

FILED

JUN 26 2017

COMMISSION OF INSURANCE
INSURANCE DIVISION OF IOWA

BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF) Division Case No. 94918
)
THE SECURITIES AND) **ORDER OF APPOINTMENT**
REGULATED INDUSTRIES)
BUREAU)

WHEREAS, Iowa Code § 601(1) of the Iowa Uniform Securities Act ("Securities Act"), Chapter 502, provides that the Securities Act shall be administered by the Commissioner of Insurance;

WHEREAS, the Commissioner may appoint officers, attorneys, accountants and other employees as needed for the administration of the Securities Act;

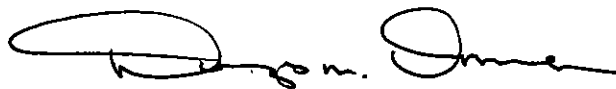
WHEREAS, Interim First Deputy Craig Goettsch has been also designated by the Commissioner as Securities Administrator under Iowa Code § 601(1) of the Securities Act; and

WHEREAS, the Bureau Chief of the Securities and Regulated Industries Bureau is on leave from the Division;

NOW THEREFORE, the Commissioner appoints Assistant Commissioner for Enforcement Vincent R. Ledlow to also serve as the Acting Securities and Regulated Industries Bureau Chief, and

IT IS ORDERED that the Commissioner delegates to Assistant Commissioner Ledlow the supervision of all administrative and investigative authority and functions necessary to administer the Securities Act, including, but not limited to, conducting investigations under Iowa Code §502.602, and issuing or denying securities, broker-dealer and agent registrations as authorized by law. All prosecutorial functions in administrative enforcement actions, including the filing of statements of charges, are delegated to the compliance attorneys in the Enforcement Bureau and all adjudicative functions including presiding over hearings, the making of the record and the issuance of disciplinary orders, cease and desist orders, consent orders and all other enforcement orders under Iowa Code § 502.604 are reserved to the Commissioner or may be vested in the First Deputy Commissioner, who by assignment may preside over contested case hearings and submit proposed decisions and orders to the Commissioner.

SO ORDERED on this 26th day of June, 2017.



DOUGLAS M. OMMEN
Insurance Commissioner