolift Servicios de Personnel S. de R.L. de C.V.				
Montacargas Yale, de Mexico S.A. de C.V.				
Briggs Equipment, S.A. de C.V.				
Briggs Equipment Mexico, Inc.				
Controladora Briggs de Mexico S. de R.L. de C.V.				
Montacargas Yale, de Mexico S.A. de C.V.				p
Briggs Equipment, S.A. de C.V.				
Forklift Operations de Mexico, S.A. de C.V.				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
<ul style="list-style-type: none"> <ul style="list-style-type: none"> <ul style="list-style-type: none"> Mexcolift Servicios de Personnel S. de R.L. de C.V. Briggs UK Holdings, Inc. <ul style="list-style-type: none"> Briggs Equipment UK Limited Sammons Infrastructure, Inc. Sammons Renewable Energy Holdings, Inc. <ul style="list-style-type: none"> SRE OpCo, Inc. <ul style="list-style-type: none"> SRE Solar OpCo, Inc. <ul style="list-style-type: none"> SRE Focalpoint Holdings, Inc. <ul style="list-style-type: none"> SRE/Franklin Park Focalpoint, LLC <ul style="list-style-type: none"> SRE/Focalpoint member, LLC <ul style="list-style-type: none"> Focalpoint Solar I, LLC SRE DevCo, Inc. <ul style="list-style-type: none"> SRE Hydro DevCo, Inc. <ul style="list-style-type: none"> SRE/Franklin Park Hydro Canada-1, LLC <ul style="list-style-type: none"> Sammons Renewable Energy Canada Holdings, Inc. SRE Blocker #1, Inc. SRE Blocker #2, Inc. SRE Blocker #3, Inc. SRE Blocker #4, Inc. SRE Blocker #5, Inc. SRE Blocker #6, Inc. Blue Fish Farms, Inc. Pathfinder Conservation Holdings Inc. <ul style="list-style-type: none"> Sweetwater Ranches Conservancy, LLC <ul style="list-style-type: none"> Sweetwater River Conservancy, LLC <ul style="list-style-type: none"> Sweetwater River Conservancy Greater Sage-Grouse Habitat Bank, LLC Sweetwater River Conservancy Bald and Golden Eagle Bank, LLC Sweetwater River Conservancy Stream and Riparian Bank, LLC Pathfinder Land and Ranch Management, LLC 				

51.1

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Sammons Equity Alliance, Inc.				
Compatriot Capital, Inc.				
JLB Chapman LP				
CCE Funding LLC				
SRI Ventures, LLC				
1900 Capital, Inc.				
ASG Mortgage Investors, LLC				
DBI/ASG Mortgage Acquisition Fund I, LP				
GASG Co-Investor Fund I, LP				
Heights 2, LLC d/b/a WSGEV Holdings, LP				
CCI Historic, Inc.				
Village Green Consolidated LLC				
Albion Residential LLC				
VGH/Dallas LLC				
Village Green Holding LLC				
Village Green Management Company LLC				
Village Green Construction LLC				
Village Green Communications LLC				
V-Suites LLC				
Southside Works Holding LLC				
Southside Works City Apartments LLC				
Morrow Park Holding LLC				
VG Morrow Park Capital LLC				
Morrow Park City Apartments LLC				
CCI/HTC, Inc.				
VGH Credit LLC				
SLBCA Holding LLC				
Soo Line Building City Apartments LLC				
SLBCA Developer LLC				

51.2

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
SLBCA Holding LLC				
Soo Line Building City Apartments LLC				
E2M Holdings, LLC				
E2M Partners, LLC				
E2M General Partner II, LLC				
E2M Fund II Holdco, LP				
E2M/SRC Investment Company, LLC				
KDC Holdings, LLC				
E2M General Partner III, LLC				
E2M Strategic Fund (Fund A), LP				
E2M/CCI Grapevine II Holdings, LLC				
E2M Grapevine II, LLC				
E2M Villas at RPW, LLC				
E2M/CCI Villas at RPW Holdings, LLC				
Ann Arbor City Apartments LLC				
VG Morrow Park Capital LLC				
Morrow Park City Apartments LLC				
JHCCI Leasing LLC				
JLB Partners LLC				
JLB Chapman LP				
JLB BUILDERS LLC				
JLB REALTY LLC				
JLB RESIDENTIAL LLC				
RHDFJ Partners, LP				
JLB 2728 Cedar Springs, LP				
JLB McLean LLC				
JLB Center Street, LP				
JLB Winhall, LP				
JLB Stafford Land LP				

51.3

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
JLB 99 West Paces Ferry LLC				
Amicus Operating Partners LLC				
Amicus Investors LLC				
SLF II-McCarty Investors, LP				
SLF II-McCarty Investors II, LP				
Aureus Group, LLC				
AFSP Fund I Partners, LLC				
CP Aureus FSP, LP				
Eiger Partners, LP				
Eiger Fund I, LP				
Longhorn Trail Ranch II, Ltd.				
Ski Partners, LLC				
Ski Partners II, LLC				
Sammons Capital, Inc.				
Enterhealth, LLC				
Sammons Power Development, Inc.				
Otter, Inc.				
Gila Bend Power Partners, LLC				
Pathfinder Renewable Wind Energy, LLC				
SAGE Assets, Inc.				
Guggenheim Capital, LLC				
Guggenheim Partners, LLC				
Guggenheim Knights of Security, LLC				
Guggenheim SBC Holdings, LLC				
Security Benefit Corporation				
CH Funding, LLC				
First Sec Bft Life Ins & Annuity Co of NY	13-3797548	60084	NY	
Security Benefit Life Insurance Company	48-0409770	68675	KS	
SecBen GBM Investco, LLC				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
<div style="display: flex; justify-content: space-between; width: 100%;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">51.5</div> <div style="border-left: 1px solid black; border-right: 1px solid black; height: 100%;"></div> </div>				
Security Distributors, LLC				
SAILES 2-0, LLC				
SAILES 2, LLC				
Gennessee Insurance Agency, LLC				
CF-G Funding, LLC				
CF-G Funding II, LLC				
CF-G Funding III, LLC				
Dunbarre Insurance Agency, LLC				
DNBR Funding, LLC				
DNBR Funding II, LLC				
IDF investment entities				
Trigger Investco, LLC				
SB Funding 2016-2, LLC				
Note Funding II, LLC				
Note Funding OHA, LLC				
DLSV 2015-1, LLC				
Note Funding OHA II, LLC				
Security Benefit Business Services, LLC				
se2 Holdco, LLC				
se2 Holdings, Inc.				
SE2 Information Services Ireland Limited				
se ² , LLC				
Security Benefit Academy, Inc.				
Security Financial Resources, Inc.				
N318MM, LLC				
SB Funding 2016-1, LLC				
NF - GPIM, LLC				
Note Funding 1892, LLC				
Cardamom RE HQ, LLC				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
<div style="display: flex; justify-content: space-between;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">51.6</div> <div style="border-left: 1px solid black; border-right: 1px solid black; border-bottom: 1px solid black; height: 673px;"></div> </div>				
CH Kansas, LLC				
KAL Aircraft, LLC				
SBL Holdings, Inc.				
Maranon Senior Credit IV, LLC				
SB Custody, LLC				
Mayfair Portfolio Trust, LLC				
se ² Holdings, LLC.				
SL Funding, LLC				
Padfield AH, LLC				
Corporate Funding VIII, LLC				
EL Funding, LLC				
Eldridge Services Inc.				
Eldridge Executive Services LLC				
Eldridge Business Services LLC				
Vectura Services LLC				
Primary Issue Anchor Separate Account LLC				
EBSAM LLC				
Eldridge Aircraft Services LLC				
Note Funding 1892-2, LLC				
Steamboat Portfolio Trust, LLC				
Sports Media Technology Corporation				
LSB Funding LLC				
Canon Portfolio Trust, LLC				
Four Six Four Aircraft LLC				
Four Six Four Aircraft II LLC				
Four Six Four Aircraft LAK (Ireland) Parent Limited				
Four Six Four Aircraft LAK (Ireland) I Limited				
Four Six Four Aircraft LAK (Ireland) II Limited				
Four Six Four Aircraft LAK (Ireland) III Limited				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
DSF Parent LLC				
DS Funding LLC				
Dark Star Media, LLC				
DS dcp Holdings LLC				
DS PGM Holdings LLC				
Prometheus Global Media Holdings, LLC				
Prometheus Global Media, LLC				
Prometheus Global Media Holdings, LLC				
The Hollywood Reporter				
Spinmedia LLC				
Billboard I, LLC				
Billboard IP Holdings LLC				
DS MB Holdings LLC				
MB Group Holdings LLC				
Mediabistro Holdings LLC				
Film Expo Group LLC				
PGM-MB Holdings LLC				
CLIO Awards LLC				
DS Malecon Holdings LLC				
Malecon Entertainment LLC				
Ballinshire investment entities				
Highland Peak investment entities				
CBAM Business Services LLC				
CBAM Funding 2016-1 LLC				
McLean Funding LLC				
CPD Funding 2016-1 LLC				
Bethesda Funding LLC				
Rockville Funding LLC				
Monterra investment entities				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote	
51.8	Wanamaker Portfolio Trust, LLC RTF II, LLC SBC Civic Center LLC 9350 Civic Center JV, LLC 9350 Civic Center Drive, LLC CardCash Holdings, LLC Replay Technology Funding, LLC A24 Films, LLC SB Directional Aviation LLC MF Master Seed Co., LLC MF Seed Co., LLC Aurify Brands, LLC PD Holdings LLC 100 N. Cresent Holdings LLC 100 N. Crescent LLC Elliott Bay Healthcare Realty Holdings LLC Elliott Bay Healthcare Realty LLC Stone Secured investment entities Eldridge Equipment Finance LLC Stonebriar Holdings LLC Stonebriar Finance Holdings LLC SCF Funding LLC SCF Rail Leasing LLC SCF Servicing Company LLC SCF Realty Capital LLC SCF RC Funding I LLC SCF RC Funding II LLC SCF RC Funding III LLC SCFRC-HW LLC				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
	SCFRC-HW-V LLC			
	SCF Realty Capital Trust LLC			
	SCF RC Master Funding I LLC			
	SCFRC-HW-1815 Quintard Avenue-Anniston LLC			
	SCFRC-HW-2421 South MacArthur Drive-Alexandria LLC			
	SCFRC-HW-3355 International Boulevard-Brownsville LLC			
	SCFRC-HW-4555 West Forest Home Avenue-Greenfield LLC			
	SCFRC-HW-528 South Broadway-Salem LLC			
	SCFRC-HW-7434 North Vancouver Avenue-Portland LLC			
	SCFRC-HW-755 Page Boulevard-Springfield LLC			
	SCFRC-HW-839 North Glenstone Avenue-Springfield LLC			
	SCFRC-HW-8584 West Dempster Street-Niles LLC			
	SCFRC-HW-909 North Kingshighway Blvd-St. Louis LLC			
	Stonebriar IFH LLC			
	Stonebriar Commercial Finance Canada Inc.			
	Stonebriar Commercial Finance LLC			
	SCF Equipment Trust 2016-1 LLC			
	SCF Business Aviation LLC			
	ACS Nextant Holdings LLC			
	Nextant Aircraft, LLC			
	Nextant Aircraft 9017, LLC			
	Nextant Aircraft 9054, LLC			
	FX Leasing, LLC			
	GIV-X 4330, LLC			
	GIV-X 4332, LLC			
	45-501, LLC			
	45-502, LLC			
	45-506, LLC			
	BD-700-1A10 9147, LLC			

51.9

STATEMENT AS OF December 31, 2016

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote

51.10

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote		
		<ul style="list-style-type: none"> Scottwell Funding, LLC Saxondale Funding, LLC SIA Funding, LLC Sifton Road Funding, LLC Sentry Funding entities Sudbourne Funding, LLC Stonefire Investors, LLC Retail Investors III, LLC Tomorrow, LLC Guggenheim Life 1099 Reporting Company, LLC Anchorage G Holdings, LLC Fashion Funding, LLC BCBG Max Azria Global Holdings, LLC Fashion Funding II, LLC Fashion Funding III, LLC Saadiyat GSTF IV, LLC Hesa GSTF V, LLC Fifth Ave GSTF II, LLC Biscay GSTF III, LLC Venice GSTF I, LLC Corporate Funding VI, LLC AF V - VII entities LSFCA entities Kitts Hill Funding entities Corporate Funding V, LLC LCLF investment entities Caprock Funding entities Guggenheim Fund Solutions, LLC GFS Management (Ireland) Limited 				

51.12

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
<div style="display: flex; justify-content: space-between;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">51.13</div> <div style="border-left: 1px solid black; border-right: 1px solid black; height: 670px;"></div> </div>				
<ul style="list-style-type: none"> GFS Funds Public Limited Company GFS UCITS Fund Public Limited Company GFS MAP (Ireland) entities GFS Map (U.S.) entities SFVII GP, LLC 				
Guggenheim Holdco Sub II, LLC				
Guggenheim Loan Agent, LLC				
Guggenheim Alternative Asset Management, LLC				
<ul style="list-style-type: none"> Guggenheim Management, LLC Guggenheim Advisors, LLC <ul style="list-style-type: none"> Guggenheim Advisors (Cayman) Ltd. GPC Portfolio Companies Guggenheim Advisors Funds 				
Aircraft Mgmt. Company, LLC				
Guggenheim Commercial Real Estate Finance, LLC				
<ul style="list-style-type: none"> Guggenheim Commercial Real Estate Finance (CA), Inc. 				
Guggenheim Partners India Holdings, LLC				
<ul style="list-style-type: none"> Guggenheim Partners India Limited (Cayman) <ul style="list-style-type: none"> Guggenheim Partners Mauritius I, Ltd. <ul style="list-style-type: none"> Guggenheim Partners Mauritius II, Ltd. Guggenheim Capital Management (Asia) Private Limited 				
Guggenheim Partners India GP, LLC				
<ul style="list-style-type: none"> Thomas Weisel India Opportunity Fund, LP GP India Opportunities Feeder Fund, LP 				
Guggenheim Partners India Management, LLC				
Guggenheim Real Estate LLC				
<ul style="list-style-type: none"> GRE U.S. Property Fund GP LLC GREI GP LLC <ul style="list-style-type: none"> Guggenheim Real Estate International Fund L.P. 				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Guggenheim Plus II GP, LLC				
Guggenheim Plus II L.P.				
Guggenheim Plus GP LLC				
Guggenheim Plus L.P.				
Guggenheim Plus Leveraged LLC				
GRE property holding companies				
FMF Peakview LLC				
Guggenheim Plus Acquisition LLC				
Slauson Investors LLC				
Guggenheim Concourse GP LLC				
Guggenheim Concourse L.P.				
Tustin-Michelle Partners LLC				
Nominee Holding Company, LLC				
Channel Capital Group Holdings, LLC				
Channel Capital Group LLC				
Guggenheim Venture Partners, LLC				
GTVI Partners, LLC				
Guggenheim Technology Ventures I, L.P.				
ACEI Holdco, LLC				
RTF Holdings LLC				
Pillar Financial, LLC				
Pillar Multifamily, LLC				
Pillar Capital Finance, LLC				
Cohen Financial Services (DE), LLC				
GPBLK, Inc.				
Guggenheim Securities Holdings, LLC				
GI Holdco II LLC				
GI Holdco LLC				
Guggenheim Partners Investment Management Holdings, LLC				

51.14

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
<div style="display: flex; justify-content: space-between;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">51.16</div> <div style="border-left: 1px solid black; border-right: 1px solid black; border-bottom: 1px solid black; width: 100%; height: 100%;"></div> </div>				
Guggenheim Aircraft Opportunity Master Funds				
GAOMF S/N 20272, LLC				
GX 9568 Holdings I, LLC				
Global 6000 9568 9568 Limited LDC				
GX 9568 Holdings II, LLC				
Global 6000 9568 9568 Limited LDC				
G650 2014 Holdings, LLC				
Guggenheim Concinnity Funds				
Guggenheim Global Investments Public Limited Company				
Guggenheim Partners Opportunistic Investment Grade Securities Funds				
Guggenheim Private Debt Fund 2.0 Managing Member, LLC				
Guggenheim Private Debt Fund 2.0 entities				
Guggenheim Strategy Funds				
GW Ocean, LLC				
1888 Fund, ltd				
5180 CLO LP				
5180-2 CLO LP				
Bingham LP				
Copper River CLO Ltd				
Energy Asset Holdings LLC				
GIM GP Ltd.				
GPM Center Court, LLC				
Green Lane CLO Ltd				
Guggenheim Energy Opportunities Leveraged Fund, LP				
Guggenheim High Yield Plus Funds				
Guggenheim Investors Fund LLC				
Guggenheim Private Debt Fund Managing Member, LLC				
Guggenheim Private Debt Funds				
Iron Hill CLO Limited				
Kennecott Funding Ltd				
Magma WCFF II Ltd				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
<div style="display: flex; justify-content: space-between; width: 100%;"> <div style="width: 40%;"> <p>Minerva Holdings Ltd.</p> <p>NZC Guggenheim Funds</p> <p> GHW Holdco LLC</p> <p>NZCG Funding</p> <p>Orpheus Funding, LLC</p> <p>Orpheus Holdings, LLC</p> <p>Sands Point Funding Ltd</p> <p>Seven Sticks CLO Ltd.</p> <p>Stellar Funding Ltd</p> <p> Wattage Finance LLC</p> <p>Rydex Holdings, LLC</p> <p> Security Investors, LLC</p> <p> Advisor Research Center, LLC</p> <p> Guggenheim Specialized Products, LLC</p> <p>GS Gamma Management, LLC</p> <p> GS Gamma Investments, LLC</p> <p>GS Gamma Advisors, LLC</p> <p>GPAM Holdings, Inc.</p> <p>Guggenheim Apsley Holdings, LLC</p> <p> Guggenheim Apsley Fund, L.P.</p> <p>Guggenheim Corporate Funding, LLC</p> <p> SAIL Finance LLC</p> <p> Guggenheim International, LLC</p> <p> Lionel Holdings, LLC</p> <p> Minerva Holdings, LLC</p> <p> GDCP Member, LLC</p> <p>Guggenheim Credit Services, LLC</p> <p>Guggenheim Energy LLC</p> <p> Guggenheim Energy Opportunities Fund, LP</p> </div> <div style="width: 60%;"> </div> </div>				

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Guggenheim Partners Europe Limited				
Guggenheim Loan Services Company, LLC				
Guggenheim Loan Services Company, Inc.				
Guggenheim Transparent Value, LLC				
Transparent Value, L.L.C.				
Transparent Value Private Limited				
Transparent Value Advisors, L.L.C.				
Guggenheim Digital Media, LLC				
Guggenheim Media, LLC				
GI Holdco II Sub LLC				
Security Benefit Asset Management Holdings, LLC				
GC Repo, LLC				
Guggenheim Partners Advisory Company				
SBTree, Inc.				
South Blacktree Agency, LLC				
Links Holdings, Inc.				
Links Holdings LLC				
Guggenheim Securities Credit Partners, LLC				
Guggenheim Liquid Markets, LLC				
Guggenheim KBBO Partners Limited				
Guggenheim Securities International Ltd				
Guggenheim Securities, LLC				
Guggenheim Trust Assets, LLC				
Guggenheim Partners Japan, Ltd.				
GWM Holdco, LLC				
Asset Consulting Group, LLC				
GP Feeder Fund Management, LLC				
Guggenheim Investor Services, LLC				
GIA Europe Holdings, Ltd.				

51.18

STATEMENT AS OF December 31, 2016

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Guggenheim Investment Advisors (Europe) Limited				
Guggenheim Investment Advisors, LLC				
Guggenheim Investment Advisors Equity Long/Short Fund, LLC				
Guggenheim Investment Advisors Multi-Strategy Fund, LLC				
Guggenheim Trust Company LLC				
Guggenheim Plus Leveraged LLC				
Guggenheim Real Estate PLUS Trust				
Guggenheim Real Estate Investment Trust				
Guggenheim Plus Leveraged LLC				
GRE Net Lease property holding companies				
GRES GP LLC				
GRE U.S. Property Fund L.P.				
Guggenheim Partners Covered Call Fund GP, L.L.C.				
Guggenheim Partners Covered Call Fund, L.P.				
TEK Financial, LLC				
GPI3, LLC				
Guggenheim Aviation GP Holdco, LLC				
Guggenheim Aviation Services II, LLP				
Guggenheim Aviation Investment Fund II, LP				
GAIF II Aviation asset holding companies				
GAIF II Services Group, LLC				
GAIF II U.S. Source Fund, LP				
GAIF II Aviation U.S. Source asset holding companies				
GAIF II U.S. Source Services Group, LLC				
GAIF II U.S. Source Blocker, LP				
GAIF II FF Feeder Fund, L.P.				
GSFI, LLC				
JLx3, LLC				
GSFI, LLC				

51.19

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Generation Financial Group, LLC				
Generation Financial Group, LLC				
GFMH, LLC F/k/a Generation Financial Mortgage, LLC				
Generation Mortgage Company, LLC f/k/a Generation Mortgage Company				
IPEX LLC				
IPEX Services, LLC				
GC Orpheus Investors, LLC				
Guggenheim Payroll Agent, LLC				
GC Parent Holdings, LLC				
GRREP Holdings, LLC				
Cainhoj Land & Timber, LLC				
Seven Sticks, LLC				
Guggenheim Capital International, Ltd.				
GC Deferred Compensation Offshore, Ltd.				
Guggenheim Proprietary Investor investment entities				
GFPID, LLC				
GGIC, L.P.				
GGIC, Ltd.				
GGIC KTI Holdings, Ltd.				
GFP Green Inc.				
GFP Peru Dunas Holdings, Inc.				
GGIC Greenbacker Funding Ltd.				
GGIC IIP Holdings LP				
Franklin Park (Cyprus) Limited				
GGIC IIP Holdings Ltd.				
GGIC Manager, LLC				
GC New York, LLC				
Guggenheim Golf Properties Investor, LLC				
Guggenheim-Nicklaus Fund I, Ltd.				

51.20

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
GNP property holding entities				
Guggenheim Nicklaus Partners, LLC				
GN property holding entities				
GN Fund I, LLC				
GDP-Pilara Lotes, LLC				
GC Pilar Golf Investment, LLC				
Pilar Holdings, LLC				
Toledo-MNG, LLC				
Toledo-SLS, LLC				
Guggenheim Retail Real Estate Partners, Inc.				
Guggenheim Development Services, LLC fka Terrabyte Development, LLC				
Java Acquisition Company property holding entities				
LS McKinney 707-1, LLC				
SB real estate holding entities				
TB Rochester 688, LLC				
Stonebridge Investors III, LLC				
Retail Investors III, LLC				
AA-JL Plano 822, LLC				
FD Orange Beach 859, LLC				
TB-JL Biddeford 794, LLC				
NE Lewiston 820, LLC				
NC property holding entities				
TB property holding entities				
EPL property holding entities				
JLI property holding entities				
OR property holding entities				
WB property holding entities				
GM property holding companies				
GW Phoenix 799, LLC				

51.21

STATEMENT AS OF December 31, 2016

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
<ul style="list-style-type: none"> RC property holding companies JL property holding entities Stonebridge Investors I, LLC Guggenheim Aviation Services II, Ltd. Guggenheim Aviation Offshore Investment Fund II, L.P. Guggenheim Capital Enterprises, LLC ACEIG, LLC Guggenheim Services, LLC Carco Services, LLC Guggenheim Disbursement Agent, LLC Guggenheim Receivable Financing, LLC Guggenheim Manager, Inc. GMI GPIM, LLC GMI GPIMH, LLC Guggenheim Aviation GM Holdco, LLC Guggenheim Premises I, LLC Guggenheim Partners London Premises Limited GC Deferred Compensation I, LLC Pilara Lotes II, LLC Deferred Compensation investment entities Liberty Hampshire Holdings, LLC Guggenheim Treasury Services, LLC The Liberty Hampshire Company, LLC BFC Capital Company, LLC Bound Brook Capital Corporation Chelsea Creek Capital Company, LLC Jasmine Asset Funding Limited Kemps Landing Capital Company, LLC Kips Bay Capital Company #1, LLC 				

51.22

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Kips Bay Capital Company #2, LLC				
Kips Bay Capital Company #3, LLC				
Kips Bay Capital Company #4, LLC				
Kips Bay Capital Company #5, LLC				
Guggenheim Treasury Services International Limited				
Guggenheim Treasury Services (Europe) Limited				
Moore's Creek Capital Corporation				
Forrestal Portfolios, LLC				
Saratoga Springs Capital Company, LLC				
Saratoga Cayman Ltd.				
The Liberty Hampshire Capital Company, LLC				
Guggenheim Treasury Services Corporation (N.Y.)				
Bennington Stark Capital Company, LLC				
Cedar Springs Capital Company, LLC				
Cedar Springs (Cayman) Ltd.				
Concord Minutemen Capital Company, LLC				
Concord Minutemen (Cayman) Ltd.				
Crown Point Capital Company, LLC				
Crown Point Funding (Cayman), Ltd.				
Legacy Capital Company, LLC				
Optimus Funding Company, LLC				
Ridgefield Funding Company, LLC				
Sandy Point Capital Company, LLC				
Thomas Creek Capital Corporation				
Valcour Bay Capital Company, LLC				
White Plains Capital Company, LLC				
Relationship Funding Company, LLC				
GIFS Capital Company, LLC				
GIFS (Cayman) Ltd.				

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NAME	Federal ID Number	NAIC Co. Code	Domicile	Footnote
Lexington Parker Capital Company, L.L.C. Lexington Parker (Cayman) Ltd. Great Bridge Capital Company, LLC Washington Lee Capital Company, LLC Relationship Funding (Cayman) Ltd. KLD Funding, LLC Guggenheim Mortgage Capital, LLC XONM LLC XONM 2012, Inc. Herakles Investments, Inc. Briggs Construction Equipment, Inc. Sammons Distribution Holdings, Inc. Sammons BW, Inc. Parkway Mortgage, Inc.				

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	30-6332515		0001536046		Sammons Enterprises, Inc. ESOT	TX	UIP					N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-6027423		0001332231		Sammons Enterprises, Inc. ESOT	DE	UIP	Sammons Enterprises, Inc. ESOT	Ownership	100.0	Sammons Enterprises, Inc. ESOT (SEI ESOT)	N	
00000	Sammons Enterprises, Inc. ESOT	00000	88-0214301		0001332355		Consolidated Investment Services, Inc. Asheville Resolution Corporation	NV	UIP	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	59-1275568				Consolidated Investment Services, Inc. Asheville Resolution Corporation	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5664082				GPI Ventures, LLC	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Consolidated Investment Services, Inc.	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-0864422				Sammons Corporation	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-0441748				Sammons Financial Group, Inc.	DE	UIP	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-0569064				Sammons Securities, Inc.	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2586652		0001524665		Sammons Financial Network, LLC	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00431	Sammons Enterprises, Inc. ESOT	66044	46-0164570		0000909759		Midland National Life Insurance Company	IA	IA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-4013872				MNL Reinsurance Company	IA	IA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	61-1583842				Solberg Reinsurance Company	IA	RE	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3569316				Midland National Services Corporation, LLC	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	Y	
00431	Sammons Enterprises, Inc. ESOT	66974	36-2428931				North American Company for Life and Health Insurance	IA	IA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3005562				Sammons Retirement Solutions, Inc.	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-4150950				Property Disposition, Inc.	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4732426				Briggs International, Inc.	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2622734				Briggs Equipment, Inc.	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2659334				Briggs Equipment Mexico, Inc.	DE	NIA	Sammons Enterprises, Inc. ESOT	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Controladora Briggs de Mexico S. de R.L. de C.V.	MEX	NIA	Sammons Enterprises, Inc. ESOT	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Controladora Briggs de Mexico S. de R.L. de C.V.	MEX	NIA	Sammons Enterprises, Inc. ESOT	Ownership	1.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Forklift Operations de Mexico, S.A. de C.V.	MEX	NIA	Sammons Enterprises, Inc. ESOT	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Forklift Operations de Mexico, S.A. de C.V.	MEX	NIA	Sammons Enterprises, Inc. ESOT	Ownership	1.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Mexcolift Servicios de Personnel S. de R.L. de C.V.	MEX	NIA	Sammons Enterprises, Inc. ESOT	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Mexcolift Servicios de Personnel S. de R.L. de C.V.	MEX	NIA	Sammons Enterprises, Inc. ESOT	Ownership	1.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					Montacargas Yale, de Mexico S.A. de C.V.	MEX	NIA	Briggs Equipment, Inc.	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Montacargas Yale, de Mexico S.A. de C.V.	MEX	NIA	Briggs Equipment Mexico, Inc.	Ownership	1.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Briggs Equipment, S.A. de C.V.	MEX	NIA	Briggs Equipment, Inc.	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Briggs Equipment, S.A. de C.V.	MEX	NIA	Briggs Equipment Mexico, Inc.	Ownership	1.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5197776				Briggs UK Holdings, Inc.	DE	NIA	Consolidated Investment Services, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-3223561				Briggs Equipment UK Limited	GBR	NIA	Briggs UK Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sammons Infrastructure, Inc.	DE	NIA	Services, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-2577924				SRE OpCo, Inc.	DE	NIA	Sammons Infrastructure, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4750081				SRE Solar OpCo, Inc.	DE	NIA	SRE OpCo, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4678378				SRE Focalpoint Holdings, Inc.	DE	NIA	SRE Solar OpCo, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4749765				SRE/Franklin Park Focalpoint, LLC	DE	NIA	SRE Focalpoint Holdings, Inc.	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4738175				SRE/Focalpoint member, LLC	DE	NIA	SRE/Franklin Park Focalpoint, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4721371				SRE DevCo, Inc.	DE	NIA	Sammons Renewable Energy Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SRE Hydro DevCo, Inc.	DE	NIA	SRE DevCo, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4898487				SRE/Franklin Park Hydro Canada-1, LLC	DE	NIA	SRE Hydro DevCo, Inc.	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sammons Renewable Energy Canada Holdings, Inc.		NIA	SRE/Franklin Park Hydro Canada-1, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-1476597				Blue Fish Farms, Inc.	DE	NIA	Sammons Infrastructure, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4744404				Sammons Renewable Energy Holdings, Inc.	DE	NIA	Sammons Infrastructure, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4848700				SRE Blocker #1, Inc.	DE	NIA	Sammons Renewable Energy Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4849495				SRE Blocker #2, Inc.	DE	NIA	Sammons Renewable Energy Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4858288				SRE Blocker #3, Inc.	DE	NIA	Sammons Renewable Energy Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4858370				SRE Blocker #4, Inc.	DE	NIA	Sammons Renewable Energy Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4877990				SRE Blocker #5, Inc.	DE	NIA	Sammons Renewable Energy Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4878045				SRE Blocker #6, Inc.	DE	NIA	Sammons Renewable Energy Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-1190748				Pathfinder Conservation Holdings, Inc.	DE	NIA	Sammons Infrastructure, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-3173187				Sweetwater Ranches Conservancy, LLC	WY	NIA	Pathfinder Conservation Holdings, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-3173187				Sweetwater River Conservancy, LLC	WY	NIA	Sweetwater Ranches Conservancy, LLC	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	47-1868169				Sweetwater River Conservancy Greater Sage-Grouse Habitat Bank, LLC	WY	NIA	Sweetwater River Conservancy, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-1894969				Sweetwater River Conservancy Bald and Golden Eagle Bank, LLC	WY	NIA	Sweetwater River Conservancy, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-1832295				Sweetwater River Conservancy Stream and Riparian Bank, LLC	WY	NIA	Sweetwater River Conservancy, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0879029				Pathfinder Land and Ranch Management, LLC	WY	NIA	Pathfinder Conservation Holdings, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2838232		0001599832		Sammons Equity Alliance, Inc	DE	NIA	Services, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2178848		0001599827		Compatriot Capital, Inc	DE	NIA	Sammons Equity Alliance, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	32-0507004				JLB Chapman LP	TX	NIA	Compatriot Capital, Inc	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2093595				CCE Funding LLC	DE	NIA	Compatriot Capital, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5080281				SRI Ventures, LLC	DE	NIA	Compatriot Capital, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	87-0775228				1900 Capital, Inc	DE	NIA	Compatriot Capital, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0299888				ASG Mortgage Investors, LLC	DE	NIA	1900 Capital, Inc	Ownership	35.3	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0299393		0001457680		DBI/ASG Mortgage Acquisition Fund I, LP	DE	NIA	1900 Capital, Inc	Ownership	55.4	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-2032440				GASG Co-Investor Fund I, LP	DE	NIA	1900 Capital, Inc	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2178848				Holdings, LP	DE	NIA	Compatriot Capital, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-2566125				CCI Historic, Inc	DE	NIA	Compatriot Capital, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-2775585				Village Green Consolidated LLC	DE	NIA	CCI Historic, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-2775585				Albion Residential LLC	DE	NIA	Village Green Consolidated LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	32-0497080				VGH/Dallas LLC	DE	NIA	Village Green Consolidated LLC	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3536429				Village Green Holding LLC	DE	NIA	VGH/Dallas LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3536429				Village Green Management Company LLC	DE	NIA	Village Green Holding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3536429				Village Green Construction LLC	DE	NIA	Village Green Holding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3536429				Village Green Communications LLC	DE	NIA	Village Green Holding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3536429				V-Suites LLC	DE	NIA	Village Green Holding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4839030				Southside Works Holding LLC	DE	NIA	CCI Historic, Inc	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	30-0832966				Southside Works City Apartments LLC	DE	NIA	Southside Works Holding LLC	Ownership	10.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	37-1829701				Morrow Park Holding LLC	DE	NIA	CCI Historic, Inc	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-2765840				CCI/HTC, Inc	DE	NIA	CCI Historic, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-4005137				VGH Credit LLC	DE	NIA	CCI/HTC, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4740768				SLBCA Developer LLC	DE	NIA	VGH Credit LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4740768				SLBCA Developer LLC	DE	NIA	SLBCA Holding LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-3885114				SLBCA Holding LLC	DE	NIA	CCI/HTC, Inc	Ownership	90.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-3885114				SLBCA Holding LLC	DE	NIA	VGH Credit LLC	Ownership	10.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3072487				Soo Line Building City Apartments LLC	DE	NIA	SLBCA Holding LLC	Ownership	85.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-1962246				E2M Holdings, LLC	DE	NIA	Compatriot Capital, Inc	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-1962023				E2M Partners, LLC	DE	NIA	E2M Holdings, LLC	Ownership	100.0	SEI ESOT	N	

52.2

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	26-2746954				E2M General Partner II, LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	17.4	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2747456		0001439213		E2M Fund II Holdco, LP	DE	NIA	Compatriot Capital, Inc.	Ownership	47.8	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2747456		0001439213		E2M Fund II Holdco, LP	DE	NIA	E2M General Partner II, LLC	Ownership	25.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2782503				E2M/SRC Investment Company, LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	37.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2782503				E2M/SRC Investment Company, LLC	DE	NIA	E2M Fund II Holdco, LP	Ownership	60.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2811198				KDC Holdings, LLC	DE	NIA	E2M/SRC Investment Company, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2790635				E2M General Partner III, LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2762819		0001528394		E2M Strategic Fund (Fund A), LP	DE	NIA	Compatriot Capital, Inc.	Ownership	80.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2762819		0001528394		E2M Strategic Fund (Fund A), LP	DE	NIA	E2M General Partner III, LLC	Ownership		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	37-1803026				E2M/CCI Grapevine II Holdings, LLC	TX	NIA	Compatriot Capital, Inc.	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2553163				E2M Grapevine II, LLC	TX	NIA	Compatriot Capital, Inc.	Ownership	67.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2553163				E2M Grapevine II, LLC	TX	NIA	E2M/CCI Grapevine II Holdings, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4837648				E2M/CCI Villas at RPW Holdings, LLC	TX	NIA	Compatriot Capital, Inc.	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-4004306				E2M Villas at RPW, LLC	TX	NIA	Compatriot Capital, Inc.	Ownership	99.2	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-4004306				E2M Villas at RPW, LLC	TX	NIA	E2M/CCI Villas at RPW Holdings, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0781785				Ann Arbor City Apartments LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	25.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2497885				VG Morrow Park Capital LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	84.8	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2497885				VG Morrow Park Capital LLC	DE	NIA	Morrow Park Holding LLC	Ownership	15.2	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	32-0419928				Morrow Park City Apartments LLC	DE	NIA	VG Morrow Park Capital LLC	Ownership	65.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2950886				JHCCI Leasing LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-3880287				JLB Partners LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	40.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	32-0507004				JLB Chapman LP	TX	NIA	JLB Partners LLC	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-1997454				JLB BUILDERS LLC	TX	NIA	JLB Partners LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0153203				JLB REALTY LLC	TX	NIA	JLB Partners LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4702578				JLB RESIDENTIAL LLC	TX	NIA	JLB Partners LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0903480				RHDFJ Partners, LP	TX	NIA	JLB Partners LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0903480				RHDFJ Partners, LP	TX	NIA	Compatriot Capital, Inc.	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2441843				JLB 2728 Cedar Springs, LP	TX	NIA	JLB Partners LLC	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2441843				JLB 2728 Cedar Springs, LP	TX	NIA	Compatriot Capital, Inc.	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0982090				JLB McLean LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	39.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0982090				JLB McLean LLC	DE	NIA	JLB McLean Partners LP	Ownership	21.9	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0982090				JLB McLean Partners LP	DE	NIA	JLB Partners LLC	Ownership	65.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	37-1763066				JLB Center Street, LP	TX	NIA	Compatriot Capital, Inc.	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	37-1763066				JLB Center Street, LP	TX	NIA	JLB Partners LLC	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	37-1763047				JLB Winhall, LP	TX	NIA	Compatriot Capital, Inc.	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	37-1763047				JLB Winhall, LP	TX	NIA	JLB Partners LLC	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4817766				JLB Stafford Land LP	TX	NIA	Compatriot Capital, Inc.	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4817766				JLB Stafford Land LP	TX	NIA	JLB Partners LLC	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-5455599				JLB 99 West Paces Ferry LLC	TX	NIA	Compatriot Capital, Inc.	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-5455599				JLB 99 West Paces Ferry LLC	TX	NIA	JLB Partners LLC	Ownership	30.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-3234292				Amicus Operating Partners LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-3028266				Amicus Investors LLC	DE	NIA	Amicus Operating Partners LLC	Ownership	75.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0298285				SLF II-McCarty Investors, LP	TX	NIA	Compatriot Capital, Inc.	Ownership	22.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-3312184				SLF II-McCarty Investors II, LP	TX	NIA	Compatriot Capital, Inc.	Ownership	69.5	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	75-2732311				Aureus Group, LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	29.9	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-5012235				AFSP Fund I Partners, LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	53.6	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2356005				CP Aureus FSP, LP	DE	NIA	AFSP Fund I Partners, LLC	Ownership	49.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2793351				Eiger Partners, LP	DE	NIA	Compatriot Capital, Inc.	Ownership	10.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2793352				Eiger Fund I, LP	DE	NIA	Compatriot Capital, Inc.	Ownership	38.8	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	11-3732440				Longhorn Trail Ranch II, Ltd.	TX	NIA	Compatriot Capital, Inc.	Ownership	40.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2885037				Ski Partners, LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	32.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8563569				Ski Partners II, LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	32.8	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-1152016				Sammons Capital, Inc.	DE	NIA	Sammons Equity Alliance, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0460383				Enterhealth, LLC	TX	NIA	Sammons Capital, Inc.	Ownership	21.3	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2879653				Sammons Power Development, Inc.	DE	NIA	Sammons Equity Alliance, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	73-1186819				Otter, Inc.	OK	NIA	Sammons Power Development, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	75-2880167				Gila Bend Power Partners, LLC	DE	NIA	Sammons Power Development, Inc.	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3181274		0001445423		Pathfinder Renewable Wind Energy, LLC	WY	NIA	Sammons Power Development, Inc.	Ownership	35.3	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3960584				SAGE Assets, Inc.	DE	NIA	Sammons Equity Alliance, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4358656		0001283072		Guggenheim Capital, LLC	DE	NIA	SAGE Assets, Inc.	Ownership	34.9	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4358656				Guggenheim Partners, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Knights of Security, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1974054				Guggenheim SBC Holdings, LLC	DE	NIA	Guggenheim Knights of Security, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	48-1202239				Security Benefit Corporation	KS	NIA	Guggenheim SBC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00431	Sammons Enterprises, Inc. ESOT	60084	13-3797548				First Security Benefit Life Insurance and Annuity Company of New York	NY	IA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00431	Sammons Enterprises, Inc. ESOT	68675	48-0409770				Security Benefit Life Insurance Company	KS	IA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	48-0409770				SecBen GBM Investco, LLC	DE	NIA	Security Benefit Life Insurance Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	30-0764428				SAILES 2-0, LLC	DE	NIA	Security Benefit Life Insurance Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2471241				SAILES 2, LLC	DE	NIA	SAILES 2-0, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	48-6104423		0000200684		Security Distributors, LLC	KS	NIA	Security Benefit Life Insurance Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-4662966				Genessee Insurance Agency, LLC	DE	NIA	Security Benefit Life Insurance Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					CF-G Funding, LLC	DE	NIA	Genessee Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-1917571				CF-G Funding II, LLC	DE	NIA	Genessee Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-1922620				CF-G Funding III, LLC	DE	NIA	Genessee Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-3661691				Dunbarre Insurance Agency, LLC	DE	NIA	Security Benefit Life Insurance Company	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					DNBR Funding, LLC	KS	NIA	Dunbarre Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-3661691				DNBR Funding II, LLC	DE	NIA	Dunbarre Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					IDF investment entities	DE	NIA	Security Benefit Life Insurance Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2869667				Trigger Investco, LLC	DE	NIA	Security Benefit Life Insurance Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-4077282				SB funding 2016-2, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2918226				Note Funding II, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2995475				Note Funding OHA, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2647722				DLSV 2015-1, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2922927				Note Funding OHA II, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-3008187				KAL Aircraft, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-1625121				Security Benefit Business Services, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					CH Funding, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-3929563				se2 Holdco, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-3934827				se2 Holdings, Inc	KS	NIA	se2 Holdco, LLC	Ownership	93.2	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-2640636				se2, LLC	KS	NIA	se2 Holdings, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Se2Information Services Ireland Limited	IRL	NIA	se2 Holdings, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	48-1118137				Security Benefit Academy, Inc	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	48-1033871				Security Financial Resources, Inc	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-5399711				N318MM, LLC	KS	NIA	Security Benefit Corporation	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-1198137				SB Funding 2016-1, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-5651598				NF - GPIM, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-2425642				SBL Holdings, Inc	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-2615156				CH Kansas, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Maranon Senior Credit IV, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Cardamon RE HQ, LLC	DE	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-5500204				Note Funding 1892, LLC	KS	NIA	Security Benefit Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-5763390				Canon Portfolio Trust, LLC	KS	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SB Custody, LLC	DE	NIA	Guggenheim SBC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Mayfair Portfolio Trust, LLC	DE	NIA	Guggenheim SBC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					se2 Holdings, LLC	DE	NIA	Guggenheim SBC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SL Funding, LLC	DE	NIA	Guggenheim SBC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Padfield AH, LLC	DE	NIA	SL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Corporate Funding VIII, LLC	DE	NIA	SL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					EL Funding, LLC	DE	NIA	SL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Four Six Four Aircraft LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Four Six Four Aircraft LAK (Ireland) Parent Limited	IRL	NIA	Four Six Four Aircraft II LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Four Six Four Aircraft LAK (Ireland) III Limited	IRL	NIA	Four Six Four Aircraft LAK (Ireland) Parent Limited	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Four Six Four Aircraft LAK (Ireland) I Limited	IRL	NIA	Four Six Four Aircraft LAK (Ireland) Parent Limited	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					Four Six Four Aircraft LAK (Ireland) II Limited	IRL	NIA	Four Six Four Aircraft LAK (Ireland) Parent Limited	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1973901				Four Six Four Aircraft II LLC	DE	NIA	Four Six Four Aircraft LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Eldridge Services Inc.	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Eldridge Executive Services LLC	DE	NIA	EL Funding, LLC	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Eldridge Business Services LLC	DE	NIA	EL Funding, LLC	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Vectura Services LLC	DE	NIA	Eldridge Business Services LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-5644928				Note Funding 1892-2, LLC	KS	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Primary issue Anchor Separate Account LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					EBSAM LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Eldridge Aircraft Services LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Steamboat Portfolio Trust, LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sports Media Technology Corporation	DE	NIA	Steamboat Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					LSB Funding LLC	DE	NIA	LSBF Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DSF Parent LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DS Funding LLC	DE	NIA	DSF Parent LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Dark Star Media LLC	DE	NIA	DS Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DS PGM Holdings LLC	DE	NIA	Dark Star Media LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DS MB Holdings LLC	DE	NIA	Dark Star Media LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Prometheus Global Media Holdings, LLC	DE	NIA	DS PGM Holdings LLC	Ownership	60.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Prometheus Global Media, LLC	DE	NIA	Prometheus Global Media Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					The Hollywood Reporter	DE	NIA	Prometheus Global Media Holdings, LLC	Ownership	85.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					PGM Entertainment Group LLC	DE	NIA	Prometheus Global Media, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Spinmedia LLC	DE	NIA	PGM Entertainment Group, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Billboard I, LLC	DE	NIA	PGM Entertainment Group LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Billboard IP Holdings LLC	DE	NIA	Billboard I, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					MB Group Holdings LLC	DE	NIA	DS MB Holdings LLC	Ownership	60.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Mediabistro Holdings LLC	NY	NIA	MB Group Holdings	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Film Expo Group LLC	DE	NIA	Mediabistro Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					PGM-MB Holdings LLC	DE	NIA	Mediabistro Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					CLIO Awards	DE	NIA	Mediabistro Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DS Malecon Holdings LLC	DE	NIA	Dark Star Media LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DS dcp Holdings LLC	DE	NIA	Dark Star Media LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Malecon Entertainment LLC	DE	NIA	DS Malecon Holdings LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Ballinshire investment entities	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Highland Peak investment entities	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					CBAM Business Services LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					CBAM Funding 2016-1 LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1973902				McLean Funding LLC	DE	NIA	CBAM Funding 2016-1 LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1973903				CPD Funding 2016-1 LLC	DE	NIA	CBAM Funding 2016-1 LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1973904				Bethesda Funding LLC	DE	NIA	CBAM Funding 2016-1 LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1973905				Rockville Funding LLC	DE	NIA	CBAM Funding 2016-1 LLC	Ownership	100.0	SEI ESOT	N	

52.6

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					Monterra investment entities	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-4028321				Wanamaker Portfolio Trust, LLC	KS	NIA	Monterra Investment entities	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SB Directional Aviation LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0914139				MF Master Seed Co, LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0909279				MF Seed Co., LLC	DE	NIA	MF Master Seed Co, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					CardCash Holdings, LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	87.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0785148				A24 Films, LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	35.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8734966				Replay Technology Funding, LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Aurify Brands, LLC	NY	NIA	Wanamaker Portfolio Trust, LLC	Ownership	56.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					PD Holdings LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					100 N. Crescent Holdings LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SBC Civic Center LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					9350 Civic Center JV, LLC	DE	NIA	SBC Civic Center LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					9350 Civic Center Drive, LLC	DE	NIA	9350 Civic Center JV, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					RTF II, LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					100 N. Crescent LLC	DE	NIA	100 N. Crescent Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Elliott Bay Healthcare Realty Holdings LLC	DE	NIA	Wanamaker Portfolio Trust, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Elliott Bay Healthcare Realty LLC	DE	NIA	Elliott Bay Healthcare Realty Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stone Secured investment entities	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Eldridge Equipment Finance LLC	DE	NIA	EL Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stonebriar Finance Holdings LLC	DE	NIA	Stonebriar Holdings LLC	Ownership	85.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Funding LLC	DE	NIA	Stonebriar Finance Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Rail Leasing LLC	DE	NIA	SCF Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Servicing Company LLC	DE	NIA	SCF Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Realty Capital LLC	DE	NIA	SCF Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4381351				SCF RC Funding I LLC	DE	NIA	SCF Realty Capital LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4402718				SCF RC Funding II LLC	DE	NIA	SCF Realty Capital LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF RC Funding III LLC	DE	NIA	SCF Realty Capital LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW LLC	DE	NIA	SCF Realty Capital LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-V LLC	DE	NIA	SCF Realty Capital LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Realty Capital Trust LLC	DE	NIA	SCF Realty Capital LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF RC Master Funding I LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-1815 Quintard Avenue-Anniston LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-2421 South MacArthur Drive-Alexandria LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-3355 International Boulevard-Brownsville LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-4555 West Forest Home Avenue-Greenfield LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-528 South Broadway-Salem LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-7434 North Vancouver Avenue-Portland LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-755 Page Boulevard-Springfield LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-839 North Glenstone Avenue-Springfield LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-8584 West Dempster Street-Niles LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCFRC-HW-909 North Kingshighway Blvd-St. Louis LLC	DE	NIA	SCF Realty Capital Trust LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stonebriar IFH LLC	DE	NIA	SCF Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stonebriar Commercial Finance Canada Inc		NIA	SCF Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stonebriar Commercial Finance LLC	DE	NIA	SCF Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-1649757				SCF Equipment Trust 2016-1 LLC	DE	NIA	Stonebriar Commercial Finance LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Business Aviation LLC	DE	NIA	SCF Funding LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					ACS Nextant Holdings LLC	DE	NIA	SCF Business Aviation LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Nextant Aircraft, LLC	OH	NIA	ACS Nextant Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Nextant Aircraft 9017, LLC	OH	NIA	Nextant Aircraft, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Nextant Aircraft 9054, LLC	OH	NIA	Nextant Aircraft, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					FX Leasing, LLC	DE	NIA	SCF Business Aviation LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GIV-X 4332, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GIV-X 4330, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					45-501, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					45-502, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					45-506, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					BD-100-1A10 20084, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					BD-700-1A10 9147, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GIV-X 4334, LLC	DE	NIA	FX Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Maverick LLC	DE	NIA	SCF Business Aviation LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Goose LLC	DE	NIA	SCF Business Aviation LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SCF Merlin LLC	DE	NIA	SCF Business Aviation LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SA Leasing, LLC	DE	NIA	SCF Business Aviation LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SA Leasing II, LLC	DE	NIA	SA Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					PC-12/47E 1525, LLC	DE	NIA	SA Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					PC-12/47E 1533, LLC	DE	NIA	SA Leasing, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stonebriar Holdings LLC	DE	NIA	Eldridge Equipment Finance LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Epic Preferred Holdings LLC	DE	NIA	Stonebriar Holdings LLC	Ownership	100.0	SEI ESOT	N	

52.8

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					Epic Aero, Inc.	DE	NIA	Epic Preferred Holdings LLC	Ownership	18.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sojourn Aviation Company, LLC	DE	NIA	Epic Aero, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Everest Fuel Management, LLC	DE	NIA	Epic Aero, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					One Sky Flight, LLC	DE	NIA	Epic Aero, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Flexjet Limited	NIA	NIA	One Sky Flight, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Volare Acquisitions, Limited	IRL	NIA	Flexjet, Limited	Ownership	49.0	SEI ESOT	N	
00431	Sammons Enterprises, Inc. ESOT	66044					Flairjet Ltd.	NIA	NIA	Volare Acquisitions, Limited	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					FlexJet, LLC	DE	NIA	One Sky Flight, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Flight Options, LLC	DE	NIA	One Sky Flight, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sentient Holdings, LLC	DE	NIA	One Sky Flight, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sentient Jet, LLC	DE	NIA	Sentient Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sentient Jet Charter, LLC	DE	NIA	Sentient Jet, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8734966				GP Holdco, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8735034				GPFT Holdco, LLC	DE	NIA	GP Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-4332886				Guggenheim Insurance Holdco, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-4333580				PLIC Holdings, LLC	DE	NIA	Guggenheim Insurance Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00431	Sammons Enterprises, Inc. ESOT	14029	27-4549235				Paragon Life Insurance Company of Indiana	IN	IA	PLIC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Paragon GBM Investco, LLC	DE	NIA	Paragon Life Insurance Company of Indiana	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-2839662				Guggenheim Insurance Services, LLC	DE	NIA	Guggenheim Insurance Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4718339				EquiTrust Insurance Services, LLC	DE	NIA	Guggenheim Insurance Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GIS Administrative Services, LLC	DE	NIA	Guggenheim Insurance Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Holdco Sub, LLC	DE	NIA	Guggenheim Insurance Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Edison IS Holdings, LLC	NJ	NIA	Guggenheim Insurance Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Juneau IS Holdings, LLC	AK	NIA	Guggenheim Insurance Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0767834				EquiTrust Manager, LLC	DE	NIA	Guggenheim Insurance Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DLPG, LLC	DE	NIA	Guggenheim Insurance Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DLPG II, LLC	DE	NIA	DLPG, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					DLPG II Executive, LLC	DE	NIA	DLPG II, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim-meZocliq Holdings, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					meZocliq LLC	DE	NIA	Guggenheim-meZocliq Holdings, LLC	Ownership	43.8	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1454227				GLAC Holdings, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Biscay GSTF III, LLC	DE	NIA	GLAC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Fifth Ave GSTF II, LLC	DE	NIA	GLAC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Hesa GSTF V, LLC	DE	NIA	GLAC Holdings, LLC	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					Saadiyat GSTF IV, LLC	DE	NIA	GLAC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00431	Sammons Enterprises, Inc. ESOT	83607	43-1380564				Guggenheim Life and Annuity Company	DE	IA	GLAC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GLAC Investment Holdings II, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GLAC Investment Holdings III, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00431	Sammons Enterprises, Inc. ESOT	15691	47-3023521				Clear Spring Life Insurance Company	TX	IA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-4661883				Conway Capital, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Anchorage G Holdings, LLC	AK	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	43-1380564				Elsmere Insurance Agency, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Life 1099 Reporting Company, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GLAC Investment Holdings, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Efland Funding entities	DE	NIA	Elsmere Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Eventine Funding, LLC	DE	NIA	Elsmere Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					ELSL Funding entities	DE	NIA	Elsmere Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GLAC GBM Investco, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Green Lake Holdings, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	43-1380564				IDF I and II investment entities	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	43-1380564				Minerva Funding LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	43-1380564				Saganaw Insurance Agency, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SIA Funding, LLC	DE	NIA	Saganaw Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sentry Funding entities	DE	NIA	Saganaw Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sudbourne Funding, LLC	DE	NIA	Saganaw Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sifton Road Funding, LLC	DE	NIA	Saganaw Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sibella Funding, LLC	DE	NIA	Saganaw Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Scottwell Funding, LLC	DE	NIA	Saganaw Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Saxondale Funding, LLC	DE	NIA	Saganaw Insurance Agency, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-5391474				Stonefire Investors, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Tomorrow, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Venice GSTF I, LLC	DE	NIA	GLAC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	99-0372310				Corporate Funding VI, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	99-0372311				AF V - VII entities	DE	NIA	Corporate Funding VI, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					LSFC entities	DE	NIA	AF V - VII entities	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-0883597				Kitts Hill Funding entities	DE	NIA	LSFC entities	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	61-1664380				Corporate Funding V, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	

52.10

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					LCLF investment entities	DE	NIA	Corporate Funding V, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Caprock Funding entities	DE	NIA	LCLF investment entities	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	35-2525124				Fashion Funding, LLC	DE	NIA	GLAC Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Fashion Funding II, LLC	DE	NIA	Fashion Funding, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Fashion Funding III, LLC	DE	NIA	Fashion Funding, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					BCBG Max Azria Global Holdings, LLC	DE	NIA	Fashion Funding, LLC	Ownership	40.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5289665				GFMH, LLC f/k/a Generation Financial Mortgage, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	83.3	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4710131				Guggenheim Fund Solutions, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GFS Management (Ireland) Limited	IRL	NIA	Guggenheim Fund Solutions, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GFS UCITS Fund Public Limited Company	IRL	NIA	GFS Management (Ireland) Limited	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GFS Funds Public Limited Company	IRL	NIA	Guggenheim Fund Solutions, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GFS MAP (Ireland) entities	IRL	NIA	Guggenheim Fund Solutions, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GFS MAP (U.S.) entities	DE	NIA	Guggenheim Fund Solutions, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SFVII GP, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Holdco Sub II, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3137763				Guggenheim Loan Agent, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	01-0625839				Guggenheim Alternative Asset Management, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	55-0787375				Guggenheim Management, LLC	DE	NIA	Guggenheim Alternative Asset Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-0877775				Guggenheim Advisors, LLC	DE	NIA	Guggenheim Alternative Asset Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Advisors (Cayman) Ltd	CYM	NIA	Guggenheim Advisors, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GPC Portfolio Companies	DE	NIA	Guggenheim Advisors, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Advisors Funds	CYM	NIA	Guggenheim Advisors, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-0304125				Aircraft Mgmt. Company, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2348325				Guggenheim Commercial Real Estate Finance, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Commercial Real Estate Finance (CA), Inc	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3419734				Guggenheim Partners India Holdings, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0603093				Guggenheim Partners India Limited (Cayman)	CYM	NIA	Guggenheim Partners India Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0604008				Guggenheim Partners Mauritius I, Ltd	MUS	NIA	Guggenheim Partners India Limited (Cayman)	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0604009				Guggenheim Partners Mauritius II, Ltd	MUS	NIA	Guggenheim Partners Mauritius I, Ltd	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	98-0602518				Guggenheim Capital Management (Asia) Private Limited	IND	NIA	Guggenheim Partners Mauritius II, Ltd	Ownership	99.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3553951				Guggenheim Partners India GP, LLC	DE	NIA	Guggenheim Partners India Holdings, LLC	Ownership	67.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5637417				Thomas Weisel India Opportunity Fund, LP	DE	NIA	Guggenheim Partners India GP, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0635974				GP India Opportunities Feeder Fund, LP	CYM	NIA	Guggenheim Partners India GP, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3553827				Guggenheim Partners India Management, LLC	DE	NIA	Guggenheim Partners India Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4484309				Guggenheim Real Estate LLC	DE	NIA	GPFT Holdco, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4484309				GRE U.S. Property Fund GP LLC	DE	NIA	Guggenheim Real Estate LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2594382				GRE U.S. Property Fund LP	DE	NIA	GRES GP LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4484309				GREI GP LLC	DE	NIA	Guggenheim Real Estate LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2581269				Guggenheim Real Estate International Fund L.P	CYM	NIA	GREI GP LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4484309				Guggenheim Plus II GP, LLC	DE	NIA	Guggenheim Real Estate LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	02-0681572				Guggenheim Plus II L.P	DE	NIA	Guggenheim Plus II GP, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4484309				Guggenheim Plus GP LLC	DE	NIA	Guggenheim Real Estate LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	22-3845748				Guggenheim Plus L.P	DE	NIA	Guggenheim Plus GP LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	01-0644144				Guggenheim Plus Leveraged LLC	DE	NIA	Guggenheim Plus L.P	Ownership	20.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GRE property holding companies	DE	NIA	Guggenheim Plus Leveraged LLC	Ownership		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					FMF Peakview LLC	DE	NIA	Guggenheim Plus Leveraged LLC	Ownership	80.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Plus Acquisition LLC	DE	NIA	Guggenheim Plus Leveraged LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Slauson Investors LLC	DE	NIA	Guggenheim Plus Leveraged LLC	Ownership	96.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Concourse GP LLC	DE	NIA	Guggenheim Plus Leveraged LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Concourse L.P	DE	NIA	Guggenheim Plus Leveraged LLC	Ownership	99.9	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Tustin-Michelle Partners LLC	DE	NIA	Guggenheim Plus Leveraged LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	13-4196055				Nominee Holding Company, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2809805				Channel Capital Group Holdings, LLC	DE	NIA	Nominee Holding Company, LLC	Ownership	38.3	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2809805		0001146309		Channel Capital Group LLC	DE	NIA	Channel Capital Group Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5094776				Guggenheim Venture Partners, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3127266				GTVI Partners, LLC	DE	NIA	Guggenheim Venture Partners, LLC	Ownership	44.4	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3629581				Guggenheim Technology Ventures I, L.P	DE	NIA	GTVI Partners, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0806610				ACEI Holdco, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					RTF Holdings LLC	DE	NIA	ACEI Holdco, LLC	Ownership	68.8	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-2767496				Pillar Financial, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	19.6	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-2767591				Pillar Multifamily, LLC	DE	NIA	Pillar Financial, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Pillar Capital Finance, LLC	DE	NIA	Pillar Financial, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Cohen Financial Services (DE), LLC	DE	NIA	Pillar Financial, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8735079				GPBLK, Inc	DE	NIA	GP Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0647688				Guggenheim Securities Holdings, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	N	

52.12

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					GI Holdco II LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Digital Media, LLC	DE	NIA	GI Holdco II LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Media, LLC	DE	NIA	GI Holdco II LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-3066166				Security Benefit Asset Management Holdings, LLC	KS	NIA	GI Holdco II LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GI Holdco II Sub LLC	DE	NIA	GI Holdco II LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GI Holdco LLC	DE	NIA	GI Holdco II LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1566073				Guggenheim Partners Investment Management Holdings, LLC	DE	NIA	GI Holdco LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-4637041				Accretive Asset Management LLC	WA	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-3975034				GPIMH Sub, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0644053		0001425852		Guggenheim Partners Investment Management, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	99.9	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					I-SAIL, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SAIL 4 VFN NOTE ISSUER, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SAILS-3, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SAILES 4-0, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Partners Advisors, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1566193				GPAM Holdings II, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0855897				GPIM Holdings V, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Alpha Solutions Fund, LLC	DE	NIA	GPIM Holdings V, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GPIM Holdings VI, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Wattage Finance LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GPIM Holdings VII, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Bandera Strategic credit Partners II, L.P.	DE	NIA	GPIM Holdings VII, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					NZCG Feeder 1, L.P.	CYM	NIA	GPIM Holdings VIII, Ltd	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GPIM Holdings VIII, Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4747864				Guggenheim Aircraft Opportunity GP, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Aircraft Opportunity Fund, LP	DE	NIA	Guggenheim Aircraft Opportunity GP, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Aircraft Opportunity Master Fund, LP	DE	NIA	Guggenheim Aircraft Opportunity GP, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GAOMF S/N 20272, LLC	DE	NIA	Guggenheim Aircraft Opportunity Master Fund, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GX 9568 Holdings I, LLC	DE	NIA	Guggenheim Aircraft Opportunity Master Fund, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GX 9568 Holdings II, LLC	DE	NIA	Guggenheim Aircraft Opportunity Master Fund, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Global 6000 9568 Limited LDC	CYM	NIA	GX 9568 Holdings I, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Global 6000 9568 Limited LDC	CYM	NIA	GX 9568 Holdings II, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					6650 2014 Holdings, LLC	DE	NIA	Guggenheim Aircraft Opportunity Master Fund, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Concinnity Funds	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Global Investments Public Limited Company	IRL	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Partners Opportunistic Investment Grade Securities Funds	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	32-0466249				Guggenheim Private Debt Fund 2.0 Managing Member, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Private Debt Fund 2.0 entities	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Strategy Funds	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2427966				GW Ocean, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					1888 Fund, Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3771739				5180 CLO LP	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					5180-2 CLO LP	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Bingham LP	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Copper River CLO Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Energy Asset Holdings LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GIM GP Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2943395				GPM Center Court, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Green Lane CLO Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Energy Opportunities Leveraged Fund, LP	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim High Yield Plus Funds	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3336426				Guggenheim Investors Fund LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Private Debt Fund Managing Member, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Private Debt Funds	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Iron Hill CLO Limited	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Kennecott Funding Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-3462254				KHCN LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					AMC Investor Holdings LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Magma WCFE II Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Minerva Holdings Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					NZC Guggenheim Funds	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4074829				GHW Holdco LLC	DE	NIA	NZC Guggenheim Funds	Ownership	66.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					NZCG Funding	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Orpheus Funding, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Orpheus Holdings, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sands Point Funding Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Seven Sticks CLO Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stellar Funding Ltd	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Paris Finance I, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Paris Finance II, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Paris Finance III, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Corporate Opportunity Fund entities	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SAIL 4 Finance, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	80-0955343				CardCash Holdings, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	47-3809007				Guggenheim Core Plus Fund, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0782975				Guggenheim Funds Services, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	84-1631676		0001280782		Guggenheim Funds Investment Advisors, LLC	DE	NIA	Guggenheim Funds Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	48-1175174		0001167303		Guggenheim Funds Distributors, LLC	DE	NIA	Guggenheim Funds Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0614356				Rydex Holdings, LLC	KS	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	48-1183041		0000791185		Security Investors, LLC	KS	NIA	Rydex Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-3727010				Guggenheim Specialized Products, LLC	DE	NIA	Security Investors, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	04-3706302				Advisor Research Center, LLC	MD	NIA	Rydex Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0751796				GS Gamma Management, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0963388				GS Gamma Investments, LLC	DE	NIA	GS Gamma Management, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	71-0983554				GS Gamma Advisors, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-1273264				GPAM Holdings, Inc	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-4756468				Guggenheim Apsley Holdings, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Apsley Fund, L.P	CYM	NIA	Guggenheim Apsley Holdings, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0399374				Guggenheim Corporate Funding, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0399374				Guggenheim International, LLC	DE	NIA	Guggenheim Corporate Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	37-1706976				SAIL Finance LLC	DE	NIA	Guggenheim Corporate Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	74-3258216				Lionel Holdings, LLC	DE	NIA	Guggenheim Corporate Funding, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GDCP Member, LLC	DE	NIA	Guggenheim Corporate Funding, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0570314				Guggenheim Credit Services, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	26-0390543				Guggenheim Energy LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Energy Opportunities Fund, LP	DE	NIA	Guggenheim Energy LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0530847				Guggenheim Partners Europe Limited	IRL	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Loan Services Company, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Loan Services Company, Inc	CA	NIA	Guggenheim Loan Services Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-3304818				Guggenheim Transparent Value, LLC	DE	NIA	Guggenheim Partners Investment Management Holdings, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	30-0268934				Transparent Value, L.L.C.	DE	NIA	Guggenheim Transparent Value, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Transparent Value Private Limited	IND	NIA	Transparent Value, L.L.C.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5351722		0001441499		Transparent Value Advisors, L.L.C.	DE	NIA	Transparent Value, L.L.C.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8738558				GC Repo, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-0438962				Guggenheim Partners Advisory Company	SD	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5833056				SBTree, Inc	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-1101914				South Blacktree Agency, LLC	DE	NIA	SBTree, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8738804				Links Holdings, Inc	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	52-2144923				Links Holdings LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	52-2144448				Guggenheim Securities, LLC	DE	NIA	Links Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	81-1559031				Guggenheim Liquid Markets, LLC	DE	NIA	Links Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5717564				Guggenheim Trust Assets, LLC	DE	NIA	Guggenheim Securities, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Securities International Ltd		NIA	Links Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Partners Japan, Ltd.	JPN	NIA	Links Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Securities Credit Partners, LLC	DE	NIA	Links Holdings LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim KBBO Partners Limited		NIA	Links Holdings LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0898051				GWM Holdco, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0887580				Asset Consulting Group, LLC	DE	NIA	GWM Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-4095774				GP Feeder Fund Management, LLC	DE	NIA	GWM Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	30-0003311		0000885730		Guggenheim Investor Services, LLC	DE	NIA	GWM Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GIA Europe Holdings, Ltd	CYM	NIA	GWM Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Investment Advisors (Europe) Limited	GBR	NIA	GIA Europe Holdings, Ltd	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	26-2634714		0001512586		Guggenheim Investment Advisors, LLC	DE	NIA	GWM Holdco, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2598925				Guggenheim Investment Advisors Equity Long/Short Fund, LLC	DE	NIA	Guggenheim Investment Advisors, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	45-2636656				Guggenheim Investment Advisors Multi-Strategy Fund, LLC	DE	NIA	Guggenheim Investment Advisors, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	73-1632078				Guggenheim Trust Company LLC	SD	NIA	Guggenheim Partners, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	01-0644144				Guggenheim Plus Leveraged LLC	DE	NIA	Guggenheim Trust Company LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	01-0644144				Guggenheim Plus Leveraged LLC	DE	NIA	Guggenheim Real Estate Investment Trust	Ownership	60.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	01-0644144				Guggenheim Plus Leveraged LLC	DE	NIA	Guggenheim Real Estate PLUS Trust	Ownership	18.6	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	56-6608702		0001229351		Guggenheim Real Estate Investment Trust	MA	NIA	Guggenheim Trust Company LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GRE Net Lease property holding companies	DE	NIA	Guggenheim Real Estate Investment Trust	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GRE Net Lease property holding companies	DE	NIA	Guggenheim Real Estate Investment Trust	Ownership		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GRES GP LLC	DE	NIA	Guggenheim Trust Company LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Real Estate PLUS Trust			Guggenheim Trust Company LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5960944				Guggenheim Partners Covered CalI Fund GP, L.L.C.	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5960879				Guggenheim Partners Covered CalI Fund, L.P.	DE	NIA	Guggenheim Partners Covered CalI Fund GP, L.L.C.	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0158747				TEK Financial, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GP13, LLC	DE	NIA	TEK Financial, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5179183				Guggenheim Aviation Services II, LLP	DE	NIA	Guggenheim Aviation GP Holdco, LLC	Ownership	39.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5179456				Guggenheim Aviation Investment Fund II, LP	DE	NIA	Guggenheim Aviation Services II, LLP	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GAIF II Aviation asset holding companies	DE	NIA	Guggenheim Aviation Investment Fund II, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-4397264				GAIF II Services Group, LLC	DE	NIA	Guggenheim Aviation Investment Fund II, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8117049				GAIF II U.S. Source Fund, LP	DE	NIA	Guggenheim Aviation Services II, LLP	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GAIF II Aviation U.S. Source asset holding companies	DE	NIA	GAIF II U.S. Source Fund, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-4397166				GAIF II U.S. Source Services Group, LLC	DE	NIA	GAIF II U.S. Source Fund, LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GAIF II U.S. Source Services Group, LLC	DE	NIA	Guggenheim Aviation Services II, LLP	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8272577				GAIF II FF Feeder Fund, L.P.	DE	NIA	Guggenheim Aviation Services II, LLP	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-3577179				GSFI, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	41-2218591				JLx3, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	61.1	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-3577179				GSFI, LLC	DE	NIA	JLx3, LLC	Ownership	30.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	74-3170540				Generation Financial Group, LLC	DE	NIA	JLX3, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	74-3170540				Generation Financial Group, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	50.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	65-1276716				IPEX LLC	DE	NIA	Generation Financial Group, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	74-3170540				IPEX Services, LLC	DE	NIA	IPEX LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5289665				GFMH, LLC F/k/a Generation Financial Mortgage, LLC	DE	NIA	Generation Financial Group, LLC	Ownership	12.9	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-0016233				Generation Mortgage Company, LLC f/k/a Generation Mortgage Company	CA	NIA	GFMH, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0421378				GC Orpheus Investors, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	20.2	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Aviation GP Holdco, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4482919				Guggenheim Payroll Agent, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-0745813				GC Parent Holdings, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GRREP Holdings, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0593190				Cainhoy Land & Timber, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	65.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Seven Sticks, LLC	SC	NIA	Cainhoy Land & Timber, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Capital International, Ltd	CYM	NIA	GC Parent Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GC Deferred Compensation Offshore, Ltd	CYM	NIA	Guggenheim Capital International, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Proprietary Investor investment entities	CYM	NIA	GC Deferred Compensation Offshore, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8818434				GFPIID, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	40.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0545986				GFPIID, LLC	CYM	NIA	GFPIID, LLC	Ownership	34.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0545987				GGIC, Ltd	GGY	NIA	GFPIID, L.P.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC KTI Holdings, Ltd	CYM	NIA	GGIC, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GFP Green Inc	CYM	NIA	GGIC, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-1197465				GFP Peru Dunas Holdings, Inc	CYM	NIA	GGIC, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC Greenbacker Funding Ltd	CYM	NIA	GGIC, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC IIP Holdings LP	CYM	NIA	GGIC IIP Holdings Ltd	Management	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC IIP Holdings LP	CYM	NIA	GGIC, Ltd	Ownership	85.7	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Franklin Park (Cyprus) Limited	CYP	NIA	GGIC IIP Holdings LP	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC IIP Holdings Ltd	CYM	NIA	GGIC, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC Manager, LLC	DE	NIA	GGIC, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-5190278				GC New York, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-3310881				Guggenheim Golf Properties Investor, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-4669817				Guggenheim-Nicklaus Fund I, Ltd	FL	NIA	Guggenheim Golf Properties Investor, LLC	Ownership	89.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim-Nicklaus Fund I, Ltd	DE	NIA	Guggenheim-Nicklaus Fund I, Ltd	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-3160999				GNP property holding entities	DE	NIA	GC Parent Holdings, LLC	Ownership	70.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Nicklaus Partners, LLC	DE	NIA	Guggenheim Nicklaus Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-4669663				GN property holding entities	DE	NIA	Guggenheim Nicklaus Partners, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-4669663				GN Fund I, LLC	FL	NIA	Guggenheim Nicklaus Partners, LLC	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	27-1493411				GDP-Pilara Lotes, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8716009				GC Pilar Golf Investment, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8144136				Pilar Holdings, LLC	FL	NIA	GC Pilar Golf Investment, LLC	Ownership	88.4	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Toledo-MNG, LLC	DE	NIA	GC Pilar Golf Investment, LLC	Ownership	90.6	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Toledo-SLS, LLC	DE	NIA	GC Pilar Golf Investment, LLC	Ownership	90.6	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-2035275				Guggenheim Retail Real Estate Partners, Inc	DE	NIA	GC Parent Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0586065				Guggenheim Development Services, LLC fka Terrabyte Development, LLC	DE	NIA	Guggenheim Retail Real Estate Partners, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Java Acquisition Company property holding entities	DE	NIA	Guggenheim Retail Real Estate Partners, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					LS Mc Kinney 707-01, LLC	DE	NIA	Guggenheim Retail Real Estate Partners, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SB real estate holding entities	DE	NIA	Guggenheim Retail Real Estate Partners, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					TB Rochester 688, LLC	DE	NIA	Guggenheim Retail Real Estate Partners, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Stonebridge Investors III, LLC	DE	NIA	Guggenheim Retail Real Estate Partners, Inc	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	80-0586015				Stonebridge Investors I, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SB II property holding entities	DE	NIA	Retail Investors I, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-4285653				Retail Investors III, LLC	DE	NIA	Stonebridge Investors III, LLC	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-4285653				Retail Investors III, LLC	DE	NIA	Guggenheim Life and Annuity Company	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	32-0507993				FD Orange Beach 859, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	32-0508568				NE Lewiston 820, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	61-1806677				GW Phoenix 799, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	38-4006676				AA-JL Plano 822, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					SB property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					NC property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					TB property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					TB-JL Biddeford 794, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					RC property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GM property holding companies	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					JL property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					EPL property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					JLI property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					OR property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					WB property holding entities	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0510682				Guggenheim Aviation Services II, Ltd.	CYM	NIA	Guggenheim Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0506315				Guggenheim Aviation Offshore Investment Fund II, L.P.	CYM	NIA	Guggenheim Aviation Services II, Ltd	Management		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	42-1778655				Guggenheim Capital Enterprises, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					ACEIG, LLC	DE	NIA	Guggenheim Capital Enterprises, LLC	Ownership	100.0	SEI ESOT	N	

52.20

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	36-4464896				Guggenheim Services, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	27-4297522				Guggenheim Disbursement Agent, LLC	DE	NIA	Guggenheim Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Receivable Financing, LLC	DE	NIA	Guggenheim Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Carco Services, LLC	DE	NIA	Guggenheim Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4406374				Guggenheim Manager, Inc.	DE	NIA	Guggenheim Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GMI GPIMH, LLC	DE	NIA	Guggenheim Manager, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					GMI GPIM, LLC	DE	NIA	Guggenheim Manager, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Aviation GM Holdco, LLC	DE	NIA	Guggenheim Manager, Inc.	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	90-0135327				Guggenheim Premises I, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Partners London Premises Limited		NIA	Guggenheim Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-4863472				GC Deferred Compensation I, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Deferred Compensation investment entities	DE	NIA	GC Deferred Compensation I, LLC	Ownership		SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Pilara Lotes II, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	77-0709769				Liberty Hampshire Holdings, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Treasury Services, LLC	DE	NIA	Liberty Hampshire Holdings, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0695169				Guggenheim Mortgage Capital, LLC	DE	NIA	Liberty Hampshire Holdings, LLC	Ownership	80.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	52-2440953				XONM LLC	DE	NIA	Guggenheim Mortgage Capital, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-8907400				XONM 2012, Inc.	DE	NIA	XONM LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4068485				The Liberty Hampshire Company, LLC	DE	NIA	Guggenheim Treasury Services, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	13-4074350				BFC Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	13-3957679				Chelsea Creek Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Jasmine Asset Funding Limited	CYM	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	13-3957675				Kemps Landing Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Kips Bay Capital Company #1, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Kips Bay Capital Company #2, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Kips Bay Capital Company #3, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Kips Bay Capital Company #4, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Kips Bay Capital Company #5, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

**SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000	Sammons Enterprises, Inc. ESOT	00000	98-0519573				Guggenheim Treasury Services International Limited	CYM	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim Treasury Services (Europe) Limited	GBR	NIA	Liberty Hampshire International Limited	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	71-0978028				Moore's Creek Capital Corporation	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Forrestal Portfolios, LLC	DE	NIA	Moore's Creek Capital Corporation	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Saratoga Springs Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Saratoga (Cayman) Ltd	CYM	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2310174				The Liberty Hampshire Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	13-3959215				Guggenheim Treasury Services Corporation (N.Y.)	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	26-2826279				Bennington Stark Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2847694				Bound Brook Capital Corporation	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	42-1548166				Cedar Springs Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Cedar Springs (Cayman) Ltd	CYM	NIA	Cedar Springs Capital Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4203696				Concord Minutemen Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0438321				Concord Minutemen (Cayman) Ltd	CYM	NIA	Concord Minutemen Capital Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	13-4033531				Crown Point Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0419840				Crown Point Funding (Cayman), Ltd	CYM	NIA	Crown Point Capital Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-0240846				Legacy Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	13-4074348				Optimus Funding Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Ridgefield Funding Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					Sandy Point Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	46-2685787				Thomas Creek Capital Corporation	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000	20-4313228				Valcour Bay Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	
00000	Sammons Enterprises, Inc. ESOT	00000					White Plains Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	N	

52.22

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
00000	46-0441748	SAMMONS FINANCIAL GROUP, INC.	202,517,097	(138,622,896)							63,894,201	
66044	46-0164570	MIDLAND NATIONAL LIFE INSURANCE COMPANY	(202,517,097)	19,657,017				390,615,096			207,755,016	1,404,053,356
66974	36-2428931	NORTH AMERICAN CO FOR LIFE & HEALTH INS.		120,000,000				(390,615,096)			(270,615,096)	2,019,451,339
00000	75-6027423	SAMMONS ENTERPRISES, INC.		(1,034,121)							(1,034,121)	
00000	27-4013872	MNL RE										(1,508,564,172)
00000	61-1583842	SOLBERG RE										(783,426,653)
68675	48-0409770	SECURITY BENEFIT LIFE INSURANCE COMPANY								(2,915,551,376)	(2,915,551,376)	465,840,225
60084	13-3797548	FIRST SECURITY BENEFIT LIFE INS CO OF NY										185,945,977
00000	46-5500204	Note Funding 1892, LLC								402,876,168	402,876,168	
00000		CBAM Funding 2016-1, LLC								545,400,000	545,400,000	
00000	61-1756749	Stonebriar Commercial Finance, LLC								472,085,965	472,085,965	
00000		PD Holdings, LLC								262,866,960	262,866,960	
00000	46-5644928	Note Funding 1892-2, LLC								358,322,283	358,322,283	
00000	27-1973903	CPD Funding 2016-1, LLC								294,000,000	294,000,000	
00000	27-1973902	McLean Funding, LLC								580,000,000	580,000,000	
00000	27-1454227	GLAC Holdings, LLC	100,000,000								100,000,000	
83607	43-1380564	GUGGENHEIM LIFE AND ANNUITY COMPANY	(100,000,000)								(100,000,000)	(396,057,528)
00000	27-4333580	PLIC Holdings, LLC	31,085,781								31,085,781	
14029	27-4549235	PARAGON LIFE INSURANCE CO OF INDIANA	(31,085,781)								(31,085,781)	(1,164,030,420)
15691	47-3023521	CLEAR SPRING LIFE INSURANCE COMPANY										(223,212,125)
9999999 Control Totals												
									XXX			

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

MARCH FILING Responses

- 1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?YES.....
- 2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?SEE EXPLANATION.....
- 3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?YES.....
- 4. Will an actuarial opinion be filed by March 1?YES.....

APRIL FILING

- 5. Will Management's Discussion and Analysis be filed by April 1?YES.....
- 6. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1?SEE EXPLANATION.....
- 7. Will the Adjustment Form (if required) be filed with the state of domicile and the NAIC by April 1?SEE EXPLANATION.....
- 8. Will the Supplemental Investment Risks Interrogatories be filed by April 1?YES.....

JUNE FILING

- 9. Will an audited financial report be filed by June 1?YES.....
- 10. Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?SEE EXPLANATION.....

AUGUST FILING

- 11. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?SEE EXPLANATION.....

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

MARCH FILING

- 12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?SEE EXPLANATION.....
- 13. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?NO.....
- 14. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?NO.....
- 15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 16. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 17. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?YES.....
- 18. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 19. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 20. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 22. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 23. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 24. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 25. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 27. Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state ofNO.....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

domicile and electronically with the NAIC by March 1?

- 28. Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 29. Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 30. Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 31. Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 32. Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 33. Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
- 34. Will the Workers' Compensation Carve-Out Supplement be filed by March 1?NO.....
- 35. Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?NO.....
- 36. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?NO.....
- 37. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?NO.....
- 38. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?NO.....
- 39. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?NO.....
- 40. Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by Actuarial Opinion and Memorandum Regulation (Model 822), Section 7A(5), be filed with the state of domicile by March 15?NO.....

APRIL FILING

- 41. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?NO.....
- 42. Will the Interest-Sensitive Life Insurance Products Report Forms be filed with the state of domicile and the NAIC by April 1?NO.....
- 43. Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1?NO.....
- 44. Will the Accident and Health Policy Experience Exhibit be filed by April 1?NO.....
- 45. Will the Analysis of Annuity Operations by Lines of Business be filed with the state of domicile and the NAIC by April 1?NO.....
- 46. Will the Analysis of Increase in Annuity Reserves During the Year be filed with the state of domicile and the NAIC by April 1?NO.....
- 47. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?NO.....
- 48. Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1?NO.....
- 49. Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?NO.....
- 50. Will the Supplemental XXX/AXXX Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?NO.....

AUGUST FILING

- 51. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?SEE EXPLANATION.....

Explanation:

- 2.As a captive reinsurer domiciled in Iowa, the Company is not required to file any financial statements (including RBC) with the NAIC.
- 6.Exhibit will be filed in Iowa, but not the NAIC.
- 7.Exhibit will be filed in Iowa, but not the NAIC.
- 10.Letter will be filed with Iowa, but not the NAIC.
- 11.Does not meet the threshold.
- 12.100% owned and controlled by one stockholder, therefore none of the disclosures in Schedule SIS are relevant.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

- 13.
- 14.
- 15.
- 16.
- 18.
- 19.
- 20.
- 21.
- 22.
- 23.
- 24.
- 25.
- 26.
- 27.
- 28.
- 29.
- 30.
- 31.
- 32.
- 33.
- 34.
- 35.
- 36.
- 37.
- 38.
- 39.
- 40.
- 41.
- 42.
- 43.
- 44.
- 45.
- 46.
- 47.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

48.

49.

50.

51. As a captive reinsurer domiciled in Iowa, the Company is not required to file any financial statements with the NAIC.

Bar code:

13. 
0 0 0 0 0 2 0 1 6 3 6 0 5 9 0 0 0

14. 
0 0 0 0 0 2 0 1 6 4 9 0 0 0 0 0 0

15. 
0 0 0 0 0 2 0 1 6 3 7 1 0 0 0 0 0

16. 
0 0 0 0 0 2 0 1 6 3 7 0 0 0 0 0 0

18. 
0 0 0 0 0 2 0 1 6 4 4 3 0 0 0 0 0

19. 
0 0 0 0 0 2 0 1 6 4 4 4 0 0 0 0 0

20. 
0 0 0 0 0 2 0 1 6 4 4 5 0 0 0 0 0

21. 
0 0 0 0 0 2 0 1 6 4 4 6 0 0 0 0 0

22. 
0 0 0 0 0 2 0 1 6 4 4 7 0 0 0 0 0

23. 
0 0 0 0 0 2 0 1 6 4 4 8 0 0 0 0 0

24. 
0 0 0 0 0 2 0 1 6 4 4 9 0 0 0 0 0

25. 
0 0 0 0 0 2 0 1 6 4 5 0 0 0 0 0 0

26. 
0 0 0 0 0 2 0 1 6 4 5 1 0 0 0 0 0

27. 
0 0 0 0 0 2 0 1 6 4 5 2 0 0 0 0 0

28. 
0 0 0 0 0 2 0 1 6 4 5 3 0 0 0 0 0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

29. 
0 0 0 0 0 2 0 1 6 4 3 6 0 0 0 0 0

30. 
0 0 0 0 0 2 0 1 6 4 3 7 0 0 0 0 0

31. 
0 0 0 0 0 2 0 1 6 4 3 8 0 0 0 0 0

32. 
0 0 0 0 0 2 0 1 6 4 3 9 0 0 0 0 0

33. 
0 0 0 0 0 2 0 1 6 4 5 4 0 0 0 0 0

34. 
0 0 0 0 0 2 0 1 6 4 9 5 0 0 0 0 0

35. 
0 0 0 0 0 2 0 1 6 4 6 5 0 0 0 0 0

36. 
0 0 0 0 0 2 0 1 6 3 6 5 0 0 0 0 0

37. 
0 0 0 0 0 2 0 1 6 2 2 4 0 0 0 0 0

38. 
0 0 0 0 0 2 0 1 6 2 2 5 0 0 0 0 0

39. 
0 0 0 0 0 2 0 1 6 2 2 6 0 0 0 0 0

40. 
0 0 0 0 0 2 0 1 6 4 3 4 0 0 0 0 0

41. 
0 0 0 0 0 2 0 1 6 3 0 6 0 0 0 0 0

42. 
0 0 0 0 0 2 0 1 6 2 8 0 0 0 0 0 0

43. 
0 0 0 0 0 2 0 1 6 2 3 0 5 9 0 0 0

44. 
0 0 0 0 0 2 0 1 6 2 1 0 0 0 0 0 0

45. 
0 0 0 0 0 2 0 1 6 5 1 0 0 0 0 0 0

46. 
0 0 0 0 0 2 0 1 6 5 1 5 0 0 0 0 0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

47. 
0 0 0 0 0 2 0 1 6 2 1 6 5 9 0 0 0

48. 
0 0 0 0 0 2 0 1 6 2 1 7 0 0 0 0 0

49. 
0 0 0 0 0 2 0 1 6 4 3 5 0 0 0 0 0

50. 
0 0 0 0 0 2 0 1 6 3 4 5 0 0 0 0 0

OVERFLOW PAGE FOR WRITE-INS

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3+4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities	18,968,022	11.696	18,968,022		18,968,022	11.696
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies						
1.22 Issued by U.S. government sponsored agencies	24,115,247	14.870	24,115,247		24,115,247	14.870
1.3 Non-U.S. government (including Canada, excluding mortgage-backed securities)						
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S.:						
1.41 States, territories and possessions general obligations						
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations						
1.43 Revenue and assessment obligations	36,660,167	22.605	36,660,167		36,660,167	22.605
1.44 Industrial development and similar obligations						
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA						
1.512 Issued or guaranteed by FNMA and FHLMC						
1.513 All other						
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA	10,811,116	6.666	10,811,116		10,811,116	6.666
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521						
1.523 All other						
2. Other debt and other fixed income securities (excluding short term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities)	28,229,567	17.407	28,229,567		28,229,567	17.407
2.2 Unaffiliated non-U.S. securities (including Canada)	5,013,174	3.091	5,013,174		5,013,174	3.091
2.3 Affiliated securities						
3. Equity interests:						
3.1 Investments in mutual funds						
3.2 Preferred stocks:						
3.21 Affiliated						
3.22 Unaffiliated						
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated						
3.32 Unaffiliated						
3.4 Other equity securities:						
3.41 Affiliated						
3.42 Unaffiliated						
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated						
3.52 Unaffiliated						
4. Mortgage loans:						
4.1 Construction and land development						
4.2 Agricultural						
4.3 Single family residential properties						
4.4 Multifamily residential properties						
4.5 Commercial loans						
4.6 Mezzanine real estate loans						
5. Real estate investments:						
5.1 Property occupied by company						
5.2 Property held for production of income (including \$of property acquired in satisfaction of debt)						
5.3 Property held for sale (including \$ property acquired in satisfaction of debt)						
6. Contract loans						
7. Derivatives						
8. Receivables for securities						
9. Securities Lending (Line 10, Asset Page reinvested collateral)				XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments	38,380,353	23.666	38,380,353		38,380,353	23.666
11. Other invested assets						
12. Total invested assets	162,177,646	100.000	162,177,646		162,177,646	100.000

SCHEDULE A – VERIFICATION BETWEEN YEARS

Real Estate

- 1. Book/adjusted carrying value, December 31 of prior year.....
- 2. Cost of acquired:
 - 2.1 Actual cost at time of acquisition (Part 2, Column 6).....
 - 2.2 Additional investment made after acquisition (Part 2, Column 9).....
- 3. Current year change in encumbrances:
 - 3.1 Totals, Part 1, Column 13.....
 - 3.2 Totals, Part 3, Column 11.....
- 4. Total gain (loss) on disposals, Part 3, Column 18.....
- 5. Deduct amounts received on disposals, Part 3, Column 15.....
- 6. Total foreign exchange change in book/adjusted carrying value.....
 - 6.1 Totals, Part 1, Column 15.....
 - 6.2 Totals, Part 3, Column 13.....
- 7. Deduct current year's other-than-temporary impairment recognized:
 - 7.1 Totals, Part 1, Column 12.....
 - 7.2 Totals, Part 3, Column 10.....
- 8. Deduct current year's depreciation:
 - 8.1 Totals, Part 1, Column 11.....
 - 8.2 Totals, Part 3, Column 9.....
- 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).....
- 10. Deduct total nonadmitted amounts.....
- 11. Statement value at end of current period (Line 9 minus Line 10).....

NONE

SCHEDULE B – VERIFICATION BETWEEN YEARS

Mortgage Loans

- 1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....
- 2. Cost of acquired:
 - 2.1 Actual cost at time of acquisition (Part 2, Column 7).....
 - 2.2 Additional investment made after acquisition (Part 2, Column 8).....
- 3. Capitalized deferred interest and other:
 - 3.1 Totals, Part 1, Column 12.....
 - 3.2 Totals, Part 3, Column 11.....
- 4. Accrual of discount.....
- 5. Unrealized valuation increase (decrease):
 - 5.1 Totals, Part 1, Column 9.....
 - 5.2 Totals, Part 3, Column 8.....
- 6. Total gain (loss) on disposals, Part 3, Column 18.....
- 7. Deduct amounts received on disposals, Part 3, Column 15.....
- 8. Deduct amortization of premium and mortgage interest points and commitment fees.....
- 9. Total foreign exchange change in book value/recorded investment excluding accrued interest:
 - 9.1 Totals, Part 1, Column 13.....
 - 9.2 Totals, Part 3, Column 13.....
- 10. Deduct current year's other-than-temporary impairment recognized:
 - 10.1 Totals, Part 1, Column 11.....
 - 10.2 Totals, Part 3, Column 10.....
- 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....
- 12. Total valuation allowance.....
- 13. Subtotal (Line 11 plus Line 12).....
- 14. Deduct total nonadmitted amounts.....
- 15. Statement value of mortgages owned at end of current period (Line 13 minus Line 14).....

NONE

SCHEDULE BA – VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1. Book/adjusted carrying value, December 31 of prior year.....	
2. Cost of acquired:	
2.1 Actual cost at time of acquisition (Part 2, Column 8).....	
2.2 Additional investment made after acquisition (Part 2, Column 9).....	
3. Capitalized deferred interest and other:	
3.1 Totals, Part 1, Column 16.....	
3.2 Totals, Part 3, Column 12.....	
4. Accrual of discount.....	
5. Unrealized valuation increase (decrease):	
5.1 Totals, Part 1, Column 13.....	
5.2 Totals, Part 3, Column 9.....	
6. Total gain (loss) on disposals, Part 3, Column 19.....	
7. Deduct amounts received on disposals, Part 3, Column 16.....	
8. Deduct amortization of premium and depreciation.....	
9. Total foreign exchange change in book/adjusted carrying value:	
9.1 Totals, Part 1, Column 17.....	
9.2 Totals, Part 3, Column 14.....	
10. Deduct current year's other-than-temporary impairment recognized:	
10.1 Totals, Part 1, Column 15.....	
10.2 Totals, Part 3, Column 11.....	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	
12. Deduct total nonadmitted amounts.....	
13. Statement value at end of current period (Line 11 minus Line 12).....	

NONE

SCHEDULE D – VERIFICATION BETWEEN YEARS

Bonds and Stocks

1. Book/adjusted carrying value, December 31 of prior year.....	169,727,026
2. Cost of bonds and stocks acquired, Part 3, Column 7.....	9,996,663
3. Accrual of discount.....	309,153
4. Unrealized valuation increase (decrease):	
4.1 Part 1, Column 12.....	
4.2 Part 2, Section 1, Column 15.....	
4.3 Part 2, Section 2, Column 13.....	
4.4 Part 4, Column 11.....	
5. Total gain (loss) on disposals, Part 4, Column 19.....	860,551
6. Deduction consideration for bonds and stocks disposed of, Part 4, Column 7.....	56,376,910
7. Deduct amortization of premium.....	719,187
8. Total foreign exchange change in book/adjusted carrying value:	
8.1 Part 1, Column 15.....	
8.2 Part 2, Section 1, Column 19.....	
8.3 Part 2, Section 2, Column 16.....	
8.4 Part 4, Column 15.....	
9. Deduct current year's other-than-temporary impairment recognized:	
9.1 Part 1, Column 14.....	
9.2 Part 2, Section 1, Column 17.....	
9.3 Part 2, Section 2, Column 14.....	
9.4 Part 4, Column 13.....	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	123,797,296
11. Deduct total nonadmitted amounts.....	
12. Statement value at end of current period (Line 10 minus Line 11).....	123,797,296

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks **OWNED** December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS Governments (Including all obligations guaranteed by governments)	1. United States	43,083,269	43,517,114	44,889,221	41,160,000
	2. Canada				
	3. Other Countries				
	4. Totals	43,083,269	43,517,114	44,889,221	41,160,000
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals				
U.S. Special revenue and special assessment obligations and all non-guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	49,690,278	50,954,898	50,593,833	48,055,120
Industrial and Miscellaneous, SVO Identified Funds and Hybrid Securities (unaffiliated)	8. United States	26,010,573	26,566,291	25,994,305	26,154,000
	9. Canada				
	10. Other Countries	5,013,174	5,207,760	5,026,380	5,000,000
	11. Totals	31,023,747	31,774,051	31,020,685	31,154,000
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	123,797,294	126,246,063	126,503,739	120,369,120
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States				
	15. Canada				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States				
	21. Canada				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	123,797,294	126,246,063	126,503,739	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	9,998,792		18,968,022	24,115,247		XXX	53,082,061	39.7	97,327,444	53.3	53,082,061	
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals	9,998,792		18,968,022	24,115,247		XXX	53,082,061	39.7	97,327,444	53.3	53,082,061	
2. All Other Governments												
2.1 NAIC 1						XXX						
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals						XXX						
3. U.S. States, Territories and Possessions, etc., Guaranteed												
3.1 NAIC 1						XXX						
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 NAIC 1						XXX						
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	2,014,758	11,210,132	34,434,742	2,030,645		XXX	49,690,277	37.1	48,840,259	26.7	49,690,277	
5.2 NAIC 2						XXX			1,648,000	0.9		
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	2,014,758	11,210,132	34,434,742	2,030,645		XXX	49,690,277	37.1	50,488,259	27.6	49,690,277	

S105

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 6 Prior Year	10 % From Col.7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial and Miscellaneous (unaffiliated)												
6.1 NAIC 1		3,569,252	10,511,121			XXX	14,080,373	10.5	18,294,915	10.0	6,529,633	7,550,740
6.2 NAIC 2		4,195,164	12,748,210			XXX	16,943,374	12.7	16,616,221	9.1	7,761,828	9,181,546
6.3 NAIC 3						XXX						
6.4 NAIC 4						XXX						
6.5 NAIC 5						XXX						
6.6 NAIC 6						XXX						
6.7 Totals		7,764,416	23,259,331			XXX	31,023,747	23.2	34,911,136	19.1	14,291,461	16,732,286
7. Hybrid Securities												
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX						
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
9. SVO-Designated Securities												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.7 Totals	XXX	XXX	XXX	XXX	XXX				XXX	XXX		

901S

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
10. Total Bonds Current Year												
10.1 NAIC 1	(d) 12,013,550	14,779,384	63,913,885	26,145,892			116,852,711	87.3	XXX	XXX	109,301,971	7,550,740
10.2 NAIC 2	(d)	4,195,164	12,748,210				16,943,374	12.7	XXX	XXX	7,761,828	9,181,546
10.3 NAIC 3	(d)								XXX	XXX		
10.4 NAIC 4	(d)								XXX	XXX		
10.5 NAIC 5	(d)								XXX	XXX		
10.6 NAIC 6	(d)								XXX	XXX		
10.7 Totals	12,013,550	18,974,548	76,662,095	26,145,892			(b) 133,796,085	100.0	XXX	XXX	117,063,799	16,732,286
10.8 Line 10.7 as a % of Col. 7	9.0	14.2	57.3	19.5			100.0	XXX	XXX	XXX	87.5	12.5
11. Total Bonds Prior Year												
11.1 NAIC 1	14,835,465	9,117,570	74,923,823	65,585,760		XXX	XXX	XXX	164,462,618	90.0	154,898,903	9,563,714
11.2 NAIC 2		2,182,781	16,081,440			XXX	XXX	XXX	18,264,221	10.0	11,079,812	7,184,409
11.3 NAIC 3						XXX	XXX	XXX				
11.4 NAIC 4						XXX	XXX	XXX				
11.5 NAIC 5						XXX	XXX	XXX	(c)			
11.6 NAIC 6						XXX	XXX	XXX	(c)			
11.7 Totals	14,835,465	11,300,351	91,005,263	65,585,760		XXX	XXX	XXX	(b) 182,726,839	100.0	165,978,715	16,748,123
11.8 Line 11.7 as a % of Col. 9	8.1	6.2	49.8	35.9		XXX	XXX	XXX	100.0	XXX	90.8	9.2
12. Total Publicly Traded Bonds												
12.1 NAIC 1	12,013,550	11,210,132	59,932,397	26,145,892			109,301,971	81.7	154,898,903	84.8	109,301,971	XXX
12.2 NAIC 2			7,761,828				7,761,828	5.8	11,079,812	6.1	7,761,828	XXX
12.3 NAIC 3												XXX
12.4 NAIC 4												XXX
12.5 NAIC 5												XXX
12.6 NAIC 6												XXX
12.7 Totals	12,013,550	11,210,132	67,694,225	26,145,892			117,063,799	87.5	165,978,715	90.8	117,063,799	XXX
12.8 Line 12.7 as a % of Col. 7	10.3	9.6	57.8	22.3			100.0	XXX	XXX	XXX	100.0	XXX
12.9 Line 12.7 as a % of Line 10.7, Col. 7, Section 10	9.0	8.4	50.6	19.5			87.5	XXX	XXX	XXX	87.5	XXX
13. Total Privately Placed Bonds												
13.1 NAIC 1		3,569,252	3,981,488				7,550,740	5.6	9,563,714	5.2	XXX	7,550,740
13.2 NAIC 2		4,195,164	4,986,382				9,181,546	6.9	7,184,409	3.9	XXX	9,181,546
13.3 NAIC 3											XXX	
13.4 NAIC 4											XXX	
13.5 NAIC 5											XXX	
13.6 NAIC 6											XXX	
13.7 Totals		7,764,416	8,967,870				16,732,286	12.5	16,748,123	9.2	XXX	16,732,286
13.8 Line 13.7 as a % Col. 7		46.4	53.6				100.0	XXX	XXX	XXX	XXX	100.0
13.9 Line 13.7 as a % of Line 10.7, Col. 7, Section 10		5.8	6.7				12.5	XXX	XXX	XXX	XXX	12.5

(a) Includes \$ 16,732,286 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.
 (b) Includes \$ current year, \$ prior year of bonds with Z designations and \$ current year, \$ prior year of bonds with Z* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z*" means the SVO could not evaluate the obligation because valuation procedures for the security class is under regulatory review.
 (c) Includes \$ current year, \$ prior year of bonds with 5* designations and \$ current year, \$ prior year of bonds with 6* designations. "5*" means the NAIC designation was assigned by the SVO in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.
 (d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 9,998,792 ; NAIC 2 \$; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

S107

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, At Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.6	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 Issuer Obligations	9,998,792		18,968,022	24,115,247		XXX	53,082,061	39.7	97,327,444	53.3	53,082,061	
1.2 Residential Mortgage-Backed Securities						XXX						
1.3 Commercial Mortgage-Backed Securities						XXX						
1.4 Other Loan-Backed and Structured Securities						XXX						
1.5 Totals	9,998,792		18,968,022	24,115,247		XXX	53,082,061	39.7	97,327,444	53.3	53,082,061	
2. All Other Governments												
2.1 Issuer Obligations						XXX						
2.2 Residential Mortgage-Backed Securities						XXX						
2.3 Commercial Mortgage-Backed Securities						XXX						
2.4 Other Loan-Backed and Structured Securities						XXX						
2.5 Totals						XXX						
3. U.S. States, Territories and Possessions, Guaranteed												
3.1 Issuer Obligations						XXX						
3.2 Residential Mortgage-Backed Securities						XXX						
3.3 Commercial Mortgage-Backed Securities						XXX						
3.4 Other Loan-Backed and Structured Securities						XXX						
3.5 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 Issuer Obligations						XXX						
4.2 Residential Mortgage-Backed Securities						XXX						
4.3 Commercial Mortgage-Backed Securities						XXX						
4.4 Other Loan-Backed and Structured Securities						XXX						
4.5 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 Issuer Obligations		5,608,780	31,261,387	2,008,994		XXX	38,879,161	29.1	39,677,395	21.7	38,879,161	
5.2 Residential Mortgage-Backed Securities	2,014,758	5,601,352	3,173,355	21,651		XXX	10,811,116	8.1	10,810,863	5.9	10,811,116	
5.3 Commercial Mortgage-Backed Securities						XXX						
5.4 Other Loan-Backed and Structured Securities						XXX						
5.5 Totals	2,014,758	11,210,132	34,434,742	2,030,645		XXX	49,690,277	37.1	50,488,258	27.6	49,690,277	
6. Industrial and Miscellaneous												
6.1 Issuer Obligations		7,764,416	23,259,331			XXX	31,023,747	23.2	34,911,136	19.1	14,291,461	16,732,286
6.2 Residential Mortgage-Backed Securities						XXX						
6.3 Commercial Mortgage-Backed Securities						XXX						
6.4 Other Loan-Backed and Structured Securities						XXX						
6.5 Totals		7,764,416	23,259,331			XXX	31,023,747	23.2	34,911,136	19.1	14,291,461	16,732,286
7. Hybrid Securities												
7.1 Issuer Obligations						XXX						
7.2 Residential Mortgage-Backed Securities						XXX						
7.3 Commercial Mortgage-Backed Securities						XXX						
7.4 Other Loan-Backed and Structured Securities						XXX						
7.5 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 Issuer Obligations						XXX						
8.2 Residential Mortgage-Backed Securities						XXX						
8.3 Commercial Mortgage-Backed Securities						XXX						
8.4 Other Loan-Backed and Structured Securities						XXX						
8.5 Totals						XXX						

8018

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues												
Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.6	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
9. SVO Identified Funds												
9.1 Exchange Traded Funds Identified by the SVO.....	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.2 Bond Mutual Funds Identified by the SVO.....	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.3 Totals	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
10. Total Bonds Current Year												
10.1 Issuer Obligations	9,998,792	13,373,196	73,488,740	26,124,241		XXX	122,984,969	91.9	XXX	XXX	106,252,683	16,732,286
10.2 Residential Mortgage-Backed Securities	2,014,758	5,601,352	3,173,355	21,651		XXX	10,811,116	8.1	XXX	XXX	10,811,116	
10.3 Commercial Mortgage-Backed Securities						XXX			XXX	XXX		
10.4 Other Loan-Backed and Structured Securities						XXX			XXX	XXX		
10.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
10.6 Totals	12,013,550	18,974,548	76,662,095	26,145,892			133,796,085	100.0	XXX	XXX	117,063,799	16,732,286
10.7 Lines 10.6 as a % Col. 7	9.0	14.2	57.3	19.5			100.0	XXX	XXX	XXX	87.5	12.5
11. Total Bonds Prior Year												
11.1 Issuer Obligations	12,999,814	5,844,173	87,844,913	65,227,075		XXX	XXX	XXX	171,915,975	94.1	155,167,853	16,748,123
11.2 Residential Mortgage-Backed Securities	1,835,651	5,456,178	3,160,349	358,685		XXX	XXX	XXX	10,810,863	5.9	10,810,862	
11.3 Commercial Mortgage-Backed Securities						XXX	XXX	XXX				
11.4 Other Loan-Backed and Structured Securities						XXX	XXX	XXX				
11.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.6 Totals	14,835,465	11,300,351	91,005,262	65,585,760		XXX	XXX	XXX	182,726,838	100.0	165,978,715	16,748,123
11.7 Line 11.6 as a % of Col. 9	8.1	6.2	49.8	35.9		XXX	XXX	XXX	100.0	XXX	90.8	9.2
12. Total Publicly Traded Bonds												
12.1 Issuer Obligations	9,998,792	5,608,780	64,520,870	26,124,241		XXX	106,252,683	79.4	155,167,853	84.9	106,252,683	XXX
12.2 Residential Mortgage-Backed Securities	2,014,758	5,601,352	3,173,355	21,651		XXX	10,811,116	8.1	10,810,863	5.9	10,811,116	XXX
12.3 Commercial Mortgage-Backed Securities						XXX						XXX
12.4 Other Loan-Backed and Structured Securities						XXX						XXX
12.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		XXX
12.6 Totals	12,013,550	11,210,132	67,694,225	26,145,892			117,063,799	87.5	165,978,715	90.8	117,063,799	XXX
12.7 Line 11.6 as a % of Col. 7	10.3	9.6	57.8	22.3			100.0	XXX	XXX	XXX	100.0	XXX
12.8 Line 11.6 as a % of Line 10.6, Col. 7, Section 10	9.0	8.4	50.6	19.5			87.5	XXX	XXX	XXX	87.5	XXX
13. Total Privately Placed Bonds												
13.1 Issuer Obligations		7,764,416	8,967,870			XXX	16,732,286	12.5	16,748,123	9.2	XXX	16,732,286
13.2 Residential Mortgage-Backed Securities						XXX					XXX	
13.3 Commercial Mortgage-Backed Securities						XXX					XXX	
13.4 Other Loan-Backed and Structured Securities						XXX					XXX	
13.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX	XXX	
13.6 Totals		7,764,416	8,967,870				16,732,286	12.5	16,748,123	9.2	XXX	16,732,286
13.7 Line 13.6 as a % of Col. 7		46.4	53.6				100.0	XXX	XXX	XXX	XXX	100.0
13.8 Line 13.6 as a % of Line 10.6, Col. 7, Section 10		5.8	6.7				12.5	XXX	XXX	XXX	XXX	12.5

6019

Schedule DA - Verification Between Yrs

NONE

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3
	Total	Bonds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year.....	12,999,814	12,999,814	
2. Cost of cash equivalents acquired.....	9,998,307	9,998,307	
3. Accrual of discount.....	671	671	
4. Unrealized valuation increase (decrease).....			
5. Total gain (loss) on disposals.....			
6. Deduct consideration received on disposals.....	13,000,000	13,000,000	
7. Deduct amortization of premium.....			
8. Total foreign exchange change in book/adjusted carrying value.....			
9. Deduct current year's other-than-temporary impairment recognized.....			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	9,998,792	9,998,792	
11. Deduct total nonadmitted amounts.....			
12. Statement value at end of current period (Line 10 minus Line 11)	9,998,792	9,998,792	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment

Schedule A - Part 1

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 1

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 1

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book / Adjusted Carrying Value				Interest					Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22	
CUSIP Identification	Description	Code	For e i g n	Bond CHAR	NAIC Designation	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date	
Bonds - U.S. Governments - Issuer Obligations																						
3130A0-A2-6	FHLB 4.0 04/28				1	4,989,500		109,4390	5,000,000	4,991,342			597		4.000	4.010	A0	45,000	200,000	10/09/2013	04/10/2028	
3130A2-AU-0	FHLB 3.375 6/8/29				1	2,500,000		102,9350	2,500,000	2,500,000					3.375	3.370	JD	5,391	84,375	08/11/2014	06/08/2029	
3133E6-KV-6	FFCB 2.5 07/27-07/17 (A)			1,2	1	9,996,663		94,1870	10,000,000	9,996,787			125		2.500	2.500	JJ	117,361		07/01/2016	07/12/2027	
31358D-DR-2	FNMA		@		1	4,371,617		64,3060	7,540,000	4,627,118			165,912		3.680	3.680	N/A			06/10/2015	05/15/2030	
911759-MK-1	HUD 3.25 8/28 - 8/25 (SB)			1,2	1	2,000,000		101,0460	2,000,000	2,000,000					3.250	3.250	FA	27,083	65,000	05/18/2015	08/01/2028	
912810-ET-1	US TREASURY				1	2,445,934		139,3980	1,545,000	2,137,798			(65,370)		7.625	2.390	FA	44,497	117,806	01/23/2012	02/15/2025	
912810-EY-0	T 6.5 11/15/26				1	18,585,507		17,029,820	12,575,000	16,830,224			(374,100)		6.500	2.590	MN	106,123	817,375	01/23/2012	11/15/2026	
0199999	Bonds - U.S. Governments - Issuer Obligations					44,889,221		XXX	43,517,114	41,160,000	43,083,269			(272,836)		XXX	XXX	XXX	345,455	1,284,556	XXX	XXX
Bonds - U.S. Governments - Residential Mortgage-Backed Securities																						
Bonds - U.S. Governments - Commercial Mortgage-Backed Securities																						
Bonds - U.S. Governments - Other Loan-Backed and Structured Securities																						
0599999	Bonds - U.S. Governments - Subtotals - U.S. Governments					44,889,221		XXX	43,517,114	41,160,000	43,083,269			(272,836)		XXX	XXX	XXX	345,455	1,284,556	XXX	XXX
Bonds - All Other Governments - Issuer Obligations																						
Bonds - All Other Governments - Residential Mortgage-Backed Securities																						
Bonds - All Other Governments - Commercial Mortgage-Backed Securities																						
Bonds - All Other Governments - Other Loan-Backed and Structured Securities																						
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Issuer Obligations																						
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Residential Mortgage-Backed Securities																						
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Commercial Mortgage-Backed Securities																						
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structured Securities																						
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Issuer Obligations																						
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Residential Mortgage-Backed Securities																						
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Commercial Mortgage-Backed Securities																						
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structured Securities																						
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Issuer Obligations																						
011770-U6-9	AK GO QSCB 2010B				1FE	939,040		114,7900	800,000	907,744			(9,280)		5.242	3.570	FA	17,473	41,936	06/18/2013	08/01/2026	
13034P-UH-8	CA HOUSING FIN AGCY MFH III 2015A			1,2	1FE	2,000,000		101,0730	2,000,000	2,000,000					3.650	3.650	FA	30,417	73,000	04/08/2015	08/01/2025	
130795-6E-2	CA SUTTER HEALTH 2012A		@	1,2	1FE	291,838		115,1100	250,000	274,865			(3,995)		3.060	N/A		4,722	12,500	06/21/2012	05/15/2023	
130795-6F-9	CA SUTTER HEALTH 2012A			1,2	1FE	287,618		114,5450	250,000	272,438			(3,584)		5.000	3.240	FA	4,722	12,500	06/21/2012	05/15/2024	
235036-F5-4	TX DFW 2013A (AMT)			1,2	1FE	2,081,940		110,6870	2,000,000	2,054,918			(8,078)		5.000	4.460	MN	16,667	100,000	06/11/2013	11/01/2031	
251130-EU-0	DETROIT MI CITY SCH DIST - REF-A			1,2	1FE	1,091,020		108,9330	1,000,000	1,052,452			(8,689)		5.000	3.900	MN	8,333	50,000	03/08/2012	05/01/2027	
251130-EX-4	DETROIT MI CITY SCH DIST - REF-A			1,2	1FE	1,074,600		107,7440	1,000,000	1,043,156			(7,106)		5.000	4.090	MN	8,333	50,000	03/08/2012	05/01/2030	
25477G-CX-1	DC PIT BAB 2009E			1	1FE	1,035,126		111,3320	900,000	988,959			(13,523)		4.893	3.050	JD	3,670	44,037	06/11/2013	12/01/2022	
25477G-CZ-6	DISTRICT COLUMBIA INCOME TAX REV				1FE	1,938,287		111,9630	1,645,000	1,848,396			(26,094)		4.993	3.000	JD	6,845	82,135	05/29/2013	12/01/2023	
382604-B4-7	TX GOOSE CREEK ISD 2012 GO PSF			1,2	1FE	1,983,581		111,8940	1,650,000	1,814,637			(37,524)		5.000	2.440	FA	31,167	82,500	03/30/2012	02/15/2023	
454624-7N-1	INDIANA ST BOND BANK REVENUE				1FE	750,000		100,9210	750,000	750,000					3.071	3.070	JJ	10,621	23,033	06/05/2013	01/15/2023	
469495-CD-5	FL JACKSONVILLE SALES TAX 2012A			1,2	1FE	963,018		112,0620	900,000	937,831			(5,712)		5.000	4.170	A0	11,250	45,000	03/22/2012	10/01/2030	
59334N-CT-7	FL MIAMI CHILDRENS HOSP 2013			1,2	1FE	1,064,770		114,2550	1,000,000	1,045,588			(5,898)		5.000	4.200	FA	20,833	50,000	07/24/2013	08/01/2024	
646108-PZ-7	NJ NEW JERSEY MFH MTGE AGCY 2015E			1,2	1FE	735,000		102,2940	735,000	735,000					3.772	3.770	MN	4,621	27,724	03/06/2015	11/01/2025	
646108-QK-9	NJ NEW JERSEY MFH MTGE AGCY 2015E			1,2	1FE	265,000		97,8340	265,000	265,000					3.722	3.720	MN	1,644	9,863	03/06/2015	05/01/2025	
64966K-3K-7	NY STATE GO 2013D-1			1,2	1FE	2,362,196		116,5780	2,095,000	2,289,922			(25,996)		5.000	3.410	FA	43,646	104,750	02/12/2014	08/01/2026	
64971W-FL-9	NY NYC TFA PIT 2011B				1FE	2,505,275		100,3000	2,507,500	2,504,171			(480)		3.160	3.130	FA	32,917	79,000	08/07/2014	08/01/2024	
673588-EP-0	MI OAKLAND UNIV 2013A			1,2	1FE	1,000,000		99,0960	1,000,000	1,000,000					3.420	3.420	MN	4,370	34,200	05/23/2013	05/15/2024	
673588-EQ-8	MI OAKLAND UNIV 2013A			1,2	1FE	750,000		100,1610	750,000	750,000					3.620	3.620	MN	3,469	27,150	05/23/2013	05/15/2025	
673588-ER-6	MI OAKLAND UNIV 2013A			1,2	1FE	220,000		100,6830	220,000	220,000					3.770	3.770	MN	1,060	8,294	05/23/2013	05/15/2026	
691021-KY-4	KY OWENSBORO ELECTRIC 2013A			1,2	1FE	1,000,000		102,8800	1,000,000	1,000,000					3.400	3.400	JJ	17,000	34,000	06/12/2013	01/01/2021	
691021-LF-4	KY OWENSBORO ELECTRIC 2013B			1,2	1FE	1,083,080		108,4270	1,000,000	1,040,621			(12,612)		5.000	3.560	JJ	25,000	50,000	06/12/2013	01/01/2025	

E10

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book / Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	For e i g n	Bond CHAR	NAIC Designation	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
691021-LG-2	KY OWENSBORO ELECTRIC 2013B			1,2	1FE	1,099,560	108.4270	1,084,270	1,000,000	1,048,465		(15,129)		5.000	3.290	JJ	25,000	50,000	06/12/2013	01/01/2023	
709223-2P-5	PA TURNPIKE (SENIOR) 2012A			1,2	1FE	1,180,221	111.4170	1,192,162	1,070,000	1,143,299		(10,894)		5.000	3.700	JD	4,458	53,500	06/10/2013	12/01/2028	
76221T-DD-8	RI HOUSING AND MORTGAGE FIN CORP.			1,2	1FE	745,000	101.6550	757,330	745,000	745,000				3.836	3.830	AO	7,145	28,578	10/01/2014	04/01/2025	
76221T-DE-6	RI HOUSING AND MORTGAGE FIN CORP.			1,2	1FE	825,000	100.2520	827,079	825,000	825,000				3.886	3.880	AO	8,015	32,060	10/01/2014	10/01/2025	
783186-PY-9	NJ RUTGERS UNIVERSITY 2013J			1,2	1FE	1,432,548	114.3950	1,487,135	1,300,000	1,390,752		(12,478)		5.000	3.750	MN	10,833	65,000	06/14/2013	05/01/2029	
796711-VP-1	CA SAN BERNARDINO CITY USD 2012B				1FE	500,000	102.7580	513,790	500,000	500,000				3.178	3.170	FA	6,621	15,890	05/23/2013	08/01/2019	
796711-VS-5	CA SAN BERNARDINO CITY USD 2012B				1FE	830,000	106.2070	881,518	830,000	830,000				3.910	3.910	FA	13,522	32,453	05/23/2013	08/01/2022	
796711-WL-9	CA SAN BERNARDINO CITY USD 2013A			1,2	1FE	639,760	115.6300	635,965	550,000	611,421		(8,286)		5.000	3.110	FA	11,458	27,500	05/23/2013	08/01/2025	
796711-WN-5	CA SAN BERNARDINO CITY USD 2013A			1,2	1FE	571,775	114.5100	572,550	500,000	549,276		(6,593)		5.000	3.320	FA	10,417	25,000	05/23/2013	08/01/2027	
797706-BZ-8	CA SAN FRANCISCO REDEV AGENCY 2014			1,2	1FE	1,210,000	100.8410	1,220,176	1,210,000	1,210,000				4.080	4.080	FA	20,570	49,368	12/12/2014	08/01/2026	
797706-CA-2	CA SAN FRANCISCO REDEV AGENCY 2014			1,2	1FE	1,010,960	100.9410	1,009,410	1,000,000	1,008,994		(985)		4.410	4.260	FA	18,375	44,100	12/17/2014	08/01/2029	
798186-YX-4	CA SAN JOSE USD GO 2012B			1,2	1FE	1,000,000	103.8870	1,038,870	1,000,000	1,000,000				3.924	3.920	FA	16,350	39,240	01/17/2013	08/01/2029	
914353-D7-9	IL UNIV OF ILLINOIS HEALTH 2013			1,2	1FE	1,046,090	117.0270	1,170,270	1,000,000	1,033,483		(4,015)		6.000	5.400	AO	15,000	60,000	08/23/2013	10/01/2029	
914460-FS-4	UNIVERSITY MINN				1FE	1,126,030	108.1690	1,081,690	1,000,000	1,085,993		(11,592)		4.455	3.010	FA	18,563	44,550	05/22/2013	08/01/2025	
946303-TR-5	MI WAYNE STATE UNIV 2013A			1,2	1FE	1,145,980	114.0800	1,140,800	1,000,000	1,101,781		(12,979)		5.000	3.330	MN	6,389	50,000	06/11/2013	11/15/2026	
2599999	Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Issuer Obligations					39,784,313	XXX	40,143,160	37,240,000	38,879,162		(251,522)		XXX	XXX	XXX	501,496	1,658,861	XXX	XXX	
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Residential Mortgage-Backed Securities																					
3136A2-3K-7	FNR 2011-144BA				1	7,182,645	99.8450	7,179,349	7,190,510	7,184,880		345		3.000	3.020	MON	3,595	215,715	01/24/2012	01/25/2027	
3137AJ-V7-6	FHR 3968 G				1	3,626,875	100.2150	3,632,389	3,624,610	3,626,236		(91)		3.000	2.980	MON	4,833	108,738	01/24/2012	12/15/2026	
2699999	Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Residential Mortgage-Backed Securities					10,809,520	XXX	10,811,738	10,815,120	10,811,116		254		XXX	XXX	XXX	8,428	324,453	XXX	XXX	
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Commercial Mortgage-Backed Securities																					
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Other Loan-Backed and Structured Securities																					
3199999	Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Subtotals - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies of Governments and Their Political Subdivisions					50,593,833	XXX	50,954,898	48,055,120	49,690,278		(251,268)		XXX	XXX	XXX	509,924	1,983,314	XXX	XXX	
Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations																					
037833-AK-6	APPLE INC			1	1FE	3,301,190	97.7740	3,422,090	3,500,000	3,365,811		18,904		2.400	3.070	MN	13,533	84,000	06/12/2013	05/03/2023	
04015C-AA-6	ARES FINANCE CO LLC			1,2	2FE	1,990,490	92.9770	1,859,540	2,000,000	1,992,474		794		4.000	4.050	AO	18,444	80,000	02/02/2015	10/08/2024	
09256B-AB-3	BLACKSTONE HOLDINGS FINANCE CO LLC			1	1FE	1,562,969	112.0590	1,680,885	1,500,000	1,533,186		(6,888)		5.875	5.280	MS	25,948	88,125	04/17/2012	03/15/2021	
14309U-AA-0	CARLYLE HOLDINGS FINANCE LLC			1	2FE	1,999,320	100.9160	2,018,320	2,000,000	1,999,541		66		3.875	3.870	FA	32,292	77,500	01/15/2013	02/01/2023	
26483E-AG-5	DUN & BRADSTREET CORP			1	2FE	763,500	100.9010	756,758	750,000	758,769		(1,283)		4.375	4.150	JD	2,734	32,813	01/17/2013	12/01/2022	
278642-AE-3	EBAY INC			1,2	2FE	958,510	97.4710	974,710	1,000,000	967,689		5,245		2.600	3.240	JJ	11,989	26,000	03/20/2015	07/15/2022	
29364D-AR-1	ENTERGY ARKANSAS INC			1,2	1FE	2,068,693	100.1970	2,064,058	2,060,000	2,065,804		(836)		3.050	3.000	JD	5,236	62,830	05/28/2013	06/01/2023	
46322V-AA-3	IRONSHORE HOLDINGS (US) INC			1	2FE	189,000	115.3490	201,861	175,000	181,724		(1,706)		8.500	7.190	MN	1,901	14,875	02/07/2012	05/15/2020	
472319-AL-6	JEFFERIES GROUP INC			1	2FE	997,210	105.1440	1,051,440	1,000,000	998,149		257		5.125	5.160	JJ	22,920	51,250	01/15/2013	01/15/2023	
48248N-AA-8	KKR GROUP FINANCE CO			1	1FE	1,073,260	112.4200	1,124,200	1,000,000	1,036,066		(8,499)		6.375	5.300	MS	16,292	63,750	02/28/2012	09/29/2020	
585055-BM-7	MEDTRONIC INC 3.5 15MAR25			2	1FE	1,980,840	102.5480	2,050,960	2,000,000	1,984,077		1,640		3.500	3.610	MS	20,611	70,000	12/01/2014	03/15/2025	
620076-BC-2	MOTOROLA SOLUTIONS INC			1	2FE	494,135	98.7660	493,830	500,000	496,138		549		3.500	3.640	MS	5,833	17,500	02/25/2013	03/01/2023	

E101

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book / Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	For eign	Bond CHAR	NAIC Designation	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
620076-BF-5. 709599-AN-4.	MOTOROLA SOLUTIONS INC. PENSKE TRUCK LEASING CO LP. SCOTTRADE FINANCIAL SERVICES			1	2FE	980,540	100.2910	1,002,910	1,000,000	984,413		1,688			4.000	4.240	MS	13,333	40,000	08/12/2014	09/01/2024
				1	2FE	991,400	104.2480	1,042,480	1,000,000	994,367		799			4.250	4.350	JJ	19,361	42,500	01/14/2013	01/17/2023
81014A-AA-9. 87165B-AD-5.	INC. SYNCHRONY FINANCIAL			1	2FE	991,140	112.7610	1,127,610	1,000,000	995,138		903			6.125	6.250	JJ	28,924	61,250	01/26/2012	07/11/2021
87236Y-AE-8. 891906-AB-5.	TD AMERITRADE HOLDING CORP. TOTAL SYSTEM SERVICES INC. VOLKSWAGEN GROUP OF AMERICA			1,2	2FE	817,411	102.1220	836,379	819,000	817,729		138			4.250	4.270	FA	13,150	34,808	08/06/2014	08/15/2024
				1,2	1FE	1,097,415	102.1600	1,123,760	1,100,000	1,098,018		344			2.950	2.980	AO	8,113	32,450	03/04/2015	04/01/2022
				1	2FE	1,738,223	99.8560	1,747,480	1,750,000	1,741,942		1,088			3.750	3.830	JD	5,469	65,625	05/23/2013	06/01/2023
928668-AB-8. 256853-AB-8.	FINANC. DOLPHIN ENERGY LTD.			1	2FE	1,999,060	99.3510	1,987,020	2,000,000	1,999,537		186			2.125	2.130	MN	4,486	42,500	05/15/2014	05/23/2019
55608P-AQ-7. 92857W-BC-3.	MACQUARIE BANK LTD. VODAFONE GROUP PLC.			D	1FE	1,000,000	110.4910	1,104,910	1,000,000	1,000,000					5.500	5.500	JD	2,444	55,000	02/07/2012	12/15/2021
92936M-AA-5.	WPP FIN 2010 4.75 21NOV21			D	1FE	1,997,060	102.6730	2,053,460	2,000,000	1,997,410		248			4.000	4.010	JJ	33,778	80,000	07/23/2015	07/29/2025
				D	2FE	995,370	97.0110	970,110	1,000,000	996,999		439			2.950	3.000	FA	10,817	29,500	02/11/2013	02/19/2023
				D	2FE	1,033,950	107.9280	1,079,280	1,000,000	1,018,765		(3,379)			4.750	4.320	MN	5,278	47,500	02/06/2012	11/21/2021
3299999 - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						31,020,686	XXX	31,774,051	31,154,000	31,023,746		10,697			XXX	XXX	XXX	322,886	1,199,776	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities																					
Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities																					
Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities																					
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated) - Subtotals - Industrial and Miscellaneous (Unaffiliated)						31,020,686	XXX	31,774,051	31,154,000	31,023,746		10,697			XXX	XXX	XXX	322,886	1,199,776	XXX	XXX
Bonds - Hybrid Securities - Issuer Obligations																					
Bonds - Hybrid Securities - Residential Mortgage-Backed Securities																					
Bonds - Hybrid Securities - Commercial Mortgage-Backed Securities																					
Bonds - Hybrid Securities - Other Loan-Backed and Structured Securities																					
Bonds - Parent, Subsidiaries and Affiliates - Issuer Obligations																					
Bonds - Parent, Subsidiaries and Affiliates - Residential Mortgage-Backed Securities																					
Bonds - Parent, Subsidiaries and Affiliates - Other Loan-Backed and Structured Securities																					
Bonds: SVO Identified Funds - Exchange Traded Funds - as Identified by the SVO																					
Bonds - SVO Identified Funds - Bond Mutual Funds - as Identified by the SVO																					
7799999 - Bonds - Total Bonds - Subtotals - Issuer Obligations						115,694,220	XXX	115,434,325	109,554,000	112,986,177		(513,661)			XXX	XXX	XXX	1,169,837	4,143,193	XXX	XXX
7899999 - Bonds - Total Bonds - Subtotals - Residential Mortgage-Backed Securities						10,809,520	XXX	10,811,738	10,815,120	10,811,116		254			XXX	XXX	XXX	8,428	324,453	XXX	XXX
8399999 Subtotals - Total Bonds						126,503,740	XXX	126,246,063	120,369,120	123,797,293		(513,407)			XXX	XXX	XXX	1,178,265	4,467,646	XXX	XXX

E102

Schedule D - Part 2 - Section 1

NONE

Schedule D - Part 2 - Section 2

NONE

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks **SOLD, REDEEMED** or Otherwise **DISPOSED OF** During Current Year

1 CUSIP Identifi- cation	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year (Amortization)/ Accretion	13 Current Year's Other-Than- Temporary Impairment Recognized	14 Total Change in B/A. C.V. (11+12-13)	15 Total Foreign Exchange Change in B/A. C.V.						
Bonds - U.S. Governments																				
3130A5-PT-0...	FHLB 3.7 06/30-12/15 (A)		02/12/2016	SECURITY CALLED BY ISSUER at 100.000		5,000,000	5,000,000	4,997,500	4,997,566		15			15	4,997,580		2,420	2,420	24,667	06/24/2030
3130A5-Z9-3...	FHLB 3.73 7/30-7/16 (A)		07/29/2016	SECURITY CALLED BY ISSUER at 100.000		5,000,000	5,000,000	4,995,000	4,995,106		148			148	4,995,254		4,746	4,746	186,500	07/29/2030
3130A6-B8-9...	FHLB 3.19 08/25-08/16 (A)		08/26/2016	SECURITY CALLED BY ISSUER at 100.000		5,000,000	5,000,000	4,997,500	4,997,574		142			142	4,997,716		2,284	2,284	159,500	08/26/2025
313380-PG-6...	FHLB 3.00 09/27-12/12 (A)		05/17/2016	SINKING FUND REDEMPTION. SECURITY CALLED BY ISSUER at 100.000		1,750,000	1,750,000	1,658,125	1,665,988		84,012			84,012	1,750,000				34,032	09/27/2027
313381-7G-4...	FHLB 3.0 11/27-9/14 (A)		07/26/2016	SECURITY CALLED BY ISSUER at 100.000		500,000	500,000	473,650	475,881		945			945	476,825		23,175	23,175	10,458	11/15/2027
313382-HX-4...	FHLB 3.2 3/28		03/04/2016	SECURITY CALLED BY ISSUER at 100.000		2,500,000	2,500,000	2,472,750	2,474,267		299			299	2,474,566		25,434	25,434	34,889	03/27/2028
3133EA-M6-2...	FFCB 2.98 8/26-8/14 (A)		04/19/2016	SECURITY CALLED BY ISSUER at 100.000		2,500,000	2,500,000	2,397,375	2,407,329		2,185			2,185	2,409,513		90,487	90,487	49,253	08/21/2026
3133EE-7F-1...	FFCB 3.55 08/16-08/29 (A)		08/17/2016	SECURITY CALLED BY ISSUER at 100.000		5,000,000	5,000,000	4,997,500	4,997,552		89			89	4,997,641		2,359	2,359	177,500	08/17/2029
3133EE-7K-0...	FFCB 3.09 08/25-08/16 (A)		08/19/2016	SECURITY CALLED BY ISSUER at 100.000		5,000,000	5,000,000	4,990,000	4,990,315		551			551	4,990,867		9,133	9,133	154,500	08/19/2025
3133EE-MY-3...	FFCB 2.69 02/24-05/15		03/28/2016	SECURITY CALLED BY ISSUER at 100.000		5,000,000	5,000,000	4,968,750	4,971,536		762			762	4,972,298		27,702	27,702	87,051	02/05/2024
3133EE-PG-9...	FFCB 3.30 02/30-02/16		03/24/2016	SECURITY CALLED BY ISSUER at 100.000		2,000,000	2,000,000	1,996,000	1,996,186		49			49	1,996,235		3,765	3,765	40,883	02/11/2030
3133EE-VQ-0...	FFCB 3.27 06/28-06/15 (A)		02/25/2016	SECURITY CALLED BY ISSUER at 100.000		2,000,000	2,000,000	1,997,500	1,997,559		24			24	1,997,583		2,417	2,417	10,718	06/26/2028
3134G3-E4-8...	FHLMC 3.0 8/27-2/13 (QB)		02/29/2016	SECURITY CALLED BY ISSUER at 100.000		3,000,000	3,000,000	2,671,740	2,712,789		3,107			3,107	2,715,896		284,104	284,104	45,000	08/27/2027
3135G0-SD-6...	FNMA 2.26 12/22-9/14 (QB)		06/13/2016	SECURITY CALLED BY ISSUER at 100.000		2,500,000	2,500,000	2,361,670	2,384,607		6,768			6,768	2,391,376		108,625	108,625	28,250	12/12/2022
3135G0-SV-6...	FNMA 2.12 12/22-3/15 (QB)		09/27/2016	SECURITY CALLED BY ISSUER at 100.000		4,000,000	4,000,000	3,900,000	3,910,355		8,775			8,775	3,919,131		80,869	80,869	63,600	12/27/2022
3136G0-KU-4...	FNMA 3.00 06/27-06/13 (QB)		06/14/2016	SECURITY CALLED BY ISSUER at 100.000		1,000,000	1,000,000	992,000	993,576		214			214	993,790		6,210	6,210	15,000	06/14/2027
0599999 - Bonds - U.S. Governments						51,750,000	51,750,000	50,867,060	50,968,186		108,085			108,085	51,076,271		673,730	673,730	1,121,801	XXX
Bonds - All Other Governments																				
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed)																				
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed)																				
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																				
167736-B6-3...	IL CHICAGO WATERWORKS REV 2014		05/17/2016	GOLDMAN SACHS & CO.		1,177,630	1,000,000	1,133,270	1,118,693		(4,471)			(4,471)	1,114,222		63,408	63,408	27,639	11/01/2027
499396-AN-9...	IN GOOD SAMARITAN HOSP 2012A		01/07/2016	BANK OF AMERICA		1,723,515	1,570,000	1,685,395	1,648,000		(335)			(335)	1,647,665		75,850	75,850	22,024	04/01/2025
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						2,901,145	2,570,000	2,818,665	2,766,693		(4,806)			(4,806)	2,761,887		139,258	139,258	49,663	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																				
87165B-AD-5...	SYNCHRONY FINANCIAL		04/29/2016	CITIGROUP GLOBAL MARKETS		1,725,765	1,681,000	1,677,739	1,678,106		96			96	1,678,202		47,563	47,563	51,399	08/15/2024
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,725,765	1,681,000	1,677,739	1,678,106		96			96	1,678,202		47,563	47,563	51,399	XXX
Bonds - Hybrid Securities																				
Bonds - Parent, Subsidiaries, and Affiliates																				
Bonds - SVO Identified Funds																				
8399997 - Bonds - Subtotals - Bonds - Part 4						56,376,910	56,001,000	55,363,464	55,412,985		103,375			103,375	55,516,360		860,551	860,551	1,222,863	XXX
8399999 - Bonds - Subtotals - Bonds						56,376,910	56,001,000	55,363,464	55,412,985		103,375			103,375	55,516,360		860,551	860,551	1,222,863	XXX
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)																				
Preferred Stocks - Parent, Subsidiaries, and Affiliates																				
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																				
Common Stocks - Parent, Subsidiaries, and Affiliates																				
Common Stocks - Mutual Funds																				
Common Stocks - Money Market Mutual Funds																				
9999999 Totals						56,376,910	XXX	55,363,464	55,412,985		103,375			103,375	55,516,360		860,551	860,551	1,222,863	XXX

E14

Schedule D - Part 5

NONE

Schedule D - Part 6 - Section 1

NONE

Schedule D - Part 6 - Section 2

NONE

Schedule DA - Part 1

NONE

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part A - Section 2

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part B - Section 2

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
Bonds - U.S. Governments - Issuer Obligations							
FHLB 0.0 1/11/17		12/28/2016	0.440	01/11/2017	9,998,792		485
0199999 - Bonds - U.S. Governments - Issuer Obligations					9,998,792		485
Bonds - U.S. Governments - Residential Mortgage-Backed Securities							
Bonds - U.S. Governments - Commercial Mortgage-Backed Securities							
Bonds - U.S. Governments - Other Loan-Backed and Structured Securities							
0599999 - Bonds - U.S. Governments - Subtotals - U.S. Governments					9,998,792		485
Bonds - All Other Governments - Issuer Obligations							
Bonds - All Other Governments - Residential Mortgage-Backed Securities							
Bonds - All Other Governments - Commercial Mortgage-Backed Securities							
Bonds - All Other Governments - Other Loan-Backed and Structured Securities							
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Issuer Obligations							
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Residential Mortgage-Backed Securities							
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Commercial Mortgage-Backed Securities							
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structured Securities							
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Issuer Obligations							
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Residential Mortgage-Backed Securities							
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Commercial Mortgage-Backed Securities							
Bonds - U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structured Securities							
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Issuer Obligations							
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Residential Mortgage-Backed Securities							
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Commercial Mortgage-Backed Securities							
Bonds - U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions - Other Loan-Backed and Structured Securities							
Bonds - Industrial and Miscellaneous - Issuer Obligations							
Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities							
Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities							
Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities							
Bonds - Hybrid Securities - Issuer Obligations							
Bonds - Hybrid Securities - Residential Mortgage-Backed Securities							
Bonds - Hybrid Securities - Commercial Mortgage-Backed Securities							
Bonds - Hybrid Securities - Other Loan-Backed and Structured Securities							
Bonds - Parent, Subsidiaries and Affiliates Bonds - Issuer Obligations							
Bonds - Parent, Subsidiaries and Affiliates Bonds - Residential Mortgage-Backed Securities							
Bonds - Parent, Subsidiaries and Affiliates Bonds - Commercial Mortgage-Backed Securities							
Bonds - Parent, Subsidiaries and Affiliates Bonds - Other Loan-Backed and Structured Securities							
Bonds - SV0 Identified Funds - Bond Mutual Funds - as Identified by the SV0							
7799999 - Bonds - Total Bonds - Subtotals - Issuer Obligations					9,998,792		485
8399999 - Bonds - Total Bonds - Subtotals - Bonds					9,998,792		485
Sweep Accounts							
Other Cash Equivalents							
8699999 Total Cash Equivalents					9,998,792		485

E27

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE SOLBERG REINSURANCE COMPANY

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, etc.	1 Type of Deposits	2 Purpose of Deposits	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama AL						
2. Alaska AK						
3. Arizona AZ						
4. Arkansas AR						
5. California CA						
6. Colorado CO						
7. Connecticut CT						
8. Delaware DE						
9. District of Columbia DC						
10. Florida FL						
11. Georgia GA						
12. Hawaii HI						
13. Idaho ID						
14. Illinois IL						
15. Indiana IN						
16. Iowa IA						
17. Kansas KS						
18. Kentucky KY						
19. Louisiana LA						
20. Maine ME						
21. Maryland MD						
22. Massachusetts MA						
23. Michigan MI						
24. Minnesota MN						
25. Mississippi MS						
26. Missouri MO						
27. Montana MT						
28. Nebraska NE						
29. Nevada NV						
30. New Hampshire NH						
31. New Jersey NJ						
32. New Mexico NM						
33. New York NY						
34. North Carolina NC						
35. North Dakota ND						
36. Ohio OH						
37. Oklahoma OK						
38. Oregon OR						
39. Pennsylvania PA						
40. Rhode Island RI						
41. South Carolina SC						
42. South Dakota SD						
43. Tennessee TN						
44. Texas TX						
45. Utah UT						
46. Vermont VT						
47. Virginia VA						
48. Washington WA						
49. West Virginia WV						
50. Wisconsin WI						
51. Wyoming WY						
52. American Samoa AS						
53. Guam GU						
54. Puerto Rico PR						
55. US Virgin Islands VI						
56. Northern Mariana Islands MP						
57. Canada CAN						
58. Aggregate Other Alien OT	XXX	XXX				
59. Total	XXX	XXX				
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Sum of remaining write-ins for Line 58 from overflow page	XXX	XXX				
5899. Totals (Lines 5801 - 5803 + 5898) (Line 58 above)	XXX	XXX				

NONE