# BEFORE THE IOWA INSURANCE COMMISSIONER IOWA INSURANCE DIVISION 330 MAPLE STREET DES MOINES, IA 50319

IN THE MATTER OF:	) ) DOCKET NO. 76804
DALE PHILLIPS	) ) ORDER FOR SUSPENSION
INSURANCE PRODUCER NO. 4958422	) ORDER FOR SUSPENSION )

This matter comes before the Iowa Insurance Commissioner upon submission of a Certificate of Noncompliance from the Iowa Department of Revenue ("Revenue"). Under Iowa Code section 272D.9 (2011) and Iowa Administrative Code, rule 191-10.23, the Iowa Insurance Commissioner was required to issue a notice to Dale Phillips ("Respondent") advising Respondent that the Certificate of Noncompliance was received and that Respondent's Iowa insurance producer license would be suspended in 60 days unless Revenue withdrew the Certificate of Noncompliance within those 60 days. The Iowa Insurance Division's letter notifying Respondent of the intended suspension was sent on April 25, 2012. Therefore, the sixty-day notice period has now passed.

The Iowa Insurance Commissioner has authority to order the suspension of the insurance producer license of Respondent pursuant to Iowa Code section 252J.9 (2011) and Iowa Administrative Code, rule 191-10.23.

THEREFORE, IT IS ORDERED:

The Iowa insurance producer license of **Dale Phillips** is suspended as of the date of this Order.

SUSAN E. VOSS

Commissioner of Insurance

BY: ROBERT KÖPPIN Enforcement Bureau Chief

Date: July 13, 2012

CC: Iowa Department of Revenue License Sanction 401 SW 7<sup>th</sup> Street Suite C Des Moines, IA 50309 LOCAL Christina Hazelbaker Iowa Insurance Division By CERTIFIED & FIRST CLASS MAIL: Dale Phillips RR 2 Princeton, MO 64674

## BEFORE THE IOWA INSURANCE COMMISSIONER IOWA INSURANCE DIVISION 330 MAPLE DES MOINES, IA 50319

EINSTATING LICENSE
JSPENSION
ocket No.: 76804

Pursuant to Iowa Code chapter 17A and IAC 191-10.10, this matter comes before the Commissioner of Insurance upon an application by Dale Phillips for the reinstatement of his Iowa insurance producer's license.

#### PARTIES AND JURISDICTION

- The Commissioner of Insurance, Susan E. Voss, administers and enforces the Iowa Licensing of Insurance Producers Act, Chapter 522B, pursuant to Iowa Code § 505.8 (2011).
- Dale Phillips ("Phillips") is an individual with a last known mailing address of RR 2 Princeton, MO 64674.
- Phillips has filed an application with the Iowa Insurance Division ("Division") for reinstatement of his suspended license and the Division is authorized to issue this Order pursuant to Iowa Administrative Code rule 191-10.10.

### FINDINGS OF FACT

- Phillips is licensed as a non-resident insurance producer in the State of lowa.
- Only July 13, 2012, a suspension order was issued by the Division that stated that Phillips' "insurance producer's license was suspended effective the date of the order."

- 6. The Division's letter notifying Phillips of such intended suspension was sent on April 25, 2012. Based on the language of the required notice of intended suspension Phillips was advised that the Iowa Department of Revenue ("Revenue") had to withdraw the Certificate of Noncompliance, prior to Phillips' license becoming reinstated by the Division.
  - Revenue withdrew the Certificate of Noncompliance on July 23, 2012.
- Phillips' suspension provided for in the suspension order has been served as Phillips has met the requirements of Revenue.
- On July 23, 2012, Phillips filed an application for reinstatement after suspension of his insurance producer license as required by IAC 191-10.10.
- 10. As Phillips has complied with the suspension and filed the necessary reinstatement application, an order of reinstatement of his lowa insurance producer's license will be issued.

### CONCLUSIONS OF LAW

11. Phillips is in compliance with the terms of his suspension found in the suspension order issued by the Division dated July 13, 2012 and has filed the required application of reinstatement so an order of reinstatement should be issued pursuant to IAC 191-10.10.

#### THEREFORE, IT IS ORDERED THAT:

 The Iowa non-resident insurance producer license of Phillips is no longer suspended; Phillips shall immediately be able to transact and participate in the business of insurance in Iowa.

For the Iowa Insurance Division,

Robert Koppin

Enforcement Bureau Chief

SENT BY E-MAIL and FIRST CLASS MAIL TO:

Dale Phillips RR 2

Princeton, MO 64674