

BEFORE THE IOWA INSURANCE COMMISSIONER

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|-------------------------------|---|-------------------------|
| IN THE MATTER OF: |) | |
| |) | CONSENT ORDER |
| ROGER JERRICK |) | |
| National Producer No.14385186 |) | DIVISION FILE NO: 72768 |

COMES NOW the Iowa Insurance Division pursuant to the provisions of the Iowa Licensing of Insurance Producers Act – chapter 522B. The Division alleges that Jerrick demonstrated incompetence in giving insurance advice and taking action that was not in the financial best interest of an Iowa consumer in violation of Iowa Code 522B.11(1)(h) (2013). Jerrick hereby consents to the entry of this Order. Jerrick admits that the Iowa Insurance Division has personal jurisdiction over him and has subject matter jurisdiction over this matter.

AGREEMENT AND ACKNOWLEDGMENT

1. Cease and Desist. Jerrick agrees to cease and desist violating Iowa Code chapter 522B based on the allegation made in this Order.
3. Acknowledgment of payment made to Iowa insureds. The Division acknowledges the payment of \$15,000 as a contribution along with a payment from his broker-dealer to make the Iowa insureds whole for their loss. The Division has taken Jerrick's payment to the Iowa insureds into consideration in determining the sanction and no civil penalty in this matter.

ORDER

WHEREFORE, IT IS ORDERED pursuant to the powers granted to the
Commissioner of Insurance by Iowa Code Chapter 522B that:

A. Jerrick will cease and desist from future violations of Iowa Code Chapter
522B.

B. Nothing contained in this Order shall be construed to deprive any person
or entity of any private right of action under any law.

C. The Iowa Insurance Division reserves its right to take Administrative
Action for any violation of the Iowa insurance statutes and/or regulations by Jerrick
unknown to the Division at the date of the signing of this Order and Consent to Order.

Dated this 21st day of March, 2014.

NICK GERHART
Iowa Insurance Commissioner



By: Robert Koppin
Enforcement Bureau Chief

Iowa Insurance Division



By: Michelle Engler
Enforcement Attorney

CONSENT TO ORDER

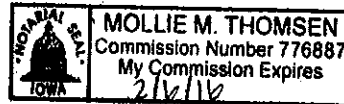
I, Roger Jerrick, have read, understood, and do knowingly consent to this Order in its entirety. By executing this consent, I understand that I am waiving my rights to a hearing, to confront and cross-examine witnesses, to produce evidence, and to judicial review. I also understand that this Order is considered final administrative action that shall be reported by the Division to the Central Registration Depository, if securities licensed and, if insurance licensed, to the National Association of Insurance Commissioners and to all insurance companies with which I am actively appointed. I also understand that this Order is a public record under Iowa Code Chapter 22, that will be disclosed to other state regulatory authorities, upon request, pursuant to Iowa Code section 505.8(6)(c). I also understand that the information contained in the Order will be posted to the Division's web site and a notation will be made to a publicly available website record that administrative action has been taken against me.


Dated this 10th day of March, 2014.



Roger Jerrick

Subscribed and sworn before me by Mollie Thomsen on this 10th day of March, 2014.





Notary Public for the State of Iowa