

BEFORE THE IOWA INSURANCE COMMISSIONER
330 Maple Street
Des Moines, Iowa 50311

IN THE MATTER OF

James Shipley

National Producer No.: 265811

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ORDER AND CONSENT TO ORDER

DIVISION DOCKET NO. 68175

COMES NOW the Iowa Insurance Division ("Division"), pursuant to the provisions of the Iowa Licensing of Insurance Producers Act – chapter 522B and the Insurance Trade Practices Act – chapter 507B regarding James Shipley's (Shipley) alleged violations. The Division alleges that Shipley used fraudulent, coercive, or dishonest practices and demonstrated incompetence, untrustworthiness or financial irresponsibility in the course of doing business in violation of Iowa Code Chapter 522B.11(g) and lacks the character and competency necessary to hold an insurance license. Specifically, the Division alleges that Shipley solicited and accepted a personal loan from an insurance customer in violation of Iowa Administrative Rule 191-15.8(5)(b)(1). Shipley hereby consents to the entry of this Order and Agreement.

ORDER

THEREFORE, IT IS ORDERED:

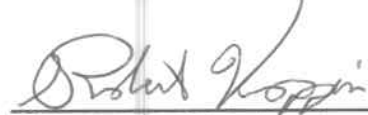
1. Shipley's insurance producer license in the State of Iowa is hereby suspended for thirty (30) days beginning on the date of execution of this agreement.
2. Shipley's insurance producer license in the State of Iowa is subject to a twelve-month period of probation, beginning on the date of execution of this

agreement.

3. Nothing contained in this Order shall in any way limit the right of the Division to institute administrative or legal action against Shipley for any other past conduct or future activity in violation of Iowa laws or regulations.
4. Nothing contained in this Order shall be construed to deprive any person or entity of any private right of action under any law.

Dated this 15th day of September, 2011.


SUSAN E. VOSS
Commissioner of Insurance



BY: Robert Koppin
Enforcement Bureau Chief

CONSENT TO ORDER AND AGREEMENT

I, James Shipley, have read, understood, and do knowingly consent to this Order in its entirety. By executing this consent, I understand that I am waiving my rights to a hearing, to confront and cross-examine witnesses, to produce evidence, and to judicial review. I also understand that this Order is considered final administrative action that shall be reported by the Division to the Central Registration Depository and to the National Association of Insurance Commissioners and to all insurance companies with which I am actively appointed. I also understand that this Order is a public record under Iowa Code Chapter 22 (2011), that will be disclosed to other state regulatory authorities, upon request, pursuant to Iowa Code section 505.8(6)(c) (2011). I also understand that the information contained in the Order will be posted to the Division's web site.



James Shipley

9/7/11
Date

Subscribed and sworn before me by James Shipley on this 7 day of Sept., 2011.



Notary Public for the State of Iowa

