BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF	ORDER AND CONSENT TO ORDER
DALLAS BUCK III	DIVISION FILE NO. 82637
NATIONAL PRODUCER #15976853	

Now comes the Iowa Insurance Division (Division) represented by Enforcement Bureau Attorney John Leonhart and alleges that Dallas Buck III ("Buck") has violated the provisions of Iowa Code Chapter 522B-the Licensing of Insurance Producers Act. Buck consents to the entry of the Order. Buck admits that the Division has personal jurisdiction over him and has subject matter jurisdiction over this matter.

PARTIES AND JURISDCITION

- The Commissioner of Insurance, Nick Gerhart, enforces Iowa Code Chapter 522Bthe Licensing of Insurance Producers Act.
- 2. Buck is an individual with a last known mailing address of 1165 Jonquil Lane, Boone, IA 50036.
 - 3. Buck is a licensed insurance producer in the state of Iowa.

FACTUAL BACKGROUND

- 4. Buck received a Consent to Work in the Business of Insurance (the "Consent") from the Division on October 11, 2010.
- 5. The Consent stated that if Buck changed employers he had an affirmative duty to notify the Division of the change.
- 6. The Division contacted Buck regarding his employment status and he informed the Division that he had changed employers but failed to notify the Division of the change as required by his Consent.
- 7. Buck's failure to notify the Division of his change in employment status is in violation of Iowa Code section 522B.11(1)(b).

ORDER

WHEREFORE, IT IS ORDERED pursuant to the powers granted to the Commission of Insurance by Iowa Code Chapter 522B that:

- 8. Buck shall cease and desist from violating Iowa Code Chapter 522B
- 9. Buck shall pay a civil penalty of \$100. A check in the amount of \$100 payable to the lowa Insurance Division is due upon the signing of this Order. The check and the signed Order should be sent to the Iowa Insurance Division, Two Ruan Center, 601 Locust Street, 4th Floor, Des Moines, IA 50309 with attention to John Leonhart, Enforcement Attorney.
- 10. Nothing contained in this Order shall be construed to limit the authority of the Division to institute administrative or legal action against Buck for any other past conduct of future activity in violation of lowa laws and regulations.

Now comes the Enforcement Bureau Chief Robert Koppin, on behalf of the Division, and states that the Order and Consent to Order has been reviewed and approved by the Undersigned.

John Leonhart Enforcement Bureau

Dated this 26 day of February, 2014.

Iowa Insurance Division

BY: Robert Koppin Enforcement Bureau Chief

CONSENT TO ORDER AND AGREEMENT

I, Dallas Buck III, have read, understood, and do knowingly consent to this Order in its entirety.

By executing this consent, I understand that I am waiving my rights to a hearing, to confront and cross-examine witnesses, to produce evidence, and to judicial review. I also understand that

this Order is considered final administrative action that shall be reported by the Division to the Central Registration Depository and, if I am insurance licensed, to the National Association of Insurance Commissioners and to all insurance companies with which I am actively appointed. I also understand that this Order is a public record under Iowa Code chapter 22 (2013), that will be disclosed to other state regulatory authorities, upon request, pursuant to Iowa Code section 505.8(6)(c) (2013). I also understand that the information contained in the Order will be posted to the Division's web site and a notation will be made to the publicly available web site record that administrative action has been taken against me

Dated this 20 day of Ftb , 2014

Dallas Buck III

Printed Name

1165 Jonquil Ln boons, 1A 50036 Address of Signatory

Subscribed and sworn before me by Dallas Buck III on this 2014 day of FEBRUARY

Notary Public for the State of Iowa

CHAD McDOWELL
Commission Number 758565
My Commission Expires

Copies sent by first class mail to:

Dallas Buck III 1165 Jonquil Lane Boone, IA 50036