

BEFORE THE IOWA INSURANCE COMMISSIONER

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IN THE MATTER OF	)	ORDER AND CONSENT TO ORDER
JOSEPH SIMON	)	DIA NO: 11IID011
SALES AGENT #00376	)	DIVISION DOCKET NO: 68909

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The Iowa Insurance Division (Division) filed a statement of charges on February 16, 2011 with the Department of Inspection and Appeals. The Division alleges that Joseph Simon (Simon) failed to cancel a preneed seller's license in violation of Iowa Code section 523A.501(5), failed to notify the Division of changes to his licensing information in violation of Iowa Code section 523A.502(7), and failed to file an annual report by a preneed seller in violation of Iowa Code section 523A.502A.

Simon hereby consents to the entry of this Order and Agreement.

**ORDER**

**THEREFORE, IT IS ORDERED:**

1. Simon shall provide the Division with the information necessary to comply with Iowa Code sections 523A.501, 523A.502 and 523A.502A within two weeks of the signing of this agreement.
2. Simon shall pay a civil penalty of \$150.00 and a \$500.00 penalty for violation of Iowa Code 523A.204, failure to submit an Annual Report. Within 30 days of the date of the signing of this Order, a check totaling \$650.00 made payable to the Iowa Insurance Division shall be remitted to the Iowa Insurance Division, 330 Maple Street, Des Moines, Iowa 50319 with attention to Michelle Engler, Attorney.

3. Nothing contained in this Order shall in any way limit the right of the Division to institute administrative or legal action against Simon for any other past conduct or future activity in violation of Iowa laws or regulations.

Dated this 10<sup>th</sup> day of June, 2011

SUSAN E. VOSS  
Commissioner of Insurance



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BY: Robert Koppin  
Enforcement Bureau Chief

CONSENT TO ORDER AND AGREEMENT



I, Joseph Simon, have read, understood, and do knowingly consent to this Order in its entirety. By executing this consent, I understand that I am waiving my rights to a hearing, to confront and cross-examine witnesses, to produce evidence, and to judicial review. I also understand that this Order is considered final administrative action that shall be reported by the Division to the Central Registration Depository and to the National Association of Insurance Commissioners and to all insurance companies with which I am actively appointed. I also understand that this Order is a public record under Iowa Code Chapter 22, that will be disclosed to other state regulatory authorities, upon request, pursuant to Iowa Code section 505.8(6)(c). I also understand that the information contained in the Order will be posted to the Division's web site.

Dated this 8 day of June 2011

Joseph T. Simon  
Joseph Simon

Subscribed and sworn before me by KADE Hoppenworth

On this 8 day of June 2011.



[Signature]  
Notary Public for the State of Iowa