# December 31, 2021 - Annual Statement

Iowa Company Number: 0039

# **Alliance Mutual Insurance Association**

Brian Ernst 830 12TH AVE SW DYERSVILLE IA 52040

# **ASSETS**

| -          |   | SE12       | Current Voor       |                                      | Dries Vees                              |
|------------|---|------------|--------------------|--------------------------------------|---|
|            |   | 1          | Current Year<br>2  | 3                                    | Prior Year<br>4                         |
|            |   | Assets     | Nonadmitted Assets | Net Admitted Assets<br>(Cols. 1 - 2) | Net Admitted<br>Assets                  |
| 1.         | Bonds (Schedule D)  |            |                    | 12,947,932                           | 12,586,156                              |
| 2.         | Stocks (Schedule D):  |            |                    |                                      |   |
|            | 2.1 Preferred stocks  | 88,230     |                    |                                      | 177 ,825                                |
|            | 2.2 Common stocks   | 7,899,977  |                    | 7,899,977                            | 5,512,666                               |
| 3.         | Mortgage loans on real estate (Schedule B):                             |            |                    |                                      |   |
|            | 3.1 First liens   |            |                    |                                      |   |
|            | 3.2 Other than first liens  |            |                    |                                      |   |
| 4.         | Real estate (Schedule A):   |            |                    |                                      |   |
|            | 4.1 Properties occupied by the company (less                            |            |                    |                                      |   |
|            | \$ encumbrances)  | 391,394    |                    | 391,394                              | 404 , 428                               |
|            | 4.2 Properties held for the production of income                        |            |                    |                                      |   |
|            | (less \$encumbrances)   |            |                    |                                      | •••••                                   |
|            | 4.3 Properties held for sale (less                                      |            |                    |                                      |   |
| 5.         | \$ encumbrances)  | •••••      |                    |                                      |   |
| J.         | (\$   |            |                    |                                      |   |
|            | investments (\$, Schedule DA)   | 445 741    |                    | 445 741                              | 577 316                                 |
| 6.         | Contract loans (including \$ premium notes)                             |            |                    | l                                    |   |
| 7.         | Derivatives (Schedule DB)   |            |                    |                                      |   |
| 8.         | Other invested assets (Schedule BA)                                     |            |                    |                                      |   |
| 9.         | Receivables for securities  |            |                    |                                      | 78,460                                  |
| 10.        | Securities lending reinvested collateral assets (Schedule DL)           |            |                    |                                      |   |
| 11.        | Aggregate write-ins for invested assets                                 |            |                    | ****                                 |   |
| 12.        | Subtotals, cash and invested assets (Lines 1 to 11)                     | 21,773,274 |                    | 21,773,274                           | 19.336,850                              |
| 13.        | Title plants less \$  |            |                    |                                      |   |
|            | only)   |            |                    |                                      |   |
| 14.        | Investment income due and accrued                                       | 127 , 125  |                    | 127,125                              | 132,416                                 |
| 15.        | Premiums and considerations:  |            |                    |                                      |   |
|            | 15.1 Uncollected premiums and agents' balances in the course of         |            |                    |                                      |   |
|            | collection  | 155,965    |                    | 155,965                              | 132,076                                 |
|            | 15.2 Deferred premiums, agents' balances and installments booked but    |            |                    |                                      |   |
|            | deferred and not yet due (including \$earned                            |            |                    |                                      |   |
|            | but unbilled premiums)  | 676,269    |                    | 676,269                              | 651,295                                 |
|            | 15.3 Accrued retrospective premiums (\$) and                            |            |                    |                                      |   |
|            | contracts subject to redetermination (\$)                               |            |                    |                                      |   |
| 16.        | Reinsurance:  |            |                    |                                      |   |
|            | 16.1 Amounts recoverable from reinsurers                                |            |                    |                                      |   |
|            | 16.2 Funds held by or deposited with reinsured companies                |            |                    |                                      |   |
|            | 16.3 Other amounts receivable under reinsurance contracts               |            |                    |                                      |   |
| 17.        | Amounts receivable relating to uninsured plans                          |            | 1                  |                                      |   |
| I          | Current federal and foreign income tax recoverable and interest thereon |            | 1                  |                                      |   |
|            |   |            |                    |                                      |   |
| 19.        | Guaranty funds receivable or on deposit                                 |            |                    | 2,485                                | 5,964                                   |
| 20.<br>21. | Furniture and equipment, including health care delivery assets          | 2,700      |                    | 2,400                                |   |
| 21.        | (\$)  | 1 296      | 1 296              |                                      |   |
| 22.        | Net adjustment in assets and liabilities due to foreign exchange rates  |            |                    |                                      |   |
| 23.        | Receivables from parent, subsidiaries and affiliates                    |            |                    |                                      | 6.680                                   |
| 24.        | Health care (\$   |            |                    |                                      |   |
| 25.        | Aggregate write-ins for other-than-invested assets                      | 64,628     |                    | 5,879                                |   |
| 26.        | Total assets excluding Separate Accounts, Segregated Accounts and       |            |                    |                                      |   |
|            | Protected Cell Accounts (Lines 12 to 25)                                | 23,077,803 | 188,045            | 22,889,758                           | 20,265,281                              |
| 27.        | From Separate Accounts, Segregated Accounts and Protected               | •          |                    |                                      |   |
|            | Cell Accounts   |            | <b></b>            |                                      |   |
| 28.        | Total (Lines 26 and 27)   | 23,077,803 | 188,045            | 22,889,758                           | 20,265,281                              |
| DETAIL     | S OF WRITE-INS  |            |                    |                                      |   |
| 1101.      |   |            | ļ                  |                                      |   |
| 1102.      |   |            |                    |                                      |   |
| 1103.      |   |            | ļ                  |                                      |   |
| 1198.      |   |            |                    |                                      |   |
| 1199.      | Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)              |            |                    |                                      |   |
| 2501.      | Automobiles   | 58,749     | 58,749             |                                      |   |
| 2502.      | State Premium Tax Credit  | 5,879      |                    | 5,879                                | *************************************** |
| 2503.      |   |            |                    |                                      |   |
| 2598.      | Summary of remaining write-ins for Line 25 from overflow page           |            |                    |                                      |   |
| 2599.      | Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)              | 64,628     | 58,749             | 5,879                                |   |

**LIABILITIES, SURPLUS AND OTHER FUNDS** 

| Loses   Part 2A, Live 55, Course 8)   |  | 1<br>Current Year | 2<br>Prior Year                         |
|---|--|-------------------|---|
| 1. Reinstance payable on paid loses and loss adjustment expenses (Schedule F, Part 1, Column 6) 2. Loss exjustment sequences (Part A) to 5, Column 9) 3. A 500 4. Commissions payable, contending lases and other emissions and the sequences (producing lases and feet) 3. Column theories and feet (producing feetal and foreign income taxes) 7. Column theories and foreign become and foreign income taxes) 7. Column theories and foreign become and foreign income taxes) 8. Bornove microsy \$ 8. Bornove microsy \$ 8. Bornove microsy \$ 9. Characteristic (Part T), Line 38, Column 9) (after deducting investment premiums for orderd reinstrance of a mid backing warranty reserves of \$ 9. Characteristic (Part T), Line 38, Column 9) (after deducting investment premiums for orderd reinstrance of a mid backing warranty reserves of \$ 1. Column 60 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)   | 1. Losses (Part 2A, Line 35, Column 8)   | T                 | 263,197                                 |
| A Commissions payable, controllesions and other enables changes  Children Copenies (excluding taxes, licensees and texe)  Response (excluding taxes, licensees and texe)  Response (excluding taxes, licensees and texe)  Response (excluding taxes)  Response  | Reinsurance payable on paid losses and loss adjustment expenses (Schedule F, Part 1, Column 6)                                       |                   |   |
| 1. Commit Indexes and free (excluding federal and foreign income taxes) 1. Commit Indexes and free (excluding federal and foreign income taxes) 1. Commit Indexes and freeign income taxes (including 5 on testited capital gains (losses)) 1. Commit Indexes and Index (including 5 on testited capital gains (losses)) 1. Representations (including 5 on the including 5 on the in   |  |                   | 4,500                                   |
| 6. Tasse, licenses and free (colorling federal and foreign income taxes (including \$ on resident capital gians (toxes))  7.2 I het deferred and freely including \$ on resident capital gians (toxes)  8. Bornewell money \$ and fickling warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves of \$ and accorded acceptance of \$ and including warranty reserves \$ \$ and including warranty reserves \$ \$ and accorded residual port of \$ a.000.77 \$ and \$ a.000.77 \$ a.0000.77 \$  |  | 1                 | 212,212                                 |
| 7. Current Indexis and foreign income taxes (including \$ on resisted capital gains (losses)) 7. Net defined tax liability. 8. Betrower increases \$ and infarrest thereon \$ on resisted capital gains (losses) 8. Unsured premiums (Part In, Line 38, Column 5) faired reducting unsured premiums for oxided reinsurance of \$ on and including \$ of the resistance of the same premiums (Part In, Line 38, Column 5) faired reducting unsured premiums for oxided reinsurance of and beath experience rating rehards including \$ for medical loss ratio rebato per the Public Intails Services Act 11. Dividends declared and unpact 11.2 Policyholders 11.3 Funds held by company under reinsurance treates (Schedule F, Part 3, Column 20) 12. Funds held by company under reinsurance treates (Schedule F, Part 3, Column 20) 13. Funds held by company under reinsurance treates (Schedule F, Part 3, Column 79) 14. Announts withheld or retained by company to account of others of the Schedule F, Part 3, Column 79) 15. Reinstraces and teres not allocated. 16. Povision for resistance (including \$ certified) (Schedule F, Part 3, Column 79) 17. Net adjustments in assets and discibilities due to foreign excharge rates 18. Daris schedularies 19. Payable for securities 20. Daris schedularies 21. Payable for securities 22. Payable for securities kinding 23. Liability or manufacture (including) 23. Total schedularies for discibilities 24. Total schedularies for discibilities 25. Total schedularies for discibilities 26. Explain totals 27. Protected or discibilities 28. Total schedularies for discibilities (Lines 11 through 25) 29. Agregate written for orbital schedularies 29. Agr   | Other expenses (excluding taxes, licenses and fees)  |                   | 19,437                                  |
| 7.2 Not deferred tox liability 8. Drawmed permitme (Part 1A, Line 38, Column 5) jather deducting unearmed premiums for ceded reinsurance of 8. Unearmed permitme (Part 1A, Line 38, Column 5) jather deducting unearmed premiums for ceded reinsurance of 8. and accrued accrued accrued and health supportion or and including \$ for medical loss ratio rebate per the Public Health permitted (Part 11, 15) column 12, 11, 15, 15, 15, 15, 15, 15, 15, 15, 15  | Taxes, licenses and fees (excluding federal and foreign income taxes)  |                   | 33,396                                  |
| 8. Bornwed money \$ and interest thereon \$ 9. Unsarred premiums (Part 1A, Line 3B, Column 5) (after deducting uneamed premiums for ceded reinsurance of \$ 9. and including warmity reserves of \$ 9. and account accident and health experience reliain practical forms of \$4.00 and \$ | 7.1 Current federal and foreign income taxes (including \$   |                   |   |
| Deserred previous (Part IA, Line 38, Column 5) (plate deducting unearmed previous for cacked reinsurance of and including warranty reserves of \$ and accoused accidered and health experience retire returned including \$ for medical loss ratio relates to the Public Health (Part Activation Previous Activation Activation Previous Activation Previous Activation Previous Activation Previous Activation Previous Activation Previous Activatio  |  | 1                 |   |
| and account accident and health experience rating returbed including \$ for medical loss ratio rebets per the Public Health Social Action (1998). (Inc. 1998). (In   | 8. Borrowed money \$ and interest thereon \$   |                   |   |
| health experience rating refunds including \$ for medical loss ratio rebals part the Public Health Service Act (1)  | 9. Unearned premiums (Part 1A, Line 38, Column 5) (after deducting unearned premiums for ceded reinsurance of                        |                   |   |
| Service Act) 2, 985,009 3, 072,81 10. Advance premium 51,431 1044,71 11. Diodende declared and unpaid: 11.1 Stockholders 11.2 Policyholders 11.2 Policyholders 12. Ceded reinsurance premiums payable (pet of ceding commissions) 11.2 Policyholders 12. Ceded reinsurance premiums payable (pet of ceding commissions) 11.9 258 1.14,5i 1.14,5i 1.15 Funds hald by company under reinsurance treaties (Schedule F, Part 3, Column 20) 1.14. Amounts withheld or retinated by company for account of others 1.5 Renatlances and itams not allocated 1.6 Provision for reinsurance (including \$ certified) (Schedule F, Part 3, Column 78) 1.7 Ret adjustments in assets and liabilities due to foreign exchange rates 1.9 Payable to parent, subsidiaries and affidiates 1.9 Payable for securities 1.9 Payable for securities 1.1 Payable for securities 1.1 Payable for securities foreign 1.2 Payable for securities foreign 1.2 Payable for securities foreign 1.3 Liability for amounts held under uninsured plans 1.4 Capital noties \$ and interest thereon \$ 1.5 Aggregate write-in for liabilities 1.5 Aggregate write-in for liabilities 1.6 Total liabilities excluding protected cell liabilities (Lines 1 through 25) 1.7 Protected cell liabilities (Lines 2 through 250) plus 2599 (Line 25 through 250) 1.7 Protected cell liabilities (Lines 2 through   |  |                   |   |
| 10. Advance premium 51.431 104.76 11. Dividence declared and unpaid: 11. Stocharders 11.2 Policyholders 11.2 Policyholders 11.2 Policyholders 11.2 Policyholders 11.2 Policyholders 11.2 Policyholders 11.3 Funds held by company under reinsurance treaties (Schedule F, Part 3, Column 20) 13. Funds held by company under reinsurance treaties (Schedule F, Part 3, Column 20) 14. Amounts withheld or retained by company for account of others 15. Remittances and firem and elaborated 16. Provision for reinsurance (including \$  |  |                   |   |
| 11. Dividends declared and unpsid: 11.1 Stockholders 11.2 Polipholders 12. Coded reinsurance premisms payable (pet of ceding commissions) 11.2 Polipholders 12. Coded reinsurance premisms payable (pet of ceding commissions) 11.3 Funds held by company under reinsurance treaties (Schedule F, Part 3, Column 20) 13. Funds held by company by company for account of others 13. 30,344 13. 7.7 14. Amounts withheld or retained by company for account of others 15. Remittances and idean tool allocated. 16. Provision for treinsurance (including \$   | Service Act)   | 1                 | 3,072,865                               |
| 111. Stockholders 112. Policyholders 112. Policyholders 113. Puruka hald by company under reinsurance preades (Schedule F, Part 3, Column 20) 114. 50. 114. Amounts withost or retained by company for eccount of others 15. Remittences and items not allocated 16. Provision for reinsurance (including 3 17. Net adjustments in assets and isletilise due to foreign exchange rates 19. Drafts outstanding 19. Pepable to penert subelderies and affiliates 20. Derivatives 21. Pepable for securities 22. Leaplet for securities 23. Lubility for amounts hald under uninsured plans 24. Capital notes 25. Aggregate write-ins for labilities 26. Total labilities excuding protected cell fabilities (Lines 1 through 25) 27. Protected cell labilities 28. Total labilities (Lines 28 and 27) 29. Aggregate write-ins for repeable surplus funds 30. Common capital stock 31. Perferred capital stock 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus) 36. Less researcy stock, a Lost 38.1 — shares preferred (value included in Line 30 \$ ) 38. Totals (Page 2, Line 28, Col. 3) 17. August (value) 18. Totals (Page 2, Line 28, Col. 3) 17. Surplus as regards policyholdere (Lines 28 to 35, less 39) (Page 4, Line 39) 18. Totals (Page 2, Line 28, Col. 3) 18. Totals (Page 2, Line 28, Col. 3) 19. Per remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page 2009. Summary of remaining write-ins for Line 29 from overflow page   | 10. Advance premium  |                   | 104,763                                 |
| 11.2 Policyholders 12. Geader rinsus annoe premiums payable (net of ceding commissions) 179, 268 1714,61 179,268 1714,61 179,268 1714,61 179,268 1714,61 179,268 1714,61 179,268 1714,61 179,268 1714,61 179,268 1714,61 179,268 1714,61 179,268 179,268 179,269 179,2  | 11. Dividends declared and unpaid:   |                   |   |
| 119, 258 114,6  13. Furth held by company under reinsurance treates (Schedule F, Part 3, Column 20)  13. Furth held by company under reinsurance treates (Schedule F, Part 3, Column 20)  15. Remittances and items not allocated.  16. Provision for reinsurance (inclusing \$ certified) (Schedule F, Part 3, Column 78)  17. Net adjustments in assest and tabelities due to foreign exchange rates  18. Drafts outstanding  19. Payable to persurit, subsidiaries and affiliates  20. Derivatives  21. Payable for securities  22. Payable for securities  23. Liability for amounts held under uninsured plans  24. Capital notes \$ and interest thereon \$  25. Aggregate write-ins for liabilities  27. Protected call liabilities excluding protected cell liabilities (Lines 28 and 27)  28. Total liabilities (Lines 28 and 27)  29. Aggregate write-ins for liabilities  30. Common capital stock  31. Preferred capital stock  32. Aggregate write-ins for pecial surplus funds  33. Surplus notes  34. Gross paid in and contributed surplus  35. Unassigned funds (curplus)  36. Less treasury stock, at cost:  36.1 shares preferred (value included in Line 30 \$ )  36.2 shares preferred (value included in Line 30 \$ )  37. Surplus as regards policyholders (Lines 29 to 35, less 38) (Page 4, Line 39)  38. Totals (Lines 28, Oct.) 3)  29. Surmary of remaining write-ins for Line 29 from overflow page  2901.  2902.  2903.  2909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  2901.  2909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  2901.  2902.  3909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  3909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  3909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  3909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  3909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  3909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  3909. Totals (Lines 2801 through 2503 plus 2598) (Line 28 above)  | 11.1 Stockholders  |                   |   |
| 13. Finds held by company under reinsurance treaties (Schedule F, Part 3, Column 20)  14. Amounts withheld or retained by company for account of others  30.344  32.25  15. Remittances and flems not allocated  16. Provision for reinsurance (including \$  17. Not adjustments in assets and sibilities due to foreign exchange rates  18. Drafts outstanding  19. Payable for parent, subsidiaries and affidiales  20. Derivatives  21. Payable for securities  22. Payable for securities  23. Jubility for amounts held under uninsured plans  24. Capital notes \$  25. Aggregate writer for iniabilities  26. Total liabilities excluding protected cell flabilities  27. Protected cell liabilities  28. Total sibilities excluding protected cell flabilities (Lines 2 and 27)  29. Aggregate write-ins for papical surplus funds  30. Common capital stock  31. Preferred capital stock  32. Aggregate write-ins for other-flam-special surplus funds  33. Surplus notes  44. (105.354  34. (105.354  35. Insassigned funds (surplus)  35. Unassigned funds (surplus)  36.1 salves for sex preferred (value included in Line 30 \$  36.2 surplus as regards policyholders (Lines 28 to 3, less 36) (Page 4, Line 38)  37. Surplus as regards policyholders (Lines 28 to 3, less 36) (Page 4, Line 38)  38. Totals liability (Lines 26 and 27)  39. Surplus of total, (surplus)  30. Surplus of the surplus (surplus)  30. Surplus notes  30. Surplus for Surplus (surplus)  31. 18. Totals (Page 2, Line 28, Col. 3)  38. Totals (Lines 2601 Brough 2503 plus 2598) (Line 28 above)  390. Totals (Lines 2601 Brough 2503 plus 2598) (Line 28 above)  390. Totals (Lines 2601 Brough 2503 plus 2598) (Line 28 above)  390. Totals (Lines 2601 Brough 2503 plus 2598) (Line 28 above)  390. Totals (Lines 2601 Brough 2503 plus 2598) (Line 28 above)  390. Totals (Lines 2601 Brough 2503 plus 2598) (Line 28 above)  390. Surplus of remaining write-ins for Line 28 from overflow page  390. Totals (Lines 2601 Brough 2503 plus 2598) (Line 28 above)  | 11.2 Policyholders   |                   |   |
| 14. Ancounts withheld or retained by company for account of others  | 12. Ceded reinsurance premiums payable (net of ceding commissions)   | 119,258           | 114,677                                 |
| 15. Remitances and items not allocated 16. Provision for reinsurance (including \$ certified) (Schedule F, Part 3, Column 78) 17. Not a diugitamise in assets and liabilities due to foreign exchange rates 18. Drafts outstanding 19. Payable to patent, subclidaries and affiliates 20. Derivatives 21. Payable for securities 22. Payable for securities lending 23. Liability for accounts held under uninsured plans 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities 26. Total liabilities actucking protected cell flabilities (Lines 1 through 25) 27. Protected cell liabilities 28. Total liabilities (Lines 26 and 27) 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Protered capital stock 32. Aggregate write-ins for ober-than-special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Linassigned funds (surplus) 36. Less treasury stock, at cost 36.1 shares preferred (value included in Line 30 \$ ) 36.2 shares preferred (value included in Line 31 \$ ) 37. Surplus as regards policyholders (Lines 29 to 35, less 30) (Page 4, Line 39) 38. Totals (Page 2, Line 26, Col. 3) 39. Summary of remaining write-ins for Line 28 from overflow page 3002 3003 3004 3005 3007 3007 3007 3007 3007 3007 3007   | 13. Funds held by company under reinsurance treaties (Schedule F, Part 3, Column 20)   |                   |   |
| 16. Provision for reinsurance (including \$ certified) (Schedule F, Part 3, Column 78)  17. Net adjustments in assets and liabilities due to foreign exchange rates  19. Payable to parent, subsidiaries and affiliates  20. Derivatives  21. Payable for securities  22. Payable for securities securities  23. Liability for amounts held under uninsured plans  24. Capilla intoles \$ and interest thereon \$  25. Aggregate write-ins for liabilities  26. Total liabilities excluding protected cell flabilities (Lines 1 through 25)  27. Protected cell liabilities  28. Total liabilities (Lines 26 and 27)  29. Aggregate write-ins for special surplus funds  30. Common capital stock  31. Preferred capital stock  32. Aggregate write-ins for special surplus funds  33. Surplus notes  43. Gross paid in and contributed surplus  34. In a shares common (value included in Line 30 \$ )  35. Less treasury stock, at cost:  36. 1 a shares preferred (value included in Line 30 \$ )  37. Surplus as regards policyholicers (Lines 28 to 35, less 39) (Page 4, Line 39)  38. Totals (Page 2, Line 28, Col. 3)  18.764, 404  16.407,93  37. Surplus as regards policyholicers (Lines 28 to 35, less 39) (Page 4, Line 39)  38. Totals (Page 2, Line 28, Col. 3)  18.764, 404  16.407,93  39. Surplus as regards policyholicers (Lines 28 to 75, less 39)  39. Totals (Page 2, Line 28, Col. 3)  18.764, 404  16.407,93  309. Surmany of remaining write-ins for Line 28 from overflow page  2099. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above)  3001.  3002.  3003.  3009. Summany of remaining write-ins for Line 32 from overflow page  3001.  3002.  3003.  3009. Summany of remaining write-ins for Line 32 from overflow page  3001.  3002.  3003.  3009. Summany of remaining write-ins for Line 32 from overflow page  3001.  | 14. Amounts withheld or retained by company for account of others  |                   | 32,279                                  |
| 17. Net adjustments in assets and liabilities 18. Portis outstanding. 20. Derivatives 21. Payable for securities 22. Payable for securities 23. Liability for amounts held under uninsured plans. 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25). 27. Protected cell liabilities (Lines 28 and 27). 28. Aggregate write-ins for special surplus funds. 30. Common capital stock. 31. Preferred capital stock. 32. Aggregate write-ins for special surplus funds. 33. Surplus notes. 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus). 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ 36.2 shares preferred (value included in Line 30 \$ 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39). 38. Totals (Page 2, Line 28, Col. 3). 39. Totals (Page 2, Line 28, Col. 3). 30. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39). 30. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39). 30. Surplus as regards policyholders (Lines 29 to 55, less 36) (Page 4, Line 39). 30. Surplus as regards policyholders (Lines 29 to 55, less 36) (Page 4, Line 39). 31. Totals (Page 2, Line 28, Col. 3). 32. Surplus as regards policyholders (Lines 29 to 55, less 36) (Page 4, Line 39). 33. Totals (Page 2, Line 28, Col. 3). 34. Totals (Page 2, Line 28, Col. 3). 35. Surplus as regards policyholders (Lines 29 to 55, less 36) (Page 4, Line 39). 36. Less treasury stock at cost: 36.1 shares preferred (value included in Line 31 \$ 37. Surplus as regards policyholders (Lines 29 to 55, less 36) (Page 4, Line 39). 36. Less treasury stock at cost: 37. Surplus as regards policyholders (Line 29 to 55, less 36) (Page 4, Line 39). 38. Totals (Page 2, Line 28, Col. 3). 39. Surplus as regards policyholders (Line 28 torm overflow page. 39. Totals (Lines 2901 through 2903 plus 2998) (Line 28 above).   | 15. Remittances and items not allocated  |                   |   |
| 18. Drafts outstanding 19. Payable to parent, subsidiaries and affiliates 20. Derivatives 21. Payable for securities 22. Payable for securities lending 23. Liability for accounties lending 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for it abilities 27. Protected cell flabilities exclusing protected cell flabilities (Lines 1 through 25) 4, 105, 354 3, 857, 32 27. Protected cell flabilities 28. Total labilities exclusing protected cell flabilities (Lines 1 through 25) 4, 105, 354 3, 857, 32 27. Protected cell flabilities 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Proferred capital stock 32. Aggregate write-ins for other-than-special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned finds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ 3) 36.2 shares preferred (value included in Line 30 \$ 3) 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3) 39. Totals (Page 2, Line 28, Col. 3) 39. Surmany of remaining write-ins for Line 25 from overflow page 3999. Summany of remaining write-ins for Line 25 from overflow page 3001. 3002. 3003. 3009. Summany of remaining write-ins for Line 25 from overflow page 3001. 3002. 3003. 3009. Summany of remaining write-ins for Line 25 from overflow page 3001.   | 16. Provision for reinsurance (including \$ certified) (Schedule F, Part 3, Column 78)   |                   |   |
| 18. Drafts outstanding 19. Payable to parent, subsidiaries and affiliates 20. Derivatives 21. Payable for securities 22. Payable for securities lending 23. Liability for accounties lending 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for it abilities 27. Protected cell flabilities exclusing protected cell flabilities (Lines 1 through 25) 4, 105, 354 3, 857, 32 27. Protected cell flabilities 28. Total labilities exclusing protected cell flabilities (Lines 1 through 25) 4, 105, 354 3, 857, 32 27. Protected cell flabilities 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Proferred capital stock 32. Aggregate write-ins for other-than-special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned finds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ 3) 36.2 shares preferred (value included in Line 30 \$ 3) 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3) 39. Totals (Page 2, Line 28, Col. 3) 39. Surmany of remaining write-ins for Line 25 from overflow page 3999. Summany of remaining write-ins for Line 25 from overflow page 3001. 3002. 3003. 3009. Summany of remaining write-ins for Line 25 from overflow page 3001. 3002. 3003. 3009. Summany of remaining write-ins for Line 25 from overflow page 3001.   |  |                   |   |
| 20. Derivatives 21. Payable for securities 22. Payable for securities lending 23. Liability for amounts held under uninsured plans 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities 26. Total liabilities excluding protected cell liabilities 27. Protected cell liabilities 28. Total liabilities (Lines 26 and 27) 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Preferred capital stock 32. Aggregate write-ins for special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ 36.2 shares preferred (value included in Line 31 \$ 37. Surplus as regards policyholders (Lines 28 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3) 39. Totals (Page 2, Line 28, Col. 3) 39. Surplus or remaining write-ins for the 25 from overflow page 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3990. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3991. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above)  |  | 1                 |   |
| 20. Derivatives 21. Payable for securities 22. Payable for securities lending 23. Liability for amounts held under uninsured plans 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities 26. Total liabilities excluding protected cell liabilities 27. Protected cell liabilities 28. Total liabilities (Lines 26 and 27) 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Preferred capital stock 32. Aggregate write-ins for special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ 36.2 shares preferred (value included in Line 31 \$ 37. Surplus as regards policyholders (Lines 28 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3) 39. Totals (Page 2, Line 28, Col. 3) 39. Surplus or remaining write-ins for the 25 from overflow page 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3999. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3990. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above) 3991. Totals (Lines 2501 through 2503 plus 2598) (Line 28 above)  | 19. Payable to parent, subsidiaries and affiliates   |                   |   |
| 21. Payable for securities 22. Payable for securities landing 23. Liability for amounts held under uninsured plans. 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities. 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)4,105,354 3,857,33 27. Protected cell liabilities (Lines 26 and 27)4,105,3543,857,33 28. Total liabilities (Lines 26 and 27)4,105,3543,857,33 29. Aggregate write-ins for special surplus funds. 30. Common capital stock. 31. Preferred capital stock 32. Aggregate write-ins for other-than-special surplus funds. 33. Surplus notes. 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus)18,784,40416,407,81 36.1   |  | 1 !               |   |
| 22. Payable for securities lending 23. Liability for amounts held under uninsured plans 24. Capital notes \$ 25. Aggregate write-ins for liabilities 25. Aggregate write-ins for liabilities 26. Total liabilities excluding protected cell flabilities (Lines 1 through 25) 27. Protected cell iabilities (Lines 26 and 27) 28. Total liabilities (Lines 26 and 27) 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Preferred capital stock 32. Aggregate write-ins for other-than-special surplus funds 33. Surplus notes 44, 105, 354 36, Gross paid in and contributed surplus 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ 36.2 shares preferred (value included in Line 31 \$ 36.2 shares preferred (value included in Line 31 \$ 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3)  ETTALS OF WRITE-INS 2501. 2502. 2503. 2509. Summary of remaining write-ins for Line 25 from overflow page. 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above) 2509. Totals (Lines 2501 through 2503 plus 2599) (Line 26 above)   |  | 1                 |   |
| 23. Liability for amounts held under uninsured plans 24. Capital notes \$   | •  | 1                 |   |
| 24. Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)  |  | 1                 |   |
| 25. Aggregate write-ins for liabilities 26. Total liabilities (Lines 25 and 27)   |  | 1                 |   |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)   |  |                   |   |
| 27. Protected cell liabilities 28. Total liabilities (Lines 26 and 27) 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Preferred capital stock 32. Aggregate write-ins for other-than-special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ ) 36.2 shares preferred (value included in Line 31 \$ ) 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Pege 4, Line 39) 38. Totals (Pege 2, Line 28, Col. 3) 39. Totals (Pege 2, Line 28, Col. 3) 30. Surmary of remaining write-ins for Line 25 from overflow page 2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) 39. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2599. Summary of remaining write-ins for Line 29 from overflow page 2590. Summary of remaining write-ins for Line 29 from overflow page 2590. Summary of remaining write-ins for Line 29 from overflow page 2590. Summary of remaining write-ins for Line 29 from overflow page 2590. Summary of remaining write-ins for Line 29 from overflow page 2590. Summary of remaining write-ins for Line 29 from overflow page 2590. Summary of remaining write-ins for Line 29 from overflow page 2590. Summary of remaining write-ins for Line 29 from overflow page  |  | 1                 | 3 857 326                               |
| 28. Total liabilities (Lines 26 and 27)   |  | 1                 |   |
| 29. Aggregate write-ins for special surplus funds 30. Common capital stock 31. Preferred capital stock 32. Aggregate write-ins for other-than-special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ ) 36.2 shares preferred (value included in Line 30 \$ ) 36.2 shares preferred (value included in Line 31 \$ ) 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3) 39. Summary of remaining write-ins for Line 25 from overflow page 2591. 2502. 2503. 2598. Summary of remaining write-ins for Line 25 above) 2901. 2902. 2903. 2909. Summary of remaining write-ins for Line 29 from overflow page 2909. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) 30201. 30202. 30203. 30298. Summary of remaining write-ins for Line 29 grom overflow page 30203. 30298. Summary of remaining write-ins for Line 29 above) 30203. 30298. Summary of remaining write-ins for Line 29 grom overflow page 30204. 30205. 30206. 30207. 30208. Summary of remaining write-ins for Line 29 grom overflow page   |  | 1                 | 2 057 326                               |
| 30. Common capital stock  31. Preferred capital stock  32. Aggregate write-ins for other-than-special surplus funds  33. Surplus notes  34. Gross paid in and contributed surplus  35. Unassigned funds (surplus)  36. Less treasury stock, at cost:  36.1 shares common (value included in Line 30 \$ )  36.2 shares preferred (value included in Line 31 \$ )  37. Surplus as regards policyholiders (Lines 29 to 35, less 36) (Page 4, Line 39)  38. Totals (Page 2, Line 28, Col. 3)  20. 255, 20  251. Surplus as regards policyholiders (Lines 29 to 35, less 36) (Page 4, Line 39)  255. Less treasury stock, at cost:  36.1 shares preferred (value included in Line 31 \$ )  37. Surplus as regards policyholiders (Lines 29 to 35, less 36) (Page 4, Line 39)  22. 889, 758  20. 265, 20  251. Less (Page 2, Line 28, Col. 3)  252. 889, 758  250. Less (Page 2, Line 28, Col. 3)  260. Less (Page 2, Line 28, Col. 3)  260. Less (Page 2, Line 28, Col. 3)  270.   |  |                   |   |
| 31. Preferred capital stock   |  | i I               |   |
| 32. Aggregate write-ins for other-than-special surplus funds 33. Surplus notes 34. Gross paid in and contributed surplus 35. Unassigned funds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 30 \$ 36.2 shares preferred (value included in Line 31 \$ 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3)  18.784, 404 16.407, 93 17. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) 18.784, 404 16.407, 93 18. Totals (Page 2, Line 28, Col. 3)  22.889,758 20.265,22  2501 2502 2503 2598. Summary of remaining write-ins for Line 25 from overflow page 2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) 2500. 2501 2502 2503 2509. 25  | ·  | 1                 |   |
| 33. Surplus notes   | ·  | 1                 |   |
| 34. Gross paid in and contributed surplus   |  |                   |   |
| 35. Unassigned funds (surplus)  | 33. Surplus notes  |                   | *************************************** |
| 36. Less treasury stock, at cost:  36.1 shares common (value included in Line 30 \$ 36.2 shares preferred (value included in Line 31 \$ 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) 38. Totals (Page 2, Line 28, Col. 3)  27. 889,758 20. 265, 28  2899, Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) 2991. 2902. 2903. 2998. Summary of remaining write-ins for Line 29 from overflow page 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) 3201. 3202. 3203. 3298. Summary of remaining write-ins for Line 32 from overflow page   | 34. Gross paid in and contributed surplus  | 1                 |   |
| 36.1 shares common (value included in Line 30 \$ 36.2 shares preferred (value included in Line 31 \$ 37. Surplus as regards policyhoiders (Lines 29 to 35, less 36) (Page 4, Line 39) 18,784,404 16,407,92 38. Totals (Page 2, Line 28, Col. 3) 22,889,758 20,265,28 2501. 2501. 2502. 2503. 2509.  | 35. Unassigned funds (surplus)   |                   | 16,407,956                              |
| 36.2 shares preferred (value included in Line 31 \$ )  37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39)  38. Totals (Page 2, Line 28, Col. 3)  22, 889,758  20, 265, 29  DETAILS OF WRITE-INS  2501.  2502.  2503.  2598. Summary of remaining write-ins for Line 25 from overflow page 2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)  2901.  2902.  2903.  2998. Summary of remaining write-ins for Line 29 from overflow page 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)  3201.  3202.  3203.  3298. Summary of remaining write-ins for Line 32 from overflow page   | 36. Less treasury stock, at cost:  |                   |   |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39)  38. Totals (Page 2, Line 28, Col. 3)  22,889,758  20,265,21  2501.  2503.  22998. Summary of remaining write-ins for Line 25 from overflow page  2901.  2902.  2903.  29998. Summary of remaining write-ins for Line 29 from overflow page  29999. Totals (Lines 2501 through 2903 plus 2998) (Line 29 above)  29999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)  3201.  3202.  3203.  3298. Summary of remaining write-ins for Line 32 from overflow page  | 36.1   |                   |   |
| 38. Totals (Page 2, Line 28, Col. 3)  22,889,758  20,265,28  25501  2502  2503  2598. Summary of remaining write-ins for Line 25 from overflow page 2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)  2901.  2902  2903.  2998. Summary of remaining write-ins for Line 29 from overflow page 2999. Totals (Lines 2501 through 2903 plus 2998) (Line 29 above)  3201.  3202.  3203.  3298. Summary of remaining write-ins for Line 32 from overflow page  | 36.2 shares preferred (value included in Line 31 \$  |                   |   |
| DETAILS OF WRITE-INS  2501.  2502.  2503.  2598. Summary of remaining write-ins for Line 25 from overflow page.  2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)  2901.  2902.  2903.  2998. Summary of remaining write-ins for Line 29 from overflow page.  2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)  3201.  3202.  3203.  3298. Summary of remaining write-ins for Line 32 from overflow page.   | 37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39)   | 18,784,404        | 16,407,956                              |
| 2501. 2502. 2503. 2598. Summary of remaining write-ins for Line 25 from overflow page. 2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) 2901. 2902. 2903. 2998. Summary of remaining write-ins for Line 29 from overflow page. 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) 3201. 3202. 3203. 3298. Summary of remaining write-ins for Line 32 from overflow page.  | 38. Totals (Page 2, Line 28, Col. 3)   | 22,889,758        | 20,265,281                              |
| 2502  | DETAILS OF WRITE-INS   | 1                 |   |
| 2593. Summary of remaining write-ins for Line 25 from overflow page   | 2501.  |                   |   |
| 2593. Summary of remaining write-ins for Line 25 from overflow page   | 2502.  |                   |   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page   | 2503.  |                   |   |
| 2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) 2901. 2902. 2903. 2998. Summary of remaining write-ins for Line 29 from overflow page 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) 3201. 3202. 3203. 3298. Summary of remaining write-ins for Line 32 from overflow page   | 2598. Summary of remaining write-ins for Line 25 from overflow page  |                   |   |
| 2901  | 2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)   |                   |   |
| 2902. 2903. 2998. Summary of remaining write-ins for Line 29 from overflow page 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) 3201. 3202. 3203. 3298. Summary of remaining write-ins for Line 32 from overflow page  |  |                   |   |
| 2993. 2998. Summary of remaining write-ins for Line 29 from overflow page 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) 3201. 3202. 3203. 3298. Summary of remaining write-ins for Line 32 from overflow page  | 2902.  |                   | •••••                                   |
| 2998. Summary of remaining write-ins for Line 29 from overflow page 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) 3201. 3202. 3203. 3298. Summary of remaining write-ins for Line 32 from overflow page  | 2903.  |                   |   |
| 2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)  3201.  3202.  3203.  3298. Summary of remaining write-ins for Line 32 from overflow page  |  |                   |   |
| 3201.  3202.  3203.  3298. Summary of remaining write-ins for Line 32 from overflow page  | · -  | "T                |   |
| 3202.  3203.  3298. Summary of remaining write-ins for Line 32 from overflow page   |  |                   |   |
| 3203  |  |                   |   |
| 3298. Summary of remaining write-ins for Line 32 from overflow page   |  |                   |   |
|   |  |                   | ****                                    |
| 0000 T. I. (1) 0004 (I I. 0000 I 0000) (I   | 3298. Summary of remaining write-ins for Line 32 from overflow page 3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above) |                   |   |

**STATEMENT OF INCOME** 

|                | STATEMENT OF INCOME  |                   |                 |
|----------------|--|-------------------|-----------------|
|                |  | 1<br>Current Year | 2<br>Prior Year |
| 1.             | UNDERWRITING INCOME Premiums earned (Part 1, Line 35, Column 4)  | 5,103,022         | 5,074,146       |
| 2              | DEDUCTIONS: Losses incurred (Part 2, Line 35, Column 7)  | 2 317 140         | 4,317,750       |
|                | Loss adjustment expenses incurred (Part 3, Line 25, Column 1)  |                   | 492,823         |
|                | Other underwriting expenses incurred (Part 3, Line 25, Column 2)   |                   | 1,453,414       |
|                | Aggregate write-ins for underwriting deductions  |                   |                 |
|                | Total underwriting deductions (Lines 2 through 5)  | 4,305,591         | 6,263,987       |
|                | Net income of protected cells  |                   | /4 400 044\     |
| 8.             | Net underwriting gain (loss) (Line 1 minus Line 6 plus Line 7)   | 197,431           | (1,169,641)     |
|                | INVESTMENT INCOME  |                   |                 |
|                | Net investment income earned (Exhibit of Net Investment Income, Line 17)   |                   | 434 , 299       |
|                | Net realized capital gains (losses) less capital gains tax of \$   | 34,601            | 349,206         |
| 11.            | Net investment gain (loss) (Lines 9 + 10)  | 475,497           | 783,505         |
|                | OTHER INCOME   |                   |                 |
| 12.            | Net gain (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )                                      |                   |                 |
|                | ,  |                   |                 |
|                | Aggregate write-ins for miscellaneous income   | 67,355            | 56,075          |
|                | Total other income (Lines 12 through 14)   | 67,355            | 56,075          |
|                | (Lines 8 + 11 + 15)  | 1,340,283         | (350, 261)      |
|                | Dividends to policyholders   |                   |                 |
|                | (Line 16 minus Line 17)  |                   | (350,261)       |
|                | Federal and foreign income taxes incurred  | 162,243           | (151,441)       |
| 20.            | Net income (Line 18 minus Line 19) (to Line 22)  | 1,178,040         | (198,820)       |
|                | CAPITAL AND SURPLUS ACCOUNT  |                   |                 |
|                | Surplus as regards policyholders, December 31 prior year (Page 4, Line 39, Column 2)   |                   | 13,040,143      |
|                | Net income (from Line 20)  |                   | (198,820)       |
|                | Net transfers (to) from Protected Cell accounts  |                   | 504,773         |
|                | Change in net unrealized capital gains or (losses) less capital gains tax or \$  Change in net unrealized foreign exchange capital gain (loss) |                   |                 |
|                | Change in net deferred income tax  |                   |                 |
|                | Change in nonadmitted assets (Exhibit of Nonadmitted Assets, Line 28, Col. 3)  |                   | (158,681)       |
|                | Change in provision for reinsurance (Page 3, Line 16, Column 2 minus Column 1)   |                   |                 |
|                | Change in surplus notes  |                   |                 |
|                | Cumulative effect of changes in accounting principles  |                   |                 |
|                | Capital changes:   |                   |                 |
|                | 32.1 Paid in   |                   |                 |
|                | 32.2 Transferred from surplus (Stock Dividend)   |                   |                 |
|                | 02.0 11.01.01.01.01  |                   |                 |
| 33.            | Surplus adjustments: 33.1 Paid in  |                   |                 |
|                | 33.2 Transferred to capital (Stock Dividend)   | II.               |                 |
|                | 33.3 Transferred from capital  |                   |                 |
|                | Net remittances from or (to) Home Office   |                   |                 |
|                | Dividends to stockholders  Change in treasury stock (Page 3, Lines 36.1 and 36.2, Column 2 minus Column 1)                                     | T                 |                 |
|                | Aggregate write-ins for gains and losses in surplus  |                   | 3,220,540       |
|                | Change in surplus as regards policyholders for the year (Lines 22 through 37)  | 2,376,448         | 3,367,813       |
|                | Surplus as regards policyholders, December 31 current year (Line 21 plus Line 38) (Page 3, Line 37)  | 18,784,404        | 16,407,956      |
|                | LS OF WRITE-INS  |                   |                 |
|                |  |                   | •••••           |
| 0502.<br>0503. |  |                   |                 |
|                | Summary of remaining write-ins for Line 5 from overflow page   |                   |                 |
|                | Totals (Lines 0501 through 0503 plus 0598) (Line 5 above)  |                   |                 |
|                | Net Premium Collected for Others.  | 43,773            | 33,742          |
|                | Miscellaneous income   | 23,582            | 22,333          |
|                | Summary of remaining write-ins for Line 14 from overflow page  |                   |                 |
|                | Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)   | 67,355            | 56,075          |
|                | Assumption of Lenox Mutual   |                   | 3,220,540       |
| 3702.          | '  |                   |                 |
| 3703.          |  |                   |                 |
|                | Summary of remaining write-ins for Line 37 from overflow page  |                   | 3,220,540       |
|                | Totals (Lines 3701 through 3703 plus 3798) (Line 37 above)   |                   | 3,220,340       |

**CASH FLOW** 

|     |   | 1<br>Current Year | 2<br>Prior Year |
|-----|---|-------------------|-----------------|
|     | Cash from Operations  |                   |                 |
| 1.  | Premiums collected net of reinsurance   | 4,900,529         | 5,637,563       |
| 2.  | Net investment income   | 488,031           | 441,696         |
| 3.  | Miscellaneous income  |                   | 56,075          |
| 4.  | Total (Lines 1 through 3)   |                   | 6,135,333       |
|     | Benefit and loss related payments   |                   | 4,274,347       |
|     | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts                   |                   |                 |
| 7.  | Commissions, expenses paid and aggregate write-ins for deductions                                     | 2,014,197         | 1 , 883 , 135   |
|     | Dividends paid to policyholders   |                   |                 |
|     | Federal and foreign income taxes paid (recovered) net of \$tax on capital gains (losses)              |                   | (10,941         |
| 10. | Total (Lines 5 through 9)   | 4,162,525         | 6,146,542       |
| 11. | Net cash from operations (Line 4 minus Line 10)   | 1,293,389         | (11,208         |
|     | Cash from Investments   |                   |                 |
| 12. | Proceeds from investments sold, matured or repaid:  |                   |                 |
|     | 12.1 Bonds  | 1 ,439 ,038       | 502 , 128       |
|     | 12.2 Stocks   | 324,515           | 1,550,356       |
|     | 12.3 Mortgage loans   |                   |                 |
|     | 12.4 Real estate  |                   | 18,878          |
|     | 12.5 Other invested assets  |                   |                 |
|     | 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments                       |                   |                 |
|     | 12.7 Miscellaneous proceeds   | 78,460            | 76,100          |
|     | 12.8 Total investment proceeds (Lines 12.1 to 12.7)   | 1 ,842 ,013       | 2,147,462       |
| 13. | Cost of investments acquired (long-term only):  |                   |                 |
|     | 13.1 Bonds  |                   |                 |
|     | 13.2 Stocks   |                   | 1,378,052       |
|     | 13.3 Mortgage loans   |                   | 40.000          |
|     | 13.4 Real estate  |                   | 18,926          |
|     | 13.5 Other invested assets  |                   |                 |
|     | 13.6 Miscellaneous applications   |                   | 78,460          |
|     | 13.7 Total investments acquired (Lines 13.1 to 13.6)  |                   | 4,888,444       |
|     | Net increase (decrease) in contract loans and premium notes   |                   | 10.710.000      |
| 15. | Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)                                   | (1,424,621)       | (2,740,982      |
|     | Cash from Financing and Miscellaneous Sources   | ŀ                 |                 |
| 16. | Cash provided (applied):  |                   |                 |
|     | 16.1 Surplus notes, capital notes   |                   |                 |
|     | 16.2 Capital and paid in surplus, less treasury stock   |                   |                 |
|     | 16.3 Borrowed funds   |                   |                 |
|     | 16.4 Net deposits on deposit-type contracts and other insurance liabilities                           |                   |                 |
|     | 16.5 Dividends to stockholders  |                   | 3,064,191       |
|     | 16.6 Other cash provided (applied)  |                   |                 |
| 17. | Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) | (343)             | 3,064,191       |
|     | RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS                                   | /424 575)         | 242.004         |
|     | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)       |                   | 312,001         |
| 19. | Cash, cash equivalents and short-term investments:  | 577 246           | 265,315         |
|     | 19.1 Beginning of year  | 445,741           | 577,316         |
|     | 19.2 End of year (Line 18 plus Line 19.1)   | 440,741           | 311,310         |

# **UNDERWRITING AND INVESTMENT EXHIBIT**

PART 1 - PREMIUMS EARNED

|          | Line of Business  | 1  Net Premiums  Written per Column 6, Part 1B | 2<br>Unearned Premiums<br>Dec. 31 Prior Year -<br>per Col. 3, Last Year's<br>Part 1 | 3<br>Unearned Premiums<br>Dec. 31 Current<br>Year - per Col. 5<br>Part 1A | 4 Premiums Earned During Year (Cols. 1 + 2 - 3) |
|----------|---|--|---|---|---|
| <u> </u> |   |  |   |   |   |
| 1.       | Fire  |  | 1,536,432   |   | 2,670,315                                       |
| 2.       | Allied lines  | 2,380,279                                      | 1,536,432   | 1,484,005   | 2,432,707                                       |
| 3.       | Farmowners multiple peril   |  |   |   |   |
| 4.       | Homeowners multiple peril   |  |   |   |   |
| 5.       | Commercial multiple peril   | -  |   |   |   |
| 6.       | Mortgage guaranty   |  |   |   |   |
| 8.       | Ocean marine  |  |   |   |   |
| 9.       | Inland marine   |  |   |   |   |
| 10.      | Financial guaranty  |  |   |   |   |
| 11.1     | Medical professional liability-occurrence   |  |   |   |   |
| 11.2     | Medical professional liability-claims-made  |  |   |   |   |
| 12.      | Earthquake  |  |   |   |   |
| 13.      | Group accident and health   |  |   |   |   |
| 14.      | Credit accident and health (group and individual)   |  |   |   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,         |
| 15.      | Other accident and health   |  |   |   |   |
| 16.      | Workers' compensation   |  |   |   |   |
| 17.1     |   |  |   |   |   |
|          | Other liability-occurrence  |  |   |   |   |
| 17.2     | Other liability-claims-made   |  |   |   |   |
| 17.3     | Excess workers' compensation  | <u> </u>                                       |   |   |   |
| 18.1     | Products liability-occurrence   | ,  |   |   |   |
| 18.2     | Products liability-claims-made  |  |   |   |   |
| 19.1,19. | 2 Private passenger auto liability  |  |   |   |   |
| 19.3,19. | 4 Commercial auto liability   |  |   |   |   |
| 21.      | Auto physical damage  |  |   |   |   |
| 22.      | Aircraft (all perils)   |  |   |   |   |
| 23.      | Fidelity  |  |   |   |   |
| 24.      | Surety  |  |   |   |   |
| 26.      | Burglary and theft  |  |   |   |   |
| 27.      | Boiler and machinery  |  |   |   |   |
| 28.      | Credit  |  |   |   |   |
| 29.      | International   |  |   |   |   |
| 30.      | Warranty  |  |   |   |   |
| 31.      | Reinsurance-nonproportional assumed property  |  |   |   |   |
| 32.      | Reinsurance-nonproportional assumed property  Reinsurance-nonproportional assumed liability |  |   |   |   |
|          |   |  |   |   |   |
| 33.      | Reinsurance-nonproportional assumed financial lines   |  |   | •   |   |
| 34.      | Aggregate write-ins for other lines of business   |  | 0.070.000   | 0.000.000   | F 400 000                                       |
| 35.      | TOTALS  | 4,998,167                                      | 3,072,865   | 2,968,009   | 5,103,022                                       |
|          | OF WRITE-INS  |  |   |   |   |
| 3401.    |   |  |   |   |   |
| 3402.    |   |  |   |   |   |
| 3403.    |   |  |   |   |   |
| 3498.    | Sum. of remaining write-ins for Line 34 from overflow page                                  |  |   |   |   |
| 3499.    | Totals (Lines 3401 through 3403 plus 3498) (Line 34 above)                                  | 1  | <u></u>   |   |   |

<sup>•</sup> See Independent Accountant's Compilation Report

# **UNDERWRITING AND INVESTMENT EXHIBIT**

## PART 1A - RECAPITULATION OF ALL PREMIUMS

|          |  | 1<br>Amount Unearned<br>(Running One Year or<br>Less from Date of<br>Policy) | 2<br>Amount Unearned<br>(Running More Than<br>One Year from Date<br>of Policy) | 3<br>Earned<br>but | 4 Reserve for Rate Credits and Retrospective Adjustments Based | 5 Total Reserve for Unearned Premiums |
|----------|--|--|--|--------------------|--|---------------------------------------|
|          | Line of Business   | (a)  | (a) **   | Unbilled Premium   | on Experience  | Cols. 1 + 2 + 3 + 4                   |
| 1.       | Fire   | 1,484,005  | -,   |                    |  | 1,484,005                             |
| 2.       | Allied lines   | 1,484,005  |  |                    |  | 1 , 484 , 005                         |
| 3.       | Farmowners multiple peril                                  |  |  |                    |  |                                       |
| 4.       | Homeowners multiple peril                                  |  |  |                    |  |                                       |
| 5.       | Commercial multiple peril                                  |  |  |                    |  |                                       |
| 6.       | Mortgage guaranty  |  |  |                    |  |                                       |
| 8.       | Ocean marine   |  |  |                    |  |                                       |
| 9.       | Inland marine  |  |  |                    |  |                                       |
| 10.      | Financial guaranty   |  |  |                    |  |                                       |
| 11.1     | Medical professional liability-occurrence                  |  |  |                    |  |                                       |
| 11.2     | Medical professional liability-claims-made                 |  |  |                    |  |                                       |
| 12.      | Earthquake   |  |  |                    |  |                                       |
| 13.      | Group accident and health                                  |  |  |                    |  |                                       |
| 14.      | Credit accident and health (group and individual)          |  |  |                    |  |                                       |
| 15.      | Other accident and health                                  |  |  |                    |  | 1                                     |
| 16.      | Workers' compensation                                      |  |  |                    |  |                                       |
| 17.1     | Other liability-occurrence                                 |  |  |                    |  |                                       |
| 17.2     | Other liability-claims-made                                |  |  |                    |  |                                       |
| 17.3     | Excess workers' compensation                               | į.   | *************  |                    |  |                                       |
| 18.1     | Products liability-occurrence                              |  |  |                    |  |                                       |
| 18.2     | Products liability-claims-made                             |  |  |                    |  |                                       |
| 19,1,19. | 2 Private passenger auto liability                         | 1  |  |                    |  |                                       |
|          | 4 Commercial auto liability                                |  |  |                    |  |                                       |
| 21.      | Auto physical damage                                       |  |  |                    |  |                                       |
| 22.      | Aircraft (all perils)                                      |  | -  |                    |  |                                       |
| 23.      | Fidelity   |  |  |                    |  |                                       |
| 24.      | Surety   |  |  |                    |  |                                       |
| 26.      | Burglary and theft   | 1  |  |                    |  |                                       |
| 27.      | Boiler and machinery                                       | i  |  | ••••••             |  |                                       |
|          | ·  | 1  |  |                    |  |                                       |
| 28.      | Credit   |  |  |                    |  |                                       |
| 29.      | International  |  |  |                    |  |                                       |
| 30.      | Warranty   |  |  | •                  |  | 1                                     |
| 31.      | Reinsurance-nonproportional assumed property               |  |  |                    |  |                                       |
| 32.      | Reinsurance-nonproportional assumed liability              |  |  |                    | 1  |                                       |
| 33.      | Reinsurance-nonproportional assumed financial lines        |  |  |                    | ļ  |                                       |
| 34.      | Aggregate write-ins for other lines of business            |  |  |                    |  |                                       |
| 35.      | TOTALS   | 2,968,009  |  |                    |  | 2,968,009                             |
| 36.      | Accrued retrospective premiums based on experie            |  |  |                    |  |                                       |
| 37.      | Earned but unbilled premiums                               |  |  |                    |  |                                       |
| 38.      | Balance (Sum of Lines 35 through 37)                       |  |  |                    |  | 2,968,009                             |
|          | OF WRITE-INS   |  |  |                    |  |                                       |
| 3401.    | O THATE-ING  | 1  |  |                    |  |                                       |
| 3402.    |  |  |  |                    |  |                                       |
| 3403.    |  |  |  |                    |  |                                       |
| 3498.    | Sum. of remaining write-ins for Line 34 from overflow page |  |  |                    |  |                                       |
| 3499.    | Totals (Lines 3401 through 3403 plus 3498) (Line           |  | 1  |                    |  |                                       |
| 3-33.    | 10tals (Lines 3401 through 3403 plus 3496) (Line 34 above) |  | <u> </u>   |                    |  | <u> </u>                              |

(a) State here basis of computation used in each case. Actual; Daily Pro-Rata

# **UNDERWRITING AND INVESTMENT EXHIBIT**

## PART 1B - PREMIUMS WRITTEN

|            | 1   | 1                  | Reinsurar  | nce Ceded      | 6                                       |                |   |
|------------|---|--------------------|------------|----------------|---|----------------|---|
|            | Line of Business  | Direct<br>Business | From       | From           | 4<br>To                                 | 5<br>To        | Net Premiums<br>Written Cols.           |
|            |   | (a)                | Affiliates | Non-Affiliates | Affiliates                              | Non-Affiliates | 1+2+3-4-5                               |
| 1.         | Fire  | 3,367,489          |            | -              |   | 749,601        | 2,617,887                               |
| 2.         | Allied lines  | 3,061,844          |            | -              |   |                | 2,380,279                               |
| 3.         | Farmowners multiple peril   |                    |            |                |   |                |   |
| 4.         | Homeowners multiple peril   |                    |            |                |   | -              |   |
| 5.         | Commercial multiple peril   |                    |            |                |   | -              |   |
| 6.         | Mortgage guaranty   |                    |            |                |   |                |   |
| 8.         | Ocean marine  |                    |            |                |   |                |   |
| 9.         | Inland marine   |                    |            |                |   |                | *************************************** |
| 10.        | Financial guaranty  |                    |            |                | *************************************** |                |   |
| 11.1       | Medical professional liability-occurrence                         |                    |            |                | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                |   |
| 11.2       | Medical professional liability-claims-made                        |                    |            |                |   |                |   |
| 12.        | Earthquake  |                    |            |                | *****                                   |                |   |
| 13.        | Group accident and health   |                    |            |                |   |                |   |
| 14.        | Credit accident and health (group and individual)                 |                    |            |                |   |                |   |
| 15.        | Other accident and health   |                    |            |                |   |                |   |
| 16.        | Workers' compensation   |                    |            |                |   |                |   |
| 17.1       | Other liability-occurrence  |                    |            |                |   |                |   |
|            | · · · · · · · · · · · · · · · · · · ·                             |                    |            |                |   |                |   |
| 17.2       | Other liability-claims-made                                       |                    |            |                |   |                | *************************************** |
| 17.3       | Excess workers' compensation                                      |                    |            |                |   |                | •••••                                   |
| 18.1       | Products liability-occurrence                                     |                    |            |                |   |                |   |
| 18.2       | Products liability-claims-<br>made                                |                    |            |                |   |                |   |
| 19.1,19.   | 2 Private passenger auto liability                                |                    |            |                |   |                |   |
| 19.3,19.   | 4 Commercial auto liability                                       |                    |            |                |   |                |   |
| 21.        | Auto physical damage  |                    |            |                |   |                | •••••                                   |
| 22.        | Aircraft (all perils)   |                    |            |                |   |                |   |
| 23.        | Fidelity  |                    |            |                |   |                |   |
| 24.        | Surety  |                    |            |                |   |                |   |
| 26.        | Burglary and theft  |                    |            |                |   |                |   |
| 27.        | Boiler and machinery  |                    | *          |                |   |                |   |
| 28.        | Credit  |                    |            |                | *************************************** |                |   |
|            | ]   |                    |            |                | ,,                                      |                |   |
| 29.        | International   |                    |            |                | *************************************** |                |   |
| 30.<br>31. | Warranty  |                    |            |                |   |                |   |
|            |   | xxx                |            |                |   |                |   |
| 32.        | Reinsurance-<br>nonproportional assumed<br>liability              | xxx                |            |                |   |                |   |
| 33.        | Reinsurance-<br>nonproportional assumed                           | xxx                | •          |                |   |                |   |
| 34.        | financial lines   |                    |            |                |   |                |   |
| 35.        | TOTALS  | 6,429,333          |            |                |   | 1,431,167      | 4,998,167                               |
|            | OF WRITE-INS  | -1,0,000           |            |                |   |                |   |
| 3401.      | OI WILLIAMS   |                    |            | 1              |   |                |   |
|            |   |                    |            |                | ••••••••••••••••••••••••••••••••••••••• |                |   |
| 3402.      |   |                    |            |                |   | ·              |   |
| 3403.      |   |                    |            |                |   |                |   |
| 3498.      | Sum. of remaining write-<br>ins for Line 34 from<br>overflow page |                    |            |                |   |                |   |
| 3499.      | Totals (Lines 3401 through<br>3403<br>plus 3498) (Line 34 above)  |                    |            |                |   |                |   |

| (a) Does the company's direct premiums written include premiums recorded on an installment basis? | Yes [ ] No [ X ]                |  |
|---|---------------------------------|--|
| If yes: 1. The amount of such installment premiums \$   |                                 |  |
| 2. Amount at which such installment premiums would have been reported had they been rec           | orded on an annualized basis \$ |  |
| Con Independent Association La Compilation Report   |                                 |  |

# UNDERWRITING AND INVESTMENT EXHIBIT PART 2 - LOSSES PAID AND INCURRED

|                |  | 1                 | Losses Paid Less Salvage |                           |                                   |  | 6                                  | 7  | Т 8  |
|----------------|--|-------------------|--------------------------|---------------------------|-----------------------------------|--|------------------------------------|--|--|
|                | Line of Business   | 1 Direct Business | 2  Reinsurance Assumed   | 3  Reinsurance  Recovered | 4  Net Payments (Cols. 1 + 2 - 3) | 5<br>Net Losses<br>Unpaid<br>Current Year<br>(Part 2A, Col. 8) | Net Losses<br>Unpaid<br>Prior Year | Losses Incurred Current Year (Cols. 4 + 5 - 6) | Percentage of Losses<br>Incurred<br>(Col. 7, Part 2)<br>to Premiums Earned<br>(Col. 4, Part 1) |
| 1.             | Fire   | 1,629,936         |                          | 235,574                   | 1,394,363                         | 172,033  | 116,661                            | 1,449,735                                      | 54.3   |
| 2.             | Allied lines   | 6,490,790         |                          | 5,997,328                 | 493,461                           | 520,479  | 146,536                            | 867 , 405                                      | 35.7   |
| 3.             | Farmowners multiple peril                                  |                   |                          |                           |                                   |  |                                    |  |  |
| 4.             | Homeowners multiple peril                                  |                   |                          |                           |                                   |  |                                    |  |  |
| 5.             | Commercial multiple peril                                  |                   |                          |                           |                                   |  |                                    |  |  |
| 6.             | Mortgage guaranty  |                   |                          |                           |                                   |  |                                    |  |  |
| 8.             | Ocean marine   |                   |                          |                           |                                   |  |                                    |  |  |
| 9.             | Inland marine  |                   |                          |                           |                                   |  |                                    |  |  |
| 10.            | Financial guaranty   |                   |                          |                           |                                   |  |                                    |  |  |
| 11.1           | Medical professional liability-occurrence                  |                   |                          |                           |                                   |  |                                    |  |  |
| 11.2           | Medical professional liability-claims-made                 |                   |                          |                           |                                   |  | ,,                                 |  |  |
| 12.            | Earthquake   |                   |                          |                           |                                   |  |                                    |  |  |
| 13.            | Group accident and health                                  |                   |                          |                           |                                   |  |                                    |  |  |
| 14.            | Credit accident and health (group and individual)          |                   |                          |                           |                                   |  |                                    |  |  |
| 15.            | Other accident and health                                  |                   |                          |                           |                                   |  |                                    |  | •  |
| 16.            | Workers' compensation                                      |                   |                          |                           |                                   |  |                                    |  |  |
| 17.1           | Other liability-occurrence                                 |                   |                          |                           |                                   |  |                                    |  |  |
| 17.2           | Other liability-claims-made                                |                   |                          |                           |                                   |  |                                    |  |  |
| 17.3           | Excess workers' compensation                               |                   |                          |                           |                                   |  |                                    |  |  |
| 18.1           | Products liability-occurrence                              |                   |                          |                           |                                   |  |                                    |  |  |
| 18.2           | Products liability-claims-made                             |                   |                          |                           |                                   |  |                                    |  |  |
|                | 2 Private passenger auto liability                         |                   |                          |                           |                                   |  |                                    |  |  |
|                | .4 Commercial auto liability                               |                   |                          |                           |                                   |  |                                    |  |  |
| 21.            | Auto physical damage                                       |                   |                          |                           |                                   |  |                                    |  |  |
| 22.            | Aircraft (all perils)                                      |                   |                          |                           |                                   |  |                                    |  |  |
| 23.            | Fidelity   |                   |                          |                           |                                   |  |                                    |  |  |
| 24.            | Surety   |                   |                          |                           |                                   |  |                                    |  |  |
| 26.            | Burglary and theft   |                   |                          |                           |                                   |  |                                    |  |  |
| 27.            | Boiler and machinery                                       |                   |                          |                           |                                   |  |                                    |  |  |
| 28.            | Credit   |                   |                          |                           |                                   |  |                                    |  |  |
| 29.            | International  |                   |                          |                           |                                   |  |                                    |  |  |
| 30.            | Warranty   |                   |                          |                           |                                   |  |                                    |  |  |
| 31.            | Reinsurance-nonproportional assumed property               |                   |                          | 1                         |                                   |  |                                    |  |  |
| 32.            | Reinsurance-nonproportional assumed liability              |                   |                          |                           |                                   |  |                                    | ***************************************        |  |
| 33.            | Reinsurance-nonproportional assumed financial lines        | xxx               |                          |                           |                                   |  |                                    |  |  |
| 34.            | Aggregate write-ins for other lines of business            | 1                 |                          |                           |                                   |  |                                    |  |  |
| 35.            | TOTALS   | 8,120,726         |                          | 6.232.902                 | 1,887,824                         | 692.513  | 263.197                            | 2,317,140                                      | 45.4   |
|                | OF WRITE-INS   | 0,120,720         |                          | 0,232,302                 | 1,007,024                         | 002,010  | 200, 157                           | 2,517,140                                      | 45.4   |
|                |  | 1                 |                          | 1                         |                                   |  |                                    |  |  |
| 3401.<br>3402. |  | -                 |                          |                           |                                   |  |                                    |  |  |
|                |  | <u> </u>          |                          | †·····                    |                                   |  |                                    |  |  |
| 3403.          |  |                   |                          | ·                         |                                   |  |                                    |  |  |
| 3498.          | Sum. of remaining write-ins for Line 34 from overflow page | -                 |                          | <b>†</b>                  |                                   |  |                                    |  |  |
| 3499.          | Totals (Lines 3401 through 3403 + 3498) (Line 34 above)    | 1                 |                          |                           |                                   |  |                                    |  |  |

# UNDERWRITING AND INVESTMENT EXHIBIT PART 2A - UNPAID LOSSES AND LOSS ADJUSTMENT EXPENSES

|            |  |           |                        | d Losses                                |   |   | ncurred But Not Reporte                 |   | 8  | 9  |
|------------|--|-----------|------------------------|---|---|---|---|---|--|--|
|            |  | 1         | 2                      | 3                                       | 4   | 5                                       | 6                                       | 7                                       |  | 1  |
|            | Line of Business   | Direct    | Reinsurance<br>Assumed | Deduct Reinsurance<br>Recoverable       | Net Losses Excl.<br>Incurred But<br>Not Reported<br>(Cols. 1 + 2 - 3) | Direct                                  | Reinsurance<br>Assumed                  | Reinsurance<br>Ceded                    | Net Losses<br>Unpaid<br>(Cols. 4 +5 + 6 - 7) | Net Unpaid Los<br>Adjustment<br>Expenses |
| 1.         | Fire   | 172,033   |                        |   | 172,033   |   |   |   | 172,033                                      | 2,2                                      |
|            | Allied lines   | 1,691,585 |                        | 1, 171, 105                             | 520,479   |   |   |   | 520,479                                      | 2,2                                      |
|            | Farmowners multiple peril                                  |           |                        |   |   |   |   |   |  | <b></b>                                  |
| 4.         | Homeowners multiple peril                                  |           |                        |   |   |   |   | *************************************** |  |  |
| 5.         | Commercial multiple peril                                  |           |                        |   |   |   |   |   |  |  |
| 6.         | Mortgage guaranty  |           |                        |   |   |   |   |   |  |  |
|            | Ocean marine   |           |                        |   |   |   |   |   |  |  |
| 9.         | Inland marine  |           |                        |   | ,   |   |   |   |  | <b>.</b>                                 |
| 0.         | Financial guaranty   |           |                        |   |   |   |   |   |  |  |
| 1.1        | Medical professional liability-occurrence                  |           |                        |   |   |   |   |   |  |  |
| 1.2        | Medical professional liability-claims-made                 |           |                        |   |   |   |   | *************************************** |  |  |
| 2.         | Earthquake Group accident and health                       |           |                        | 1                                       |   |   |   |   | .l   |  |
| 3.         | Group accident and health                                  |           |                        | -                                       |   |   |   | <u> </u>                                | (a)  | L  |
| 4.         | Credit accident and health (group and individual)          |           |                        |   |   |   |   |   | Γ΄   | 1  |
|            | Other accident and health                                  |           |                        |   |   |   |   |   | (a)  | 1  |
| 6.         | Workers' compensation                                      |           |                        |   |   |   |   |   | r ′  |  |
|            | Other liability-occurrence                                 |           |                        | 1                                       |   |   |   |   |  |  |
|            | Other liability-claims-made                                |           |                        | 1                                       |   |   |   |   | T  |  |
| 7.3        | Excess workers' compensation                               |           |                        |   |   |   |   |   | 1  |  |
|            | Products liability-occurrence                              |           |                        |   |   |   | İ                                       |   | T  |  |
|            | Products liability-claims-made                             |           |                        |   |   | *************************************** |   | İ                                       | T  |  |
| 0.2        | Private passenger auto liability                           |           |                        | *************************************** |   |   |   | İ                                       | T  |  |
|            | Commercial auto liability                                  |           |                        | •                                       |   |   | • |   | t  |  |
|            | Commercial auto liability                                  |           |                        | -                                       |   |   | •                                       |   | ***************************************      |  |
|            | Auto physical damage                                       |           |                        |   |   |   |   |   | t  |  |
|            | Aircraft (all perils)                                      |           |                        |   |   |   | •                                       |   | ł  |  |
| 3.         | Fidelity   |           |                        | +                                       |   |   |   |   | ł  |  |
|            | Surety   |           |                        |   |   |   | • |   | .+   |  |
| <b>3</b> . | Burglary and theft   |           |                        |   |   |   |   |   |  |  |
| 7.         | Boiler and machinery                                       |           |                        |   |   |   |   |   |  |  |
|            | Credit   |           |                        |   |   |   |   |   |  |  |
|            | International  |           |                        |   |   |   |   |   |  |  |
|            | Warranty   |           |                        |   |   |   |   |   |  |  |
| 1.         | Reinsurance-nonproportional assumed property               | xxx       |                        |   |   | XXX                                     |   |   |  |  |
| 2.         | Reinsurance-nonproportional assumed liability              | xxx       |                        |   |   | XXX                                     |   |   | .l   |  |
| 3.         | Reinsurance-nonproportional assumed financial lines        | xxx       |                        |   |   | XXX                                     |   |   |  |  |
| 4.         | Aggregate write-ins for other lines of business            | L         |                        | 1                                       | <u> </u>  |   |   | L                                       | L  | 1  |
|            | TOTALS   | 1,863,618 |                        | 1,171,105                               | 692,513   | 1                                       | I                                       | 1                                       | 692,513                                      | 4,                                       |
|            | OF WRITE-INS   |           |                        |   |   |   |   |   |  |  |
|            |  |           |                        |   |   |   |   |   | 1  |  |
|            |  |           |                        | 1                                       | 1   |   | L                                       | 1                                       | 1  | 1  |
|            |  |           |                        |   |   |   |   |   | 1  |  |
|            | Sum. of remaining write-ins for Line 34 from overflow page |           |                        | 1                                       |   |   | L                                       |   | 1  | L  |
| 199.       | Totals (Lines 3401 through 3403 + 3498) (Line 34 above)    |           |                        |   |   |   |   |   |  | 1  |
|            | g \$for present value of life indemnity claims.            |           |                        |   |   |   |   |   |  |  |

10

# **UNDERWRITING AND INVESTMENT EXHIBIT**

PART 3 - EXPENSES

| F              | PARI 3   | - EXPENSES                              | 2                           | 3                                       | 4            |
|----------------|--|---|-----------------------------|---|--------------|
|                |  | Loss Adjustment<br>Expenses             | Other Underwriting Expenses | Investment<br>Expenses                  | 4<br>Total   |
| 1.             | Claim adjustment services:   |   |                             |   |              |
|                | 1.1 Direct   | 184,782                                 |                             |   | 184,782      |
|                | 1.2 Reinsurance assumed  |   |                             |   |              |
|                | 1.3 Reinsurance ceded  | 68,703                                  |                             |   | 68,703       |
|                | 1.4 Net claim adjustment services (1.1 + 1.2 - 1.3)                        | 116,079                                 |                             |   | 116,079      |
| 2.             | Commission and brokerage:  |   |                             |   |              |
|                | 2.1 Direct, excluding contingent   |   | 891,838                     |   | 891,838      |
|                | 2.2 Reinsurance assumed, excluding contingent                              |   |                             |   |              |
|                | 2.3 Reinsurance ceded, excluding contingent                                |   |                             | *************************************** |              |
|                | 2.4 Contingent-direct  |   | 22,190                      |   | 22,190       |
|                | 2.5 Contingent-reinsurance assumed   | •••••                                   |                             |   |              |
|                | 2.6 Contingent-reinsurance ceded   |   |                             |   |              |
|                | 2.7 Policy and membership fees   |   |                             |   |              |
|                | 2.8 Net commission and brokerage (2.1 + 2.2 - 2.3 + 2.4 + 2.5 - 2.6 + 2.7) |   | 914,028                     |   | 914.028      |
|                | Allowances to manager and agents   |   |                             |   | , ,          |
|                | Advertising  |   | 16,483                      |   | 16.483       |
|                | Boards, bureaus and associations   |   | 9,599                       |   | 9,599        |
|                | Surveys and underwriting reports   |   |                             |   | ,000         |
|                | Audit of assureds' records   |   |                             |   |              |
|                | Salary and related items:  | *************************************** |                             |   |              |
|                | 8.1 Salaries   | 308.498                                 | 274,966                     | 87 . 184                                | 670,649      |
|                | 8.2 Payroll taxes  | .24,504                                 |                             | 6,925                                   | 53,269       |
|                | Employee relations and welfare   | 24,605                                  | 21,930                      | 6.953                                   | 53,488       |
|                | Insurance  | 25.557                                  | 22,779                      | 7,223                                   |              |
|                | Directors' fees  | 5,898                                   | 5,257                       | 1,667                                   | 12,822       |
|                |  | 9,915                                   | 8,837                       | 2,802                                   | 21,554       |
|                | Travel and travel items  |   |                             | 2,002                                   |              |
|                | Rent and rent items  | 20.004                                  | 20, 420                     | 0.000                                   | 74 040       |
|                | Equipment  | 32,681                                  | 29,129                      | 9,236                                   | 71,046       |
|                | Cost or depreciation of EDP equipment and software                         |   | 4.700                       | 4 500                                   | 44 705       |
|                | Printing and stationery  | 5,384                                   | 4,799                       | 1,522                                   | 11,705       |
|                | Postage, telephone and telegraph, exchange and express                     |   | 5,844                       | 1,853                                   | 14,253       |
|                | Legal and auditing   | 13,573                                  | 12,098                      | 3,836                                   | 29,507       |
|                | Totals (Lines 3 to 18)   | 457 , 171                               | 433,560                     | 129,201                                 | 1,019,932    |
| 20.            | Taxes, licenses and fees:  |   |                             |   |              |
|                | 20.1 State and local insurance taxes deducting guaranty association        |   |                             |   |              |
|                | credits of \$  | •                                       | 64,293                      |   | 64,293       |
|                | 20.2 Insurance department licenses and fees                                |   | 546                         |   | 546          |
|                | 20.3 Gross guaranty association assessments                                |   |                             |   |              |
|                | 20.4 All other (excluding federal and foreign income and real estate)      |   |                             |   |              |
|                | 20.5 Total taxes, licenses and fees (20.1 + 20.2 + 20.3 + 20.4)            |   | 64,839                      |   | 64,839       |
| 21.            | Real estate expenses   |   |                             | 13,351                                  | 13,351       |
| 22.            | Real estate taxes  |   |                             | 721                                     | 721          |
| 23.            | Reimbursements by uninsured plans  |   |                             |   |              |
| 24.            | Aggregate write-ins for miscellaneous expenses                             | 1,525                                   | 1,248                       | 11,092                                  | 13,865       |
| 25.            | Total expenses incurred  | 574,776                                 | 1,413,676                   | 154,364                                 | (a)2,142,815 |
|                | Less unpaid expenses-current year  | 4,500                                   | 149,549                     | 23,019                                  | 177,068      |
|                | Add unpaid expenses-prior year   | 4,500                                   | 163,962                     | 25,173                                  | 193,635      |
|                | Amounts receivable relating to uninsured plans, prior year                 | ,                                       |                             | , , , , ,                               |              |
|                | Amounts receivable relating to uninsured plans, current year               |   |                             |   |              |
|                | TOTAL EXPENSES PAID (Lines 25 - 26 + 27 - 28 + 29)                         | 574,776                                 | 1,428,089                   | 156,518                                 | 2,159,382    |
|                | S OF WRITE-INS   | 0/4,//0                                 | 1, 120,000                  | 100,010                                 | 1,100,002    |
|                | Miscellaneous Expenses   | 1,525                                   | 1,248                       | 11,092                                  | 13,865       |
|                | miscerraneous expenses   | 1,325                                   |                             | 11,002                                  | 13,003       |
| 2402.<br>2403. |  |   |                             |   |              |
|                | Oursement of remaining units inc for Line 24 from a reflect new            | ••••••                                  |                             |   |              |
|                | Summary of remaining write-ins for Line 24 from overflow page              | 4 505                                   | 4 240                       | 44 002                                  | 12 000       |
| ∠499.          | Totals (Lines 2401 through 2403 plus 2498) (Line 24 above)                 | 1,525                                   | 1,248                       | 11,092                                  | 13,865       |

(a) Includes management fees of \$ \_\_\_\_\_\_ to affiliates and \$ \_\_\_\_\_ to non-affiliates.

# **EXHIBIT OF NET INVESTMENT INCOME**

|       |   |       | 1<br>Collected<br>During Year           |           | 2<br>Earned<br>iring Year |
|-------|---|-------|---|-----------|---------------------------|
| 1.    | U.S. Government bonds   | (a)   |   |           |                           |
| 1.1   | Bonds exempt from U.S. tax  | (a)   | 386,497                                 |           | 380,849                   |
| 1.2   | Other bonds (unaffiliated)  |       | 67 ,742                                 | 1         | 69,641                    |
| 1.3   | Bonds of affiliates   |       | 7 444                                   |           | 7 444                     |
| 2.1   | Preferred stocks (unaffiliated)                                     |       | 7,411                                   |           | 7,411                     |
| 2.11  |   | (b)   | 444 700                                 |           | 444 700                   |
| 2.2   | Common stocks (unaffiliated)  |       | 144,783                                 |           | 144,783                   |
| 2.21  | Common stocks of affiliates   | .,    |   |           |                           |
| 3.    | Mortgage loans  | 1 1-7 |   | ********* |                           |
| 4.    | Real estate   | 1 1-7 |   |           |                           |
| 5.    | Contract loans  |       | 2 464                                   |           | F 600                     |
| 6.    | Cash, cash equivalents and short-term investments                   |       | 7 , 151                                 |           | 5,609                     |
| 7.    | Derivative instruments  | (f)   |   |           |                           |
| 8.    | Other invested assets   |       |   |           |                           |
| 9.    | Aggregate write-ins for investment income                           |       |   |           |                           |
| 10.   | Total gross investment income                                       |       | 613,584                                 |           | 608,294                   |
| 11.   | Investment expenses   |       |   | (g)       | 154,364                   |
| 12.   | Investment taxes, licenses and fees, excluding federal income taxes |       |   |           |                           |
| 13.   | Interest expense  |       |   |           |                           |
| 14.   | Depreciation on real estate and other invested assets               |       |   |           | 13,034                    |
| 15.   | Aggregate write-ins for deductions from investment income           |       |   |           |                           |
| 16.   | Total deductions (Lines 11 through 15)                              |       |   |           | 167 , 398                 |
| 17.   | Net investment income (Line 10 minus Line 16)                       |       |   |           | 440,896                   |
| DETA  | LS OF WRITE-INS   |       |   |           |                           |
| 0901. |   |       |   | .,        |                           |
| 0902. |   |       |   |           |                           |
| 0903. |   |       |   |           |                           |
| 0998. | Summary of remaining write-ins for Line 9 from overflow page        |       | ***                                     |           |                           |
| 0999. | Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)           |       |   |           |                           |
| 1501. | TODIO (ZINOS SOCIALISOS)  |       |   |           |                           |
| 1501. |   |       |   |           |                           |
| 1502. |   |       |   | 1         |                           |
| 1503. | Summary of remaining write-ins for Line 15 from overflow page       |       |   | 1         |                           |
|       |   |       | *************************************** | 1         |                           |
| 1599. | Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)          |       |   | 1         |                           |

|   | (a) Includes \$ | 1,571 accrual of discount less \$  | 30,381 amortization of premium and less \$ |   |
|---|-----------------|------------------------------------|--|---|
|   | (b) Includes \$ | accrual of discount less \$        | amortization of premium and less \$        | paid for accrued dividends on purchases.            |
|   | (c) Includes \$ | accrual of discount less \$        | amortization of premium and less \$        | paid for accrued interest on purchases.             |
|   | (d) Includes \$ | for company's occupancy            | of its own buildings; and excludes \$      | interest on encumbrances.                           |
|   | (e) Includes \$ | accrual of discount less \$        | amortization of premium and less \$        | paid for accrued interest on purchases.             |
|   | (f) Includes \$ | accrual of discount less \$        | amortization of premium.                   |   |
|   |                 |                                    | \$investment taxes, licenses and fe        | es, excluding federal income taxes, attributable to |
|   |                 | and Separate Accounts.             |  |   |
|   | (h) includes \$ | interest on surplus notes a        | nd \$ interest on capital notes.           |   |
| • | (i) Includes \$ | 13.034 depreciation on real estate | and \$ depreciation on other investe       | ed assets.  |

**EXHIBIT OF CAPITAL GAINS (LOSSES)** 

|       |  | 1  | 2                                       | 3  | 4  | 5  |
|-------|--|--|---|--|--|--|
|       |  | Realized<br>Gain (Loss)<br>On Sales or<br>Maturity | Other<br>Realized<br>Adjustments        | Total Realized Capital<br>Gain (Loss)<br>(Columns 1 + 2) | Change in<br>Unrealized Capital<br>Gain (Loss) | Change in<br>Unrealized Foreign<br>Exchange Capital<br>Gain (Loss) |
| 1.    | U.S. Government bonds  |  | *************************************** |  |  |  |
| 1.1   | Bonds exempt from U.S. tax                                   | (13,266)   | .,                                      | (13,266)   |  |  |
| 1.2   | Other bonds (unaffiliated)                                   | 278  |   | 278  | 3  |  |
| 1.3   | Bonds of affiliates  |  |   |  |  | ***************************************                            |
| 2.1   | Preferred stocks (unaffiliated)                              |  |   |  | (7,095)  |  |
| 2.11  | Preferred stocks of affiliates                               | ,  |   |  |  |  |
| 2.2   | Common stocks (unaffiliated)                                 | 47,589   |   | 47,589   | 1,160,359                                      | ***************************************                            |
| 2.21  | Common stocks of affiliates                                  |  |   |  | (2,646)  |  |
| 3.    | Mortgage loans   |  |   |  |  |  |
| 4.    | Real estate  | ***************************************            | ,,                                      |  |  |  |
| 5.    | Contract loans   |  |   |  |  |  |
| 6.    | Cash, cash equivalents and short-term investments            |  |   |  |  |  |
| 7.    | Derivative instruments                                       |  |   |  |  |  |
| 8.    | Other invested assets  |  |   |  |  |  |
| 9.    | Aggregate write-ins for capital gains (losses)               |  |   |  |  |  |
| 10.   | Total capital gains (losses)                                 | 34,601   |   | 34,601   | 1,150,620                                      |  |
| DETAI | LS OF WRITE-INS  |  |   |  |  |  |
| 0901. |  |  |   |  |  |  |
| 0902. |  |  |   | +  |  |  |
| 0903. |  |  |   |  |  |  |
| 0998. | Summary of remaining write-ins for Line 9 from overflow page | ,,,,,  |   |  |  |  |
| 0999. | Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)    |  |   |  |  |  |

# **EXHIBIT OF NONADMITTED ASSETS**

| 1. Bords (Schedule D): 2. Preferred stocks 2. Stocks (Schedule D): 3. Preferred stocks 3. Mortgage learns on real estate (Schedule B): 3. Filt rate an. 3. 2 Other filth filt rate an. 3. 2 Other filth filt |     |  | 1 Current Year Total Nonadmitted Assets | 2 Prior Year Total Nonadmitted Assets   | 3<br>Change in Total<br>Nonadmitted Assets<br>(Col. 2 - Col. 1) |
|--|-----|--|---|---|---|
| 2. Stocks (Schedule D): 2. 1 Profered stocks 2. 2 Common stocks 3. 3 First liens: 3. 4 Properties on real estate (Schedule B): 3. 4 First liens: 4. Real estate (Schedule A): 4. 1 Properties occopied by the company 4. 2 Properties held for sale. 5. Cank (Schedule E-Part 1), cank and equivalents (Schedule E-Part 2) and short-term investments (Schedule DA). 6. Cank (Schedule E-Part 1), cank and equivalents (Schedule E-Part 2) and short-term investments (Schedule DA). 7. Derivatives (Schedule DB). 8. Other investide assets (Schedule BA). 9. Receivables for securities. 9. Securities Insign previoused collabral assets (Schedule DL). 11. Aggregate write-ins for invested assets (Line 1 to 11) 13. Till plants (for Title insurance oxity). 14. Investment income due and accused. 15. Premiums and considerations: 15. 1 Incordiced premiums and agents' bulances in the course of collection. 15. 2 Determal premiums, agents' bulances and installments booked but deferred and may tell. 16. Accused retrospective premiums and contracts subject to redetermination. 16. 2 Archive history between the form instrusers companies. 16. 2 Archive history between the form instrusers of companies. 16. 3 Chert amounts recolvable under instrusers companies. 16. 3 Chert amounts recolvable under instrusers companies. 16. 3 Chert amounts recolvable under instrusers companies. 16. 3 Chert amounts recolvable under instrusers companies. 16. 3 March data asset. 17. 3 Chert amounts recolvable under instrusers companies. 18. 3 Chert amounts recolvable under instrusers companies. 18. 3 Chert amounts recolvable under instrusers companies. 18. 4 Chert and asset. 19. Guaranty funds assets. 19. Guaranty funds assets. 10. Guaranty funds assets. 10. Guaranty funds assets. 11. 256 2. 2,751 1.4 1.4 1.5 Chert dedeal and foreign income tax recoverable and interest thereon. 12. 50 1.5 Chert and assets. 11. 50 2. 2,751 1.4 1.4 1.5 Chert dedeal and foreign income tax recoverable and interest thereon. 12. 60 2. 7,751 1.4 1.5 Chert dedeal and foreign income tax reco       | 1.  | Bonds (Schedule D).  |   |   |   |
| 2.2 Common stocks 3.1 First liens 3.2 Other than first liens 3.2 Other than first liens 4.3 Properties roles (Schedule A): 4.1 Properties occupied by the company 4.2 Properties held for the production of income 4.3 Properties held for the production of income 4.3 Properties held for the production of income 4.4 Properties held for the production of income 4.5 Properties held for the production of income 4.6 Properties held for sale. 5. Canh (Schedule E-part 1), and equivalents (Schedule E-Part 2) and short-term investments (Schedule DA) 6. Contract loans 7. Deninghes (Schedule BA) 8. Other investide assets (Schedule BA) 9. Recohabilist for accuration 9. Recohabilist for accuration 9. Recohabilist for accuration 9. Recohabilist for accuration 9. Recohabilist for accuration 9. Recohabilist for accuration 9. Recohabilist for accuration 9. Recohabilist for accuration 9. Recohabilist for accurate assets (Lines 1 to 11) 9. Stockbale, cash and invested assets (Lines 1 to 11) 9. Triple parties (Triple Insurance only) 9. Investment income due and accrued 9. Permanura and considerations 9. Triple parties (Triple Insurance only) 9. Permanura and considerations 9. Triple parties (Triple Insurance only) 9. Permanura and considerations 9. Stockbale, cash and invested assets 9. Stockbale, cash and i | 2.  | Stocks (Schedule D):   |   |   |   |
| 3. Afrongape loans on real estate (Schedulde B): 3.1 First fers 3.2 Other than first liters 4. Real estate (Schedulde A): 4. Properties occupied by the company 4. 2 Properties held for tale 5. Cash (Schedulde First 1), cash equivalents (Schedulde E-Part 2) and 4. 3 Properties held for tale 5. Cash (Schedulde First 1), cash equivalents (Schedulde E-Part 2) and 4. 3 Properties held for tale 6. Contract loans 7. Derindan's Schedulde DB) 8. Other Invested assets (Schedulde DA) 9. Receivables for securities 9. Receivables for securities 9. Receivables for securities 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for securities 9. Schedulde DB) 9. Receivables for for securities 9. Schedulde DB) 9. Receivables for fire securities 9. Schedulde DB) 9. Receivables for fire securities 9. Schedulde DB) 9. Receivables for fire securities because of the course of collection. 15. Linchoolited premiums and agents' belances in the course of collection. 15. Derindant, agents' belances and installments booked but deferred and not byt dium. 15. Scheduldes premiums, agents' belances and installments booked but deferred and not byt dium. 15. Scheduldes premiums and considerations 15. Linchoolited premiums and considerations 16. Painsurance: 16. Linchoolited premiums and considerations 16. Painsurance: 16. Linchoolited premiums and considerations 16. Painsurance: 16. Linchoolited premiums and considerations 16. Painsurance: 16. Linchoolited premiums and considerations 16. Painsurance: 16. Linchoolited premiums and considerations 16. Painsurance: 16. Linchool |     | 2.1 Preferred stocks   |   |   |   |
| 3. 2 Other than first liens 4. Real estate (Schedude A): 4. Properties outpied by the company 4. 2 Properties hald for selection of income 4. 3 Properties hald for selection of income 4. 3 Properties hald for selection of income 4. 3 Properties hald for selection of income 4. 3 Properties hald for selection of income 4. 3 Properties hald for selection of income 4. 3 Properties hald for selection of income 4. 3 Properties hald for selection of income 4. 3 Properties hald for selection of income 5. Canh (Schedude D-Part 1), cash equivalents (Schedude E-Part 2) and short-term investments (Schedude DA) 5. Contract loss (Schedude DB) 6. Contract loss (Schedude DB) 7. Defortablities (Schedude DB) 7. Defortablities (Schedude DB) 8. Reportablities for securities 9. Securities lending reinvested collateral assets (Schedude DL) 9. Securities lending reinvested dested sates 9. Securities lending reinvested dested sates 9. Securities lending reinvested dested lasses 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested lasses 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested sates 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested dested Schedude DL) 9. Securities lending reinvested settlement lasses settlement lasses settlement lending reinvested settlement lasses settlement lasses settlement lasses settlement lasses settlement lasses settlement lasses settlement lasses settlement lasses and labellate due to foreign exchange releas 9. Receivables relating to uninsured plans 9. Securities Schedude Schedude DL destedude lasses 9. Securities Sche |     | 2.2 Common stocks  |   |   |   |
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| 15. Premiums and considerations:  15. 1 Uncollected premiums and agents' balances in the course of collection.  (24)  15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due.  15.3 Accrued retrospective premiums and contracts subject to redetermination.  16. Reinsurance:  16.1 Amounts recoverable from reinsurers  16.2 Punds held by or deposited with reinsured companies.  16.3 Other amounts receivable under reinsurance contracts.  17. Amounts receivable relating to uninsured plans.  18.1 Current federal and foreign income tax recoverable and interest thereon  | 13. | Title plants (for Title insurers only)                             |   |   |   |
| 15.1 Uncollected premiums and agents' balances in the course of collection   | 14. | Investment income due and accrued                                  |   |   |   |
| collection. (24)  15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due.  15.3 Accrued retrospective premiums and contracts subject to redetermination.  16. Reinsurance: 16.1 Amounts recoverable from reinsurers. 16.2 Funds held by or deposited with reinsured companies. 16.3 Other amounts receivable under reinsurance contracts.  17. Amounts receivable relating to uninsured plans.  18.1 Current lederal and foreign income tax recoverable and interest thereon.  128.000.  178.500.  50.5  18.2 Net deferred tax asset.  20. Electronic data processing equipment and software.  21. Furniture and equipment, including health care delivery assets.  22. Net adjustment in assets and ilabilities due to foreign exchange rates.  23. Receivables from parent, subsidiaries and affiliates.  24. Health care and other amounts receivable.  25. Aggregate write-ins for other-than-invested assets  26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts.  27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.  28. Total (Lines 26 and 27)  DETAILS OF WRITE-INS  1103.  1109. Summary of remaining write-ins for Line 11 from overflow page.  1199. Totals (Lines 110 through 1103 plus 1198) (Line 11 above)  2501. Transport at ion Equipment.  58.749  54.595  (4.1)  2502.  2503.  2598. Summary of remaining write-ins for Line 25 from overflow page.  | 15. | Premiums and considerations:                                       |   |   |   |
| and not yet due.  15.3 Accrued retrospective premiums and contracts subject to redetermination  16. Reinsurance:  16.1 Amounts recoverable from reinsurers  16.2 Funds held by or deposited with reinsured companies  16.3 Other amounts receivable under reinsurance contracts  17. Amounts receivable under reinsurance contracts  18.1 Current federal and foreign income tax recoverable and interest thereon  128,000  178,500  50.5  18.1 Current federal and rerigin income tax recoverable and interest thereon  128,000  178,500  50.5  18.1 Current federal and resign income tax recoverable and interest thereon  128,000  178,500  50.5  18.1 Current federal and resign income tax recoverable and interest thereon  128,000  178,500  50.5  18.1 Current federal and resign income tax recoverable and interest thereon  128,000  178,500  50.5  18.2 Net deficient fax asset.  1.286  2.761  1.4  2.501  2.761  1.4  2.761  1.4  2.761  1.4  2.761  1.4  2.761  2.761  1.4  2.761  2.761  1.4  2.761   |     | _  |   | (24)                                    | (24)  |
| 15.3 Accrued retrospective premiums and contracts subject to redetermination 16. Reinsurance: 16.1 Amounts recoverable from reinsurers 16.2 Funds held by or deposited with reinsured companies 16.3 Other amounts receivable under reinsurance contracts 17. Amounts receivable relating to uninsured plans 18.1 Current federal and foreign income tax recoverable and interest thereon 128,000 178,500 50,5 18.2 Net deferred tax asset. 19. Guaranty funds receivable or on deposit 20. Electronic data processing equipment and software. 21. Furniture and equipment, including health care delivery assets. 1,296 2. Net adjustment in assets and liabilities due to foreign exchange rates 23. Receivables from parent, subsidiaries and affiliates 24. Health care and other amounts receivable 25. Aggregate write-ins for other-than-invested assets 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25). 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25). 28. Total (Lines 26 and 27)  188,045 235,832 47,7  DETAILS OF WRITE-INS 1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) 2501. Transportation Equipment. 2503. 2598. Summary of remaining write-ins for Line 25 from overflow page 2598. Summary of remaining write-ins for Line 25 from overflow page   |     |  |   |   |   |
| 16. Reinsurance: 16.1 Amounts recoverable from reinsurers 16.2 Funds held by or deposited with reinsured companies 16.3 Other amounts receivable under reinsurance contracts 17. Amounts receivable relating to uninsured plans 18.1 Current federal and foreign income tax recoverable and interest thereon 126.000 178.500 50.5 18.2 Net deferred tax asset. 20. Electronic data processing equipment and software. 21. Furniture and equipment, including health care delivery assets. 22. Net adjustment in assets and liabilities due to foreign exchange rates 23. Receivables from parent, subsidiaries and affiliates 24. Health care and other amounts receivable. 25. Aggregate write-ins for other-than-invested assets 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25). 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts, Segregated Accounts and Protected Cell Accounts, Segregated Accounts and Protected Cell Accounts (Lines 25 and 27)  DETAILS OF WRITE-INS  1198. Summary of remaining write-ins for Line 11 from overflow page 1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) 2501. Transport at ion Equipment 2502. 2503. 2598. Summary of remaining write-ins for Line 25 from overflow page  |     |  | l l                                     | ,,,.                                    |   |
| 16.1 Amounts recoverable from reinsurers 16.2 Funds held by or deposited with reinsured companies 16.3 Other amounts receivable under reinsurance contracts 17. Amounts receivable relating to uninsured plans 18.1 Current federal and foreign income tax recoverable and interest thereon 128,000 178,500 50,5 18.2 Net deferred tax asset. 19. Guaranty funds receivable or on deposit 20. Electronic data processing equipment and software. 21. Furniture and equipment, including health care delivery assets. 1,296 2,761 1,4 22. Net adjustment in assets and liabilities due to foreign exchange rates 23. Receivables from parent, subsidiaries and effiliates 24. Health care and other amounts receivable. 25. Aggregate write-ins for other-than-invested assets 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) 5,832 47,7 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts, Segregated Accounts and Protected Cell Accounts, Segregated Accounts and Protected Cell Accounts (Lines 2 to 25) 235,832 47,7 28. Total (Lines 28 and 27) 188,045 235,832 47,7  DETAILS OF WRITE-INS 1101. 1102. 1103. 1198. Summary of remaining write-ins for Line 11 from overflow page 1199. Totals (Lines 1101 through 1103 plus 1199) (Line 11 above) 2501. Transportation Equipment. 58,749 54,595 (4,1) 2502. 2503. 2598. Summary of remaining write-ins for Line 25 from overflow page   | 16. |  |   |   |   |
| 16.2 Funds held by or deposited with reinsured companies 16.3 Other amounts receivable under reinsurance contracts.  17. Amounts receivable relating to uninsured plans. 18.1 Current federal and foreign income tax recoverable and interest thereon. 128,000 178,500 50,5 18.2 Net deferred tax asset. 19. Guaranty funds receivable or on deposit. 20. Electronic data processing equipment and software. 21. Furniture and equipment, including health care delivery assets. 22. Net adjustment in assets and liabilities due to foreign exchange rates. 23. Receivables from parent, subsidiaries and affiliates. 24. Health care and other amounts receivable. 25. Aggregate write-ins for other-than-invested assets 5.8,749 54,595 (41,1,4,1). 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25). 27. From Separate Accounts, (Lines 12 to 25). 28. Total (Lines 26 and 27) 188,045 235,832 47,7,7.  DETAILS OF WRITE-INS 1101. 1102. 1103. 1198. Summary of remaining write-ins for Line 11 from overflow page. 1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) 2501. Transportat ion Equipment. 2502. 2503. 2598. Summary of remaining write-ins for Line 25 from overflow page.   |     |  |   | .,,,                                    |   |
| 16.3 Other amounts receivable under reinsurance contracts  17. Amounts receivable relating to uninsured plans  18.1 Current federal and foreign income tax recoverable and interest thereon  18.2 Net deferred tax asset.  19. Guaranty funds receivable or on deposit  20. Electronic data processing equipment and software.  21. Furniture and equipment, including health care delivery assets.  22. Net adjustment in assets and liabilities due to foreign exchange rates  23. Receivables from parent, subsidiaries and affiliates  24. Health care and other amounts receivable.  25. Aggregate write-ins for other-than-invested assets  26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).  27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts, Segregated Accounts and Protected Cell Accounts, Segregated Accounts and Protected Cell Accounts, Segregated Accounts and Protected Cell Accounts (Lines 26 and 27)  DETAILS OF WRITE-INS  1101.  1102.  1199. Totals (Lines 101 through 1103 plus 1198) (Line 11 above)  2501. Transportat ion Equipment  58,749  54,595  (4,1)  47,7  DETAILS OF WRITE-INS  1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)  2501. Transportation Equipment  58,749  54,595  (4,1)  |     |  | l I                                     |   |   |
| 17. Amounts receivable relating to uninsured plans       18.1 Current federal and foreign income tax recoverable and interest thereon       128,000       178,500       50,5         18.2 Net deferred tax asset.       9. Guaranty funds receivable or on deposit       20. Electronic data processing equipment and software.       21. Furniture and equipment, including health care delivery assets.       1,296       2,761       1,4         22. Net adjustment in assets and liabilities due to foreign exchange rates       1,296       2,761       1,4         23. Receivables from parent, subsidiaries and affiliates       24. Health care and other amounts receivable.       25. Aggregate write-ins for other-than-invested assets       58,749       54,995       (4,1         26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)       188,045       235,832       47,7         27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.       188,045       235,832       47,7         DETAILS OF WRITE-INS         1101.       1102.         1103.       1198. Summary of remaining write-ins for Line 11 from overflow page       58,749       54,595       (4,1         2501.       17anspor lat ion Equipment.       58,749       54,595       (4,1         1102.       1102.       1102.       1102.       1102.       1102.       1102.   |     |  | l I                                     |   |   |
| 18.1 Current federal and foreign income tax recoverable and interest thereon   | 17. |  | I                                       |   |   |
| 18.2 Net deferred tax asset  19. Guaranty funds receivable or on deposit  20. Electronic data processing equipment and software.  21. Furniture and equipment, including health care delivery assets.  22. Net adjustment in assets and liabilities due to foreign exchange rates  23. Receivables from parent, subsidiaries and affiliates  24. Health care and other amounts receivable  25. Aggregate write-ins for other-than-invested assets  26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).  27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts  28. Total (Lines 26 and 27)  DETAILS OF WRITE-INS  1101.  1102.  1103.  1198. Summary of remaining write-ins for Line 11 from overflow page  1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)  2501. Transportation Equipment.  58,749  54,595  (4,1)  58,749  54,595  (4,1)  58,749  54,595  (4,1)   |     |  |   | 178,500                                 | 50,500  |
| 19. Guaranty funds receivable or on deposit 20. Electronic data processing equipment and software 21. Furniture and equipment, including health care delivery assets. 22. Net adjustment in assets and liabilities due to foreign exchange rates 23. Receivables from parent, subsidiaries and affiliates 24. Health care and other amounts receivable 25. Aggregate write-ins for other-than-invested assets 26. Total assets excluding Separate Accounts, Segregated Accounts and 27. Protected Cell Accounts (Lines 12 to 25). 28. Total (Lines 26 and 27)  29. Total (Lines 26 and 27)  DETAILS OF WRITE-INS  1102. 1103. 11198. Summary of remaining write-ins for Line 11 from overflow page 11199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) 25001. Transportat ion Equipment. 25002. 25003. 2598. Summary of remaining write-ins for Line 25 from overflow page   | i   |  | 1                                       |   |   |
| 20. Electronic data processing equipment and software. 21. Furniture and equipment, including health care delivery assets  |     |  |   |   | ***************************************                         |
| 21. Furniture and equipment, including health care delivery assets.       1,296       2,761       1,4         22. Net adjustment in assets and liabilities due to foreign exchange rates.  |     | •  | i I                                     |   |   |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates  23. Receivables from parent, subsidiaries and affiliates  24. Health care and other amounts receivable.  25. Aggregate write-ins for other-than-invested assets  26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).  27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts  28. Total (Lines 26 and 27)  DETAILS OF WRITE-INS  1101.  1102.  1103.  1198. Summary of remaining write-ins for Line 11 from overflow page.  1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)  2501. Transportat ion Equipment.  25 from overflow page.  2503.  2598. Summary of remaining write-ins for Line 25 from overflow page.   |     |  |   | 2,761                                   | 1,466   |
| 23. Receivables from parent, subsidiaries and affiliates   |     |  |   |   |   |
| 24. Health care and other amounts receivable.       58,749       54,595       (4,195)         25. Aggregate write-ins for other-than-invested assets       58,749       54,595       (4,195)         26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts.       188,045       235,832       47,7         27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.       188,045       235,832       47,7         DETAILS OF WRITE-INS         1101.       1102.         1103.       1103.       1104.         1198. Summary of remaining write-ins for Line 11 from overflow page       1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)       58,749       54,595       (4,19)         2501. Transport at ion Equipment       .58,749       54,595       (4,19)         2502.       .5803.       .5804.       .  |     |  | I I                                     |   |   |
| 25. Aggregate write-ins for other-than-invested assets   | 1   | •  | 1                                       |   |   |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)   |     |  | 58,749                                  | 54,595                                  | (4 , 154  |
| Protected Cell Accounts (Lines 12 to 25)   | l   |  |   |   | , , , , ,   |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts  |     |  | 188.045                                 | 235,832                                 | 47 ,788   |
| 28. Total (Lines 26 and 27)  DETAILS OF WRITE-INS  1101.  1102.  1103.  1198. Summary of remaining write-ins for Line 11 from overflow page  1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)  2501. Transportation Equipment.  2502.  2503.  2598. Summary of remaining write-ins for Line 25 from overflow page  | 27. |  |   |   |   |
| DETAILS OF WRITE-INS  1101. 1102. 1103. 1198. Summary of remaining write-ins for Line 11 from overflow page 1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) 2501. Transportation Equipment  | ł   |  | 188.045                                 | 235.832                                 | 47,788  |
| 1101. 1102. 1103. 1198. Summary of remaining write-ins for Line 11 from overflow page 1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) 2501. Transportation Equipment  |     |  | .00,010                                 | 200,1002                                |   |
| 1102. 1103. 1198. Summary of remaining write-ins for Line 11 from overflow page 1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) 2501. Transportation Equipment  |     |  |   |   |   |
| 1103.         1198. Summary of remaining write-ins for Line 11 from overflow page         1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)         2501. Transportation Equipment       .58,749       .54,595       .(4,1)         2502.         2503.         2598. Summary of remaining write-ins for Line 25 from overflow page   | l   |  |   |   |   |
| 1198. Summary of remaining write-ins for Line 11 from overflow page     1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)     2501. Transportation Equipment  |     |  |   |   |   |
| 1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)   | l   |  |   |   |   |
| 2501. Transportation Equipment   .58,749   .54,595   .44,1   .5502   | 1   | •  |   |   |   |
| 2502. 2503. 2598. Summary of remaining write-ins for Line 25 from overflow page  |     |  | 58.749                                  | 54,595                                  | (4,154  |
| 2503. 2598. Summary of remaining write-ins for Line 25 from overflow page  |     |  |   |   | ( , , , ,   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page  | 1   | •  |   |   |   |
| 1  | 1   |  |   |   |   |
| 17599 Totale (Lines 2501 through 2503 plus 2598) (Line 25 shove) 1 58 /49 1 54 /395 1 [4.1   | 1   | Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)         | 58,749                                  | 54,595                                  | (4,154  |

#### **NOTES TO FINANCIAL STATEMENTS**

- 1. Summary of Significant Accounting Policies and Going Concern
  - a. The accompanying financial statements of the Association have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners as modified by Iowa Administrative Code Section 0371B for insurance companies domiciled in the State of Iowa.
  - b. The preparation of the financial statements of the Association in conformity with statutory accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.
  - c. Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceded companies for reinsurance.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or received or received to received or recei

Asset values are generally stated as follows: Short-term investments at amortized cost; bonds at amortized cost for investment grade, and the lower of amortized cost or fair value for below investment grade; stocks at fair value. The pro rata method was used in the amortization of bonds in this statement. This method provides for amortization of the premium or the discount in equal amounts over the life of the bond. The pro rata method is applied by dividing the premium or discount by the number of years, or interest periods, over the contract life of the security until maturity.

The Association uses the straight line method of depreciation for all of its real estate holdings with the lives varying depending on the type of building. The Association uses the straight line and certain accelerated methods of depreciation to depreciate its electronic data processing (EDP) equipment.

- d. Based on its evaluation of relevant conditions and events, management does not have substantial doubt about the Association's ability to continue as a going concern.
- 2. Accounting Changes and Corrections of Errors
  - The Association had no material changes in accounting principles or corrections of errors.
- 3. Business Combinations and Goodwill
  - a. The Association had no business combinations accounted for under the statutory purchase method.
  - b. The Association had no statutory mergers.
  - c. The Association had no impairment losses.
  - d. The Association had no admitted goodwill.
- 4. Discontinued Operations

The Association did not have any discontinued operations.

- 5. Investments
  - a. The Association has no mortgage loans.
  - b. The Association is not a creditor for any restructured debt.
  - c. The Association has no reverse mortgages.
  - d. The Association does not hold any loan backed securities.
  - e. The Association has no dollar repurchase agreements and/or securities lending transactions at year end.
  - f. The Association has no repurchase agreement transactions accounted for as secured borrowing.
  - g. The Association has no reverse repurchase agreement transactions accounted for as secured borrowing.
  - h. The Association has no repurchase transactions accounted for as a sale.
  - i. The Association has no reverse repurchase agreement transactions accounted for as a sale.
  - j. The Association has no investments in real estate.
  - k. The Association has no investments in low-income housing tax credits.
  - 1. The Association has no restricted assets.
  - m. The Association has no working capital finance investments.
  - n. The Association has no offsetting and netting of assets and liabilities.
  - o. The Association has no 5\* GI securities.
  - p. The Association has no short sales.
  - q. The Association has no prepayment penalty and acceleration fees.
  - r. Reporting Entity's Share of Cash Pool by Asset type

| Asset Type                 | Percent Share |  |  |  |  |
|----------------------------|---------------|--|--|--|--|
| (1) Cash                   | 91%           |  |  |  |  |
| (2) Cash Equivalents       | <u>_9</u> %   |  |  |  |  |
| (3) Short-Term Investments | <u>_0</u> %   |  |  |  |  |
| (4) Total                  | 100%          |  |  |  |  |

- 6. Joint Ventures, Partnerships and Limited Liability Companies
  - a. The Association has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.
  - b. The Association did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Liability Companies during the statement periods.

### **NOTES TO FINANCIAL STATEMENTS**

#### 7. Investment Income

- a. The Association does not exclude (non-admit) any interest income due and accrued.
- b. N/A

#### 8. Derivative Instruments

The Association has no derivative instruments.

#### 9. Income Taxes

- a. The Association is not required to record deferred taxes.
- b. The Association is not required to record deferred taxes.
- c. Federal income tax incurred for 2021 and 2020 was \$162,243 and (\$151,441) respectively. The Association is not subject to recording of deferred income taxes.
- d. The variation in the relationship between income tax expense and the amount computed by applying the statutory rates for Federal income taxes results principally from tax-exempt investment income and statutory adjustments as required by the Internal Revenue Code.
- At December 31, 2021, the Association did not have an operating loss carryforward nor a capital loss carryforward.
  - 2. The following are federal income taxes incurred in the current and prior years that will be available for recoupment in the event of future net losses:

2021 (current year)

\$221,203

2020 (current year-1)

0

- The Association does not have any deposits admitted under Section 6603 of the Internal Revenue Service Code.
- f. The Association's Federal Income Tax return is not consolidated with any other entity.
- g. The Association does not have any federal or foreign tax loss contingencies.
- h. The Association does not have repatriation transition tax (RTT).
- i. The Association does not have any alternative minimum tax (AMT) credits.

#### 10. Information Concerning Parent, Subsidiaries and Affiliates and other related parties.

- The Association is not directly or indirectly owned or controlled by any other entity.
- b. There were no applicable transactions.
- c. There were no applicable transactions.
- d. There were no amounts due to or from related parties.
- e. There are no guarantees or undertakings, written or otherwise, for the benefit of an affiliate or related party that result in a material contingent exposure of the Association's or any related party's assets or liabilities.
- f. There are no management or service contracts and no cost sharing arrangements, other than cost allocation arrangements based upon generally accepted accounting principles, involving the Association or any affiliated insurer.
- The Association is not directly or indirectly owned or controlled by any other entity.
- h. The Association does not own shares of an upstream intermediate or ultimate parent, either directly or indirectly via a downstream subsidiary, controlled or affiliated entity.
- The Association does not have an investment in a Subsidiary, Controlled or Affiliated Entity that exceeds 10% of admitted assets of the insurer.
- The Association did not recognize any impairment write down for its investments in Subsidiary, Controlled or Affiliated Entity during the statement period.
- k. The Association does not have any investments in a foreign insurance subsidiary.
- 1. The Association does not have any investment in a downstream noninsurance holding company.
- m. The Association does not have any SCA investments.
- n. The Association does not have any investments in insurance SCAs.
- o. The Association does not have any SCA loss tracking.

#### 11. Debt

The Association has no debt.

# 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- a. The Association does maintain a defined benefit pension plan.
- b. The Association does maintain a defined benefit pension plan.
- c. The Association does maintain a defined benefit pension plan.
- d. The Association does maintain a defined benefit pension plan.
- e. Insurance company employees are covered by a qualified defined contribution cafeteria plan sponsored by the insurance company.

Contributions of not more than ten percent of each employee's compensation are made in 2021 and 2020. The Association's contribution for the plan was \$52,672 and \$47,478 for 2021 and 2020, respectively.

- f. The Association does not have a multiemployer plan.
- g. The Association does not have consolidated/holding company plans.
- h. The Association does not have postemployment benefits and compensated absences.
- i. The Association did not have an impact of the Medicare Modernization Act on Postretirement Benefits.

#### 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganization

- a. The Association has no capital stock.
- b. The Association has no preferred stock outstanding.
- c. As a Mutual Association, shareholder dividend restrictions criteria to not apply.
- d. No dividends were paid by the Association.

#### **NOTES TO FINANCIAL STATEMENTS**

- e. There are no restrictions placed on the portion of Association profits that may be paid as ordinary dividends to stockholders.
- f. There were no restrictions placed on the Association's unassigned surplus, including for whom surplus is being held.
- g. The Association does not have any advanced to surplus not repaid.
- h. The Association has no stock held for special purposes.
- i. The Association has no special surplus funds from the prior period.
- j. The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains (losses) is \$1,150,620.
- k. No surplus notes or similar obligations exist.
- 1. The Association has not participated in quasi-reorganization.
- m. The Association has not participated in quasi-reorganization

#### 14. Liabilities, Contingencies and Assessments

- a. The Association has committed no reserves to cover any contingent liabilities.
- b. The Association does not have any assessments that could have a material financial effect.
- c. The Association has no gain contingencies.
- d. The Association has no claims related to extra contractual obligations or bad faith losses stemming from lawsuits.
- e. The Association has no product warranties.
- f. The Association has no joint and several liability arrangements.
- g. The Association has no other contingencies or impairment of assets.

#### 15. Leases

- a. The Association does not have any material lease obligations at this time.
- b. The Association does not have any material lease obligations representing a significant part of their business activities at this time.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

The Association does not have financial instruments with off-balance-sheet risk or with concentrations of credit risk.

- 17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities
  - The Association has no transfers or receivables reported as sales.
  - b. The Association has no transfer and servicing of financial assets.
  - c. The Association has no wash sales.
- 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans
  - a. The Association has no ASO plans.
  - b. The Association has no ASC plans.
  - c. The Association has no Medicare or similarly structured cost based reimbursement contract.
- 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

The Association has no premiums written/produced by Managing General Agents or Third Party Administrators.

#### 20. Fair Value Measurement

A. A framework for measuring fair value has been established which provides a fair value hierarchy that priorities the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Association has the ability to access.

Level 2: Inputs to the valuation methodology include: Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Debt and Equity Securities: Equity securities are valued at current fair value based on unadjusted quoted prices in the active investment market at the Statement of Assets, Liabilities and Policyholders' Surplus - Statutory date. Debt securities below investment grade are valued at the lower of amortized cost or fair value at the Statement of Assets, Liabilities, and Policyholders' Surplus - Statutory date. These totaled \$7,989,722 and \$5,692,555 at December 31, 2021 and 2020, respectively.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Association believes its valuation methods are appropriate and consistent with market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Association's assets at fair value
as of:

## **NOTES TO FINANCIAL STATEMENTS**

#### December 31, 2021

|                            | Level 1         | Level 2 |         | Level 3 |   | Net Asset<br>Value (NAV) |   | <u>Total</u> |           |  |
|----------------------------|-----------------|---------|---------|---------|---|--------------------------|---|--------------|-----------|--|
| Corporate Bonds            | \$<br>1,515     | \$      | 0       | \$      | 0 | \$                       | 0 | \$           | 1,515     |  |
| Preferred Stock            | 88,230          |         | 0       |         | 0 |                          | 0 |              | 88,230    |  |
| Common Stock               | 7,271,060       |         | 164,141 |         | 0 |                          | 0 |              | 7,435,201 |  |
| Mutual Funds               | 464,776         |         | 0       |         | 0 |                          | 0 |              | 464,776   |  |
| Total Assets at Fair Value | \$<br>7,825,581 | \$      | 164,141 | \$      | 0 | \$                       | 0 | \$           | 7,989,722 |  |

#### December 31, 2020

|                            | Level 1         | L  | evel 2  | Le | vel 3 | Net A<br>Value ( |   | <u>Total</u>    |
|----------------------------|-----------------|----|---------|----|-------|------------------|---|-----------------|
| Corporate Bonds            | \$<br>2,064     | \$ | . 0     | \$ | 0     | \$               | 0 | \$<br>2,064     |
| Preferred Stock            | 177,825         |    | 0       |    | 0     |                  | 0 | 177,825         |
| Common Stock               | 4,778,080       |    | 162,821 |    | 0     |                  | 0 | 4,940,901       |
| Mutual Funds               | 571,765         |    | 0       |    | 0     |                  | 0 | 571,765         |
| Total Assets at Fair Value | \$<br>5,529,734 | \$ | 162,821 | \$ | 0     | \$               | 0 | \$<br>5,692,555 |

- (2) The Association does not have any fair value measurements in level 3 of the fair value hierarchy.
- B. The Association does not have any other accounting pronouncements.
- C. Fair Value of all Financial Instruments

| Type of Financial<br>Instrument | Aggregate<br>Fair Value | Admitted<br><u>Assets</u> | (Level 1)   | (Level 2)    | (Level 3) | Net Asset<br>Value (NAV) | Not Practicable<br>(Carrying Value) |
|---------------------------------|-------------------------|---------------------------|-------------|--------------|-----------|--------------------------|-------------------------------------|
| Corporate Bonds                 | \$ 1,666,521            | \$ 1,512,293              | \$1,666,521 | \$ 0         | \$ 0      | \$ 0                     | \$ 0                                |
| Municipal Bonds -<br>Taxable    | \$ 311,070              | \$ 304,760                | \$ 0        | \$ 311,070   | \$ 0      | \$ 0                     | \$ 0                                |
| Municipal Bonds -<br>Exempt     | \$11,778,740            | \$ 11,130,879             | \$ 0        | \$11,778,740 | \$ 0      | \$ 0                     | \$ 0                                |
| Preferred Stock                 | \$ 88,230               | \$ 88,230                 | \$ 88,230   | \$ 0         | \$ 0      | \$ 0                     | \$ 0                                |
| Common Stock                    | \$ 7,435,201            | \$ 7,435,201              | \$7,271,060 | \$ 164,141   | \$ 0      | \$ 0                     | \$ 0                                |
| Mutual Funds                    | \$ 464,776              | \$ 464,776                | \$ 464,776  | \$ 0         | \$ 0      | \$ 0                     | \$ 0                                |

- D. Not applicable.
- E. Not applicable.

#### 21. Other Items

- a. The Association has no unusual or infrequent items to report.
- b. The Association did not have any troubled debt restructuring.
- c. The Association has no other disclosures and unusual items.
- d. The Association did not receive any business interruption insurance recoveries.
- e. The Association has no state transferable and non-transferable tax credits.
- f. The Association has no subprime-mortgage-related risk exposure.
- g. The Association has no insurance-linked securities (ILS) contracts.
- h. The Association has no amounts that could be realized on life insurance.

#### 22. Events Subsequent

a. Management has evaluated subsequent events through January 8, 2022, the date which the financial statements were available for issue.

#### 23. Reinsurance

- a. The Association has unsecured aggregate recoverable for losses, paid or unpaid, including IBNR, loss adjustment expenses, and unearned premium that exceeds 3% of policyholder surplus in the amount of \$1,319,867.
- b. The Association has no reinsurance recoverable in dispute.
- The Association has no commission amounts due and payable to reinsurers if either party were to cancel coverage.
- d. The Association has no uncollectible reinsurance that was written off during the year for Losses Incurred, Loss Adjustment Expenses Incurred or Premiums Earned.
- e. The Association has no commutation of Ceded Reinsurance during the year for Losses Incurred, Loss Adjustment Expenses Incurred or Premiums Earned.
- f. The Association has no retroactive reinsurance contracts.
- g. The Association has no reinsurance accounted for as a deposit.
- h. The Association has no transfer or property or casualty run-off agreements.
- The Association does not have any contracts with Certified Reinsurers whose rating was downgraded or whose status is subject to revocation

### **NOTES TO FINANCIAL STATEMENTS**

- j. The Association has no reinsurance agreements qualifying for reinsurer aggregation.
- k. The Association has no reinsurance credits covering health business.
- 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Association has no retrospectively rated contracts or contracts subject to redetermination.

25. Changes in Incurred Losses and Loss Adjustment Expenses

- a. Reserves as of December 31, 2020 were \$267,697. As of December 31, 2021, \$(470,395) has been paid for incurred losses and loss adjustment expenses attributed to insured events of prior years. Reserves remaining for prior years are now \$0 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Fire, Allied Lines and Commercial lines of insurance. Therefore, there has been a \$(738,092) unfavorable (favorable) prior-year development since December 31, 2020. The increase (decrease) is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this increase (decrease), the Association experienced \$0 of unfavorable (favorable) prior year loss development on retrospectively rated policies. However, the business to which it relates is subject to premium adjustments.
- b. The Association had no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.
- 26. Intercompany Pooling Arrangements

The Association has no intercompany pooling arrangements.

- 27. Structured Settlements
  - The Association has not purchased any annuities for which a claimant is listed as payee.
  - b. N/A
- 28. Health Care Receivables

The Association has no health care receivables.

29. Participating Policies

The Association has no participating policies.

30. Premium Deficiency Reserves

The Association has no premium deficiency reserves.

31. High Deductibles

The Association has no reserve credit that has been recorded for high deductibles on unpaid claims.

32. Discounting of Liabilities for Unpaid Losses of Unpaid Loss Adjustment Expenses

The Association does not discount its Unpaid Losses or Unpaid Loss Adjustment Expenses.

33. Asbestos/Environmental Reserves

The Association has no potential for liability due to asbestos or environmental losses.

34. Subscriber Savings Accounts

The Association is not a reciprocal insurance Association.

35. Multiple Peril Crop Insurance

The Association has no multiple peril crop insurance.

36. Financial Guaranty Insurance

The Association has no financial guaranty insurance policies.

# **GENERAL INTERROGATORIES**

## **PART 1 - COMMON INTERROGATORIES**

## GENERAL

| 1.1        | Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  | Yes [      | ] No         | [ X ] |
|------------|--|------------|--------------|-------|
|            | If yes, complete Schedule Y, Parts 1, 1A, 2 and 3.   |            |              |       |
| 1.2        | If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?  | s [ ] No [ | ] N/A        | [ X ] |
|            | State Regulating?  | V 1        | 1 H.         | r v 1 |
| 1.4        | Is the reporting entity publicly traded or a member of a publicly traded group?  |            | ] No         | [ X ] |
|            | If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.  |            |              |       |
| 2.1        | Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?  | Yes [      | ] No         | [ X ] |
| 2.2        | If yes, date of change:  |            |              |       |
| 3.1        | State as of what date the latest financial examination of the reporting entity was made or is being made.  |            | 12/31        | /2019 |
| 3.2        | date should be the date of the examined balance sheet and not the date the report was completed or released.   |            | 12/31        | /2019 |
| 3.3        | State as of what date the latest financial examination report became available to other states or the public from either the state of domicile of the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance shee date).   | t<br>      | 10/14        | /2016 |
| 3.4        | By what department or departments? lowa Insurance Division   |            |              |       |
| 3.5        | Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?   | s [X]No[   | ] N/A        | 1 1   |
| 3.6        |  | [ X ] No [ | -            |       |
| 0.0        | Tigore and the recommendation within the release minimal examination report beauty and the restriction   |            | •            |       |
| 4.1        | During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for o control a substantial part (more than 20 percent of any major line of business measured on direct  | Г          | 1 11-        |       |
|            | premiums) of: 4.11 sales of new business?  | •          | ] No<br>] No |       |
|            | 4.12 renewals?  During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or ar  | Yes (      | ) NO         | [ ^ ] |
| 4.2        | affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured or   | 1          |              |       |
|            | direct premiums) of: 4.21 sales of new business?   | Yes [      | ] No         | [ X ] |
|            | 4.22 renewals?   | Yes [      | ] No         | [X]   |
| 5.1        | Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  | Yes [      | ] No         | [ X ] |
|            | If yes, complete and file the merger history data file with the NAIC.  |            |              |       |
| 5.2        | If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has   | 5          |              |       |
|            | ceased to exist as a result of the merger or consolidation.  |            |              |       |
|            | 1 2 3  |            |              |       |
|            | Name of Entity NAIC Company Code State of Domicile   |            |              |       |
|            |  |            |              |       |
|            |  |            |              |       |
|            |  |            |              |       |
|            |  |            |              |       |
|            |  |            |              |       |
|            | Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspende   | d          |              |       |
|            |  | Yes [      | ] No         | [ X ] |
| 6.1        | or revoked by any governmental entity during the reporting period?   | •          |              |       |
| 6.1        | or revoked by any governmental entity during the reporting penod?  If yes, give full information   | •          |              |       |
|            |  |            | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  |            | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  | Yes [      | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  | Yes [      | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] <b>N</b> o | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of imanager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, g | Yes [      | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] Na         | ( X ) |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] <b>N</b> a | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] No         | ( x ) |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] No         | [ X ] |
| 6.2<br>7.1 | If yes, give full information  Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  If yes,  7.21 State the percentage of foreign control  7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of in manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).   | Yes [      | ] No         | [X]   |

Yes [ ] No [ X ]

# ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

# **GENERAL INTERROGATORIES**

|            | Is the company a subsidiary of a depository institution hold<br>if response to 8.1 is yes, please identify the name of the DI   |  | ilated by the f | ederal Resen       | e Board?      | Yes [  | ]         | No [  | [ X ]        |
|------------|---|--|-----------------|--------------------|---------------|--------|-----------|-------|--------------|
| 8.3<br>8.4 | is the company affiliated with one or more banks, thrifts or<br>If response to 8.3 is yes, please provide the names and loc<br>financial regulatory services agency [i.e. the Federal Reser<br>Federal Deposit Insurance Corporation (FDIC) and the Sec<br>regulator. | ations (city and state of the main office) of<br>ve Board (FRB), the Office of the Comptro | oller of the Cu | rrency (OCC),      | the           | Yes [  | ]         | No (  | [ <b>X</b> ] |
|            | 1   | 2  | 3               | 4                  | 5             | 6      | ٦         |       |              |
|            |   | Location   |                 |                    |               |        |           |       |              |
|            | Affiliate Name  | (City, State)  | FRB             | occ                | FDIC          | SEC    | $\exists$ |       |              |
|            | Is the reporting entity a depository institution holding comprof Federal Reserve System or a subsidiary of the reporting If response to 8.5 is no, is the reporting entity a company of   | entity?  |                 |                    | overnors      | Yes [  | ]         | No [  | х ]          |
|            | to the Federal Reserve Board's capital rule?  What is the name and address of the independent certified   |  |                 |                    | Yes [         | ] No [ | Х ]       | N/A [ | . 1          |
| 9.         |   |  | ined to condu   | ct tile allitual a | duitr         |        |           |       |              |
|            | An annual audit is not required   | ited non-audit services provided by the ce<br>cial Reporting Model Regulation (Model A     |                 |                    |               | Yes [  | į         | No [  | х ]          |
|            | Has the insurer been granted any exemptions related to  | the other requirements of the Annual F   | inancial Repo   | orting Model R     | legulation as |        |           |       |              |
|            | allowed for in Section 18A of the Model Regulation, or subs   |  |                 |                    |               | Yes [  | }         | No [  | X I          |
| 10.4       | If the response to 10.3 is yes, provide information related to  | this exemption:  |                 |                    |               |        |           |       |              |
| 10.5       | Has the reporting entity established an Audit Committee in  | compliance with the domiciliary state insu   | rance laws?     |                    | Yes (         | ] No [ | ]         | N/A [ | [ X ]        |
| 10.6       | If the response to 10.5 is no or n/a, please explain  |  |                 |                    |               |        |           |       |              |
| 11.        | Not applicable  |  | consultant as   | sociated with      | an actuarial  |        |           |       |              |
| 12.1       | Does the reporting entity own any securities of a real estate   |  |                 |                    |               | Yes [  |           |       |              |
|            |   | 12.11 Name of rea  |                 |                    |               |        |           |       |              |
|            |   | 12.12 Number of p  |                 |                    |               |        |           |       |              |
|            |   | 12.13 Total book/a   | adjusted carry  | ing value          | \$            | *****  |           |       |              |
| 12.2       | If yes, provide explanation   |  |                 |                    |               |        |           |       |              |
| 13.        | FOR UNITED STATES BRANCHES OF ALIEN REPORTIN  | NG ENTITIES ONLY:  |                 |                    |               |        |           |       |              |
|            |   |  | rustees of the  | reporting entit    | y?            |        |           |       |              |
| 42.0       | Donathia statement contain all business transt-d for the  | annuating antitus through its United States  | Branch on ric   | ke wherever le     | ocated?       | Yes f  | 1         | No    | r 1          |
|            | Does this statement contain all business transacted for the   |  | Branch on his   | ks wherever it     | cateur        | Yes [  | 1         | No.   | : :          |
|            | Have there been any changes made to any of the trust ind  |  |                 |                    | Yes [         | ] No [ | 1         | N/A [ | : :          |
|            | If answer to (13.3) is yes, has the domiciliary or entry state  |  | Saar or aante   | llor or norson     | •             | ) no [ | 1         | mn 1  | . 1          |
| 14.1       | similar functions) of the reporting entity subject to a code of   | of ethics, which includes the following stan   | idards?         |                    |               | Yes [  | Х ]       | No    | [ ]          |
|            | <ul> <li>a. Honest and ethical conduct, including the ethical hand<br/>relationships;</li> </ul>  |  |                 |                    | professional  |        |           |       |              |
|            | b. Full, fair, accurate, timely and understandable disclosure   |  | d by the repor  | ting entity;       |               |        |           |       |              |
|            | c. Compliance with applicable governmental laws, rules an   |  |                 |                    |               |        |           |       |              |
|            | d. The prompt internal reporting of violations to an appropr  | iate person or persons identified in the co  | de; and         |                    |               |        |           |       |              |
|            | e. Accountability for adherence to the code.  |  |                 |                    |               |        |           |       |              |
| 14.11      | If the response to 14.1 is no, please explain:  |  |                 |                    |               |        |           |       |              |
| 14.2       | Has the code of ethics for senior managers been amended   | 1?   |                 |                    |               | Yes [  | ]         | No    | [ X ]        |
|            | If the response to 14.2 is ves, provide information related t   |  |                 |                    |               |        |           |       |              |

14.3 Have any provisions of the code of ethics been waived for any of the specified officers?

14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).

# **GENERAL INTERROGATORIES**

| <u> </u>                       | 1   | 2                                     |                                   | 3   | 4            | _ | ٦    |
|--------------------------------|---|---------------------------------------|-----------------------------------|---|--------------|---|------|
|                                | American<br>Bankers<br>Association                    | January of Carlinghay                 |                                   | ·   |              |   |      |
|                                | (ABA) Routing<br>Number                               | Issuing or Confirming<br>Bank Name    | Circumstance                      | s That Can Trigger the Letter of Credit   | Amount       |   | _    |
|                                |   |                                       |                                   |   |              |   | -    |
| -4-11-11-14-14-14              |   |                                       |                                   |   |              |   | ]    |
|                                |   |                                       | DIRECTOR                          |   |              |   | اــ  |
| Is the purchase<br>thereof?    | or sale of all investments                            | of the reporting entity passed upor   | n either by the boa               | rd of directors or a subordinate committee  | ee<br>Yes [  | ] | N    |
| Does the reporti               | ng entity keep a complete                             | permanent record of the proceed       | ings of its board o               | f directors and all subordinate committee   | es<br>Yes [X | 1 | N    |
|                                |   |                                       |                                   | ees of any material interest or affiliation of slikely to conflict with the official duties |              | ] | N    |
|                                |   | FINANCIA                              | L                                 |   |              |   |      |
| Has this stateme               | nt been prepared using a b<br>iples)?                 | asis of accounting other than Statu   | tory Accounting Pri               | nciples (e.g., Generally Accepted   | Yes (        | ] | N    |
| Total amount loa               | ned during the year (inclus                           | ve of Separate Accounts, exclusive    | of policy loans):                 | 20.11 To directors or other officers  | \$           |   |      |
|                                |   | •                                     |                                   | 20.12 To stockholders not officers  | \$           |   | •••• |
|                                |   |                                       |                                   | 20.13 Trustees, supreme or grand<br>(Fraternal only)  | \$           |   |      |
| Total amount of policy loans): | oans outstanding at the en                            | d of year (inclusive of Separate Acc  | counts, exclusive of              | 20.21 To directors or other officers  | \$           |   |      |
| policy lourity.                |   |                                       |                                   | 20.22 To stockholders not officers  | \$           |   |      |
|                                |   |                                       |                                   | 20.23 Trustees, supreme or grand (Fraternal only)   | \$           |   |      |
|                                | reported in this statement reported in the statement? | subject to a contractual obligation t | o transfer to anothe              | er party without the liability for such   | Yes [        | ] | N    |
|                                | amount thereof at December                            | r 31 of the current year:             | 21,21 Rented fr                   | rom others  | \$           |   |      |
|                                |   |                                       | 21.22 Borrowed                    |   | \$           |   |      |
|                                |   |                                       | 21.23 Leased fr                   | rom others  | \$           |   |      |
|                                | ent include payments for a<br>ation assessments?      | ssessments as described in the An     | 21.24 Other<br>nual Statement Ins | tructions other than guaranty fund or   | \$Yes [      |   |      |
| If answer is yes:              |   |                                       |                                   | paid as losses or risk adjustment   | \$           |   |      |
|                                |   |                                       |                                   | paid as expenses  | \$           |   |      |
| Does the reports               | ng entity report any amount                           | s due from parent, subsidiaries or a  | 22.23 Other ar                    | •   | \$Yes [      |   |      |
|                                |   | parent included in the Page 2 am      |                                   | or the statement  | \$           |   |      |
| •                              | utilize third parties to pay a                        | igent commissions in which the am     |                                   | the third parties are not settled in  | Yes [        |   |      |
| If the response to             | c 24.1 is yes, identify the th                        | ird-party that pays the agents and t  | whether they are a                | related party.  |              |   |      |
|                                |   | 1<br>ime of Third-Party               | T                                 | 2<br>Party Agent a Related Party (Yes/No)   |              |   |      |

25.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 25.03)

Yes [ X ] No [ ]

# **GENERAL INTERROGATORIES**

| 25.02   | If no, give full and com   | plete information, relating ti   | hereto   |  |  |                                    |         |  |   |  |        |
|---|--|--|--|--|--|------------------------------------|---------|--|---|--|--------|
| 25.03   |  |  |  |  | g value for collateral and amount of loaned securities,<br>se Note 17 where this information is also provided)   | and                                |         |  |   |  |        |
| 25.04   | For the reporting entity<br>Capital Instructions.  | s securities lending progra  | am, report amount o  | of collateral  | for conforming programs as outlined in the Risk-Based  | š                                  |         |  |   |  |        |
| 25.05   | •  | 's securities lending progra   | m report amount of   | f collateral f   | or other programs.   | \$                                 |         |  |   |  |        |
|   |  | -, -   |  |  | 105% (foreign securities) from the counterparty at the   |                                    |         |  |   | NA [ )                                   | 1      |
| 25.07   |  | ity non-admit when the colla   | storal renaised from   | the countr   | roody falls helpy 100% 2   | Yes [                              |         | No [   |   | NA ( )                                   |        |
|   |  | -  |  |  |  | 103 [                              | 1       | 110 [  | 1                                       |  |        |
|   | conduct securities lend  |  | securilles lending ag  | jeni ullize i  | he Master Securities Lending Agreement (MSLA) to   | Yes [                              | ]       | No [   | ]                                       | NA [ )                                   |        |
|   |  | =  | m, state the amount  | t of the follo   | owing as of December 31 of the current year:   |                                    |         |  |   |  |        |
|   |  | I fair value of reinvested co  |  |  |  | \$.                                |         |  |   |  |        |
|   |  |  |  |  | ets reported on Schedule DL, Parts 1 and 2   | \$                                 |         |  |   | ,  |        |
|   |  | I payable for securities lend  |  |  |  | \$.                                |         |  |   |  |        |
| 26.1  | Were any of the stock  | ks, bonds or other assets  | of the reporting ent<br>entity sold or transf  | ity owned a  | at December 31 of the current year not exclusively und assets subject to a put option contract that is currently in the  | er the                             |         |  |   | No [                                     |        |
| 26.2  | •  | t thereof at December 31 o   |  |  |  |                                    |         | •  | •                                       | •  |        |
|   | ,,   |  | .21 Subject to repu  | ırchase ann  | eements  | \$                                 |         |  |   |  |        |
|   |  |  | .22 Subject to reve  | _  |  |                                    |         |  |   |  |        |
|   |  |  | .23 Subject to dolla   |  |  |                                    |         |  |   |  |        |
|   |  |  | -  |  | =  |                                    |         |  |   |  |        |
|   |  |  | .25 Placed under o   |  | epurchase agreements   |                                    |         |  |   |  |        |
|   |  |  |  |  |  |                                    |         |  |   |  |        |
|   |  |  |  |  | estricted as to sale – excluding FHLB Capital Stock  |                                    |         |  |   |  |        |
|   |  |  | .27 FHLB Capital S   |  |  | •                                  |         |  |   |  |        |
|   |  |  | .28 On deposit with  |  |  |                                    |         |  |   |  |        |
|   |  |  | .29 On deposit with  | -  |  |                                    |         |  |   |  |        |
|   |  |  | -  |  | cluding collateral pledged to an FHLB  | <b>3</b> .                         |         |  |   |  |        |
|   |  |  | ū  | lateral to FI  | HLB – including assets backing funding agreements  | <b>3</b>                           |         |  |   |  |        |
|   |  | 26   |  |  |  | •                                  |         |  |   |  |        |
|   |  |  | 3.32 Other   |  |  | \$                                 |         |  |   |  | ***    |
| 26.3  | For category (26.26) p   |  | 5,32 Other   |  |  | \$                                 |         |  |   |  | ***    |
| 26.3  | For category (26.26) p   |  | .32 Other  | · · · · · ·  | 2<br>Description   | \$                                 |         | 3<br>nount   |   | ]  | ***    |
|   |  | rovide the following:  1 Nature of Restriction   |  |  | Description  | \$                                 | Am      | 3<br>nount   |   |  |        |
| 27.1  | Does the reporting ent   | rovide the following:  1 Nature of Restriction  ity have any hedging transa  | actions reported on  |  | Description  | \$<br>Yes [                        | Am<br>Y | 3  | 1                                       | No [ :                                   | K      |
| 27.1<br>27.2<br>LINES                         | Does the reporting ent<br>If yes, has a comprehe<br>If no, attach a descript<br>27.3 through 27.5: FOR   | novide the following:  1 Nature of Restriction  ity have any hedging transative description of the helion with this statement.  R LIFE/FRATERNAL REPC  | actions reported on a  | n made avai  | Description  B?  | \$<br>Yes [                        | Am<br>Y | 3<br>nount   | ] N                                     | No [ :                                   | X<br>X |
| 27.1<br>27.2<br>LINES<br>27.3                 | Does the reporting ent<br>if yes, has a comprehe<br>if no, attach a descript<br>27.3 through 27.5: FOI<br>Does the reporting ent<br>rate sensitivity?  | novide the following:  1 Nature of Restriction  ity have any hedging transative description of the helion with this statement.  R LIFE/FRATERNAL REPC  | actions reported on a<br>dging program been<br>DRTING ENTITIES of<br>ge variable annuity   | n made avai  | Description  B?  ilable to the domiciliary state?  | Yes [                              | Am<br>Y | 3<br>nount<br>/es [<br>No [<br>Yes [                                 | ] N                                     | No [ :                                   | X<br>X |
| 27.1<br>27.2<br>LINES<br>27.3                 | Does the reporting ent<br>if yes, has a comprehe<br>if no, attach a descript<br>27.3 through 27.5: FOI<br>Does the reporting ent<br>rate sensitivity?  | Nature of Restriction  Auture of Restriction  ity have any hedging transaction of the heion with this statement.  R LIFE/FRATERNAL REPO  | actions reported on a dging program beer DRTING ENTITIES (ge variable annuity entity utilize:  | n made avai<br>ONLY:<br>guarantees   | Description  B?  ilable to the domiciliary state?  | Yes [                              | Am<br>Y | 3<br>nount<br>/es [<br>No [<br>Yes [                                 | ] N                                     | No [ :                                   | X<br>X |
| 27.1<br>27.2<br>LINES<br>27.3                 | Does the reporting ent<br>if yes, has a comprehe<br>if no, attach a descript<br>27.3 through 27.5: FOI<br>Does the reporting ent<br>rate sensitivity?  | Nature of Restriction  Auture of Restriction  ity have any hedging transite description of the heion with this statement.  R LIFE/FRATERNAL REPORT ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HE ITY AUTURE TO HER ITY AUTURE TO HE ITY AUTURE TO HER ITY AUTURE TO HE ITY AUTURE TO HE ITY AUTURE TO HER ITY AUTURE TO HE ITY AUTURE TO HE ITY AUTURE TO HE ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HER ITY AUTURE TO HE ITY AUTURE TO HER ITY AUTURE TO HE  | actions reported on a dging program beer DRTING ENTITIES (ge variable annuity entity utilize:  | n made avai<br>ONLY:<br>guarantees<br>nting provis   | Description  BP?  ilable to the domiciliary state?  subject to fluctuations as a result of interest  ion of SSAP No. 108   | Yes [                              | Am<br>Y | 3<br>nount<br>Yes [<br>Yes [<br>Yes [<br>Yes [                       | ]<br>N [ ]<br>[ ] [ ]                   | No [ ;                                   | X X    |
| 27.1<br>27.2<br>LINES<br>27.3                 | Does the reporting ent<br>if yes, has a comprehe<br>if no, attach a descript<br>27.3 through 27.5: FOI<br>Does the reporting ent<br>rate sensitivity?  | Nature of Restriction  Auture of Restriction  ity have any hedging transite description of the heion with this statement.  R LIFE/FRATERNAL REPORT ity utilize derivatives to hed its YES, does the reporting  | actions reported on a dging program beer DRTING ENTITIES ( ge variable annuity entity utilize: '.41 Special accour   | n made avail<br>ONLY:<br>guarantees<br>inting provis   | Description  BP?  ilable to the domiciliary state?  subject to fluctuations as a result of interest  ion of SSAP No. 108  ctice  | Yes [                              | Am<br>Y | 3<br>nount<br>/es [<br>No [<br>Yes [                                 | ]<br>N [ ]<br>[ ] [ ]                   | No [ ;                                   | X X    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3   | novide the following:  1 Nature of Restriction  ity have any hedging transe ensive description of the heion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed to see the reporting 27 27 27   | actions reported on a dging program been or TING ENTITIES of the control of the c | n made avail<br>ONLY:<br>guarantees<br>nting provis<br>punting prac-<br>ing guidance   | Description  BP?  ilable to the domiciliary state?  subject to fluctuations as a result of interest  ion of SSAP No. 108  ctice  | \$<br>Yes [                        | Am<br>Y | 3<br>nount<br>Yes [<br>Yes [<br>Yes [<br>Yes [                       | ]<br>N [ ]<br>[ ]                       | No [ ;                                   | X      |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  • The report  | Nature of Restriction  I Nature of Restriction  Ity have any hedging transa- sinsive description of the he- ion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed Lis YES, does the reporting 27 27 27.41 regarding utilizing th  | actions reported on a dging program beer of the program beer of the program beer of the program  | n made availing made availing provisional guidance grovisions are domicilial   | Description  187  Itable to the domiciliary state?  Itable to the domiciliary state?  Itable to fluctuations as a result of interest state of interest state of interest state of interest state of interest state of SSAP No. 108  Italian interest state of SSAP No. 108, the reporting entity attests to by state.  | \$<br>Yes [                        | Am<br>Y | 3<br>nount<br>/es [<br>No [<br>Yes [<br>Yes [<br>Yes [               | ]<br>N [ ]<br>[ ]                       | No [ : : : : : : : : : : : : : : : : : : | X      |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  • The report  | Nature of Restriction  I Nature of Restriction  Ity have any hedging transa- sinsive description of the he- ion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed Lis YES, does the reporting 27 27 27.41 regarding utilizing th  | actions reported on a dging program beer of the program beer of the program beer of the program  | n made availing made availing provisional guidance grovisions are domicilial   | Description  187  ifable to the domiciliary state?  subject to fluctuations as a result of interest tion of SSAP No. 108 ctice s of SSAP No. 108, the reporting entity attests to  | Yes [                              | Am<br>Y | 3<br>nount<br>/es [<br>No [<br>Yes [<br>Yes [<br>Yes [               | ]<br>N [ ]<br>[ ]                       | No [ : : : : : : : : : : : : : : : : : : | X      |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging st Actuarial of 21 reserver  | Nature of Restriction  Auture of Restriction  | actions reported on a dging program beer on a dging program beer on the program beer on the program beer on the program of the program of the program of the program of the provided on the pr | n made avail<br>ONLY:<br>guarantees<br>nting provision<br>punting prading guidanc<br>g provisions<br>ne domiciliations is cons<br>that the heagy within the  | Description  BB?  ifable to the domiciliary state?  is subject to fluctuations as a result of interest  ion of SSAP No. 108 ctice  is of SSAP No. 108, the reporting entity attests to  ry state.  isistent with the requirements of VM-21.  adding strategy is incorporated within the establishment  re Actuarial Guideline Conditional Tail Expectation Amount  | of VM-                             | Am<br>Y | 3<br>nount<br>/es [<br>No [<br>Yes [<br>Yes [<br>Yes [               | ]<br>N [ ]<br>[ ]                       | No [ : : : : : : : : : : : : : : : : : : | x x    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging si Actuarial of 21 reserve Financial ( Hedging si  | Nature of Restriction  I Nature of Restriction  Ity have any hedging transa- sensive description of the he- ion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed Lis YES, does the reporting 27 27 27.41 regarding utilizing the ing entity has obtained explitrategy subject to the specia- ier tification has been obtain officer Certification has bee  | actions reported on a dging program beer operating ENTITIES of the program beer operating account of the program of the program of the program of the provided accounting the provided accounting provisioned which indicates of the hedging strate on obtained which in the the Clearly Defined the program of th | n made avail<br>ONLY:<br>guarantees<br>nting provis<br>bounting practing guidance<br>g provisions<br>are domicilial<br>ions is cons<br>that the he<br>agy within the   | Description  BP?  Idable to the domiciliary state?  Is subject to fluctuations as a result of interest ion of SSAP No. 108  Interest ion of SSAP No. 108  Interest ion of SSAP No. 108, the reporting entity attests to interest ion of SSAP No. 108, the reporting entity attests to interest interest interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion interest ion ion interest ion ion interest ion ion ion ion ion ion ion ion ion ion   | of VM-<br>int.                     | Am<br>Y | 3<br>nount<br>/es [<br>No [<br>Yes [<br>Yes [<br>Yes [               | ]<br>N [ ]<br>[ ]                       | No [ : : : : : : : : : : : : : : : : : : | x x    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging si Actuarial of 21 reserve Financial ( Hedging Si in its actuarial of  | Nature of Restriction  1 Nature of Restriction  ity have any hedging transansive description of the heion with this statement.  2 LIFE/FRATERNAL REPC  ity utilize derivatives to hed  is YES, does the reporting  27  27  27.41 regarding utilizing the sing entity has obtained explirategy subject to the special existing the sing entity has been obtained to the special existing the single provides the impact of the special existing the single provides the impact of the special existing the single provides the impact of the special existing the single provides the impact of the special existing the single provides the impact of the special existing the single provides the single prov | actions reported on a dging program been operating ENTITIES of the program been operating and the program of the program of the program of the program of the provision of the hedging strate on obtained which in the Clearly Define of the hedging strate on obtained which in the the Clearly Define of the hedging strate on obtained which in the the Clearly Define of the hedging strate on obtained which in the Clearly Define of the hedging strate on obtained which in the Clearly Define of the hedging strate on obtained which in the Clearly Define of the hedging strate on obtained which in the Clearly Define of the hedging strate of the hedging strate on obtained which in the Clearly Define of the hedging strate of the hed | n made available.  ONLY: guarantees  nuting provision guidanc g provisions he domicilial ions is cons that the heagy within the dicates tha hed Hedgin   | Description  BP?  ilable to the domiciliary state?  subject to fluctuations as a result of interest  ion of SSAP No. 108  ctice  se s of SSAP No. 108, the reporting entity attests to  ry state.  sistent with the requirements of VM-21.  adging strategy is incorporated within the establishment te Actuarial Guideline Conditional Tail Expectation Amou t the hedging strategy meets the definition of a Clearly C   | of VM-<br>int.<br>Defined<br>mpany | Ann Y   | 3<br>nount<br>/es [<br>No [<br>Yes [<br>Yes [<br>Yes [               | ] N [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] | No [ : : : : : : : : : : : : : : : : : : | X X    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging si Actuarial of 21 reserve Financial of Hedging si in its actus Were any preferred st the issuer, convertible If yes, state the amount   | Nature of Restriction  1 Nature of Restriction  ity have any hedging transa- sensive description of the he- ion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to had is YES, does the reporting  27  27  27  27.41 regarding utilizing the ing entity has obtained expli- trategy subject to the specia- sertification has been obtains and provides the impact of officer Certification has been trategy within VM-21 and to it day-to-day risk mitigation odes or bonds owned as of into equity?  In thereof at December 31 of   | actions reported on a dging program beer of the control of the country and the | n made available.  ONLY: guarantees  nting provision guarantee ing guidanc g provisions ne domicilia ions is cons that the he gy within the dicates tha ned Hedgin e current ye  | Description  BP?  ilable to the domiciliary state?  subject to fluctuations as a result of interest  ion of SSAP No. 108  ctice  se of SSAP No. 108, the reporting entity attests to  ry state.  sistent with the requirements of VM-21.  adging strategy is incorporated within the establishment the Actuarial Guideline Conditional Tail Expectation Amou to the hedging strategy meets the definition of a Clearly Dig Strategy is the hedging strategy being used by the col ar mandatorily convertible into equity, or, at the option of   | of VM-<br>int.<br>Defined<br>mpany | Am Y    | 3<br>mount<br>Yes [<br>Yes [<br>Yes [<br>Yes [                       | ] N (                                   | No [ No ] No ] No ] No ] No ]            | X X    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4         | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging si Actuarial of 21 reserve Financial ( Hedging si in its actual Were any preferred st the issuer, convertible If yes, state the amoun Excluding items in Sct entity's offices, vauldia   | Nature of Restriction  1 Nature of Restriction  ity have any hedging transate in sive description of the heion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed  is YES, does the reporting  27  27  27.41 regarding utilizing the ingentity has obtained explorately subject to the special certification has been obtains and provides the impact of Difficer Certification has been trategy within VM-21 and it all day-to-day risk mitigation ocks or bonds owned as of into equity?  In thereof at December 31 of the dule E – Part 3 – Special or safety deposit boxes, we large result in acualifier.   | actions reported on a dging program beer of the country of the cou | n made available in made available in made available in made available in made available in made available in made available in made available in made available in made available in more available in made available in more available in more available in more available in more available in made available in more avail | Description  Descr | of VM-<br>int.<br>Defined<br>mpany | Am<br>Y | 3 nount  Yes [ Yes [ Yes [ Yes [ Yes [ Yes [                         | ] N [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] | No [ : : : : : : : : : : : : : : : : : : | X X    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4<br>27.5 | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging Si Actuarial of 21 reserve Financial of Hedging Si in its actus Were any preferred st the issuer, convertible If yes, state the amout Excluding items in Sct entity's offices, vaults pursuant to a custodia Considerations, F. Ou Handbook? | Nature of Restriction  1 Nature of Restriction  ity have any hedging transa- sensive description of the he- ion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed is YES, does the reporting  27  27  27.41 regarding utilizing the sing entity has obtained expl trategy subject to the special sertification has been obtain ertification has been obtain at day-to-day risk mitigation ocks or bonds owned as of into equity?  In thereof at December 31 of hedule E – Part 3 – Special or safety deposit boxes, we il agreement with a qualifier tsourcing of Critical Function   | actions reported on a dging program beer of the country of the cou | n made available in made avail | Description  BP?  ilable to the domiciliary state?  is subject to fluctuations as a result of interest because of the stat | of VM-<br>int.<br>Defined<br>mpany | Am<br>Y | 3 nount  Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ | ] N [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] | No [ : : : : : : : : : : : : : : : : : : | X X    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4<br>27.5 | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging Si Actuarial of 21 reserve Financial of Hedging Si in its actus Were any preferred st the issuer, convertible If yes, state the amout Excluding items in Sct entity's offices, vaults pursuant to a custodia Considerations, F. Ou Handbook? | Nature of Restriction  I Nature of Restriction  Ity have any hedging transa- sensive description of the he- ion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed is YES, does the reporting  27  27  27  27  27  27  27  27  27  2   | actions reported on a dging program been of the country and the clearly Defined which indicates on obtained which in hat the Clearly Define efforts.  December 31 of the of the current year.  Deposits, real estat reall stocks, bonds it bank or trust compans, Custodial or Sat as of the NAIC Finant   | n made available in made avail | Description  BP?  ilable to the domiciliary state?  subject to fluctuations as a result of interest lion of SSAP No. 108  ctice  se of SSAP No. 108, the reporting entity attests to ry state.  sistent with the requirements of VM-21.  adding strategy is incorporated within the establishment he Actuarial Guideline Conditional Tail Expectation Amou tithe hedging strategy meets the definition of a Clearly Dig Strategy is the hedging strategy being used by the color ar mandatorily convertible into equity, or, at the option of le loans and investments held physically in the reporting securities, owned throughout the current year held ordance with Section 1, III – General Examination igreements of the NAIC Financial Condition Examiners ion Examiners Handbook, complete the following:  | of VM-<br>int.<br>Defined<br>mpany | Am<br>Y | 3 nount  Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ | ] N [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] | No [ : : : : : : : : : : : : : : : : : : | x x    |
| 27.1<br>27.2<br>LINES<br>27.3<br>27.4<br>27.5 | Does the reporting ent If yes, has a comprehe If no, attach a descript 27.3 through 27.5: FOI Does the reporting ent rate sensitivity? If the response to 27.3  By responding YES to the following:  The report Hedging Si Actuarial of 21 reserve Financial of Hedging Si in its actus Were any preferred st the issuer, convertible If yes, state the amout Excluding items in Sct entity's offices, vaults pursuant to a custodia Considerations, F. Ou Handbook? | Nature of Restriction  1 Nature of Restriction  ity have any hedging transa- sensive description of the he- ion with this statement.  R LIFE/FRATERNAL REPC ity utilize derivatives to hed is YES, does the reporting  27  27  27  27.41 regarding utilizing the ing entity has obtained expli- trategy subject to the specia- ier tification has been obtain ier tification has been obtained to be in the sensition of the s | actions reported on a dging program beer of the country of the cou | n made available in made avail | Description  BB?  ifable to the domiciliary state?  is subject to fluctuations as a result of interest because of SSAP No. 108  ctice  is of SSAP No. 108, the reporting entity attests to be so f SSAP No. 108, the reporting entity attests to be so f SSAP No. 108, the reporting entity attests to be sistent with the requirements of VM-21.  adding strategy is incorporated within the establishment be Actuarial Guideline Conditional Tail Expectation Amount the hedging strategy meets the definition of a Clearly Dig Strategy is the hedging strategy being used by the colar mandatorily convertible into equity, or, at the option of a clearly Dig Strategy is the hedging strategy being used by the colar mandatorily convertible into equity, or, at the option of a clearly being used by the colar mandatorily convertible into equity, or, at the option of a clearly Diggreements of the NAIC Financial Condition Examiners ion Examiners Handbook, complete the following:   | of VM-<br>int.<br>Defined<br>mpany | Am<br>Y | 3 nount  Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ Yes [ | ] N [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] | No [ : : : : : : : : : : : : : : : : : : | x x    |

### **GENERAL INTERROGATORIES**

| 29.02 | For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the n | name, |
|-------|---|-------|
|       | ocation and a complete explanation:   |       |

| 1                                      | 2           | 3                       |
|--|-------------|-------------------------|
| Name(s)                                | Location(s) | Complete Explanation(s) |
| ······································ |             |                         |

29.03 Have there been any changes, including name changes, in the custodian(s) identified in 29.01 during the current year? 29.04 If yes, give full and complete information relating thereto:

Yes [ ] No [ X ]

|         |         | 2             | 3                 | 4      |
|---------|---------|---------------|-------------------|--------|
| Old Cu: | stodian | New Custodian | Date of<br>Change | Reason |
|         |         |               |                   |        |

29.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1<br>Name of Firm or Individual | 2<br>Affiliation |
|---------------------------------|------------------|
| Bank lowa                       | U                |
| Brad Ludwig                     | U                |
| Brad Ludwig                     | U                |
| Brian Ernst                     | 1                |
|                                 |                  |

29.0597 For those firms/individuals listed in the table for Question 29.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes [ X ] No [ ]

29.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 29.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes [ X ] No [ ]

29.06 For those firms or individuals listed in the table for 29.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1<br>Central Registration<br>Depository Number | 2<br>Name of Firm or<br>Individual | 3<br>Legal Entity<br>Identifier (LEI) | 4 Registered With | 5<br>Investment Management<br>Agreement (IMA) Filed |
|--|------------------------------------|---------------------------------------|-------------------|---|
| 1849799  | Tim Dawson                         |                                       | YES               | NO  |
|  | Bank lowa                          |                                       | NO                | NO.   |
| 4560382  | Brad Ludwig                        |                                       | YES               | NO  |
|  |                                    | -                                     |                   |   |

- 30.1 Does the reporting entity have any diversified mutual funds reported in Schedule D Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])?

Yes [ ] No [ X ]

30.2 If yes, complete the following schedule:

| 1<br>CUSIP#   | 2<br>Name of Mutual Fund | 3<br>Book/Adjusted Carrying Value |  |  |  |  |  |
|---------------|--------------------------|-----------------------------------|--|--|--|--|--|
|               |                          |                                   |  |  |  |  |  |
|               |                          |                                   |  |  |  |  |  |
| 30.2999 TOTAL |                          |                                   |  |  |  |  |  |

30.3 For each mutual fund listed in the table above, complete the following schedule:

| 1<br>Name of Mutual Fund<br>(from above table) | 2<br>Name of Significant Holding<br>of the Mutual Fund | 3<br>Amount of Mutual Fund's<br>Book/Adjusted Carrying Value<br>Attributable to the Holding | 4  Date of Valuation |
|--|--|---|----------------------|
|  |  |   |                      |

## **GENERAL INTERROGATORIES**

31. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

|      | in value for fair value. | 1                    | 2          | 3                    |
|------|--------------------------|----------------------|------------|----------------------|
|      |                          |                      |            | Excess of Statement  |
|      |                          |                      |            | over Fair Value (-), |
| 1    |                          | Statement (Admitted) |            | or Fair Value        |
|      |                          | Value                | Fair Value | over Statement (+)   |
| 31.1 | Bonds                    | 12,988,470           | 13,796,868 | 808,398              |
| 31.2 | Preferred Stocks         |                      |            |                      |
| 31.3 | Totals                   | 13,076,700           | 13,885,098 | 808,398              |

|      |   |  | value  | raii value  | Over Statement (+)                  |       |       |     |      |   |
|------|---|--|--|---|-------------------------------------|-------|-------|-----|------|---|
|      | 31.1  | Bonds  | 12,988,470   | 13,796,868  | 808,398                             |       |       |     |      |   |
|      | 31.2  | Preferred Stocks   |  | 88 ,230   |                                     |       |       |     |      |   |
|      | 31.3  | Totals   | 13,076,700   | 13,885,098  | 808,398                             |       |       |     |      |   |
| 31.4 | Describe  | e the sources or methods utilize   | zed in determining the fair values:  |   |                                     |       |       |     |      |   |
|      | Third Pa  | arty Custodian   |  |   |                                     |       |       |     |      |   |
| 32.1 | Was the   | rate used to calculate fair val  | ue determined by a broker or custoo  | tian for any of the securities in Sch   | edule D?                            | Yes [ | [ X ] | No  | [    | ] |
| 32.2 |   | swer to 32.1 is yes, does the okers or custodians used as  | reporting entity have a copy of the a pricing source?  | broker's or custodian's pricing poli  | cy (hard copy or electronic copy)   | Yes ( | [ X ] | No  | ĺ    | 1 |
| 32.3 | 2.3 If the answer to 32.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D: |  |  |   |                                     |       |       |     |      |   |
| 33.1 | Have all  | the filing requirements of the   | Purposes and Procedures Manual of  | of the NAIC Investment Analysis O   | ffice been followed?                | Yes { | [ X ] | No  | l    | 1 |
| 33.2 | If no, list   | t exceptions:  |  |   |                                     |       |       |     |      |   |
| 34.  | a.Do<br>FE<br>b.iss<br>c.The  | cumentation necessary to per<br>or PL security is not available<br>uer or obligor is current on all  | contracted interest and principal pay<br>ation of ultimate payment of all cont   | ity does not exist or an NAIC CRP   |                                     | Yes   | 1     | ) N | ] 0  | x |
| 35.  | a. Th<br>b. Th<br>c. Th<br>wh<br>reg<br>d. Th   | ne security was purchased printer reporting entity is holding case NAIC Designation was derivation is shown on a current printer is shown on a current print | apital commensurate with the NAIC I<br>yed from the credit rating assigned be<br>rate letter rating held by the insurer a<br>tted to share this credit rating of the | Designation reported for the security an NAIC CRP in its legal capacity and available for examination by st | ty.<br>y as an NRSRO                | Yes   | ĺ     | ] N | lo [ | х |
| 36.  | designa<br>a. Th<br>b. Th<br>c. Th<br>to<br>d. Th<br>e. Th  | ted FE fund:<br>ne shares were purchased price<br>ne reporting entity is holding content<br>ne security had a public credit<br>o January 1, 2019.<br>ne fund only or predominantly   | apital commensurate with the NAIC l<br>rating(s) with annual surveillance as<br>holds bonds in its portfolio.<br>gnation was derived from the public                 | Designation reported for the securing signed by an NAIC CRP in its legal                                    | ty.<br>I capacity as an NRSRO prior |       |       |     |      |   |

- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

  Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?
- 37. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:

  a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
  b. If the investment is with a nonrelated party or nonaffiliated then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
  c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
  d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 37.a -37.c are reported as long-term investments.

  Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria?

Yes [ X ] No [ ] NA [ ]

Yes [ ] No [ X ]

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# ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

# **GENERAL INTERROGATORIES**

#### OTHER

| Name  | 2<br>Amount Paid          |
|---|---------------------------|
| NAM I C   | \$6,340                   |
| MIAI  | \$650                     |
| ents for legal expenses, if any?  |                           |
| the firm and the amount paid if any such payment represented 25% or more of the total paymen<br>id by this statement.   | ts for legal expenses dur |
| 1<br>Name   | 2<br>Amount Paid          |
| Bradshaw & Fowler   | \$24,534                  |
|   |                           |
| ents for expenditures in connection with matters before legislative bodies, officers, or departmen  |                           |
| ents for expenditures in connection with matters before legislative bodies, officers, or departmen<br>the firm and the amount paid if any such payment represented 25% or more of the total paymen<br>re legislative bodies, officers, or departments of government during the period covered by this s | expenditures in connect   |

# GENERAL INTERROGATORIES

| 1.1 | Does the reporting entity have any direct Medicare Supp         |                                |             |                            |        |                 | res     | . 1    | NO [ X ]    |
|-----|---|--------------------------------|-------------|----------------------------|--------|-----------------|---------|--------|-------------|
|     | If yes, indicate premium earned on U. S. business only.         |                                |             |                            |        |                 |         |        |             |
| .3  | What portion of Item (1.2) is not reported on the Medicar       | e Supplement Insurance E       | xperience   | Exhibit?                   |        | \$              |         |        |             |
|     | 1.31 Reason for excluding                                       |                                |             |                            |        |                 |         |        |             |
|     |   |                                |             |                            |        |                 |         |        |             |
| .4  | Indicate amount of earned premium attributable to Cana          |                                |             |                            |        |                 |         |        |             |
| .5  | Indicate total incurred claims on all Medicare Supplement       | it insurance.                  |             |                            |        | \$              |         |        | *********** |
| .6  | Individual policies:  |                                |             |                            |        |                 |         |        |             |
|     |   |                                |             | nt three years:            |        |                 |         |        |             |
|     |   |                                |             | I premium earned           |        |                 |         |        |             |
|     |   |                                |             | I incurred claims          |        |                 |         |        |             |
|     |   |                                |             | ber of covered lives       |        |                 |         |        |             |
|     |   | •                              |             | rior to most current three |        |                 |         |        |             |
|     |   |                                |             | I premium earned           |        |                 |         |        |             |
|     |   |                                |             | I incurred claims          |        |                 |         |        |             |
|     |   |                                | 1.66 Nun    | nber of covered lives      |        |                 |         |        |             |
| .7  | Group policies:   |                                |             |                            |        |                 |         |        |             |
|     |   |                                |             | ent three years:           |        |                 |         |        |             |
|     |   |                                |             | al premium earned          |        |                 |         |        |             |
|     |   |                                |             | il incurred claims         |        | *******         |         |        |             |
|     |   |                                |             | nber of covered lives      |        |                 |         |        |             |
|     |   |                                |             | rior to most current three | -      |                 |         |        |             |
|     |   |                                | 1.74 Tota   | al premium earned          |        |                 |         |        |             |
|     |   |                                |             | al incurred claims         |        |                 |         |        |             |
|     |   |                                | 1.76 Nun    | nber of covered lives      |        |                 |         |        |             |
| 2.  | Health Test:  | •                              |             | 1<br>Current Year          |        | 2<br>Prior Year |         |        |             |
|     | 2.1   | Premium Numerator              | \$          |                            | \$     |                 |         |        |             |
|     | 2.2   | Premium Denominator            | \$          | 5,103,022                  | \$     | 5,074,146       |         |        |             |
|     | 2.3   | Premium Ratio (2.1/2.2)        |             |                            |        |                 |         |        |             |
|     | 2.4   | Reserve Numerator              | \$          |                            | \$     |                 |         |        |             |
|     | 2.5   | Reserve Denominator            | \$          | 3,665,022                  | \$     | 3,340,562       |         |        |             |
|     | 2.6   | Reserve Ratio (2.4/2.5)        |             |                            |        |                 |         |        |             |
|     |   | •                              |             |                            |        |                 |         |        |             |
| 3.1 | Did the reporting entity issue participating policies during    |                                |             |                            |        |                 | Yes     | [ ]    | No [X       |
| 3.2 | If yes, provide the amount of premium written for participation | pating and/or no-participating | ng policies | during the calendar yea    | r:     | •               |         |        |             |
|     |   |                                |             | cipating policies          |        |                 |         |        |             |
|     |   |                                | 3.22 NON    | -participating policies    |        | φ               |         |        |             |
| 4.  | For Mutual reporting entities and Reciprocal Exchanges          | only:                          |             |                            |        |                 |         |        |             |
| 4.1 | Does the reporting entity issue assessable policies?            |                                |             |                            |        |                 |         |        | No [ X      |
| 4.2 | Does the reporting entity issue non-assessable policies'        | ?                              |             |                            |        |                 |         |        | No [        |
| 4.3 | If assessable policies are issued, what is the extent of the    | ne contingent liability of the | policyholo  | ers?                       | ****** |                 |         |        | 9           |
| 4.4 | Total amount of assessments paid or ordered to be paid          | I during the year on deposit   | t notes or  | contingent premiums        |        |                 |         |        | -,          |
| 5.  | For Reciprocal Exchanges Only:                                  |                                |             |                            |        |                 |         |        |             |
| 5.1 | Does the exchange appoint local agents?                         |                                |             |                            |        |                 | Yes     | [ ]    | No [ X      |
| 5.2 | If yes, is the commission paid:                                 |                                |             |                            |        |                 |         |        |             |
|     |   |                                |             | of Attorney's-in-fact com  |        |                 |         |        | N/A [X]     |
| 5.3 | What expenses of the Exchange are not paid out of the           |                                |             | direct expense of the ex   | change |                 | , [ ] , | 10 [ ] | N/A [X]     |
| 0.0 |   |                                |             |                            |        |                 |         |        | :           |
| 5.4 | Has any Attorney-in-fact compensation, contingent on for        | ulfillment of certain conditio | ns, been o  | leferred?                  |        |                 | Yes     | [ ]    | No [X       |
| 5.5 | If yes, give full information                                   |                                |             |                            |        |                 |         |        |             |
|     |   |                                |             |                            |        |                 |         |        |             |

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#### ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

# GENERAL INTERROGATORIES

| 6.1        | What provision has this reporting entity made to protect itself from an excessive loss in the event of a catastrophe under a workers' compensation contract issued without limit of loss:  N/A.  |            |     |     |       |         |
|------------|--|------------|-----|-----|-------|---------|
| 6.2        | Describe the method used to estimate this reporting entity's probable maximum insurance loss, and identify the type of insured exposures comprising that probable maximum loss, the locations of concentrations of those exposures and the external resources (such as consulting firms or computer software models), if any, used in the estimation process:  |            |     |     |       |         |
|            | The Association has obtained reinsurance from Grinnell Mutual Reinsurance Company.   |            |     |     |       |         |
| 6.3        | What provision has this reporting entity made (such as a catastrophic reinsurance program) to protect itself from an excessive loss arising from the types and concentrations of insured exposures comprising its probable maximum property insurance loss?  |            |     |     |       |         |
| 6.4        | Does the reporting entity carry catastrophe reinsurance protection for at least one reinstatement, in an amount sufficient to cover its estimated probable maximum loss attributable to a single loss event or occurrence?   | Yes        | [ X | j   | No [  | 1       |
| 6.5        | If no, describe any arrangements or mechanisms employed by the reporting entity to supplement its catastrophe reinsurance program or to hedge its exposure to unreinsured catastrophic loss  |            |     |     |       |         |
| 7.1        | Has the reporting entity reinsured any risk with any other entity under a quota share reinsurance contract that includes a provision that would limit the reinsurer's losses below the stated quota share percentage (e.g., a deductible, a loss ratio corridor, a loss cap, an aggregate limit or any similar provisions)?  | Yes        | 1   | ı   | No (  | X ]     |
| 7.2<br>7.3 | If yes, indicate the number of reinsurance contracts containing such provisions  | Vaa        |     |     | No I  | ······· |
| 8.1        | provision(s)?  |            |     |     |       | [ X ]   |
| 8.2        | If yes, give full information  |            | •   | •   |       | •       |
| 9.1        | Has the reporting entity ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates) for which during the period covered by the statement: (i) it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; (ii) it accounted for that contract as reinsurance and not as a deposit; and (iii) the contract(s) contain one or more of the following features or other features that would have similar results:   |            |     |     |       |         |
|            | <ul><li>(a) A contract term longer than two years and the contract is noncancellable by the reporting entity during the contract term;</li><li>(b) A limited or conditional cancellation provision under which cancellation triggers an obligation by the reporting entity, or an affiliate of the reporting entity, to enter into a new reinsurance contract with the reinsurer, or an affiliate of the reinsurer;</li></ul>  |            |     |     |       |         |
|            | (c) Aggregate stop loss reinsurance coverage; (d) A unilateral right by either party (or both parties) to commute the reinsurance contract, whether conditional or not, except for such provisions which are only triggered by a decline in the credit status of the other party;  |            |     |     |       |         |
|            | (e) A provision permitting reporting of losses, or payment of losses, less frequently than on a quarterly basis (unless there is no activity during the period); or  |            |     |     |       |         |
|            | (f) Payment schedule, accumulating retentions from multiple years or any features inherently designed to detay timing of the reimbursement to the ceding entity.   | Yes        | Į   | ]   | No [  | [ X ]   |
| 9.2        | Has the reporting entity during the period covered by the statement ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates), for which, during the period covered by the statement, it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; excluding cessions to approved pooling arrangements or to captive insurance companies that are directly or indirectly controlling, controlled by, or under common control with (f) one or more unaffiliated policyholders of the reporting entity, or (ii) an association of which one or more unaffiliated policyholders of the reporting entity is a member where: |            |     |     |       |         |
|            | (a) The written premium ceded to the reinsurer by the reporting entity or its affiliates represents fifty percent (50%) or more of the entire direct and assumed premium written by the reinsurer based on its most recently available financial statement; or   |            |     |     |       |         |
|            | (b) Twenty-five percent (25%) or more of the written premium ceded to the reinsurer has been retroceded back to the reporting entity or its affiliates in a separate reinsurance contract.   | Yes        | [   | ]   | No (  | ( X )   |
| 9.3        | If yes to 9.1 or 9.2, please provide the following information in the Reinsurance Summary Supplemental Filing for General Interrogatory 9:  (a) The aggregate financial statement impact gross of all such ceded reinsurance contracts on the balance sheet and statement of   |            |     |     |       |         |
|            | income; (b) A summary of the reinsurance contract terms and indicate whether it applies to the contracts meeting the criteria in 9.1 or 9.2; and (c) A brief discussion of management's principle objectives in entering into the reinsurance contract including the economic purpose to   |            |     |     |       |         |
| 9.4        | be achieved.   |            |     |     |       |         |
| •          | reporting entity ceded any risk under any reinsurance contract (or multiple contracts with the same reinsurer or its affiliates) during the period covered by the financial statement, and either:   |            |     |     |       |         |
|            | (a) Accounted for that contract as reinsurance (either prospective or retroactive) under statutory accounting principles ("SAP") and as a deposit under generally accepted accounting principles ("GAAP"); or  | V          |     | ,   | M- I  | r v 1   |
| 9.5        | (b) Accounted for that contract as reinsurance under GAAP and as a deposit under SAP?  If yes to 9.4, explain in the Reinsurance Summary Supplemental Filing for General Interrogatory 9 (Section D) why the contract(s) is  | res        | l   | 1   | NO    | [ X ]   |
| 9.6        | treated differently for GAAP and SAP.  The reporting entity is exempt from the Reinsurance Attestation Supplement under one or more of the following criteria:   | Ve-        | r   | ,   | Mo    | rvi     |
|            | (a) The entity does not utilize reinsurance; or, (b) The entity only engages in a 100% quota share contract with an affiliate and the affiliated or lead company has filed an attestation supplement; or   | Yes<br>Yes | 1   |     |       | [ X ]   |
|            | (c) The entity has no external cessions and only participates in an intercompany pool and the affiliated or lead company has filed an attestation supplement.  | Yes        | ſ   |     |       | [ X ]   |
| 10.        | If the reporting entity has assumed risks from another entity, there should be charged on account of such reinsurances a reserve equal to that which the original entity would have been required to charge had it retained the risks. Has this been done?   | Yes [      | ) N | ) [ | ] N// | A [X]   |

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## ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

# **GENERAL INTERROGATORIES**

| 11.1<br>11.2 | Has the reporting entity guaranteed p  |  |  |   |   | Yes   | [      | ]   | No | [ X |
|--------------|--|--|--|---|---|-------|--------|-----|----|-----|
| 12.1         | If the reporting entity recorded accrue the amount of corresponding liabilitie 12.11 Unpaid losses                     | ed retrospective premiums<br>s recorded for:   |  | Line 15.3 of the asset sche                                   | edule, Page 2, state                          |       |        |     |    |     |
|              | 12 12 Unnaid underwriting  | evnenses (including loss a   | diustment expenses)  |   | \$  |       |        |     |    |     |
| 12.3         | Of the amount on Line 15.3, Page 2, If the reporting entity underwrites con accepted from its insureds covering a      | nmercial insurance risks, s<br>Inpaid premiums and/or ur                                 | uch as workers' compensa<br>npaid losses?                      | tion, are premium notes or                                    | promisson/ notes                              |       |        |     |    |     |
| 12.4         | If yes, provide the range of interest re<br>12.41 From   | ites charged under such n  | otes during the period cove                                    | red by this statement:  |   |       |        |     |    | 9   |
|              | Are letters of credit or collateral and of promissory notes taken by a reporting losses under loss deductible features | other funds received from i<br>g entity, or to secure any o<br>s of commercial policies? | nsureds being utilized by the find the reporting entity's repo | ne reporting entity to secure<br>rted direct unpaid loss rese | e premium notes or<br>erves, including unpaid | Yes   |        |     |    |     |
| 12.6         | If yes, state the amount thereof at De<br>12.61 Letters of Credit<br>12.62 Collateral and other                        | -  |  |   | \$<br>\$                                      | ····· |        |     |    |     |
| 400          | Largest net aggregate amount insure<br>Does any reinsurance contract consi-<br>reinstatement provision?                | danad in the enlasteding of  | thic amount include on acc                                     | roanta limit of recovery with                                 | hout also including a                         | Vac   |        |     |    |     |
| 433          | reinstatement provision? State the number of reinsurance confacilities or facultative obligatory cont                  | tracte (evoludina individual   | tacultative risk certificates                                  | but including facultative b                                   | rodrams, automatic                            |       |        |     |    |     |
| 14.1<br>14.2 | Is the reporting entity a cedant in a m<br>if yes, please describe the method of                                       | fallocating and recording r  | einsurance among the ced                                       | ants:   |   | Yes   | [      | ]   | No | [ X |
| 14.3         | If the answer to 14.1 is yes, are the n  | nethods described in item  | 14.2 entirely contained in the                                 | ne respective multiple ceda                                   | nt reinsurance                                | Yes   | {      | ]   | No | ĺ   |
| 14.4<br>14.5 | If the answer to 14.3 is no, are all the If the answer to 14.4 is no, please ex  | plain:   |  |   |   | Yes   | ĺ      | ]   | No | ĺ   |
| 15.1         | Has the reporting entity guaranteed a  |  |  |   |   | Yes   | ĺ      | ]   | No | [ X |
| 15.2         | If yes, give full information  |  |  |   |   |       |        |     |    |     |
| 16.1         | Does the reporting entity write any w  | аrranty business?  |  |   |   | Yes   | [      | ]   | No | [ X |
|              | If yes, disclose the following informati   | tion for each of the followin  | g types of warranty covera                                     | ge:   |   |       |        |     |    |     |
|              |  | 1<br>Direct Losses<br>Incurred   | 2<br>Direct Losses<br>Unpaid                                   | 3<br>Direct Written<br>Premium                                | 4<br>Direct Premium<br>Unearned               |       | rect l | rne | ed |     |
| 16.11        | Home   | <b></b>  | \$   | \$  | \$  | \$    |        |     |    |     |
| 16.12        | Products   | <b></b>  | \$   | \$  | \$  | \$    |        |     |    |     |
| 16.13        | Automobile   | <b>5</b>   | \$   | \$  | \$  | \$    |        |     |    |     |
| 16.14        | Other*   | \$   | \$   | \$  | \$  | \$    |        |     |    |     |

<sup>\*</sup> Disclose type of coverage:

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### ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

# **GENERAL INTERROGATORIES**

| 17.1 | Does the reporting entity include amounts recoverable on unau provision for unauthorized reinsurance?                            | athorized reinsurance in Schedule F – Part 3 that is exempt from the statuto   | ry<br> | Yes [  | ĵ  | No  | [ X | ]   |
|------|--|--|--------|--------|----|-----|-----|-----|
|      | Incurred but not reported losses on contracts in force prior to provision for unauthorized reinsurance. Provide the following in | July 1, 1984, and not subsequently renewed are exempt from the statuto formation for this exemption:                             | ry     |        |    |     |     |     |
|      | 17.11  | Gross amount of unauthorized reinsurance in Schedule F - Part 3 exempt from the statutory provision for unauthorized reinsurance | \$     |        |    |     |     |     |
|      | 17.12  | Unfunded portion of Interrogatory 17.11  | \$     |        |    |     |     |     |
|      | 17.13  | Paid losses and loss adjustment expenses portion of Interrogatory 17.11  |        |        |    |     |     |     |
|      | 17.14  | Case reserves portion of Interrogatory 17.11   | \$     |        |    |     |     |     |
|      | 17.15  | Incurred but not reported portion of Interrogatory 17.11   | \$     | ······ |    |     |     |     |
|      | 17.16  | Unearned premium portion of Interrogatory 17.11  | \$     |        |    |     |     |     |
|      | 17.17  | Contingent commission portion of Interrogatory 17.11   | \$     |        |    |     |     |     |
| 18.1 | Do you act as a custodian for health savings accounts?   |  |        | Yes [  |    |     | ()  | []  |
| 18.2 | If yes, please provide the amount of custodial funds held as of  | the reporting date.  | \$     |        |    |     |     |     |
| 18.3 | Do you act as an administrator for health savings accounts?  |  |        | Yes [  | ]  | No  | ()  | []  |
| 18.4 | If yes, please provide the balance of the funds administered as  | of the reporting date.   | \$     |        | •  |     |     | ••• |
| 19.  | Is the reporting entity licensed or chartered, registered, qualifie  |  | Yes [  | }      | No | ( ) | ( ) |     |
|      | If no, does the reporting entity assume reinsurance business the reporting entity?   | of<br>   | Yes [  | ]      | No | ( ) | ( ) |     |

# FIVE-YEAR HISTORICAL DATA

|  | 1<br>2021   | 2<br>2020                               | 3<br>2019  | 4<br>2018                               | 5<br>2017  |
|--|-------------|---|------------|---|------------|
| Gross Premiums Written (Page 8, Part 1B, Cols. 1, 2 & 3)   |             |   |            |   |            |
| 1. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)         |             |   |            | 4 200 020                               | 4 400 007  |
| 2. Property lines (Lines 1, 2, 9, 12, 21 & 26)  3. Property and liability combined lines (Lines 3, 4, 5, |             | 7,017,148                               | 5,082,119  | 4,720,670                               | 4,439,807  |
| 4. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28,   |             |   |            |   |            |
| 29, 30 & 34)   |             |   |            |   |            |
| 33)  | 6 429 333   | 7 017 148                               | 5,082,119  | 4,720,670                               | 4,439,807  |
| Net Premiums Written (Page 8, Part 1B, Col. 6)   |             |   |            | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |            |
| 7. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)         |             |   |            |   | •          |
| 8. Property lines (Lines 1, 2, 9, 12, 21 & 26)   | 4,998,167   | 5,714,746                               | 4,007,491  | 3,589,596                               | 3,373,353  |
| 9. Property and liability combined lines<br>(Lines 3, 4, 5, 8, 22 & 27)                                  |             |   |            |   |            |
| 10 All other lines   |             | -                                       |            |   |            |
| (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)   |             |   |            |   |            |
| (Lines 31, 32 & 33)  | 4 000 167   | 5 714 74G                               | 4,007,491  | 3 580 506                               | 3,373,353  |
| Statement of Income (Page 4)   | 1           |   | 4,007,491  | 3,303,330                               |            |
| 13. Net underwriting gain (loss) (Line 8)  | 797,431     | (1, 189, 841)                           |            | 753,374                                 |            |
| 14. Net investment gain (loss) (Line 11)   | 4/0.49/ 1   | 783,505<br>56,075                       | 23.526     | 364,278                                 | 430 , 169  |
| 16. Dividends to policyholders (Line 17)   |             |   | 20,020     |   |            |
| 17. Federal and foreign income taxes incurred (Line 19)  |             | (151,441)                               | 24,680     | 132,556                                 | (130,385   |
| 18. Net income (Line 20)   | 1.178.040   | (198,820)                               |            |   | 69,175     |
| Salance Sheet Lines (Pages 2 and 3)  |             | , | ,          |   |            |
| 19. Total admitted assets excluding protected cell business (Page 2, Line 26, Col. 3)                    | 22 880 758  | 20.265.281                              | 16 109 822 | 14.355.358                              | 13 661 786 |
| 20 Premiume and considerations (Page 2 Col 3)  | 1           |   |            | , ,                                     |            |
| 20.1 In course of collection (Line 15.1)   | 155,965     | 132,076                                 |            |   | 32,110     |
| 20.2 Deferred and not yet due (Line 15.2)  |             |   |            | 491,577                                 | 561,496    |
| 21 Total liabilities evaluding protected cell  |             |   |            |   |            |
| business (Page 3, Line 26)   | 4,105,354   |   |            |   | 2,633,948  |
| 22. Losses (Page 3, Line 1)  | 4 500       |   |            |   | 188,000    |
| 24. Unearned premiums (Page 3, Line 9)   | 2,968,009   | 3,072,865                               |            |   | 2,104,570  |
| 25. Capital paid up (Page 3, Lines 30 & 31)  |             |   |            |   | 44 027 026 |
| 26. Surplus as regards policyholders (Page 3, Line 37)   | 18,784,404  | 16,407,956                              | 13,040,143 | 11,570,696                              | 11,027,838 |
| 27. Net cash from operations (Line 11)   | 1 ,293 ,389 | (11,208)                                | 681,097    | 1,280,270                               | (407,807   |
| Risk-Rased Capital Analysis  |             | ľ                                       |            |   |            |
| 28. Total adjusted capital   | 18,784,404  | 16,407,956                              | 13,040,143 | 11,570,696                              |            |
| 29. Authorized control level risk-based capital  | 132,174     | 550,554                                 | 477,000    | 3/1,700                                 |            |
| and Invested Assets  |             |   |            |   |            |
| (Page 2, Col. 3)(Item divided by Page 2, Line 12,  |             |   |            |   |            |
| Col. 3) x 100.0<br>30. Bonds (Line 1)  | 59.5        | 65.1                                    | 63.3       | 65.4                                    | 64 .8      |
| 31. Stocks (Lines 2.1 & 2.2)   | 36.7        | 29.4                                    | 32.3       | 29.2                                    | 30 .4      |
| 32. Mortgage loans on real estate (Lines 3.1 and 3.2)  |             |   | 2.7        | 3.2                                     | 3.         |
| 33. Real estate (Lines 4.1, 4.2 & 4.3)  34. Cash, cash equivalents and short-term investments            | ı           |   |            | 1                                       |            |
| (Line 5)   | 2.0         | 3.0                                     | 1.7        | 2.2                                     | 1.4        |
| 35. Contract loans (Line 6)  |             |   |            |   |            |
| 36. Derivatives (Line 7)   |             |   |            |   |            |
| 38. Receivables for securities (Line 9)  |             | 0.4                                     |            |   |            |
| 39. Securities lending reinvested collateral assets (Line 10)  |             |   |            |   |            |
| 40. Aggregate write-ins for invested assets (Line 11)  |             |   |            |   |            |
| 41. Cash, cash equivalents and invested assets (Line   | 100.0       | 100.0                                   | 100.0      | 100.0                                   | 100.0      |
| 12)  |             |   |            |   |            |
| 42. Affiliated bonds, (Sch. D, Summary, Line 12, Col. 1)   |             |   |            |   |            |
| 43. Affiliated preferred stocks<br>(Sch. D, Summary, Line 18, Col. 1)                                    |             |   |            |   |            |
| 44 Affiliated common stocks  |             | l l                                     |            |   |            |
| (Sch. D, Summary, Line 24, Col. 1)   | 122,408     | 125,055                                 |            |   |            |
| in Schedule DA Verification, Col. 5, Line 10)  |             |   |            |   | .,         |
| 46. Affiliated mortgage loans on real estate   |             |   |            |   |            |
| 47. All other affiliated   | 122,408     | 125,055                                 |            |   |            |
| 48. Total of above Lines 42 to 47  | 722,700     | .20,000                                 |            |   |            |
| above  |             |   |            |   |            |
| 50. Percentage of investments in parent, subsidiaries and affiliates to surplus as regards policyholders |             |   |            |   |            |
| (Line 48 above divided by Page 3, Col. 1, Line 37 x  |             | 0.8                                     |            |   |            |
| See Independent Accountant's Compilation Report  | 0.7         | 0.0                                     |            |   |            |

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## ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

# **FIVE-YEAR HISTORICAL DATA**

|           |  |             | ntinued)   |             |           |           |
|-----------|--|-------------|------------|-------------|-----------|-----------|
|           |  | 1<br>2021   | 2<br>2020  | 3<br>2019   | 4<br>2018 | 5<br>2017 |
| apital    | and Surplus Accounts (Page 4)  |             |            |             |           |           |
|           |  |             |            |             | (000,044) | 400.005   |
| 51.       | Net unrealized capital gains (losses) (Line 24)  | 1 ,150 ,620 | 504,773    | 1,077,351   |           | 493,985   |
|           | Dividends to stockholders (Line 35)  |             | l l        |             |           |           |
| 53.       | year (Line 38)   | 2,376,448   | 3,367,812  | 1 ,469 ,447 | 542,858   | 580 , 430 |
| iross l   | .osses Paid (Page 9, Part 2, Cols. 1 & 2)  |             |            |             |           |           |
|           | Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)                                      |             |            |             |           |           |
| 55.       | Property lines (Lines 1, 2, 9, 12, 21 & 26)  | 8,120,726   | 17,772,671 | 2,323,694   | 1,216,813 | 2,269,559 |
| 56.       | Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)  |             |            |             |           |           |
| <b>57</b> | All other lines  | ·           | I .        | 1           |           |           |
|           | (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)   |             |            |             |           |           |
|           | Nonproportional reinsurance lines<br>(Lines 31, 32 & 33)   |             |            |             |           |           |
| 59.       | Total (Line 35)  | 8,120,726   | 17,772,671 | 2,323,694   | 1,216,813 | 2,269,559 |
| let Los   | sses Paid (Page 9, Part 2, Col. 4)   |             |            |             |           |           |
|           | , , ,  |             |            |             |           |           |
|           | Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)                                      |             |            |             |           |           |
|           | Property lines (Lines 1, 2, 9, 12, 21 & 26)  | 1,887,824   | 4,281,770  | 2,318,272   | 1,216,813 | 2,269,559 |
| 62.       | Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)  |             |            |             |           | ,,        |
| 63.       | All other lines<br>(Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)  |             |            |             |           |           |
| 64.       | Nonproportional reinsurance lines  |             |            |             |           |           |
| 65        | (Lines 31, 32 & 33) Total (Line 35)  | 1 887 824   | 4.281.770  | 2,318,272   | 1,216,813 | 2,269,559 |
|           |  |             |            |             |           |           |
| ltem di   | ing Percentages (Page 4)<br>vided by Page 4, Line 1) x 100.0   |             |            |             |           |           |
| 66.       | Premiums earned (Line 1)   | 100.0       | 100.0      | 100.0       | 100.0     | 100.0     |
| 67.       | Losses incurred (Line 2)   | 45.4        | 85.1       | 62.5        | 34.3      | 71.4      |
| 68.       | Loss expenses incurred (Line 3)  | 11.3        | 9.7        | 8.7         | 9.8       |           |
| 69.       | Other underwriting expenses incurred (Line 4)  | 27.7        | 28.6       | 28.7        | 21.9      |           |
| 70.       | Net underwriting gain (loss) (Line 8)  | 13.0        | (23.4)     |             | 21.9      | 110.1     |
| Other F   | Percentages  |             |            |             |           |           |
| 71.       | Other underwriting expenses to net premiums  |             |            |             |           |           |
|           | written (Page 4, Lines 4 + 5 - 15 divided by<br>Page 8, Part 1B, Col. 6, Line 35 x 100.0)  | 26.9        | 24.5       | 26.9        | 32.1      | 32.       |
| 72        | Losses and loss expenses incurred to premiums  | 20.0        |            | 20.0        |           |           |
| 12.       |  | 50.7        | 04.0       | 71.2        | 44.0      | 82.0      |
|           | Page 4, Line 1 x 100.0)  |             | 94.8       |             | 44.0      |           |
| 73.       | Net premiums written to policyholders' surplus<br>(Page 8, Part 1B, Col. 6, Line 35 divided by Page 3,<br>Line 37, Col. 1 x 100.0) | 26.6        | 34.8       | 30.7        | 31.0      | 30.0      |
| One Ye    | ear Loss Development (\$000 omitted)   |             |            | !           |           |           |
|           | , ,  |             |            |             |           |           |
| 74.       | Development in estimated losses and loss expenses incurred prior to current year (Schedule P, Part 2-Summary, Line 12, Col. 11)    |             |            |             |           |           |
| 75.       | Percent of development of losses and loss  |             |            |             |           |           |
|           | expenses incurred to policyholders' surplus of prior<br>year end (Line 74 above divided by Page 4,<br>Line 21, Col. 1 x 100.0)     |             |            |             |           |           |
| Two Y     | ear Loss Development (\$000 omitted)   |             |            |             |           |           |
| 76        | Development in estimated losses and loss expenses  |             |            |             |           |           |
| 70.       | incurred 2 years before the current year and prior year (Schedule P, Part 2 - Summary, Line 12, Col. 12)                           |             |            |             |           | ,,,       |
| 77.       | Percent of development of losses and loss expenses incurred to reported policyholders' surplus                                     |             |            |             |           |           |

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3 - Accounting Changes and Correction of Errors?

Yes [ ] No [ ] If no, please explain

Schedule F - Part 1
NONE

Schedule F - Part 2
NONE

# SCHEDULE F - PART 3

|              |               |  |                    |               |          |           |            | LEF.         |           |             |          |          |            |           |             |          |            |            |            |
|--------------|---------------|--|--------------------|---------------|----------|-----------|------------|--------------|-----------|-------------|----------|----------|------------|-----------|-------------|----------|------------|------------|------------|
|              |               |  |                    |               | Ceded    | Reinsuran | e as of De | cember 31, C |           |             |          |          |            |           |             |          |            |            |            |
| 1            | 2             | 3  | 4                  | 5             | 6 [      |           |            |              |           | ance Recove |          |          |            |           | 16          |          | ce Payable | 19         | 20         |
|              | _             | ·  |                    |               | [        | 7         | 8          | 9            | 10        | 11          | 12       | 13       | 14         | 15        | 1           | 17       | 18         | I          |            |
|              |               |  | 1                  |               |          |           |            |              | i         |             |          |          |            |           | 1           |          |            | Net Amount |            |
| i            | 1             | 1  |                    |               |          |           |            |              |           |             |          |          | 1          |           | 1           |          |            | Recover-   |            |
|              | 1             |  |                    |               | Reinsur- |           |            | Known        | l         |             | ļ        |          |            |           | Amount in   |          | Other      |            | By Company |
|              | NAIC          |  |                    |               | ance     |           |            | Case         | Known     |             |          |          | Contingent | Cols.     | Dispute     | Ceded    | Amounts    | Reinsurers |            |
| l ID         | Company       |  | Domiciliary        | Special       | Premiums | Paid      | Paid       | Loss         | Case LAE  | IBNR Loss   | IBNR LAE | Unearned | Commis-    | 7 through | Included in | Balances | Due to     | Cols. 15 - |            |
| Number       | Code          | Name of Reinsurer  | Jurisdiction       | Code          | Ceded    | Losses    | LAE        | Reserves     |           | Reserves    |          |          | sions      | 14 Totals | Column 15   | Pavable  | Reinsurers | [17 + 18]  | Treaties   |
| Number       |               | - U.S. Intercompany Pooling  | Danadiction        | _ code_       | 00000    |           |            | 110001100    | 11000.100 |             |          |          |            |           |             |          |            |            |            |
| Authorized - | Attitiates    | - U.S. Non-Pool - Captive  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | - U.S. Non-Pool - Other  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| AUTHOFIZED - | Attitiates    | - Other (Non-U.S.) - Captive   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | - Other (Non-U.S.) - Other   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | Unaffiliated Insurers  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| AUTHO/1200 - | 1 14117       | GRINNELL MUT REINS CO.   | 14                 |               | 1.431    | 149       |            | 1.171        |           | 1           |          | Γ        |            | 1,320     |             | 119      |            | 1.201      |            |
| 42-0240990   | (411/         | zed - Other U.S. Unaffiliated Insurers   |                    |               | 1.431    | 149       |            | 1,171        |           | 1           |          |          |            | 1,320     |             | 119      |            | 1,201      |            |
| Authorized - |               |  |                    |               | 1,401    | 140       |            | 1 1,01       | <u> </u>  |             |          |          |            | .,,       |             |          |            |            |            |
| Authorized - |               |  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Authorized - |               |  |                    |               |          |           |            |              |           |             |          |          |            | -         |             |          |            |            |            |
| Authorized - |               |  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Authorized - | Protected U   | eris<br>ized Excluding Protected Cells (Sum of 0899999, 0999999,                                 | 1000000 1100000    | and 12000000\ | 1.431    | . 149     |            | 1.171        | T         |             |          |          |            | 1.320     |             | 119      |            | 1.201      |            |
| 1499999      | iotal Author  | zed Excluding Protected Certs (Sum of Oceasse, Oseasse),   | 1099000, 1100000   | and 12000001  | 1,701    | . 143     | L          | 1,111        |           |             |          |          |            |           |             |          |            |            |            |
|              |               | s - U.S. Intercompany Pooling<br>s - U.S. Non-Pool - Captive                                     |                    |               |          |           |            |              |           |             |          |          |            |           |             | •        |            |            |            |
|              |               | s - U.S. NonPool - Captive<br>s - U.S. NonPool - Other   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Unauthorized | - ATTITIATE   | s - 0.5. NonPoor - Other<br>s - Other (Non-U.S.) - Captive                                       |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               |  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | s - Other (Non-U.S.) - Other   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | . Unaffiliated Insurers  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | andatory Pools   |                    |               |          |           |            |              |           |             |          |          |            |           | -           |          |            |            |            |
|              |               | oluntary Pools   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Unauthorized | i - Other non | -U.S. Insurers   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Unauthorized | - Protected   | Cerrs  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | U.S. Intercompany Pooling  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | U.S. Non-Pool - Captive  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | U.S. Non-Pool - Other  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | Other (Non-U.S) - Captive  |                    |               |          |           |            |              |           |             |          |          |            |           | -           |          |            |            |            |
|              |               | Other (Non-U.S.) - Other   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | naffiliated Insurers   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Certified -  |               |  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Certified -  |               |  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Certified -  |               |  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Certified -  |               |  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | - Affiliates - U.S. Intercompany Pooling   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | - Affiliates - U.S. Non-Pool - Captive   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | - Affiliates - U.S. Non-Pool - Other   |                    |               |          |           |            |              |           | -           |          |          |            |           |             |          |            |            |            |
|              |               | - Affiliates - Other (Non-U.S.) - Captive  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | - Affiliates - Other (Non-U.S.) - Other  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Reciprocal . | Jurisdiction  | - Other U.S. Unaffiliated Insurers   |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
| Reciprocal . | Jurisdiction  | - Pools - Mandatory Pools  |                    |               |          |           |            |              |           |             |          |          |            | -         |             |          |            |            |            |
|              |               | - Pools - Voluntary Pools  |                    |               |          |           |            |              |           |             |          |          |            |           |             |          |            |            |            |
|              |               | - Other Non-U.S. Insurers  |                    |               |          |           |            |              |           | -           |          |          |            |           |             |          |            |            |            |
| Reciprocal   | Jurisdiction  | - Protected Cells  |                    |               |          |           |            |              |           |             | 1        |          |            |           | ,           |          | 1          |            | т          |
| 5799999 -    | Total Author  | ized, Unauthorized, Reciprocal Jurisdiction and Certific<br>99999, 2899999, 4299999 and 5699999) | ed Excluding Prote | ected Cells   | 1.431    | 149       |            | 1,171        | 1         |             |          |          | 1          | 1,320     |             | 119      |            | 1,201      |            |
| 9999999      |               | 30000, 20000000, 4233333 OHU 30333331  |                    |               | 1.431    | 149       |            | 1.171        |           | †           | T        |          | 1          | 1,320     | 1           | 119      |            | 1,201      |            |
| 222222       | i otats       |  |                    |               | 1,401    | 140       |            | , ., .,      |           |             |          |          |            |           |             |          |            |            |            |

# SCHEDULE F - PART 3 (Continued) Caded Reinsurance as of December 31, Current Year (\$000 Omitted)

|                |   |             |            |                                  |  |            | (Credit Ris                        | sk)           |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|----------------|---|-------------|------------|----------------------------------|--|------------|------------------------------------|---------------|---|--|--------------------------------|-----------------------------|--------------------------|----------------------------|------------|---|--|--|--|--|--|
|                |   |             | Colla      | ateral                           |  | 25         | 26                                 | 27            |   | Ceded Reinsurance Credit Risk   28   29   30   31   32   33   34   35   36 |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                |   | 21          | 22         | 23                               | 24   |            |                                    |               | 28 Total Amount                                   | 29   | 30<br>Reinsurance<br>Payable & | 31                          | 32                       | 33                         | 34         | Credit Risk<br>Collateralized                       | Credit Risk on<br>Uncollateralized<br>Recoverables<br>(Col. 33 * |  |  |  |  |
| ID<br>Number   |   | Multiple    |            | Issuing or<br>Confirming<br>Bank | Single<br>Beneficiary<br>Trusts &<br>Other | Held,      | Net<br>Recoverable<br>Net of Funds | Sch. F        | Recoverable<br>From<br>Reinsurers<br>Less Penalty | Stressed<br>Recoverable  | but not in                     | Stressed Net<br>Recoverable | 22 + 24, no              |                            | Reinsurer  | Factor<br>Applicable to<br>Reinsurer<br>Designation | Reinsurer<br>Designation   |  |  |  |  |
| From           | Name of Reinsurer   | Beneficiary | Letters of | Reference                        | Allowable                                  | Payables & | Held &                             | Penalty (Col. | (Cols. 15 -                                       | (Col. 28 *<br>120%)  | excess of<br>Col. 29)          | (Cols. 29 –<br>30)          | in Excess<br>of Col. 31) | Offsets (Cols.<br>31 - 32) | Equivalent | Equivalent in<br>Col. 34)                           | Col. 34)   |  |  |  |  |
| Col. 1         | From Col. 3   | Trusts      | Credit     | Number                           | Collateral                                 | Collateral | Collateral                         | 78)           | 2/)   | 120%)  | Col. 29)                       | 30)                         | OI COI. 31)              | 31-32)                     | Lquivaion  | 1 001. 047  | 001.047  |  |  |  |  |
| Authorized - A | Affiliates - U.S. Intercompany Pooling  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            | _   |  |  |  |  |  |
| Authorized - A | Mffiliates - U.S. Non-Pool - Captive<br>Mffiliates - U.S. Non-Pool - Other  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Authorized .   | Affiliates - Other (Non-U.S.) - Captive   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Authorized . I | Iffiliates - Other (Non-ILS) - Other  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Authorized - ( | Other U.S. Unaffiliated Insurers  |             |            |                                  |  | 1          | 1 4 204                            |               | 1.320   | 1.584  | I119                           | 1.465                       |                          | 1.465                      |            | T   |  |  |  |  |  |
| 42-0245990     | GRINNELL MUT REINS CO   |             |            |                                  |  | 119        |                                    |               | 1,320   |  |                                | 1.465                       |                          | 1.465                      | XXX        |   |  |  |  |  |  |
|                | otal Authorized - Other U.S. Unaffiliated Insurers  |             |            | XXX                              | ــــــــــــــــــــــــــــــــــــــ     | 119        | 1,201                              | <u> </u>      | 1 1,320   | 1,304  | 110                            | 1,400                       |                          | 1,400                      |            |   |  |  |  |  |  |
|                | Pools - Mandatory Pools   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | Pools - Voluntary Pools<br>Other Non-U.S. Insurers  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | Protected Cells   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| 1499999 - To   | otal Authorized Excluding Protected Cells (Sum of<br>0899999, 0999999, 1099999, 1199999 and 1299999)  |             |            | ххх                              |  | 119        | 1,201                              |               | 1,320   | 1,584  | 119                            | 1,465                       |                          | 1,465                      | ххх        |   |  |  |  |  |  |
|                | - Affiliates - U.S. Intercompany Pooling  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | - Affiliates - U.S. Non-Pool - Captive<br>- Affiliates - U.S. NonPool - Other   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | - Affiliates - U.S. Non-Poor - Unlei<br>- Affiliates - Other (Non-U.S.) - Captive   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Unauthor ized  | - Affiliates - Other (Non-U.S.) - Other   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | - Other U.S. Unaffiliated Insurers  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | - Pools - Mandatory Pools   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Unauthor ized  | - Pools - Voluntary Pools   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | - Other non-U.S. Insurers   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | - Protected Cells   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | ffiliates - U.S. Intercompany Pooling   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | ffiliates - U.S. Non-Pool - Captive   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Certified - A  | ffiliates - O.S. Mon-Pool - Other   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Certified - A  | ffiliates - Other (Non-U.S.) - Other  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | ther U.S. Unaffiliated Insurers   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Certified - P  | ools - Mandatory Pools  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | ools - Voluntary Pools  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | ther Non-U.S. Insurers  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Certified - P  | rotected Cells  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Reciprocal Ju  | risdiction - Affiliates - U.S. Intercompany Pooling<br>risdiction - Affiliates - U.S. Non-Pool - Captive  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Reciprocal Ju  | risdiction - Affiliates - U.S. Non-Pool - Other   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Reciprocal Ju  | risdiction - Affiliates - Other (Non-U.S.) - Captive  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Reciprocal Ju  | risdiction - Affiliates - Other (Non-U.S.) - Other  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Reciprocal Ju  | risdiction - Other U.S. Unaffiliated Insurers   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | risdiction - Pools - Mandatory Pools  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Reciprocal Ju  | risdiction - Pools - Voluntary Pools  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
| Reciprocal Ju  | risdiction - Other Non-U.S. Insurers  |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |
|                | risdiction - Protected Cells  | ,           |            | Т                                | 1  |            | T                                  |               | 1   | 1  | T                              | Τ                           | 1                        |                            |            |   |  |  |  |  |  |
| 5/99999 - 1-   | otal Authorized, Unauthorized, Reciprocal Jurisdiction<br>and Certified Excluding Protected Cells (Sum of 1499999,<br>2899999, 4299999 and 5699999) |             |            | ххх                              |  | 119        |                                    |               | 1.320   |  |                                |                             |                          | 1,465                      |            |   | ļ  |  |  |  |  |
| 9999999 T      |   |             |            | XXX                              |  | 119        | 1,201                              | <u> </u>      | 1,320   | 1,584  | 119                            | 1,465                       | <u> </u>                 | 1,465                      | XXX        | J   |  |  |  |  |  |
|                |   |             |            |                                  |  |            |                                    |               |   |  |                                |                             |                          |                            |            |   |  |  |  |  |  |

See Independent Accountant's Compilation Report

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# **SCHEDULE F - PART 3 (Continued)**

|               |   |         |              |                 | Cede          | d Reinsurano  | e as of Decer<br>(Aging of Ce | nber 31, Curi | rent Year (\$00 | 00 Omitted) | •           |             |   |              |             |            |              |             |
|---------------|---|---------|--------------|-----------------|---------------|---------------|-------------------------------|---------------|-----------------|-------------|-------------|-------------|---|--------------|-------------|------------|--------------|-------------|
|               |   | Reine   | urance Reco  | verable on Pa   | id Losses and | Paid Loss Ad  | justment Expe                 |               | 44              | 45          | 46          | 47          | 48                                      | 49           | 50          | 51         | 52           | 53          |
|               | . <b> </b>  | 37      | ioranos Neco | +GIGDIG OII F & | Overdue       | , ara 2000 Mu | Jood House Expe               | 43            | 1 77            | 1 40        | 1 "         | . "         | l ~                                     | 1 "          | 50          | 1          | 1 32         | -           |
|               |   | 31      | 38           | 39              | 40            | 41            | 42                            | 40            | l               | Recoverable | .1          | Recoverable | 1                                       |              | 1           |            |              | 1 1         |
|               |   |         | 30           | 39              | 40            | "'            | 42                            |               | i               | on Paid     | 1           | on Paid     |   | ļ            | l           | l          |              | 1           |
|               |   | ŀ       |              |                 |               | ļ             |                               |               | Total           | Losses &    | Total       | Losses &    |   | 1            | Percentage  |            |              | i I         |
| 1 1           |   | i       |              |                 |               |               |                               |               | Recoverable     |             | Recoverable | LAE Over 90 | i                                       |              | of Amounts  |            |              |             |
|               |   | 1       |              |                 |               | ł             |                               |               | on Paid         | Davs Past   | on Paid     | Davs Past   |   |              | More Than   |            |              | Amounts in  |
|               |   |         |              |                 |               |               |                               |               | Losses &        | Due         | Losses &    | Due         |   |              |             | Percentage |              | Col. 47 for |
|               |   | I       |              |                 |               | i             |                               | Total Due     | LAE             | Amounts     | LAE         | Amounts     |   |              |             | More Than  | Is the       | Reinsurers  |
| l m l         |   |         |              |                 | i             |               | Total                         | Cols. 37 + 42 |                 | in Dispute  | Amounts Not | Not in      | Amounts                                 | Percentage   | in Dispute  | 120 Days   | Amount in    | with Values |
| Number        |   |         |              |                 | ì             |               | Overdue                       | (In total     | Dispute         | Included    | in Dispute  | Dispute     | Received                                | Overdue      | (Col. 47/   | Overdue    | Col. 50 Less |             |
| From          | Name of Reinsurer   |         | 1 – 29       | 30 – 90         | 91 – 120      | Over 120      | Cols. 38 + 39                 | should equal  | Included in     | in Cols.    | (Cols. 43 - | (Cols. 40 + | Prior 90                                | Col. 42/Col. | (Cols. 46 + | (Col. 41/  | Than 20%     | 20% in Col. |
| Col. 1        | From Col. 3   | Current | Days         | Days            | Days          | Days          | + 40 + 41                     | Cols. 7 + 8)  | Col. 43         | 40 & 41     | 44)         | 41 - 45)    | Days                                    | 43           | 48])        | Col. 43)   | (Yes or No)  | 50          |
| Authorized -  | Affiliates - U.S. Intercompany Pooling  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | Affiliates - U.S. Non-Pool - Captive  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | Affiliates - U.S. Non-Pool - Other  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Authorized -  | Affiliates - Other (Non-U.S.) - Captive   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | Affiliates - Other (Non-U.S.) - Other   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | Other U.S. Unaffiliated Insurers  | 149     |              |                 | 1 .           | r             |                               | 149           |                 |             | 149         |             | Г                                       |              |             |            | I YES        |             |
|               | GRINNELL MUT REINS CO   | 149     |              |                 | <del> </del>  |               |                               | 149           |                 |             | 149         |             |   | †            |             | <b>†</b>   | XXX          | 1           |
|               | Pools - Mandatory Pools   | 149     |              | L               |               |               |                               | 149           |                 |             | 149         |             | L                                       |              |             |            | I AAA        |             |
|               | Pools - Walidatory Pools  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              | -           |
|               | Other Non-U.S. Insurers   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            | -            |             |
|               | Protected Cells   |         |              |                 |               |               |                               |               |                 |             |             |             | *************************************** |              |             |            |              |             |
|               | otal Authorized Excluding Protected Cells (Sum of   |         |              | T               |               | 1             | 1                             |               | T"              |             | T           | T           | 1                                       |              |             |            |              |             |
| 1.0000        | 0899999, 0999999, 1099999, 1199999 and 1299999)   | 149     |              |                 |               | 1             |                               | 149           |                 | l           | 149         |             |   |              |             |            | XXX          |             |
| Unauthor ized | - Affiliates - U.S. Intercompany Pooling  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | - Affiliates - U.S. Non-Pool - Captive  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | - Affiliates - U.S. NonPool - Other   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Unauthorized  | - Affiliates - Other (Non-U.S.) - Captive   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | - Affiliates - Other (Non-U.S.) - Other   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Unauthorized  | - Other U.S. Unaffiliated Insurers<br>- Pools - Mandatory Pools                             |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Unauthorized  | - Pools - Wandatory Pools<br>- Pools - Voluntary Pools                                      |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | - Other non-U.S. Insurers   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | Protected Cells   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | ffiliates - U.S. Intercompany Pooling   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | ffiliates - U.S. Non-Pool - Captive   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Certified - A | ffiliates - U.S. Non-Pool - Other   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | ffiliates - Other (Non-U.S) - Captive   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | ffiliates - Other (Non-U.S.) - Other  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Certified - 0 | ther U.S. Unaffiliated Insurers   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Certified - P | ools - Mandatory Pools  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Certified - P | ools - Voluntary Pools  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | ther Non-U.S. Insurers<br>rotected Cells  |         |              |                 |               | •             |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | risdiction - Affiliates - U.S. Intercompany Pooling   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Reciprocal Ju | risdiction - Affiliates - U.S. Mon-Pool - Captive   |         | -            |                 |               |               |                               |               |                 |             |             | •           |   |              |             |            |              |             |
|               | risdiction - Affiliates - U.S. Non-Pool - Other   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Reciprocal Ju | risdiction - Affiliates - Other (Non-U.S.) - Captive  |         |              |                 |               |               |                               |               |                 |             |             |             | -                                       |              |             |            |              |             |
| Reciprocal Ju | risdiction - Affiliates - Other (Non-U.S.) - Other  |         |              |                 |               |               |                               |               |                 | ******      |             |             |   |              |             |            |              |             |
| Reciprocal Ju | risdiction - Other U.S. Unaffiliated Insurers   |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | risdiction - Pools - Mandatory Pools  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | risdiction - Pools - Voluntary Pools  |         |              |                 | -             |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
| Reciprocal Ju | risdiction - Other Non-U.S. Insurers  |         |              |                 |               |               |                               |               |                 |             |             |             |   |              |             |            |              |             |
|               | risdiction - Protected Cells  |         |              |                 |               |               |                               |               |                 |             | ,           |             |   |              |             |            |              |             |
| 5799999 - To  | otal Authorized, Unauthorized, Reciprocal<br>Jurisdiction and Certified Excluding Protected |         |              | 1               | 1             | 1             |                               | l             | 1               | 1           | 1           | l           | 1                                       | 1            |             |            |              |             |
| 1             | Cells (Sum of 1499999, 2899999, 4299999 and   |         |              | 1               | 1             |               | 1                             | I             | 1               | 1           | 1           | 1           | 1                                       | 1            | 1           | 1          | 1            |             |
| 1             | 5699999)  | 149     |              | I               | 1             |               | 1                             | 149           | 1               | 1           | 149         | 1           | 1                                       | 1            | 1           | 1          | XXX          | 1           |
| 9999999 T     |   | 149     |              | i               | 1             | 1             | T .                           | 149           |                 |             | 149         |             |   |              |             |            | XXX          |             |

# SCHEDULE F - PART 3 (Continued) Coded Reinsurance as of December 31, Current Year (\$000 Omitted) (Aning of Coded Reinsurance)

|        |                   |         |              |               |               |              | (Aging of Co  | eded Reinsur  | ince)      |             |             |             |          |              |             |            |              |             |
|--------|-------------------|---------|--------------|---------------|---------------|--------------|---------------|---------------|------------|-------------|-------------|-------------|----------|--------------|-------------|------------|--------------|-------------|
|        |                   | Rein    | surance Reco | verable on Pa | id Losses and | Paid Loss Ad | justment Expe | enses         | 44         | 45          | 46          | 47          | 48       | 49           | 50          | 51         | 52           | 53          |
|        |                   | 37      |              |               | Overdue       |              |               | 43            | 1          |             |             |             |          |              |             |            |              |             |
|        |                   |         | 38           | 39            | 40            | 41           | 42            | 1             |            | Recoverable |             | Recoverable |          | 1            |             |            |              |             |
|        |                   |         |              | ı             |               |              |               | ĺ             |            | on Paid     |             | on Paid     |          |              |             |            |              |             |
|        |                   |         |              | İ             |               |              |               |               | Total      | Losses &    | Total       | Losses &    |          | İ            | Percentage  |            |              |             |
|        |                   |         |              |               | l             |              |               |               |            | LAE Over 90 |             | LAE Over 90 |          |              | of Amounts  |            |              |             |
|        |                   |         | l            |               |               | i            | ł             |               | on Paid    | Days Past   | on Paid     | Days Past   |          |              | More Than   |            |              | Amounts in  |
|        | 1                 | 1       |              | ļ             | l             |              |               | 1             | Losses &   | Due         | Losses &    | Due         |          |              | 90 Days     | Percentage |              | Col. 47 for |
| 1      |                   | 1       |              |               |               |              |               | Total Due     | LAE        | Amounts     | LAE         | Amounts     |          |              |             |            | Is the       | Reinsurers  |
| ID.    | ĺ                 |         |              | l             |               |              | Total         | Cols. 37 + 42 | Amounts in | in Dispute  | Amounts Not |             | Amounts  | Percentage   | in Dispute  | 120 Days   |              | with Values |
| Number |                   |         |              |               |               |              | Overdue       | (In total     | Dispute    | Included    | in Dispute  | Dispute     | Received | Overdue      | (Col. 47/   |            | Col. 50 Less |             |
| From   | Name of Reinsurer |         | 1 – 29       | 30 90         | 91 – 120      | Over 120     |               | should equal  |            | in Cols.    | (Cols. 43 - | (Cols. 40 + |          | Col. 42/Col. | [Cols. 46 + | (Col. 41/  |              | 20% in Col. |
| Col. 1 | From Col. 3       | Current | Days         | Days          | Days          | Days         | + 40 + 41     | Cols. 7 + 8)  | Col. 43    | 40 & 41     | 44)         | 41 – 45)    | Days     | 43           | 48])        | Col. 43)   | (Yes or No)  | 50          |

# SCHEDULE F - PART 3 (Continued) Ceded Reinsurance as of December 31, Current Year (1900 Omitted)

|   |  |               |              |             |             | vision for Rein |            | Certified Reins |                 | -,              |                |                |             |               |                  |           |               |
|---|--|---------------|--------------|-------------|-------------|-----------------|------------|-----------------|-----------------|-----------------|----------------|----------------|-------------|---------------|------------------|-----------|---------------|
|   |  |               |              |             |             |                 |            | Pro             | vision for Cer  | tified Reinsura | nce            |                |             |               |                  |           |               |
| 1 1                                     |  | 54            | 55           | 56          | 57          | 58              | 59         | 60              | 61              | 62              | 63             | 64             | 65          | Complete in   | f Col. 52 = "No" | Otherwise | 69            |
| i I                                     |  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               | Enter 0          |           | J             |
|   |  | i             |              |             |             |                 |            | Percent of      | Percent         |                 |                |                |             | 66            | 67               | 68        | Provision for |
| i i                                     |  |               | l            |             |             |                 |            | Collateral      | Credit          |                 |                |                | 20% of      |               | 1                |           | Overdue       |
| 1                                       |  |               | i            |             |             |                 |            | Provided for    | Allowed on      | 20% of          |                |                | Recoverable | ļ.            | 1 1              |           | Reinsurance   |
| 1 1                                     |  |               | 1            |             |             | 1               |            | Net             | Net             | Recoverable     |                | Provision for  | on Paid     | į.            | 1                |           | Ceded to      |
|   |  |               |              | 1           | l           | Net             |            | Recoverables    | Recoverables    | on Paid         |                | Reinsurance    | Losses &    | Total         |                  |           | Certified     |
|   |  | ļ             |              | 1           |             | Recoverables    |            | Subject to      | Subject to      | Losses &        | Amount of      | with Certified |             |               | Net              |           | Reinsurers    |
| 1                                       |  | 1             |              | Percent     |             | Subject to      | Dollar     | Collateral      | Collateral      | LAE Over        | Credit         | Reinsurers     | Days Past   | Provided      | Unsecured        |           | (Greater of   |
|   |  |               |              | Collateral  | Catastrophe | Collateral      | Amount of  | Requirements    |                 |                 | Allowed for    | Due to         | Due Amounts | (Col. 20+Col. |                  |           | [Col. 62 +    |
| l or l                                  |  | Certified     |              |             |             | Requirements    | Collateral |                 | (Col. 60 / Col. | Past Due        | Net            | Collateral     | Not in      | 21+Col.22+    | for Which        |           | Col. 65) or   |
| Number                                  |  | Reinsurer     | of Certified | Full Credit |             | for Full Credit | Required   | 21+Col.         | 56, not to      |                 | Recoverables   |                | Dispute     | Col. 24; not  |                  | 20% of    | Col_68; not   |
| From                                    | Name of Reinsurer  | Rating        | Reinsurer    | (0% through | Collateral  | (Col. 19 -      | (Col. 56 * | 22+Col.         | exceed          | Dispute (Col.   | (Col. 57+[Col. | (Col. 19 -     | (Col. 47 *  | to Exceed     | Allowed (Col.    | Amount in | to Exceed     |
| Col. 1                                  | From Col. 3  | (1 through 6) | Rating       | 100%)       | Deferral    | Col. 57)        | Col. 58)   | 24]/Col. 58)    | 100%)           | 45 * 20%)       | 58 * Col. 61]) | Col. 63)       | 20%)        | Col. 63)      | 63-Col. 66)      | Col. 67   | Col. 63)      |
|   | Affiliates - U.S. Intercompany Pooling   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Affiliates - U.S. Non-Pool - Captive   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Affiliates - U.S. Non-Pool - Other   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Affiliates - Other (Non-U.S.) - Captive  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Affiliates - Other (Non-U.S.) - Other  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Other U.S. Unaffiliated Insurers GRINNELL MUT REINS CO   | XXX           | XXX          | XXX         | XXX         | XXX             | XXX        | XXX             | XXX             | XXX             | T XXX          | XXX            | XXX         | XXX           | XXX              | XXX       | XXX           |
|   | otal Authorized - Other U.S. Unaffiliated Insurers   | XXX           | XXX          | XXX         | XXX         | XXX             | XXX        | XXX             | XXX             | XXX             | XXX            | XXX            | XXX         | XXX           | XXX              | XXX       | XXX           |
|   | Pools - Wandatory Pools  | 1 1/1/4       | 1            | , AAA       | 1 1         |                 | /////      | 7000            | 1000            | 7,000           | 1              |                |             |               |                  |           |               |
|   | Pools - Walkiatory Pools   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Other Non-U.S. Insurers  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Protected Cells  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | otal Authorized Excluding Protected Cells (Sum of 0899999).  |               | 1            | T           |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
| 1400000                                 | (1999999, 1099999, 1199999 and 1299999)  | XXX           | XXX          | XXX         | XXX         | XXX             | XXX        | XXX             | XXX             | XXX             | XXX            | XXX            | XXX         | XXX           | XXX              | XXX       | XXX           |
| Unauthor ized                           | - Affiliates - U.S. Intercompany Pooling   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | - Affiliates - U.S. Non-Pool - Captive   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | - Affiliates - U.S. NonPool - Other  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | - Affiliates - Other (Non-U.S.) - Captive  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | - Affiliates - Other (Non-U.S.) - Other  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | Other U.S. Unaffiliated Insurers   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
| Unauthorized                            | - Pools - Mandatory Pools<br>- Pools - Voluntary Pools   |               |              |             |             |                 |            |                 |                 |                 |                |                | _           | -             |                  |           |               |
| Unauthorized                            | - Poors - voluntary Poors<br>- Other non-U.S. Insurers   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | - Protected Cells  |               |              |             | -           |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | ffiliates - U.S. Intercompany Pooling  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | ffiliates - U.S. Non-Pool - Captive  |               |              |             |             |                 | •          |                 |                 |                 |                |                |             |               |                  |           |               |
|   | ffiliates - U.S. Non-Pool - Other  |               |              |             |             |                 |            | -               |                 |                 |                |                |             |               |                  |           |               |
|   | ffiliates - Other (Non-U.S) - Captive  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
| Certified - A                           | ffiliates - Other (Non-U.S.) - Other   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | ther U.S. Unaffiliated Insurers  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | ools - Mandatory Pools   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | ools - Voluntary Pools   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | ther Non-U.S. Insurers   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | rotected Cells   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | risdiction - Affiliates - U.S. Intercompany Pooling  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
| Reciprocal Ju                           | risdiction - Affiliates - U.S. Non-Pool - Captive<br>risdiction - Affiliates - U.S. Non-Pool - Other |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  | -         |               |
| Reciprocal Ju                           | risdiction - Affiliates - U.S. Mon-Pool - Uther  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | risdiction - Affiliates - Other (Non-U.S.) - Captive   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           | ***           |
|   | risdiction - Other U.S. Unaffiliated Insurers  |               |              |             |             |                 |            |                 |                 |                 |                |                | •           |               |                  |           |               |
|   | risdiction - Pools - Mandatory Pools   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
| Reciproca! In                           | risdiction - Pools - Voluntary Pools   |               |              |             |             |                 | -          |                 |                 |                 |                |                |             |               |                  |           |               |
|   | risdiction - Other Non-U.S. Insurers   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
|   | risdiction - Protected Cells   |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |
| 9999999 T                               |  | XXX           | XXX          | XXX         |             |                 |            | XXX             | XXX             |                 |                |                |             |               |                  |           |               |
| ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |  |               |              |             |             |                 |            |                 |                 |                 |                |                |             |               |                  |           |               |

See Independent Accountant's Compilation Report

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# SCHEDULE F - PART 3 (Continued)

|                      |  | C  |   | of December 31, Cur   |   | ed)   |   |  |   |  |
|----------------------|--|--|---|---|---|---|---|--|---|--|
|                      |  | 70   |   | I Provision for Reinsun<br>Provised Reinsurance   |   | due Authorized and  | T   | Total Provision  | for Reinsurance   |  |
|                      |  | 70   | FIGVISION IOI ONAGU   | 1011260 Nell Isulance   |   | iction Reinsurance  | 1   | TOTAL TOTAL  | TO TONIGOTORO   |  |
|                      |  |  | 71  | 72  | 73  Complete if Col. 52 = "Yes"; Otherwise Enter 0  | 74 Complete if Col. 52 = "No"; Otherwise Enter 0 Greater of 20% of Net                  | 75  | 76   | 77  | 78   |
| ID<br>Number<br>From | Name of Reinsurer  | 20% of Recoverable<br>on Paid Losses &<br>LAE Over 90 Days<br>Past Due Amounts<br>Not in Dispute | Provision for<br>Reinsurance with<br>Unauthorized<br>Reinsurers Due to<br>Collateral Deficiency | Reinsurance from<br>Unauthorized<br>Reinsurers and<br>Amounts in Dispute<br>(Col. 70 + 20% of the | 20% of Recoverable<br>on Paid Losses & LAE<br>Over 90 Days Past<br>Due Amounts Not in<br>Dispute + 20% of<br>Amounts in Dispute<br>([Col. 47 * 20%] + | Losses & LAE Over<br>90 Days Past Due<br>(Greater of Col. 26 *<br>20% or [Col. 40 + 41] | Ceded to Authorized<br>and Reciprocal<br>Jurisdiction | Provision for Amounts<br>Ceded to<br>Unauthorized<br>Reinsurers<br>(Cols. 71 + 72 Not in<br>Excess of Col. 15) | Provision for Amounts<br>Ceded to Certified<br>Reinsurers<br>(Col. 64 + 69) | Total Provision for Reinsurance (Cols. 75 + 76 + 77) |
| Col. 1               | From Col. 3  | (Col. 47 * 20%)  | (Col. 26)   | Amount in Col. 16)  | [Col. 45 * 20%])  | * 20%)  | (Cols. 73 + 74)                                       | Excess of Col. 15)   | (COL 64 + 69)   | (Cols. 75 + 76 + 77)                                 |
|                      | Affiliates - U.S. Intercompany Pooling Affiliates - U.S. Non-Pool - Captive                            |  |   |   |   |   |   |  |   |  |
| Authorized -         | Affiliates - U.S. Non-Pool - Other   |  |   |   |   |   |   |  |   |  |
| Authorized -         | Affiliates - Other (Non-U.S.) - Captive  |  |   |   |   |   |   |  |   |  |
| Authorized -         | Affiliates - Other (Non-U.S.) - Other  |  |   |   |   |   |   |  |   |  |
| Authorized -         | Other U.S. Unaffiliated Insurers   |  |   |   |   |   |   | T XXX  | XXX   |  |
|                      | GRINNELL MUT REINS CO.   |  | XXX   | XXX   |   |   |   | XXX  | XXX   |  |
|                      | Total Authorized - Other U.S. Unaffiliated Insurers  |  | , , , , , , , , , , , , , , , , , , ,   | , , , , , , , , , , , , , , , , , , ,   |   | 1   |   |  | 1 ^^^   |  |
|                      | Pools - Mandatory Pools Pools - Voluntary Pools  |  |   |   |   |   |   |  |   |  |
|                      | Other Non-U.S. Insurers  |  |   |   |   |   |   |  |   |  |
| Authorized -         | Protected Cells  |  |   |   |   |   |   |  |   |  |
| 1499999 -            | Total Authorized Excluding Protected Cells (Sum of 0899999, 09999999, 10999999, 11999999 and 12999999) |  | xxx   | ххх   |   |   |   | xxx  | ххх   |  |
|                      | - Affiliates - U.S. Intercompany Pooling   |  |   |   |   |   |   |  |   |  |
|                      | - Affiliales - U.S. Non-Pool - Captive   |  |   |   |   |   |   |  |   |  |
|                      | - Affiliates - U.S. NonPool - Other  |  |   |   |   |   |   |  |   |  |
| Unauthorized         | - Affiliates - Other (Non-U.S.) - Captive<br>- Affiliates - Other (Non-U.S.) - Other                   |  |   |   |   |   |   |  |   |  |
|                      | - Other U.S. Unaffiliated Insurers   |  |   |   |   |   |   |  |   |  |
|                      | - Pools - Mandatory Pools  |  |   |   |   |   |   |  |   |  |
| Unauthorized         | - Pools - Voluntary Pools  |  |   |   |   |   |   |  |   |  |
| Unauthorized         | - Other non-U.S. Insurers  |  |   |   |   |   |   |  |   |  |
|                      | - Protected Cells  |  |   |   |   |   |   |  |   |  |
| Certified -          | Affiliates - U.S. Intercompany Pooling   |  |   |   |   |   |   |  |   |  |
| Contified -          | Affiliates - U.S. Non-Pool - Captive<br>Affiliates - U.S. Non-Pool - Other                             |  |   |   |   |   |   |  |   | -  |
|                      | Affiliates - 0.5. Non-Pool - Other Affiliates - Other (Non-U.S) - Captive                              | ****   |   |   |   |   | -   |  |   |  |
| Certified -          | Affiliates - Other (Non-U.S.) - Other  |  |   |   |   |   |   |  |   |  |
| Certified -          | Other U.S. Unaffiliated Insurers   |  |   |   |   |   |   |  |   |  |
| Certified -          | Pools - Mandatory Pools  |  |   |   |   |   |   |  |   |  |
|                      | Pools - Voluntary Pools  |  |   |   |   |   |   |  |   |  |
|                      | Other Non-U.S. Insurers  |  |   |   |   |   |   |  |   |  |
|                      | Protected Cells urisdiction - Affiliates - U.S. Intercompany Pooling                                   | <del></del>  |   |   |   |   |   |  |   |  |
| Reciprocal           | urisdiction - Affiliates - U.S. Non-Pool - Captive   |  |   |   |   |   |   |  |   |  |
|                      | urisdiction - Affiliates - U.S. Non-Pool - Other   |  |   |   |   |   |   |  |   |  |
|                      | urisdiction - Affiliates - Other (Non-U.S.) - Captive  |  |   |   |   |   |   |  |   |  |
| Reciprocal J         | urisdiction - Affiliates - Other (Non-U.S.) - Other  |  |   |   |   |   |   |  |   |  |
|                      | urisdiction - Other U.S. Unaffiliated Insurers   |  |   |   |   |   |   |  |   |  |
|                      | urisdiction - Pools - Mandatory Pools  |  |   |   |   |   |   |  |   |  |
|                      | urisdiction - Pools - Voluntary Pools  |  |   |   |   |   |   |  |   |  |
| reciprocal J         | urisdiction - Other Non-U.S. Insurers  |  |   |   |   |   |   |  |   |  |

### **SCHEDULE F - PART 3 (Continued)**

|                      |  | c  | eded Reinsurance as   | of December 31, Curi<br>Provision for Reinsu  | rent Year (\$000 Omitte<br>trance)  | d)   |   |                                     |   |                                    |  |
|----------------------|--|--|---|---|---|--|---|-------------------------------------|---|------------------------------------|--|
|                      |  | 70   |   | norized Reinsurance   | Provision for Overc   | Provision for Overdue Authorized and<br>Reciprocal Jurisdiction Reinsurance  |   | Total Provision for Reinsurance     |   |                                    |  |
|                      |  |  | 71  | 72  | 73  | 74<br>Complete if<br>Col. 52 = "No":   | 75  | 76                                  | 77  | 78                                 |  |
| ID<br>Number<br>From | . Name of Reinsurer  | 20% of Recoverable<br>on Paid Losses &<br>LAE Over 90 Days<br>Past Due Amounts<br>Not in Dispute | Provision for<br>Reinsurance with<br>Unauthorized<br>Reinsurers Due to<br>Collateral Deficiency | Reinsurance from<br>Unauthorized<br>Reinsurers and<br>Amounts in Dispute<br>(Col. 70 + 20% of the | 20% of Recoverable<br>on Paid Losses & LAE<br>Over 90 Days Past<br>Due Amounts Not in<br>Dispute + 20% of<br>Amounts in Dispute<br>([Col. 47 * 20%] + | Otherwise Enter 0 Greater of 20% of Net Recoverable Net of Funds Held & Collateral, or 20% of Recoverable on Paid Losses & LAE Over 90 Days Past Due (Greater of Col. 26 * 20% or [Col. 40 + 41] | Ceded to Authorized<br>and Reciprocal<br>Jurisdiction<br>Reinsurers | Reinsurers<br>(Cols. 71 + 72 Not in | Provision for Amounts<br>Ceded to Certified<br>Reinsurers | Total Provision for<br>Reinsurance |  |
| Col. 1               | From Col. 3  | (Col. 47 * 20%)  | (Col. 26)   | Amount in Col. 16)  | [Col. 45 * 20%])  | * 20%)   | (Cols. 73 + 74)   | Excess of Col. 15)                  | (Col. 64 + 69)  | (Cols. 75 + 76 + 77)               |  |
| Reciprocal Ju        | urisdiction - Protected Cells<br>Total Authorized, Unauthorized, Reciprocal Jurisdiction and Certified Excluding |  |   | T .   | 1   |  |   | r                                   |   |                                    |  |
| 2/99999 - 1          | Protected Cells (Sum of 1499999, 2899999, 4299999 and 5699999)   |  |   |   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   | •••••   |  |   |                                     |   |                                    |  |
|                      | ,  |  |   |   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   | <b>†</b>  |  | ***************************************                             | İ                                   |   |                                    |  |
|                      |  |  |   |   | 1   |  |   |                                     |   |                                    |  |
|                      |  |  |   | I   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   | ļ   |  |   |                                     |   |                                    |  |
|                      |  |  |   | <del> </del>  | <b></b>   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   | <b>†</b>  | <b>†</b>   |   | <b>†</b>                            |   |                                    |  |
|                      |  |  |   | 1   | 1   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   |   |  |   |                                     |   |                                    |  |
|                      |  |  |   |   | ļ   | ł  |   |                                     |   | ······                             |  |
| 1                    |  |  |   | ł   | <del> </del>  |  | ł   | <b></b>                             |   |                                    |  |
|                      |  |  | ·····   |   |   | t  |   |                                     |   | i                                  |  |
|                      |  |  |   | <b></b>   | 1   | 1  |   |                                     |   |                                    |  |
| 9999999              | Totale   |  |   |   |   |  |   |                                     |   | ·                                  |  |

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SCHEDULE F - PART 4
Issuing or Confirming Banks for Letters of Credit from Schedule F, Part 3 (\$000 Omitted)

| Issuing or<br>Confirming<br>Bank | Letters of     | American Bankers<br>Association (ABA) |                                 |                          |
|----------------------------------|----------------|---------------------------------------|---------------------------------|--------------------------|
| Reference<br>Number              | Credit<br>Code | Routing Number                        | Issuing or Confirming Bank Name | Letters of Credit Amount |
| Nomber                           |                |                                       |                                 |                          |
|                                  |                |                                       |                                 |                          |
|                                  |                |                                       |                                 |                          |
| Total                            |                |                                       |                                 |                          |

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# SCHEDULE F - PART 5 Interrogatories for Schedule F, Part 3 (000 Omitted)

| A.                         | Report the five largest provisional commission rates included in the cedant's reinsurance treaties. The commission rate to be reported is by contract with ceded p | premium in excess of \$50, | 000:                   |   |  |
|----------------------------|--|----------------------------|------------------------|---|--|
|                            | Name of Reinsurer  | Commission Rate            | Ceded Premium          |   |  |
| 1.<br>2.<br>3.<br>4.<br>5. | Report the five largest reinsurance recoverables reported in Schedule F, Part 3.Column 15, due from any one reinsurer (based on-the total recoverables), Schedu    | ule F, Part 3, Line 999999 | 9, Column 15, the amou | unt of ceded premium, and ind   | cate whether the recoverables are due from |
| В.                         | an affiliated insurer.   | 2                          | 3<br>Ceded Premiums    | 4<br>Affiliated   |  |
| 6.<br>7.<br>8.<br>9.       | Name of Reinsurer  Frince II Mutual Reinsurance Company.  Name of Reinsurer  Frince II Mutual Reinsurance Company.   | Total Recoverables         |                        | Yes [ ] No [ X ] Yes [ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ] |  |

## **SCHEDULE F - PART 6**

| Restatement of Balance Sheet to Identify Net Credit for Reinsurance |  |                               |                            |                              |  |  |  |  |  |  |
|---|--|-------------------------------|----------------------------|------------------------------|--|--|--|--|--|--|
|   |  | As Reported<br>(Net of Ceded) | Restatement<br>Adjustments | Restated<br>(Gross of Ceded) |  |  |  |  |  |  |
| ASSET   | <u>S</u> (Page 2, Col. 3)  |                               |                            |                              |  |  |  |  |  |  |
| 1.  | Cash and invested assets (Line 12)   | 21,773,274                    |                            | 21,773,274                   |  |  |  |  |  |  |
| 2.  | Premiums and considerations (Line 15)  | 832,234                       |                            | 832,234                      |  |  |  |  |  |  |
| 3.  | Reinsurance recoverable on loss and loss adjustment expense payments (Line 16.1) | 148,761                       | (148,761)                  |                              |  |  |  |  |  |  |
| 4   | Funds held by or deposited with reinsured companies (Line 16.2).                 |                               |                            |                              |  |  |  |  |  |  |
| 5.  | Other assets   | 135,489                       |                            | 135,489                      |  |  |  |  |  |  |
| 6.  | Net amount recoverable from reinsurers   |                               | 1,200,609                  | 1,200,609                    |  |  |  |  |  |  |
| 7.  | Protected cell assets (Line 27)  |                               | ,,,,,                      |                              |  |  |  |  |  |  |
| 8.  | Totals (Line 28)   | 22,889,758                    | 1,051,848                  | 23,941,606                   |  |  |  |  |  |  |
| LIABILI   | ITIES (Page 3)   | :                             |                            |                              |  |  |  |  |  |  |
| 9.  | Losses and loss adjustment expenses (Lines 1 through 3)                          | 697,013                       | 1,171,105                  | 1,868,118                    |  |  |  |  |  |  |
| 10.   | Taxes, expenses, and other obligations (Lines 4 through 8)                       | 239,299                       |                            | 239,299                      |  |  |  |  |  |  |
| 11.   | Unearned premiums (Line 9)   | 2,968,009                     |                            | 2,968,009                    |  |  |  |  |  |  |
| 12.   | Advance premiums (Line 10)   | 51,431                        |                            | 51,431                       |  |  |  |  |  |  |
| 13.   | Dividends declared and unpaid (Line 11.1 and 11.2)                               |                               |                            |                              |  |  |  |  |  |  |
| 14.   | Ceded reinsurance premiums payable (net of ceding commissions) (Line 12)         | 119,258                       | (119,258)                  |                              |  |  |  |  |  |  |
| 15.   | Funds held by company under reinsurance treaties (Line 13)                       |                               |                            |                              |  |  |  |  |  |  |
| 16.   | Amounts withheld or retained by company for account of others (Line 14)          | 30,344                        |                            | 30,344                       |  |  |  |  |  |  |
| 17.   | Provision for reinsurance (Line 16)  |                               |                            |                              |  |  |  |  |  |  |
| 18.   | Other liabilities  |                               |                            |                              |  |  |  |  |  |  |
| 19.   | Total liabilities excluding protected cell business (Line 26)                    | 4,105,354                     | 1,051,848                  | 5,157,202                    |  |  |  |  |  |  |
| 20.   | Protected cell liabilities (Line 27)   |                               | <u>-</u>                   |                              |  |  |  |  |  |  |
| 21.   | Surplus as regards policyholders (Line 37)                                       | 18,784,404                    | xxx                        | 18,784,404                   |  |  |  |  |  |  |
| 22.   | Totals (Line 38)   | 22,889,758                    | 1,051,848                  | 23,941,606                   |  |  |  |  |  |  |

NOTE: Is the restatement of this exhibit the result of grossing up balances ceded to affiliates under 100 percent reinsurance or pooling arrangements? Yes [ ] No [ X ] If yes, give full explanation:

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**SUMMARY INVESTMENT SCHEDULE** 

|   | Gross Inve |                        | Admitted Assets as Reported in the Annual Statement |  |                          |                                  |  |
|---|------------|------------------------|---|--|--------------------------|----------------------------------|--|
|   | 1          | Percentage of Column 1 | 3   | 4 Securities Lending Reinvested Collateral | 5<br>Total<br>(Coi. 3+4) | 6<br>Percentag<br>of<br>Column 5 |  |
| Investment Categories   | Amount     | Line 13                | Amount  | Amount                                     | Amount                   | Line 13                          |  |
| Long-Term Bonds (Schedule D, Part 1):   |            |                        | 1   |  |                          |                                  |  |
| 1.01 U.S. governments   |            | ·····                  |   | •    |                          |                                  |  |
| 1.02 All other governments  |            | ······                 |   |  |                          | ·                                |  |
| 1.00 0.01 0.01001 1.011001.00   |            | ·                      |   | •••••                                      |                          | ·                                |  |
| 1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed  | 9,185,015  | 42 . 185               | 9,185,015   |  | 9,185,015                | 42 . 185                         |  |
| 1.05 U.S. special revenue and special assessment obligations, etc.     non-guaranteed | 2,250,625  | 10.337                 | 2,250,625   |  | 2,250,625                | 10.33                            |  |
| 1.06 Industrial and miscellaneous   | 1,512,293  | 6.946                  | 1,512,293   |  | 1,512,293                | 6.94                             |  |
| 1,07 Hybrid securities  | .,         |                        |   |  |                          |                                  |  |
| 1.08 Parent, subsidiaries and affiliates  |            |                        |   |  |                          |                                  |  |
| 1.09 SVO identified funds   |            |                        |   |  |                          |                                  |  |
| 1.10 Unaffiliated bank loans  |            |                        | <u></u>   |  |                          |                                  |  |
| 1.11 Total long-term bonds  | 12,947,932 | 59.467                 | 12,947,932  |  | 12,947,932               | 59 . 46                          |  |
| Preferred stocks (Schedule D, Part 2, Section 1):                                     |            |                        |   |  |                          |                                  |  |
|   | 88,230     | 0.405                  | 88,230  |  | 88,230                   | 0.40                             |  |
| 2.02 Parent, subsidiaries and affiliates  |            |                        |   |  |                          |                                  |  |
| 2.03 Total preferred stocks   | 88,230     | 0.405                  |   |  | 88.230                   | 0.40                             |  |
|   |            |                        |   |  |                          |                                  |  |
| 3. Common stocks (Schedule D, Part 2, Section 2):                                     | 7 271 060  | 33.394                 | 7,271,060   |  | 7,271,060                | 33.39                            |  |
| 3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)                      | 41 722     | 0.192                  | 41,732  |  | 41,732                   | 0.19                             |  |
| 3.02 Industrial and miscellaneous Other (Unaffiliated)                                |            | 0.192                  | 41,732  |  |                          | 1                                |  |
| 3.03 Parent, subsidiaries and affiliates Publicly traded                              |            | 0.500                  | 122,408   |  | 122,408                  | 0.56                             |  |
| 3.04 Parent, subsidiaries and affiliates Other  | 122,408    | 0.562                  | 464,776   |  | 464,776                  | 2.13                             |  |
| 3.05 Mutual funds   | 1          | 2.135                  | 404,776   |  | 404,776                  | 2.13                             |  |
| 3.06 Unit investment trusts   |            |                        |   | ****                                       |                          |                                  |  |
| 3.07 Closed-end funds   |            |                        |   |  |                          |                                  |  |
| 3.08 Total common stocks  | 7,899,977  | 36 . 283               | 7,899,977   |  | 7,899,977                | 36 . 28                          |  |
| Mortgage loans (Schedule B):  |            |                        | Î   |  |                          |                                  |  |
| 4.01 Farm mortgages   |            |                        |   |  |                          | -}                               |  |
| 4.02 Residential mortgages  |            |                        |   |  |                          | .                                |  |
| 4.03 Commercial mortgages   |            |                        |   |  | •                        | .                                |  |
| 4.04 Mezzanine real estate loans  |            |                        |   |  |                          |                                  |  |
| 4.05 Total valuation allowance  |            |                        |   |  |                          |                                  |  |
| 4.06 Total mortgage loans   |            |                        |   |  |                          |                                  |  |
| 5. Real estate (Schedule A):  |            |                        |   |  | İ                        |                                  |  |
| 5.01 Properties occupied by company   | 391,394    | 1.798                  | 391,394   |  | 391,394                  | 1.79                             |  |
| 5.02 Properties held for production of income   |            |                        |   |  |                          |                                  |  |
| 5.03 Properties held for sale   |            |                        |   |  |                          |                                  |  |
| 5.04 Total real estate  | 391,394    | 1.798                  | 391,394   |  | 391,394                  | 1.79                             |  |
| Cash, cash equivalents and short-term investments:                                    | I          | [                      | 1   |  |                          |                                  |  |
| 6.01 Cash (Schedule E, Part 1)  | 405,204    | 1.861                  | 405,204   |  | 405,204                  | 1.86                             |  |
| 6.02 Cash equivalents (Schedule E, Part 2)  | 40,537     | 1                      | 40,537  |  | 40,537                   | 1                                |  |
| •   | 40,557     |                        | 10,007  |  | 1                        |                                  |  |
| 6.03 Short-term investments (Schedule DA)   |            | 2.047                  | 445,741   |  | 445,741                  | 2.04                             |  |
| 6.04 Total cash, cash equivalents and short-term investments                          | 440,741    | 2.041                  | 770,741   |  | 7,741                    |                                  |  |
| 7. Contract loans   |            |                        | -   |  |                          |                                  |  |
| 8. Derivatives (Schedule DB)  | <b></b>    |                        |   |  |                          |                                  |  |
| 9. Other invested assets (Schedule BA)  | t          |                        |   |  | †                        | T                                |  |
| 10. Receivables for securities  | ł          |                        |   | XXX  | XXX                      | XXX                              |  |
| 11. Securities Lending (Schedule DL, Part 1)  |            |                        | •             | xxx  |                          |                                  |  |
| 12. Other invested assets (Page 2, Line 11)   | ·          |                        |   | <del> </del>                               | 04 770 071               | 400.0                            |  |
| 13. Total invested assets   | 21,773,274 | 100.000                | 21,773,274  |  | 21,773,274               | 100.00                           |  |

# **SCHEDULE A – VERIFICATION BETWEEN YEARS**

Real Estate

| 1.  | Book/adjusted carrying value, December 31 of prior year  |
|-----|--|
| 2.  | Cost of acquired:  |
|     | 2.1 Actual cost at time of acquisition (Part 2, Column 6)  |
|     | 2.1 Adultional investment made after acquisition (Part 2, Column 9).   |
| 3.  | Current year change in encumbrances:   |
|     | 3.1 Totals, Part 1, Column 13  |
|     | 3.2 Totals, Part 3, Column 11  |
| 4.  | Total gain (loss) on disposals, Part 3, Column 18.  Deduct amounts received on disposals, Part 3, Column 15. |
|     | Deduct amounts received on disposals, Part 3, Column 15  |
| 6.  | Total foreign exchange change in book/adjusted carrying value:   |
|     | 6.1 Totals, Part 1, Column 15.   |
| -   |  |
| 7.  | Deduct current year's other-than-temporary impairment recognized:  |
|     | 7.1 Totals, Parl 1, Column 12 7.2 Totals, Parl 3, Column 10  |
| _   |  |
| 8.  | Deduct current year's depreciation:  |
|     | 8.1 Totals, Parl 1, Column 11 13,034 8.2 Totals, Parl 3, Column 9 13,034                                     |
|     | 8.2 Totals, Part 3, Column 9.  8.2 Totals, Part 3, Column 9.  391,394  391,394                               |
| 10. | Booksagusted carrying value at the end of current period (Lines 1+2+3+4-3+6-7-6).                            |
| 10. | Decide four inchargement value at end of current period (Line 9 minus Line 10). 391,394                      |
| 11. | Statement value at this of Current period (Line 3 minus Line 19).  |

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# SCHEDULE B – VERIFICATION BETWEEN YEARS

Mortgage Loans

|    | Book value/recorded investment excluding accrued interest, December 31 of prior year   |
|----|--|
| 2. | Cost of acquired:  |
|    | 2.1 Actual cost at time of acquisition (Part 2, Column 7)  |
|    | 2.2 Additional investment made after acquisition (Part 2, Column 8)  |
|    | Capitalized deferred interest and other:   |
|    | 3.1 Totals, Part 1, Column 12  |
|    | 3.2 Totals, Part 3, Column 11  |
| 4. | Accrual of discount  |
| 5  | Innealized valuation increase (decrease):  |
| ٠. | 5.1 Totals, Part 3, Column 9 5.2 Totals, Part 3, Column 8 Total gain (loss) on disposals, Part 3, Column 18.                                 |
|    | 5.2 Totals, Part 3, Column 8   |
| •  | 3.2 Total gain (loss) on disposals Part 3. Column 18   |
| ٥. | Total gelin (loss) in disposars, Part 3, Column 15   |
| /. | Deduct amounts received on disposals, ran 5, Countil 19  Beduct amounts received on premium and mortgage interest points and commitment fees |
| σ. | Deduct amortization of premium and mortgage interest posits and commitment rees  |
| 9. |  |
|    | 9.1 Totals, Part 1, Column 13  |
|    | 9.2 Totals, Part 3, Column 13  |
| 0. | Deduct current year's other-than-temporary impairment recognized:  |
|    | 10.1 Totals, Part 1, Column 11   |
|    | 10.2 Totals, Part 3, Column 10   |
| 1. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)                              |
|    | Total valuation allowance.   |
| 3. | Subtotal (Line 11 plus Line 12)  |
| 4. | Deduct total nonadmitted amounts   |
| 5. | Statement value of mortgages owned at end of current period (Line 13 minus Line 14)  |
|    |  |

## **SCHEDULE BA – VERIFICATION BETWEEN YEARS**

Other Long-Term Invested Assets

| 1.  | Book/adjusted carrying value, December 31 of prior year  |
|-----|--|
| 2.  | Cost of acquired:  |
|     | 2.1 Actual cost at time of acquisition (Part 2. Column 8)  |
|     | 2.2 Additional investment made after acquisition (Part 2, Column 9)  |
| 2   | Zapialized deferred interest and other:  |
| Э.  |  |
|     | 3.1 Totals, Part 1, Column 16  |
|     | 3.2 Totals, Part 3, Column 12  |
| 4.  | Accrual of discount  |
| 5.  | Unrealized valuation increase (decrease):  |
|     | 5.1 Totals, Part 1, Column 13  |
|     | 5.1 Totals, Part 1, Column 13 5.2 Totals, Part 3, Column 9   |
| 6.  | 5.2 Total spin (Ioss) on disposals, Part 3, Column 19.   |
| 7.  |  |
| 8.  | Deduct amortization of premium and depreciation.   |
| 9.  | Total foreign exchange change in book/adjusted carrying value:   |
|     | 9.1 Totals, Part 1, Column 17  |
|     | 9.2 Totals, Part 3, Column 14.   |
| 10  | Deduct current year's other-than-temporary impairment recognized:  |
|     | 10.1 Totals, Part 1, Column 15   |
|     | 10.2 Totals Part 3 Column 11   |
|     | 10.2 Totals, Fair 3, Volunim 1.  Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10). |
| 11. | Book/adjusted carrying value at end of current period (Lines 17275747576-10)   |
|     | Deduct total nonadmitted amounts.  |
| 13. | Statement value at end of current period (Line 11 minus Line 12)   |

# SCHEDULE D – VERIFICATION BETWEEN YEARS

Bonds and Stocks

|     | Post to the description of the Post of the State of the S | 18 276 647 |
|-----|--|------------|
| 1.  | Book/adjusted carrying value, December 31 of prior year.  Cost of bonds and stocks acquired, Part 3, Column 7.   | 2 200 624  |
| 2.  | Cost of bonds and stocks acquired, Part 3, Column 7  |            |
| 3.  | Accrual of discount  | 1,571      |
|     | Unrealized valuation increase (decrease):  |            |
|     | 4.1 Part 1 Column 12   |            |
|     | 4.2 Part 2, Section 1, Column 15   |            |
|     | 4.2 Port 2 Section 2 Column 13 1,192,4/2   |            |
|     | 4.4 Part 4, Column 11  | 1,150,620  |
| 5.  | Total gain (loss) on disposals, Part 4, Column 19.  Deduction consideration for bonds and stocks disposed of, Part 4, Column 7.  | 34,601     |
| 6.  | Deduction consideration for bonds and stocks disposed of, Part 4, Column 7   | 1,763,553  |
| 7.  | Deduction consideration for bonds and stocks disposed of, Part 4, Column 7.  Deduct amortization of premium  | 30,381     |
| 8.  |  |            |
|     | 8.1 Part 1 Column 15   |            |
|     | 8.2 Part 2 Section 1 Column 19   |            |
|     | 8.3 Part 2 Section 2 Column 16   |            |
|     | 8.4 Part 4, Column 15.   |            |
| 9.  | Deduct current year's other-than-temporary impairment recognized:  |            |
|     | 9.1 Part 1 Column 14   |            |
|     | 9.2 Part 2 Section 1 Column 17   |            |
|     | 9.3 Part 2, Section 2, Column 14   |            |
|     | 9.3 Part 2, Section 2, Column 14. 9.4 Part 4, Column 13.   |            |
| 10. | Total investment income recognized as a result of prepayment penalties and/or acceleration fees. Note 50, Line (2)   |            |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).  | 20,936,139 |
| 12. | Deduct total panadmitted amounts   |            |
| 13. | Statement value at end of current period (Line 11 minus Line 12)   | 20,936,139 |

# **SCHEDULE D - SUMMARY BY COUNTRY**

| Long-Term Bonds and Stocks OWNED December 31 of Current Year |     |                        |                                      |                 |                  |                      |  |  |
|--|-----|------------------------|--------------------------------------|-----------------|------------------|----------------------|--|--|
| Description  |     |                        | 1<br>Book/Adjusted<br>Carrying Value | 2<br>Fair Value | 3<br>Actual Cost | 4 Par Value of Bonds |  |  |
| BONDS  | 1,  | United States          |                                      |                 |                  |                      |  |  |
| Governments (including all obligations                       | 2.  | Canada                 |                                      |                 |                  |                      |  |  |
| guaranteed by governments)                                   | 3.  | Other Countries        |                                      |                 |                  |                      |  |  |
|  | 4.  | Totals                 |                                      |                 |                  |                      |  |  |
| U.S. States, Territories and Possessions                     |     |                        | 1                                    | 1               |                  |                      |  |  |
| (direct and guaranteed)                                      | 5.  | Totals                 |                                      |                 |                  |                      |  |  |
| U.S. Political Subdivisions of States, Territories           |     |                        |                                      |                 |                  |                      |  |  |
| and Possessions (direct and guaranteed)                      | 6.  | Totals                 | 9,185,015                            | 9,646,865       | 9,305,860        | 9,090,000            |  |  |
| U.S. Special Revenue and Special Assessment                  |     |                        |                                      |                 |                  |                      |  |  |
| Obligations and all Non-Guaranteed                           |     |                        |                                      |                 |                  |                      |  |  |
| Obligations of Agencies and Authorities of                   |     |                        |                                      | i               |                  |                      |  |  |
| Governments and their Political Subdivisions                 | 7.  | Totals                 | 2,250,625                            | 2,442,944       | 2,257,097        | 2,220,000            |  |  |
| Industrial and Miscellaneous, SVO Identified                 | 8.  | United States          | 1,512,293                            | 1,666,521       | 1,541,040        | 1,477,652            |  |  |
| Funds, Unaffiliated Bank Loans and Hybrid                    | 9.  | Canada                 |                                      |                 |                  |                      |  |  |
| Securities (unaffiliated)                                    | 10. | Other Countries        |                                      |                 |                  |                      |  |  |
|  | 11. | Totals                 | 1,512,293                            | 1,666,521       | 1,541,040        | 1,477,652            |  |  |
| Parent, Subsidiaries and Affiliates                          | 12. | Totals                 |                                      |                 |                  |                      |  |  |
|  | 13. | Total Bonds            | 12,947,932                           | 13,756,331      | 13,103,997       | 12,787,652           |  |  |
| PREFERRED STOCKS   | 14. | United States          |                                      | 88,230          | 85,000           |                      |  |  |
| Industrial and Miscellaneous (unaffiliated)                  | 15. | Canada                 |                                      |                 |                  |                      |  |  |
|  | 16. | Other Countries        |                                      |                 |                  |                      |  |  |
|  | 17. | Totals                 | 88,230                               | 88,230          | 85,000           |                      |  |  |
| Parent, Subsidiaries and Affiliates                          | 18. | Totals                 |                                      |                 |                  |                      |  |  |
|  | 19. | Total Preferred Stocks | 88,230                               | 88,230          | 85,000           |                      |  |  |
| COMMON STOCKS  | 20. | United States          | 7,777,569                            | 7,777,569       | 3,751,901        |                      |  |  |
| Industrial and Miscellaneous (unaffiliated)                  | 21. | Canada                 |                                      |                 |                  |                      |  |  |
| •  | 22. | Other Countries        |                                      |                 |                  |                      |  |  |
|  | 23. | Totals                 | 7,777,569                            | 7,777,569       | 3,751,901        |                      |  |  |
| Parent, Subsidiaries and Affiliates                          | 24. | Totals                 | 122,408                              | 122,408         | 21,878           |                      |  |  |
|  | 25. | Total Common Stocks    | 7,899,977                            | 7,899,977       | 3,773,779        |                      |  |  |
|  | 26. | Total Stocks           | 7,988,207                            | 7,988,207       | 3,858,779        |                      |  |  |
|  | 27. | Total Bonds and Stocks | 20,936,139                           | 21,744,538      | 16,962,776       |                      |  |  |

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

|                                | 1                                       | 2<br>Over 1 Year Through | 3<br>Over 5 Years | 4<br>Over 10 Years | 5             | 6<br>No Maturity | 7<br>Total   | 8<br>Col. 7 as a | 9<br>Total from Col. 7 | 10<br>% From Col. 8 | 11<br>Total Publicly | 12<br>Total Privately Placed |
|--------------------------------|---|--------------------------|-------------------|--------------------|---------------|------------------|--------------|------------------|------------------------|---------------------|----------------------|------------------------------|
| NAIC Designation               | 1 Year or Less                          | 5 Years                  | Through 10 Years  | Through 20 Years   | Over 20 Years | Date             | Current Year | % of Line 11.7   | Prior Year             | Prior Year          | Traded               | (a)                          |
| 1, U.S. Governments            | 1100.07200                              |                          |                   |                    |               |                  |              |                  |                        |                     |                      |                              |
| 1.1 NAIC 1                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 1.2 NAIC 2                     |   |                          |                   |                    |               | ХХХ              |              |                  |                        |                     |                      |                              |
| 1.3 NAIC 3                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 1.4 NAIC 4                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 1.5 NAIC 5                     |   |                          |                   |                    |               | XXX              |              |                  | ļ                      | ,                   |                      |                              |
| 1.6 NAIC 6                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 1.7 Totals                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 2. All Other Governments       |   |                          |                   |                    |               |                  |              |                  |                        |                     |                      |                              |
| 2.1 NAIC 1                     |   |                          |                   |                    |               | XXX              |              |                  | ļ                      |                     |                      |                              |
| 2.2 NAIC 2                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 2.3 NAIC 3                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 2.4 NAIC 4                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 2.5 NAIC 5                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 2.6 NAIC 6                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 2.7 Totals                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 3. U.S. States, Territories ar | nd Possessions, etc.,                   | Guaranteed               |                   |                    |               |                  |              |                  |                        |                     | 1                    |                              |
| 3.1 NAIC 1                     | 1                                       | 1                        |                   |                    |               | XXX              |              |                  | 1                      |                     |                      |                              |
| 3.2 NAIC 2                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 3.3 NAIC 3                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 3.4 NAIC 4                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 3.5 NAIC 5                     |   |                          |                   |                    |               | XXX              | 1            |                  |                        |                     |                      |                              |
| 3.6 NAIC 6                     | *************************************** |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 3.7 Totals                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 4. U.S. Political Subdivision  | e of States Territories                 | and Possessions Gua      | ranteed           |                    |               |                  |              |                  |                        |                     |                      |                              |
| 4.1 NAIC 1                     | 185.389                                 |                          | 3,414,978         | 793.760            | 1,195,360     | XXX              | 9.084.110    | 69.9             | 9,105,832              | 72.2                |                      | 9,084,110                    |
| 4.2 NAIC 2                     | 100,303                                 | 60.767                   |                   | 15,121             |               | XXX              | 75,888       | 0.6              | 76,344                 | 0.6                 |                      | 75,888                       |
| 4.3 NAIC 3                     | 25,017                                  |                          |                   |                    |               | XXX              | 25,017       | 0.2              | 25,068                 | 0.2                 |                      | 25,017                       |
| 4.4 NAIC 4                     |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
|                                |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 4.5 NAIC 5                     |   |                          |                   | 1                  |               | XXX              |              |                  |                        |                     |                      | 1                            |
| 4.7 Totals                     | 210.406                                 | 3,555,390                | 3,414,978         | 808,881            | 1,195,360     | XXX              | 9,185,015    | 70.7             | 9,207,245              | 73.0                |                      | 9,185,015                    |
| 5. U.S. Special Revenue &      |   |                          |                   | 505,001            | 1,100,000     | Ann              | 5,.55,010    | 1                | 1                      |                     |                      |                              |
| 5. U.S. Special Revenue &      |   |                          | L489.080          | 503.494            | 1.062.468     | XXX              | 2.250.625    | 17.3             | 2.254.013              | 17.9                |                      | 2,250,625                    |
| 5.1 NAIC 1                     |   |                          |                   |                    | 1,002,400     | XXX              | 2,203,020    |                  |                        |                     | l                    |                              |
| 5.2 NAIC 2<br>5.3 NAIC 3       |   |                          |                   |                    |               | XXX              |              |                  |                        |                     |                      |                              |
| 5.4 NAIC 4                     |   |                          | l                 | ·                  |               | XXX              |              |                  |                        |                     |                      |                              |
| 5.5 NAIC 4                     | ·                                       |                          |                   | -                  |               | XXX              |              |                  |                        |                     |                      |                              |
| 5.5 NAIC 5<br>5.6 NAIC 6       |   | +                        |                   |                    |               | XXX              | 1            |                  |                        |                     |                      |                              |
| 3.0 NAIC 6                     |   | 195.583                  | 489.080           | 503,494            | 1.062,468     | XXX              | 2,250,625    | 17.3             | 2,254,013              | 17.9                | 1                    | 2,250,625                    |

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

|                              | 1                  | 2<br>Over 1 Year Through |                  | 4<br>Over 10 Years | 5             | 6<br>No Maturity | 7<br>Total<br>Current Year | 8<br>Col. 7 as a<br>% of Line 11.7 | 9<br>Total from Col. 7<br>Prior Year | 10<br>% From Col. 8<br>Prior Year | 11<br>Total Publicly<br>Traded | 12<br>Total Privately Placed<br>(a) |
|------------------------------|--------------------|--------------------------|------------------|--------------------|---------------|------------------|----------------------------|------------------------------------|--------------------------------------|-----------------------------------|--------------------------------|-------------------------------------|
| NAIC Designation             | 1 Year or Less     | 5 Years                  | Through 10 Years | Through 20 Years   | Over 20 Years | Date             | Current Year               | % of Line 11./                     | Prior Year                           | Phor rear                         | rraded                         | (a)                                 |
| 6. Industrial and Miscellane | ous (unaffiliated) |                          |                  | 204 205            | 188.088       | XXX              | 539,950                    | 4.2                                | 377,401                              | 3.0                               | 499,413                        | 40.537                              |
| 6.1 NAIC 1                   |                    | 130,042                  |                  | 204,285            |               | XXX              | 986.323                    | 7.6                                | 723,527                              | 5.7                               | 986,323                        |                                     |
| 6.2 NAIC 2                   |                    | 20,000                   | 10,469           | 242,786            | 713,067       | XXX              | 25,042                     |                                    | 45,069                               | 0.4                               | 25,042                         |                                     |
| 6.3 NAIC 3                   |                    | 25,042                   |                  |                    |               | XXX              | 25,042                     |                                    | 45,009                               |                                   | 23,042                         |                                     |
| 6.4 NAIC 4                   |                    |                          |                  |                    |               |                  | 1,515                      | 0.0                                | 2.064                                | 0.0                               | 1,515                          |                                     |
| 6.5 NAIC 5                   |                    |                          |                  | 1,515              |               | XXX              | 1,515                      | 0.0                                | ∠,004                                |                                   | داد, اا                        |                                     |
| 6.6 NAIC 6                   |                    |                          |                  |                    | ********      | XXX              | 4 550 000                  | 40.0                               | 4 440 004                            | 9.1                               | 1,512,293                      | 40.537                              |
| 6.7 Totals                   |                    | 175,085                  | 28,004           | 448,586            | 901,155       | XXX              | 1,552,830                  | 12.0                               | 1,148,061                            | 9.1                               | 1,512,293                      | 40,337                              |
| 7. Hybrid Securities         |                    |                          |                  |                    |               | NAM.             |                            |                                    |                                      |                                   |                                |                                     |
| 7.1 NAIC 1                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 7.2 NAIC 2                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | ······                              |
| 7.3 NAIC 3                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 7.4 NAIC 4                   |                    |                          |                  |                    |               | XXX              |                            |                                    | l                                    |                                   |                                |                                     |
| 7.5 NAIC 5                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 7.6 NAIC 6                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 7.7 Totals                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 8. Parent, Subsidiaries a    | and Affiliates     |                          |                  |                    |               |                  |                            |                                    |                                      |                                   |                                |                                     |
| 8.1 NAIC 1                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 8.2 NAIC 2                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | ł                                   |
| 8.3 NAIC 3                   | 1                  |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | <del> </del>                        |
| 8.4 NAIC 4                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | <del> </del>                        |
| 8.5 NAIC 5                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | <b>}</b>                            |
| 8.6 NAIC 6                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 8.7 Totals                   |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                |                                     |
| 9. SVO Identified Funds      |                    |                          |                  |                    |               |                  |                            |                                    |                                      |                                   |                                |                                     |
| 9.1 NAIC 1                   | XXX                | ххх                      | ххх              | ХХХ                | XXX           |                  |                            |                                    |                                      |                                   |                                | ļ                                   |
| 9.2 NAIC 2                   | XXX                | XXX                      | ХХХ              | XXX                | ХХХ           |                  |                            |                                    |                                      |                                   |                                |                                     |
| 9.3 NAIC 3                   | l xxx              | XXX                      | ДХХХ             | ХХХ                | XXX           |                  |                            | ,,                                 |                                      |                                   |                                |                                     |
| 9.4 NAIC 4                   | ххх                | XXX                      | XXX              | ххх                | XXX           |                  |                            |                                    |                                      |                                   |                                |                                     |
| 9.5 NAIC 5                   | XXX                | XXX                      | XXX              | ххх                | XXX           |                  |                            |                                    |                                      |                                   |                                | ļ                                   |
| 9.6 NAIC 6                   | XXX                | XXX                      | XXX              | XXX                | XXX           |                  |                            |                                    |                                      |                                   |                                | İ                                   |
| 9.7 Totals                   | XXX                | XXX                      | XXX              | XXX                | XXX           |                  |                            |                                    |                                      |                                   |                                |                                     |
| 10. Unaffiliated Bank Loans  |                    | 7,500                    |                  |                    |               |                  |                            |                                    |                                      |                                   |                                |                                     |
| 10.1 NAIC 1                  | 1                  |                          |                  | 1                  |               | XXX              |                            |                                    |                                      |                                   |                                | ļ                                   |
| 10.2 NAIC 2                  |                    |                          |                  | 1                  |               | XXX              |                            |                                    |                                      |                                   |                                | ļ                                   |
| 10.3 NAIC 3                  | 1                  |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | ļ                                   |
| 10.4 NAIC 4                  | 1                  | T                        | 1                |                    |               | XXX              |                            |                                    |                                      |                                   | L                              | ļ                                   |
| 10.5 NAIC 5                  | 1                  |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | ļ                                   |
| 10.6 NAIC 6                  |                    |                          |                  |                    |               | XXX              |                            |                                    |                                      |                                   |                                | 1                                   |
| 10.7 Totals                  |                    | +                        | <del> </del>     |                    | -             | XXX              |                            |                                    |                                      |                                   |                                | 1                                   |

#### **SCHEDULE D - PART 1A - SECTION 1 (Continued)**

|                                 |                | Quality and         | maturity Distribution C | A THE POSICE CANNED DE | 5             | 6            | s by Major Types of Is | 8              | 9                 | 10            | 11        | 12                     |
|---------------------------------|----------------|---------------------|-------------------------|------------------------|---------------|--------------|------------------------|----------------|-------------------|---------------|-----------|------------------------|
|                                 | 1              | Over 1 Year Through | Over 5 Years            | Over 10 Years          | 3             | No Maturity  | Total                  | Col. 7 as a    | Total from Col. 7 | % From Col. 8 |           | Total Privately Placed |
| NAIC Designation                | 1 Year or Less | 5 Years             | Through 10 Years        | Through 20 Years       | Over 20 Years | Date         | Current Year           | % of Line 11.7 | Prior Year        | Prior Year    | Traded    | (a)                    |
| 11. Total Bonds Current Year    |                |                     |                         |                        |               |              |                        |                |                   |               |           |                        |
| 11.1 NAIC 1                     | (d)185.389     |                     | 3,921,592               | 1,501,539              | 2,445,917     |              | 11,874,685             | 91.4           | XXX               | XXX           | 499,413   | 11,375,272             |
| 11.2 NAIC 2                     | (d)            | 80,767              | 10,469                  | 257,907                | 713,067       |              | 1,062,211              | 8.2            | XXX               | XXX           | 986,323   | 75,888                 |
| 11.3 NAIC 3                     | (d)25,017      | 25,042              |                         |                        |               |              | 50,059                 | 0.4            | XXX               | XXX           | 25,042    | 25,017                 |
| 11.4 NAIC 4                     | (d)            | <u> </u>            |                         |                        |               |              |                        |                | XXX               | XXX           | 4 545     |                        |
| 11.5 NAIC 5                     | (d)            |                     |                         | 1,515                  |               |              | (c)1,515               | 0.0            | ХХХ               | XXX           | 1,515     |                        |
| 11.6 NAIC 6                     | (d)            |                     |                         |                        |               |              | (c)                    |                | XXX               | XXX           |           |                        |
| 11.7 Totals                     | 210.406        | 3,926,057           | 3.932.062               | 1,760,961              | 3,158,984     |              | (b)12,988,470          | 100.0          | XXX               | ХХХ           | 1,512,293 | 11,476,177             |
| 11.8 Line 11.7 as a % of Col. 7 | 1.6            | 30.2                | 30.3                    | 13.6                   | 24.3          |              | 100.0                  | XXX            | XXX               | XXX           | 11.6      | 88.4                   |
| 12. Total Bonds Prior Year      |                |                     |                         |                        |               |              |                        |                |                   |               |           |                        |
| 12.1 NAIC 1                     | 255,516        | 2,739,262           | 4,872,357               | 1,761,032              | 2,109,080     |              | XXX                    | XXX            | 11,737,247        | 93.1          | 354,239   | 11,383,008             |
| 12.2 NAIC 2                     | .50,145        | 81,217              | 10.534                  | 205,595                | 452,379       |              | ХХХ                    | XXX            | 799,871           | 6.3           | 723,527   |                        |
| 12.3 NAIC 3                     |                | 50,137              |                         |                        | 20,000        |              | XXX                    | XXX            | 70,137            | 0.6           | 45,069    | 25,068                 |
| 12.4 NAIC 4                     |                |                     |                         |                        |               |              | ххх                    | XXX            |                   |               |           |                        |
| 12.5 NAIC 5                     |                |                     |                         | 2,064                  |               |              | ххх                    | XXX            | (c)2,064          | 0.0           | 2,064     |                        |
| 12.6 NAIC 6                     |                |                     |                         |                        |               |              | XXX                    | XXX            | (c)               |               |           |                        |
| 12.7 Totals                     | 305,662        | 2,870,616           | 4,882,890               | 1,968,691              | 2,581,459     |              | XXX                    | XXX            | (b)12,609,319     | 100.0         | 1,124,899 | 11,484,420             |
| 12.8 Line 12.7 as a % of Col. 9 | 2.4            |                     | 38.7                    | 15.6                   | 20.5          |              | XXX                    | XXX            | 100.0             | XXX           | 8.9       | 91.1                   |
| 13. Total Publicly Traded Bonds |                |                     |                         |                        |               | l            |                        |                |                   | 1             |           |                        |
| 13.1 NAIC 1                     |                | 130.042             | 17,534                  | 204,285                | 147 ,551      |              | 499,413                | 3.8            | 354,239           | 2.8           | 499,413   | XXX                    |
| 13.2 NAIC 2                     |                | 20,000              | 10.469                  | 242,786                | 713,067       |              | 986,323                | 7.6            | 723,527           | 5.7           | 986,323   | XXX                    |
| 13.3 NAIC 3                     |                | 25,042              |                         |                        |               |              | 25,042                 | 0.2            | 45,069            | 0.4           | 25,042    | XXX                    |
| 13.4 NAIC 4                     |                |                     |                         |                        |               |              | ļ                      |                |                   |               |           | XXX                    |
| 13.5 NAIC 5                     |                |                     |                         | 1,515                  |               |              | 1,515                  | 0.0            | 2,064             | 0.0           | 1,515     | XXX                    |
| 13.6 NAIC 6                     |                |                     |                         |                        |               |              |                        |                |                   |               |           | XXX                    |
| 13.7 Totals                     |                | 175 . 085           | 28,004                  | 448,586                | 860,618       |              | 1,512,293              | 11.6           | 1,124,899         | 8.9           | 1,512,293 | XXX                    |
| 13.8 Line 13.7 as a % of Col. 7 |                | 11.6                | 1.9                     | 29.7                   | 56.9          |              | 100.0                  | ХХХ            | XXX               | XXX           | 100.0     | XXX                    |
| 13.9 Line 13.7 as a % of Line   |                |                     | i                       |                        |               |              | l                      |                |                   | run.          | 44.0      |                        |
| 11.7, Col. 7, Section 11        |                | 1.3                 | 0.2                     | 3.5                    | 6.6           |              | 11.6                   | XXX            | XXX               | XXX           | 11.6      | XXX                    |
| 14. Total Privately Placed      |                |                     |                         |                        |               |              |                        |                |                   |               |           |                        |
| Bonds                           |                |                     |                         |                        | 0 000 000     | 1 .          | 11,375,272             | 87.6           | 11,383,008        | 90.3          | ххх       | 11,375,272             |
| 14.1 NAIC 1                     | 185,389        | 3,690,205           | 3,904,058               | 1,297,254              | 2,298,366     |              | 75,888                 | 0.6            | 76.344            | 0.6           | XXX       | 75,888                 |
| 14.2 NAIC 2                     |                |                     |                         | 15,121                 |               |              |                        | 0.2            | 25,068            | 0.2           | XXX       | 25,017                 |
| 14.3 NAIC 3                     | 25,017         | <b></b>             |                         |                        |               |              | 25,017                 | J0.2           | 25,000            |               | XXX       | 23,011                 |
| 14.4 NAIC 4                     |                |                     |                         | <b></b>                |               | <del> </del> |                        |                |                   |               | XXX       | <del> </del>           |
| 14.5 NAIC 5                     |                |                     |                         |                        | <del> </del>  |              | +                      |                |                   |               | XXX       | <b>†</b>               |
| 14.6 NAIC 6                     |                |                     |                         |                        | 1             |              | 24 470 477             | 88.4           | 11,484,420        | 91.1          | XXX       | 11,476,177             |
| 14.7 Totals                     | 210,406        | 3,750,973           | 3,904,058               | 1,312,375              | 2,298,366     |              | 11,476,177             | XXX 88.4       | 71,484,420<br>XXX | XXX 91.1      | XXX       | 100.0                  |
| 14.8 Line 14.7 as a % of Col. 7 | 1.8            | 32.7                | 34.0                    | 11.4                   | 20.0          |              | 100.0                  |                | ΛΛΛ               | ······        | ۸۸۸       |                        |
| 14.9 Line 14.7 as a % of Line   | I              | 1                   | 1                       | 1                      | 1             | I            | 1                      | 1              | 1                 | l www         | WWW.      | 00.4                   |

#### **SCHEDULE D - PART 1A - SECTION 2**

Asturity Distribution of All Bonds Owned December 31, At Book/Adjusted Carrying Values by Major Type and Subby 2
Over 1 Year Over 5 Years Over 10 Years
Through 5 Years Through 10 Years Through 20 Years Over 20 Years Date Current Year 12 Total Privately Placed 9 Total from Coi. 7 Prior Year 10 % From Col. 8 Prior Year 8 Col. 7 as a % of Line 11.08 11 Total Publicly Traded 1. U.S. Governments
1.01 Issuer Obligations
1.02 Residential Mortgage-Backed Securities
1.03 Commercial Mortgage-Backed Securities
1.04 Other Loan-Backed and Structured Securities
1.05 Totals
2. A.O. Totals Structured Securities
2. A.O. Totals Structured Securities
2.01 Executions
2.02 Residential Mortgage-Backed Securities
2.03 Commercial Mortgage-Backed Securities
2.04 Other Loan-Backed and Structured Securities
2.05 Totals
3. U.S. States, Territories and Possessions, Guaranteed
3.01 Issuer Obligations
3.02 Residential Mortgage-Backed Securities
3.03 Commercial Mortgage-Backed Securities
3.03 Commercial Mortgage-Backed Securities
3.04 Other Loan-Backed and Structured Securities
3.05 Totals
4.U.S. Political Subdivisions of States, Territories and Posse
4.01 Issuer Obligations
4.07 Residential Mortgage-Backed Securities 1 Year or Less .XXX. XXX XXX XXX XXX XXX XXX XXX XXX ....9,185,015 ..70.7 .....9,207,245 ..73.0 ...9.185.015 .....3,555,390 ...3,414,978 4.01 Issuer Obligations
4.02 Residential Mortgage-Backed Securities.
4.03 Commercial Mortgage-Backed Securities.
4.04 Other Loan-Backed and Structured Securities. XXX 9,185,015 9,185,015 9.207.245 73.0 3.414.978 808.881 1,195,360 70.7 4.04 Other Loan-Backed and Structured Securities.
4.05 Totals
5.U.S. Special Revenue & Special Assessment Obligations, etc.
5.01 Issuer Obligations
5.02 Residential Mortgage-Backed Securities
5.03 Commercial Mortgage-Backed Securities.
5.04 Other Loan-Backed and Structured Securities. 3,555,390 ...195,583 ..489,080 ..503,494 ...1,062,468 ..2,250,625 ..17 . 3 ...2,254,013 ...17.9 ...2,250,625 5.04 Other Loan-Backed and Structured Securities.
5.05 Totals
6. Industrial and Miscellaneous
6.01 Issuer Obligations
6.02 Residential Mortgage-Backed Securities
6.03 Commercial Mortgage-Backed Securities
6.04 Other Loan-Backed and Structured Securities
6.04 Other Loan-Backed and Structured Securities
7.14 Insure Obligations
7.02 Residential Mortgage-Backed Securities
7.03 Commercial Mortgage-Backed Securities
7.04 Other Loan-Backed and Structured Securities
7.05 Totals 2,250,625 17.3 2,254,013 2.250.625 195,583 489,080 503,494 1,062,468 17.9 ...1,141,825 ......2,064 .....4,172 ...444,000 .....1,515 .....3,071 XXX 1,548,244 1,515 3,071 ...40,537 ...901 . 155 ...175,085 .28,004 175,085 28,004 448,586 901,155 1,552,830 12.0 1,148,061 9.1 1,512,293 40,537 XXX XXX XXX XXX 7.04 Other Loan-Backed and Structured Securities. 7.05 Totals
Parent, Subsidiaries and Affiliates
8.01 Issuer Obligations
8.02 Residential Mortgage-Backed Securities.
8.03 Commercial Mortgage-Backed Securities.
8.04 Other Loan-Backed and Structured Securities.
8.04 Other Loan-Backed and Structured Securities.
8.05 Affiliated Bank Loans - Issued.
8.06 Affiliated Bank Loans - Acquired.
8.07 Tratals XXX. XXX

#### SCHEDULE D - PART 1A - SECTION 2 (Continued)

|   |                | S                     | CHEDULE           | D - PARI             | 1A - SEC                 | HON 2 (C           | ontinuea)                             |                 |                   |               |                |                 |
|---|----------------|-----------------------|-------------------|----------------------|--------------------------|--------------------|---------------------------------------|-----------------|-------------------|---------------|----------------|-----------------|
|   |                | Maturity Distribution | of All Bonds Owne | ed December 31, at E | Book/Adjusted Carry<br>5 | ing Values by Majo | r Type and Subtype                    | of Issues       | 9                 | 10            | 11             | 12              |
|   |                | Over 1 Year           | Over 5 Years      | Over 10 Years        | -                        | No Maturity        | Total                                 | Col. 7 as a     | Total from Col. 7 | % From Col. 8 | Total Publicly | Total Privately |
| Distribution by Type                                      | 1 Year or Less | Through 5 Years       | Through 10 Years  | Through 20 Years     | Over 20 Years            | Date               | Current Year                          | % of Line 11.08 | Prior Year        | Prior Year    | Traded         | Placed          |
| 9. SVO Identified Funds                                   |                |                       |                   |                      |                          |                    |                                       |                 | 1                 |               |                |                 |
| 9.01 Exchange Traded Funds Identified by the SVO          | XXX            | XXX                   | XXX               | XXX                  | XXX                      |                    |                                       |                 |                   |               |                |                 |
| 10. Unaffiliated Bank Loans                               |                |                       |                   |                      |                          | XXX                |                                       | 1               |                   |               |                |                 |
| 10.01 Bank Loans - Issued                                 |                |                       |                   |                      |                          | XXX                |                                       | <b>†</b>        |                   | *             |                |                 |
| 10.02 Bank Loans – Acquired                               |                |                       |                   |                      |                          | XXX                |                                       |                 |                   |               |                |                 |
| 10.03 Totals  |                |                       |                   |                      |                          | ***                |                                       |                 |                   |               |                |                 |
| 11. Total Bonds Current Year                              |                | 1                     |                   | 4 700 000            | 0.450.004                | XXX                | 12.983.884                            | 100.0           | xxx               | XXX           | 1.507.707      | 11.476.17       |
| 11.01 Issuer Obligations                                  | 210 ,406       | 3,926,057             | 3,932,062         | 1,756.375            | 3, 158, 984              | XXX                | 1.515                                 | 0.0             | XXX               | 1 xxx         | 1,515          |                 |
| 11.02 Residential Mortgage-Backed Securities              |                |                       |                   | 1,515                |                          |                    | 3 071                                 | 0.0             | XXX               | XXX           | 3.071          |                 |
| 11.03 Commercial Mortgage-Backed Securities               |                |                       |                   |                      |                          | XXX                | 3,071                                 | J               | XXX               | XXX           |                |                 |
| 11.04 Other Loan-Backed and Structured Securities         |                |                       |                   | ļ                    | www.                     | ххх                | ······                                | <del> </del>    | XXX               | XXX           |                |                 |
| 11.05 SVO Identified Funds                                | XXX            | xxx                   | XXX               | xxx                  | XXX                      | XXX                |                                       |                 | XXX               | XXX           |                |                 |
| 11.06 Affiliated Bank Loans                               |                |                       |                   | 4                    |                          |                    | ł                                     |                 | XXX               | XXX           |                |                 |
| 11.07 Unaffiliated Bank Loans                             |                |                       |                   |                      |                          | XXX                | 10 000 170                            | 400.0           |                   | XXX           | 1,512,293      | .11,476,17      |
| 11.08 Totals  | 210,406        | 3,926,057             | 3,932,062         | 1,760,961            | 3.158.984                |                    | 12.988.470                            | 100.0           | XXX               | XXX           | 1,512,293      | 11,4/6,1/       |
| 11.09 Lines 11.08 as a % Col. 7                           | 1.6            | 30.2                  | 30.3              | 13.6                 | 24.3                     |                    | 100.0                                 | XXX             | ***               | AAA           | 11.6           |                 |
| 12. Total Bonds Prior Year                                |                |                       |                   |                      |                          |                    | · xxx                                 | WWW             | 12.603.082        | 100.0         | 1,118,662      | 11,484,42       |
| 12.01 Issuer Obligations                                  | 305.662        | 2,870,616             | 4,882,890         | 1,962,455            | 2,581,459                | XXX                |                                       | ДХХХ            | 2.064             |               | 2.064          | 11,404,42       |
| 12.02 Residential Mortgage-Backed Securities              |                |                       |                   | 2.064                |                          | XXX                | xxx                                   | XXX             | 4.172             |               | 4.172          |                 |
| 12.03 Commercial Mortgage-Backed Securities               |                |                       |                   | 4,172                |                          | ххх                | ххх                                   | ххх             | 4,1/2             | 0.0           | 4, 172         |                 |
| 12.04 Other Loan-Backed and Structured Securities         |                |                       |                   |                      |                          | XXX                | XXX                                   | XXX             |                   |               |                |                 |
| 12.05 SVO Identified Funds                                | XXX            | XXX                   | XXX               | ххх                  | xxx                      |                    | xxx                                   | xxx             |                   |               |                |                 |
| 12.06 Affiliated Bank Loans                               |                |                       |                   | <del> </del>         |                          | ххх                | XXX                                   | XXX             |                   | ·             |                |                 |
| 12.07 Unaffiliated Bank Loans                             |                |                       |                   |                      |                          | XXX                | XXX                                   | XXX             |                   | <del></del>   |                | 11,484,42       |
| 12.08 Totals  | 305.662        | 2,870,616             | 4.882.890         | 1,968,691            | 2,581,459                |                    | , , , , , , , , , , , , , , , , , , , | XXX             | 12,609,319        |               | 1,124.899      |                 |
| 12.09 Line 12.08 as a % of Col. 9                         | 2.4            | 22.8                  | 38.7              | 15.6                 | 20.5                     |                    | XXX                                   | XXX             | 100.0             | XXX           | 8.9            | 91.             |
| 13. Total Publicly Traded Bonds                           |                |                       |                   |                      |                          |                    |                                       |                 |                   | 1             |                | 1000            |
| 13.01 Issuer Obligations                                  |                |                       | 28,004            | 444,000              | 860,618                  | XXX                | 1,507,707                             | 11.6            | 1,118,662         |               | 1,507,707      | XXX             |
| 13.02 Residential Mortgage-Backed Securities              |                |                       |                   | 1,515                |                          | XXX                | 1.515                                 | 0.0             | 2.064             |               | 1,515          | XXX<br>XXX      |
| 13.03 Commercial Mortgage-Backed Securities               |                |                       |                   | 3,071                |                          | XXX                | 3.071                                 | 0.0             | 4,172             | 0.0           | 3.071          |                 |
| 13.04 Other Loan-Backed and Structured Securities         |                |                       |                   |                      |                          | XXX                |                                       |                 |                   |               |                | xxx             |
| 13.05 SVO Identified Funds                                | XXX            | XXX                   | ххх               | ххх                  | ххх                      |                    | ļ                                     |                 |                   |               |                | xxx             |
| 13.06 Affiliated Bank Loans                               |                |                       | 1                 |                      |                          | XXX                | ļ                                     |                 |                   |               |                | ххх             |
| 13.07 Unaffiliated Bank Loans                             |                |                       |                   |                      | <u> </u>                 | XXX                |                                       |                 |                   |               |                | XXX             |
| 13.08 Totals  |                | 175,085               | 28,004            |                      | 860,618                  |                    | 1,512,293                             |                 |                   |               | 1,512.293      | ххх             |
| 13.09 Line 13.08 as a % of Col. 7.                        |                | 11.6                  | 1.9               |                      | 56.9                     |                    | 100.0                                 |                 | XXX               | XXX           | 100.0          | XXX             |
| 13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11 |                | 1.3                   | 0.2               | 3.5                  | 6.6                      |                    | 11.6                                  | XXX             | XXX               | XXX           | 11.6           | XXX             |
| 14. Total Privately Placed Bonds                          |                |                       |                   |                      |                          |                    |                                       |                 |                   | 1             |                |                 |
| 14.01 Issuer Obligations                                  | 210,406        | 3,750,973             | 3,904,058         | 1,312,375            | 2,298.366                | XXX                | 11.476.177                            | 88.4            | 11.484.420        | 91.1          | XXX            | 11,476,17       |
| 14.02 Residential Mortgage-Backed Securities              |                | 1                     |                   |                      |                          | XXX                |                                       |                 | ļ                 |               | XXX            |                 |
| 14.03 Commercial Mortgage-Backed Securities               |                |                       |                   | 1                    |                          | XXX                | J                                     |                 | <b>↓</b>          | 4             | XXX            | ļ               |
| 14.04 Other Loan-Backed and Structured Securities         |                |                       |                   | 1                    |                          | XXX                | 4                                     |                 | ļ                 |               | ххх            | ł               |
| 14.05 SVO Identified Funds                                | XXX            | XXX                   | XXX               | XXX                  | ххх                      |                    | ļ                                     |                 | <b>↓</b>          | 4             | ХХХ            |                 |
| 14.06 Affiliated Bank Loans                               |                |                       |                   |                      |                          | XXX                | <b></b>                               |                 | <b></b>           |               | XXX            |                 |
| 14.07 Unaffiliated Bank Loans                             |                |                       |                   | I                    | L                        | XXX                |                                       |                 |                   | 1             | XXX            |                 |
| 14.08 Totals  | 210.406        | 3.750,973             | 3,904,058         |                      | 2.298,366                |                    | 11,476,177                            | 88.4            | 11,484.420        |               | ххх            | 11,476.17       |
| 14.09 Line 14.08 as a % of Col. 7                         | 1.8            |                       | 34.0              |                      | 20.0                     |                    | 100.0                                 |                 | XXX               | ххх           | XXX            | 100.            |
| 14.10 Line 14.08 as a % of Line 11.08 Col. 7. Section 11  | 1.6            |                       | 30.1              | 10.1                 | 17.7                     |                    | 88.4                                  | XXX             | XXX               | XXX           | XXX            | 88.             |

Schedule DB - Part A - Verification NONE

Schedule DB - Part B - Verification NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

 $\begin{array}{c} \text{Schedule DB - Verification} \\ \textbf{NONE} \end{array}$ 

## SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

| (Cash Equivalen   | ts)       |       |                              |           |
|---|-----------|-------|------------------------------|-----------|
|   | 1         | 2     | 3                            | 4         |
|   | Total     | Bonds | Money Market<br>Mutual Funds | Other (a) |
| Book/adjusted carrying value, December 31 of prior year   | 23,162    |       | 23,162                       |           |
| Cost of cash equivalents acquired   | 727 , 176 |       |                              | ******    |
| 3. Accrual of discount  |           |       |                              |           |
| Unrealized valuation increase (decrease)  |           |       |                              |           |
| Total gain (loss) on disposals.   |           |       | I                            |           |
| Deduct consideration received on disposals.   |           |       | 1                            |           |
| 7. Deduct amortization of premium.  |           |       |                              |           |
| Total foreign exchange change in book/adjusted carrying value      Deduct current year's other-than-temporary impairment recognized                     |           |       |                              |           |
| Deduct current year's order-trian-temporary impairment recognized.  10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) |           |       | 1                            |           |
| 11. Deduct total nonadmitted amounts.   |           |       |                              |           |
| 12. Statement value at end of current period (Line 10 minus Line 11)  | 40,537    |       | 40,537                       |           |

<sup>(</sup>a) Indicate the category of such investments, for example, joint ventures, transportation equipment

#### **SCHEDULE A - PART 1**

|  |           |                      |          |              |              |         |              | A - PAR          |              |                |                  |                  |                                       |               |              |             |
|--|-----------|----------------------|----------|--------------|--------------|---------|--------------|------------------|--------------|----------------|------------------|------------------|---------------------------------------|---------------|--------------|-------------|
|  |           |                      |          |              |              |         |              | D December 31 of |              |                |                  |                  | ···                                   |               |              | 1           |
| 1  | 2         | Loca                 | tion     | 5            | 6            | 7       | 8            | 9                | 10           | Chang          | e in Book/Adjust | ted Carrying Val | ue Less Encumbi                       | rances        | 16           | 17          |
|  |           | 3                    | 4        |              |              |         |              |                  |              | 11             | 12               | 13               | 14                                    | 15            |              |             |
|  |           | 3                    | ,        |              |              |         |              |                  |              |                | -                |                  |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              |                | Current Year's   |                  |                                       |               | Gross Income | i 1         |
| ]  |           |                      |          |              |              |         |              | Book/Adjusted    |              |                | Other-Than-      |                  |                                       | Total Foreign |              | Taxes,      |
| ]  |           |                      |          |              | Date of      |         |              | Carrying Value   | Fair Value   |                | Temporary        | Current Year's   | Total Change in                       | Exchange      | Interest     | Repairs and |
| ]  |           |                      |          | Date         | Last         | Actual  | Amount of    | Less             | Less         | Current Year's | Impairment       | Change in        | B./A.C.V.                             | Change in     | Incurred on  | Expenses    |
| Description of Property  | Code      | City                 | State    | Acquired     | Appraisal    | Cost    | Encumbrances | Encumbrances     | Encumbrances | Depreciation   | Recognized       | Encumbrances     | (13-11-12)                            | B./A.C.V.     | Encumbrances | Incurred    |
| Properties occupied by the reporting ent                             | ity - Hea | Dyersville           | IIA      | T 44/22/2016 |              | 384,094 |              | 312,406          |              | 13.034         |                  | T                | (13,034)                              |               | Γ            | 14.072      |
| Building.  |           | Dyersville           | i        | 11/22/2016   |              | 78,987  |              |                  |              |                |                  |                  |                                       |               |              |             |
| 0199999 - Properties occupied by the repo                            | orting en | tity - Health Care i | Delivery |              |              | 463,081 |              | 391,394          |              | 13,034         |                  |                  | (13.034)                              |               |              | 14,072      |
| Properties occupied by the reporting ent                             | ity - Adm | inistrative          |          |              |              |         |              |                  |              | 40.004         |                  |                  | (40.004)                              |               |              | 14.072      |
| 0399999 - Total Properties occupied by ti                            | he report | ing entity           |          |              |              | 463,081 |              | 391,394          |              | 13,034         | L                |                  | (13,034)                              |               | ·            | 14,072      |
| Properties held for the production of in<br>Properties held for sale | come      |                      |          |              |              |         |              |                  |              |                |                  |                  |                                       |               |              |             |
| Properties held for sale   |           | -                    |          | I            |              |         | l            | 1                |              |                |                  |                  |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              |                |                  | L                |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              |                |                  | ł                |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              | •              |                  | 1                |                                       |               |              |             |
|  |           |                      |          | 1            | 1            |         |              |                  |              |                |                  |                  |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              |                |                  | <b></b>          |                                       |               |              |             |
|  | ļ         |                      |          | <b>-</b>     |              |         |              |                  |              | ł              |                  |                  |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              | 1              |                  | İ                |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              | I              |                  |                  |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              | ļ              |                  |                  |                                       |               | ļ            |             |
|  |           |                      |          |              |              |         |              |                  |              | ·····          |                  |                  |                                       |               |              |             |
|  |           |                      |          |              |              |         |              |                  |              | 1              |                  |                  |                                       |               |              |             |
|  | 1         |                      |          | L            |              |         |              |                  |              | ļ              |                  |                  |                                       |               |              |             |
|  |           |                      |          |              | ł            | ł       |              |                  |              | <del> </del>   |                  | · <del> </del>   |                                       |               |              | ł           |
|  |           |                      |          | ł            |              |         |              | İ                |              | T              |                  | 1                |                                       |               |              |             |
|  |           |                      |          |              |              |         |              | I                |              |                |                  |                  |                                       |               |              | 1           |
|  |           |                      |          |              |              |         |              | <b>1</b>         |              | ļ              |                  |                  |                                       | ļ             | ļ            |             |
|  |           |                      |          | <b>+</b>     | ł            |         |              | •                |              |                | •                |                  | · · · · · · · · · · · · · · · · · · · |               |              |             |
|  | ·····     |                      |          | †            |              |         |              |                  |              | 1              |                  |                  |                                       |               |              |             |
|  | 1         |                      |          |              |              |         |              |                  |              |                |                  |                  |                                       |               |              |             |
|  |           |                      |          | ļ            | <del> </del> | 1       |              |                  | ł            | ł              |                  | <b>†</b>         | ·····                                 | ł             |              | t           |
|  | ł         |                      |          | t            | <del> </del> |         |              | <b>†</b>         |              |                | İ                | İ                |                                       |               |              | İ           |
|  | 1         |                      |          | 1            | 1            |         |              | 1                |              |                |                  | I                |                                       |               |              |             |
|  | 1         |                      |          |              | <b>↓</b>     |         |              |                  | ļ            | <b>}</b>       | ļ                |                  | ļ                                     | <b>}</b>      |              | <b>}</b>    |
|  | Į         |                      |          | ł            | <del> </del> |         |              | <del> </del>     |              | <b>†</b>       | ł                | <del> </del>     |                                       |               |              | †           |
| 0699999 Totals   | 1         |                      |          |              | 4            | 463.081 |              | 391.394          |              | 13.034         |                  |                  | (13.034)                              |               |              | 14,072      |

Schedule A - Part 2

NONE

Schedule A - Part 3

**NONE** 

Schedule B - Part 1

**NONE** 

Schedule B - Part 2

**NONE** 

Schedule B - Part 3

NONE

Schedule BA - Part 1

**NONE** 

Schedule BA - Part 2

**NONE** 

Schedule BA - Part 3

**NONE** 

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

10 11 Change in Book / Adjusted Carrying Value
12 13 14 Current
Current

|                 |   |              | F<br>0<br>r   |            | Designation<br>, NAIC<br>Designation |                    |                        |                  |                  |                      |   |                              | Current<br>Year's<br>Other | Total<br>Foreign   |                |                |            |                    |                |                          |                          |
|-----------------|---|--------------|---------------|------------|--------------------------------------|--------------------|------------------------|------------------|------------------|----------------------|---|------------------------------|----------------------------|--------------------|----------------|----------------|------------|--------------------|----------------|--------------------------|--------------------------|
|                 |   |              | e             |            | Modifier<br>and SVO                  |                    | Rate Used<br>to Obtain |                  |                  | Book/<br>Adjusted    | Unrealized<br>Valuation                 | Current Year's               | Than<br>Temporary          | Exchange<br>Change |                | Effective      |            | Admitted<br>Amount | Amount<br>Rec. |                          | Stated<br>Contractual    |
| CUSIP           |   | L.           | 9             | Bond       | Administrat-                         | Actual             | Fair                   | Fair<br>Value    | Par<br>Value     | Carrying<br>Value    | Increase/<br>(Decrease)                 | (Amortization)/<br>Accretion | Impairment<br>Recognized   | In<br>B./A.C.V.    | Rate           | Rate           | When       | Due &<br>Accrued   | During<br>Year | Acquired                 | Maturity<br>Date         |
| Roods - U.S.    | Description Governments - Issuer Obligation                         | Code         | n             | CHAR       | ive Symbol                           | Cost               | Value                  | value            | value            | value                | (Decrease)                              | Accretion                    | Recognized                 | BJA.C.V.           | UI UI          |                | Faiu       | Accided            | T Gal          | Acquired                 | Date                     |
| Bonds - U.S     | . Governments - Residential Mor                                     | I gage -     | Backed        | Securiti   | es                                   |                    |                        |                  |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
|                 | . Governments - Commercial Morts<br>. Governments - Other Loan-Back |              |               |            |                                      |                    |                        |                  |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
|                 | Other Governments - Issuer Obl                                      |              |               | tureu sec  | U11(162                              |                    |                        | -                |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
| Ronds - All     | Other Governments - Residentia                                      | Mort         | nage - P      | Backed Sec | urities                              |                    |                        |                  |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
| Bonds - All     | Other Governments - Commercial Other Governments - Other Loan       | Mortg        | age-Ba        | cked Secu  | rities                               |                    |                        |                  |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
|                 | . States. Territories and Possi                                     |              |               |            |                                      | Issuer Oblinat     | ions                   |                  | -                |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
| Bonds - U.S     | . States, Territories and Posse                                     | ssions       | (Dire         | ect and Gu | aranteed) -                          | Residential Mo.    | rtgage-Backed          | Securities       |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
| Bonds - U.S     | . States, Territories and Posse                                     | ssions       | (Dire         | ect and Gu | ranteed) - (                         | ommercial Mort     | gage-Backed Se         | curities         |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          |                          |
| Bonds - U.S     | . States, Territories and Posse<br>. Political Subdivisions of Sta  | SS IONS      | (Dire         | ect and bu | Possessions (                        | Officer Loan-Backi | and Structu            | red Securities   |                  |                      |   |                              |                            |                    |                | -              |            |                    |                | <del></del>              |                          |
| 01030L -CR -4   | Alabama Incentives Financing  |              | Ĭ             | 2          | 1.A FE                               | 51,300             | 103.2160               | 51,608           | 50,000           | 50,103               |   | (153)                        |                            | 1                  | 5.000          | 4.673          | MS         | 833                | 2.500          | 12/19/2013               | 09/01/2042               |
|                 | Alexandria Louisiana  |              | 1 1           |            | 1.A FE                               | 51.545             | 106 .3220              | 53,161           | 50.000           | 50.233               |   | (168)                        |                            |                    | 5.000          | 4.631          | MN         | 417                | 2,500          | 12/16/2013               | 05/01/2043               |
| 015086-MP-3.    | Utilities   | <del> </del> |               | 2          | 1.A FE                               | 108.849            | 109.3920               | 109.392          | 100.000          | 104, 193             |   | (945)                        |                            |                    | 4.000          | 2.913          | FA         | 1.511              | 4.000          | 10/03/2016               | 02/15/2046               |
| 033177-YZ-3     | Anchorage Alaska Senior Lien  |              |               | 2          | 1.A FE                               | 50,352             | 110.3440               | 55,172           | 50.000           | 50, 107              |   | (34)                         |                            |                    | 4.000<br>3.250 | 3.921          | JD         |                    | 2,000<br>1,625 | 11/13/2014<br>04/23/2015 | 12/01/2044               |
| 035339-2₩-9.    | Ankeny IA   | <del> </del> | łł            |            | 1.A FE                               |                    | 100 .9570<br>101 .5430 | 50.479<br>50.772 | 50,000<br>50,000 | 51,190<br>51,064     | *************************************** | (34)<br>(167)<br>(730)       |                            | †                  | 4.000          | 2.461          | JD         | 167                | 2.000          | 05/13/2014               | 06/01/2023               |
|                 | Arlington Cnty VA Indi Dev  | 1            | 11            | •••••      | 1                                    |                    |                        |                  |                  |                      |   |                              |                            |                    |                | 1              |            |                    |                | 1                        | 1                        |
| 041438-HE-5     |   |              | łł            | 2          | 1.4                                  | 76,409             | 114.0400               | 85 ,530          | 75,000           | 76,218               |   | (121)                        |                            | +                  | 3.750          | 3.527          | JJ         | 1,406              | 3,133          | 05/15/2020               | 07/01/2050               |
| 046861-A8-3     | Athens Alabama Limited Tax<br>School                                |              | 1 1           | 2          | 1.A FE                               | 50.500             | 105,4180               | 52,709           | 50,000           | 50.220               |   | (48)                         |                            |                    | 3.500          | 3.387          | A0         | 438                | 1,750          | 04/27/2016               | 04/01/2046               |
|                 | Athens GA HSG Auth University                                       | T            |               |            |                                      |                    |                        |                  |                  |                      |   | (400)                        | İ                          |                    | 0.500          | 0.004          | l          | 111                | 2 542          | 40.104.10000             | 06/15/2050               |
| 047093-HH-5     | 2.5%  | ·            | łł            | 2          | 1.F FE                               | 102,005            | 98.9320                | 98.932<br>52.229 |                  | 101,808              |   | (192)                        |                            | 1                  | 2.500<br>3.500 | 2.264          | JD         | 729                | 2,542<br>1,750 | 12/21/2020<br>04/23/2015 | 02/01/2030               |
| 077527-JD-2     | Belding Michigan Area Schools                                       | 1            |               |            | 1.A FE                               | 22,348             | 100 .4990              | 20,100           | 20.000           | 20.000               |   | 1                            |                            |                    | 6.700          | 6.034          | MN         | 223                | 1 340          | 12/30/2011               | 05/01/2027               |
| 087671-2U-6     | Bettendorf IA   |              |               | 2          | 1.A.FE                               | 49.714<br>51.475   | 105 .6900              | 52,845<br>53,352 | 50,000<br>50,000 |                      |   |                              |                            |                    | 3.250          | 3.300<br>4.654 | JD         | 135<br>208         | 1,625          | 11/16/2016               | 06/01/2031<br>06/01/2040 |
|                 | Bristol Township Pennsylvania<br>Butler County Ohio Hospital        | +            |               | 2          | 1.A FE                               | 103,673            | 111.7530               | L111.753         | 100.000          | 101.952              |   | (361)                        |                            |                    | 4.000          | 3.559          | JD         | 511                | 4.000          | 10/31/2016               | 11/15/2040               |
| 150591-FN-0     | Cedar Rapids lowa Wir Rev   |              |               |            | 1.A FE                               | 100 ,500           | 102.8940               | 102,894          | 100.000          | 100 263              |   | (37)                         |                            |                    | 3.125          | 3.079          | JD         | 260                | 3.125          | 06/19/2015               | 06/01/2028               |
| 167593-L4-2     | Chicago IL O'Hare Int Airport                                       | 1            |               |            | 1.4                                  | 50.409             | 114.0190               | 57.010           | 50.000           | 50.378               |   | (11)                         |                            |                    | 4.000          | 3.947          | JJ         | 1,000              | 2.000          | 01/24/2019               | 01/01/2043               |
| 1               | City of Alexander AL School   | 1            |               |            |                                      |                    |                        |                  |                  |                      |   |                              |                            |                    |                |                |            |                    |                |                          | 11/01/2049               |
| 014519-AX-0     | Tax Warrants  | +            | <del>  </del> | 2          | 1.0 FE                               | 100,944            | 97.5910                | 100,266          |                  | 100 .816<br>50 .000  |   | (87)                         | <b></b>                    | +                  | 2.625          | 2.516          | MN         | 438                | 2.625          | 07/07/2020<br>07/06/2021 | 06/01/2051               |
|                 | Clark County Nevada Airport   | 1            | 1             |            | 1                                    |                    |                        |                  |                  |                      |   | 1                            |                            |                    |                |                |            | 1                  |                |                          |                          |
| 18085P-QN-9     | Series A2   |              |               | 2          | 1.A FE                               | 65.740<br>99.337   | 108.0920               |                  | 60.000           | 61.491<br>99.371     |   | (557)                        |                            |                    | 5.000<br>3.625 | 3.947          | JJ         | 1,500<br>1,813     | 3.625          | 04/16/2014<br>11/02/2016 | 07/01/2035<br>07/01/2041 |
| 181685 - JN - 9 | Clarke Cnty Georgia Hosp<br>Clarke County GA Hosp Auth              | +            |               | 2          | 1.A FE                               | 111, 135           | 114.3520               | 114,352          | 100,000          | 111,135              |   |                              |                            |                    | 5.000          | 3.581          | JJ         | 2.500              | 5.000          | 03/08/2017               | 07/01/2046               |
| 187414-CQ-8     | Clinton Cntv 1A   | 1            |               | 2          | 1.A FE                               | 51,250             | 106.6080               | 53.304           | 50,000           | 50.620               |   | (131)                        |                            |                    | 3.000          | 2.700          | JD         | 125                | 1,500          | 10/26/2016               | 06/01/2030               |
| 187400-BY-1     | Clinton Co IN Bidg Corp   | +            |               | 2          | 1.F FE<br>1.C FE                     | 50,112<br>50,449   | 97 .4120<br>100 .9520  | 48,706<br>50,476 | 50,000           | 50 . 109<br>50 . 373 |   | (3)                          |                            |                    | 2.375<br>2.125 | 2.349<br>2.001 | JD.        | 548                | 1.387          | 08/23/2021<br>07/20/2020 | 07/15/2046<br>06/01/2039 |
| 189336-BE-9     | Clovis CA Public Financing  | 1            |               | 2          | 1.F FE                               | 49,985             | 101.0970               | 50,549           | 50,000           | 49 985               |   | 1                            |                            |                    | 2.500          | 2.501          | WN         | 208                | 688            | 04/01/2021               | 11/01/2050               |
|                 | Colorado Health Facilities  |              |               |            |                                      | 40.143             | 110.2080               | 44.083           | 40.000           | 40.032               |   | (7)                          |                            | 1                  | 4.000          | 3.978          | JJ         | 738                | 1,600          | 10/09/2015               | 01/15/2045               |
| 19648A - 4N - 2 | Authority Hos   |              | -             | 2          | 1.A FE                               | 40,143             | 110.2000               | 44.003           | 40.000           | 40,032               |   |                              |                            | +                  | 4.000          |                | I          | 1                  |                | 1070312013               |                          |
| 196707 - XS-7   | Univ 4%   |              |               | 2          | 1.4                                  | 54.872             | 113.0170               | 56.509           | 50,000           | 53.889               |   | (574)                        |                            | ļ                  | 4.000          | 2.625          | MS         | 667                | 2.000          | 04/07/2020               | 03/01/2047               |
| 196707 -N2-5    | Colorado St Brd Govnrs Univ<br>Colorado State Board of              | +            |               | 2          | 1.F FE                               | 121,769            | 100 .1140              | 125 , 143        | 125,000          | 121,779              |   | 11                           |                            | †                  | 2.500          | 1              | 1          | 609                | ł              | 11/01/2021               | 03/01/2051               |
| 196707 - VG - 5 | Governors.  |              |               | 2          | 1.A FE                               | 100,255            | 110.8260               | 110,826          | 100,000          | 100 . 140            |   | (25)                         |                            |                    | 4.000          | 3.969          | <b>M</b> S | 1,333              | 4,000          | 12/13/2016               | 03/01/2046               |
|                 | Coralville IA Ref Ser A-2   | 1            | 1             | ,          |                                      | 25.118             | 100,4070               | 25.102           | 25.000           | 25.017               |   | (52)                         | 1                          | 1                  | 4.250          | 4.034          | MN         | 177                | 1.063          | 01/01/2020               | 05/01/2037               |
| 218080-DE-6     | Cullman Al. Board Educ Ser  | +            | 11            | 2          | 3.A FE                               | 25,118             | 100.4070               | 25, 102          |                  | 25,017               |   |                              |                            | <b>.</b>           |                |                |            |                    |                |                          |                          |
| 230041-BF-1     | 2014A   |              |               | 2          | 1.A FE                               | 64,837             | 108.1200               | 64,872           | 60,000           | 61 , 113             |   | (483)                        |                            | ļ                  | 5.000          | 4.094<br>3.374 | MS         | 1,000              | 3,000          | 03/25/2014               | 03/01/2042               |
| 25009X-HP-3     | DSM 1A GO 3.375% 06/34  | 4            |               | 2          | 1.A FE                               | 25,000             | 102.4190               | 25,605           | 25,000           | 25.000               |   |                              | <u> </u>                   | 4                  | 3.375          | 3.374          | JD         | 70                 | 844            | D1/01/2020               | 06/01/2034               |

E10

#### **SCHEDULE D - PART 1**

|                   |  |  |             |           |   |                  |                          |                   |         |                               | IDS Owned Dece                    | ember 31 of Current               | Year  |                                  |                |                   |            |                             |                          |                          |                                |
|-------------------|--|--|-------------|-----------|---|------------------|--------------------------|-------------------|---------|-------------------------------|-----------------------------------|-----------------------------------|---|----------------------------------|----------------|-------------------|------------|-----------------------------|--------------------------|--------------------------|--------------------------------|
| 1                 | 2  |  | Code        | es        | 6   | 7                | Fair                     | Value             | 10      | 11                            |                                   | nange in Book / Adjust            |   |                                  |                |                   | Interes    |                             |                          | Da                       |                                |
| CUSIP             |  |  | 4<br>Foreig | 5<br>Bond | NAIC Designation , NAIC Designation Modifier and SVO Administrat- | Actual           | Rate Used to Obtain Fair | 9<br>Fair         | Par     | Book/<br>Adjusted<br>Carrying | 12 Unrealized Valuation Increase/ | 13  Current Year's (Amortization) | 14 Current Year's Other Than Temporary Impairment | Total Foreign Exchange Change In | 16<br>Rate     | 17 Effective Rate | 18<br>When | Admitted<br>Amount<br>Due & | Amount<br>Rec.<br>During | 21                       | 22 Stated Contractual Maturity |
| Identification    | Description  |  | 'n          | CHAR      | ive Symbol  | Cost             | Value                    | Value             | Value   | Value                         | (Decrease)                        | Accretion                         | Recognized  | B./A.C.V.                        | of             | of                | Paid       | Accrued                     | Year                     | Acquired                 | Date                           |
|                   | Dakota Valley Sch Dist 61                                      |  |             | 2         | 1.4 FE  | .50,000          | 106.1710                 | 53,086            | 50.000  | 50,000                        |                                   |                                   |   |                                  | 3.000          | 3.150             | JJ         | 692                         | 1,500                    | 09/28/2016               | 07/15/2039                     |
| 238388-RV-6       | Davenport IA Corp Ser A  |  |             |           | 1.A FE  | 49,461           | 105.3170                 | 52,659            | 50,000  | 49,521                        |                                   |                                   | ļ   |                                  | 3.000          | 3.201             | JD         | 125                         | 1,500                    | 02/24/2017<br>10/13/2016 | 06/01/2030                     |
|                   | Des Moines IA GO   |  |             | 2         | 1.A FE  | 47,813           | 102.0350                 | 51,018            | 50,000  | 48,310                        |                                   |                                   |   |                                  | 2.625<br>4.000 | 2.931<br>2.842    | JD         | 2.000                       | 1,313                    | 09/22/2016               | 01/01/2035                     |
| 250336-EA-8       | Deschutes Co Or Hosp   | ł -  |             | 2         | 1.A FE  | 109,335          | 109.7570                 | 109,757<br>11,095 | 100,000 | 104,351                       |                                   | (1,013)                           |   |                                  | 4.125          | 4.124             | MN         | 2,000                       | 413                      | 11/02/2015               | 11/01/2036                     |
| 259234-CL-4       | Douglas Cnty Neb Hosp  |  |             | 2         | 1.A FE  | 10,000           | 110.9450                 | 11,095            | 10,000  | 10,000                        |                                   |                                   |   |                                  | 7.120          | 7.127             |            |                             |                          |                          |                                |
| 264037-AN-4       | Dubuque Iowa Sales Tax   |  | - 1         |           | 1.A FE  | 100.600          | 108 . 1840               | 108 , 184         | 100,000 |                               |                                   | (49)                              |   |                                  | 3.500          | 3.440             | JD         | 292                         | 3,500                    | 06/19/2015               | 06/01/2027                     |
| 204001-194-4      | El Paso County Texas Hospital                                  |  |             |           | 1   |                  |                          |                   |         |                               |                                   |                                   |   |                                  |                |                   |            |                             |                          |                          |                                |
| 283590-EX-1       | Dist   | ļ  |             | 2         | 2.4   | 64,214           | 106.6350                 | 63.981            | 60,000  | 60,767                        |                                   | (450)                             |   |                                  | 5.000          | 4.173             | FA         | 1,133                       | 3,000                    | 03/21/2014               | 08/15/2043                     |
| 000770 411 0      | Elmore Cnty Ala Brd Ed Sch                                     | 1 1  |             | 2         | 1   | 50 . 304         | 107 .4840                | 53.742            | 50.000  | 50.247                        |                                   | (29)                              |   | 1                                | 3.000          | 2.927             | FA         | 625                         | 1.500                    | 01/01/2020               | 08/01/2044                     |
| 289770-AU-3.      | Fargo ND 3.625% 5/1/44   |  |             | 2         | 1.6 FE  | 50.625           | 109.0110                 | 49.055            | 45.000  | 50.095                        |                                   | (530)                             |   | 1                                | 3.625          | 1.730             | MN         | 272                         | 1,631                    | 04/15/2021               | 05/01/2044                     |
| 30/4/N-H5-2.      | Fort Bend County Texas Toll                                    | ···  |             | 2         |   |                  | 1.53.0110                |                   |         |                               |                                   |                                   |   | Ī .                              |                |                   |            |                             |                          |                          | l 1                            |
| 346817-BV-0       | Road   | L  | L           | 2         | 1.A FE  | 50,510           | 105.0370                 | 52,519            | 50,000  | 50 , 124                      |                                   | (55)                              | ,   |                                  | 4.000          | 3.878             | MS         | 667                         | 2,000                    | 11/26/2014               | 03/01/2042                     |
|                   | Fulton Cnty GA Dev Auth Rev                                    |  |             | 2         | 1.A FE  | 50,546           | 106.8170                 | 53,409            | 50,000  | 50,443                        |                                   | (52)                              |   | ļ                                | 3.625          | 2.868<br>3.666    | JJ         |                             | 1.500                    | 01/01/2020<br>11/02/2016 | 07/01/2044                     |
| 359900+86-5.      | Fulton County Georgia  | ļ  |             | 2         | 1.A FE  | 99,337           | 108.0920                 | 108,092           | 100,000 | 99,433                        |                                   | 20                                |   | ł                                | 3.020          | 3.000             | JJ         |                             | 3,023                    | 1170272010               |                                |
| 362762-0P-4       | Gainesville & Half Cnty GA<br>Hosp                             |  | -           |           | 1.F FE  | .49,475          | 95.4960                  | 47.748            | 50.000  | 49.478                        |                                   | 3                                 |   |                                  | 2.500          | 2.551             | FA         | 472                         |                          | 08/31/2021               | 02/15/2051                     |
|                   | Grand Forks ND RFDG & 2.5%                                     | h  |             | 2         | 1.F FE  | 49.750           | 100.2960                 | 50.148            | 50,000  | 49,751                        |                                   | 1                                 |   | 1                                | 2.500          | 2.526             | JD         | 104                         |                          | 11/03/2021               | 12/01/2047                     |
|                   | Grand Parkway Trans Corp 3%                                    | 1  |             | 2         | 1.4   | 48,837           | 105.7430                 | 52.872            | 50 .000 | 48,877                        |                                   | 24                                |   |                                  | 3.000          | 3.119             | A0         | 375                         | 1,500                    | 04/08/2020               | 10/01/2050                     |
| - 1               | Grays Harbor County  | 1  |             |           | I   |                  |                          |                   |         |                               |                                   |                                   |   |                                  |                |                   | l l        | 4 500                       |                          | 00/05/0044               | 07/04/0000                     |
| 389532-GN-6.      | Washington   | ļ  |             | 2         | 1.A FE  | 63,921           | 107 .0850                | 64,251            | 60,000  | 60,658                        |                                   | (417)                             |   | <del> </del>                     | 5.000          | 4.237             | JJ         | 1,500                       | 3,000                    | 02/05/2014               | 07/01/2033                     |
|                   | Greene Cnty Iowa Cmnty Sch                                     | i I  | - 1         |           | 1.A FE  | 23,340           | 106.6980                 | 26.675            | 25,000  | 23.490                        |                                   |                                   |   |                                  | 3.375          | 3.927             | JD         | 70                          | 844                      | 01/01/2020               | 06/01/2036                     |
| 394479-AM-1.      | Bds 2018   | ł  |             | 4         |   | 23,340           | 100.0900                 | 20,0/3            | 23,000  | 23,450                        |                                   |                                   | ***************************************           | 1                                |                | į .               |            |                             |                          |                          |                                |
| 407272-V6-7       |  |  |             | 2         | 1.A FE  | 101 . 148        | 114.9060                 | 114,906           | 100,000 | 100,681                       |                                   | (107)                             |   |                                  | 4.125          | 3.988             | FA         | 1,558                       | 4,125                    | 04/01/2017               | 08/15/2038                     |
| 41456P-NF-1,      | Harris TX Montgonery Cnty 2%                                   |  |             | 2         | 1.A   | 45.813           | 97 .5480                 | 48,774            | 50,000  | 46,011                        |                                   | 132                               |   |                                  | 2.000          | 2.433             | JD         |                             | 1,000                    | 07/07/2020               | 06/01/2044<br>09/01/2044       |
| 41456P-NF-1.      | Harris TX Montgomery Cnty 2%                                   | ļ  |             | 2.6       | 1.A   | 23.031           | 97 .5480                 | 24,387            | 25,000  | 23,031                        |                                   | <del> </del>                      |   | <del> </del>                     | 2.000          | 2.433             | JD         | 10/                         | 500                      |                          | 9310112044                     |
| 440000 111 7      | Hawaii St Dept of Budget &<br>Fin 2015 Ser                     | 1 1  | - 1         | 2         | 1.A FE  | 30.153           | 109.7370                 | 32.921            | 30.000  | 30.056                        |                                   | (15)                              |   |                                  | 4.000          | 3.943             | JJ         | 600                         | 1,200                    | 05/12/2015               | 07/01/2040                     |
|                   | Hidalgo County Texas Regional                                  | †  |             | 2         | 1.A FE  | 51.544           | 108.9590                 | 54,480            | 50.000  | 50.319                        |                                   | I(156)                            |   |                                  | 5.000          | 3.943             | JD         | 208                         | 2,500                    | 12/18/2013               | 12/01/2043                     |
|                   | Hocking Technical College                                      | 1  |             |           | 1   |                  |                          |                   |         |                               |                                   | 1                                 |   |                                  |                |                   | l          |                             |                          |                          |                                |
| 434159-AQ-2       | Ohio   |  |             | 2         | 1.A FE  | 64,558           | 107.0850                 | 64,251            | 60,000  | 60,776                        |                                   | (492)                             |   | <del> </del>                     | 5.000          | 4.102             | JJ         | 1,500                       | 3.000                    | 03/31/2014               | 07/01/2038                     |
|                   | Hoffman Est Park Dist IL Park                                  |  | ı           |           |   | .54.980          | 106.5670                 | 53,284            | 50.000  | 51.149                        |                                   | (572)                             | 1   |                                  | 4.625          | 3.375             | JD         | 193                         | 2.313                    | 04/16/2015               | 12/01/2038                     |
| 434462-NE-3       | Huron South Dakota School                                      | +  |             |           | 1.A FE  | 51.200           | 109.1180                 |                   | 50.000  | 50.200                        |                                   | 131)                              |   | †                                | 5.000          | 4.711             | JD         | 111                         | 2,500                    | 12/16/2013               | 06/15/2039                     |
| 452152-RN-2       | 111 inois G/O Bonds  | 1  |             |           | 2 A FE  | 15, 132          | 122.5050                 | 18,376            | 15.000  | 15 . 121                      |                                   | (6)                               |   | I                                | 6.630          | 6.536             | FA         | 414                         | 995                      | D1/01/2020               | 02/01/2035                     |
|                   | Illinois Hsg Dev Auth Multi                                    | T  |             |           | T   |                  |                          |                   |         |                               |                                   |                                   |   | 1                                |                |                   | 1          |                             | 4 000                    | 04/04/2000               | 07/04/2040                     |
| 45202B-DG-8.      |  | 1  |             | 2         | 1.A FE  | 50,748           | 105.7020                 | 52,851            | 50,000  | 50.608                        |                                   | (71)                              |   | ····                             | 3.200          | 3.018             | JJ         |                             | 1.600                    | 01/01/2020               | 07/01/2049                     |
| 45506D-MV-4       | . Indiana State Finance Auth                                   | ļI   |             | 2         | 1.A FE  | 50,510<br>51,920 | 104.5760                 |                   | 50,000  | 50,145<br>50,245              | ·····                             | (210)                             | ·····   | t                                | 5.250          | 4.790             | FA         | 992                         | 2.625                    | 10/16/2013               | 02/15/2044                     |
| 462466-ER-0.      | lowa Finance Authority Health<br>lowa Finance Authority Health | t  |             |           |   | 51,920           | 105.5930                 | 52,797            |         |                               |                                   | 1 (210)                           |   | 1                                |                |                   |            |                             |                          |                          |                                |
| 462466-FZ-1.      |  | <u>.                                    </u> |             | 2.        | 1.A FE  | 99,490           | 110.3580                 | 110,358           | 100,000 | 99,541                        |                                   | 11                                |   | <b></b>                          | 4.000          | 4.029             | FA         | 1.511                       | 4.000                    | 11/23/2016               | 08/15/2046                     |
| 462540-GA-0       | lowa St Brd Regents Hosp Rev.                                  |  |             | 2         | 1.A FE  | 20,190           | 102.4950                 | 20,499            | 20,000  | 20.049                        | ļ                                 | (72)                              | L   | <b></b>                          | 4.000          | 3.621             | MS         | 267                         | 800                      | 01/01/2020               | 09/01/2036                     |
| 462582-3X-3.      | lowa St Univ Science   | ļ  |             |           | 1.A FE  | 52.604           | 106.5500                 | 53,275            | 50,000  | 51,160                        |                                   | (223)                             |   |                                  | 3.000          | 2.487<br>3.250    | MN         |                             | 1,500                    | 04/23/2015<br>01/01/2020 | 11/01/2026<br>07/01/2040       |
| 462582+5H-6.      | lowa St Univ Science 3.25%                                     | łl   |             | 2         | 1.A FE  | 30,000           | 103.4800                 | 31,044            | 30,000  | 30,000                        | l                                 | <del> </del>                      |   | t                                | 3.200          | 3.230             |            | 400                         | 9/5                      |                          |                                |
| 462582-56-8.      | lowa St Univ Science Athl<br>3.25%                             | 1 1  |             | 2         | 1.A FE  | 24.698           | 103.5790                 | 25.895            | 25,000  | 24.720                        |                                   | 12                                |   | 1                                | 3.250          | 3.335             | JJ         | 406                         | 813                      | 01/01/2020               | 07/01/2039                     |
| 402302-30-0.      | lowa St Univ Science Dorm                                      | 1  |             | 4         | 1   |                  |                          |                   |         |                               | [                                 | T                                 |   |                                  | 1              |                   | 1          |                             | 1                        |                          |                                |
| 462582-6U-6.      | 3.2%   |  |             | 2         | 1.A FE  | 35,000           | 105.9270                 | 37,074            | 35,000  | 35,000                        | ļ                                 | ļ                                 | ļ   | <b></b>                          | 3.200          | 3.200             | JJ         | 560                         | 1.120                    | 01/01/2020               | 07/01/2032                     |
| 463777 - 144 - 0. | Irving TX Series A   | ļ  |             | 2         | 1.A FE  | 49,092           | 110.7480                 | 55,374            | 50,000  | 49,248                        | <b>}</b>                          | 35                                |   | <del> </del>                     | 3.375          | 3.500             | MS         | 497                         | 1,688                    | 04/01/2017               | 09/15/2037                     |
|                   | Irving TX Naterworks & Sewer                                   | 1  |             |           | 1.A FE  | 50,411           | 104.6830                 | .52.342           | 50.000  | 50.180                        | l                                 | (24)                              |   |                                  | 4.000          | 3.937             | AO         | 756                         | 2.000                    | 07/12/2013               | 08/15/2028                     |
| 463831-MM-6.      | Jacksonville Florida Port                                      | † <u> </u>                                   |             |           |   |                  | 104.0030                 |                   |         |                               |                                   | (24)                              |   | 1                                |                | 1                 |            |                             |                          |                          | 1                              |
| 469466-ER-3.      |  | 1  |             | 2         | 1.A FE  | 50,000           | 103.9480                 | 51,974            | 50,000  | 50,000                        |                                   | <b>_</b>                          | ļ   | 1                                | 5.000          | 4.999             | MN         | 417                         | 2,500                    | 12/05/2013               | 11/01/2038                     |
| 479370-ZH-4       | Johnston lowa  | 1  | ]           |           | 1.A FE  | 49 ,231          | 102.5350                 | 51.268            | 50.000  | 49,510                        |                                   | 39                                | ļ   | ļ                                | 3.125          | 3.236             | JD         | 130                         | 1.563                    | 04/23/2015               | 06/01/2032                     |
| 484669-AU-0.      | Kansas City KS CMNTY   | ļ  |             | 2         | 1.F FE  | 311.135          | 104.1690                 | 312,507           | 300,000 | 310,588<br>10,335             |                                   | (546)                             |   | +                                | 2.875<br>3.125 | 1.749             | MS         | 2.875                       | 3,418                    | 12/01/2021               | 09/01/2052                     |
| 48542R-PG-3.      | Kansas St Dev Auth Rev 3.125%                                  | 4  |             | L         | 1.E FE  | 10,354           | 106.8500                 | 10.685            | J10,000 | J10,335                       | L                                 | +(18)                             | ·   | <u> </u>                         |                | 1.749             | ţuu        | 130                         | 1                        | 201 £01 £02 I            |                                |

E10.1

#### **SCHEDULE D - PART 1**

|                 |  |         |                |              |                        |                  |                     |                   |                   | TILDU             |                         |   | V                 |                    |        |                |         |              |                |             |                          |
|-----------------|--|---------|----------------|--------------|------------------------|------------------|---------------------|-------------------|-------------------|-------------------|-------------------------|---|-------------------|--------------------|--------|----------------|---------|--------------|----------------|-------------|--------------------------|
|                 |  |         |                |              |                        |                  | I Fair              | Value             | Showing All L     | ong-Term BOI      |                         | mber 31 of Current '<br>ange in Book / Adjust |                   |                    | T      |                | Interes | et           |                | Da          | tes                      |
| 1               | 2  | 3       | Co<br>4        | des<br>5     | NAIC                   | /                | R                   | value             | 10                | '''               | 12                      | 13  | 14                | 15                 | 16     | 17             | 18      | 19           | 20             | 21          | 22                       |
| 1               |  |         | F              | "            | Designation            | ,                | ľ                   |                   |                   |                   |                         | ,,,   | Current           |                    |        |                |         |              |                |             |                          |
| i               |  |         | 0              |              | NAIC                   | 1                |                     |                   |                   |                   |                         |   | Year's            | Total              |        |                |         |              |                |             |                          |
|                 |  |         | r              |              | Designation            | n                |                     |                   |                   |                   |                         |   | Other             | Foreign            |        |                |         | Admitted     | Amount         |             | Stated                   |
|                 |  |         | e              |              | Modifier               | 1                | Rate Used           |                   |                   | Book/<br>Adjusted | Unrealized<br>Valuation | Current Year's                                | Than<br>Temporary | Exchange<br>Change |        | Effective      | i I     | Amount       | Rec.           |             | Contractual              |
|                 |  | i       | 1 !            | Bond         | and SVO<br>Administrat | Actual           | to Obtain<br>Fair   | Fair              | Par               | Carrying          | Increase/               | (Amortization)/                               | Impairment        | in                 | Rate   | Rate           | When    | Due &        | During         |             | Maturity                 |
| CUSIP           | Description  | Code    | 1 9            | CHAR         | ive Symbol             |                  | Value               | Value             | Value             | Value             | (Decrease)              | Accretion                                     | Recognized        | B./A.C.V.          | of     | of             | Paid    | Accrued      | Year           | Acquired    | Date                     |
|                 | Kansas St Dev Fin Auth 2.375%                                | 0000    |                | 2            | 1.F FE.                | 50,000           | 101.1470            | 50,574            | 50,000            | 50,000            |                         |   |                   |                    | 2.375  | 2.375          | JD      | 99           | 1,188          | 07/27/2020  | 96/01/2045               |
| 1               | Kansas St Dev Fin Auth Rev                                   |         | 1              | 1            |                        |                  |                     |                   |                   |                   |                         | (73)  |                   | ļ                  | 3.125  | 1.749          | JJ      | 625          | 625            | 03/26/2021  | 07/01/2032               |
| 48542R-PS-7.    | 3.125%   |         |                | 2            | 1.£ FE                 | 41,414           | 104 . 2380          | 41,695            | 40,000            | 41,341            |                         | (13)  |                   | t                  |        | 1.749          | 55      |              |                | 9012012021  | D//01/2002               |
| 48563U-AQ-4     |  |         |                | 1 2          | 1.A FE                 | 61.731           | 105.8920            | 63.535            | 60.000            | 60.351            |                         | (181)   |                   |                    | 5.000  | 4.659          |         | 500          | 3,000          | 04/03/2014  | 11/01/2043               |
|                 | Kentucky Rural Wtr Fin Corp                                  | ·       |                | 1            |                        |                  | i .                 |                   |                   |                   |                         |   |                   |                    |        | l              | ٠.      | 500          | 707            | .01/04/2021 | 02/01/2040               |
| 49140N-ET-0.    |  |         |                | 2            | 1.E FE                 | 60,000           | 98.5170             | 59,110            | 60,000            | 60,000            |                         |   | ·                 | <del> </del>       | 2.000  | 2.000          | FA      |              | /0/            | 01/04/2021  |                          |
|                 | Kentucký Rural Wtr Fin Crop                                  |         | 1              | ,            | 1.E FE                 | 49,875           | 98.7650             | 49.383            | .50.000           | 49.878            |                         | 3   |                   | 1                  | 2.250  | 2.262          | FA      | 469          | 663            | 12/22/2020  | 02/01/2050               |
| 49140N-FD-4     | Knox County Tennessee Health                                 | t       | †              | 12           |                        |                  |                     |                   |                   |                   |                         |   |                   | Ī                  |        |                |         |              |                |             |                          |
| 499527-CJ-6     | Ed   | ļ       | ļ              | 2            | 1.A FE                 | 100,255          | 111.7810            | 111,781           | 100,000           | 100 , 140         |                         | (25)  | ļ                 | <del> </del>       | 4.000  | 3.969          | JJ      | 2,000        | 4.000          | 11/14/2016  | 02/15/2025               |
| 504102-YZ-1     | La Porte TX Indpt Sch Dist                                   | ļ       |                | ļ <u>.</u>   | 1.A FE                 | 100.000          | 100 .2730           | 100 , 273         | 100,000<br>50,000 | 100,000           |                         | (223)   |                   | t                  | 3.000  | 3.000          | FA      | 250          | 1.121          | 05/10/2012  | 05/01/2042               |
|                 | Laramie Co WY Hosp 3.0%<br>League City, TX                   |         |                | ļ2           | 1.E FE                 | 53,276<br>49,847 | 100.3140            | 50,157            | 50.000            | 49.946            |                         |   |                   |                    | 3.625  | 3.644          | FA      | 685          | 1.813          | 12/21/2011  | 02/15/2028               |
| 524803-RD-4     | Lehigh Cnty PA Auth 5%                                       | †       | ł              | 2            | 1.F FE                 | 25.154           | 108,9690            | 27.242            | 25,000            | 25,096            |                         | (47)  |                   | I                  | 5.000  | 4.786          | JD      | 104          | 1,250          | 10/05/2020  | 12/01/2043               |
| 524803-BF-9     | Lehigh Cnty PA Auth 5%                                       |         | ļ              | 2            | 1.F FE                 | 25,154           | 106 .7660           | 26,692            | 25,000            | 25.096            |                         | (47)  |                   |                    | 5.000  | 4.786          | JD      | 104          | 1,250          | 10/05/2020  | 12/01/2043               |
| 532843-EY-1     | Lincoln AL Ref Ser A   | ļ       |                | 2            | 1.A FE                 | 55,556           | 105.9030            | 58,247            | 55,000            | 55,450            |                         | (54)  | \ <del></del>     |                    | 3.000  | 2.876          | JD      | 138          | 1,650          |             | 06/01/2049               |
| 542824-SV-0     | Long Prairie MN Sewer Rev<br>Bond Ser 2018A                  |         |                | 1            | 1.4                    | 25.250           | 107.5630            | 26.891            | 25.000            | 25,198            |                         | (18)  |                   |                    | 3.625  | 3.522          | JJ      | 378          | 906            | 01/16/2019  | 02/01/2031               |
| 342024-31-0     | Maine Health & Higher Ed Fac                                 | ******* |                | İ            |                        | 20,200           |                     | 20.001            | 20,000            |                   |                         |   |                   |                    | Ī      |                |         |              |                |             |                          |
| 56042R-QY-1     | Ser A  | ļ       |                | 2            | 1.A                    | 102,496          | 113 . 1340          | 113,134           | 100,000           | 101.879           |                         | (252)   |                   | ļ                  | 4.000  | 3.672          | JJ      | 2,000        | 4,000          | 08/06/2018  | 07/01/2048               |
| 56682H+CH-0     | Maricopa County Arizona                                      | ļ       | ļ              | 2            | 1.A FE                 |                  | 112.1750            | 112,175<br>46,065 | 100,000           | 104,241<br>45,000 |                         | (773)   |                   | ł                  | 3.000  | 3.078          | 70      | 113          | 1.350          | 01/01/2020  | 06/01/2032               |
| 569611-WJ-1     | Marion lowa 3% 06/32   |         | ···            | <del>2</del> | 1.A FE                 | 45,000           | 102.3670            | 40,000            | 45,000            | 45,000            |                         |   | T                 | †·····             | Ī      |                |         | I            |                |             |                          |
| 574218-YB-6     | 45   | l       | I              | 2            | 1.A FE.                | 30,000           | 108 . 1420          | 32,443            | 30,000            | 30,000            |                         |   |                   |                    | 4.000  | 3.999          | FA      | 453          | 1.200          | 01/01/2020  | 08/15/2045               |
| 57582R-DB-9     | Massachusetts St 3.5%  |         | ļ              | 2            | 1.A FE                 | 24,812           | 102.5160            | 25 629            | 25,000            | 24,828            |                         | 8   |                   |                    | 3.500  | 3.558          | WN      | 146          | 875            | 01/01/2020  | 95/01/2037               |
| 59334D-LW-2     | Miami Dade Cnty FL Wtr & Swr                                 |         | 1              | ١,           | 1.A FE.                | 50 . 112         | 107 .5020           | 53,751            | 50 .000           | 50.091            |                         | (10)  |                   | 1                  | 3.000  | 2.973          | A0      | 375          | 1.500          | 01/01/2020  | 10/01/2049               |
|                 | Michigan St Hosp Fin Auth Rev.                               | t       | †              |              | 1.4 FE                 | 5.334            | 101.9750            | 5.099             | 5,000             | 5.168             |                         | (10)  |                   |                    | 5.000  | 4.491          | JD      | 21           | 250            | 01/21/2014  | 12/01/2029               |
| 59465H-TK-2     | Michigan St Hosp Fin Auth Rev.                               | 1       |                |              | 1.A FE.                | 101,337          | 101.9260            | 96,830            | 95,000            | 98 . 189          |                         | (329)   |                   |                    | 5.000  | 4.491          | JD      | 396          | 4,750          | 01/21/2014  | 12/01/2029               |
|                 | Minnetonka MN Cap Impt Plan                                  | İ       |                |              | 1                      | 40.075           | 104.5700            | 52,285            | 50.000            | 49.883            | 1                       |   |                   | l .                | 2.375  | 2.390          | FA      | 495          | 1.188          | 01/31/2020  | D2/01/2042               |
| 60422A-BT-7     |  |         |                | ·2           | 1.A                    | 49,875           | 104.5700            |                   |                   | 49,003            |                         |   |                   | <b>†</b>           | 12.373 |                |         |              | 1,100          |             |                          |
| 60534T-3U-7     | Rev Dark Spec OU   | 1       |                | 1 2          | 1.A FE                 | 25.434           | 1106 . 4260         | 26.607            | 25,000            | 25,190            |                         | (41)  | )                 |                    | 3.500  | 3.306          | A0      | 219          | 875            | 04/05/2016  | 04/01/2039               |
| 60637B-PX-2     | Missouri Housing Development                                 | I       | 1              | 2            | 1.A FE.                | 61,047           | 107 . 2000          | 64,320            | 60,000            | 60,637            |                         | (107)   | )                 |                    | 3.700  | 3.479          | MN      | 370          | 2,374          | 12/26/2017  | 11/01/2042               |
|                 | Missouri St Health & Edl Facs                                | 1       | İ              | ,            |                        | 30.000           | 107 . 7350          | 32.321            | 30.000            | 30.000            |                         |   |                   |                    | 4.000  | 3.999          | MN      | 153          | 1,200          | 01/01/2020  | 11/15/2045               |
| 60637A-GP-1     | 4.0% 11/15   | ·····   |                | 12           | 1.A FE                 |                  | 107.7350            | 32,321            |                   |                   |                         | ·   | †                 | 1                  | T      | i              |         |              |                |             |                          |
| 612888-CD-7     |  | L       | J              | 1 2          | 1.F FE                 |                  | 98.7160             | 49,358            | 50.000            |                   |                         |   | ļ                 | ļ                  | 2.500  | 2.500          | MS      | 417          |                | 09/01/2021  | 09/01/2051               |
| 642714-DE-6     | New Britain Conn   |         |                | 22           | 1.A FE                 | 50.491           | 109.3040            | 54,652            | 50,000            | 50,400            |                         | (46)  | <b>}</b>          | +                  | 3.000  | 2.883<br>2.932 | MS      | 500<br>2.250 | 1,500<br>4,500 | 06/25/2020  | 09/01/2044<br>07/01/2049 |
| 645790-NB-8     | New Jersey Health Care Facs                                  | ļ       | ·              | 2            | 1.A FE.                | 150,899          | 106.3230            | 159,485           |                   | 150,750<br>94,156 |                         | (88)  | ' <del>}</del>    | <del> </del>       | 3.000  | 2.932          | JJ      | 1,425        | 2.850          | 06/25/2020  | 07/01/2049               |
| 645/90-NB-8     | New Jersey Health Care Facs<br>New York NY                   | †       | · · · · ·      | †2           | 1.A FE                 |                  |                     | 103.495           | 100.000           | 105.853           |                         | .(421)  | )                 | 1                  | 5.000  | 4.313          | AO      | 1,250        | 5,000          | 01/27/2014  | 10/01/2032               |
| 66014P+AN-9     | North IA Area CC Ser 20138                                   | 1       | 1              | 22           | 1.A FE.                | 20,090           |                     | 21,650            | 20,000            | 20.042            |                         | (25)  | )                 | ļ                  | 5.250  | 5.111          | MS      | 350          | 1,050          | 01/01/2020  | 09/01/2044               |
|                 | North 1A Area Comm College                                   | 1       | 1              | 1            | 1                      |                  | 00.0700             | 00.070            | 100,000           | 99.814            | I                       | ١ ,   | İ                 | 1                  | 2.250  | 2.257          | AO      | 563          | l .            | 08/18/2021  | 10/01/2044               |
| 66014P-AY-5     |  | ·       |                | 2            | 1.F FE.                |                  | 96.9700<br>102.5840 | 96,970<br>51,292  |                   |                   |                         | (296)   | y <del> </del>    | 1                  | 5.000  | 4.372          | WS      |              | 2.500          | 12/12/2013  | 09/01/2030               |
| 667567-R7-3     | Northern Arizona University<br>Northwest Mi Cmnty Schs       | †       | 1              | 1            | 1.A FE                 | 55.493           |                     | 52.047            | 50.000            | 52.292            |                         | (296)<br>(490)                                | ý                 | 1                  | 4.000  | 2.867          | MN      | 333          | 2.000          | 04/23/2015  | 05/01/2026               |
| 67756C - CV - 8 | Ohio State Hospital Rev                                      | I       |                | 2            | 1.A FE                 | 99,459           | 109.7830            | 109,783           | 100,000           | 99.515            |                         | 1 12  | 1                 | +                  | 4.000  | 4.032<br>3.813 | JJ      | 1,844        | 4,000          | 12/15/2016  | 01/15/2046               |
|                 | Ohio Univ Athens Rev   | +       |                | ·            | 1.A FE.                | 51,298           |                     | 51,726<br>21,926  | 50,000<br>20,000  |                   |                         | (70)  | { <del>}</del>    | <del> </del>       | 3.625  | 3.503          | JD      | 60           | 2,000          | 01/01/2020  | 06/01/2034               |
| 679256-CE-5     | Okoboji lowa Cmnty Sch Dist<br>Oregon State Facilities Auth. | +       | +              | 2            | 1.A FE.                |                  |                     | 111.590           | 100,000           |                   |                         | (70)<br>(20)<br>(132)                         | {t                | 1                  | 4.000  | 3.839          | NN      | 333          | 4.000          | 11/17/2016  | 06/01/2041               |
| 000083-40-1     | Owatonna MN Indot Sch Dist                                   | 1       | 1              | 1            |                        |                  |                     |                   |                   |                   |                         | Ī   |                   | Ī                  |        |                | 1       |              |                |             |                          |
| 690564-SC-4     | 2.25%  |         |                | 2            |                        | 49,875           | 101.3250            | 50.663            | 50,000            | 49,882            | ļ                       | ļ4  |                   | · <del> </del>     | 2.250  | 2.263          | FA      |              | 1.538          | 05/15/2020  | 02/01/2045<br>08/15/2047 |
| 70917S-6X-6     | Pennsylvania St Higher Ed Fac                                | 4       | . <del> </del> | 2            | 1.A FE.                |                  |                     | 48,410            | 45.000            | 44.962<br>100.974 | •                       | (233)   | ·}                | +                  | 4.000  |                | MN.     | 667          | 4.000          | 04/01/2020  | 11/01/2046               |
|                 |  |         |                |              |                        |                  |                     |                   |                   |                   |                         |   |                   |                    |        |                |         |              |                |             |                          |

#### **SCHEDULE D - PART 1**

|                  |   |              |      |  |                  |                       |                  |                  |                   | IDS Owned Dece          | mber 31 of Current \         | rear                                   |  |                |                |              |                    |                |            |                          |
|------------------|---|--------------|------|--|------------------|-----------------------|------------------|------------------|-------------------|-------------------------|------------------------------|--|--|----------------|----------------|--------------|--------------------|----------------|------------|--------------------------|
| 1                | 2   | Co           | des  | 6  | 7                |                       | Value            | 10               | 11                |                         | ange in Book / Adjuste       |  |  |                |                | Interes      |                    | 20             | Da         | ites 22                  |
| ,                | -   | 3 4 F O F e  |      | NAIC Designation , NAIC Designation Modifier and SVO |                  | 8 Rate Used to Obtain | 9                |                  | Book/<br>Adjusted | 12 Unrealized Valuation | 13 Current Year's            | 14 Current Year's Other Than Temporary | Total<br>Foreign<br>Exchange<br>Change | 16             | 17 Effective   | 18           | 19 Admitted Amount | Amount<br>Rec. | 21         | Stated<br>Contractual    |
| CUSIP            |   | . 9          | Bond | Administrat-   | Actual           | Fair                  | Fair<br>Value    | Par<br>Value     | Carrying<br>Value | Increase/<br>(Decrease) | (Amortization)/<br>Accretion | Impairment<br>Recognized               | In<br>B./A.C.V.                        | Rate           | Rate           | When<br>Paid | Due &<br>Accrued   | During<br>Year | Acquired   | Maturity<br>Date         |
| Identification   | Description   | Code n       | CHAR | ive Symbol   | Cost 100.000     | Value<br>107.5180     | 107.518          | 100.000          | 100.000           | (Decrease)              | ACCIGUOT                     | recognized                             | D.IV.CO.V.                             | 4.000          | 3.724          | MN           | 667                | 4.000          | D4/01/2017 | 11/01/2046               |
| 73105V-AX-0      | Polaris Career Center Ohio<br>Port of Portland Oregon Inti      |              | Z    | 1.A FE   | 00,000           | 107.3160              |                  |                  | 100,000           |                         |                              |  |  |                |                |              |                    |                |            |                          |
| 735240-64-9.     |   |              | 2    | 1.A FE   | 56,529           | 107 .8770             | 53,939           | 50.000           | 51,773            |                         | (667)                        |  |  | 5.000          | 3.506          | JJ           | 1,250              | 2,500          | 12/10/2014 | 07/01/2044               |
|                  | Public Fin Auth WI Healthcare                                   |              | ١.   |  | 400 204          | 103.9400              | 103.940          | 100,000          | 102.064           |                         | (228)                        |  |  | 3.000          | 2.711          | JJ           | 1.500              | 2.817          | 07/29/2020 | 01/01/2050               |
|                  | Fac 3.0%  |              | 2    | 1.F FE   | 102,384          | 103.9400              | 103.940          |                  |                   |                         | . 1                          |  |  |                |                |              |                    |                |            |                          |
| 74444K-CT-9      | Healthcare 3.0%   |              | 2    | 1.F FE   | 77 ,270          | 101.5550              | 76,166           | 75,000           | 77 .226           |                         | (44)                         |  |  | 3.000          | 2.652          | A0           | 563                |                | 10/12/2021 | 10/01/2051               |
|                  | Randall County Witr Dist SD                                     | 1            |      |  | 50.000           | 101.5540              | 50.777           | 50.000           | 50,000            |                         |                              |  |  | 2.650          | 2.650          | JD           | 110                | 850            | 04/05/2021 | 12/01/2051               |
| 752274+CE-8      | Rochester MM Indot Sch Dist                                     |              | 2    | 1.E FE   |                  | 101.3340              |                  |                  |                   |                         |                              |  |  |                |                |              |                    |                |            | l!                       |
| 771614-TE-1      | No 535 2.375  |              | 22   | 1.A  | 50,000           | 105 . 1930            | 52,597           | 50,000           | 50,000            |                         |                              |  |  | 2.375          | 2.375          | FA           | 495                | 1,188          | 01/14/2020 | 02/01/2037               |
|                  | Royal Oak NI Hosp Fin Auth                                      |              | ١ ,  | 1  | 61.378           | 107 . 2020            | 64.321           | 60.000           | 60.369            |                         | .(130)                       |  |  | 4.250          | 4.003          | MIS          | 850                | 2,550          | 05/13/2014 | 09/01/2034               |
| 780486-6Z-3      | Ser 20140   |              | 2    | 1.A FE   |                  |                       |                  |                  |                   |                         | , ,                          |  | Ī                                      |                |                |              |                    |                | 04/00/00/5 | 0010410000               |
| 780486-HD-1      | Auth Bonds Ser  | l            | 2    | 1.A FE   | 56,386           | 109.5110              | 54,756           | 50,000           | 51,899            |                         | (669)                        |  |  | 5.000<br>3.000 | 3.494          | MS           | 833<br>500         | 2,500<br>1,267 | 04/23/2015 | 09/01/2039<br>09/01/2045 |
| 83755V - M6 - 8  | SD Health & Educ Auth 3%  |              | 2    | 1.F FE   | 50,000           | 108.3430              | 54 . 172         | 50,000           | 50,000            |                         |                              |  |  |                |                | ,••0.,       |                    |                |            |                          |
| 784532-ET-6      | SM Educational Bidg Corp Res                                    |              | 2    | 1.4 FE   | 63.306           | 105.5990              | 63,359           | 60,000           |                   |                         | (370)                        |  |  | 5.000          | 4.330          | NS           | 1,000              | 3,000          | 02/19/2014 | 03/01/2043               |
|                  | Sac City IA Corp Purpose Ser                                    |              |      | 1  |                  |                       |                  | 50.000           | 54 000            |                         | (274)                        |  |  | 4.000          | 3.350          | JD           | 167                | 2.000          | 08/09/2018 | 06/01/2038               |
| 785717-DZ-9      |   |              | 2    | 1.A FE   | 52,215<br>50,875 | 110.0900<br>106.1770  | 55,045<br>53,089 | 50,000<br>50,000 | 51.323<br>50.137  |                         | (93)                         |  | †                                      | 4.625          | 4.421          | JD           | 193                | 2,313          | 12/13/2013 | 06/01/2033               |
| 796247 -BV -5    | San Antonio Texas Education<br>Sarpy County Nebraska            | <del> </del> |      |  |                  |                       |                  |                  |                   |                         |                              |  |  |                |                |              |                    |                | 10/11/2016 | 05/15/2041               |
| 80372P-AT-3      | Hospital  | ļ            | 2    | 1.A FE   | 109.285          | 110 . 1820            | 110,182          | 100,000          | 104,550           |                         | (964)                        |  | <del> </del>                           | 4.000<br>2.500 | 2.884          | MN           |                    | 4,000          | 09/03/2021 | 05/01/2051               |
| 821753-JH-4      | Shelby MI Pub Sch Dist 2.5%<br>South Carolina Jobs Econ Auth    |              | 2    | 1.F FE   | 50,367           | 98.2990               | 49,150           | 50,000           | 50,358            |                         | (9)                          |  | †····                                  |                |                |              |                    |                |            | 1                        |
| 837031-C4-3      |   | i            | 1 2  | 1.F FE   | 50,112           | 98.6270               | 49,314           | 50.000           | 50, 107           |                         | (5)                          |  |  | 2.500          | 2.474          | MS           | 368                |                | 07/06/2021 | 03/15/2051               |
| 1                | South Carolina State Public                                     |              |      |  |                  |                       | 400 507          | 120.000          | 121.650           |                         | (810)                        |  |  | 5.125          | 4.356          | JD           | 513                | 6.150          | 03/27/2014 | 12/01/2043               |
| 837151-JA-8.     | Svc Auth Rev  | ļ            | 2    | 1.A FE   | 127,920          | 107 . 1560            | 128,587          | 120,000          | 121,000           |                         |                              |  |  |                |                |              |                    |                |            |                          |
| 837550-70-9      | Educational   | 1 1          | 2    | 1.A FE   | 109,091          | 110.4760              | 110,476          | 100,000          | 104,113           |                         | (1.002)                      |  |  | 4.000          | 2.859          | MN           |                    | 4,000<br>3,750 | 09/20/2016 | 11/01/2040<br>05/01/2034 |
| 838736-WW-4      | South Lyon MI Sch Bidg & Site.                                  |              |      |  | 98,850           | 107 .0210             | 107,021          | 100,000          |                   |                         |                              |  | +                                      | 3.750          | 3.833          | HEV.         | 250                | 1.500          | 04/23/2015 | 05/01/2031               |
| 787226-CK-3,     | St Ansgar Iowa County Sch Dist.<br>St Joseph Cntv Ind Hosp 4.0% | ł            |      | 1.A FE   | 51,361           | 102.0920              | 51,046           | 50,000           |                   |                         | (۱۷)                         |  | 1                                      |                |                |              |                    |                |            |                          |
| 79062W-AA-6      | 08/15/2044  | L            | 2    | 1.A FE   | 19,829           | 103.7800              | 20.756           | 20,000           | 19.838            |                         | 4                            | ļ                                      | ļ                                      | 4.000          | 4.055<br>2.626 | FA           | 302<br>594         | 800            | 01/01/2020 | 08/15/2044               |
|                  | State Univ 1A Rev   | ļļ           | 2    | 1.A FE   | 49,233           | 104 . 1010            | 52,051           | 50.000           | 49.332            |                         |                              |  | ·                                      | 2.375          | 2.020          | 33           |                    |                |            |                          |
| 857536-NZ-5      | State Univ 1A Rev Util 3.25%                                    |              | 1 2  | 1 A FE   | 35.000           | 107.9770              | 37.792           | 35.000           | 35,000            | <b>[</b>                |                              | ļ                                      | ļ                                      | 3.250          | 3.249          | MN           | 190                | 1,138          | 01/01/2020 | 11/01/2029               |
| 03/330-RZ-3.     | State Univ Jowa Rev Dorm 3.5%                                   | tt           | 1    |  |                  |                       |                  |                  |                   |                         |                              |  |  | 3,500          | 3.500          | JJ.          | 525                | 1,050          | 01/01/2020 | 07/01/2037               |
| 857536-QX-7      | 07/37   | ļ            | 2    | 1.A FE   | 30,000           | 106.2830              | 31,885           | 30,000           | 30,000            |                         | <b></b>                      |  | +                                      | 3.500          |                |              |                    |                | Į.         |                          |
| 857536-NX-0.     | State Univ Iowa Rev Util 3%                                     |              | 1 ,  | 1.A FE   | 35.000           | 107.2760              | 37.547           | 35.000           | 35.000            | L                       |                              | <b>.</b>                               |  | 3.000          | 2.999          | MN           | 175                | 1,050          | 01/01/2020 | 11/01/2027               |
|                  | State of Connecticut Ser  | 1            | 1    | T  |                  |                       |                  |                  |                   |                         |                              |  |  | 2,000          | 2.010          | JJ           | 692                | 788            | 02/01/2021 | 01/15/2041               |
| 20772K - MG - 1  | 2021A   | ļ            | 2    | 1.F FÉ   | 74,879           | 96 . 2370             | 72,178           | 75,000           | 74,884            |                         | t5                           |  | ·                                      | 2.000          | 2.010          | JJJ          |                    | /00            |            |                          |
| 87515E-CA-0.     | Tampa Florida Health System                                     |              | 1 ,  | 1.A FE   | 100.893          | 109.4910              | 109.491          | 100.000          | 100,461           |                         | (96)                         |  |  | 4.000          | 3.883          | MN           | 511                | 4.000          | 02/17/2017 | 11/15/2038               |
| 896858-BY-2      | . Triview Colorado Metro  |              | 2    | 1.A FE   | 100.128          | 109.2960              | 109,296          | 100.000          | 100.067           |                         | (13)                         |  |  | 4.125<br>4.000 | 4.109<br>3.372 | MN           | 688<br>1.333       | 4.125          | 12/16/2016 | 11/01/2046               |
| 902562-AV-9.     | Univ of AL Medicine Fin   | ļ            | 2    | 1.A FE   |                  | 110.5740              | 110 ,574         | 100,000          | 102.686           |                         | (524)                        |  | +                                      | 4.000          | 3.312          | 80           |                    |                |            |                          |
| 103627 - AE - 5. | Village of Boys Town NE   |              | 1 2  | 1.8 FE   | 48,796           | 101.3020              | 50,651           | 50,000           | 48.831            |                         | 28                           |  | 1                                      | 2.375          | 2.490          | JJ           | 594                | 874            | 10/01/2020 | 07/01/2050               |
| 93978H-KJ-3,     | WA State Health Care Fac  |              | 22   | 1.A FE   | 56,417           | 109.0170              | 54,509           | 50,000           | 51.807            |                         | (680)                        |  | <b></b>                                | 5.000<br>4.000 | 3.478          |              | 1,250              | 2,500          | 03/19/2015 | 11/15/2046               |
|                  | #! State Health & Educ 4%                                       | ļ            | 2    | 1.F FE   | 24,941           | 113.8250              | 28,456<br>15,151 | 25,000<br>15,000 | 24,943<br>15,036  |                         | (86)                         |  | t                                      | 4.000          | 3.407          | JD           | 50                 | 600            | 06/05/2015 | 06/01/2029               |
| 941647 -RH-4     | Webster City IA 2.20%   | t            | 1 2  |  | 29.925           | 102.2940              | 30,688           | 30,000           | 29.928            |                         | 1 (00)                       |  |  | 2.200          | 2.216          | JD           | 55                 | 442            | 03/10/2021 | 06/01/2040               |
| 952530-09-2      | West Des Moines 3% 06/01/29                                     | 1            | 2    | 1.A FE   | 10,000           |                       | 10,289           | 10,000           | 10.000            |                         |                              | <b></b>                                | 4                                      | 3.000          | 3.000          | JD           | 25                 | 300            | 01/01/2020 | 06/01/2029               |
| necone pu c      | Whiting Wil Water & Sewer Ser                                   | ! !          | ١,   | 7,4  | 50 682           | 103 0280              | 51.514           | 50.000           | 50.558            |                         | (68)                         |  |  | 3.000          | 2.830          | MN           | 250                | 1.500          | 02/25/2020 | 05/01/2040               |

#### SCHEDULE D - PART 1

|                              |   |                                       |             |  |                   |                     |                  |                  |                           |                         | PARI 1  |   |  |             |                |            |                    |                |                          |                          |
|------------------------------|---|---------------------------------------|-------------|--|-------------------|---------------------|------------------|------------------|---------------------------|-------------------------|---|---|--|-------------|----------------|------------|--------------------|----------------|--------------------------|--------------------------|
|                              |   |                                       |             |  |                   | F-1-1               | total I          | Showing All L    | ong-Term BON              |                         | ember 31 of Current \ nange in Book / Adjuste |   |  |             |                | Interes    | at                 |                | Dat                      | tes                      |
| 1 1                          | 2   | Code                                  |             | 6<br>NAIC  | 7                 | Fair \              | /alue            | 10               | '''                       | 12                      | 13  | 14  | 15                                     | 16          | 17             | 18         | 19                 | 20             | 21                       | 22                       |
|                              |   | 3 4<br>F<br>o<br>r<br>e               |             | NAIC Designation , NAIC Designation Modifier and SVO |                   | Rate Used to Obtain | g                |                  | Book/<br>Adjusted         | Unrealized<br>Valuation | Current Year's                                | Current<br>Year's<br>Other<br>Than<br>Temporary | Total<br>Foreign<br>Exchange<br>Change |             | Effective      |            | Admitted<br>Amount | Amount<br>Rec. |                          | Stated<br>Contractual    |
| CUSIP                        |   | اواا                                  |             | Administrat-   | Actual            | Fair                | Fair             | Par              | Carrying                  | Increase/               | (Amortization)/                               | Impairment                                      | ln .                                   | Rate        | Rate           | When       | Due &              | During<br>Year | Acquired                 | Maturity<br>Date         |
| Identification               | Description   | Code n                                | CHAR        | ive Symbol   | Cost              | Value               | Value            | Value            | Value                     | (Decrease)              | Accretion (70)                                | Recognized                                      | B./A.C.V.                              | of<br>4.250 | of<br>4.108    | Paid       | Accrued 213        | 2.550          | 06/11/2015               | 12/01/2044               |
|                              | Wichita KS Arpt Ser C<br>Wisconsin Health &                     |                                       | 2           | 1.A FE   | 60,765            | 109.2760            | 65,566           | 60,000           |                           |                         | (70)  |   |  |             |                |            |                    |                |                          |                          |
| 97712D-VZ-6                  | Educational Fac Auth  |                                       | 2           | 1.A FE   | 100,765           | 113.8000            | 113,800          | 100,000          | 100,437                   |                         | (74)  |   |  | 4.000       | 3.906          | A0         | 1,000              | 4,000          | 04/01/2017               | 04/01/2039               |
|                              | Wisconsin State Health & Educ                                   |                                       | ,           | 1.8 FE   | 74.824            | 109.5800            | 82 . 185         | 75,000           | 74.828                    |                         | 4   |   |  | 4.000       | 4.015          | MN         | 383                | 3,000          | 10/28/2020               | 11/15/2046               |
| 97712D-7F-7                  | Bonds - U.S. Political Subdivis                                 | ions of Sta                           | tes Terri   |  | 74,024            | 109.3000            |                  |                  |                           |                         |   |   |  |             |                |            |                    |                | XXX                      | XXX                      |
|                              | Pageaggione (Direct and Guara                                   | nteed) . is                           | suer Ohlin  | nations  | 9,305,860         | XXX                 | 9,646,865        | 9,090.000        | 9,185,015                 |                         | (20,834)                                      |   |  | XXX         | XXX            | XXX        | 92,842             | 305,984        | XXX                      | ***                      |
| Bonds - U.S.                 | Political Subdivisions of Sta<br>Political Subdivisions of Sta  | les, Territo                          | ries and F  | Possessions (  | Direct and Guar   | anteed) - Kesi      | ercial Mortga    | ge-Backed Securi | lies<br>lies              |                         |   |   |  |             |                |            |                    |                |                          |                          |
| Ronds - U.S.                 | Political Subdivisions of Sta                                   | les. Territo                          | ories and f | Possessions (I                                       | Direct and Guar   | anteed) - Othe      | er Loan-Backed   | and Structured   | Securities                |                         |   |   |  |             |                |            |                    |                |                          |                          |
| 2499999 -                    | Bonds - U.S. Political Subdivis                                 | ions of Sta                           | tes, Terri  | itories and  |                   |                     |                  |                  |                           |                         |   |   |  |             |                |            |                    |                |                          |                          |
|                              | Possessions (Direct and Guara<br>Political Subdivisions of Sta  | inteed) - Sul                         | blotals -   | U.S.<br>Possessions                                  |                   |                     |                  |                  | !                         |                         |   |   |  |             |                | l l        |                    |                |                          |                          |
|                              | (D) t and Ourselland)   |                                       |             |  | 9,305,860         | XXX                 | 9,646,865        | 9.090.000        | 9,185,015                 |                         | (20,834)                                      |   |  | XXX         | XXX            | XXX        | 92,842             | 305,984        | XXX                      | XXX                      |
| Bonds - U.S.                 | Special Revenue and Special A                                   | ssessment Ob                          | ol igations | and all Non-   | Guaranteed Obli   | gations of Age      | encies and Auth  | orities of Gove  | rnments and The<br>98.549 | ir Political Subdi      | visions - Issuer Obl                          | igat ions                                       |  | 4.000       | 4.090          | LFAJ       | 1,511              | 4,000          | 10/17/2018               | 08/15/2048               |
| 762197-YS-4                  | Ri Hith & Ed Blodg 4% 8/15/48<br>Alachua Cntv FL Hith Tcho, Hsp |                                       |             | 1.4  | 98,213            | 113 . 1050          | 113,105          |                  |                           |                         |   |   |  |             | 1              |            |                    |                |                          | i - i                    |
| 010685-KH-7                  | 3% 12/1/46  |                                       | 2           | 1.4  | 50,205            | 104 . 4290          | 52,215           | 50,000<br>60,000 | 50,168                    |                         | (19)  |   |  | 3.000       | 2.952<br>3.021 | JD         | 125<br>107         | 1,500<br>2,400 | 12/18/2019<br>01/01/2020 | 12/01/2046               |
| 030850-FM-8.                 | Ames IA Hosp Rev 4.0% 8/15/36.<br>Beloit Turner WI Sch Dist     |                                       | 2           | 1.4  | 65.325            | 111.8550            | 67 , 113         | 60.000           |                           |                         |   |   |  |             |                | [          |                    |                |                          |                          |
| 080607-E0-3                  | 3.0% 3/1/31   |                                       |             | 1.4  | 25,000            | 107 . 1040          | 26,776           | 25,000           | 25,000                    |                         | (070)   |   |  | 3.000       | 3.000          |            |                    | 750<br>900     | 03/05/2019               | 03/01/2031<br>06/01/2031 |
| 187720-WT-0.                 | Clinton IA Cap Ln 3.1% 6/1/31,                                  | ļļļ                                   | 2           | 1.4  | 31.859<br>50.500  | 107 .7730           | 32.332<br>55.887 |                  | 31,263<br>50,488          |                         | (270)   |   |  | 3.100       | 3.818          | AO.        | 500                | 2.000          | 09/17/2018               | 10/01/2042               |
| 25483V - SM - 7              | DC Rev (Catholic) 4% 10/1/42<br>Eagle River CO Wtr & Sntn Dst   |                                       |             | 1.A  | 50,500            | 111.7740            |                  |                  |                           |                         |   |   |  |             |                |            |                    |                |                          | 40.04.0000               |
| 26982V -CC -0.               | 3.25% 12/1  |                                       |             | 1.A  | 22,684            | 109.2250            | 27 . 306         | 25,000           | 23,280                    |                         | 66  |   | <b></b>                                | 3.250       | 3.782          | JD         | 68                 | 813            | 11/28/2018               | 12/01/2039               |
| 385493-FN-6.                 | Grand Forks ND Santa Rev 2.6%                                   |                                       | 2           | 1 14 1   | 50,000            | 103.5190            | 51.760           | .50.000          | 50.000                    |                         |   |   |  | 2.600       | 2.600          | MS         | 433                | 1,300          | 11/21/2019               | 09/01/2038               |
| 394479-AH-2                  | Greene Co IA CSD 3% 6/1/32                                      |                                       |             | 1.4  | 47 .333           | 105.0710            | 52 .536          | 50,000           | 47,832                    |                         | 170   |   |  | 3.000       | 3.500          | JD         | 125                | 1,500          | 12/13/2018               | 06/01/2032               |
| 394479-AN-9                  | Greene Co IA CSD 3.375%   | 1                                     |             | 1.4  | 46.188            | 105.7950            | 52.898           | 50.000           | 46.788                    |                         | 149   |   |  | 3.375       | 3.934          | JD         | 141                | 1,688          | 10/12/2018               | 06/01/2037               |
| 394479-AN-9.<br>46249P-BN-7. | IA Lakes Regi Wtr 4.0% 3/1/45.                                  |                                       | 22          | 1.4  | 54.688            | 108.4940            | 54,247           | 50.000           | 53,205                    |                         | (724)   |   |  | 4.000       | 2.375          | <b>M</b> S | 667                | 2,000          | 12/02/2019               | 03/01/2045               |
| 1                            | Jefferson Co AL Brd of Ed                                       |                                       |             | 1 1  | 106.002           | 115.0340            | 115.034          | 100.000          | 105,513                   |                         | (184)   |   |  | 4.000       | 3.611          | FA         | 1,667              | 4,000          | 04/03/2019               | 02/01/2042               |
| 472649-AW-2                  | 4.0% 2/1/42<br>King Cnty WA Hsg Auth 3.75%                      | · · · · · · · · · · · · · · · · · · · |             | 7.4  | 100.002           | 115.0340            |                  |                  |                           |                         | 1   |   |  | 1           |                |            |                    | 0.050          | 05/05/0040               | 05/04/2000               |
| 494759-PE-7.                 | 5/1/38  | ļ                                     |             | 1.A  | 59,815            | 110.5600            | 66,336           | 60.000           | 59,932                    |                         | ļ3  | <del> </del>                                    |  | 3.750       | 3.759          | MN         | 375                | 2.250          | 05/25/2018               | 05/01/2038               |
| 535783.NU-4                  | Linn Cnty IA Land Wtr Lgcy<br>3.25% 6/1/34                      |                                       | 2           | 1.4  | .48,247           | 108.5870            | 48,864           | 45.000           | 47 , 366                  |                         | (508)   |   | <b>_</b>                               | 3.250       | 2.000          | JD         | 122                | 1,463          | 03/30/2020               | 06/01/2034               |
| 551845-HF-0.                 | Lyon Cnty KS USD 4.0% 9/1/48.                                   |                                       | 2           | 1.7  | 83.330            | 112.5290            | 84,397           | 75.000           | 81,279                    |                         | (1,023)                                       |   | ł                                      | 4.000       | 2.410          | MS         | 1,000              | 5,108          | 12/18/2019               | 09/01/2048               |
|                              | MI Fin Auth Rev Trinity HIth<br>3% 12/1/49                      |                                       | ,           | 1.4  | 99.652            | 106.0600            | 106.060          | 100.000          | 99.668                    |                         | 7   |   |  | 3.000       | 3.014          | JD         | 250                | 3.000          | 01/01/2020               | 12/01/2049               |
|                              | Maricopa Co AZ Hsp Rev 2019A                                    | 1 1 1                                 |             |  |                   |                     |                  |                  |                           |                         | (138)   | 1   |  | 4.125       | 3.814          | MS         | 1.375              | 4.125          | 03/13/2019               | 09/01/2042               |
| 56678P-AS-6.                 | 4.125% 9/1/<br>Memohis TN Elec Svs 4%                           | +                                     |             | 1.A  | 104,788           | 114.7280            | 114,728          | 100,000          | 104,410                   |                         | 138)  |   | t                                      | 1           |                | 1          |                    |                |                          |                          |
| 586158-PL-5.                 |   |                                       |             | 1.A  | 52.767            | 110.8800            | 55.440           | 50,000           | 52,679                    |                         | <b></b>                                       |   | ļ                                      | 4.000       | 3.448          | JD         | 167                | 2,000          | 05/29/2018               | 12/01/2036               |
|                              | Miami Valley OH Tech Ctr  |                                       |             | 1.4  | 98.945            | 110.7930            | 110.793          | 100,000          | 99,025                    |                         | 22  |   |  | 3.750       | 3.809          | JD         | 313                | 3,750          | 03/27/2018               | 12/01/2047               |
| 593842-CR-6.                 | 3.75% 12/1/47   | †                                     |             | 1  |                   |                     |                  |                  |                           |                         |   |   |  | I           |                | ,,         | 333                | 4 000          | 03/09/2018               | 12/01/2046               |
| 619305-AB-1,                 | 12/1/46   |                                       |             | 1.A  | 102,258           | 113.0620            | 113,062          | 100,000          | 102,113                   |                         | (50)  |   | ł                                      | 4.000       | 3.867          | JD         | 333                | 4,000          | J3/09/2018,              | 12/01/2040               |
| 621820-DG-1                  | Mt Lebanon PA St Clair Hosp                                     |                                       |             | 1.4  | 48.044            | 113.5920            | 56,796           | 50,000           | 48,274                    | ļ                       | 35  |   | ļ                                      | 4.000       | 4.218          | JJ         | 1,000              | 2,000          | 11/08/2018               | 07/01/2048               |
|                              | NJ Hith Care Facs Fing 4%                                       | T                                     |             |  | 400.000           | 111.8770            | 111.877          | 100,000          | 101.967                   |                         |   | 1   | 1                                      | 4.000       | 3.794          | 1          | 2.000              | 4.000          | 05/25/2018               | 07/01/2047               |
| 645790-LQ-7.                 | 7/1/47  | +                                     | 2           | 1.A  | 102,005<br>49,755 | 107.4770            | 53.739           | 50,000           | 49.767                    |                         | 6   | İ   | 1                                      | 3.000       | 2.973          | FA         | 625                | 1,500          | 01/01/2020               | 08/01/2048               |
| 647370-JS-5.                 | NM St Hosp Rev Sys 3% 8/1/48                                    |                                       | 2           | 1.8  | 50,111            | 107 .4770           | 53,739           |                  |                           |                         | (11)  | L   | <b></b>                                | 3.000       | 2.973          | FA         | 625                | 1,500          | 01/01/2020               | 08/01/2048               |
|                              | Richmond Heights OH Sch Dist<br>4% 12/1/47                      |                                       |             | 7,   | 100.475           | 111.5850            | 111.585          | 100.000          | 100,446                   | L                       | (10)  |   |  | 4.000       | 3.972          | JD,        | 333                | 4,000          | 10/04/2018               | 12/01/2047               |
| [104/3/-AP-/                 | - 49 IZ/ I/4/   |                                       | 4           |  | 1                 | ,                   |                  | ,                | 1                         |                         |   |   |  |             | •              |            |                    |                |                          |                          |

E10.4

#### SCHEDULE D - PART 1

|                      |  |               |             |                                       |                  |   |                   |                  |                   | DS Owned Dece           | mber 31 of Current     | rear              |   |          |           | 1-4-   |            |                | D.          | tes                      |
|----------------------|--|---------------|-------------|---------------------------------------|------------------|---|-------------------|------------------|-------------------|-------------------------|------------------------|-------------------|---|----------|-----------|--------|------------|----------------|-------------|--------------------------|
|                      | 2  | Co            | des         | 6                                     | 7                | Fair '                                  |                   | 10               | 11                |                         | ange in Book / Adjuste |                   |   | <u> </u> |           | Intere |            | - 00           | Da          | 22                       |
| 1 ' 1                |  | 3 4           | 5           | NAIC                                  |                  | 8                                       | 9                 | i                |                   | 12                      | 13                     | 14                | 15                                      | 16       | 17        | 18     | 19         | 20             | 21          | 22                       |
| 1 1                  |  | F             |             | Designation                           |                  | i                                       |                   |                  |                   |                         |                        | Current           |   | l i      | 1         |        |            |                |             | 1                        |
| 1 1                  |  | 0             |             | , NAIC                                |                  |   |                   |                  | 1                 |                         |                        | Year's            | Total<br>Foreign                        |          | l         |        |            |                |             | 1                        |
|                      |  | r             |             | Designation                           |                  |   |                   |                  |                   |                         |                        | Other<br>Than     | Exchange                                |          | ĺ         |        | Admitted   | Amount         |             | Stated                   |
| 1                    |  | e             |             | Modifier                              |                  | Rate Used                               |                   | 1                | Book/             | Unrealized<br>Valuation | Current Year's         | Temporary         | Change                                  |          | Effective | 1      | Amount     | Rec.           |             | Contractual              |
| 1                    |  | 1 1           |             | and SVO                               |                  | to Obtain                               | 1                 | Par              | Adjusted          | Increase/               | (Amortization)/        | Impairment        | In                                      | Rate     | Rate      | When   | Due &      | During         |             | Maturity                 |
| CUSIP                |  | 9             | Bond        | Administrat-                          | Actual           | Fair                                    | Fair<br>Value     | Value            | Carrying<br>Value | (Decrease)              | Accretion              | Recognized        | B./A.C.V.                               | of       | of        | Paid   | Accrued    | Year           | Acquired    | Date                     |
| Identification       | Description  | Code n        | CHAR        | ive Symbol                            | Cost             | Value                                   | Value             | value            | value             | (Decrease)              | Acciellon              | Neuvyilizeu       | B./A.C.V.                               | UI UI    |           | 1.0.0  | ricolded   | 100            | 7.040       |                          |
|                      | Seattle WA Muni Light & Pwr 4%                                 |               |             | 1 1                                   | 25.748           | 113.9200                                | 28,480            | 25.000           | 25,743            |                         |                        |                   | ļ                                       | 4.000    | 3.722     | NS     | 333        | 1.000          | 11/08/2018  | 09/01/2037               |
| 812643-0C-1          |  |               | 2           | 1 1 1                                 | 37 150           | 100.6380                                | 40.255            | 40.000           | 37 .453           |                         | 170                    |                   | T                                       | 2.000    | 2.600     | JJ     | 400        | 800            | 03/12/2020  | 07/01/2034               |
| 914/4P-HK-9          | UNI 1A Rev Util Sys 2% 7/1/34.<br>Univ of CO Entrors Refund 4% |               | 4           | · · · · · · · · · · · · · · · · · · · |                  |   |                   |                  |                   |                         |                        |                   |   |          | l         | l l    | 1          |                |             | 1 1                      |
| 91417K-Y5-4          |  | !             | 1           | 1.4                                   | .53.235          | 116.5420                                | 58.271            | 50.000           | 53 . 132          |                         |                        |                   | ļ                                       | 4.000    | 3.480     | JD     | 167        | 2,000          | 03/14/2018  | 06/01/2038               |
| 3141/K*13*4          | W! St Hith & Edi 3.125%  | †             |             | 1                                     |                  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                   |                  |                   |                         |                        |                   |   |          |           |        |            |                |             | 12/15/2049               |
| 97712D-4T-0          | 12/15/49   | ll.           | 2           | 1.4                                   | 50,482           | 104.8320                                | 52,416            | 50,000           | 50.395            |                         | (43)                   |                   | ļ                                       | 3.125    | 3.012     | JD     |            | 1.563<br>4.063 | 01/01/2020  | 12/15/2049               |
| 97712D-4S-2          | WI St Hith 3.125% 12/15/44                                     | I             | 2           | 1.4                                   | 130 .658         | 111.4250                                | 144 .853          | 130,000          | 130.539           |                         | (59)                   | ,                 |   | 3.125    | 3.084     | JD     | 181        | 4,063          | V110112020  | 121 1312044              |
|                      | Walton Verona KY ISD 3.75%                                     | !!            | 1           | 1                                     |                  |   |                   |                  |                   |                         |                        |                   |   | 3.750    | 3.750     | JD     | 172        | 2.063          | 06/12/2018  | 06/01/2038               |
| 933486-NX-6          | 6/1/38   | 1             |             | 1.4                                   | 55,000           | 112.9990                                | 62,149            | 55,000<br>50,000 |                   |                         | 9                      |                   |   | 2.125    | 2.150     | JD     | 89         | 1.063          | 03/10/2020  | 06/01/2038               |
| 94283N+DU+1          | Waukee IA CSD 2.125% 6/1/38                                    |               | 2           | 1.4                                   | 49,813           | 101.4720                                | 50,736            | 50,000           | 49,020            |                         |                        |                   |   | 1        |           |        |            |                |             |                          |
|                      | Wilkes-Barre PA Area Sch Dist                                  |               | l           | 1 1                                   | 102.424          | 111.5560                                | 111.556           | 100,000          | 102,249           |                         | (65)                   |                   |   | 3.750    | 3.602     | A0     |            | 3.750          | 04/15/2019  | 04/15/2044               |
| 968261-NP-8          | 3.75% 4/15   | <del>  </del> |             | 1.A                                   | 102,424          | ,111.3360                               |                   |                  | 102.243           |                         | (00)                   |                   | I                                       |          |           |        |            |                |             |                          |
| 200000 744 0         | Wyandotte Co KS USD 3.17%                                      | 1 1           | ,           | 1.4                                   | 51.899           | 103.0270                                | 51.514            | 50.000           | 51.608            |                         | (162)                  |                   |   | 3.170    | 2.750     | MS     | 528        | 1,585          | 03/12/2020  | 09/01/2046               |
| 982696 -RW-0         | Wyandotte Co KS Util 4.25%                                     | tt            | 1           | 1                                     |                  |   |                   |                  |                   |                         |                        |                   |   | 1        |           | I      |            |                |             |                          |
| 982674-KA-0          | 0/1/20   | i I           |             | 1 14                                  | 52.499           | 108 . 1850                              | 54.093            | 50.000           | 51.826            |                         |                        |                   |   | 4.250    | 3.901     | MS     | 708        | 2,125          | 06/18/2018  | 09/01/2039               |
|                      | Bonds - U.S. Special Revenue a                                 | vi Special    | Assessment  | Obligations                           |                  |   |                   |                  |                   |                         |                        |                   |   |          |           |        |            |                |             |                          |
| 2355555              | and all Non-Guaranteed Oblig                                   | ations of A   | gencies at  | xd                                    |                  |   |                   | 1                |                   |                         |                        |                   |   | 1        |           | 1      |            | 1              |             |                          |
| Ĭ                    | Authorities of Governments a                                   | nd Their Po   | litical Su  | abdivisions -                         |                  |   |                   |                  |                   |                         |                        |                   | i                                       |          | 444       | xxx    | 47.007     | 81.556         | XXX         | xxx                      |
|                      | Issuer Obligations   |               |             |                                       | 2,257,097        | XXX                                     | 2,442,944         | 2,220,000        | 2,250,625         |                         | (3,388)                |                   |   | XXX      | XXX       | XXX    | 17,627     | 81,000         | VVV         | AAA                      |
| Bonds - U.S.         | Special Revenue and Special A                                  | ssessment (   | bligation   | s and all Non-                        | Guaranteed Obl   | igations of Ag                          | encies and Auth   | orities of Gove  | rnments and The   | ir Political Subdi      | visions - Residential  | Mortgage-Backed   | Securities                              |          |           |        |            |                |             |                          |
|                      |  |               |             |                                       |                  |   |                   |                  |                   |                         |                        |                   |   |          |           |        |            |                |             |                          |
| Bonds - U.S.         | Special Revenue and Special A                                  | ssessment (   | bligation   | s and all Non-                        | Guaranteed Obl   | igations of Ag                          | encies and Auth   | orities of Gove  | rnments and the   | ir Political Subdi      | visions - Uther Loan-  | Backed and Struct | ured Securities                         |          |           |        |            |                |             | 1                        |
| 3199999 -            | Bonds - U.S. Special Revenue a                                 |               |             |                                       |                  |   |                   |                  |                   |                         |                        |                   | 1                                       | 1        | 1         | 1      |            |                | i           |                          |
|                      | and all Non-Guaranteed Oblig                                   | ations of A   | gencies ar  | nd .                                  |                  | 1                                       |                   |                  | ļ                 |                         |                        |                   |   |          |           | i      |            |                |             |                          |
|                      | Authorities of Governments a                                   | nd Their Po   | ilitical Si | ibdivisions -                         |                  | 1                                       |                   |                  | 1                 |                         |                        |                   |   | 1        |           | 1      |            | 1              | ļ           |                          |
|                      | Subtotals - U.S. Special Rev<br>Obligations and all Mon-Guar   | enue and sp   | ecial Ass   | essment                               | 1                |   |                   |                  |                   |                         |                        |                   |   | 1        |           |        |            | 1              |             |                          |
| i i                  | Governments and Their Politi                                   | anteed vori   | gat ions o  | Agencies of                           | 2.257.097        | xxx                                     | 2.442.944         | 2.220.000        | 2.250.625         |                         | (3.388)                |                   |   | XXX      | XXX       | XXX    | 17,627     | 81,556         | XXX         | XXX                      |
| Decide Link          | istrial and Miscellaneous (Unaf                                | filiated)     | Jecus 0     | hligations                            | 2.237,037        | NA.                                     | 2,442,044         | 2,220,000        | 2.200.000         |                         | 12                     |                   | -                                       |          |           |        |            |                |             |                          |
| Bonds - Indi         | istrial and Miscellaneous (unai                                | Titiateo)     | 155001 0    | . 1.F FE                              | 50.911           | 1105.3346                               | 52.667            | 50.000           | 50.896            |                         | (15)                   |                   | I                                       | 3.125    | 3.020     | MS     | 443<br>288 | 781            | 05/19/2021  | 09/19/2046               |
| 000000 CD C          | 3M Company 3.125%  | t             | 2           | 2.4                                   | 51,288           | 115.3305                                | 57.665            | 50,000           | 51,120            |                         | (15)                   | l                 | 1                                       | 4.500    | 4.265     | W      | 288        | 2,250          | 06/12/2019  | 05/15/2035               |
| INVESTINATION OF THE | AT&T Inc 5.35% 9/1/40  | t             | 1           | 2.4                                   | .52.518          | 125.6299                                | 62,815            | 50,000           | 52.469            |                         |                        |                   | 4                                       | 5.350    | 4.916     | MS     | 892        | 2.675          | 04/30/2018  | 09/01/2040               |
|                      | Allstate Corp  |               | 1           | 1.A FE                                | 15.000           | 125.9370                                | 18.891            | 15.000           | 15.000            |                         |                        | ļ                 | <b></b>                                 | 5.350    | 5.350     | JD     |            | 803            | 07/15/2011  | 06/01/2033<br>06/15/2023 |
| 020002-47-4          | Alistate Corp.   |               |             | 1.A FE                                | 10,129           | 103.3043                                | 10.330            | 10,000           | 10.019            |                         | (13)                   |                   | -                                       | 3.150    | 3.014     | JD,    |            | 315            | 06/17/2013  |                          |
| 120002 12-4          | Anheuser Busch Inbev 4.439%                                    | 1 -           |             | 1                                     |                  | 1                                       |                   |                  | l                 |                         | I                      | l                 | 1                                       | 4.439    | 4,433     | A0     | .524       | 2.220          | 06/12/2019  | 10/06/2048               |
| 03523T-BT-4          | 10/6/48  | J             | 22          | 2.A                                   | 50,045           | 119.7941                                | 59.897            | 50,000           | 50,042            |                         | (1)                    |                   | +                                       | 4.439    | h4.433    | AU     | 524        | 2,220          | 501 1212019 | 1070072040               |
|                      | Anheuser -Busch Inbev  | 1             | ١.          | 1                                     |                  | 400 400                                 | 440               | 92.000           | 95,619            |                         | (76)                   | 1                 | i                                       | 4.600    | 4.193     | A0     | .893       | 4.232          | 04/08/2020  | 04/15/2048               |
| 035240 - AN - 0.     | Worldwide 4.6% 4/15  |               | ļ2          | 2.4                                   | 95,752           | 122.1127                                | 112.344<br>24.746 | 20.000           | 20.246            |                         | (13)                   |                   | *************************************** | 6.000    | 5.862     | MN     | 153        | 1,200          | 09/12/2013  | 11/15/2034               |
| 079860 -AK -8        | Bellsouth Corp   | +             | ł           | 2.A                                   | 20,359<br>48,542 | 123 .7309<br>98 .4391                   |                   | 50.000           | 48.559            |                         | 18                     |                   | 1                                       | 2.850    | 3.000     | A0     | 301        | 713            | 06/02/2021  | 10/15/2050               |
|                      | Berkshire Hathaway Fin Corp                                    | +             | ļZ          | 1.F FE                                | 27.079           | 108.7427                                | 21,749            | 20,000           | 21.055            | ·                       | (696)                  |                   | T                                       | 7.150    | 3.404     | JD     | 64         | 1,430          | 06/05/2013, | 06/15/2023               |
|                      | Bristol Myers Squibb Co  | +             | ·           | 1.A FE                                | 30,013           | 107.5414                                | 26 885            | 25,000           | 25,403            |                         | (696)                  |                   | 1                                       | 8.000    | 6.476     | FA     | 756        | 2.000          | 07/18/2007  | 02/15/2023               |
| 149123-80-2          | Caterpillar Inc<br>Estee Lauder Companies                      | +             | +           | 1.A FE                                | 22.734           | 141.2821                                | 28,256            | 20.000           | 21.961            |                         | L(83)                  |                   | 1                                       | 6.000    | 5.073     | MN     | 153        | 1,200          | 07/19/2013  | 05/15/2037               |
| 31428X-BQ-8          |  | ·             | 1 2         | 2.A                                   | 77.241           | 112.1545                                | 84,116            | 75.000           |                   |                         | (49)                   |                   | 1                                       | 4.050    | 3.872     | LFA    | 1,148      | 3.038          | 02/27/2020  | 02/15/2048               |
|                      | Fifth Third Bancorp  | 1             | 2           | 3.A FE                                |                  | 102.0000                                | 25.500            | 25.000           | 25,042            | L                       | (26)                   | l                 |   | 5.100    | 4.982     | JD     | ļ7         | 1,275          | 01/01/2020  | 12/29/2099               |
|                      | General Electric Cap Corp                                      | 1             | 1           | 2.4                                   | 20.000           | 104 .0477                               | 20,810            | 20,000           | 20,000            |                         | ļ                      | <b></b>           |   | 5.750    | 5.749     | FA     | 434        | 1,150          | 02/25/2010  | 02/15/2023               |
| JAGGGR-51 *5.        | General Electric Co PFD  | T - T         | T           | 1                                     |                  |   |                   |                  | 1                 | 1                       | 1 .                    | 1                 | 1                                       | 1        | 1         |        | 1          | 2 000          | 04/04/2020  | 12/31/2099               |
| 369604-80-5          |  | 1             | 1           | 2.A FE                                | 53,821           | 98.7500                                 | 54,313            | 55,000           | 53,823            |                         | <u> </u>               | <b></b>           |   | 5.000    | 5.132     | JD     | 298        | 1,013          | 01/01/2020  | 03/15/2032               |
| 36962G-XZ-2          | Geni Electric Cap Corp   | 1             |             | 2.A                                   | 17,291           | 136.2862                                | 20,443            | 15,000           | 16,318            |                         | (94)                   |                   | +                                       | 6.750    | 6.449     | MN.    | 298        |                | 01/01/2020  | 05/01/2032               |
| 38143Y -AC-7         | Goldman Sachs Group  |               | 4           | 2.A FE                                | 20,000           | 136.3792                                | 27 ,276           | 20,000           | 20.000            | ļ                       | (119)                  | ł                 | +                                       | 6.450    | 3.965     |        | 288        | 2.250          | 08/23/2019  | 11/15/2041               |
| 406216-BA-8          | Halliburton Co 4.5% 11/15/41.                                  |               |             | 2.4                                   | 53,925           | 110.3750                                | 55 , 188          | 50,000           |                   |                         | (119)                  |                   |   | 2.800    | 3.965     | JD     | 117        | 1.400          | 05/12/2021  | 06/01/2050               |
| 438516-CA-2          |  | 4             | 2           | 1.F FE                                | 48,070           | 103 .6560                               | 51,828<br>10,633  | 50,000           | 48,096<br>10,087  | ł                       | 26 (50)                |                   | +                                       | 6.000    | 5.429     | FA.    | 227        | 600            | 02/05/2010  | 08/15/2023               |
|                      | John Hancock Signature   |               |             | 1.A FE                                | 10,670           | 106 .3260                               | 29.502            | 10,000<br>25,000 | 25.911            | ·····                   | (60)                   |                   | · †                                     | 6.000    | 5.098     | J      | 692        | 1.500          | D1/18/2012  | 01/15/2033               |
| 500255-AN-4          | Kohls Corp   |               | 4           | 2.A                                   | 26,566           | 118.0070                                | J29,502           | z3,000           | 125,911           | <u> </u>                | +(00)                  | ·····             |   | .4       | h         | ·vv    | 1          |                |             |                          |
|                      |  |               |             |                                       |                  |   |                   |                  |                   |                         |                        |                   |   |          |           |        |            |                |             |                          |

#### SCHEDULE D - PART 1

|                |   |                  |              |  |                  |   |                  | Chandra All I    | an Torm PON                            | IDS Owned Deep                                     | ember 31 of Current                            | Voor                                 |                                       |                |                         |              |  |                                  |                          |   |
|----------------|---|------------------|--------------|--|------------------|---|------------------|------------------|--|--|--|--------------------------------------|---------------------------------------|----------------|-------------------------|--------------|--|----------------------------------|--------------------------|---|
|                |   |                  | des          | 6 1  | 7                | Enir 1                                  | /alue            | 5nowing All LC   | 11                                     | CH<br>CHIEG DECE                                   | hange in Book / Adjust                         | ed Carrying Value                    |                                       |                |                         | Interes      | st                                     |                                  | Da                       |   |
| 1              | 2   | 3 4<br>F<br>0    |              | NAIC<br>Designation<br>, NAIC                      | ,                | 8                                       | 9                |                  | "                                      | 12   | 13   | 14<br>Current<br>Year's<br>Other     | 15<br>Total<br>Foreign                | 16             | 17                      | 18           | 19                                     | 20                               | 21                       | 22  |
| CUSIP          |   | r<br>e<br>i<br>g | Bond         | Designation<br>Modifier<br>and SVO<br>Administrat- | Actual           | Rate Used<br>to Obtain<br>Fair<br>Value | Fair<br>Value    | Par<br>Value     | Book/<br>Adjusted<br>Carrying<br>Value | Unrealized<br>Valuation<br>Increase/<br>(Decrease) | Current Year's<br>(Amortization)/<br>Accretion | Than Temporary Impairment Recognized | Exchange<br>Change<br>In<br>B./A.C.V. | Rate<br>of     | Effective<br>Rate<br>of | When<br>Paid | Admitted<br>Amount<br>Due &<br>Accrued | Amount<br>Rec.<br>During<br>Year | Acquired                 | Stated<br>Contractual<br>Maturity<br>Date |
| Identification | Description Lockheed Mart in Corp                                   | Code n           | CHAR         | ive Symbol   | Cost 15.000      | 124 . 1824                              | 14.902           | 12.000           | 12.914                                 | (Decrease)   | (181)  | rteoognized                          | Darco.v.                              | 7.750          | 5.736                   | NN           | 155                                    | 930                              | 06/30/2010               | 05/01/2026                                |
| 53983U-AF+6    | Magettan Midstream Parterns   |                  |              | †  |                  |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  | 0.700                            | 02/12/2020               | 03/15/2045                                |
| 559080-AJ-5.   | LP 4.2%   |                  | 2            | 2.A  | 68,906           | 105 . 1547                              |                  | 65,000           | 68,607<br>10,469                       |  | (159)  |                                      |                                       | 4.200<br>6.375 | 4.302<br>5.450          | MS           | 804                                    | 2,730                            | 01/06/2011               | 01/08/2028                                |
| 580135-BY-6.   | McDonalds Corp  |                  | 2            | 2.A  | 11,194<br>53,135 | 122.6374<br>122.1697                    | 12,264           | 50.000           | 53.012                                 |  | (70)   |                                      |                                       | 4.450          | 4.061                   | MS           | 742                                    | 2.225                            | 03/25/2020               | 03/01/2047                                |
| 58013M-FC-3    | McDonalds Corp 4.45% 3/1/47<br>MidAmerican Energy                   |                  | Z            | 1.A FE   | 25.500           | 105.4645                                | 26.366           | 25,000           | 25,174                                 |  | (59)   |                                      |                                       | 3.500          | 3.236                   | A0           |  | 875                              | D4/29/2014               | 10/15/2024                                |
|                | Oracle Corp 3.6%  |                  | 2            | 2.4 FE   | 89.555           | 97.6822                                 | 85,960<br>77,482 | 88.000           | 89.531                                 |  | (24)   |                                      |                                       | 3.600          | 3.501<br>3.702          | AO           |  | 1,584                            | 04/26/2021<br>05/14/2021 | 04/01/2050<br>03/25/2051                  |
| 68389X-CA-1    | Oracle Corp 3.95%   |                  | 2            | 2.A FE   | 78,316           | 103.3093                                | 77 ,482          | 75,000           | 78,276                                 |  | (40)   |                                      |                                       | 3.950          | 6.187                   | MS           | 807                                    | 1.815                            | 12/11/2007               | 07/21/2023                                |
| 69512E-FR-1    | Pacificorp  |                  |              | 1.A FE   | 28,574           | 108 . 1156<br>127 . 7336                |                  | 25.000           | 25.391                                 |  | (233)  |                                      |                                       | 5.900          | 5.092                   | FA           | 468                                    | 1.239                            | 10/07/2011               | 08/15/2034                                |
| 695114-BX-5    | Pacificorp.   | ····             | ·            | 1.A FE<br>2.A FE                                   |                  | 105.3818                                | 52.691           |                  | 52.134                                 |  | (28)   |                                      |                                       | 3.700          | 3.457                   | MN           | 236                                    | 925                              | 06/02/2021               | 11/15/2049                                |
| 87612E-AK-2    | Rogers Communications Inc   | t                | <del>-</del> | 1.A FE.  | 17.637           | 137 . 1893                              | 20.578           | 15.000           | 16,488                                 |  | (101)  |                                      |                                       | 6.350          | 5.143                   | MN           | 159                                    | 953                              | 06/23/2011               | 11/01/2032<br>02/01/2028                  |
| 907818-BY-3    | Union Pac Corp  |                  |              | 1.A FE   | 20.770           | 126.6312                                | 18,995           | 15,000           | 17,534                                 |  | (362)  |                                      |                                       | 7.125<br>6.250 | 3.971                   | FA           | 445<br>260                             | 1,069                            | 04/15/2013<br>05/18/2011 | 05/01/2034                                |
| 907818-CU-0    | Union Pac Corp  | ļ                | 1            | 1.A FE   | 28,310           | 135.2297                                | 33,807<br>47,312 | 25,000<br>50,000 | 26,990<br>45,886                       |  | (112)  |                                      |                                       | 2.875          | 3.320                   | MN           | 164                                    | 1,563                            | 02/23/2021               | 11/20/2050                                |
| 92343V-FU-3.   | Verizon Comm Inc  |                  | 2            | 2.A FE   | 45.814           | 94.6242                                 | 47 ,312          | 50,000           | 43,000                                 |  |  |                                      |                                       | 1              |                         |              |  |                                  |                          |   |
| 92343V-FV-1.   | Verizon Comm Inc 3.0%   |                  | 2            | 2.A FE   | 48.980           | 93.8688                                 | 46.934           | 50.000           | 48.985                                 |  | 5  |                                      |                                       | 3.000          | 3.090                   | MIN          | 171                                    | 750                              | 09/07/2021               | 11/20/2060                                |
| 92343V-FY-1.   | Wal-Mart Stores   | ····             |              | 1.A FE.  | 102.000          | 136.2566                                | 136,257          | 100,000          | 101,283                                |  | (64)   |                                      |                                       | 5.250          | 5.118                   | MS           | 1,750                                  | 5,250                            | 05/26/2011               | 09/01/2035                                |
| 3299999 -      | Bonds - Industrial and Miscella<br>Obligations                      |                  |              |  | 1,536,440        | ххх                                     | 1,661,909        | 1,473,000        | 1,507.707                              |  | (3.159)  |                                      |                                       | XXX            | XXX                     | XXX          | 16,205                                 | 60.832                           | xxx                      | ххх                                       |
| Bonds - Indi   | ustrial and Miscellaneous (Unaf                                     | filiated)        | - Resident   | ial Mortgage-b                                     | Sacked Securiti  | es<br>T 96.0240                         | 1.515            | 1.578            | 1.515                                  | (7)  | . 2  | Γ                                    | I                                     | 5.500          | 5.801                   | MCN          | 7                                      | 87                               | 01/01/2020               | 04/25/2035                                |
| 3399999 -      | Mastr Alt Loan Trust  | aneous (Una      |              |  | 1.530            | XXX                                     | 1.515            | 1.578            | 1.515                                  | (7)  | 2  |                                      |                                       | XXX            | XXX                     | XXX          | 7                                      | 87                               | XXX                      | ххх                                       |
| Panda Lade     | Residential Mortgage-Backed :<br>ustrial and Miscellaneous (Unaf    | filiated)        | - Commerci:  | al Mortgage-Ra                                     |                  |   | 1.010            | 1,010            | 1,010                                  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| DOIRIS - ITIU  | CSFB Mortgage Backed Pass   | T T              | - commercia  | ur workgage be                                     |                  | 1                                       |                  |                  |  |  |  |                                      |                                       | T              |                         | MON          | 16                                     | 193                              | 01/01/2020               | 07/25/2035                                |
| 225458-UE-6.   | Through   |                  | 4            | 2.A FE   | 3,071            | 100.7429                                | 3.097            | 3.074            | 3,071                                  |  |  |                                      |                                       | 6.250          | 6.263                   | MUN(         | 10                                     | 193                              |                          | 9/123/2033                                |
| 3499999 -      | Bonds - Industrial and Miscell                                      | aneous (Una      | affiliated)  |  | 3.071            | XXX                                     | 3.097            | 3.074            | 3.071                                  |  |  |                                      |                                       | XXX            | xxx                     | XXX          | 16                                     | 193                              | XXX                      | XXX                                       |
|                | Commercial Mortgage-Backed Sustrial and Miscellaneous (Unaf         | ecurities        | - Xub 1 -    | Dealed and   |                  |   | 3,09/            | 3,0/4]           | 3,011                                  |  | <u> </u>                                       |                                      |                                       | 1              |                         |              | -                                      |                                  |                          |   |
| Bonds - Ind    | Bonds - Industrial and Miscell                                      | annous (llas     | offiliated   | - Subtotats  | Januarurea 3ec   | 1                                       |                  |                  |  |  | 1  |                                      |                                       |                |                         |              |  |                                  |                          |   |
|                | <ul> <li>Industrial and Miscellaneo</li> </ul>                      | us (Unaffii      | liated)      | 000101010  | 1.541.040        | XXX                                     | 1,666.521        | 1.477.652        | 1,512,293                              | (7)  | (3,157)  |                                      |                                       | XXX            | XXX                     | XXX          | 16,228                                 | 61,112                           | xxx                      | XXX                                       |
| Bonds - Hyb    | rid Securities - Issuer Obligat<br>rid Securities - Residential Mo  | IONS Poo         | kod Conuri   | tion   |                  |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Bonds - Hyb    | rid Securities - Kesidential Mor<br>rid Securities - Commercial Mor | trane Back       | ed Securit   | ies  |                  |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Ronds - Hyb    | rid Securities - Other Loan-Bac                                     | ked and St       | ructured S   | ecurities  |                  |   |                  |                  |  |  |  |                                      |                                       |                |                         | _            |  |                                  |                          |   |
| Bonds - Par    | ent, Subsidiaries and Affiliate                                     | s - Issuer       | Obligatio    | ns   |                  |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Bonds - Par    | ent. Subsidiaries and Affiliate                                     | s - Reside       | ntial Mort   | gage Backed Si                                     |                  |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Bonds - Par    | ent, Subsidiaries and Affiliate                                     | s - Commer       | cial Mortg   | age Backed Se                                      | curities         |   |                  |                  |  |  |  |                                      | -                                     |                |                         | _            |  |                                  |                          |   |
| Bonds - Par    | ent, Subsidiaries and Affiliate<br>ent, Subsidiaries and Affiliate  | s - Uther        | Loan-Backe   | d and Structu                                      | red Securities   |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Boods - Par    | ent, Subsidiaries and Affiliate<br>ent, Subsidiaries and Affiliate  | o - Affili       | ated Bank    | Loans - Acous                                      | red              |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Ronds - Par    | ent, Subsidiaries and Airifrate<br>Identified Funds - Exchange Tra  | ided Funds       | - as Ident   | ified by the                                       | SVO              |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Bonds - Una    | ffiliated Bank Loans - Unaffili                                     | ated Bank        | Loans - Is   | sued   |                  |   |                  |                  |  |  |  |                                      |                                       |                |                         |              |  |                                  |                          |   |
| Bonds - Una    | ffiliated Bank Loans - Unaffili                                     | ated Bank        | Loans - Ac   | quired   |                  |   |                  |                  |  |  | 1 (07,000)                                     |                                      | т                                     | T XXX          | XXX                     | T XXX        | 126.674                                | 448.372                          | XXX                      | XXX                                       |
| 7699999 -      | Bonds - Total Bonds - Subtotal                                      | s - Issuer       | Obligation   | ns   | 13.099,396       | XXX                                     | 13,751,718       | 12,783,000       | 12,943,346                             |  | (27,382)                                       |                                      | <del> </del>                          | - AAA          |                         | 1 ^^^        | 120,0/4                                | 440,312                          | <del>  ^^^</del>         | 700                                       |
| 7799999 -      | Bonds - Total Bonds - Subtotal<br>Securities                        | s - Reside       | ntial Wort   | gage-Backed  | 1.530            | XXX                                     | 1,515            | 1,578            | 1,515                                  | (7)  | )2   |                                      |                                       | XXX            | XXX                     | XXX          | 7                                      | 87                               | XXX                      | XXX                                       |
| 7899999 -      | Bonds - Total Bonds - Subtotal<br>Securities                        | s - Commer       | cial Mortg   | age -Backed  | 3.071            | xxx                                     | 3.097            | 3.074            | 3.071                                  |  |  |                                      |                                       | XXX            | XXX                     | XXX          | 16                                     | 193                              | XXX                      | XXX                                       |
| 0200000        | Subtotals - Total Bonds   |                  |              |  | 13.103.997       |   | 13,756,331       | 12,787,652       | 12.947.932                             | (7   | (27,379)                                       | i i                                  | 1                                     | XXX            | XXX                     | XXX          | 126.698                                | 448,652                          | XXX                      | XXX                                       |
| 0299999        | Subtotals - Total Bonds   |                  |              |  | 10,100,301       | 1                                       | 1 .5,700,001     |                  |  |  |  |                                      | •                                     |                |                         |              |  |                                  |                          |   |

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| 1.     |       |      |                         |       |                        |          |    |         |    |       |   |            |     |   |           |    |    |
|--------|-------|------|-------------------------|-------|------------------------|----------|----|---------|----|-------|---|------------|-----|---|-----------|----|----|
| Line   |       |      |                         |       |                        |          |    |         |    |       |   |            |     |   |           |    |    |
| Number | Book/ | Adju | usted Carrying Value by | y NAK | Designation Category F | ootnote: | :  |         |    |       | _ |            |     | _ | 4 000 704 |    | _  |
| 1A     | 1A    | \$   | 9.604,031               | 1B    | \$ 123,659             | 1C :     | \$ | 151,189 | 1D | \$ 10 | Ε | \$ 294,535 | 11- | 5 | 1,000,734 | 16 | \$ |
| 1B     | 2A    | \$   | 1,062,211               | 2B    | \$                     | 2C :     | \$ |         |    |       |   |            |     |   |           |    |    |
|        |       |      |                         |       | \$                     |          |    |         |    |       |   |            |     |   |           |    |    |
| 1D     | 4A    | \$   |                         | 4B    | \$                     | 4C :     | \$ |         |    |       |   |            |     |   |           |    |    |
| 1E     | 5A    | \$   | 1.515                   | 5B    | \$                     | 5C :     | \$ |         |    |       |   |            |     |   |           |    |    |
|        |       |      |                         |       |                        |          |    |         |    |       |   |            |     |   |           |    |    |

#### SCHEDULE D - PART 2 - SECTION 1

|                |   |   |           |                                   |              |                  |                            |  |               |                |               |                              | ECHON                          |   |                                     |  |                                    |  |   |            |
|----------------|---|---|-----------|-----------------------------------|--------------|------------------|----------------------------|--|---------------|----------------|---------------|------------------------------|--------------------------------|---|-------------------------------------|--|------------------------------------|--|---|------------|
|                |   |   |           |                                   |              |                  |                            |  |               |                | OCKS Owne     |                              | of Current Year                |   | Obi- fi                             | Book/Adjusted Carryin                                    | a Makus                            |  | 20  | 21         |
| 1              | 2   | Co                                      | des       | 5                                 | 6            | 7                | 8                          | Fair                                   | Value<br>10   | 11             | 12            | Dividends<br>13              | 14                             | 15                                      | Change in E                         | 17   | yalue<br>18                        | 19   | NAIC  |            |
|                |   | 3                                       | 4         | Number                            | Par<br>Value | Rate             | Book/<br>Adjusted          | Rate Per<br>Share<br>Used to<br>Obtain |               | Antoni         | Declared      | Amount<br>Received<br>During | Nonadmitted<br>Declared<br>But | Unrealized Valuation                    | Current<br>Year's<br>(Amortization) | Current Year's<br>Other-Than-<br>Temporary<br>Impairment | Total<br>Change<br>In<br>B./A.C.V. | Total<br>Foreign<br>Exchange<br>Change<br>In | Designation,<br>NAIC<br>Designation<br>Modifier<br>and SVO<br>Admin-<br>istrative | Date       |
| CUSIP          |   |   | Fi        | Of<br>Shares                      | Per<br>Share | Per<br>Share     | Carrying<br>Value          | Fair<br>Value                          | Fair<br>Value | Actual<br>Cost | but<br>Unpaid | Year                         | Unpaid                         | (Decrease)                              | Accretion                           | Recognized   | (15+16-17)                         | B./A.C.V.                                    | Symbol  | Acquired   |
| Identification | Wissellaneous (Unetfiliated Bornet  | und Drafe                               | Foreign   | Snares                            | Share        | Share            | value                      | Value                                  | Value         | Coat           | Unpaid        | T Call                       | Olipaia                        |   |                                     |  |                                    |  |   |            |
| Industrial and | Miscellaneous (Unaffiliated) Redeem<br>  AT&T Inc 5.35% 11/66.<br>  Ovest Corp 6.5% 9/56.<br>  Wells Fargo 5.85% Pfd. | able Pre                                | erred     |                                   |              |                  |                            |  |               |                |               |                              |                                | (2,480)                                 |                                     |  | (2.480)                            |  | 2 4 55  | 01/01/2020 |
| 00206R-30-0,   | AT&T Inc 5.35% 11/66  |   |           | 1,600.000                         |              | 26.070           | 41.712                     |  | 30 444        | 30,000         |               | 2,140<br>1,950               |                                |   |                                     |  | (72)                               |  | 3.4 FE.   | 01/01/2020 |
| 749136-88-1    | Divest Corp 6.5% 9/56   |   | ····      | 1,600.000<br>1,200.000<br>600.000 |              | 25.370<br>26.790 | 41.712<br>30.444<br>16.074 | 26.790                                 | 116,074       |                |               | 878                          |                                | (72)                                    |                                     |  |                                    |  | 12.A  | 07/22/2013 |
| 599999 - Indus | strial and Miscellaneous (Unaffiliate   | id) Kedee                               | nable Pre | ferred                            | FIGURE       |                  | 88.230                     | XXX                                    | 88,230        | 85,000         |               | 4,968                        |                                | (2.588)                                 |                                     |  | (2.588)                            | L  | XXX   | XXX        |
| Darent Subsid  | tipries and Affiliates Pernetual Pref   | erred                                   |           |                                   |              |                  |                            |  |               |                |               |                              |                                |   |                                     |  |                                    |  |   |            |
| Parent, Subsid | fiaries, and Affiliates Redeemable Pr   | eferred                                 | ,         |                                   |              |                  |                            |  |               |                |               |                              |                                |   |                                     |  | Γ                                  |  | I   | I          |
|                |   |   | ·····     | ļ                                 |              | ·                |                            |  |               |                |               |                              |                                |   |                                     |  |                                    |  | ļ   |            |
|                |   |   |           | 1                                 |              |                  |                            |  |               |                |               |                              |                                |   |                                     |  |                                    |  | <del> </del>  |            |
|                |   |   |           |                                   |              |                  |                            |  |               |                |               |                              |                                | ••••••                                  |                                     |  |                                    |  | t   |            |
|                |   | <del> </del>                            |           |                                   | ļ            |                  |                            |  |               |                |               |                              |                                | •                                       |                                     |  |                                    |  |   |            |
|                |   | t                                       |           |                                   |              |                  |                            |  |               |                |               |                              |                                |   |                                     |  |                                    |  | ļi  |            |
|                |   | I                                       | 1         |                                   |              |                  |                            |  |               |                |               |                              |                                | ļ                                       |                                     |  |                                    |  | t   |            |
|                |   |   | ·         | <b></b>                           |              | ł                | <del> </del>               |  |               |                | ·             |                              |                                | 1                                       |                                     |  |                                    |  |   |            |
|                |   | †                                       | ····      | †                                 |              | 1                | 1                          |  |               |                | I             |                              |                                |   |                                     |  |                                    |  | ļ   | ļ          |
|                |   | I                                       |           |                                   |              |                  |                            |  |               |                | ļ             |                              |                                |   |                                     |  | ł                                  |  | ***************************************   |            |
|                |   | <b></b>                                 |           |                                   |              |                  | ł                          |  |               |                | t             |                              |                                |   |                                     |  |                                    |  |   |            |
|                |   | ····                                    | ł         |                                   |              |                  | İ                          |  |               |                | 1             |                              |                                |   |                                     |  |                                    |  |   |            |
|                |   |   |           |                                   |              |                  | I                          |  |               |                | ļ             |                              | ļ                              |   |                                     | <b></b>  | ·                                  |  | •   |            |
|                |   | ļ                                       | ł         |                                   | ļ            | ·····            | <b>†</b>                   | ŀ                                      | ····          | ł              | t             |                              |                                | *************************************** |                                     | 1  | 1                                  |  |   |            |
|                |   | t                                       | ł         |                                   | T            | 1                | İ                          | <u> </u>                               |               |                | 1             |                              |                                |   |                                     |  |                                    |  | ļ   | ļ          |
|                |   | I                                       | 1         |                                   |              | I                |                            |  |               | ļ              | <b></b>       |                              |                                |   |                                     |  | ł                                  |  | ł   | ł          |
|                |   | ļ                                       |           | ļ                                 | ļ            | ł                | <del> </del>               |  | ·             | ł              | <del> </del>  |                              |                                | -                                       |                                     | 1  | 1                                  |  | 1   |            |
|                |   | t                                       | ł         | ·                                 | ·            | 1                | t                          |  | 1             |                | I             |                              |                                |   |                                     | Į  |                                    | ļ  | ļ   |            |
|                |   | 1                                       | 1         |                                   |              | 1                | I                          |  | ļ             |                | ļ             |                              |                                | -                                       | <b></b>                             | <b>+</b>   | <b>+</b>                           | ł  | ł   | ·····      |
|                |   | <b></b>                                 | 1         |                                   | ·            | ·                | <b>+</b>                   | ļ                                      | ł             | ł              | <del> </del>  |                              | l                              |   | t                                   | 1  | 1                                  |  | 1   | 1          |
|                |   | +                                       | ł         |                                   | ·}           | +                | t                          |  |               | 1              | 1             |                              |                                |   |                                     |  |                                    |  | I   |            |
|                |   | †                                       | t         |                                   |              | 1                | 1                          |  |               |                | I             |                              |                                | ļ                                       |                                     |  |                                    |  | ļ   | ·          |
|                |   | I                                       |           | J                                 |              |                  | ļ                          |  | ļ             | <b></b>        | ÷             |                              |                                | +                                       |                                     |  | ·                                  |  | †   | 1          |
|                |   | ļ                                       | ·         |                                   |              | +                | +                          |  | ·             | ·              | t             |                              |                                |   | 4                                   |  |                                    |  |   |            |
|                | -   | t                                       | 1         |                                   | 1            | 1                | 1                          |  | 1             | I              | I             |                              |                                |   |                                     |  |                                    |  |   |            |
| 0000000 7-4-   | Preferred Stocks  | *************************************** |           |                                   |              |                  | 88.230                     | XXX                                    | 88.230        | 85.000         |               | 4,968                        |                                | (2.588)                                 |                                     | 1  | (2.588)                            | 1  | XXX   | XXX        |

| Line   |        |                           |        |                         |          |   |    |   |          |   |     |   |        |    |
|--------|--------|---------------------------|--------|-------------------------|----------|---|----|---|----------|---|-----|---|--------|----|
| Number | Book/A | djusted Carrying Value by | y NAIC | Designation Category Fe | ootnote: |   |    |   |          | _ |     | _ |        |    |
| 1A     | 1A     | \$                        | 18     | \$                      | 1C :     | š | 1D | ) | \$<br>1E | 5 | 11- | 3 | <br>16 | \$ |
|        |        | \$ 57,786                 |        |                         |          |   |    |   |          |   |     |   |        |    |
| 1C     | 3A     | \$ 30,444                 | 3B     | \$                      | 3C :     | š |    |   |          |   |     |   |        |    |
| 1D     | 4A     | \$                        | 4B     | \$                      | 4C :     | S |    |   |          |   |     |   |        |    |
| 1E     | 5A     | \$                        | 5B     | \$                      | 5C :     |   |    |   |          |   |     |   |        |    |
|        |        |                           |        |                         |          |   |    |   |          |   |     |   |        |    |

### SCHEDULE D - PART 2 - SECTION 2

|                                    |  |              |   |                        |                                      |  | ing all COMMON       |                   |   | Current Year                      | _                                     |  |  |  |   |                          |   |
|------------------------------------|--|--------------|---|------------------------|--------------------------------------|--|----------------------|-------------------|---|-----------------------------------|---------------------------------------|--|--|--|---|--------------------------|---|
|                                    |  | _            | odes                                    | 5                      | 6                                    | Fair   |                      | a constant        | December 51 of                          | Dividends                         |                                       | (  | Change in Book/Adji  | usted Carrying Value                       |   | 17                       | 18  |
| 1 1                                | 2  | 3            | 4                                       | 5                      | ľ                                    | 7  | 8                    | , i               | 10                                      | 11                                | 12                                    | 13   | 14   | 15   | 16  |                          | NAIC<br>Designation,<br>NAIC                                |
| CUSIP<br>Identification            | Description  | Code         | Foreign                                 | Number of<br>Shares    | Book / Adjusted<br>Carrying<br>Value | Rate per<br>Share Used<br>To Obtain<br>Fair<br>Value | Fair<br>Value        | Actual<br>Cost    | Declared<br>but Unpaid                  | Amount<br>Received<br>During Year | Nonadmitted<br>Declared<br>But Unpaid | Unrealized<br>Valuation<br>Increase/<br>(Decrease) | Current Year's<br>Other-Than-<br>Temporary<br>Impairment<br>Recognized | Total<br>Change in<br>B./A.C.V.<br>(13-14) | Total Foreign<br>Exchange<br>Change in<br>B./A.C.V. | Date<br>Acquired         | Designation<br>Modifier<br>and SVO<br>Administrative Symbol |
| Industrial and                     | Miscellaneous (Unaffiliated) Publicly Traded               |              |   |                        |                                      | 41.644   | 04 500 1             | 78.198 I          |   | 5,200 [                           |                                       | (10,400)   |  | I (10.400) I                               |   | 03/04/2020               | XXX   |
| 00206R-10-2                        | A T & T Inc.   |              |   | 2,500.000              | , 61,500<br>135,400                  | 24 600<br>135 400                                    |                      |                   |   | 5,200                             |                                       | 28,250   |  | 28.250                                     |   | 07/01/2020               | XXX   |
| 00287Y - 10 - 9<br>G1151C - 10 - 1 | Abbvie Inc   |              |   | 150.000                | 62.183                               | 414.550  | 62.183               | 53,824            |   |                                   |                                       |  |  |  |   | 11/26/2021<br>09/23/2021 | XXX   |
| 00724F-10-1                        | Adobe Systems Inc  |              |   | 300.000                |                                      | 567.060<br>2.893.590                                 |                      | 83.387<br>82,115  |   |                                   |                                       | 4,693  |  | 4.693                                      |   | 10/14/2021               | xxx   |
| 02079K-10-7<br>025537-10-1         | Alphabet Inc Cap Stk Class C                               | +            |   |                        | 53.382                               | 88.970   | 53.382               | 53,514            |   |                                   |                                       | (132)  |  | (132)<br>42,923                            | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,             | 09/09/2021               | XXX   |
| 03076C-10-6                        | American Electric Foliation                                |              |   | 500.000                | 150,830                              | 301.660  | 150,830              | 87,951            |   | 1,772                             |                                       |  |  |  |   | 11/26/2021               | XXX   |
| 037833-10-0                        | Apple inc  |              |   | 8,800.000<br>1,500.000 | 1,562,616<br>101.385                 |  | 1,562,616<br>101,385 | 97,503<br>84,339  |   | 7,612<br>1,295                    |                                       | 17.046   |  | 17.046                                     |   | 09/09/2021               |   |
| 039483-10-2                        | Archer Daniels Midland Co                                  |              |   | 800.000                | 81,280                               | 101.600  | 81.280               | 73,779            |   | 2,120                             |                                       | 1,448  |  | 1,448                                      |   | 11/20/2020               | XX  |
| 09247X-10-1                        | Brackrock, Inc   |              |   | 150.000                | 137.334                              | 915.560  | 137,334              | 109,664           |   | 1,859<br>2,205                    |                                       |  |  | 18,733<br>(3.024)                          |   | 09/09/2021               | 200   |
| 110122-10-8                        | Bristol Never Souibb Co.                                   | ł            | ł                                       | 1,500.000              |                                      |  |                      | 60,558<br>97,231  |   | 2,205                             |                                       | 46.112   |  | 46,112                                     |   | 04/07/2021               | m   |
| 126650-10-0                        | CVS Health Corporation                                     | t            |   | 1,000.000              |                                      | 206.740  | 206.740              | 41,674            |   | .4.280                            |                                       | 24.720   |  | 24,720                                     |   | 03/04/2020               | m   |
| 808513-10-5                        | Charles Schwab Corp.                                       | I            | I                                       | 1,000,000              | 84,100                               | 84 . 100   | 84,100<br>126,740    | 69,663<br>93,495  |   |                                   |                                       | 14 .437<br>33 .230                                 |  | 14,437<br>33,230                           |   | 04/07/2021               | m   |
| 17275R-10-2                        | Cisco Systems Inc  | ł            | ļ                                       | 2,000.000              |                                      | 63.370<br>59.210                                     | 126,740              | 93,495            |   | 2,5/5                             |                                       |  |  | 10,708                                     |   | 09/23/2021               |   |
| 20030N-10-1                        | Coca-Co1a Co   | t            |   | 3.000.000              | 150.990                              | 50.330   | 150,990              | 53,826            |   | 2,940                             |                                       | (6.210)  |  | (6.210)                                    |   | 05/29/2019,              | XXX   |
| 235851-10-2                        | Danaher Corp   | I            |   | 250.000                | 82.253                               | 329.010  | 82.253<br>51.434     |                   |   | 143<br>158                        |                                       |  |  |  |   | 09/03/2021               | xxx   |
| 244199-10-5                        | Deere & Co   | ļ            | ł                                       |                        |                                      | 342.890<br>336.350                                   | 100,905              | 53,514            |   |                                   |                                       | 18.957   |  | 18,957                                     |   | 12/26/2017               | xxx   |
| 30303N-10-2<br>369550-10-8         | General Dynamics Corp                                      | ************ |   | 500.000                |                                      | 208.470  | 104,235              | 86,126            |   | 1,639                             |                                       | 19.029   |  | 19,029                                     |   | 10/14/2021               | XXX   |
| 381416-10-4                        | Goldman Sachs Group Inc                                    | I            | I                                       | 200.000                |                                      | 382 .550   |                      |                   |   | 400                               | ļ                                     | (976)<br>14,481                                    |  | (976)<br>14,481                            |   | 11/26/2021               | XXX   |
| 437076 - 10 - 2                    | Home Depot Inc   | ļ            | <b></b>                                 | 250.000<br>700.000     | 103,753<br>110.845                   | 415.010<br>158.350                                   | 103,753              | 90.973            |   | 2,220                             |                                       | 15.742   |  | 15.742                                     |   | 05/07/2021               | XXX   |
| 46625H-10-0<br>478160-10-4         | JP Worgan Chase & Co                                       | †            | t                                       | 1,000,000              | 171.070                              | 171.070  | 171,070              | 107,234           |   | 4,190                             |                                       | 13,690   |  | 13,690                                     |   | .07/01/2020              | XXX   |
| 494368-10-3                        | Kimberly Clark Corp  |              | I                                       | 675.000                | 96,471                               | 142.920<br>355.410                                   | 96.471<br>71.082     |                   |   | 3,031                             |                                       | 5,461<br>(4,404)                                   |  | 5,461<br>(4,404)                           |   | 07/28/2021               | XXX   |
| 539830 - 10 - 9                    | Lockheed Martin Corp.                                      |              |   | 200.000                | 71.082<br>78.290                     | 355.410  | 78.290               |                   |   |                                   |                                       | 8.684  |  | 8.684                                      |   | 02/08/2019               |   |
| 550021 - 10 - 9<br>576360 - 10 - 4 | Lululemon Athletica Inc                                    | †            | <b>†</b>                                | 300.000                | 107.796                              | 359.320  | 107 ,796<br>214 ,456 | 60.846            |   | 528                               |                                       |  |  | 714<br>42,792                              |   | 10/09/2018               | 100   |
| 580135 - 10 - 1                    | McDonalds Corp   |              |   | 800.000                | 214,456                              | 268.070  | 214,456              |                   |   | 4,200                             |                                       |  |  | (11.026)                                   |   | 08/03/2021               | 200   |
| 585055 - 10 - 6                    | Meditronic PLC<br>Merck & Co Inc                           | ļ            | ·····                                   |                        | 41,380                               | 103.450<br>76.640                                    |                      | 53.631            |   | 1.820                             |                                       | (3,612)  |  | (3.612)                                    |   | .03/04/2020              |   |
| 58933Y - 10 - 5<br>594918 - 10 - 4 | Microsoft Corp   | İ            |   | 1.628.000              |                                      | 336.320  | 547 .529             | 149.856           |   |                                   |                                       | 185,429  |  | 185,429                                    |   | 07/01/2020               | XXX   |
| 594918-10-4                        | Microsoft Corp.  |              |   | 72.000                 | 24.215                               | 336.320  | 24.215<br>132.620    | 2,876<br>91,228   |   | 3,910<br>2,370                    |                                       |  |  |  |   | 02/10/2021               | XXX   |
| 609207-10-5                        | Mondelez International                                     | ·            |   | 2,000.000              | 132,620<br>242,736                   |  | 242,736              | 53,332            |   | 4.004                             |                                       | . 42.146   |  | 42,146                                     |   | 10/31/2016               | XXX   |
| 65339F-10-1<br>654106-10-3         | Nextera Energy Inc   |              |   | 600.000                | 100,002                              | 166.670  | 100.002              | 88.680            |   | 513                               |                                       | 8,972  |  |  |   | 11/26/2021<br>07/01/2020 | XXX   |
| 713448-10-8                        | Pepsico Inc  |              |   | 1,300.000              | 225.823                              | 173.710  |                      | 94,854<br>87,716  |   | 5,454<br>3,400                    |                                       | 33.033   |  | 33,033<br>24,440                           |   | 11/20/2020               | xxx   |
| 742718-10-9                        | Proctor & Gamble   | ļ            |   | 1,000.000              | 163,580<br>146,296                   | 163.580<br>182.870                                   | 146.296              | 55,385            |   | 2,152                             |                                       | 24.424   |  | 24,424                                     |   | 12/24/2020               | XXX   |
| 747525-10-3<br>75513E-10-1         | Raytheon Technologies Corp.                                | †            |   | 600.000                | 51.636                               | 86.060   | 51.636               | 49.872            |   | 306                               |                                       | 1,764  |  | 1,764                                      |   | 09/09/2021               | XXX   |
| 87612E-10-6                        | Target Corp  |              |   | 200.000                | 46.288                               | 231.440  | 46.288               |                   |   |                                   |                                       | (2.338)  |  | (2.235)                                    |   | 05/07/2021               | XXX   |
| 902973-30-4                        | US Bancorp Del   | +            | ł                                       |                        |                                      |  | 28.085<br>139.321    | 92.940            | l                                       | 1.632                             |                                       | 18.210   |  | 18,210                                     |   | 11/26/2021               |   |
| 911312-10-6<br>91324P-10-2         | United Parcel Service                                      | 1            | 1                                       | 375.000                | 188.303                              | 502 .140   | 188.303              |                   |   | 2,100                             |                                       |  |  |  |   | 07/01/2020               | 100   |
| 92343V - 10 - 4                    | Verizon Communications                                     | ļ            |   | 2,700.000<br>500.000   | 140,292<br>108,355                   |  | 140,292<br>108,355   | 113,358<br>58,007 |   |                                   | ····                                  | (18.333)   |  | (18,333)<br>(1,010)                        |   | 10/09/2018               |   |
| 92826C-83-9                        | Visa Inc.<br>Sustrial and Miscellaneous (Unaffiliated) Pub |              |   | 500.000                | 7,271,060                            |  | 7,271,060            | 3,507,756         | *************************************** | 97.679                            |                                       | 1,171,940  |  | 1,171,940                                  |   | 333                      | XXX   |
| Industrial and                     | Miscellaneous (Unaffiliated) Other                         | oriciy mac   |   |                        | 1 1,271,000                          |  |                      |                   |   |                                   |                                       |  |  |  |   |                          |   |
|                                    |  |              | 1                                       | 120.000                |                                      |  | 41.732               |                   |   |                                   |                                       | 3,966  |  | 3,966                                      |   | 01/01/2020.,<br>XXX      | XXX   |
| 9199999 - In                       | tustrial and Miscellaneous (Unaffiliated) Oti              | her          |   |                        | 41.732                               | XXX  | 41.732               | 6,000             |   |                                   | J                                     | 3,966  |  | . 3,900                                    |   |                          |   |
| Parent, Subsid                     | iaries and Affiliates Publicly Traded                      |              |   |                        |                                      |  |                      |                   |   |                                   |                                       |  |  |  |   |                          |   |
| Parent Subsid                      | Jenox Insurance Agency                                     |              |   | 1.000.000              | 122,408                              | 122.408  | 122,408              |                   |   |                                   |                                       | (2.646)  |  | (2.646)                                    |   | 01/22/2020               |   |
| 9399999 . Pa                       | rent, Subsidiaries and Affiliates Other                    |              | *************************************** |                        | 122,408                              |  | 122,408              | 21,878            |   |                                   |                                       | (2.646)  |  | (2.646)                                    | L   | XXX                      | XXX   |
| Mutual Funds                       |  |              |   |                        |                                      |  | 01.001               | 40.000            |   | 1.848                             |                                       | 002  |  | 902  |   | [01/01/2020              | 1 1 A   |
| 12811L - 10 - 7                    | Calamos Global Dynamic Inc Fd                              |              | +                                       | 2,200.000<br>1,417,487 | 21.934<br>200.787                    | 9.970  | 21.934<br>200.787    |                   | }                                       | 1,848                             |                                       | 2,183  |  | 2.183                                      | L   | L01/11/2016.             |   |
| 315807 - 20 - 6                    | FideLity Advisors Growth FideLity Blue Chip Growth         | +            | +                                       | 719.586                | 132,130                              | 183.620  | 132,130              | 52,502            |   | 11.537                            |                                       | 14,780   |  | 14,780                                     |   | 01/01/2000               | 1.A   |
| 337 18W - 10 - 3                   | First TR Inter Duration PFD & In                           | 1            | I                                       | 1.470.000              | 36.074                               | 24.540   |                      | 35,121            |   | 2,249<br>455                      | ļ                                     |  |  |  |   | 01/01/2020<br>12/31/2019 |   |
| 00143K-81-4                        | _ltoxesco_Oppenheimer Global                               |              | +                                       | 1,600,000              |                                      | 3.580  |                      | 19,969            |   | 455<br>515                        |                                       | (352)  |  | (352)                                      |   | 01/01/2020_              | 1.8   |
| 55273C - 10 - 7<br>670671 - 10 - 6 | MFS Inter Inc Trust Nuveen Inter Duration Mun Term         | 1            | <b>†</b>                                | 1.310.000              | 19,545                               |  | 19.545               | 19,957            |   | 515<br>711                        |                                       | 1,362  |  | 1,362                                      |   | 01/01/2020               |   |
| 72201H-10-8                        | Pinco Income Strat Fund Floating Rate                      | Ţ            | Į                                       | 2 500 000              | 16 455                               | 10.970   | 16.455               | 20,063            | ļ                                       | 1,581                             |                                       | (705)  |  | 1.363                                      |   | 01/01/202001/01/2020     | 1.4   |
| 72200R - 10 - 7                    | Pinco Municipal Inc Fd                                     |              | 1                                       | 995.000                |                                      | 15,220   | 15,144<br>464 776    |                   | <del> </del>                            | 39.632                            |                                       | 19.212   |  | 19.212                                     |   | 333                      | XXX   |
| 9499999 - Mu                       | Tual Fungs   |              |   |                        | 404,770                              |  | 1 404,770            | 1 200.193         |   | 1 00.002                          |                                       |  |  | 7.0-4                                      |   | -                        |   |

#### SCHEDULE D - PART 2 - SECTION 2

| CUSIP CUSIP CONTROL CO | 2<br>Description   | 3 Code | odes 4    | 5                                       | 6                                    | Fair \ 7 Rate per                        |   | 9                                       | December 31 o          | Dividends<br>11                   | 12                                      | 13                                   | Change in Book/Adju                   | usted Carrying Value              | 16                                 | 17       | 18   |
|--|--|--------|-----------|---|--------------------------------------|--|---|---|------------------------|-----------------------------------|---|--------------------------------------|---------------------------------------|-----------------------------------|------------------------------------|----------|--|
| entification E<br>t investment Trusts  |  | 3      | 4         |   | -                                    | 7<br>Rate per                            |   | 9                                       | 10                     |                                   | 12                                      |                                      |                                       |                                   |                                    | ''       | 10   |
| entification E<br>t investment Trusts  | Description  |        | 4 Foreign |   |                                      | Rate per                                 | 8                                       |   | 10                     |                                   |   |                                      |                                       |                                   |                                    |          | NAIC   |
| entification E<br>t investment Trusts  | Description  | Code   | Foreign   | l                                       |                                      |  | 1                                       |   |                        |                                   |   | Unrealized                           | Current Year's<br>Other-Than-         | Total                             | Total Foreign                      |          | Designation<br>NAIC<br>Designation<br>Modifier |
| I (mestine) Trust is add-Er Funds  |  |        |           | Number of<br>Shares                     | Book / Adjusted<br>Carrying<br>Value | Share Üsed<br>To Obtain<br>Fair<br>Value | Fair<br>Value                           | Actual<br>Cost                          | Declared<br>but Unpaid | Amount<br>Received<br>During Year | Nonadmitted<br>Declared<br>But Unpaid   | Valuation<br>Increase/<br>(Decrease) | Temporary<br>Impairment<br>Recognized | Change in<br>B./A.C.V.<br>(13-14) | Exchange<br>Change in<br>B./A.C.V. |          | and SVO<br>Administra                          |
| and Evel Funds   |  |        |           |   |                                      |  |   |   |                        |                                   |   |                                      |                                       |                                   |                                    |          |  |
|  |  |        |           |   |                                      |  |   |   |                        |                                   |   |                                      |                                       |                                   |                                    |          | T  |
|  |  |        |           |   |                                      |  |   |   |                        |                                   |   |                                      |                                       |                                   | ſ                                  |          |  |
|  |  |        |           |   |                                      |  |   |   |                        | †                                 |   |                                      |                                       |                                   |                                    | L        |  |
|  |  |        |           | t                                       |                                      |  |   | †                                       |                        |                                   |   |                                      |                                       |                                   |                                    |          | .,   |
|  |  |        |           | †                                       |                                      |  |   |   |                        | I                                 |   |                                      |                                       | ,                                 |                                    |          |  |
|  |  |        |           | *************************************** |                                      |  |   |   |                        | I                                 |   |                                      |                                       | ļ                                 |                                    | ļ        |  |
|  |  |        |           | 1                                       |                                      |  |   | I                                       |                        | L                                 |   |                                      | ļ                                     | ,                                 |                                    | ļ        |  |
|  |  | 1      |           | 1                                       |                                      |  |   |   |                        | ļ                                 |   |                                      |                                       | <b> </b>                          | <b>+</b>                           |          |  |
|  |  |        |           | I                                       |                                      |  |   |   | ,,,                    |                                   |   | ,                                    |                                       | j                                 | ł                                  |          |  |
|  |  |        | L         |   |                                      |  |   |   |                        |                                   |   |                                      |                                       |                                   | ł                                  |          |  |
|  |  |        | ,,        | ļ                                       |                                      |  |   | 1                                       |                        |                                   |   |                                      |                                       | r                                 | i                                  |          | -  |
|  |  |        |           | <b></b>                                 |                                      |  |   | ŧ                                       |                        |                                   |   |                                      |                                       | ·····                             |                                    |          | -  |
|  | ,,,,   |        |           |   |                                      |  |   | ·                                       |                        | •                                 | •••••                                   |                                      |                                       |                                   | ·····                              | *        |  |
|  |  |        |           |   |                                      |  |   |   |                        | <b>+</b>                          |   |                                      |                                       |                                   | ľ                                  |          |  |
|  |  |        |           | <b></b>                                 |                                      |  |   |   |                        | •                                 |   |                                      |                                       |                                   | 1                                  |          | 1  |
|  |  |        |           | <b></b>                                 |                                      |  |   | *************************************** |                        |                                   | *************************************** |                                      |                                       | [                                 | (                                  | T        |  |
|  | ***********  |        |           | +                                       |                                      |  |   |   |                        |                                   |   |                                      |                                       |                                   |                                    |          |  |
|  |  |        |           | •                                       |                                      |  |   | *                                       |                        |                                   |   |                                      |                                       |                                   |                                    |          |  |
|  |  |        |           | ·                                       |                                      |  |   |   |                        | 1                                 |   |                                      |                                       | L                                 | L                                  | l        |  |
|  |  |        |           | <b>†</b>                                |                                      |  |   |   |                        |                                   |   |                                      |                                       |                                   | L                                  | ļ        |  |
|  |  |        |           |   |                                      |  |   |   |                        | I                                 |   |                                      |                                       |                                   | <b>4</b>                           |          |  |
|  |  |        |           | 1                                       |                                      |  |   | I                                       |                        |                                   |   |                                      |                                       |                                   | ļ                                  |          | ,  |
|  |  |        | i         | 1                                       |                                      |  |   |   | l                      |                                   |   |                                      |                                       | ļ                                 |                                    |          |  |
|  |  |        |           | I                                       |                                      |  |   |   |                        |                                   |   |                                      |                                       | ļ                                 |                                    | ļ        |  |
|  |  |        |           |   |                                      |  |   |   |                        |                                   |   |                                      |                                       | h                                 |                                    | ·        |  |
|  |  |        | L         |   |                                      |  |   |   |                        | ļ                                 |   |                                      |                                       |                                   |                                    | t        |  |
|  |  |        | ļ         |   |                                      |  |   | ļ                                       |                        | t                                 |   |                                      |                                       |                                   | İ                                  | t        |  |
|  |  |        | ļ         | 4                                       |                                      |  |   | +                                       |                        | <del> </del>                      |   | ·····                                |                                       | [·····                            | İ                                  | t        |  |
|  |  |        | <b></b>   |   |                                      | <del> </del>                             |   | +                                       | ·····                  | ······                            |   | †                                    |                                       | i                                 | 1                                  | T        | 1  |
|  |  |        |           | +                                       |                                      |  | ·                                       | *                                       |                        |                                   |   | İ                                    |                                       | ſ                                 | İ                                  | 1        |  |
|  |  |        | ł         | +                                       |                                      | <b>†</b>                                 |   | <b>†</b>                                | †                      | 1                                 | <b>†</b>                                |                                      |                                       | [                                 | [                                  | I        |  |
|  |  |        | ł         | +                                       |                                      |  |   | †                                       | †                      | 1                                 |   |                                      |                                       | [                                 | L                                  | I        |  |
|  |  |        | t         | ·····                                   |                                      | İ  |   | 1                                       |                        |                                   | I                                       | I                                    | L                                     | L                                 | 1                                  | <u> </u> |  |
|  |  |        | t         | †                                       |                                      |  | *************************************** | 1                                       | I                      | I                                 | L                                       |                                      |                                       |                                   | ļ                                  |          |  |
|  |  |        | t         | 1                                       | 1                                    | İ  | I                                       | I                                       | I                      | 1                                 |   |                                      |                                       |                                   | 1                                  | L        |  |
| 9799999 Total Common Stor  |  |        | <b>+</b>  | ******************************          | 7,899,977                            | XXX                                      | 7,899,977                               | 3,773,779                               |                        | 137.310                           |   | 1,192,472                            |                                       | 1,192,472                         |                                    | XXX      | XXX  |
| 9899999 Total Common Stor  | No. of the control of |        |           |   |                                      |  | 7,988,207                               |   |                        | 142,278                           |   | 1,189,884                            |                                       | 1,189,884                         |                                    | XXX      | XXX  |

| 1.     |       |      |                        |        |                           |         |    |        |        |    |        |    |          |    |
|--------|-------|------|------------------------|--------|---------------------------|---------|----|--------|--------|----|--------|----|----------|----|
| Line   |       |      |                        |        |                           |         |    |        |        |    |        |    |          |    |
| Number | Book/ | Adju | usted Carrying Value b | y NAIC | C Designation Category Fo | potnote | e: |        |        |    |        |    |          | _  |
| 1A     | 1A    | Ś    | 464,776                | 1B     | \$                        | 1C      | \$ | <br>1D | <br>\$ | 1E | \$<br> | 1F | \$<br>1G | \$ |
| 1B     | 2A    | \$   |                        | 28     | \$                        | 2C      | \$ |        |        |    |        |    |          |    |
| 1C     | 3A    | s    |                        | 3B     | \$                        | 3C      | \$ |        |        |    |        |    |          |    |
| 1D     | 4A    | s    |                        | 4B     | \$                        | 4C      | \$ |        |        |    |        |    |          |    |
| 1E     | 5A    | s    |                        | 5B     | \$                        | 5C      | \$ |        |        |    |        |    |          |    |
| 1F     | 6     | \$   |                        |        |                           |         |    |        |        |    |        |    |          |    |

See Independent Accountant's Compilation Report

E12.1

#### SCHEDULE D - PART 3

| Table   Custor   Description   Foreign   Date Acquired   Name of Vendor    | 50,000<br>50,000<br>125,000<br>45,000<br>50,000<br>300,000<br>10,000<br>40,000<br>60,000          | 761<br>1,677                            |
|--|---|---|
| CUSIP     Description   Description   Description   Date Acquired   Name of Vendor   Name of Vendor   Shares of Stock   Cost   | 50,000<br>59,000<br>59,000<br>125,000<br>45,000<br>59,000<br>59,000<br>10,000<br>40,000<br>60,000 | 1.677                                   |
| COSIP   Date Acquired   Description   Desc   | 50,000<br>59,000<br>59,000<br>125,000<br>45,000<br>59,000<br>59,000<br>10,000<br>40,000<br>60,000 | 1.677                                   |
|  | 50,000<br>59,000<br>59,000<br>125,000<br>45,000<br>59,000<br>59,000<br>10,000<br>40,000<br>60,000 | , 46<br>26<br>, 761<br>1,677            |
| Bonds - U. S. Estes, Ferritories and Possessions (Direct and Guaranteed)   | 50,000<br>50,000<br>125,000<br>45,000<br>50,000<br>300,000<br>10,000<br>40,000<br>60,000          |   |
| Sonds - U.S. States, Territories and Possessions (Direct and Guaranteed)   | 50,000<br>50,000<br>125,000<br>45,000<br>50,000<br>300,000<br>10,000<br>40,000<br>60,000          |   |
| Bonds   U.S. Political Subdivisions of States, Territories and Possessions (Direct and Guaranteed)   XXX     | 50,000<br>50,000<br>125,000<br>45,000<br>50,000<br>300,000<br>10,000<br>40,000<br>60,000          |   |
| 18939-8-6-0   Cloris GA Public Financing   | 50,000<br>50,000<br>125,000<br>45,000<br>50,000<br>300,000<br>10,000<br>40,000<br>60,000          |   |
| 189396-6E-9  | 50,000<br>125,000<br>45,000<br>50,000<br>300,000<br>10,000<br>40,000<br>60,000<br>50,000          |   |
| Segue   Segu   | 125,000<br>45,000<br>50,000<br>50,000<br>300,000<br>110,000<br>40,000<br>60,000<br>50,000         | 1,677                                   |
| 3077N1-96-2  | 50,000<br>50,000<br>300,000<br>10,000<br>40,000<br>60,000<br>50,000                               | 1,677                                   |
| Secretary   A   Carlesville & Hall (Lifty 64 Hosp)   G9(31)(2021   Behard Jones   XXX   3-700   35444-4.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.   | 50,000<br>300,000<br>10,000<br>40,000<br>60,000<br>50,000   | 1,677                                   |
| 385444-C1-1   Grand Forks ND RFDG § 2. Px   11(02) XXV   Nethods   No. 25   | 300,000<br>10,000<br>40,000<br>60,000<br>50,000   | 1,677                                   |
| ASSIGN PG-3   Kansas SI Dev Auth Rev 3 1278   1331-267247   Explicat Change   XXX   41-414   | 10,000<br>40,000<br>60,000<br>50,000  |   |
| SESTER-85 7  | 60,000<br>50,000  |   |
| 6914Wi-ET - 0.     6entuday Rural Wir Fin Corp Pub Pro.   011/04/2021     Nechoush   0.00  | 50,000  |   |
| SF076-00-8   |   |   |
| February    | 50.000  |   |
|  |   |   |
| 12274-0-1-8   Roddi   Certy 11 (15)1 (5) 2-55   D4(05/2021   Edward Jones   XXX   50.000   XXX   |   | 81                                      |
| 821753, III.4 Shelby W Pith Sch Dist 2:5% 09/03/2021, Edward Jones 20.367  |   |   |
|  |   |   |
| 837031-C4-3.   South Carolina Jobs Econ Auth 2.5%.   1,07,0012021   Edward Johns   7,4 070   | 75.000  | 113                                     |
| 20772K-96-1. State of Connecticut Ser 2021A 00701/2021 NewPursh 0080 000 29.925  | 30,000  |   |
| 240000 Roads U.S. Political Subdivisions of States Territories and Possessions (Direct and Guaranteed)  1,380,261  | 1,360,000   | 3.109                                   |
| 299399 Counts - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions   |   |   |
| Roods - Industrial and Miscellaneous (Unaffitiated)  | 50,000  | 269                                     |
| 88579Y-AV-1 3W Company 3.125k 95/19/2021 Wedbush 777 48 547  |   | 194                                     |
|  | .50,000   | 634                                     |
| 04/06/2004 Edward James 39,000 L   |   | 238                                     |
| 683801.74.1 [tracle Corn 3.95% 05/14/2021 Edward Jones   |   |   |
| 775109-89-5. Rogers Communications Inc. 06/02/2021. Edward Jones.  | 50,000  | 379                                     |
| 92343V-FI)-3   Verizon Comp. Inc.   102123(2021   mediusi  |   | 45                                      |
| 52347-FV-1   Verizon Cominic 3.05 11/20/60   09/07/2021   Nedoush   323999   0000   no.15 11/20   0000      |   | 2,710                                   |
| 399999 - bonds - industrial and wiscertameous (unarititated) Roods - Morit Securities  |   |   |
| coros - nyorro securires Bonds - Parent, subsidiaries, and Affiliates  |   |   |
| Course Y Farietti, Sociation and Anni Video  |   |   |
| Bonds - Subtotals - Unaffiliated Bank Loans  | 1,823,000   | 5.82                                    |
| 8399997 - Bonds - Subtotals - Bonds - Part 3   |   |   |
| 8399999 - Bonds - Sub1o1a1s - Bonds  | 1,020,000   |   |
| Preferred Stocks - industrial and Miscel Innova, Unterfit intelligence and Intelligence and |   |   |
| Preferred Stooks - Indoors Trail and Instortrateous (unartirateup redesensive reterror) Preferred Stooks - Parent, Substitution are substitutional Preferred Stooks - Parent, Substitution are and Affiliates Repretated Preferred   |   |   |
| Preferred Stocks - Parent, Supputation and Affiliates Redeemable Preferred  Preferred Stocks - Parent, Supputation and Affiliates Redeemable Preferred   |   |   |
| Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded  | XXX   |   |
| 6151C-10-1 Accenture PLC Class A 11/26/2021 Bank lova 50.000 23.242  | XXX   | +                                       |
|  | xxx   |   |
| 02/09K-10-7 Alphabet rinc Lab Six Class C  | XXX   |   |
| 92337-10-1 Aller Cat C C C C C C C C C C C C C C C C C C   | XXX   |   |
| 039483-10-2 Archer Daniels Midland Co. 99(09)(2021 Bank lows 92.535  | XXX   |   |
| 1924/x-10-1 Blackrock, Inc. 34 510   | XXX   | *************************************** |
| 10722-10-8, Bristol Reyer Squildo U  | XXX   | I                                       |
| 1,26650-10-4   | XXX   |   |
| 17775-10-2 (Fisco Systems Inc. 500.000 26.385  | XXX   | .+                                      |
| 00000-00-0 Coca-Cola Co. 99/23/2021 Bank Towa.   |   | +                                       |
| 23051-10-2 Darafter Corp   |   | *************************************** |

#### SCHEDULE D - PART 3

|   | 2   | 3           | 1 4                      | howing All Long-Term Bonds and Stocks ACQUIRED During Currer 5 | 6                                     | 7                   | 8         | 9  |
|---|---|-------------|--------------------------|--|---------------------------------------|---------------------|-----------|--|
| CUSIP   | _   |             |                          |  | Number of                             | Actual<br>Cost      | Par Value | Paid for Accrued<br>Interest and Dividends |
| Identification  | Description   | Foreign     | Date Acquired            | Name of Vendor   | Shares of Stock                       | 50.499              | XXX       | interest and Dividends                     |
| 244199-10-5   | Deere & Co  |             |                          | Bank lowa.   | 150.000<br>200.000                    | 40.560              | XXX       |  |
| 369550-10-8   | General Dynamics Corp   |             |                          | Bank lowa  | 200.000                               | 77,486              | XXX       |  |
| 38141G-10-4   | Goldman Sachs Group Inc   |             |                          | Bank lowa  | 250.000                               | 89.272              | XXX       |  |
| 437076-10-2   | Home Depot Inc.   |             | 11/26/2021               | Bank Iowa  | 200.000                               | 31.568              | XXX       |  |
| 46625H-10-0   | JP Morgan Chase & Co  |             | 05/07/2021               | Bank lowa  | 200.000                               | 75.486              | XXX       |  |
| 539830-10-9   | Lockheed Martin Corp  |             | 07/28/2021<br>08/03/2021 | Bank lowa.   | 400.000                               | 52,406              | XXX       |  |
| 585055-10-6   | Medtronic PLC   |             | 02/10/2021               | Bank lowa  | 700,000                               | 39,221              | XXX       |  |
| 609207 - 10 - 5   | Mondelez International  |             |                          | Bank lowa  | 350.000                               | 55,662              | XXX       |  |
| 654106-10-3   | Nike, Inc.  |             | 09/09/2021               | Bank lowa  | 600.000                               | 49.872              | XXX       |  |
| 75513E-10-1   | Raytheon Technologies Corp  |             | 09/09/2021               | Bank lowa  | 200.000                               | 48.626              | XXX       |  |
| 87612E-10-6   | Target Corp   |             | 05/07/2021               | Bank lowa  | 500.000                               | 30.320              | XXX       |  |
| 902973-30-4   | US Bancorp Del  |             | 11/26/2021               | Bank lowa  | 350.000                               | .70.591             | XXX       |  |
| 911312-10-6   | United Parcel Service   | . I. Tandad |                          | Dark IOrd  | , , , , , , , , , , , , , , , , , , , | 1,370,860           | XXX       |  |
| 9099999 - Common S  | strial and Miscellaneous (Unaffiliated) Other   | Ciy ilaueu  |                          |  |                                       |                     |           |  |
| omnon Stocks - Pare   | ent. Subsidiaries, and Affiliates Publicly Traded   |             |                          |  |                                       |                     |           |  |
| common Stocks - Pare<br>common Stocks - Pare<br>common Stocks - Mutt<br>common Stocks - Unit  | ent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds t Investment Trusts  |             |                          |  |                                       | -                   |           |  |
| ommon Stocks - Pare<br>formion Stocks - Pare<br>formion Stocks - Mutti<br>formion Stocks - Unit<br>formion Stocks - Clos  | ent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds t Investment Trusts sed-End Funds  |             |                          |  |                                       | 1.370.860           | XXX       |  |
| ommon Stocks - Pare<br>formon Stocks - Pare<br>formon Stocks - Mutt<br>formon Stocks - Unit<br>formon Stocks - Clos<br>9799997 - Common S   | ent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds t Investment Trusts sed-End Funds TStocks - Subidials - Common Stocks - Part 3   |             |                          |  |                                       |                     | XXX       |  |
| ommon Stocks - Pare<br>common Stocks - Pare<br>common Stocks - Mutt<br>common Stocks - Unit<br>common Stocks - Clos<br>9799997 - Common S<br>9799998 - Common S                   | not, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds I Investment Trusts sed-End Funds Stocks - Subtotals - Common Stocks - Part 3 Stocks - Summory tites from Part 5 for Common Stocks   |             |                          |  |                                       | 53.164              |           |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | not, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds I Investment Trusts sed-End Funds Stocks - Subtotals - Common Stocks - Part 3 Stocks - Summory tites from Part 5 for Common Stocks   |             |                          |  |                                       | 53.164              | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| omnon Stocks - Pare<br>comnon Stocks - Muttomnon Stocks - Unit<br>comnon Stocks - Unit<br>comnon Stocks - Clos<br>9799997 - Comnon S<br>9799998 - Comnon S<br>9799999 - Comnon S  | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| common Stocks - Pare<br>common Stocks - Muttomnon Stocks - Unit<br>common Stocks - Unit<br>common Stocks - Clos<br>9799997 - Common S<br>9799998 - Common S<br>9799999 - Common S | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| common Stocks - Pare<br>common Stocks - Muttomnon Stocks - Unit<br>common Stocks - Unit<br>common Stocks - Clos<br>9799997 - Common S<br>9799998 - Common S<br>9799999 - Common S | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| common Stocks - Pare<br>common Stocks - Muttomnon Stocks - Unit<br>common Stocks - Unit<br>common Stocks - Clos<br>9799997 - Common S<br>9799998 - Common S<br>9799999 - Common S | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| common Stocks - Pare<br>common Stocks - Muttomnon Stocks - Unit<br>common Stocks - Unit<br>common Stocks - Clos<br>9799997 - Common S<br>9799998 - Common S<br>9799999 - Common S | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| common Stocks - Pare<br>common Stocks - Muttomnon Stocks - Unit<br>common Stocks - Unit<br>common Stocks - Clos<br>9799997 - Common S<br>9799998 - Common S<br>9799999 - Common S | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       |  |
| common Stocks - Pare<br>common Stocks - Muttomnon Stocks - Unit<br>common Stocks - Unit<br>common Stocks - Clos<br>9799997 - Common S<br>9799998 - Common S<br>9799999 - Common S | nent, Subsidiaries, and Affiliates Publicly Traded ent, Subsidiaries and Affiliates Other ual Funds sed-End Funds Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks - Part 3 Stocks - Subsidiates - Common Stocks Stocks - Subsidiates - Common Stocks |             |                          |  |                                       | 53.164<br>1,424,024 | XXX       | 588  |

#### SCHEDULE D - PART 4

|                            |  |                       |  |                        |   |                  |                  |   |   | PARI                            |  |                                 | V                                      |   |                                       |                            |                         |   |                                   |
|----------------------------|--|-----------------------|--|------------------------|---|------------------|------------------|---|---|---------------------------------|--|---------------------------------|--|---|---------------------------------------|----------------------------|-------------------------|---|-----------------------------------|
|                            | 2  | 3 4                   | 5  |                        | Showing all                             | Long-Term I      | Bonds and St     | ocks SOLD, I                                | KEDEEMED 0                              | Change in E                     | SPOSED OF D  | rrying Value                    | Tear                                   | 16  | 17                                    | 18                         | 19                      | 20  | 21                                |
| '                          | 1 2 1  | 5 4 F                 |  | ľ                      | l '                                     | ٠                | 1                |   | 11                                      | 12                              | 13   | 14                              | 15                                     | 1   |                                       | i i                        |                         |   |                                   |
| CUSIP<br>Identi-           |  | o<br>r<br>e<br>i<br>g |  | Number of<br>Shares of |   |                  |                  | Prior Year<br>Book/<br>Adjusted<br>Carrying | Unrealized<br>Valuation<br>Increase/    | Current Year<br>(Amortization)/ | Current Year's<br>Other-Than-<br>Temporary<br>Impairment | Total<br>Change in<br>B./A.C.V. | Total Foreign<br>Exchange<br>Change in | Book/<br>Adjusted<br>Carrying Value<br>at | Foreign<br>Exchange Gain<br>(Loss) on | Realized Gain<br>(Loss) on | Total Gain<br>(Loss) on | Bond<br>Interest/Stock<br>Dividends<br>Received | Stated<br>Contractual<br>Maturity |
| fication                   | Description  | Date                  | Name of Purchaser                          |                        | Consideration                           | Par Value        | Actual Cost      | Value                                       | (Decrease)                              | Accretion                       | Recognized   | (11+12-13)                      | B./A.C.V.                              | Disposal Date                             | Disposal                              | Disposal                   | Disposal                | During Year                                     | Date                              |
| Bonds - U.S.               | Governments  |                       |  |                        |   |                  |                  |   |   | -                               |  |                                 |  |   |                                       |                            |                         |   |                                   |
| Bonds - All                | Other Governments States, Territories and Possessions (C                         | Nines and America     | -1110                                      |                        |   |                  |                  |   |   |                                 |  |                                 |  |   |                                       |                            |                         |   |                                   |
| Bonds - U.S.               | Political Subdivisions of States, Ter  | ritories and Poss     | sessions (Direct and Guarant               | eed)                   |   |                  |                  |   |   |                                 |  |                                 |  |   |                                       |                            |                         | 2.500   | 105/01/2031.                      |
|                            | Allegan MI Pub Sch Dist Sch Blog   | 05/03/2021            | Called                                     | XXX                    | 100,000                                 | 100,000          | 110,580          | 106,002                                     |   | (153)                           |  | (153)                           |  | 105.849<br>48.373                         |                                       | (5.849)                    | (5.849)                 | 750   |                                   |
|                            | Anes IA Conty Sch Dist   | 06/01/2021            |  | XXX                    | 50.000                                  | 50,000           | 46.467           |   |   | 65                              |  |                                 |  | 60.000                                    |                                       | 1,027                      | 1,021                   | 1.350   |                                   |
|                            | Bryan City School District   | 01/04/2021            |  | XXX                    |   |                  | 73.977           | 74,549                                      |   | 24                              |  | 24                              |  | 74,573                                    |                                       | 427                        | 427                     | 2,344   | 08/15/2031                        |
| 159195-UJ-3.               | Dallas Fort Worth Texas Intern   | 08/16/2021            | Car red,                                   | 1                      |   |                  |                  |   |   | 1                               |  | l                               |  | I   |                                       |                            |                         | 2,500   | 11/01/2042                        |
| 235036-XM-7                | Airport S  |                       | Called                                     | XXX                    | 50,000                                  | 50,000           | 53,886           | 50,516                                      |   | (516)                           |  | (516)                           |  | 50,000<br>25,000                          |                                       |                            |                         | 381   |                                   |
|                            | Dubuque IA Ser E B/E   |                       | Called                                     | XXX                    | 25.000                                  | 25,000<br>20,000 | 25,000           | 25,000                                      | ····                                    | t                               | <b>†</b>   | İ                               |  | 20,000                                    | İ                                     | 182                        | 182                     | 400   | 06/01/2028                        |
| 264057-CY-4                | Dubuque IA Water Rev   |                       | Called                                     | XXX                    | 15, 137                                 | 15,000           | 15.353           | 15.000                                      |   | 1                               | 1  | 1                               | I                                      | 15,000                                    |                                       | 137                        | 137                     | 300   | 06/01/2026                        |
| 20405/-CV-8<br>346424.SE 2 | Dubuque IA Water Rev Ser   |                       | Called                                     | XXX                    | 100.000                                 | 100,000          | 102,059          | 100,768                                     |   | I                               | I  |                                 |  | 100,768                                   |                                       | (768)                      | (768)                   | 3,316   |                                   |
| 392274-ZX-7                | Greater Orlando Aviation   | 09/28/2021            | Refunding/Defeasance                       | XXX                    | 50.000                                  | 50,000           | 49.166           | 49,617                                      | ļ                                       | 34                              | ļ  | 34                              |  | 49,651                                    | ļ                                     | 349                        | 349                     |   |                                   |
| 452152-BZ-3                | Illinois St  | 04/19/2021            | Called                                     | XXX                    | 100,000                                 | 100,000          | 100,000          | 300,000                                     |   | <del> </del>                    | <del> </del>   | ł                               | t                                      | 15.000                                    |                                       | †                          |                         | 3,200   |                                   |
| 462560-EE-2,               | towa St Hosp Rev Univ Iowa St  |                       | Called                                     | XXX                    | 15.000<br>51.768                        | 15,000           | 15.000           | 15,000<br>51.892                            | · · · · · · · · · · · · · · · · · · ·   | (125)                           | <b>†</b>   | (125)                           |  |   |                                       | 1                          | İ                       |   |                                   |
|                            |  | 03/26/2021            | Capital Change                             | XXX                    | 100.000                                 | 100,000          |                  | 101 168                                     |   | 15                              |  | (5)                             |  | 101,163                                   |                                       | (1.163)                    | (1.163                  | 2,250   | 02/01/2030                        |
| 498530-7C-2<br>57582P-H7-8 | Kiein, TX Schoot   | 01/04/2021            | Carled                                     | XXX                    | 30,000                                  | 30.000           |                  | 30,000                                      |   | 1                               |  |                                 |  | 30,000                                    |                                       |                            |                         | 279   | 10/01/2038                        |
| 1                          | Wassachusetts St Consol Ln 3.625%  |                       |  | XXX                    | 30.000                                  | 30,000           | 29 957           | 29,959                                      | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                                 | ***************************************                  |                                 |  | 29,959                                    |                                       | 41                         |                         | 281   | 10/01/2039                        |
|                            | Massachusetts St Consol Ln 3.625%<br>10/40                                       | 1                     | 1  | ххх                    |   | 30,000           | 29.939           | 29,942                                      |   |                                 |  | 1                               |  | 29,942                                    |                                       | 58                         | 58                      | 281   | 1                                 |
| 590545 - N7 - 0.           | Mesa AZ Util Sys Rev - Non Refunded<br>6.1%                                      |                       | Called                                     | ххх                    | 15,000                                  | 15,000           |                  | 15,000<br>25.310                            |   | (29)                            |  | (29)                            |  |   |                                       | (282)                      | (282                    |   |                                   |
|                            | Missouri Housing Development   |                       | Partial Call                               | XXX                    |   | 25,000           | 20.911           | 20,000                                      |   | 160,                            |  | 1                               |  | 20,000                                    |                                       | ,                          |                         | 191   | 06/01/2031                        |
| 727199-TC-5                | Ottuma IA Ser A Txbl   |                       | Called                                     | XXX                    | 65.000                                  | 65.000           |                  | 65.429                                      |   |                                 |  |                                 |  |   |                                       | (422)                      | (422                    |   |                                   |
|                            | Port Huron Wi  |                       | Cal led.                                   | XXX                    | 100,000                                 | 100,000          |                  | 100 .865                                    |   | (113                            |  | (113)                           |  | 100,752                                   |                                       | (752)                      | (752                    | 3,389   |                                   |
|                            | State Univ IA Univ Rev Telecon FACS  |                       | Called                                     | XXX                    | 20.000                                  | 20,000           |                  | 20,000                                      |   |                                 |  |                                 | <b></b>                                | 20,000                                    |                                       |                            |                         | 3.500   |                                   |
| 926336-HX-9                | Victoria TX Util   |                       | Called                                     | XXX                    |   | 100,000          |                  | 100 ,000                                    |   |                                 | <b></b>  |                                 | ļ                                      | 100,000                                   |                                       | 127                        | 127                     | 3,500   |                                   |
|                            | Mestern Dubque Cnty IA   | 06/29/2021,           | . Cal led                                  | XXX                    | 20.000                                  | 20,000           |                  | 19,873                                      |   | 1479                            |  | (479)                           |  | 106.978                                   |                                       | (6.978)                    | (6.978                  |   | 11/15/2031                        |
| 958638 - SW - 9.           | Bonds - U.S. Political Subdivisions of   | 11/15/2021            | Called                                     |                        | 300,000                                 | 100,000          | 112,329          |   |   |                                 |  |                                 |  | T   |                                       |                            |                         |   |                                   |
|                            | Custostoodi  |                       |  |                        | 1,367,086                               | 1,365,000        | 1,400,331        | 1,381,656                                   | 1                                       | (1.304                          | 1  | (1.304)                         | <u> </u>                               | 1,380,352                                 | l                                     | (13,266)                   | (13,266                 | 37,889  | XXX                               |
| Bonds - U.S.               | Special Revenue and Special Assessmen  | it and all Non-Gu     | aranteed Obligations of Age                | ncies and Author       | ities of Governm                        | ents and Their   | Political Subdiv | isions                                      |   |                                 |  |                                 |  |   |                                       |                            |                         |   |                                   |
|                            | istrial and Miscellaneous (Unaffiliated  | 0                     | Tell 1 1 8 7 11                            | T XXX                  | 1.103                                   | 1.103            | 1,102            | 1,102                                       | т ——                                    | T -                             |  | ,                               | T                                      | 1,103                                     | T                                     |                            |                         | I 36  | 07/25/2035.                       |
|                            | CSFB Mortgage Backed Pass Through<br>Mastr Alt Loan Trust                        |                       | Principal Reduction<br>Principal Reduction | XXX                    | 571                                     | 571              |                  |   | 9                                       | 16                              |  | 26                              |  | 571                                       |                                       |                            |                         |   | 04/25/2035.                       |
| 92978A-AA-0.               | Wachovia Cap TR III, var rate,<br>03/29/49                                       | 03/15/2021            | Cal led                                    | ххх                    | 20,278                                  | 20,000           |                  |   | ļ                                       |                                 | 1  | ļ                               | ļ                                      | 20,000                                    |                                       |                            |                         |   | 12/31/2099.                       |
| 949748-EV-8                | Wells Fargo  | 04/01/2021            | Matured                                    | XXX                    | 50.000                                  | 50,000           |                  |   |   | (145                            |  | (145                            |  | 50,000                                    | ļ                                     | 278                        | 278                     | 1,150   |                                   |
| 3899999 -                  | Bonds - Industrial and Miscellaneous   | (Unaffiliated)        |  |                        | 71.952                                  | 71,673           | 76.455           | 71,792                                      | 9                                       | (128                            | Л  | [118                            | и                                      | 71,673                                    |                                       | 2/8                        | 1 2/5                   | 1,203   |                                   |
| Bonds - Hybr               | rid Securities   |                       |  |                        |   |                  |                  |   |   |                                 |  |                                 | ***                                    |   |                                       |                            |                         |   |                                   |
| Bonds - SVO                | ent. Subsidiaries, and Affiliates Identified Funds                               |                       |  |                        |   |                  |                  |   |   |                                 |  |                                 |  |   |                                       |                            |                         |   |                                   |
|                            | totals - Unaffiliated Bank Loans<br>Bonds - Subtotals - Bonds - Parl 4           |                       |  |                        | 1,439,038                               | 1,436,673        | 1.476.786        | 1.453.448                                   | 9                                       | (1,431                          | )]   | (1,422                          | )!                                     | 1,452,026                                 | T                                     | (12,987)                   | (12,987                 |   |                                   |
|                            | Bonds - Summary item from Part 5 for 6   | Bonds                 |  |                        | 1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                  |                  |   | 1                                       |                                 |  | 1                               |  |   |                                       |                            |                         | 1,125   | XXX                               |
| 8399999 -                  | Bonds - Subtotals - Bonds  |                       |  |                        | 1,439,038                               | 1,436,673        | 1,476.786        | 1,453,448                                   | 9                                       | (1.431                          | )I   | (1.422                          | )                                      | 1,452,026                                 |                                       | (12,987)                   | (12,987                 | 40,216  | XXX                               |
| Preferred St               | tocks - Industrial and Miscellaneous (L  | haffiliated) Per      | rpetual Preferred                          |                        |   |                  |                  |   |   |                                 |  |                                 |  |   |                                       |                            |                         |   |                                   |
|                            | tocks - Industrial and Niscellaneous (L  | Inaffiliated) Red     | deemable Preferred                         | 800,000                | 20,000                                  |                  | 20.000           | 20.320                                      | 1 (320                                  | 10                              |  | 1 (320                          | 1                                      | 120,000                                   |                                       | .1                         | 1                       | 260   | XXX                               |
| 744358 - 20-4              | Prudential PLC   | C 12/24/2021          | Called                                     | 700.000                | 20.000<br>17.500                        |                  |                  | 20,223                                      | (2,723                                  | ó[                              |  | (2.723                          | ìl                                     | 17.500                                    |                                       | 4                          | ļ                       | 1,138   | 3XXX                              |
| 949880-67-2                | Wells Fargo & Co 5.5% no maturity  |                       | Bank towa                                  | 600.000                | 15.000                                  |                  | 30,000           |   | (744                                    | ?}                              | · <del> </del>   | (744                            | } <b>}</b>                             | 15,000<br>30,000                          | l                                     | +                          | <del> </del>            | 619   | 100                               |
| 94988U-71-4                | Wells Fargo & Co 5.7% no maturity  | 03/15/2021            | UIT Termination                            | 1,200.000              | 30,000                                  | XXX              | 82.500           | 87.007                                      |   |                                 |  | (4.507                          |  | 82,500                                    |                                       |                            | T                       | 2,444   |                                   |
| Broforred C                | Preferred Stocks - Industrial and Mis-<br>tocks - Parent, Subsidiaries and Affil | cerraneous (Unat I    | rriraceu) keueenable Preterr<br>Preferred  | 60                     | 02.300                                  | 1 444            | 1 62,300         | 1 00, 10                                    | 1 (4.50)                                | и                               |  | 1                               |  |   |                                       |                            |                         |   |                                   |
| Preferred S                | tocks - Parent, Subsidiaries and Affil   | ates Redeemable       | Preferred                                  |                        |   |                  |                  |   |   |                                 |  |                                 |  |   |                                       |                            |                         | 2.444   | 4 1 XXX                           |
|                            | Preferred Stocks - Subtotals - Prefer  |                       |  |                        | 82,500                                  | XXX              | 82,500           | 87,007                                      | (4.50)                                  | )L                              |  | (4.507                          | )]                                     | 82,500                                    |                                       | 1                          | 1                       | 2,444   | 1 101                             |
|                            |  |                       |  |                        |   |                  |                  |   |   |                                 |  |                                 |  |   |                                       |                            |                         |   |                                   |

#### SCHEDULE D - PART 4

|                 |   |                    |                       |           |               |           | SCF             | IEDUI             | LE D -                  | PARI            | 4                        |                    |                           |                            |                          |               |            |                             |                       |
|-----------------|---|--------------------|-----------------------|-----------|---------------|-----------|-----------------|-------------------|-------------------------|-----------------|--------------------------|--------------------|---------------------------|----------------------------|--------------------------|---------------|------------|-----------------------------|-----------------------|
|                 |   |                    |                       |           | Showing all   | Long-Term | Bonds and Sto   | ocks SOLD, I      | REDEEMED o              | Otherwise DI    | SPOSED OF D              | uring Current      | Year                      |                            |                          |               |            |                             |                       |
| 4               | 2   | 3 4                | 5                     | 6         | 7             | 8         | 9               | 10                |                         | Change in E     | look/Adjusted Car        | mying Value        |                           | 16                         | 17                       | 18            | 19         | 20                          | 21                    |
| ,               | -   | F                  | -                     |           |               |           |                 | Prior Year        | 11                      | 12              | 13<br>Current Year's     | 14                 | 15                        | Book/                      |                          |               |            | Bond                        |                       |
| CUSIP           |   | <u> </u>           |                       | Number of |               |           |                 | Book/<br>Adjusted | Unrealized<br>Valuation | Current Year    | Other-Than-<br>Temporary | Total<br>Change in | Total Foreign<br>Exchange | Adjusted<br>Carrying Value | Foreign<br>Exchange Gain | Realized Gain | Total Gain | Interest/Stock<br>Dividends | Stated<br>Contractual |
| Identi-         | 1   | n Disposal         |                       | Shares of |               |           | ! !             | Carrying          | Increase/               | (Amortization)/ | Impairment               | B./A.C.V.          | Change in                 | at                         | (Loss) on                | (Loss) on     | (Loss) on  | Received                    | Maturity              |
| fication        | Description   | Date               | Name of Purchaser     | Stock     | Consideration |           |                 | Value             | (Decrease)              | Accretion       | Recognized               | (11+12-13)         | B./A.C.V.                 | Disposal Date<br>82,500    | Disposal                 | Disposal      | Disposal   | During Year<br>2,444        | Date                  |
|                 | Preferred Stocks - Subtotals - Prefi                                    |                    |                       |           | 82,500        | XXX       | 82,500          | 87,007            | (4.507)                 |                 |                          | (4.507)            |                           | 82,500                     |                          |               |            | 2,444                       |                       |
|                 | s - Industrial and Miscellaneous (Ur                                    |                    |                       |           |               | XXX       | F0.045          | 49.820            | 6,795                   |                 |                          | 6.795              |                           | 56,615                     |                          | (5.805)       | (5.805)    | 1,390                       | XXX                   |
| 458140-10-0     | Intel Corp  | 12/20/2021,        | Bank Iowa             | 1,000.000 | 50.810        | XXX       | 56.615<br>2.649 | 99,620            | 2.649                   |                 |                          | 2.649              |                           | 2.649                      |                          |               | (0.000)    |                             | XXX                   |
| 58933Y-10-5     | Merck & Co Inc  |                    |                       |           | 53,459        | XXX       | 59.264          | 49.820            | 9.444                   |                 |                          | 9,444              |                           | 59,264                     |                          | (5,805)       | (5.805)    | 1,390                       | XXX                   |
| Compan Stocks   | s - Industrial and Miscellaneous (Ur                                    | offiliated) Other  | ateu) ruoticiy traceu |           | 30,400        | - Ann     | 00.201          | 10,020            |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 | s - Parent, Subsidiaries, and Affili                                    |                    | ed                    |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
| Common Stocks   | s - Parent, Subsidiaries and Affilia                                    | ites Other         |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
| Common Stocks   | s - Mutual Funds  |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               | 42.853     | 5.534                       | 1111                  |
| 315807 - 40 - 4 | Fidelity Adv Balanced Fd  | 12/17/2021         | Bank Iowa             | 3,234.150 | 92,853        | XXX       | 50 .000         | 85,349            |                         |                 |                          | (35,349)           |                           | 50,000                     |                          | 42.853        |            | 5,534                       |                       |
| 000000-00-0     | (EVSAX)   | 04/16/2021         | Redemption            | 2,133.230 | 46.419        | XXX       | 31.998          | 40,851            | (8.853)                 |                 |                          | (8.853)            |                           | 31,998                     |                          | 14,421        | 14,421     | 5.534                       | XXX                   |
| 9499999 - 0     | Common Stocks - Mutual Funds  |                    |                       |           | 139,272       | 200       | 81.998          | 126,201           | (44,202)                |                 | L                        | (44,202)           | L                         | 81,998                     |                          | 57,273        | 57,273     | 5,534                       | <u> </u>              |
|                 | s - Unit Investment Trusts  |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 | s - Closed-End Funds  |                    |                       |           | 192,730       | XXX       | 141.262         | 176.021           | (34,759)                |                 |                          | (34.759)           |                           | 141.262                    |                          | 51,468        | 51,468     | 6,924                       | XXX                   |
| 9799997 - 0     | Common Stocks - Subtotals - Common Common Stocks - Summary item from P. | Stocks - Part 4    |                       |           | 49,284        | XXX       | 53.164          | 170,021           | (34,733)                |                 |                          | 10-1,100/          |                           | 53.164                     |                          | (3.880)       | (3,880)    | 549                         | XXX                   |
| 9799990 - 0     | Common Stocks - Subtotals - Common                                      | Stocke             | uxs                   |           | 242.015       | XXX       | 194,426         | 176.021           | (34,759)                |                 |                          | (34,759)           |                           | 194,426                    |                          | 47,589        | 47,589     | 7,473                       |                       |
| 9899999 - (     | Common Stocks - Subtotals - Prefern                                     | ed and Common Stoc | is                    |           | 324,515       | XXX       | 276,926         | 263,028           | (39,266)                |                 |                          | (39, 266)          |                           | 276,926                    |                          | 47,589        | 47,589     | 9,917                       | XXX                   |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             | ł                     |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             | 1                     |
|                 |   |                    |                       | -         |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             | ļ                     |
|                 |   |                    |                       | 1         |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             | t                     |
|                 |   |                    |                       | +         |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       | ·         | •             |           | 1               |                   |                         |                 | İ                        |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
|                 |   |                    |                       |           |               |           |                 |                   |                         |                 |                          |                    |                           |                            |                          |               |            |                             |                       |
| 999999          |   |                    |                       |           | 1,763.553     | XXX       | 1.753.712       | 1,716,475         | (39.256                 | (1.431)         |                          | (40.688)           |                           | 1,728,952                  |                          | 34,601        | 34,601     | 50,133                      | XXX                   |

#### SCHEDULE D - PART 5

|                      |  |                          |                            |               |                            |                     |                 | EDULE         |   |                         |                 |                                |                |               |                |               |             |              |        |
|----------------------|--|--------------------------|----------------------------|---------------|----------------------------|---------------------|-----------------|---------------|---|-------------------------|-----------------|--------------------------------|----------------|---------------|----------------|---------------|-------------|--------------|--------|
|                      |  |                          |                            |               | Showing                    | all Long-Term I     | Sonds and Sto   | ocks ACQUIRED |   | Fully DISPOS            | SED OF During C | urrent Year<br>Book/Adjusted C | amina Valua    |               | 17             | 18            | 19          | 20           | T 21   |
| 1                    | 2  | 3 4                      | 5                          | 6             | 7                          | 8                   | 9               | 10            | 11                                      | 12                      | 13              | 14                             | 15             | 16            | ∃ '′           |               |             | 2.0          | 1 -    |
|                      |  | le l                     | 1                          |               |                            |                     |                 | 1             | l                                       |                         |                 |                                | "              |               |                |               |             |              |        |
|                      |  | [5]                      |                            | 1             |                            | ļ                   |                 | 1             |   |                         |                 |                                |                |               |                |               |             | 1            | Paid 1 |
|                      |  |                          |                            | 1             |                            | Par Value           |                 |               | Book/                                   |                         |                 | Current Year's                 | *              | Total Foreign | Foreign        |               |             | Interest and | Accru  |
|                      |  | e                        | 1                          |               |                            | (Bonds) or          | 1               |               | Adjusted                                | Unrealized<br>Valuation | Current Year's  | Other Than<br>Temporary        | I otal Change  | Exchange      | Exchange       | Realized Gain | Total       | Dividends    | Intere |
|                      |  | 111                      | 1                          | I             |                            | Number of<br>Shares | ł               |               | Carrying<br>Value at                    | increase/               | (Amortization)  |                                | B./A. C.V.     | Change in     | Gain (Loss) on |               | Gain (Loss) | Received     | and    |
| CUSIP                |  | g Date                   | Name of Vendor             | Disposal      | Name of Purchaser          | (Stocks)            | Actual Cost     | Consideration | Disposal                                | (Decrease)              | Accretion       | Recognized                     | (12 + 13 - 14) |               | Disposal       | Disposal      | on Disposal | During Year  | Divide |
| ntification          | Description . Governments  | n Acquired               | Name of Vendor             | Date          | Name of Fulcillase         | (SiQUAS)            | Actual Cost     | Consideration | Disposai                                | (Decirouse)             | 7 100104011     |                                | 1,12           |               |                |               |             |              |        |
| nds - U.S.           | Other Governments  |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| nos - All C          | States, Territories and Posses                                       | sions (Direct and C      | Suaranteed)                |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| made IIO             | <b>Dalitical Cubdinicions of States</b>                              | Territories and P.       | nespesions (Direct and Gua | aranteed)     |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| nds - U.S.           | Special Revenue and Special  | Assessment and a         | Il Non-Guaranteed Obligati | ons of Agenci | ies and Authorities of Gov | emments and T       | heir Political  | Subdivisions  |   |                         |                 |                                |                |               |                |               |             |              |        |
| nde - Indi           | istrial and Miscellaneous (Unaff                                     | filiated)                |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      |  |                          |                            | 1             | Reversal of Capital        | T                   |                 |               |   |                         |                 |                                |                |               | 1              |               |             | 1.125        | : I    |
| 2274-24-5            | Fifth Third Bank Greater Orland                                      | to                       | Reversal of Capital Change | 10/11/2021.   | Change                     |                     |                 |               |   |                         |                 |                                |                |               |                |               |             | 1,125        |        |
| 3899999 -            | Bonds - Industrial and Miscella                                      | neous (Unaffiliated      | 1)                         |               |                            |                     | <u> </u>        |               |   |                         |                 | i                              |                |               |                |               |             | 1,120        |        |
| nds - Hyb            | rid Securities   |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| nds - Pan            | ent, Subsidiaries, and Affiliates                                    |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| nds - SVC            | Identified Funds   |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      | totals - Unaffiliated Bank Loans                                     | 3                        |                            |               |                            | ,                   | ,               | ,             |   |                         | _               |                                |                |               |                |               | _           | 1,125        |        |
| 399998 -             | Bonds - Subtotals - Bonds  |                          |                            |               |                            | <u> </u>            | <u> </u>        | <u> </u>      |   |                         |                 | <del></del>                    |                |               |                |               |             | 1,760        |        |
| eferred St           | ocks - Industrial and Miscellane                                     | eous (Unaffiliated) I    | Perpetual Preferred        |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| eferred St           | ocks - Industrial and Miscellane                                     | eous (Unaffiliated) I    | Redeemable Preferred       |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| eferred St           | ocks - Parent, Subsidiaries and                                      | Affiliates Perpetua      | al Preferred               |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| referred St          | ocks - Parent, Subsidiaries and                                      | Affiliates Redeem        | able Preferred             |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
| ommon St             | ocks - Industrial and Miscellane                                     | ous (Unaffiliated) F     | ublicly Traded             |               | B 17                       | . 500.000           | 1 50 545        | 47,140        | 50.515                                  |                         |                 |                                |                |               | Т              | [3,375]       | (3.375)     | 510          | ) L    |
| 1011-10-4            | Emerson Electric Co  | 08/03/2021<br>06/03/2021 | Bank lowa                  | 12/20/2021    | Bank rowa                  | 70.000              | 50,515<br>2,649 | 2.145         | 2.649                                   |                         |                 | 1                              | 1              | 1             | 1              | (504)         | (504)       | 39           |        |
| 522V - 10 - 6        | Organon & Co   |                          |                            |               | paix 104a                  | .,                  | 53,164          |               |   |                         | 1               |                                |                |               |                | (3.880)       | (3.880)     | 549          | )      |
| 9099999 -            | ocks - Industrial and Miscellane                                     | nd Miscellaneous (t      | Others                     |               |                            |                     | 50,104          | 10,201        | 001101                                  |                         |                 |                                |                |               |                |               |             |              |        |
|                      | ocks - Industrial and Miscellane<br>ocks - Parent, Subsidiaries, and |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      | ocks - Parent, Subsidiaries, and<br>ocks - Parent, Subsidiaries and  |                          | madeo                      |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      | ocks - Parent, Subsidiaries and<br>ocks - Mutual Funds               | Amiliates Other          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      | ocks - Mutual Funds<br>ocks - Unit Investment Trusts                 |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      | ocks - Unit investment Trusts<br>ocks - Closed-End Funds             |                          |                            |               |                            | -                   |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      | Common Stocks - Subtotals - I  | Camman Stocke            |                            |               |                            |                     | 53.164          | 49,284        | 53,164                                  |                         |                 |                                | T              |               |                | (3.880)       | (3.880)     |              |        |
| 9799998-             | Common Stocks - Subtotals -  | Destant and Com          | man Stanks                 |               |                            |                     | 53.164          |               |   |                         |                 |                                |                |               |                | (3.880)       | (3.880)     | 549          | 9      |
| <del>9899999</del> - | Common Stocks - Subtotals -  | Preferred and Coll       | andii stocks               | 1             |                            |                     | - 00,101        |               |   |                         |                 |                                |                |               |                |               |             |              |        |
|                      |  |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             | ļ            |        |
|                      |  | LL                       |                            |               |                            |                     |                 |               | ļ                                       | <b> </b>                |                 | +                              | <b>+</b>       | +             | +              | +             |             | <del> </del> | +      |
|                      | ]  |                          |                            |               |                            |                     |                 | +             |   | t                       | -               | +                              | t              | †             |                | †             |             |              | 1      |
|                      |  |                          |                            |               |                            | +                   |                 | t             | 1                                       | †····                   | 1               | 1                              | 1              | 1             | 1              | 1             |             | I            |        |
|                      |  |                          |                            |               |                            |                     |                 |               | *************************************** | T                       | T               | Т                              | 1              | 1             |                |               |             |              |        |
|                      |  |                          |                            |               |                            |                     |                 | 1             |   | 1                       |                 |                                |                |               |                |               |             |              |        |
|                      |  |                          |                            |               |                            |                     |                 | <u> </u>      |   |                         |                 |                                |                |               | <b>‡</b>       |               |             |              |        |
|                      |  |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              | -      |
|                      |  |                          |                            |               |                            |                     |                 |               |   |                         |                 |                                |                |               |                |               |             |              |        |

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#### ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

**SCHEDULE D - PART 6 - SECTION 1** 

|                                 |                                   |            | Valuation of S | Shares of Subsic | liary, Controlled | or Affiliated Con | npanies        |             |                |             |
|---------------------------------|-----------------------------------|------------|----------------|------------------|-------------------|-------------------|----------------|-------------|----------------|-------------|
| 1                               | 2                                 | 3          | 4              | 5                | 6                 | 7                 | 8              | 9           | Stock of       |             |
| '                               | _                                 | •          |                | -                | _                 |                   |                |             | Company (      |             |
|                                 |                                   |            |                |                  |                   |                   |                |             | Insurer on Sta | tement Date |
|                                 |                                   |            |                |                  |                   |                   |                |             |                |             |
| ] '                             |                                   |            |                |                  |                   |                   | Total Amount   |             | 10             | 11          |
|                                 |                                   |            |                |                  |                   | !                 | of             |             | _              |             |
| i                               |                                   |            |                | ŀ                |                   | !                 | Goodwill       |             |                |             |
| 1                               | Description                       |            | NAIC           |                  | NAIC              |                   | Included in    |             | Number         |             |
| CUSIP                           | Name of Subsidiary, Controlled    |            | Company        |                  | Valuation         | Book/Adjusted     |                | Nonadmitted | of             | % of        |
|                                 |                                   | Foreign    |                | ID Number        | Method            | Carrying Value    |                | Amount      | Shares         | Outstanding |
| Identification<br>Preferred Sto |                                   | roleigh    | Code           | 10 Number        | Method            | Carrying Value    | Can ying value | 711100111   | 0.10.00        | 100000000   |
|                                 | ocks - U.S. Property & Casualty I | OCUTOT.    |                |                  |                   |                   |                |             |                |             |
| Dreferred Sto                   | ocks - U.S. Life Insurer          | 1301 61    |                |                  |                   |                   |                |             |                |             |
|                                 | ocks - U.S. Health Entity         |            |                |                  |                   |                   |                |             |                |             |
|                                 | ocks - Alien Insurer              |            |                |                  |                   |                   |                |             |                |             |
|                                 | ocks - Non-Insurer Which Controls | Incurer    |                |                  |                   |                   |                |             |                |             |
|                                 | ocks - Investment Subsidiary      | 111301101  |                |                  |                   |                   |                |             |                |             |
|                                 | ocks - Other Affiliates           |            |                |                  |                   |                   |                |             |                |             |
| Common Stocks                   |                                   |            |                |                  |                   |                   |                |             |                |             |
|                                 | s - U.S. Property & Casualty Insu | rer        |                |                  |                   |                   |                |             |                |             |
|                                 | Lenox Insurance Agency            | T          |                |                  |                   | 122,408           |                |             | 1,000.000      | 100.0       |
|                                 | ommon Stocks - U.S. Property & C  | asualty in | surer          |                  |                   | 122,408           |                |             | XXX            | XXX         |
|                                 | - U.S. Life Insurer               |            |                |                  |                   |                   |                |             |                |             |
|                                 | - U.S. Health Entity              |            |                |                  |                   |                   |                |             |                |             |
|                                 | - Alien Insurer                   |            |                |                  |                   |                   |                |             |                |             |
|                                 | - Non-Insurer Which Controls In   | surer      |                |                  |                   |                   |                |             |                |             |
|                                 | - Investment Subsidiary           |            |                |                  |                   |                   |                |             |                |             |
|                                 | - Other Affiliates                |            |                |                  |                   |                   |                |             |                |             |
|                                 | common Stocks - Subtotals - Commo | n Stocks   |                |                  | •                 | 122,408           |                |             | XXX            | XXX         |
|                                 |                                   |            |                |                  |                   |                   |                |             |                |             |
|                                 | ,                                 |            |                | <b></b>          |                   |                   |                |             |                |             |
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|                                 |                                   | ····       | ·····          | <b></b>          | ·····             | <b>†</b>          | t              |             | İ              |             |
|                                 |                                   | 1          | ····           |                  |                   | ·····             | <b>†</b>       | <b>†</b>    | 1              |             |
|                                 |                                   | 1          |                | <b></b>          |                   |                   | İ              |             | 1              |             |
|                                 |                                   |            |                | 1                |                   |                   |                | I.,         |                |             |
| 1000000 T                       | otals - Preferred and Common      | Stocks     |                |                  | T                 | 122.408           |                |             | XXX            | XXX         |
|                                 | otals - Preferred and Common      |            |                |                  |                   |                   |                |             | ·              |             |

See Independent Accountant's Compilation Report

**SCHEDULE D - PART 6 - SECTION 2** 

| 1                       | 2                          | 3   | 4<br>Total Amount of<br>Goodwill                      | Stock in Lower-Tier Company<br>Owned Indirectly by Insurer on<br>Statement Date |                          |  |
|-------------------------|----------------------------|---|---|---|--------------------------|--|
| CUSIP<br>Identification | Name of Lower-Tier Company | Name of Company Listed in Section 1 Which Controls Lower-Tier Company | Included in Amount<br>Shown in Column 8,<br>Section 1 | 5<br>Number of Shares   | 6<br>% of<br>Outstanding |  |
|                         |                            |   |   |   |                          |  |
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|                         |                            |   | <b></b>   |   | +                        |  |
|                         | eferred and Common         |   |   | XXX   | XXX                      |  |

# Schedule DA - Part 1 NONE

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part A - Section 2

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part B - Section 2

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

**SCHEDULE E - PART 1 - CASH** 

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

|   | 001100000   | 1 / 111 |   | <del></del>                             |   |   |                   |
|---|---|---------|---|---|---|---|-------------------|
|   | 1   | 2       | 3                                       | 4                                       | 5                                       | 6                                       | 7                 |
|   |   |         |   | l                                       |   | ł                                       | 1                 |
|   |   |         | 1                                       | Amount of Interest                      | Amount of Interest                      | ]                                       | 1                 |
|   |   |         |   | Received                                | Accrued                                 |   | 1                 |
|   |   |         | Rate of                                 | Received<br>During                      | December 31 of<br>Current Year          |   | 1                 |
|   | Depository  | Code    | Interest                                | Year                                    | Current Year                            | Balance                                 | *                 |
| O   | DEN NEDOSITARIES  |         |   |   |   |   |                   |
| MidWest One Bank<br>Fidelity Bank & Trust<br>Watkins Savings Bank<br>Matured CDs. | lowa City   IA  |         | D.100<br>D.050<br>2.000                 | 102<br>912<br>2,000<br>3,526            |   |   | XXX<br>XXX<br>XXX |
| FideLity Bank & Trust   | Dubuque. 1A   |         | 0.050                                   | 912                                     |   | 221,967                                 | XXX               |
| Watkins Savings Bank  | Watkins, IA   |         | 2.000                                   | 2.000                                   | 427                                     | 100,000                                 | XXX               |
| Matured CDs   |   |         |   | 3,526                                   |   |   | . XXX             |
| 0199998 Deposits in   | depositories that do not exceed the   |         |   |   |   |   |                   |
| allowable lim   | depositories that do not exceed the nit in any one depository - Open Depositories | XXX     | XXX                                     |   |   |   | XXX               |
| 0199999 Totals - Open   | Denositories  | XXX     | XXX                                     | 6.541                                   | 427                                     | 405,204                                 | XXX               |
| 0199999 Totals - Open   | Depositorios  |         |   | 2.3                                     |   |   |                   |
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|   |   |         |   | 0.511                                   | 407                                     | 405.204                                 | 4∵∵               |
| 0399999 Total Cash or   | n Deposit   | XXX     | XXX                                     | 6,541                                   | 427                                     | 405.204                                 | XXX               |
| 0499999 Cash in Comp  | pany's Office   | XXX     | XXX                                     | XXX                                     | XXX                                     |   | 1 1/1/            |
| 0599999 Total Cash  |   | XXX     | XXX                                     | 6,541                                   | 427                                     | 405,204                                 | XXX               |

|             | TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR |          |         |              |           |              |          |  |  |  |
|-------------|---|----------|---------|--------------|-----------|--------------|----------|--|--|--|
| 1. January  | 493,524   | 4. April |         | 7. July      | 678 , 159 | 10. October  | 577 ,956 |  |  |  |
| 2. February | 693,608   | 5. May   | 490.371 | 8. August    | 329,911   | 11. November | 436.928  |  |  |  |
| 3. March    | 887 . 492   | 6. June  | 778.390 | 9. September | 621,333   | 12. December | 405,204  |  |  |  |

#### SCHEDULE E - PART 2 - CASH EQUIVALENTS

|   |  | Show                     | Investments Owned                       | December 31 of Curren    | it Year                 |                      |                    |                 |
|---|--|--------------------------|---|--------------------------|-------------------------|----------------------|--------------------|-----------------|
|   | 2  | 3                        | 4                                       | 5                        | 6                       | 7                    | 8                  | 9               |
| '   |  | '                        | Date                                    | Rate of                  | Maturity                | Book/Adjusted        | Amount of Interest | Amount Received |
| CUSIP   | Description  | Code                     | Acquired                                | Interest                 | Date                    | Carrying Value       | Due & Accrued      | During Year     |
| CUSIP   | ments - Issuer Obligations   |                          | 1 , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                          |                         |                      |                    |                 |
| Bonds - U.S. Govern   | wents - Issuer corrigations wents - Residential Mortgage-Backed Securities   |                          |   |                          |                         |                      |                    |                 |
| Ponds II S Couprn   | ments - Commercial Mortgage-Backed Securities  |                          |   |                          |                         |                      |                    |                 |
| Bonds - U.S. Govern   | ments - Other Loan-Backed and Structured Securities  |                          |   |                          |                         |                      |                    |                 |
|   | Sovernments - Issuer Obligations   |                          |   |                          |                         |                      |                    |                 |
| Bonds - All Other G   | Sovernments - Tasser corrigations  Sovernments - Residential Mortgage-Backed Securities  |                          |   |                          |                         |                      |                    |                 |
| DONUS - ATT VITIET OF   | poretraents - nesteent or mortgage beauties constitutes  |                          |   |                          |                         |                      |                    |                 |
| Bonds - All Other G   | Governments - Commercial Mortgage-Backed Securities  |                          |   |                          |                         |                      |                    |                 |
| Boods - All Other G   | Sovernments - Other Loan-Backed and Structured Securities  |                          |   |                          |                         |                      |                    |                 |
| Dondo II C Ctatoc   | Torritories and Possessions (Direct and Guaranteed) - Issuer Obligations   |                          |   |                          |                         |                      |                    |                 |
| Boards II C Ctatos  | Torritorice and Deseassions (Direct and Guaranteed) - Residential Mortgage-Backed Sec  | curities                 |   |                          |                         |                      |                    |                 |
| Ponde C States  | Territories and Possessions (Direct and Guaranteed) - Commercial Mortgage-Backed Secur   | ities                    |   |                          |                         |                      |                    |                 |
| Dondo II C Ctator   | <ul> <li>Torritories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structure</li> </ul>  | d Securities             |   |                          |                         |                      |                    |                 |
| Dondo II C Dolitio  | ical Subdivisions of States Territories and Possessions (Direct and Guaranteed) - Issue  | er Obligations           |   |                          |                         |                      |                    |                 |
|   |  |                          | Securities                              |                          |                         |                      |                    |                 |
| Ponde II C Politi   | ical Subdivisions of States Territories and Possessions (Direct and Guaranteed) - Comme  | ercial Mortgage-Backed - | Securities                              |                          |                         |                      |                    |                 |
|   |  |                          |   |                          |                         |                      |                    |                 |
|   |  |                          |   | Political Subdivisions - | Issuer Obligations      |                      |                    |                 |
|   |  |                          |   |                          |                         | cked Securities      |                    |                 |
|   |  |                          |   |                          |                         |                      |                    |                 |
| Ronde - II S Specia   | ue and Special Assessment obligations and all Non-Guaranteed colligations of Agencies and<br>al Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agen  | ncies and Authorities o  | f Governments and Their                 | Political Subdivisions - | Other Loan-Backed and S | tructured Securities |                    |                 |
| Ronde - Industrial  | and Miscellaneous - Issuer Obligations   |                          |   |                          |                         |                      |                    |                 |
| XXX   | Federated Government Obligations Fund  |                          | 12/31/2021                              |                          | 12/31/2050              |                      |                    |                 |
| 3200000 - Ronde   | - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations   |                          |   |                          |                         | 40,537               | <u> </u>           |                 |
| Bonde - Industrial  | and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities  |                          |   |                          |                         |                      |                    |                 |
| Ponde . Industrial  | and Miscellangous (Unaffiliated) - Commercial Mortnane-Backed Securities   |                          |   |                          |                         |                      |                    |                 |
| Ponds Industrial  | and Niccollangous (Illastilliated) - Other Loan-Backed and Structured Securities   |                          |   |                          |                         |                      |                    |                 |
| 3800000 - Ponds   | <ul> <li>Industrial and Miscellaneous (Unaffiliated) - Subtotals - Industrial and Miscellaneous</li> </ul>   | s (Unaffiliated)         |   |                          |                         | 40,537               |                    |                 |
| Ronde - Why id Son  | urities - Issuer Obligations   |                          |   |                          |                         |                      |                    |                 |
| Bonds - Hybrid Soci   | urities - Residential Mortgage-Backed Securities   |                          |   |                          |                         |                      |                    |                 |
| Ronde - Hybrid Secu   | urities - Commercial Mortgage-Backed Securities  |                          |   |                          |                         |                      |                    |                 |
| Bonde - White id Secu   | urities - Other Loan-Backed and Structured Securities  |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent Suit   | bsidiaries and Affiliates Bonds - Issuer Obligations   |                          |   |                          |                         |                      |                    |                 |
| Dones - Parent Cul  | heidigries and Affiliates Ronds + Residential Mortgage-Backed Securities   |                          |   |                          |                         |                      |                    |                 |
|   |  |                          |   |                          |                         |                      |                    |                 |
| Donde Daront Sub  | beidiaries and Affiliates Ronds - Commercial Mortgage-Backed Securities  |                          |   |                          |                         |                      |                    |                 |
| Donde Daront Sub  | bsidiaries and Affiliates Bonds - Commercial Mortgage-Backed Securities  |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent Sub  | bsidiaries and Affiliates Bonds - Other Loan-Backed and Structured Securities bsidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued   |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - Parent Sub  | bsidiaries and Affiliates Bonds - Other Loan-Backed and Structured Securities bsidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued   |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub  | bsidiaries and Affiliates Bonds - Other Loan-Backed and Structured Securities bsidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued bsidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired  |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - SWO intent if   | bisidiaries and Affiliates Bonds - Other Loan-Backed and Structured Securities<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired<br>finef Ends - Exchange Traded Funds - as Identified by the 8'00   |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - Parent , Sub<br>Bonds - SVO identif<br>Bonds - Unaffiliate  | bisidiaries and Affiliates Bonds - Other Loan-Backed and Structured Securities<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Assued<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired<br>fied Funds - Exchange Traded Funds -as Identified by the SVO<br>and Bank Loans - Unaffiliated Bank Loans - Issued  |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - SVO Ident if<br>Bonds - Unaffiliate<br>Bonds - Unaffiliate  | bisidiaries and Affiliates Bonds - Olher Loan-Booked and Structured Securities<br>sistidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired<br>fined funds - Exchange Tradef Loans - Scalarities Bonds - Standing Bonds - Standing Bonds - Standing Bonds - Standing Bonds - Standing Bonds - Bonds - Standing Bonds - Standing Bonds - Bond |                          |   |                          |                         | 40,537               |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Sv0 Identif<br>Bonds - Unaffiliate<br>Bonds - Unaffiliate<br>7699999 - Bonds   | bisidiaries and Affiliates Bonds - Other Loan-Booked and Structured Securities<br>scridings and Affiliates Bonds - Affiliated Bank Loans - Issued<br>Scridings and Affiliates Bonds - Affiliated Bank Loans - Loapried<br>feet Tunds - Exchange Trade Funds - Site Intelliged by the Site<br>of Bank Loans - Unaffiliated Bank Loans - Acquired<br>of Bank Loans - Unaffiliated Bank Loans - Acquired<br>- Total Bonds - Soliotals - Issuer (Bilations   |                          |   |                          |                         | 40,537<br>40,537     |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Sv0 Identif<br>Bonds - Unaffiliate<br>Bonds - Unaffiliate<br>769999 - Bonds<br>839999 - Bonds   | bisidiaries and Affiliates Bonds - Olher Loan-Booked and Structured Securities<br>sistidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired<br>fined funds - Exchange Tradef Loans - Scalarities Bonds - Standing Bonds - Standing Bonds - Standing Bonds - Standing Bonds - Standing Bonds - Bonds - Standing Bonds - Standing Bonds - Bond |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - SVO identif<br>Bonds - Unaffiliate<br>Bonds - Unaffiliate<br>7699999 - Bonds<br>8399999 - Bonds<br>Sweep Accounts   | bisidiaries and Affiliates Bonds - Other Loan-Booked and Structured Securities<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued<br>bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Loquired<br>Inter Bruds - Exchange Trader Marks - St Identified by the STO<br>of Bonds - Loans - Loans - Loans - Loquired<br>- Total Bonds - Subtotals - Issuer Obligations<br>- Total Bonds - Subtotals - Issuer Obligations<br>- Total Bonds - Subtotals - Bonds   |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - SVO Identif<br>Bonds - Unaffiliate<br>Bonds - Unaffiliate<br>7699999 - Bonds<br>8399999 - Bonds<br>Sweep Accounts<br>Exempt Money Market                         | bisidiaries and Affiliates Bonds - Other Loan-Booked and Structured Securities  bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued  bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired  filed Funds - Exchange Traded Funds - St Identified by the SVO  ed Bank Loans - Unaffiliated Bank Loans - Issued  de Bank Loans - Loaffiliated Bank Loans - Acquired    Total Bonds - Subitotals - Issuer Obligations  |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - SVO Identif<br>Bonds - Unaffiliate<br>769999 - Bonds<br>8399999 - Bonds<br>Sweep Accounts<br>Exempt Money Market   | baidiaries and Affiliates Bonds - Other Loan-Booked and Structured Securities beidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued beidiaries and Affiliates Bonds - Affiliated Bank Loans - Loangiard free funds - Exchange Trade Funds - Stendard Bank Loans - Loangiard of Bonk Loans - Unaffiliated Bonk Loans - Housed of Bonk Loans - Unaffiliated Bonk Loans - Housed - Total Bonds - Schotolari - Issuer Golfgations - Total Bonds - Schotolari - Issuer Golfgations - Total Bonds - Schotolari - Suer Golfgations - Total Bonds - Schotolari - Suer Golfgations - Total Bonds - Schotolari - Suer Golfgations - Total Bonds - Schotolari - Suer Golfgations - Total Bonds - Schotolari - Suer Golfgations   |                          |   |                          |                         |                      |                    |                 |
| Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Por John State<br>Bonds - Work John State<br>Tobogogo - Bonds<br>839999 - Bonds<br>Sweep Accounts<br>Exempt Money Market<br>ATI Other Money Mar   | bisidiaries and Affiliates Bonds - Other Loan-Booked and Structured Securities  bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued  bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired  filed Funds - Exchange Traded Funds - St Identified by the SVO  ed Bank Loans - Unaffiliated Bank Loans - Issued  de Bank Loans - Loaffiliated Bank Loans - Acquired    Total Bonds - Subitotals - Issuer Obligations  |                          |   |                          |                         | 40.537               |                    |                 |
| Bonds Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - Parent, Sub<br>Bonds - SVO Identif<br>Bonds - Unaffiliate<br>7699999 - Bonds<br>Sweep Accounts<br>Exempt Money Market<br>All Other Money Mar<br>Qualfied Cash Pool<br>Other Cash Equivale | bisidiaries and Affiliates Bonds - Other Loan-Booked and Structured Securities  bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Issued  bisidiaries and Affiliates Bonds - Affiliated Bank Loans - Acquired  filed Funds - Exchange Traded Funds - St Identified by the SVO  ed Bank Loans - Unaffiliated Bank Loans - Issued  de Bank Loans - Loaffiliated Bank Loans - Acquired    Total Bonds - Subitotals - Issuer Obligations  |                          |   |                          |                         |                      |                    |                 |

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#### ANNUAL STATEMENT FOR THE YEAR 2021 OF THE Alliance Mutual Insurance Association

#### SCHEDULE E - PART 3 - SPECIAL DEPOSITS

|       |   | 1 2                                     |            | the Benefit of A                | its For<br>II Policyholders | All Other Special Deposits                  |                |  |
|-------|---|---|------------|---------------------------------|-----------------------------|---|----------------|--|
|       |   | Type of                                 | Purpose of | 3<br>Book/Adjusted              | 4<br>Fair                   | 5 6 Book/Adjusted Fair Carrying Value Value |                |  |
|       | States, etc.  | Deposits                                | Deposits   | Book/Adjusted<br>Carrying Value | Value                       | Carrying Value                              | Value          |  |
|       | l. AlabamaAL  |   |            | <b></b>                         |                             |   |                |  |
|       | P. Alaska AK  |   |            |                                 |                             |   |                |  |
|       | 3. Arizona AZ   | ,                                       |            |                                 |                             |   |                |  |
|       | I. Arkansas AR  |   |            |                                 |                             |   |                |  |
|       | 5. California CA<br>6. Colorado CO                      |   |            |                                 |                             |   | •              |  |
|       | Connecticut   |   |            |                                 |                             |   |                |  |
|       | 3. Delaware DE  | *************************************** |            |                                 |                             |   |                |  |
|       | District of Columbia DC                                 |   |            |                                 |                             |   |                |  |
|       | ). Florida FL   |   |            |                                 |                             |   |                |  |
|       | I. Georgia GA   |   |            |                                 |                             |   |                |  |
| 12    | 2. Hawaii HI  |   |            |                                 |                             |   |                |  |
| 13    | 3. Idaho ID   |   |            |                                 |                             |   |                |  |
| 14    | 1. Illinois IL  |   |            |                                 |                             |   |                |  |
|       | 5. Indiana IN   |   |            |                                 |                             | <b></b>                                     | <b></b>        |  |
|       | 3. lowa IA  |   |            |                                 |                             |   | <b>†</b>       |  |
|       | 7. Kansas KS  | ł                                       |            |                                 |                             | 1   | 1              |  |
|       | 3. Kentucky KY<br>3. Louisiana LA                       |   |            |                                 |                             | ·†  | I              |  |
|       | ). Louisiana LA<br>). Maine ME                          | l                                       |            |                                 |                             |   | I              |  |
|       | I, Maryland MD  |   |            |                                 |                             |   |                |  |
|       | 2. Massachusetts MA                                     |   |            |                                 |                             |   | ļ              |  |
|       | 3. Michigan MI  |   |            |                                 | ļ                           |   | <b>_</b>       |  |
|       | I. Minnesota MN   |   |            |                                 |                             |   |                |  |
|       | 5. Mississippi MS                                       |   |            |                                 |                             |   |                |  |
| 26    | 3. Missouri MO  |   |            |                                 |                             |   |                |  |
| 27    | 7. Montana MT   |   |            |                                 |                             |   |                |  |
|       | 3. Nebraska NE  |   |            |                                 |                             |   | <b>1</b>       |  |
|       | 9. Nevada NV  |   |            |                                 |                             |   |                |  |
|       | ), New Hampshire NH                                     |   |            |                                 |                             |   |                |  |
|       | 1. New Jersey NJ  |   |            |                                 |                             |   |                |  |
|       | 2. New Mexico NM 3. New York NY                         | ••••                                    |            | 4                               |                             |   |                |  |
|       | 4. North Carolina NC                                    |   |            |                                 |                             | 1   |                |  |
|       | 5. North Dakota ND                                      |   |            |                                 |                             |   |                |  |
|       | 6. Ohio OH  |   |            |                                 |                             |   |                |  |
|       | 7. Okiahoma OK  |   |            |                                 |                             |   | <b>-</b>       |  |
| 3     | 8. Oregon OR  |   |            |                                 | ļ                           |   | İ              |  |
| 3     | 9. Pennsylvania PA                                      |   |            |                                 |                             |   |                |  |
|       | 0. Rhode Island RI                                      |   |            |                                 |                             |   | <b></b>        |  |
|       | 1. South Carolina SC                                    |   |            |                                 | <b></b>                     |   | <b></b>        |  |
|       | 2. South Dakota SD                                      | <u> </u>                                | 1          |                                 | <b></b>                     |   | †              |  |
|       | 3. Tennessee TN   |   |            |                                 |                             |   |                |  |
|       | 4. Texas  | ļ                                       |            | 1                               |                             |   | I              |  |
|       | 6. Vermont VT   |   |            |                                 |                             |   |                |  |
|       | 7. VirginiaVA   |   |            |                                 |                             |   | ļ              |  |
|       | 8. Washington WA  |   |            |                                 | <u> </u>                    |   | <b></b>        |  |
|       | 9. West Virginia WV                                     |   |            |                                 | ļ                           |   |                |  |
|       | 0. Wisconsin WI   |   |            |                                 | <b></b>                     |   | · <del> </del> |  |
| 5     | 1. Wyoming WY   |   |            |                                 | <b>+</b>                    |   | +              |  |
|       | 2. American Samoa AS                                    |   |            | -                               | <b>+</b>                    |   | t              |  |
|       | 3. Guam GU  | <b></b>                                 |            | ·                               |                             | -   | †              |  |
|       | 4. Puerto Rico PR                                       |   |            |                                 | <b>†</b>                    | ·· <b>†</b>                                 |                |  |
|       | 5. US Virgin Islands VI                                 |   |            | 1                               | 1                           |   |                |  |
|       | 6. Northern Mariana Islands MP<br>7. Canada CAN         | <b></b>                                 |            |                                 | 1                           | I   |                |  |
|       | 7. Canada CAN 8. Aggregate Alien and Other OT           | XXX                                     | XXX        | I                               | I                           |   |                |  |
|       | Aggregate Alternand Other OT     Total                  | XXX                                     | XXX        |                                 |                             |   |                |  |
|       | LS OF WRITE-INS   | 1                                       |            |                                 |                             |   |                |  |
| 5801. |   |   |            |                                 |                             |   | ļ              |  |
| 5802  |   |   |            |                                 | <u> </u>                    |   | <b></b>        |  |
| 5803  |   |   |            |                                 |                             |   |                |  |
| 5898  | <ul> <li>Sum of remaining write-ins for Line</li> </ul> | XXX                                     | XXX        |                                 |                             |   | 1              |  |
| 5899  | 58 from overflow page                                   |   |            |                                 |                             |   | 1              |  |
|       | (Line 58 above)   | XXX                                     | XXX        |                                 | <u> </u>                    |   | 1              |  |