



# HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2023  
OF THE CONDITION AND AFFAIRS OF THE

## HarvestPlains Health of Iowa

NAIC Group Code 4807 4807 NAIC Company Code 15752 Employer's ID Number 47-3451750  
(Current) (Prior)

Organized under the Laws of Iowa, State of Domicile or Port of Entry IA

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ X ] No [ ]

Incorporated/Organized 03/18/2015 Commenced Business 01/01/2016

Statutory Home Office 207 Croker St, Ste 200, Des Moines, IA, US 50309  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1148 Broadway M/S 06-16  
(Street and Number) Tacoma, WA, US 98402, 763-321-3631  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1148 Broadway M/S 06-16, Tacoma, WA, US 98402  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1148 Broadway M/S 06-16  
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Internet Website Address www.HarvestPlainsHealth.com

Statutory Statement Contact Thuy Le, 253-517-4340  
(Name) (Area Code) (Telephone Number)  
thuy.le501@commonspirit.org, 253-517-4385  
(E-mail Address) (FAX Number)

### OFFICERS

CEO/President Charles William Hanson  
Corporate Secretary Shirley Ann Johnson

### OTHER

\_\_\_\_\_

### DIRECTORS OR TRUSTEES

Jerry White Isaac Tucker Thuy Mong Le

State of Washington SS:  
County of Pierce

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

*Charles William Hanson*

Charles William Hanson  
CEO/President

Shirley Ann Johnson  
Corporate Secretary

Subscribed and sworn to before me this 31st day of July 2023  
*Shirley Ann Johnson*

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....



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Corporate Secretary

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Kimberly Ann Brown

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State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

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Shirley Ann Johnson  
Corporate Secretary

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

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2. Date filed .....  
3. Number of pages attached.....

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	3,166,679		3,166,679	3,319,272
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....			0	0
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ .....58,127 ), cash equivalents (\$ .....0 ) and short-term investments (\$ .....74,153 ) .....	132,280		132,280	22,894
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	3,298,959	0	3,298,959	3,342,166
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	26,941		26,941	13,778
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....			0	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon ....			0	0
18.2 Net deferred tax asset .....			0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	0
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	3,325,900	0	3,325,900	3,355,944
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	3,325,900	0	3,325,900	3,355,944

**DETAILS OF WRITE-INS**

1101. ....				
1102. ....				



STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ ..... reinsurance ceded) .....			0	0
2. Accrued medical incentive pool and bonus amounts .....			0	0
3. Unpaid claims adjustment expenses .....			0	0
4. Aggregate health policy reserves, including the liability of \$ .....0 for medical loss ratio rebate per the Public Health Service Act .....			0	0
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	0
7. Aggregate health claim reserves .....			0	0
8. Premiums received in advance .....			0	0
9. General expenses due or accrued .....	3,159		3,159	5,509
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....	42,262		42,262	42,262
10.2 Net deferred tax liability .....			0	0
11. Ceded reinsurance premiums payable .....			0	0
12. Amounts withheld or retained for the account of others.....			0	0
13. Remittances and items not allocated .....			0	0
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	0
15. Amounts due to parent, subsidiaries and affiliates .....	41,110		41,110	32,752
16. Derivatives .....			0	0
17. Payable for securities .....			0	0
18. Payable for securities lending .....			0	0
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers).....			0	0
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	0
22. Liability for amounts held under uninsured plans .....			0	0
23. Aggregate write-ins for other liabilities (including \$ ..... current) .....	0	0	0	0
24. Total liabilities (Lines 1 to 23) .....	86,531	0	86,531	80,523
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	0
26. Common capital stock .....	XXX	XXX		
27. Preferred capital stock .....	XXX	XXX		
28. Gross paid in and contributed surplus .....	XXX	XXX	3,000,000	3,000,000
29. Surplus notes .....	XXX	XXX		0
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	239,369	275,420
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	3,239,369	3,275,420
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	3,325,900	3,355,943
<b>DETAILS OF WRITE-INS</b>				
2301. ....				
2302. ....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	0	0	0	0
2501. ....	XXX	XXX		
2502. ....	XXX	XXX		
2503. ....	XXX	XXX		

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX			
2. Net premium income ( including \$ ..... non-health premium income).....	XXX			
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$ ..... medical expenses) .....	XXX			
5. Risk revenue .....	XXX			
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	0	0	0
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....				
10. Other professional services .....				
11. Outside referrals .....				
12. Emergency room and out-of-area .....				
13. Prescription drugs .....				
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....				
16. Subtotal (Lines 9 to 15) .....	0	0	0	0
<b>Less:</b>				
17. Net reinsurance recoveries .....				
18. Total hospital and medical (Lines 16 minus 17) .....	0	0	0	0
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$ ..... cost containment expenses .....				0
21. General administrative expenses .....		6,108	4,870	14,216
22. Increase in reserves for life and accident and health contracts (including \$ ..... increase in reserves for life only) .				0
23. Total underwriting deductions (Lines 18 through 22).....	0	6,108	4,870	14,216
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	(6,108)	(4,870)	(14,216)
25. Net investment income earned .....		49,615	13,102	32,975
26. Net realized capital gains (losses) less capital gains tax of \$ .....		(79,558)	(3,933)	(12,071)
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	(29,943)	9,169	20,904
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ..... ) (amount charged off \$ ..... )].....				
29. Aggregate write-ins for other income or expenses .....	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	(36,051)	4,299	6,688
31. Federal and foreign income taxes incurred .....	XXX			1,404
32. Net income (loss) (Lines 30 minus 31) .....	XXX	(36,051)	4,299	5,284
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX			
0602. ....	XXX			
0603. ....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	0	0
0701. ....	XXX			
0702. ....	XXX			
0703. ....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	3,275,421	3,270,137	3,270,137
34. Net income or (loss) from Line 32 .....	(36,051)	4,299	5,284
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....			
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....			
39. Change in nonadmitted assets .....			0
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in .....			0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in .....	0	0	0
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47) .....	(36,051)	4,299	5,284
49. Capital and surplus end of reporting period (Line 33 plus 48)	3,239,370	3,274,436	3,275,421
<b>DETAILS OF WRITE-INS</b>			
4701. PY tax adjustment .....		0	0
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

## STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	0	0	0
2. Net investment income .....	32,271	18,797	38,760
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	32,271	18,797	38,760
5. Benefit and loss related payments .....	0	0	0
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	8,458	4,947	11,654
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	0	0	0
10. Total (Lines 5 through 9) .....	8,458	4,947	11,654
11. Net cash from operations (Line 4 minus Line 10) .....	23,813	13,850	27,106
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	3,176,957	920,904	1,465,452
12.2 Stocks .....	1	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	3,176,958	920,904	1,465,452
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	3,099,743	924,835	1,518,020
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	3,099,743	924,835	1,518,020
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	77,215	(3,931)	(52,568)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	8,358	4,607	10,693
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	8,358	4,607	10,693
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	109,386	14,526	(14,769)
19. Cash, cash equivalents and short-term investments:			



Exhibit of Premiums, Enrollment and Utilization

**N O N E**

Claims Payable - Aging Analysis of Unpaid Claims

**N O N E**

Underwriting and Investment Exhibit

**N O N E**

## NOTES TO FINANCIAL STATEMENTS

### Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The financial statement of HarvestPlains Health (HVV or the company) are presented on the basis of accounting practice prescribed or permitted by the State of Iowa Department of Insurance.

The Iowa Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Iowa for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Iowa Insurance Law. The National Association of Insurance Commissioners (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Iowa. The State of Iowa has not adopted any prescribed accounting practices that differ from those found in NAIC SAP.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Iowa Department of Insurance is show below:

	SSAP #	F/S Page	F/S Line #	2023	2022
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ (36,051)	\$ 5,284
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ (36,051)	\$ 5,284
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 3,239,369	\$ 3,275,420
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 3,239,369	\$ 3,275,420

#### B. Use of Estimates in the Preparation of the Financial Statements

No significant changes.

#### C. Accounting Policy

**Revenue Recognition:** The Company provides health benefits to Medicare-eligible members under contract with the Centers for Medicare and Medicaid Services (CMS). Premium revenue is fixed in advance of the periods covered and is not generally subject to significant accounting estimates.

**Recognition of Health Care Costs:** The Company arranges for medical care for its members through a combination of capitation agreements and fee-for-service programs with medical services providers. Medical and hospital expenses are recorded in the period the member receives or is entitled to the services. These expenses include payments to primary care physicians, specialists, hospitals, pharmacies and other medical services providers.

##### (1) Basis for Short-Term Investments

No significant changes

##### (2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method

Bonds are stated at amortized cost using the interest method.

##### (3) Basis for Common Stocks

No significant changes

##### (4) Basis for Preferred Stocks

No significant changes

##### (5) Basis for Mortgage Loans

No significant changes

##### (6) Basis for Loan-Backed Securities and Adjustment Methodology - None.

##### (7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities

No significant changes

##### (8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities

No significant changes

##### (9) Accounting Policies for Derivatives

No significant changes

##### (10) Anticipated Investment Income Used in Premium Deficiency Calculation

No significant changes

##### (11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses

No significant changes

##### (12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period

No significant changes

##### (13) Method Used to Estimate Pharmaceutical Rebate Receivables

No significant changes

#### D. Going Concern

## NOTES TO FINANCIAL STATEMENTS

None

## E. Subcomponents and Calculation of Adjusted Surplus and Total Admitted Goodwill

- (1) Capital & Surplus  
Less:
- (2) Admitted Positive Goodwill  
(3) Admitted EDP Equipment & Operating System Software  
(4) Admitted Net Deferred Taxes
- (5) Adjusted Capital and Surplus (Line 1-2-3-4)  
(6) Limitation on amount of goodwill (adjusted capital and surplus times 10% goodwill limitation [Line 5\*10%])  
(7) Current period reported Admitted Goodwill  
(8) Current Period Admitted Goodwill as a % of prior period Adjusted Capital and Surplus (Line 7/Line 5)

Calculation of Limitation Using Prior Quarter Numbers	Current Reporting Period
	XXX
	XXX
	XXX
	XXX
\$	-
\$	-
XXX	XXX
XXX	0.0%

**NOTE 4 Discontinued Operations**

No significant changes

**NOTE 5 Investments**

- A. Mortgage Loans, including Mezzanine Real Estate Loans
- 
- None

- B. Debt Restructuring
- 
- No significant changes

<u>Current Year</u>	<u>Prior Year</u>
---------------------	-------------------

- C. Reverse Mortgages
- 
- No significant changes

- D. Loan-Backed Securities
- 
- (1) Description of Sources Used to Determine Prepayment Assumptions - None

- (2) OTTI recognized 1st Quarter - None

Recognized OTTI securities - None

- (3)

- (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains): None.

- (5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary - None

## E. Dollar Repurchase Agreements and/or Securities Lending Transactions

- (1) Policy for Requiring Collateral or Other Security No significant changes
- 
- (2) Disclose the Carrying Amount and Classification of Both Assets and Liabilities No significant changes

- (3) Collateral Received

- a. Aggregate Amount Collateral Received -None
- 
- b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged -None

- c. Information about Sources and Uses of Collateral
- 
- No significant changes

- (4) Aggregate Value of the Reinvested Collateral
- 
- No significant changes

- (5) Collateral Reinvestment -None

- (6) Detail on Collateral Transactions Not Permitted by Contract or Custom to Sell or Repledge - None.

- (7) Collateral for securities lending transactions that extend beyond one year from the reporting date. -None

## F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

- (1) Company Policies or Strategies for Repo Programs - None

- (2) Type of Repo Trades Used -None

- (3) Original (Flow) & Residual Maturity-None

- (4) Fair Value Securities Sold and/or Acquired that Resulted in Default - None

- (5) Securities "Sold" Under Repo – Secured Borrowing -None

- (6) Securities Sold Under Repo – Secured Borrowing by NAIC Designation -None

## NOTES TO FINANCIAL STATEMENTS

---

- (2) Type of Repo Trades Used -None
- (3) Original (Flow) & Residual Maturity -None
- (4) Fair Value Securities Sold and/or Acquired that Resulted in Default - None
- (5) Fair Value of Securities Acquired Under Repo – Secured Borrowing -None
- (6) Securities Acquired Under Repo – Secured Borrowing by NAIC Designation -None
- (7) Collateral Provided – Secured Borrowing -None
- (8) Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity -None
- (9) Recognized Receivable for Return of Collateral – Secured Borrowing -None
- (10) Recognized Liability to Return Collateral – Secured Borrowing (Total) -None

H. Repurchase Agreements Transactions Accounted for as a Sale

- (1) Company Policy or Strategies for Engaging in Repo Programs - None
- (2) Type of Repo Trades Used -None
- (3) Original (Flow) & Residual Maturity -None
- (4) Fair Value Securities Sold and/or Acquired that Resulted in Default - None
- (5) Securities "Sold" Under Repo – Sale -None
- (6) Securities Sold Under Repo – Sale by NAIC Designation -None
- (7) Proceeds Received – Sale -None
- (8) Cash & Non-Cash Collateral Received – Sale by NAIC Designation -None
- (9) Recognized Forward Resale Commitment -None

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

- (1) Company Policy or Strategies for Engaging in Repo Programs - None
- (2) Type of Repo Trades Used -None
- (3) Original (Flow) & Residual Maturity -None
- (4) Fair Value Securities Sold and/or Acquired that Resulted in Default - None
- (5) Securities Acquired Under Repo – Sale -None
- (6) Securities Acquired Under Repo – Sale by NAIC Designation -None
- (7) Proceeds Provided - Sale -None
- (8) Recognized Forward Resale Commitment -None

J. Real Estate

None

K. Low Income Housing tax Credits (LIHTC)

None

L. Restricted Assets

No significant changes

M. Working Capital Finance Investments

- 1. Aggregate Working Capital Finance Investments (WCFI) Book/Adjusted Carrying Value by NAIC Designation:
- 2. Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

	Book/Adjusted Carrying Value
a. Up to 180 Days	
b. 181 to 365 Days	
c. Total (a+b)	\$ -

- 3. Any Events of Default or Working Capital Finance Investments - None



# NOTES TO FINANCIAL STATEMENTS

Not applicable

Asset Type

Percent Share

- (1) Cash
- (2) Cash Equivalents
- (3) Short-Term Investments
- (4) Total

**NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies**

None

**NOTE 7 Investment Income**

No significant changes.

**Note 8 Derivative Instruments**

A. Derivatives under SSAP No. 86—Derivatives

- (1) Market Risk, Credit Risk and Cash Requirements

No significant changes

- (2) Objectives for Derivative Use

No significant changes

- (3) Accounting Policies for Recognition and Measurement

No significant changes

- (4) Identification of Whether Derivative Contracts with Financing Premiums

No significant changes

- (5) Net Gain or Loss Recognized

No significant changes

- (6) Net Gain or Loss Recognized from Derivatives that no Longer Qualify for Hedge Accounting

No significant changes

- (7) Derivatives Accounted for as Cash Flow Hedges

No significant changes

- (8) Total Premium Costs for Contracts - None

B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees

- (1) Discussion of Hedged Item/Hedging Instruments and Hedging Strategy

No significant changes

- (2) Recognition of gains/losses and deferred assets and liabilities

a. Scheduled Amortization -None

b. Total Deferred Balance \* -None

c. Reconciliation of Amortization: -None

d. Open Derivative Removed from SSAP No. 108 and Captured in Scope of SSAP No. 86 -None

e. Open Derivative Removed from SSAP No. 86 and Captured in Scope of SSAP No. 108 -None

- (3) Hedging Strategies Identified as No Longer Highly Effective -None

- (4) Hedging Strategies Terminated -None

**NOTE 9 Income Taxes**

No significant changes.

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

- C. Transactions with related party who are not reported on Schedule Y -None

**NOTE 11 Debt**

A. Debt Including Capital Notes

No significant changes

B. FHLB (Federal Home Loan Bank) Agreements

- (1) Nature of the Agreement - None

- (2) FHLB Capital Stock -None

- (3) Collateral Pledged to FHLB -None

## NOTES TO FINANCIAL STATEMENTS

---

- A. Defined Benefit Plan  
No significant changes
- (1) Change in benefit obligation
    - a. Pension Benefits -No significant changes
  - (2) Change in plan assets -No significant changes
  - (3) Funded status -No significant changes
  - (4) Components of net periodic benefit cost-  
None
  - (5) Amounts in unassigned funds (surplus) recognized as components of net periodic benefit cost -No significant changes
  - (6) Amounts in unassigned funds (surplus) that have not yet been recognized as components of net periodic benefit cost -No significant changes
  - (7) Weighted-average assumptions used to determine net periodic benefit cost as of the end of current period: -No significant changes
  - (8) Accumulated Benefit Obligation for Defined Benefit Pension Plans  
No significant changes
  - (9) For Postretirement Benefits Other Than Pensions, the Assumed Health Care Cost Trend Rate(s)  
No significant changes
  - (10) The following estimated future payments, which reflect expected future service, as appropriate, are expected to be paid in the years indicated: -No significant changes
  - (11) Estimate of Contributions Expected to be Paid to the Plan  
No significant changes
  - (12) Amounts and Types of Securities Included in Plan Assets  
No significant changes
  - (13) Alternative Method Used to Amortize Prior Service Amounts or Net Gains and Losses  
No significant changes
  - (14) Substantive Comment Used to Account for Benefit Obligations  
No significant changes
  - (15) Cost of Providing Special or Contractual Termination Benefits Recognized  
No significant changes
  - (16) Reasons for Significant Gains/Losses Related to Changes in Defined Benefit Obligation and any Other Significant Change in the Benefit Obligations Assets  
Not Otherwise Apparent  
No significant changes
  - (17) Accumulated Postretirement and Pension Benefit Obligation and Fair Value of Plan Assets for Defined Postretirement and Pension Benefit Plans  
No significant changes
  - (18) Full Transition Surplus Impact of SSAP 102  
No significant changes
- B. Investment Policies and Strategies  
No significant changes
- C. The fair value of each class of plan assets - No significant changes
- Basis Used to Determine Expected Long-Term Rate-of-Return
- D. No significant changes
- E. Defined Contribution Plan  
No significant changes
- F. Multiemployer Plans  
No significant changes
- G. Consolidated/Holding Company Plans  
No significant changes
- H. Postemployment Benefits and Compensated Absences  
No significant changes
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)  
No significant changes

**NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**  
No significant changes.

**NOTE 14 Liabilities, Contingencies and Assessments**

## NOTES TO FINANCIAL STATEMENTS

(1) Description of any Loaned Securities

No significant changes

(2) Servicing Assets and Servicing Liabilities - None

(3) When Servicing Assets and Liabilities are Measured at Fair Value

No significant changes

(4) Securitizations, Asset-Based Financing Arrangements and Similar Transfers Accounted for as Sales - None

(5) Disclosure Requirements for Transfers of Assets Accounted for as Secured Borrowing

No significant changes

(6) Transfer of Receivables with Recourse

No significant changes

(7) Securities Underlying Repurchase and Reverse Repurchase Agreements, Dollar Repurchase and Dollar Reverse Repurchase Agreements

No significant changes

1	2	3	4	5	6	7	8
Identification of Transaction	BACV at Time of Transfer	Original Reporting Schedule of the Transferred Assets	Amount Derecognized from Sale Transaction	Amount that continues to be recognized in the statement of financial position (Col. 2 minus 4)	BACV of acquired interests in transferred assets	Reporting Schedule of Acquired Interests	Percentage of interests of a reporting entity's transferred assets acquired by affiliated entities

C. Wash Sales

(1) Description of the Objectives Regarding These Transactions - None

(2) The details by NAIC designation 3 or below, or unrated of securities sold during the current quarter and reacquired within 30 days of the sale date are:None

**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

None.

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable

**NOTE 20 Fair Value Measurements**

A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

The Company reports investments at amortized cost.

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy -None

(3) Policies when Transfers Between Levels are Recognized

The Company has no transfers between fair-value levels.

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement - None

(5) Fair Value Disclosures for Derivative Assets and Liabilities - None

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - None

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.-None

D. Not Practicable to Estimate Fair Value-None

E. NAV Practical Expedient Investments - None

**NOTE 21 Other Items**

None.

**NOTE 22 Events Subsequent**

Subsequent events have been considered through for these statutory financial statements which are to be issued on. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

## NOTES TO FINANCIAL STATEMENTS

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes [ ] No [X]

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year -None

(3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance.-None

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year -None

(5) ACA Risk Corridors Receivable as of Reporting Date -None

### NOTE 25 Change in Incurred Claims and Claim Adjustment Expenses

#### A. Change in Incurred Losses and Loss Adjustment Expenses

The following schedule represents the changes in claims unpaid, unpaid claims adjustment expense and aggregate health claim reserves from the beginning of the year to the end of the period.

	2023	2022
Beg Liab for unpaid losses and loss adjustment expenses	\$ -	\$ -
Health Care Receivable	-	-
Beg Liab for unpaid losses and loss adjustment expenses, net of Health Care Rec	-	-
Incurred related to:		
Current Year	-	-
Prior Year	-	-
Total Paid	-	-
Ending Liability for unpaid losses and loss adjustment expense	-	-
Health care receivable	-	-
<u>Ending Liability for unpaid losses and loss adjustment expense, net of Health Care Rec.</u>	<u>-</u>	<u>-</u>

Reserves as of December 31, 2022 were \$0. As of June 30, 2023, \$0 has been reversed for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$0 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$0 favorable prior year development since December 31, 2022 to June 30, 2023. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

B. Information about Significant Changes in Methodologies and Assumptions - None.

### NOTE 26 Intercompany Pooling Arrangements

No significant changes

### NOTE 27 Structured Settlements

Not applicable

### NOTE 28 Health Care Receivables

No significant changes

### NOTE 29 Participating Policies

Not applicable to the reporting Company.

### NOTE 30 Premium Deficiency Reserves

No significant changes.

### NOTE 31 Anticipated Salvage and Subrogation

No significant changes



# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.  
.....
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 08/12/2019
- 6.4 By what department or departments?  
Iowa Department of Insurance .....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ X ] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:  
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [ X ] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: .....
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [ X ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). .....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [ X ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). .....

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ ] No [ X ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [ X ]
- 11.2 If yes, give full and complete information relating thereto: .....
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ ] No [ X ]
- 14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....0	\$ .....
14.22 Preferred Stock .....	\$ .....0	\$ .....
14.23 Common Stock .....	\$ .....0	\$ .....
14.24 Short-Term Investments .....	\$ .....0	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....0	\$ .....
14.26 All Other .....	\$ .....0	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....0	\$ .....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [ X ]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ X ]  
If no, attach a description with this statement. ....
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....0
- 16.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....0
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....0

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon Asset Servicing .....	BNY Mellon Center, 500 Grant Street, Suite 410, Pittsburgh, PA 15258

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Catholic Health Initiatives – Treasury department .....	A.....
BNY Mellon Asset Management North America .....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ X ] No [ ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105764 .....	BNY Mellon Asset Management North America .....	.....	SEC .....	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions: .....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent ..... %

1.2 A&H cost containment percent ..... %

1.3 A&H expense percent excluding cost containment expenses ..... %

2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$.....

2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

2.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$.....

3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ ] No [ X ]

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ X ]

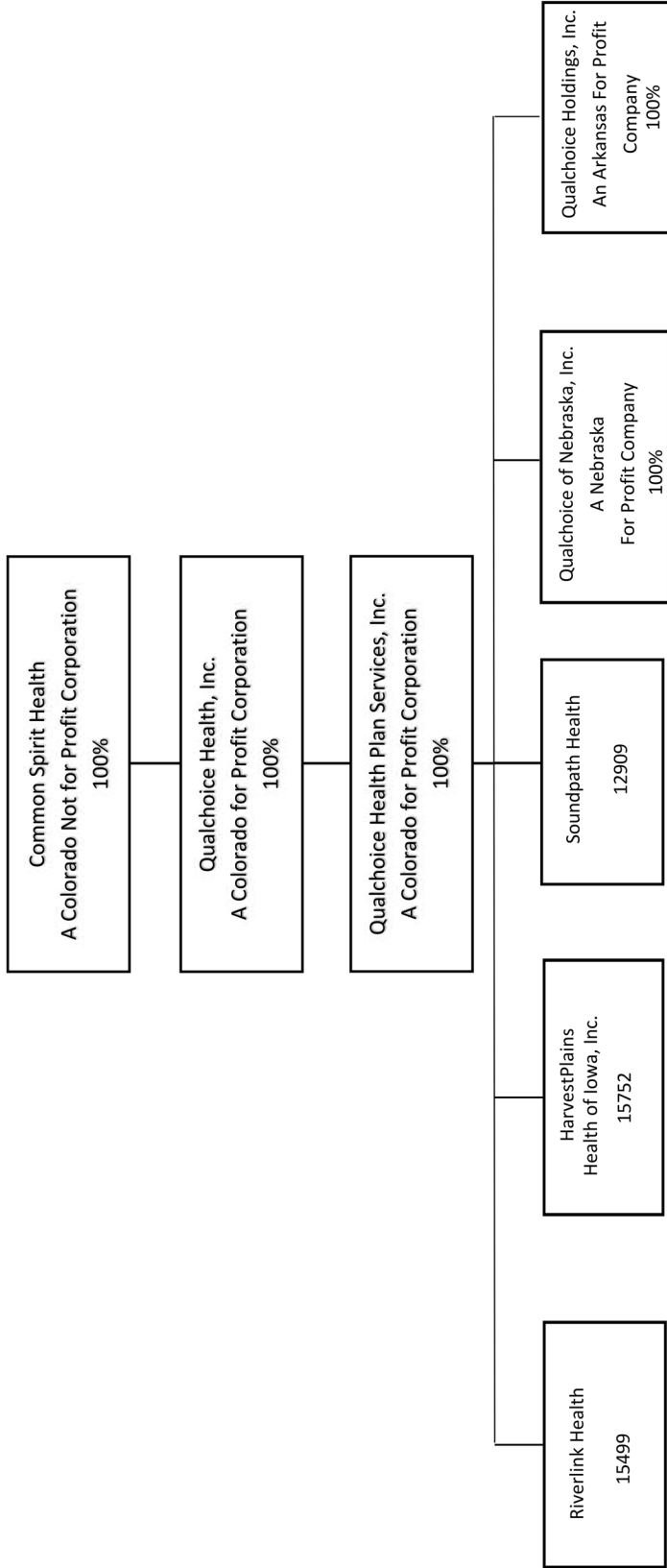


STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa  
**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer
<b>NONE</b>							







# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
<b>AUGUST FILING</b>	
2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	NO

Explanation:

- 1.
- 2.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]
2. Communication of Internal Control Related Matters Noted in Audit (2nd Quarter Only) [Document Identifier 222]



STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)		

**NONE**

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	Book Carry Forward
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book Carry Forward
<b>BONDS</b>						
1. NAIC 1 (a)	2,807,570	1,322,522	1,386,180	2,564	2,807,570	
2. NAIC 2 (a)	465,636	57,171	29,065	613	465,636	
3. NAIC 3 (a)	0	0	0	0	0	
4. NAIC 4 (a)	0	0	0	0	0	
5. NAIC 5 (a)	0	0	0	0	0	
6. NAIC 6 (a)	0	0	0	0	0	
7. Total Bonds	3,273,206	1,379,693	1,415,245	3,177	3,273,206	
<b>PREFERRED STOCK</b>						
8. NAIC 1	0	0	0	0	0	
9. NAIC 2	0	0	0	0	0	
10. NAIC 3	0	0	0	0	0	
11. NAIC 4	0	0	0	0	0	
12. NAIC 5	0	0	0	0	0	
13. NAIC 6	0	0	0	0	0	
14. Total Preferred Stock	0	0	0	0	0	
15. Total Bonds and Preferred Stock	3,273,206	1,379,693	1,415,245	3,177	3,273,206	

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... 39,378 ; NAIC 2 \$ ..... 34,774 ; NAIC 3 \$ ..... 0 ; NAIC 4 \$ ..... 0 ; NAIC 5 \$ ..... 0 ; NAIC 6 \$ ..... 0



STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**SCHEDULE DA - PART 1**

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
7709999999 Totals	74,152	xxx	73,698	0	482

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	
2. Cost of short-term investments acquired .....	112,542	
3. Accrual of discount .....	463	
4. Unrealized valuation increase (decrease) .....	0	
5. Total gain (loss) on disposals .....	(3)	
6. Deduct consideration received on disposals .....	38,851	
7. Deduct amortization of premium .....	(2)	
8. Total foreign exchange change in book/adjusted carrying value .....	0	
9. Deduct current year's other than temporary impairment recognized .....	0	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	74,153	0
11. Deduct total nonadmitted amounts .....	0	
12. Statement value at end of current period (Line 10 minus Line 11)	74,153	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	
2. Cost of cash equivalents acquired .....	14,946	
3. Accrual of discount .....	21	
4. Unrealized valuation increase (decrease) .....	0	
5. Total gain (loss) on disposals .....	6	
6. Deduct consideration received on disposals .....	14,972	
7. Deduct amortization of premium .....	1	
8. Total foreign exchange change in book/adjusted carrying value .....	0	
9. Deduct current year's other than temporary impairment recognized .....	0	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	0
11. Deduct total nonadmitted amounts .....	0	
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa  
**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost
91282C-GL-9	UNITED STATES TREASURY NOTE/BOND		04/03/2023	RBS SECURITIES INC./		281,565
91282C-6V-7	UNITED STATES TREASURY NOTE/BOND		06/01/2023	VARIOUS		274,625
91282C-HB-0	UNITED STATES TREASURY NOTE/BOND		06/01/2023	DEUTSCHE BANK/ALEX B		326,377
91282C-HD-6	UNITED STATES TREASURY NOTE/BOND		06/20/2023	BANK OF MONTREAL LN		14,877
91282C-HH-7	UNITED STATES TREASURY NOTE/BOND		06/20/2023	BANK OF MONTREAL LN		189,086
<b>Subtotal - Bonds - U.S. Governments</b>						
03066T-AC-5	AMERIPREDIT AUTOMOBILE RECEIVABLES TRUST		05/02/2023	NELLS FARGO SECS LLC		18,376
08051G-FS-3	BANK OF AMERICA CORP		04/14/2023	BANC/AMERICA SECUR L		19,565
08661R-AE-1	BMI VEHICLE OWNER TRUST 2020-A		04/19/2023	NATL FINANCIAL SERVI		19,280
11120V-AF-0	BRIXXOR OPERATING PARTNERSHIP LP		05/24/2023	PNC BANK NA/PNC CAP		9,701
14486C-AM-4	CARRIER GLOBAL CORP		04/14/2023	MORGAN STANLEY & CO		9,589
17296T-MJ-0	CITIGROUP INC		04/14/2023	CITIGROUP GLOBAL MKT		9,875
254683-CZ-6	DISCOVER CARD EXECUTION NOTE TRUST		06/21/2023	MERRILL LYNCH PIERCE		4,989
341081-GR-2	FLORIDA POWER & LIGHT CO		05/15/2023	JPM SECURITIES-FIXED		2,989
34528L-AE-5	FORD CREDIT AUTO LEASE TRUST 2022-A		04/20/2023	PERSHING & COMPANY		19,584
45866F-AD-6	INTERCONTINENTAL EXCHANGE INC		04/14/2023	NATL FINANCIAL SERVI		14,659
46825H-KC-3	JPMORGAN CHASE & CO		04/14/2023	CITIGROUP GLOBAL MKT		19,450
58769V-AD-2	MERCEDES-BENZ AUTO RECEIVABLES TRUST 202		05/02/2023	TORONTO DOMINION SEC		14,355
617446-8C-6	MORGAN STANLEY		04/14/2023	JPM SECURITIES-FIXED		19,756
6311Y-AG-6	MSDAQ INC		06/22/2023	GOLDMAN SACHS & CO		2,988
65480U-AB-7	NISSAN AUTO RECEIVABLES 2023-A OWNER TRU		04/18/2023	BK OF NY/MI ZHUO SEC		4,000
760759-AU-4	REPUBLIC SERVICES INC		04/14/2023	MARKETNESS CORP		9,678
929160-BA-6	VULCAN MATERIALS CO		04/14/2023	U.S. BANCORP INVESTM		15,118
67077M-AZ-1	NUTRIEN LTD	A	04/14/2023	PERSHING & COMPANY		10,137
<b>Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						
25099999997	Total - Bonds - Part 3					224,069
25099999998	Total - Bonds - Part 5					1,320,589
<b>Subtotal - Bonds</b>						
25099999999	Total - Bonds					1,320,589
45099999997	Total - Preferred Stocks - Part 3					0
45099999998	Total - Preferred Stocks - Part 5					0
45099999999	Total - Preferred Stocks					0
59899999997	Total - Common Stocks - Part 3					0
59899999998	Total - Common Stocks - Part 5					0
59899999999	Total - Common Stocks					0
59999999999	Total - Preferred and Common Stocks					0
60099999999	Totals					1,320,589

STATEMENT AS OF JUNE 30, 2023 OF THE HarvestPlains Health of Iowa  
**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter																
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) or Disposal
0109999999	Subtotal - Bonds - U.S. Governments					1,155,190	1,183,000	1,164,694	486,686	0	1,897	0	1,897	0	1,167,262	
020002-AZ-4	ALLSTATE CORP/THE		06/15/2023	MATURITY		15,000	15,000	16,035	15,146	0	(146)	0	(146)	0	15,000	
12597P-AC-2	CMH EQUIPMENT TRUST 2020-A		06/15/2023	PAYDOWN		295	295	295	295	0	0	0	0	0	295	
14316H-AC-3	CARMAX AUTO OWNER TRUST 2021-1		06/15/2023	PAYDOWN		2,537	2,537	2,537	2,537	0	0	0	0	0	2,537	
14317J-AD-9	CARMAX AUTO OWNER TRUST 2021-4		06/15/2023	PAYDOWN		791	791	791	791	0	0	0	0	0	791	
149130-25-7	CATERPILLAR FINANCIAL SERVICES CORP		05/02/2023	PERSHING & COMPANY		14,909	15,000	16,518	15,448	0	(163)	0	(163)	0	15,286	
2442E-JR-8	JOHN DEERE CAPITAL CORP		05/02/2023	MORGAN STANLEY & CO		9,942	10,000	10,912	10,325	0	(108)	0	(108)	0	10,218	
2442ZE-IB-1	JOHN DEERE CAPITAL CORP		05/02/2023	MERRILL LYNCH PIERCE		14,395	15,000	14,994	14,995	0	(5)	0	(5)	0	14,996	
29379V-CC-5	ENTERPRISE PRODUCTS OPERATING LLC		05/05/2023	BARCLAYS CAPITAL FIX		14,257	14,000	14,070	14,000	0	0	0	0	0	14,065	
34632D-AD-0	FORD CREDIT AUTO LEASE TRUST 2021-A		04/15/2023	PAYDOWN		2,599	2,599	2,599	2,599	0	0	0	0	0	2,599	
36261L-AC-5	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		06/16/2023	PAYDOWN		3,242	3,242	3,242	3,241	0	0	0	0	0	3,242	
36262V-AC-8	GM FINANCIAL CONSUMER AUTOMOBILE RECEIVA		06/20/2023	PAYDOWN		4,251	4,251	4,251	4,251	0	0	0	0	0	4,251	
4128AH-AC-4	HARLEY-DAVIDSON MOTORCYCLE TRUST 2021-A		06/15/2023	PAYDOWN		1,894	1,894	1,894	1,894	0	0	0	0	0	1,894	
43813K-AC-6	HONDA AUTO RECEIVABLES 2020-3 OWNER TRUS		06/18/2023	PAYDOWN		1,910	1,910	1,910	1,910	0	0	0	0	0	1,910	
45866F-AD-6	INTERCONTINENTAL EXCHANGE INC		06/20/2023	JPM SECURITIES-FIXED		14,619	15,000	14,659	14,659	0	(22)	0	(22)	0	14,682	
58013M-FE-9	MCDONALD'S CORP		04/01/2023	MATURITY		15,000	15,000	16,079	15,067	0	(67)	0	(67)	0	15,000	
59893Y-AF-2	MERCK & CO INC		05/18/2023	MATURITY		15,000	15,000	16,033	15,133	0	(133)	0	(133)	0	15,000	
6937R-RZ-4	PACCAR FINANCIAL CORP		05/23/2023	PERSHING & COMPANY		14,488	15,000	14,810	14,903	0	35	0	35	0	14,938	
71644B-FB-9	PEPSICO INC		04/26/2023	MERRILL LYNCH PIERCE		14,707	15,000	15,060	15,018	0	(7)	0	(7)	0	15,010	
80268E-AC-0	SANTANDER DRIVE AUTO RECEIVABLES TRUST 2		06/15/2023	PAYDOWN		6,783	6,783	6,710	6,783	0	0	0	0	0	6,783	
89236T-HU-2	TOYOTA MOTOR CREDIT CORP		06/20/2023	PERSHING & COMPANY		29,186	30,000	30,015	30,006	0	(3)	0	(3)	0	30,003	
89236Y-AC-0	TOYOTA AUTO RECEIVABLES 2020-0 OWNER TRU		06/15/2023	PAYDOWN		2,005	2,005	2,005	2,005	0	0	0	0	0	2,005	
92200B-AA-9	VERIZON OWNER TRUST 2020-B		06/20/2023	PAYDOWN		2,867	2,867	2,867	2,867	0	0	0	0	0	2,867	
98163G-AE-1	WORLD OMNI AUTOMOBILE LEASE SECURITIZATI		05/15/2023	PAYDOWN		15,000	15,000	14,999	15,000	0	0	0	0	0	15,000	
1109999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					215,677	218,174	223,284	183,431	0	(501)	0	(501)	0	218,372	
2509999997	Total - Bonds - Part 4					1,370,867	1,401,174	1,387,978	670,317	0	1,396	0	1,396	0	1,395,634	
2509999998	Total - Bonds - Part 5					1,370,867	1,401,174	1,387,978	670,317	0	1,396	0	1,396	0	1,395,634	
2509999999	Total - Bonds					0	0	0	0	0	0	0	0	0	0	
4509999997	Total - Preferred Stocks - Part 4					0	0	0	0	0	0	0	0	0	0	
4509999998	Total - Preferred Stocks - Part 5					0	0	0	0	0	0	0	0	0	0	
4509999999	Total - Preferred Stocks					0	0	0	0	0	0	0	0	0	0	
5989999997	Total - Common Stocks - Part 4					0	0	0	0	0	0	0	0	0	0	
5989999998	Total - Common Stocks - Part 5					0	0	0	0	0	0	0	0	0	0	
5989999999	Total - Preferred and Common Stocks					1,370,867	1,387,978	1,387,978	670,317	0	1,396	0	1,396	0	1,385,634	
6009999999	- Totals															

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**NONE**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**NONE**





Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

**N O N E**