FILED

August 9, 2021

COMMISSION OF INSURANCE INSURANCE DIVISION OF IOWA

## BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF	) Division Case No. 109718
COLTON JAMES UMBARGER, NPN 19664442, DOB 8/25/XXXX, Respondent.	ORDER FOR SUSPENSION  Output  Output

This matter comes before the Iowa Insurance Commissioner upon submission of a Certificate of Noncompliance from the Iowa Department of Revenue ("Revenue").

- 1. Colton James Umbarger ("Respondent") submitted an application for insurance producer licensure designating the Commissioner as Respondent's agent for service of process and was issued an Iowa insurance producer license under National Producer Number 19664442.
- 2. Pursuant to Iowa Code § 272D.8 and Iowa Administrative Code rule 191—10.21, the Commissioner issued a notice to Respondent advising that the Certificate of Noncompliance was received and that Respondent's Iowa insurance producer license would be suspended in 30 days unless Revenue withdrew the Certificate of Noncompliance.
- 3. The Iowa Insurance Division ("Division") mailed the letter notifying Respondent of the intended suspension by restricted certified mail, return receipt requested, and by regular first-class mail on May 13, 2021, to an address located in the producer licensing record.
- 4. The notice sent by restricted certified mail to the address in the licensing record was still "in transit" according to the Division's mail tracking software as of July 6, 2021.
  - 5. The notice sent to that address by first class mail has not been returned to date.
- 6. Because the notice sent by restricted certified mail to the address in the licensing record did not have a delivery confirmation, a second notice was mailed notifying Respondent of the intended suspension by restricted certified mail, return receipt requested, and by regular first-class mail on July 6, 2021, to the same address.

7. The second notice mailed restricted certified was delivered and signed for on July 12, 2021.

8. The notice second sent to that address by first class mail has not been returned to

date.

9. The 30-day notice period has now passed and Revenue has not withdrawn the

Certificate of Noncompliance.

10. On August 6, 2021, Revenue confirmed the Respondent is still noncompliant.

11. The Commissioner has authority to order the suspension of the insurance

producer license of Respondent pursuant to Iowa Code § 272D.8 and Iowa Administrative Code

rule 191—10.21(2).

IT IS THEREFORE ORDERED that the Iowa insurance producer license of Colton

James Umbarger is suspended as of the date of this Order.

IT IS FURTHER ORDERED that Colton James Umbarger shall not be eligible for

license reinstatement, reissuance, or renewal until all of the following has occurred:

1. Revenue issues a Withdrawal of the Certificate of Noncompliance;

2. Respondent makes the appropriate Application for Reinstatement or Reissuance and this

application is approved by the Commissioner or his designee;

3. Respondent pays all applicable fees; and

4. Respondent complies with all other insurance statutes and regulations.

So ORDERED this 9th day of August, 2021.

COMMISSIONER OF INSURANCE

Douglas M. Ommen

Commissioner of Insurance

Respectfully submitted,

Adam J. Kenworthy
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(515) 654-6562

**Attorney for Iowa Insurance Division** 

## **CERTIFICATE OF SERVICE**

County of Polk	)		
	)		
State of Iowa	)		

The undersigned certifies under penalty of perjury that he has entered the above order into the records of the Iowa Commissioner of Insurance and on the <u>10th</u> day of August, 2021, the foregoing order was delivered to the United States Postal Service, postage prepaid, for first class mail to:

Colton Umbarger 23910 Rottino Dr Katy, TX 77493-3645

I further certify that the foregoing order was sent by email to: <a href="mailto:coltroll/col

/s/ Hilary Foster
Hilary Foster
Iowa Insurance Division

CC:

Iowa Department of Revenue Attn: License Sanction PO Box 10330 Des Moines, IA 50306-0330