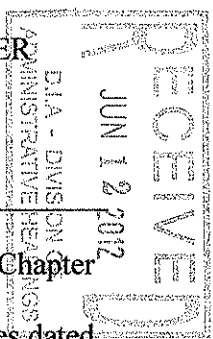


8/21 HLP

BEFORE THE IOWA DEPARTMENT OF INSPECTIONS AND APPEALS

IN THE MATTER OF)	ORDER AND CONSENT TO ORDER
ROGER L. STALHEIM)	DIVISION FILE NO.: 75491
Respondent.)	DIA DOCKET NO.: 12IID006



Now comes the Iowa Insurance Division (“Division”) pursuant to Iowa Code Chapter 522B, regarding the allegations made by the Division as stated in the Statement of Charges dated May 16, 2012 which are incorporated herein by reference. Roger L. Stalheim (“Stalheim”), without admitting to or denying the allegations contained in the Statement of Charges, consents to the entry of this Order and Consent to Order.

AGREEMENT

1. **Parties and Jurisdiction.** Stalheim admits that he is a licensed insurance producer in the State of Iowa, holding national producer number 225961. Stalheim admits that the Division has jurisdiction in this matter pursuant to Iowa Code Chapter 505.

2. **Sanction.**

A. Stalheim shall abide by and act in a manner consistent with the provisions of Iowa Code Chapter 522B and its rules and all other insurance statutes and rules in the state of Iowa.

B. Stalheim’s Iowa insurance producer license shall be placed on probationary status to resolve the pending charges in this matter. The period of probation is effective immediately upon the execution of this order and shall remain in place until the following requirements are met:

1) Stalheim shall pay a civil penalty of \$1000 to the Division. A check made payable to the Iowa Insurance Division should be remitted to the Iowa Insurance Division, 330 Maple Street, Des Moines, IA 50319.

2) Stalheim shall complete six hours of continuing education in the area of ethics. No more than three hours may be from self study courses and all courses must be listed on the Iowa Insurance Division website as approved courses for producer continuing education. These course credits may not be applied toward license renewal requirements pursuant to 191 IAC 11.3. Stalheim is responsible for all costs associated with the continuing education. Stalheim shall submit documentation verifying course completion to the Iowa Insurance Division, 330 Maple Street, Des Moines, IA 50319.

3) Upon receipt of the civil penalty and verification of the above described continuing education by the Iowa Insurance Division, Stalheim's probation shall be terminated.

3. **Other understandings.** Stalheim acknowledges the following:

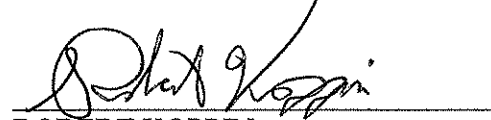
a. Nothing contained in this Order and Consent to Order shall be construed to deprive any person or entity of any private right of action.

b. Nothing contained in this Order shall in any way limit the right of the Division to institute administrative or legal action against Stalheim for any other past conduct or future activity in violation of Iowa laws or regulations.

Now comes the Enforcement Bureau Chief, Robert Koppin, and states that the attached Order has been approved by the Undersigned.

Dated this 11th day of June, 2012.

SUSAN E. VOSS
Commissioner of Insurance



ROBERT KOPPIN
Enforcement Bureau Chief

ORDER

IT IS THEREFORE ORDERED:

1. Stalheim shall abide by and act in a manner consistent with the provisions of Iowa Code Chapter 522B and its rules and all other insurance statutes and rules in the state of Iowa.

2. Stalheim's Iowa insurance producer license shall be placed on probationary status to resolve the pending charges in this matter. The period of probation is effective immediately upon the execution of this order and shall remain in place until the following requirements are met:

a.) Stalheim shall pay a civil penalty of \$1000 to the Division. A check made payable to the Iowa Insurance Division should be remitted to the Iowa Insurance Division, 330 Maple Street, Des Moines, IA 50319.

b.) Stalheim shall complete six hours of continuing education in the area of ethics. No more than three hours may be from self study courses and all courses must be listed on the Iowa Insurance Division website as approved courses for producer continuing education. These course credits will not count toward license renewal requirements pursuant to 191 IAC 11.3. Stalheim is responsible for all costs associated with the continuing education. Stalheim shall submit documentation verifying course completion to the Iowa Insurance Division, 330 Maple Street, Des Moines, IA 50319.

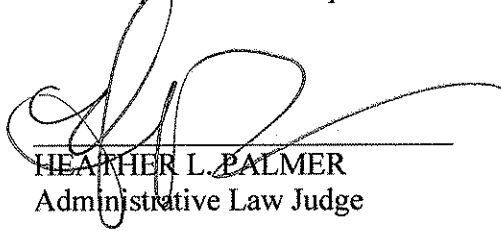
c.) Upon receipt of the civil penalty and the above described continuing education verification by the Iowa Insurance Division, Stalheim's probation shall be terminated.

3. Nothing contained in this Order and Consent to Order shall be construed to deprive any person or entity of any private right of action.

4. Nothing contained in this Order shall in any way limit the right of the Division to institute administrative or legal action against Stalheim for any other past conduct or future activity in violation of Iowa laws or regulations.

Dated this 12th day of June, 2012.

Iowa Department of Inspections and Appeals



HEATHER L. PALMER
Administrative Law Judge

CONSENT TO ORDER

I, Roger L. Stalheim, have read, understood, and do knowingly consent to this Order and Consent to Order in its entirety. I understand that I am waiving my rights to a hearing and all attendant rights, including the right to seek judicial review by freely and voluntarily entering into this Order and Consent to Order. I also understand that this Order is considered final administrative action that shall be reported by the Division to the Central Registration Depository and to the National Association of Insurance Commissioners and to all insurance companies with which I am actively appointed. I also understand that this Order is a public record under Iowa Code Chapter 22 (2011), and a copy will be posted to the Division's web site.

Dated this 11 day of June, 2012.



Roger L. Stalheim

Subscribed and sworn before me on this 11th day of June, 2012.



Notary Public for the state of Iowa

