FILED
April 14, 2021
COMMISSION OF INSURANCE INSURANCE DIVISION OF IOWA

BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF) Division Case No. 108957
THOMAS A. MULLIGAN, NPN 1987027, DOB 11/14/XXXX, Respondent.	ORDER FOR SUSPENSION ORDER FOR SUSPENSION ORDER FOR SUSPENSION

This matter comes before the Iowa Insurance Commissioner upon submission of a Certificate of Noncompliance from the Iowa Department of Revenue ("Revenue").

- 1. Thomas A. Mulligan ("Respondent") submitted an application for insurance producer licensure designating the Commissioner as Respondent's agent for service of process and was issued an Iowa insurance producer license under National Producer Number 1987027.
- 2. Pursuant to Iowa Code § 272D.8 and Iowa Administrative Code rule 191—10.21, the Commissioner issued a notice to Respondent advising that the Certificate of Noncompliance was received and that Respondent's Iowa insurance producer license would be suspended in 30 days unless Revenue withdrew the Certificate of Noncompliance.
- 3. The Iowa Insurance Division ("Division") mailed the letter notifying Respondent of the intended suspension by restricted certified mail, return receipt requested, and by regular first-class mail on March 3, 2021, to the residence address located in the producer licensing record.
- 4. The notice sent by restricted certified mail was delivered on March 10, 2021. The notice sent by first-class mail has not been returned to date.
- The 30-day notice period has now passed and Revenue has not withdrawn the
 Certificate of Noncompliance.
 - 6. On April 5, 2021, Revenue confirmed the Respondent is still noncompliant.

7. The Commissioner has authority to order the suspension of the insurance producer license of Respondent pursuant to Iowa Code § 272D.8 and Iowa Administrative Code rule 191—10.21(2).

IT IS THEREFORE ORDERED that the Iowa insurance producer license of Thomas A.

Mulligan is suspended as of the date of this Order.

IT IS FURTHER ORDERED that Thomas Mulligan shall not be eligible for license reinstatement, reissuance, or renewal until all of the following has occurred:

- 1. Revenue issues a Withdrawal of the Certificate of Noncompliance;
- Respondent makes the appropriate Application for Reinstatement or Reissuance and this
 application is approved by the Commissioner or his designee;
- 3. Respondent pays all applicable fees; and
- 4. Respondent complies with all other insurance statutes and regulations.

So ORDERED this _____ day of April, 2021.

COMMISSIONER OF INSURANCE

Douglas M. Ommen

Commissioner of Insurance

Respectfully submitted,

/s/ Johanna Nagel

Johanna Nagel 1963 Bell Avenue, Suite 100 Johanna.nagel@iid.iowa.gov (515) 654-6563

Attorney for Iowa Insurance Division

CERTIFICATE OF SERVICE

County of Polk)
)
State of Iowa)

The undersigned certifies under penalty of perjury that he has entered the above order into the records of the Iowa Commissioner of Insurance and on the <u>14th</u> day of April, 2021, the foregoing order was delivered to the United States Postal Service, postage prepaid, for first class mail to:

Thomas A Mulligan 325 Adelphia Rd Farmingdale, NJ 07727

I further certify that the foregoing order was sent by email to: msimon@coast-ins.com & jmahan@coast-ins.com.

/s/ Hilary Foster
Hilary Foster

Hilary Foster Iowa Insurance Division

CC:

Iowa Department of Revenue Attn: License Sanction PO Box 10330 Des Moines, IA 50306-0330