FILED

SEP **I 0** 2018

COMMISSION OF INSURANCE INSURANCE DIVISION OF IOWA

BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF) Division Case No. 94359
BRIAN TODD THOMPSON, NPN 16203931, DOB 09/28/XXXX, Applicant.	 ORDER FOR REISSUANCE AFTER LICENSE SUSPENSION AND EXPIRATION

Pursuant to Iowa Code Chapter 522B—Licensing of Insurance Producers and Iowa Administrative Code Chapter 10, this matter comes before the Commissioner of Insurance upon an application by Brian Todd Thompson for reissuance of an Iowa insurance producer license.

I. PARTIES AND JURISDICTION

- 1. The Commissioner of Insurance, Doug Ommen, directly and through his designees, administers and enforces Iowa Code Chapter 522B—Licensing of Insurance Producers and Iowa Administrative Code Chapter 10, pursuant to Iowa Code § 505.8.
- 2. Brian Todd Thompson ("Applicant") is an individual with a last-known mailing address of 6498 SE 55th Street, Carlisle, Iowa 50047 and a National Producer Number of 16203931.
- 3. Applicant has filed an application with the Iowa Insurance Division ("Division") for reissuance of his Iowa insurance producer license and filed an application through the National Insurance Producer Registry (NIPR) for individual producer license.
- 4. The Commissioner is authorized to issue this order pursuant to Iowa Administrative Code rule 191—10.10.

II. FINDINGS OF FACT

5. Applicant was previously licensed as a resident insurance producer in Iowa from April 22, 2011 until July 7, 2017.

- 6. On April 18, 2017, the Division received a certificate of noncompliance from the Iowa Department of Human Services ("Certificate of Noncompliance") indicating that Applicant was not in compliance with a qualifying debt being enforced by the Iowa Department of Human Services ("IDHS").
- 7. On April 24, 2017, the Division mailed Applicant notification of the intent to suspend his insurance producer license if the IDHS did not issue a withdrawal of the Certificate of Noncompliance within thirty days.
- 8. On July 7, 2017, the Division issued an order immediately suspending Applicant's Iowa insurance producer license ("Suspension Order"). Applicant was also notified by letter that the reinstatement of his Iowa insurance producer license was contingent upon the IDHS issuing a withdrawal of the Certificate of Noncompliance and meeting the Division's requirements for reinstatement.
- 9. On August 4, 2017, the IDHS issued a notice of withdrawal of the Certificate of Noncompliance for Applicant ("Withdrawal").
- 10. On September 30, 2017, Applicant's Iowa insurance producer license expired.
- 11. On August 29, 2018, Applicant's Iowa Insurance Producer Application for Reissuance ("Reissuance Application") was filed with the Division, which included a statement that the IDHS had issued a Withdrawal.
- 12. On September 6, 2018, Applicant applied for a resident insurance producer license with the Division by submitting through NIPR a Uniform Application for Individual Insurance Producer License ("Uniform Application").

III. CONCLUSIONS OF LAW

- 13. Iowa Code § 522B.11(1) authorizes a range of disciplinary actions for insurance producers, including suspensions.
- 14. Iowa Administrative Code rule 191—10.10(6) provides that when a suspension of a producer's license extends beyond the license expiration date, the license terminates on the license expiration date.
- 15. Iowa Administrative Code rule 191—10.10 provides that a producer whose license has been terminated must apply for a new license.
- 16. Applicant is in compliance with the terms of the Suspension Order and has submitted a Reissuance Application.
- 17. Applicant has met his burden of establishing that the basis for the suspension, his noncompliance with the IDHS, no longer exists.
- 18. Applicant should be issued a new resident insurance producer license.

IV. ORDER

IT IS THEREFORE ORDERED that:

- A. Brian Todd Thompson's Reissuance Application is granted and the administrative suspension block is removed from his Iowa licensing record;
- B. Brian Todd Thompson's Uniform Application for Individual License Registration is approved; and
- C. Brian Todd Thompson shall immediately be permitted to transact and participate in the business of insurance in Iowa.

Dated this 10^T day of September, 2018.

COMMISSIONER OF INSURANCE

Douglas M. Ommen Commissioner of Insurance	
Submitted by, Tracy Swalwell Compliance Attorney	
CERTIFICATE OF SERVICE	
County of Polk)) ss State of Iowa)	
The undersigned affiant certifies under penalty of perjury that she has entered the above order into the records of the Iowa Commissioner of Insurance and on the day of September, 2018, the foregoing order was delivered to the United States Postal Service, postage prepaid, for first class mail to:	
Brian Todd Thompson 6498 SE 50 th St Carlisle, IA 50047	
I further certify that the foregoing order was sent by email to: mtheone13@msn.com . mtheone13@msn.com .	
Hilary Foster Hilary Foster	
Iowa Insurance Division	