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COMMISSION OF INSURANCE INSURANCE DIVISION OF IOWA

BEFORE THE IOWA INSURANCE COMMISSIONER

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IN THE MATTER OF

CASEY WOOCK, NPN 16440048, DOB 07/30/XXXX, Respondent Division Case No. 91726

ORDER REINSTATING LICENSE AFTER SUSPENSION

Pursuant to Iowa Code chapter 522B and 191 IAC 10.10, this matter comes before the Commissioner of Insurance upon an application by Casey Woock for the reinstatement of his Iowa insurance producer license.

I. PARTIES AND JURISDICTION

1. The Commissioner of Insurance, Nick Gerhart, directly and through his designees, administers and enforces the provisions of Iowa Code § 505.8 and the Iowa Licensing of Insurance Producers Act, chapter 522B.

Casey Woock ("Woock") is an individual with a last known mailing address of
3304 Aurora Ave., Des Moines, IA 50310-4864 and a national producer number of 16440048.

3. Woock has filed an application with the Iowa Insurance Division ("Division") for reinstatement of his suspended license and the Commissioner is authorized to issue this Order pursuant to 191 IAC 10.10.

II. FINDINGS OF FACT

4. Woock is licensed as a resident insurance producer in the State of Iowa.

5. Woock submitted an application for individual insurance producer license on October 24, 2011 in which the Commissioner was designated as agent for service of process.

6. On July 25, 2016, the Division mailed Woock notification of the intent to issue a suspension of his license if the Iowa Department of Revenue ("IDR") did not withdraw the Certificate of Noncompliance within 60 days.

7. On September 28, 2016, an order was issued by the Division immediately suspending Woock's insurance producer license ("Suspension Order"). Woock was also notified that the Division's reinstatement of the producer license was contingent upon IDR withdrawing the Certificate of Noncompliance.

8. IDR withdrew the Certificate of Noncompliance on October 12, 2016.

9. On October 5, 2016, Woock mailed an application for reinstatement after suspension of his insurance producer license as required by 191 IAC 10.10.

10. Woock has complied with the terms of the Suspension Order.

III. CONCLUSIONS OF LAW

11. Iowa Code § 522B.11(1) authorizes a range of disciplinary actions for insurance producers, including suspensions, but the imposition and duration of suspensions, is within the discretion of the Commissioner.

12. 191 IAC 10.10(2)(a) states that a producer whose license has been suspended by order may apply to the Commissioner for reinstatement of his or her license by filing an application for reinstatement.

13. 191 IAC 10.10(2)(b) provides that applications for reinstatement must allege facts sufficient to enable the Commissioner to establish that the basis of the suspension no longer exists.

14. Woock is in compliance with the conditions of the Suspension Order issued on September 28, 2016.

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15. Woock and has filed the required application for reinstatement and has alleged facts that demonstrate that the basis of the suspension no longer exists. An order of reinstatement should be issued pursuant to 191 IAC 10.10.

IV. ORDER

IT IS THEREFORE ORDERED that:

A. Woock's suspension is terminated and his Iowa resident insurance producer license is reinstated; and

B. Woock shall immediately be permitted to transact and participate in the business of insurance in Iowa.

IT IS FURTHER ORDERED that, pursuant to Iowa Code § 505.8(10), the one hundred dollar (\$100) payment remitted with the application for reinstatement shall be paid to the Iowa Insurance Division Enforcement Fund to provide funds for insurance enforcement and education.

Dated this 13th day of October, 2016.

COMMISSIONER OF INSURANCE

Douglas M. Ommen Deputy Commissioner

Dustin J. DeGroote Compliance Attorney

CERTIFICATE OF SERVICE

County of Polk State of Iowa

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The undersigned affiant certifies under penalty of perjury that she has entered the above order into the records of the Iowa Commissioner of Insurance and on the 14th day of October, 2016, the foregoing order was delivered to the United States Postal Service, postage prepaid, for first class mail and also for certified mail service, return receipt requested; to:

Casey Woock 3304 Aurora Ave Des Moines, IA 50310-4864

I further certify that the foregoing order was sent by email to:

casey@peoples-insurance.com

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BEFORE THE IOWA INSURANCE COMMISSIONER

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COMMISSION OF INSURANCE INSURANCE DIVISION OF IOWA

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IN THE MATTER OF

CASEY WOOCK, NPN 16440048, DOB 07/30/XXXX, Respondent Division Case No. 91726 ORDER FOR SUSPENSION

This matter comes before the Iowa Insurance Commissioner upon submission of a Certificate of Noncompliance from the Iowa Department of Revenue ("Revenue"). **Casey Woock** ("Respondent") submitted an application for insurance producer licensure in which the Commissioner was designated as Respondent's agent for service of process. Under Iowa Code section 272D.8 and Iowa Administrative Code, rule 191–10.23, the Commissioner was required to issue a notice to Respondent advising that the Certificate of Noncompliance was received and that Respondent's Iowa insurance producer license would be suspended in 60 days unless Revenue withdrew the Certificate of Noncompliance within those 60 days. The Iowa Insurance Division's letters notifying Respondent of the intended suspension were sent on July 25, 2016. Therefore, the sixty-day notice period has now passed and Revenue has not withdrawn the Certificate of Noncompliance. The Commissioner has authority to order the suspension of the insurance producer license of Respondent pursuant to Iowa Code section 272D.8 and Iowa Administrative Code, rule 191–10.23.

IT IS THEREFORE ORDERED that:

The Iowa insurance producer license of **Casey Woock** is suspended as of the date of this Order.

IT IS FURTHER ORDERED that:

Casey Woock shall not be eligible to have his license reinstated, reissued, renewed, or to

have a new license issued until each of the following has occurred:

- 1. Revenue issues a Withdrawal of the Certificate of Noncompliance;
- 2. Respondent makes the appropriate Application for Reinstatement or

Reissuance, which is approved by the Commissioner or his designee;

- 3. Respondent pays all applicable fees; and
- 4. Respondent complies with all other insurance statutes and regulations.

Dated this 28th day of September, 2016.

COMMISSIONER OF INSURANCE

Douglas M. Ommen Deputy Commissioner

Dustin J. DeGroote

Dustin J. DeGroote Compliance Attorney

CERTIFICATE OF SERVICE

County of Polk)) State of Iowa)

The undersigned certifies under penalty of perjury that she has entered the above order into the records of the Iowa Commissioner of Insurance and on the 28th day of September, 2016, the foregoing order was delivered to the United States Postal Service, postage prepaid, for first class mail and also for certified mail service, return receipt requested; to:

Casey Woock 3304 Aurora Ave Des Moines, IA 50310-4864

I further certify that the foregoing order was sent by email to:

casey@peoples-insurance.com

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Tammi L. Green U Iowa Insurance Division

CC: Iowa Department of Revenue Attn: License Sanction PO Box 10330 Des Moines, IA 50306-0330

By CERTIFIED & FIRST CLASS MAIL: Casey Woock 3304 Aurora Ave Des Moines, IA 50310-4864