



BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF)	Division Case No. 119229
)	
SHAWN GOODMAN,)	ORDER AND
NPN 20286844,)	CONSENT TO ORDER
DOB 07/09/XXXX,)	
)	
Respondent.)	

NOW THEREFORE, upon motion of the Iowa Insurance Division (“Division”) and by the consent of Respondent Shawn Goodman, pursuant to the provisions of Iowa Code chapter 522B—Licensing of Insurance Producers, the Commissioner enters the following Order and Consent to Order (“Consent Order”):

I. PARTIES AND JURISDICTION

1. The Commissioner of Insurance, Douglas M. Ommen, directly and through his designees, administers and enforces Iowa Code chapter 522B—Licensing of Insurance Producers and Iowa Administrative Code chapter 191—10 pursuant to Iowa Code § 505.8.
2. Shawn Goodman (“Goodman”) is an individual with a last-known residence address of 37810 15th Ave., Zephyrhills, Florida 33542.
3. Goodman is and has been licensed in the state of Iowa as a nonresident insurance producer since April 18, 2022. Goodman is licensed under National Producer Number 20286844.
4. Pursuant to Iowa Code § 505.28, Goodman consented to the jurisdiction of the Commissioner of Insurance by committing acts governed by chapter 522B.
5. From on or about September 3, 2022, to present, Goodman engaged in acts and practices within the state of Iowa constituting cause for probation, suspension, or revocation of

Goodman's insurance producer license; cease and desist orders; and civil penalties or other relief under Iowa Code chapters 505, 507B, and 522B, and rules adopted pursuant to these chapters.

II. FINDINGS OF FACT

6. Goodman applied for a nonresident insurance producer license with the Division by submitting a Uniform Application for Individual Producer License ("Uniform Application") through the National Insurance Producer Registry. In submitting the Uniform Application, Goodman designated the Commissioner as an agent for service of process.
7. The Division issued Goodman a license as a nonresident insurance producer on April 18, 2022, and assigned to Goodman National Producer Number 20286844.
8. On September 3, 2022, Goodman was charged with one felony count of delivery of a controlled substance and one felony count of possession of cocaine in Hillsborough County, Florida, under case number 22-CF-012404-A. Goodman was arraigned on October 19, 2022.
9. On February 14, 2023, Goodman entered a guilty plea to both felony counts. Goodman's guilty plea was accepted on February 20, 2023, and he was sentenced to thirty-six months' probation.
10. On March 10, 2023, Goodman reported the guilty plea to the Division through the National Insurance Producer Registry.
11. On May 8, 2023, the California Insurance Commission revoked Goodman's California nonresident license, effective June 8, 2023, as a result of the Florida criminal action. Goodman reported the California action to the Division on June 13, 2023.
12. During the events described above, Goodman was employed by New York Life Insurance Company ("NYLIC"). On September 1, 2023, NYLIC advised the Division that it had terminated Goodman for cause effective July 24, 2023, as a result of the California revocation. DNO

Division Communication Attempts

13. On February 21, 2024, a Division investigator attempted to contact Goodman by email. The only email addresses associated with Goodman's Division licensing record were NYLIC email addresses that he no longer could access following his termination. The investigator emailed Goodman a Request for Information letter ("RFI") and Termination for Cause questionnaire ("TFC") to two email addresses obtained through an online search of public records associated with Goodman, vondutchboy87@yahoo.com and shawngoodman87@gmail.com. The RFI directed Goodman to respond to the investigator by March 4, 2024, and included a statement reminding Goodman that insurance producers have a duty to cooperate with Division investigations. The Division received no indication that either email failed to be delivered. Goodman did not respond to the emails.

14. On March 5, 2024, the Division investigator mailed a second RFI and TFC to Goodman by FedEx delivery to the residence address provided by Goodman and noted in his Division licensing record. The second RFI directed Goodman to respond to the investigator by March 15, 2024, and included a statement reminding Gambrell that insurance producers have a duty to cooperate with Division investigations. On March 6, 2024, FedEx successfully delivered the second mailing, which was signed for by "S. Goodman." Goodman did not respond to the mailing.

15. Also on March 5, 2024, the Division investigator called Goodman at a phone number provided by Goodman and noted in his Division licensing record, X-4959. The investigator left a detailed message and requested Goodman to return the call. To date, Goodman has not returned the investigator's call.

16. On April 18, 2024, Goodman responded to a telephone call from the Division and provided the Division with an updated email address.

III. CONCLUSIONS OF LAW

COUNT I

Other State Licensing Action

17. Under Iowa Code § 522B.11(1)(i), a license may be subject to probation, suspension, or revocation and civil penalties may be levied, as provided in Iowa Code § 522B.17, for having an insurance producer license, or its equivalent, denied, suspended, or revoked in any other state, province, district, or territory.

18. On May 8, 2023, the California Insurance Commissioner revoked Goodman's California nonresident producer license.

19. Goodman's acts and practices violate Iowa Code § 522B.11(1)(i) subjecting Goodman to probation, suspension, or revocation of Goodman's Iowa insurance producer license, the imposition of a civil penalty, an order requiring Goodman to cease and desist from engaging in such acts or practices, the imposition of costs of the investigation and prosecution of the matter, and any other corrective action the Commissioner deems necessary and appropriate pursuant to Iowa Code §§ 522B.11, 522B.17, and 505.8.

COUNT II

Failure to Report Criminal Prosecution

20. Iowa Code § 522B.16(2) provides that an insurance producer shall report to the commissioner any criminal prosecution of the insurance producer taken in any jurisdiction within thirty days of the initial pretrial hearing date.

21. Under Iowa Administrative Code rule 191—10.20(4)(b), a license may be subject to probation, suspension, or revocation and civil penalties may be levied, as provided in Iowa Code § 522B.16, for failing to timely report any criminal prosecution taken against the producer.

22. On September 3, 2022, Goodman was charged with two felonies in Hillsborough County, Florida, under case number 22-CF-012404-A. Goodman was arraigned on October 19, 2022. Goodman did not report these criminal charges to the Division until March 10, 2023, well beyond the date of his arraignment.

23. Goodman's acts and practices violate Iowa Administrative Code rule 191—10.20(4)(b) and Iowa Code § 522B.16(2) subjecting Goodman to probation, suspension, or revocation of Goodman's insurance producer license, the imposition of a civil penalty, an order requiring Goodman to cease and desist from engaging in such acts or practices, the imposition of costs of the investigation and prosecution of the matter, and any other corrective action the Commissioner deems necessary and appropriate pursuant to Iowa Code §§ 522B.11, 522B.17, and 505.8, and Iowa Administrative Code rule 191—10.20.

COUNT III
Failure to Cooperate

24. Under Iowa Code § 522B.11(1)(p), a license may be subject to probation, suspension, or revocation and civil penalties may be levied, as provided in Iowa Code § 522B.17, for failing or refusing to cooperate in an investigation.

25. Goodman failed to cooperate with an investigation when he failed to respond to the Division's first and second RFIs.

26. Goodman knew or should have known that he was required to respond to the Division's RFI and TFC because he received and signed for the correspondence, and the letter specifically

referenced his statutory obligation to respond to the Division's investigation pursuant to Iowa Code § 522B.11(1)(p).

27. Goodman's acts and practices violated Iowa Code § 522B.11(1)(p) subjecting Goodman to probation, suspension, or revocation of Goodman's insurance producer license, the imposition of a civil penalty, an order requiring Goodman to cease and desist from engaging in such acts or practices, the imposition of costs of the investigation and prosecution of the matter, and any other corrective action the Commissioner deems necessary and appropriate pursuant to Iowa Code §§ 522B.11, 522B.17, and 505.8.

IV. ORDER

WHEREFORE, IT IS ORDERED pursuant to the powers granted to the Commissioner of Insurance by Iowa Code chapters 522B and 507B:

- A. Goodman's Iowa resident insurance producer license is revoked and Goodman is prohibited from engaging in the business of insurance in this state pursuant to Iowa Code §§ 507B.7 and 522B.11;
- B. Goodman, pursuant to Iowa Code §§ 507B.7 and 522B.17, shall immediately cease and desist from engaging in the acts or practices found herein and in the business of insurance in this state;
- C. Goodman is assessed the costs of the investigation and proceeding in the amount of \$901.25 pursuant to Iowa Code § 505.8. Payment shall be made payable to the Iowa Insurance Division, to be credited to the Iowa Enforcement Fund to provide funds for insurance enforcement and education. The costs imposed herein are suspended. Prior to the Division considering any future application by Goodman for licensure in Iowa, Goodman shall pay all outstanding costs owed to the Division as assessed herein; and

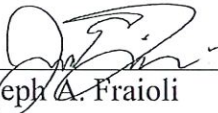
D. These orders may be enforced under Iowa Code chapters 507B and 522B, including but not limited to Iowa Code § 507B.8 and 522B.17(3), and additionally, by any collection remedies available to the State of Iowa Department of Revenue for unpaid penalties and other ordered monetary amounts.

SO ORDERED on this 7th day of May, 2024.



DOUGLAS M. OMMEN
Iowa Insurance Commissioner

Respectfully submitted,



Joseph A. Fraioli
Iowa Insurance Division
1963 Bell Avenue, Suite 100
Des Moines, Iowa 50315
(515) 654-6562
Joseph.fraioli@iid.iowa.gov
ATTORNEY FOR THE DIVISION

Copy to:

Shawn Goodman
37810 15th Ave.
Zephyrhills, Florida 33542-3216
shawngoodman98@gmail.com
RESPONDENT

CERTIFICATE OF SERVICE

The undersigned certifies that the foregoing instrument was served upon all parties to the above cause, or their attorney, at their respective addresses disclosed on the pleadings on May 8, 2024.

By: First Class Mail Personal Service
 Restricted certified mail, return receipt Email
 Certified mail, return receipt _____

Signature: Brooke Hohn
Brooke Hohn

NOTICE OF PENALTIES FOR WILLFUL VIOLATION OF THIS ORDER

YOU ARE NOTIFIED that acting as an insurance producer, as defined in Iowa Code chapter 522B, in violation of this Order, is a felony under Iowa Code § 507A.10, subjecting you to punishment of imprisonment, jail, fines, or any combination of custody and fines.

YOU ARE ALSO NOTIFIED that if you violate this Order, you may be subject to administrative and civil penalties pursuant to Iowa Code § 522B.17(3). The Commissioner may petition the district court to hold a hearing to enforce the order as certified by the Commissioner. The district court may assess a civil penalty against you in an amount not less than three thousand dollars but not greater than ten thousand dollars for each violation and may issue further orders as it deems appropriate.

NOTICE REGARDING REISSUANCE

Upon entry of this Order, your insurance producer license will become inactive due to revocation. While your license is inactive, you are prohibited from conducting the business of insurance. Your license will not be active until the Division makes the determination to reissue your insurance producer license by order pursuant to Iowa Administrative Code rule 191—10.10.

Reissuance of your insurance producer license is subject to the discretion of the Commissioner. Additionally, it will not be granted unless and until you have complied with the terms of this Order, made the appropriate Application for Reissuance with the Division, and paid all applicable fees. If applicable, you may also be required to apply for licensure through the National Insurance Producer Registry (NIPR) and pay all applicable fees.

NOTICE OF FINAL ORDER IMPACT

A final order of revocation or a cease and desist order may adversely affect other existing business or professional licenses and may result in license revocation or disciplinary action.

A final order in an administrative action does not resolve any potential criminal or civil violations or causes of action that might arise from the same or similar conduct that is the subject of this this order. It may result in criminal law enforcement authorities, including the fraud bureau of the Iowa Insurance Division, pursuing a criminal investigation or prosecution of potential criminal law violations.

CONSENT TO ORDER AND AGREEMENT

I, Shawn Goodman, Respondent in this matter, have read, understood, and do knowingly consent to this Order in its entirety. I understand and acknowledge I have the right to consult with an attorney on this matter if I choose to do so, and I acknowledge that the Division cannot provide me an attorney nor can the Division provide me legal advice. By executing this Consent, I understand that I am waiving my rights to a hearing, to confront and cross-examine witnesses, to produce evidence, and to judicial review.

I further understand this Order is considered a final administrative action that will be reported by the Division to the National Association of Insurance Commissioners and to other regulatory agencies. I also understand this Order is a public record under Iowa Code chapter 22, and information may be shared with other regulatory authorities or governmental agencies pursuant to Iowa Code § 505.8(8)(d). I also understand this Order will be posted to the Division's website, and a notation will be made to the publicly available website record that administrative action has been taken against me.


Shawn Goodman, Respondent

2 May 24
Date

3751 D 15th Ave Caprychills FL 33542
Address of Signatory

Subscribed and sworn before me by Shawn L. Goodman on this 2nd day of May, 2024.

Matthew Robinson 5/2/2024
Notary Public for the State of



MATTHEW L. ROBINSON
Notary Public
State of Florida
Comm# HH289690
Expires 7/19/2026