

BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF

JAMES J. ELLIS

NATIONAL PRODUCER #6868966

ORDER AND CONSENT TO ORDER

DIVISION DOCKET NO. 71588

The Iowa Insurance Division (Division) has alleged that James J. Ellis (Ellis) has engaged in activities that are in violation of Iowa Code Chapter 522B and Iowa Administrative Code 191-Chapter 10. In particular, the Division has alleged that Ellis wrote bonds when he was not properly license to do so. To resolve the Division's allegations, Ellis hereby consents to the entry of this Order and Consent to Order.

ORDER

THEREFORE, IT IS ORDERED:

1. Ellis agrees to cease and desist from any violations of Iowa's licensing laws.
2. Ellis shall pay a civil penalty of \$1000 to the Division within sixty (60) days of the signing of this Order. In addition, Ellis shall pay the administrative costs of the action totaling \$500. A check for these amounts should be made payable to the Iowa Insurance Division and remitted to the Iowa Insurance Division, 330 Maple Street, Des Moines, IA 50309 with attention to John Leonhart.

Dated this 28th day of September, 2011.

SUSAN E. VOSS
Commissioner of Insurance



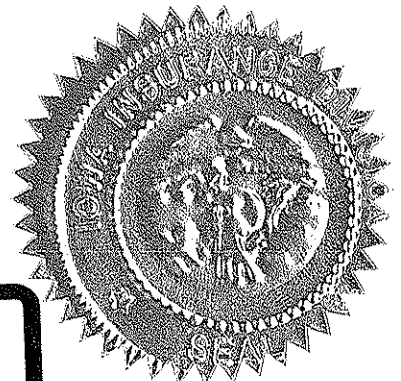
BY: Robert Kopplin
Enforcement Bureau Chief

IT IS HEREBY CERTIFIED THAT THIS IS A TRUE AND CORRECT COPY OF AN INSTRUMENT ON FILE IN THE IOWA INSURANCE DIVISION AND SECURITIES BUREAU IN WITNESS WHEREOF, I HAVE HEREUNTO SET MY HAND AND AFFIXED THE SEAL OF THE IOWA INSURANCE DIVISION, AT DES MOINES, IOWA, THIS DAY.


COMMISSIONER OF INSURANCE

BY: 07-27-2012

DATE: David P. Cunningham



CONSENT TO ORDER AND AGREEMENT

I, James J. Ellis, have read, understood, and do knowingly consent to this Order in its entirety. I will abide by the terms of this Order but do so without admitting to the truth or falsity of the allegations made against me and to avoid the possible adverse consequences of any hearing. By executing this consent, I understand that I am waiving my rights to a hearing, to confront and cross-examine witnesses, to produce evidence, and to judicial review. I also understand that this Order is considered final administrative action that shall be reported by the Division to the Central Registration Depository and, if I am insurance licensed, to the National Association of Insurance Commissioners and to all insurance companies with which I am actively appointed. I also understand that this Order is a public record under Iowa Code chapter 22 (2011), that will be disclosed to other state regulatory authorities, upon request, pursuant to Iowa Code section 505.8(6)(c) (2011). I also understand that the information contained in the Order will be posted to the Division's web site.


James J. Ellis

Date

Subscribed and sworn before me by James J. Ellis on this 8th day of September, 2011.


Notary Public for the State of Iowa

Copies sent by first class mail to:

James J. Ellis
1850 E. Army Post Rd.
Des Moines, IA 50320

