ANNUAL STATEMENT

OF THE

Delta Dental of Iowa

2020

of

Johnston

in the state of

lowa

TO THE

Insurance Department

OF THE

STATE OF Iowa

FOR THE YEAR ENDED

DECEMBER 31, 2020

HEALTH

2020

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COMMISSION OF INSURANCE INSURANCE FISION OF IOWA



ANNUAL STATEMENT

FOR THE YEAR ENDING DECEMBER 31, 2020 OF THE CONDITION AND AFFAIRS OF THE

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	1000	4000		•	Faralassada II	D. Niverska av	42.0050202
	4690 rent Period)	(Prior Period)	NAIC Compa	any Code55786	Employer's II) Number	42-0959302
Organized under the Laws o	f	lowa		, State of Domicil	e or Port of Entry _		Iowa
Country of Domicile				United States			
Licensed as business type:	Life, Accide	nt & Health []	Property/	Casualty []	Hospital, Medical 8	k Dental Ser	vice or Indemnity []
	Dental Serv	ice Corporation [X]	Vision Se	rvice Corporation []	Health Maintenanc	e Organizat	ion []
	Other []		Is HMO	Federally Qualified? Y	'es[]No[]		
	1000000000000	10/00/1070				10/28/19	70
Incorporated/Organized		10/28/1970		Commenced Business	·	10/26/19	70
Statutory Home Office		9000 Northpark		,		n, IA, US 50	
		(Street and Num	oer)		(City or Town, Sta	ite, Country and	I Zip Code)
Main Administrative Office				9000 Northpark (Street and Num			
John	nston, IA, US	50131		(Street and Num	515-261-550	00	
	vn, State, Country				(Area Code) (Telephone	Number)	
Mail Address		00 Northpark Drive			Johnston, IA		'ada'
Drimony Location of Dealer -	•	t and Number or P.O. Box)		0000	(City or Town, State, Co	ountry and Zip C	ode)
Primary Location of Books a	na recoras	-			Northpark Drive eet and Number)		
	nston, IA, US		,		515-261-550		
* C. T. * C. T. C.	vn, State, Country	and Zip Code)		4.55	rea Code) (Telephone Num	per) (Extension)	
Internet Web Site Address		S 2000 N 200		www.deltadentalia.	WATERWINE TO		
Statutory Statement Contact		Sherry Marie Pe (Name)	rkins		515-2 (Area Code) (Teleph	61-5554 one Number) (E	ixtension)
sperki	ns@deltaden	talia.com					
	(E-Mail Address	5)			(Fax Number)		
			OFFI	CERS			
Name		Title	0111	Nam	ne		Title
Jeffrey Stephen Russ		President & CE	<u>=0</u>	Sherry Mari	e Perkins,		Secretary
Sherry Marie Perkins	<u>; </u>	Treasurer					
Michael Lee Elam		Vice Presider		OFFICERS Suzanne Bower	Hookonlaible	Vic	ce President
Todd Daniel Herren		Senior Vice Presider		Elizabeth Ali			ce President
Jeffrey Gee Chaffin DI		Chief Dental Off		April Elaine	Schmaltz,	Senior	Vice President
Gretchen Noelle Hagema	<u>an #</u> ,	Vice Presider			· · · · · · · · · · · · · · · · · · ·		
Charles Edward Brow	m	Thomas Joseph Mah		OR TRUSTEES John Anthony		Jeffrey	Stephen Russell
Rowena Gladys Crosb		Krista Kay Tanı		Donna Grant P			ry Francis Dunn
Scott David Yegge DDS	S#						
	Va conscionera.						
State of		ss					
County of	Polk						
The officers of this reporting entabove, all of the herein describe that this statement, together will iabilities and of the condition and have been completed in accumal differ; or, (2) that state rule knowledge and belief, respective when required, that is an exact regulators in lieu of or in addition	ed assets were th related exhib nd affairs of the cordance with the s or regulations ely. Furthermor copy (except f	the absolute property of to oits, schedules and expla said reporting entity as o ne NAIC Annual Statemens is require differences in re- te, the scope of this attest or formatting differences	the said reportinations therein the reporting of the reporting of the reportions apporting not related to the details of the d	ing entity, free and clear fin contained, annexed or reperiod stated above, and and Accounting Practices a ated to accounting practices escribed officers also inclu-	rom any liens or claims referred to, is a full and of its income and dedul and <i>Procedures</i> manual es and procedures, accudes the related corresp	thereon, excell true statement ctions therefore except to the londing to the londing electrons.	ept as herein stated, and ent of all the assets and om for the period ended, extent that: (1) state law best of their information, onic filing with the NAIC,
Xally 5	Synell	M	m C	West M	is to	my	whet I
Jeffrey Stephe President 8				arie Perkins cretary	S	Sherry Marie Treasu	
rresident o	X CEO		360	retary		Heasu	
Subscribed and sworn to b	efore me this Februar			b. l 1	s this an original filing f no: . State the amendme		Yes [X] No []
20 1	1 -				. Date filed . Number of pages at	tached	
Marla Wylie, Notary April 19, 2023	le)			Š	, p=g== a.		



ASSETS

		1	Prior Year		
		1 1	Current Year 2	3	4
			_	Net Admitted Assets	Net Admitted
		Assets	Nonadmitted Assets	(Cols. 1 - 2)	Assets
1.	Bonds (Schedule D)	45,972,275		45,972,275	41,873,396
2.	Stocks (Schedule D):				
	2.1 Preferred stocks			0	
	2.2 Common stocks	25,508,315	2,537,086	22,971,229	19 , 757 , 136
3.	Mortgage loans on real estate (Schedule B):				
	3.1 First liens				0
	3.2 Other than first liens			0	0
4.	Real estate (Schedule A):				
	4.1 Properties occupied by the company (less				40,000,047
	\$ encumbrances)	12,657,201		12,657,201	13,063,047
	4.2 Properties held for the production of income			ا	
	(less \$ encumbrances)	1	,,	U	0
	4.3 Properties held for sale (less				
_	\$ encumbrances)	-		JU	
5.	Cash (\$52,171,297 , Schedule E-Part 1), cash equivalents				
	(\$	E2 004 004		52 001 001	NAO 003 CC
6.	Contract loans (including \$ premium notes) Derivatives (Schedule DB).				
7.	Other invested assets (Schedule BA)				
8. 9.	Other invested assets (Schedule BA) Receivables for securities				
9.	Receivables for securities Securities lending reinvested collateral assets (Schedule DL)	1			
11.	Aggregate write-ins for invested assets (Schedule DL)	1		1	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)				
13.	Title plants less \$	130,149,030	2,337,000		107,430,034
13.	only).			ا ۸	0
14.	Investment income due and accrued	1		l i	
15.	Premiums and considerations:	270,047		243,044	240,000
15.	15.1 Uncollected premiums and agents' balances in the course of	7		-	
	collection	3 117 328	46 105	3,071,222	2 330 313
	15.2 Deferred premiums, agents' balances and installments booked but	0,117,020			2,000,010
	deferred and not yet due (including \$earned	19.000			
	but unbilled premiums).			ا ۱	0
	15.3 Accrued retrospective premiums (\$) and			v	
	contracts subject to redetermination (\$)	***************************************		ا ۱	n
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers			o l	0
	16.2 Funds held by or deposited with reinsured companies	1		1 1	0
	16.3 Other amounts receivable under reinsurance contracts	I I		l I	0
17.	Amounts receivable relating to uninsured plans				1,256,704
18.1	Current federal and foreign income tax recoverable and interest thereon	1		l ' I	0
18.2	Net deferred tax asset	1	, , , , , , , , , , , , , , , , , , , ,	1 1	0
19.	Guaranty funds receivable or on deposit				0
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets				
	(\$)	312,339	312,339	[o	
22.	Net adjustment in assets and liabilities due to foreign exchange rates			{ I	0
23.	Receivables from parent, subsidiaries and affiliates			i I	60,585
24.	Health care (\$ 49,950) and other amounts receivable	1	8,891		246,454
25.	Aggregate write-ins for other-than-invested assets	1	1,909,510	o	0
26.	Total assets excluding Separate Accounts, Segregated Accounts and			[
	Protected Cell Accounts (Lines 12 to 25)	147,957,870	4,822,886	143,134,984	112,519,723
27.	From Separate Accounts, Segregated Accounts and Protected				,
	Cell Accounts			0	o
28.	Total (Lines 26 and 27)	147 ,957 ,870	4,822,886	143,134,984	112,519,723
DETAIL	S OF WRITE-INS				
1101.				o	0
1102.				[o [0
1103.		<u> </u>		0	0
1198.	Summary of remaining write-ins for Line 11 from overflow page	<u> </u>	0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501.	Prepaid Expenses and Deposits	1,909,510	1,909,510	0	0
2502.		1 I			0
2503.		1			0
2598.	Summary of remaining write-ins for Line 25 from overflow page				0
2599.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,909,510	1,909,510	0	0

LIABILITIES, CAPITAL AND SURPLUS

			Current Year		Prior Year	
		1 Covered	2 Uncovered	3 Total	4 Total	
1 (laims unpaid (less \$ reinsurance ceded)		0.10070,00		5,880,757	
	ccrued medical incentive pool and bonus amounts	1			630,000	
	Inpaid claims adjustment expenses				458,702	
	ggregate health policy reserves, including the liability of				,,,,,,, -	
 \$	for medical loss ratio rebate per the Public					
Н	lealth Service Act	31,256,351		31,256,351	9,337,481	
	ggregate life policy reserves	1 1		1	0	
	Property/casualty unearned premium reserves					
	ggregate health claim reserves					
	remiums received in advance					
1	Seneral expenses due or accrued	1 1		i i		
	current federal and foreign income tax payable and interest thereon (including					
	on realized capital gains (losses))			0	0	
i .	let deferred tax liability	1	i		0	
i .	eded reinsurance premiums payable	1	1			
i	mounts withheld or retained for the account of others	1	}			
	Remittances and items not allocated	1	1	I	0	
1	orrowed money (including \$ current) and					
	nterest thereon \$(including					
	current)				0	
ļ	mounts due to parent, subsidiaries and affiliates	1 :	1	1		
;	Perivatives.	1	i i		0	
i	ayable for securities	1			250,000	
18. P	ayable for securities lending			0	0	
	unds held under reinsurance treaties (with \$					
aı	uthorized reinsurers, \$ unauthorized					
re	einsurers and \$ certified reinsurers)			o	0	
20. R	teinsurance in unauthorized and certified (\$					
C	ompanies				0	
21. N	let adjustments in assets and liabilities due to foreign exchange rates			0	0	
22. Li	iability for amounts held under uninsured plans	172,054		172,054	172,200	
	ggregate write-ins for other liabilities (including \$					
С	urrent)	6,019,249	0 [6,019,249	5,008,413	
	otal liabilities (Lines 1 to 23)					
	ggregate write-ins for special surplus funds	1		o		
26. C	Common capital stock	xxx				
	referred capital stock	1	1			
	Fross paid in and contributed surplus	1	1			
29. S	Surplus notes	xxx	xxx		0	
30. A	ggregate write-ins for other-than-special surplus funds	xxx	xxx	o L	0	
31. U	nassigned funds (surplus)	xxx	xxx	87,501,656	77,691,044	
32. Le	ess treasury stock, at cost:					
32	2.1 shares common (value included in Line 26					
\$	······································	xxx	xxx		0	
32	2.2 shares preferred (value included in Line 27	- Landers of the second of the		100 A		
\$)	xxx	xxx		0	
33. т	otal capital and surplus (Lines 25 to 31 minus Line 32)	1		87,501,656		
	otal liabilities, capital and surplus (Lines 24 and 33)	xxx	xxx	143,134,984	112,519,723	
	F WRITE-INS					
	ther Liabilities	1			154,463	
2302. Pa	ayable to Public Benefit Fund			1	4,853,950	
2303		1			0	
2398. S	ummary of remaining write-ins for Line 23 from overflow page	I I	j	I .	Ω	
-	otals (Lines 2301 through 2303 plus 2398) (Line 23 above)	6,019,249	0	6,019,249	5,008,413	
	pecial Surplus for Section 9010 Fee.					
	pecial Sulpius for Section as to rec.	1 !	1			
		1 1	1			
2503		1		1	0	
	ummary of remaining write-ins for Line 25 from overflow page	1		1	0	
	otals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	1,260,489	
3001		xxx	xxx		0	
3002		xxx	xxx			
3003		xxx				
3098. Si	ummary of remaining write-ins for Line 30 from overflow page	I I			0	
	otals (Lines 3001 through 3003 plus 3098) (Line 30 above)	xxx	xxx	0	0	
	,		^^^	U	U	

STATEMENT OF REVENUE AND EXPENSES

<u> </u>	STATEMENT OF REVENUE A	Current Y		Prior Year	
		1 Uncovered	2 Total	3 Total	
1.	Member Months.			6,491,653	
2.	Net premium income (including \$0 non-health premium income)	I I	1	1	
3.	Change in unearned premium reserves and reserve for rate credits	1	l		
4.		I :		0	
5.	Risk revenue	1 1		o	
6.	Aggregate write-ins for other health care related revenues	1 :			
7.		I i	1	0	
8.	Total revenues (Lines 2 to 7)		1	158,086,086	
	pital and Medical:			, ,	
	Hospital/medical benefits		105,408,404	118,534,493	
10.	Other professional services			. 1	
11.	Outside referrals			ol	
12.	Emergency room and out-of-area		1	. 1	
13.			1	1	
14.	·	i I	1		
15.		i I	1	ı	
16.	Subtotal (Lines 9 to 15)	1	}	- 1	
Less				, , , , , , , , , , , , , , , , , , , ,	
17.	Net reinsurance recoveries		(8,486,192)	(9,511,565)	
18.	Total hospital and medical (Lines 16 minus 17)	0	114,448,596	128,676,058	
19.	Non-health claims (net)			0	
20.	Claims adjustment expenses, including \$		4,267,401	4,928,632	
21.	General administrative expenses		29,660,666	23,766,309	
22.	Increase in reserves for life and accident and health contracts (including			, .	
	\$ increase in reserves for life only)		26,338	788	
23.	Total underwriting deductions (Lines 18 through 22)	0	•	157,371,788	
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	i I		714,299	
25.	Net investment income earned (Exhibit of Net Investment Income, Line 17)			2,750,159	
26.		1		320,091	
27.	Net investment gains (losses) (Lines 25 plus 26)	0	· 1	3,070,250	
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered				
	\$		o l		
29.	Aggregate write-ins for other income or expenses	1		1	
	Net income or (loss) after capital gains tax and before all other federal income taxes		(33,,	(0.,002)	
	(Lines 24 plus 27 plus 28 plus 29).	xxx	6,877,906	3,719,657	
31.	Federal and foreign income taxes incurred	xxx		0,710,007	
	Net income (loss) (Lines 30 minus 31)	XXX	6,877,906	3,719,657	
	LS OF WRITE-INS	~~~	0,017,300	3,719,007	
0601.		xxx		0	
0602.		XXX			
0603.		xxx		ا ۵	
0698.	Summary of remaining write-ins for Line 6 from overflow page		n l	ν	
0699.	Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)				
0701.		XXX	0	0	
0701.		1			
0702.			•	0	
	Comment of the state of the sta	i :			
	Summary of remaining write-ins for Line 7 from overflow page		0	0	
0799.	Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	0	0	
1401.				0	
1402.				0	
1403.		l "		0	
1498.	Summary of remaining write-ins for Line 14 from overflow page		0	Q	
1499.	Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	0	0	
2901.	DeltaUSA income (Expense)		(64,844)	(76,823)	
2902 .	Other Income (Expense)		9,373	11,931	
2903.				0	
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0	0	
2999.	Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	(55,470)	(64,892)	

STATEMENT OF REVENUE AND EXPENSES (Continued)

	STATEMENT OF REVENUE AND EXPENSES	1 1	2
		Current Year	Prior Year
	CAPITAL & SURPLUS ACCOUNT		
33.	Capital and surplus prior reporting year	78,951,533	71,101,639
34.	Net income or (loss) from Line 32	6,877,906	3,719,657
35.	Change in valuation basis of aggregate policy and claim reserves		0
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$	2,369,168	2,461,210
37.	Change in net unrealized foreign exchange capital gain or (loss)		0
38.	Change in net deferred income tax		0
39.	Change in nonadmitted assets	(696,952)	1,669,027
40.	Change in unauthorized and certified reinsurance	0	0
41.	Change in treasury stock	.	0
42.	Change in surplus notes	0	0
43.	Cumulative effect of changes in accounting principles		0
44.	Capital Changes:		
	44.1 Paid in	0	0
	44.2 Transferred from surplus (Stock Dividend)		0
	44.3 Transferred to surplus		0
45.	Surplus adjustments:		
	45.1 Paid in	0	0
	45.2 Transferred to capital (Stock Dividend)	. 0	0
	45.3 Transferred from capital		0
46.	Dividends to stockholders		0
47.	Aggregate write-ins for gains or (losses) in surplus	0	0
48.	Net change in capital and surplus (Lines 34 to 47)	8,550,123	7,849,894
49.	Capital and surplus end of reporting year (Line 33 plus 48)	87,501,656	78,951,533
DETAIL	S OF WRITE-INS		
4701.			0
4702.			0
4703.			0
4798.	Summary of remaining write-ins for Line 47 from overflow page		0
4799.	Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0

CASH FLOW

		1	2
	Cash from Operations	Current Year	Prior Year
	Premiums collected net of reinsurance		164,962,393
2.	Net investment income		3, 159, 567
3.	Miscellaneous income		(64,892
	Total (Lines 1 through 3)		168,057,068
5.	Benefit and loss related payments	119,023,228	126,279,734
	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7.	Commissions, expenses paid and aggregate write-ins for deductions	30,869,153	22,406,440
8.	Dividends paid to policyholders		0
9.	Federal and foreign income taxes paid (recovered) net of \$tax on capital gains (losses)	0	(
10.	Total (Lines 5 through 9)	149,892,381	148,686,174
11.	Net cash from operations (Line 4 minus Line 10)	25,919,012	19,370,894
	Cash from Investments		
12.	Proceeds from investments sold, matured or repaid:		
	12.1 Bonds	9,425,173	9,223,701
	12.2 Stocks	279,318	793,546
	12.3 Mortgage loans	1	(
	12.4 Real estate		(
	12.5 Other invested assets		(
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		(
	12.7 Miscellaneous proceeds	l i	670.694
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	9 704 491	10,687,94
13	Cost of investments acquired (long-term only):	3,704,437	
10.	13.1 Bonds	13 488 310	11,674,185
	13.2 Stocks		3,211,946
	13.3 Mortgage loans	1 ' '	
	13.4 Real estate		
	13.5 Other invested assets		210,579
			500,000
	13.6 Miscellaneous applications	****	
44	13.7 Total investments acquired (Lines 13.1 to 13.6)		15,596,710
	Net increase (decrease) in contract loans and premium notes		(, , , , , , , , , , , , , , , , , , ,
15.	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(5,871,025)	(4,908,769
	Cash from Financing and Miscellaneous Sources		
16.	Cash provided (applied):		
	16.1 Surplus notes, capital notes		Q
	16.2 Capital and paid in surplus, less treasury stock		
	16.3 Borrowed funds		0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities		
	16.5 Dividends to stockholders		
	16.6 Other cash provided (applied)		1,744,724
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	454,170	1,744,724
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	20,502,157	16,206,849
	Cash, cash equivalents and short-term investments:		
	19.1 Beginning of year	32,588,844	16,381,99
	19.2 End of year (Line 18 plus Line 19.1)	53.091.001	32,588,844

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2 Comprehensive (Hospital &	3 Medicare	4 Dental	5 Vision	6 Federal Employees Health	7 Title XVIII	8 Title XIX	9	10 Other
	Total	Medical)	Supplement	Only	Only	Benefit Plan	Medicare	Medicaid	Other Health	Non-Health
Net premium income Change in unearned premium reserves and reserve for rate	152,285,751	0	0	152,285,751	0) 0	0	0	V	0
Change in unearned premium reserves and reserve for rate credit	o									
3. Fee-for-service (net of \$,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
medical expenses)	0			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	***************************************					XXX
4. Risk revenue	0									XXX
Aggregate write-ins for other health care related revenues	0	0	0	0	0) 0	0	O	0	XXX
Aggregate write-ins for other non-health care related revenues	0	XXX	XXX	XXX	XXX	xxx	XXX	XXX	XXX	0
7. Total revenues (Lines 1 to 6)	152,285,751	0	0	152,285,751	0) 0	0	0	ļ0 ļ.	0
Hospital/medical benefits	105,408,404			105,408,404				,		XXX
Other professional services	0							.,.,		XXX
10. Outside referrals	0			***************************************						XXX
11. Emergency room and out-of-area	0									XXX
12. Prescription drugs	0					_1				XXX
13. Aggregate write-ins for other hospital and medical.	0	0	0	0	0		0	0	0	XXX
14. Incentive pool, withhold adjustments and bonus amounts	554,000			554,000						XXX
15. Subtotal (Lines 8 to 14)	105,962,404	0	0	105,962,404	0	• lo l	0	0	0	XXX
16. Net reinsurance recoveries	(8,486,192)			(8,486,192)		1			<u> </u>	XXX
17. Total hospital and medical (Lines 15 minus 16)	114,448,596	0	0	114,448,596	0	· Lo L	0	0	0	XXX
18. Non-health claims (net)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	L xxx	0
19. Claims adjustment expenses including										
\$	4,267,401			4,267,401					ll.	
20. General administrative expenses	29,660,666			29,038,003					622,664	
21. Increase in reserves for accident and health contracts	26,338			26,338					<u></u>	XXX
22. Increase in reserves for life contracts	0	XXX	XXX	XXX	XXX	xxx	XXX	XXX	xxx	
23. Total underwriting deductions (Lines 17 to 22)	148,403,001	0	0	147,780,338	0	0	0	0	622,664	0
24. Net underwriting gain or (loss) (Line 7 minus Line 23)	3,882,750	0	0	4,505,413	0	0	0_	0	(622,664)	0
DETAILS OF WRITE-INS										
0501.										XXX
0502.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,									XXX
0503.									<u> </u>	XXX
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0	0	0	·	0	0	0].	XXX
0599. Totals (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	0	XXX
0601.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0602.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	xxx	
0603.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0698. Summary of remaining write-ins for Line 6 from overflow page	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	xxx	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
1301.				, , , , , , , , , , , , , , , , , , , ,		1	755		<u> </u>	XXX
1302.									1	XXX
1303.		***************************************		***************************************					1	XXX
1398. Summary of remaining write-ins for Line 13 from overflow page	n	0	Λ	n		,†	0	۸	†n	XXX
1399. Totals (Lines 1301 through 1303 plus 1398) (Line 13 above)	n	٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠٠			٥۵	,	۷	۰۸		XXX
Totale (Filips 1901 Billoadit 1909 bills 1980) (Filip 19 900/6)	0		V 1	U	U	<u> </u>	· · · · · · · · · · · · · · · · · · ·	<u> </u>	<u> </u>	

UNDERWRITING AND INVESTMENT EXHIBIT

PART 1 - PREMIUMS

	1	2	3	4
Line of Business	Direct Business	Reinsurance Assumed	Reinsurance Ceded	Net Premium Income (Cols. 1+2-3)
1. Comprehensive (hospital and medical)				0
2. Medicare Supplement				c
3. Dental only	142,753,520	9,532,231		152,285,751
4. Vision only.				
5. Federal Employees Health Benefits Plan				
6. Title XVIII - Medicare				
7. Title XIX - Medicaid				
8. Other health.				(
9. Health subtotal (Lines 1 through 8)	142,753,520	9,532,231	0	152,285,75
10. Life				
11. Property/casualty				
12. Totals (Lines 9 to 11)	142,753,520	9,532,231	0	152,285,75

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2 – CLAIMS INCURRED DURING THE YEAR

T T	1 I	2	3	S INCURRED D	5	T 6	7	8	9	10
	, , , , , , , , , , , , , , , , , , ,	Comprehensive (Hospital &	Medicare	Dental	Vision	Federal Employees Health	Title XVIII	Title XIX		Other Non-
	Total	Medical)	Supplement	Only	Only	Benefits Plan	Medicare	Medicaid	Other Health	Health
1. Payments during the year:	405 504 050			105 501 050						
1.1 Direct	105,534,652			105,534,652						
1.2 Reinsurance assumed	8,486,192			8,486,192						
1.3 Reinsurance ceded	114,020,844			444 000 044						
				114,020,844	l	J	U			
Paid medical incentive pools and bonuses Claim liability December 31, current year from Part 2A:	630,000			630,000						.,,
3.1 Direct	5,675,776	0	0	5,675,776) 0	Đ	0	0	,
3.2 Reinsurance assumed	0	0	0	0	[<u> </u>	0	<u>0</u>	ō	
3.3 Reinsurance ceded	0		0	0	[[0	0		ō	
3.4 Net	5,675,776	[0	5,675,776		J [0	J	0		
4. Claim reserve December 31, current year from Part 2D:										
4.1 Direct	0					···				
4.2 Reinsurance assumed	<u></u> ا									
4.3 Reinsurance ceded	V		0	0	[]	J	0	l	
	u	U	U	0	l	J U	J	U	l	
5. Accrued medical incentive pools and bonuses, current year	554,000			554,000						
6. Net healthcare receivables (a)	(78,733)			(78,733)						
7. Amounts recoverable from reinsurers December 31, current year	0			0						· · · · · · · · · · · · · · · · · · ·
8. Claim liability December 31, prior year from Part 2A:										
8.1 Direct	5,880,757		0	5,880,757	(0	0	0	0	
8.2 Reinsurance assumed	0	0	0	0	(0	O	0	0	
8.3 Reinsurance ceded	0	0	0	0	(0	0	0	0	
8.4 Net	5,880,757	0	0	5,880,757	(00	0	0	0	
9. Claim reserve December 31, prior year from Part 2D: 9.1 Direct		0	0	0	(0	0	0	0	
9.2 Reinsurance assumed	0	0	0	0		0	0	0	0	
9.3 Reinsurance ceded	0	0	0	0		0	0	0	0	
9.4 Net			0	0		0	0	0	0	
Accrued medical incentive pools and bonuses, prior year	630,000	0	0	630,000		0	0	0	0	
1. Amounts recoverable from reinsurers December 31, prior year	0	0	0	0	(0	0	0	0	
2. Incurred benefits:			_							
12.1 Direct	105,408,404	O	0	105,408,404		0	0	0	<u>0</u>	
12.2 Reinsurance assumed	8,486,192	0	0	8,486,192		0	0	<u> </u>		
12.3 Reinsurance ceded	0	0	0	0	(0	0	0	0	<u> </u>
12,4 Net	113,894,596	0	0	113,894,596	(0	0	0	0	
3. Incurred medical incentive pools and bonuses	554,000	0	0	554,000) 0	0	0	0	

⁽a) Excludes \$ loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2A - CLAIMS LIABILITY END OF CURRENT YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital and Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
Reported in Process of Adjustment:								•		
1.1. Direct	1,906,435			1,906,435						
1.2. Reinsurance assumed	0							,,,,		
1.3. Reinsurance ceded										
1.4. Net	1,906,435	0	0	1,906,435	0	0	0	0	0	
2. Incurred but Unreported;										
2.1. Direct	3,769,341			3,769,341				,		
2.2. Reinsurance assumed	0	,								
2.3. Reinsurance ceded	0				·					
2.4. Net	3,769,341	0	0	3,769,341	0	0	0	0	0	
3. Amounts Withheld from Paid Claims and Capitations:										
3.1. Direct	0				***************************************					
3.2. Reinsurance assumed	0									
3.3. Reinsurance ceded	0									
3.4. Net	0	0	0	0	0	0	0	o	0	
4. TOTALS:										
4.1. Direct	5,675,776	0	0	5,675,776	0	0	0	0	0	
4.2. Reinsurance assumed	0	0	0	0	0	0	0	ļo	o	
4.3. Reinsurance ceded	0	0	0	0	0	0	0	0	0	
4.4. Net	5,675,776	اه	0	5,675,776	0	0	0	0	اه	

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2B - ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR-NET OF REINSURANCE

	ANNO UNFAID - FRIOR TEAR-NET	·	Claim Reser	ve and Claim 31 of Current Year	5	6
	Claims Paid E	Claims Paid During the Year 1 2				Estimated Claim Reserve and Claim
Line of Business	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid December 31 of Prior Year	On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Liability December 31 of Prior Year
Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental Only	5,445,161	108,575,683	0	5,675,776	5,445,161	5,880,757
4. Vision Only.					0	0
5. Federal Employees Health Benefits Plan				.,	0	0
6. Title XVIII - Medicare					0	0
7. Title XIX - Medicaid					0	0
8. Other health					0	0
9. Health subtotal (Lines 1 to 8)	5,445,161	108,575,683	0	5,675,776	5,445,161	5,880,757
10. Healthcare receivables (a)		(78,733)			0	o
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	630,000			554,000	630,000	630,000
13. Totals (Lines 9-10+11+12)	6,075,161	108,654,416	0	6,229,776	6,075,161	6,510,757

Pt 2C - Sn A - Paid Claims - Comp NONE Pt 2C - Sn A - Paid Claims - MS NONE

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS (\$000 Omitted)

Section A - Paid Health Claims - Dental Only

	Cumulative Net Amounts Paid							
Year in Which Losses Were Incurred	1 2016	2 2017	3 2018	4 2019	5 2020			
1. Prior	349,606	349,606	349,606	349,606	349,606			
2. 2016	116,416	122,160	122,160	122,160	122,160			
3. 2017	XXX	126,355	132,415	132,415	132,415			
4. 2018	XXX	XXX	134,450	139,784	139,784			
5. 2019	XXX	XXX	XXX	123,393	128,838			
6. 2020	XXX	XXX	XXX	XXX	108,576			

Section B - Incurred Health Claims - Dental Only

	Claim I	Sum of Cumulati Reserve and Medical In	ive Net Amount Paid an centive Pool and Bonus	d Claim Liability, es Outstanding at End o	of Year
Year in Which Losses Were Incurred	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior	369,021	369,016	369,016	369,016	369,016
2. 2016	125,136	130,886	130,886	130,886	130,886
3. 2017.	XXX	134,852	140,912	140,912	140,912
4. 2018.	XXX	XXX	141,900	147 ,234	147,234
5. 2019	XXX	XXX	XXX	129,904	135,349
6. 2020	XXX	XXX	XXX	XXX	114,805

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Dental Only

	1	2	3	4	5	l 6	7	8	9	10
					Claim and Claim				Total Claims and	
					Adjustment				Claims	
Years in which			Claim Adjustment		Expense			Unpaid Claims	Adjustment	
Premiums were Earned and Claims			Expense	(Col. 3/2)	Payments	(Col. 5/1)		Adjustment	Expense Incurred	(Col. 9/1)
were Incurred	Premiums Earned	Claims Payments	Payments	Percent	(Col. 2+3)	Percent	Claims Unpaid	Expenses	(Col. 5+7+8)	Percent
1. 2016	152,943	122,160	5,860	4.8	128,020	83.7			128,020	83.7
2. 2017	160,222	132,415	5,357	4.0	137,773	86.0			137,773	86.0
3. 2018	168,291	139,784	4,743	3.4	144,526	85.9			144,526	85.9
4. 2019	158,086	128,838	4,893	3.8	133,732	84,6			133,732	84.6
5. 2020	152,286	108,576	3,782	3.5	112,358	73.8	6,230	432	119,020	78.2

Pt 2C - Sn A - Paid Claims - VO

NONE

Pt 2C - Sn A - Paid Claims - FE

NONE

Pt 2C - Sn A - Paid Claims - XV

NONE

Pt 2C - Sn A - Paid Claims - XI

NONE

Pt 2C - Sn A - Paid Claims - OT

NONE

1. 2016

2. 2017.

3. 2018.

4. 2019.

5. 2020

Years in which

Premiums were Earned and Claims

were incurred

158,086

152,286

.128,838

108,576

.4,893

3,782

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS (\$000 Omitted)

Section A - Paid Health Claims - Grand Total

	Cumulative Net Amounts Paid						
Year in Which Losses Were Incurred	1 2016	2 2017	3 2018	4 2019	5 2020		
1. Prior	349,606	349,606	349,606	349,606	349,606		
2. 2016	116,416	122,160	122,160	122,160	122,160		
3. 2017.	ХХХ	126,355	132,415	132,415	132,415		
4. 2018	ХХХ	XXX	134,450	139,784	139,784		
5. 2019	XXX	ххх	XXX	123,393	128,838		
6. 2020	XXX	XXX	XXX	xxx	108,576		

Section B - Incurred Health Claims - Grand Total

	Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year					
Year in Which Losses Were Incurred	1 2016	2 2017	3 2018	4 2019	5 2020	
1. Prior			369,016	369,016		
2. 2016	125,136	130,886	130,886	130,886	130,886	
3. 2017	ХХХ	134,852	140,912	140,912	140,912	
4. 2018	XXX	XXX	141,900	147 , 234	147,234	
5. 2019	XXX	ХХХ	ХХХ	129,904	135,349	
6. 2020	XXX	XXX	XXX	XXX	114,805	

3.8

3.5

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Grand Total 10 8 9 Claim and Claim Total Claims and Claims Adjustment **Unpaid Claims** Adjustment Claim Adjustment Expense Adjustment Expense Incurred (Col. 9/1) Expense (Col. 3/2) Payments (Col. 5/1) Premiums Earned Claims Payments Payments Percent (Col. 2+3) Percent Claims Unpaid Expenses (Col. 5+7+8) 83.7 152,943 122,160 .5,860 4.8 128,020 83.7 128,020 160,222 .132,415 .5,357 .137,773 .86.0 .137,773 .86.0 4.0 .85.9 168,291 .139,784 .4,743 .3.4 .144,526 .85.9 .144,526

.84.6

73.8

6.230

.133,732

112,358

.133,732

119,020

432

.84.6

78.2

Pt 2C - Sn B - Incurred Claims - Comp

Pt 2C - Sn B - Incurred Claims - MS NONE

Pt 2C - Sn B - Incurred Claims - VO

NONE

Pt 2C - Sn B - Incurred Claims - FE

NONE

Pt 2C - Sn B - Incurred Claims - XV

NONE

Pt 2C - Sn B - Incurred Claims - XI

NONE

Pt 2C - Sn B - Incurred Claims - OT

NONE

lan anggapitangan pilanganat lahar per merepakan papat para bilah kapetar dialah para merepakan dialah pakaran

Part 2C - Sn C - Claims Expense Ratio Co
NONE

Part 2C - Sn C - Claims Expense Ratio MS NONE

Part 2C - Sn C - Claims Expense Ratio VO

NONE

Part 2C - Sn C - Claims Expense Ratio FE

NONE

Part 2C - Sn C - Claims Expense Ratio XV

NONE

Part 2C - Sn C - Claims Expense Ratio XI

NONE

Part 2C - Sn C - Claims Expense Ratio OT

NONE

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2D - AGGREGATE RESERVE FOR ACCIDENT AND HEALTH CONTRACTS ONLY

	PART 2D - AGGRE	2	3	4	5	6	7	8	9
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Unearned premium reserves.	0								
Additional policy reserves (a)	27,569,595			27,569,595					
Reserve for future contingent benefits	0								
4. Reserve for rate credits or experience rating refunds (including									
\$ for investment income)	3,686,756			3,686,756					
5. Aggregate write-ins for other policy reserves	0	0	0	0	0	o	0	0	
6. Totals (gross)	31,256,351	0	0	31,256,351	0	0	0	0	
7. Reinsurance ceded	0								
8. Totals (Net) (Page 3, Line 4)	31,256,351	0	0	31,256,351	0	0	0	0	
Present value of amounts not yet due on claims	0								
10. Reserve for future contingent benefits	0								
11. Aggregate write-ins for other claim reserves	1	0	0	0	0	0	0	0	
12. Totals (gross)	0	0	0	0	0	0	0	0	
13. Reinsurance ceded	0								
14. Totals (Net) (Page 3, Line 7)	0	0	0	0	0	0	0	0	
ETAILS OF WRITE-INS									
0501.	0								
)502.	l i								
0503.	l I								
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0	0	0	0	o	0	
0599. Totals (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	
101.	0								
i102									
1103	l i								
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0	0	0	0	0	
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	n	ก	0	n	Û	n	0	0	

(a) Includes \$ ______0 premium deficiency reserve.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 3 - ANALYSIS OF EXPENSES

		Claim Adjustm		3	4	5
		1 Cost Containment Expenses	2 Other Claim Adjustment Expenses	General Administrative Expenses	Investment Expenses	Total
1.	Rent (\$1,406,475 for occupancy of own building)		293,724	1,112,751		1,406,475
2.	Salaries, wages and other benefits	596,874	4,222,837	13,627,522		18,447,233
3.	Commissions (less \$ceded plus					
	\$assumed)			5,976,151		5,976,151
4.	Legal fees and expenses		5,129	253,360		258,488
5.	Certifications and accreditation fees					0
6.	Auditing, actuarial and other consulting services		582,478	747,003		1,329,480
7.	Traveling expenses		11,403	80,731		92,135
8.	Marketing and advertising		97,987	1,018,196		1,116,184
9.	Postage, express and telephone		596,012	570,326		1,166,338
10.	Printing and office supplies		524,715	498,182		1,022,897
11.	Occupancy, depreciation and amortization		14,486	.57,226		71,712
12.	Equipment		170,370	629,241		799,612
13.	Cost or depreciation of EDP equipment and software		659,232	335,099		994,331
14.	Outsourced services including EDP, claims, and other services		505,504	2,373,854		2,879,358
15.	Boards, bureaus and association fees		5,403	517,121		522,524
16.	Insurance, except on real estate		4,798	218,154		222,952
17.	Collection and bank service charges			252,313		252,313
18.	Group service and administration fees					0
19.	Reimbursements by uninsured plans	(299,873)	(4, 110, 419)	(8,879,941)		(13,290,233)
20.	Reimbursements from fiscal intermediaries					0
21.	Real estate expenses		72,479	300,396		372,875
22.	Real estate taxes		58,620	224,328		282,948
23.	Taxes, licenses and fees:					
	23.1 State and local insurance taxes		·····			0
	23.2 State premium taxes			891,115		891,115
	23.3 Regulatory authority licenses and fees			35,820		35,820
	23.4 Payroll taxes	31,689	233,973	783,313		1,048,975
	23.5 Other (excluding federal income and real estate taxes)			1,158,562		1,158,562
24.	Investment expenses not included elsewhere				179,308	179,308
25.	Aggregate write-ins for expenses	0	(10,021)	6,879,843	0	6,869,822
26.	Total expenses incurred (Lines 1 to 25)	328,689	3,938,712	29,660,666	179,308	(a)34, 107,375
27.	Less expenses unpaid December 31, current year	0	432,452	8,287,829		8,720,281
28.	Add expenses unpaid December 31, prior year	0	458,702	7,703,682	0	8,162,384
29.	Amounts receivable relating to uninsured plans, prior year	0	0	1,256,704	0	1,256,704
30.	Amounts receivable relating to uninsured plans, current year			3,049,337		3,049,337
31.	Total expenses paid (Lines 26 minus 27 plus 28 minus 29 plus 30)	328,689	3,964,962	30,869,153	179,308	35,342,112
DETAII	S OF WRITE-INS					
2501.	Miscellaneous Reimbursements		5,475	126,550		132,025
2502.	Sundry General		1,349	58,452		59,801
2503.	Contributions			6,704,245		6,704,245
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	(16,846)	(9,404)	0	(26,250)
2599.	Totals (Line 2501 through 2503 plus 2598) (Line 25 above)	0	(10,021)	6,879,843	0	6,869,822

(a) Includes management fees of \$ ______to affiliates and \$ _____to non-affiliates.

EXHIBIT OF NET INVESTMENT INCOME

			1 Collected During Year	2 Earned During Year	
1.	U.S. Government bonds	(a)	85,349	 	34,792
1.1	Bonds exempt from U.S. tax				
1.2	Other bonds (unaffiliated)	(a)	1,275,638	1,27	5.358
1.3	Bonds of affiliates		0		
2.1	Preferred stocks (unaffiliated)	(b)	0		
	Preferred stocks of affiliates	/b)	0		
2.2	Common stocks (unaffiliated)	1,5,	840,992	84	
2.21	Common stocks of affiliates		0		
3.	Mortgage loans				
4.	Real estate		1,406,475	1,40	
5.	Contract loans				
6.	Cash, cash equivalents and short-term investments	(e)	10,226		
7.	Derivative instruments	(6)	,0,220		,,0,,,
8.	Other invested assets				
9.	Aggregate write-ins for investment income		٥		0
10.	Total gross investment income		3.618.681		15 564
		1	.,.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	170	20 200
11. 12.	Investment expenses			(g) 179	
12. 13.	Investment taxes, licenses and fees, excluding federal income taxes				
	Interest expense				
14.	Depreciation on real estate and other invested assets			. (i)40:	-
15.	Aggregate write-ins for deductions from investment income				U
16.	Total deductions (Lines 11 through 15)			58	15, 153
17.	Net investment income (Line 10 minus Line 16)			3,03	30,410
	LS OF WRITE-INS				
0901.	Miscellanous Investment Income		0		0
0902.					
0903.					
0998.	Summary of remaining write-ins for Line 9 from overflow page	.]	0		0
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)		0		0
1501.				***************************************	
1502.					
1503.					
1598.	Summary of remaining write-ins for Line 15 from overflow page		•••••		Λ
1599.	Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)		,,,,,,,,		ი
000.	Taking (Lintes 1001 timough 1000 plus 1000) (Linte 10 above)			J.	
(a) Inch	ides \$	20	267	11.6	
(b) incl	rdes \$	ZU,	O paid for accrue	interest on purchase	25.
(c) Inch	accruel of discount less \$ arronzation of premium and less \$		v paid for accrue	dividends on purcha	ises.
(d) Inch	ides \$0 accrual of discount less \$0 amortization of premium and less \$. 4 .	paid for accrued	interest on purchase	∂ S.
(a) Incli	interest index \$	st on er	cumprances.		
(E) Inch	ides \$ accrual of discount less \$ amortization of premium and less \$		paid for accrued	interest on purchase	es.
(I) INCH	ıdes \$accrual of discount less \$amortization of premium.				
(g) mon	investment expenses and \$ investment taxes, licenses and fees, except and the second s	cluding	tederal income taxes	, attributable to	
	regated and Separate Accounts.				
(n) Incli	interest on surplus notes and \$ interest on capital notes.				
ex :	ides \$				

EXHIBIT OF CAPITAL GAINS (LOSSES)

		OF CAPI	IAL GAIN	う (LU39E	3)	
	111001110011	1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds				7 ,464	,,,,,,
1.1	Bonds exempt from U.S. tax			0		
1.2	Other bonds (unaffiliated)	74,592	(54,376)	20,216	(336)	
1.3	Bonds of affiliates			0	0	0
2.1	Preferred stocks (unaffiliated)	0	0	0	0	l0
2.11	Preferred stocks of affiliates			0	0	l0
2.2	Common stocks (unaffiliated)			0	2,103,451	
2.21	Common stocks of affiliates	0	0	0	258,589	0
3.	Mortgage loans	0	0	0	0	0
4.	Real estate	0	0	0		lo
5.	Contract loans		***************************************	0	·	
6.	Cash, cash equivalents and short-term investments.				0	0
7.	Derivative instruments					
8.	Other invested assets	0	0	0	0	0
9.	Aggregate write-ins for capital gains (losses)	0	0	0	0	0
10.	Total capital gains (losses)	74,592			2,369,168	n
DETAI	LS OF WRITE-INS					<u> </u>
0901.				n		
0902.				n		***************************************
0903.						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
0998.	Summary of remaining write-ins for Line 9 from overflow page)	0	0	ſ	Λ
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)	0	0	n	n	n

EXHIBIT OF NONADMITTED ASSETS

	EXHIBIT OF NONAL	1 Current Year Total	2 Prior Year Total	3 Change in Total Nonadmitted Assets
		Nonadmitted Assets	Nonadmitted Assets	(Col. 2 - Col. 1)
1.	Bonds (Schedule D).	0	0	0
2.	Stocks (Schedule D):			
	2.1 Preferred stocks			
	2.2 Common stocks	2,537,086	1,826,852	(710,235)
	Mortgage loans on real estate (Schedule B):			
	3.1 First liens			0
	3.2 Other than first liens	0	0	0
	Real estate (Schedule A):			
	4.1 Properties occupied by the company			0
•	4.2 Properties held for the production of income.	1	l l	0
	4.3 Properties held for sale	0	0	0
	Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and			
	short-term investments (Schedule DA).	0	0	0
6.	Contract loans	0	0	0
	Derivatives (Schedule DB)			0
	Other invested assets (Schedule BA)			0
	Receivables for securities			0
	Securities lending reinvested collateral assets (Schedule DL).	0		0
11.	Aggregate write-ins for invested assets	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	2,537,086	1,826,852	(710,235)
13.	Title plants (for Title insurers only)	0	0	0
14.	Investment income due and accrued	0	0	0
15.	Premiums and considerations:			
	15.1 Uncollected premiums and agents' balances in the course of collection.	46,105	32,821	(13,285)
	15.2 Deferred premiums, agents' balances and installments booked but deferred			
	and not yet due	0	0	0
	15.3 Accrued retrospective premiums and contracts subject to redetermination	.0	0	0
16.	Reinsurance:			
	16.1 Amounts recoverable from reinsurers	0	0	0
	16.2 Funds held by or deposited with reinsured companies	0	0	0
	16.3 Other amounts receivable under reinsurance contracts	0	0	0
	Amounts receivable relating to uninsured plans			1,119
18.1	Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2	Net deferred tax asset	0	0	0
19.	Guaranty funds receivable or on deposit	0	0	0
20.	Electronic data processing equipment and software.	0	0	0
21.	Furniture and equipment, including health care delivery assets.	312,339	204,358	(107,981)
22.	Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0
23.	Receivables from parent, subsidiaries and affiliates	8,954	39,507	30,553
24.	Health care and other amounts receivable.	8,891	9,476	
25.	Aggregate write-ins for other-than-invested assets	1,909,510	2,011,802	102,292
	Total assets excluding Separate Accounts, Segregated Accounts and			
	Protected Cell Accounts (Lines 12 to 25)	4,822,886	4,125,934	(696,952)
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
28.	Total (Lines 26 and 27)	4,822,886	4,125,934	(696,952)
DETAIL	S OF WRITE-INS			
1101.			0	0
	1		0	0
			0	0
1198.	Summary of remaining write-ins for Line 11 from overflow page		•	0
1199.	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0
2501.	Prepaid Expenses and Deposits	1,909,510	2,011,802	102,292
2502.		1	0	0
2503.			0	0
2598.	Summary of remaining write-ins for Line 25 from overflow page		1	0
2599.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,909,510	2,011,802	102,292

EXHIBIT 1 - ENROLLMENT BY PRODUCT TYPE FOR HEALTH BUSINESS ONLY

		1	Total Members at End o	f		6
Source of Enrollment	1 Prior Year	2 First Quarter	3 Second Quarter	4 Third Quarter	5 Current Year	Current Year Member Months
Health Maintenance Organizations.	0					
2. Provider Service Organizations	0					
3. Preferred Provider Organizations	207,061	220,262	220,571	223,315	222,832	2,655,593
4. Point of Service	0					
5. Indemnity Only	338,890	339,730	349,287	356,940	367,693	4,205,268
6. Aggregate write-ins for other lines of business	o	0	0	0	0	0
7. Total	545,951	559,992	569,858	580,255	590,525	6,860,861
DETAILS OF WRITE-INS						
0601.	0					
0602.	0					
0603.	0					
0598. Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0

EXHIBIT 2 - ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

1 Name of Debtor	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 Over 90 Days	6 Nonadmitted	7 Admitted
0199999 Total individuals	1 - 30 Days	31 - 00 Days	01-90 Days	Over 50 Days	Noticul	7 KIMILOG
Group subscribers: DENTAL WELLNESS PLAN	1,952,017	0	0	D	0	1,952,017
THEALTHY AND WELL KIDS IN IOWA (hawk-I)	826,822	0	0	0	0	826,822
IMEREDITH CORPORATION	133,145	0	0	0	0	133,145
DASIS AHR WELFARE BENEFIT PLAN	48,739	0	0	0	0	48,739
	···					
					,	
0299997 Group subscriber subtotal	2,960,723	0	0	0	0	2,960,723
0299998 Premiums due and unpaid not individually listed	87,848	17,984	4,667	46,105	46,105	110,499
0299999 Total group	3,048,571	17 ,984	4,667	46,105	46,105	3,071,222
0399999 Premiums due and unpaid from Medicare entities						
0499999 Premiums due and unpaid from Medicaid entities						
0599999 Accident and health premiums due and unpaid (Page 2, Line 15)	3,048,571	17,984	4,667	46,105	46,105	3,071,222

EXHIBIT 3 - HEALTH CARE RECEIVABLES

EXHIDIT 5 - HEALTH CARE RECEIVABLES										
1 Name of Debtor	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 Over 90 Days	6 Nonadmitted	7 Admitted				
Pharmaceutical Rebate Receivables										
Claim Overpayment Receivables										
0299998 - Aggregate of amounts not individually listed above. 0299999 - Claim Overpayment Receivables	24,36			8,891	8,891	49,868				
0299999 - Claim Overpayment Receivables	24,3	12 23,943	1,583	8,891	8,891	49,868				
Loans and Advances to Providers	· · · · · · · · · · · · · · · · · · ·									
0399998 - Aggregate of amounts not individually listed above.		82				82				
0399999 - Loans and Advances to Providers		0 82	0	0	0	83				
Capitation Arrangement Receivables										
Risk sharing Receivables										
Other Receivables										
	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,									
•••••										
										
					······························					
			-							
			1	•						
0799999 Gross Health Care Receivables	24,34	24,025	1,583	8,891	8,891	49,95				

EXHIBIT 3A – ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

Type of Health Care Receivables	Health Care Rec	eivables Collected the Year 2	Health Care Rec as of December 3 On Amounts Accrued	ceivables Accrued 31 of Current Year 4	5 Health Care Receivables in Prior Years (Columns 1 + 3)	6 Estimated Health Care Receivables Accrued as of December 31 of Prior Year
Pharmaceutical rebate receivables					0	
Claim overpayment receivables	136,227	1,303,278		58,760	136,227	137,575
Loans and advances to providers				82	0	
4. Capitation arrangement receivables					0	
5. Risk sharing receivables					0	
6. Other health care receivables					0	
7. Totals (Lines 1 through 6)	136,227	1,303,278	0	58,842	136,227	137,575

Note that the accrued amounts in Columns 3, 4 and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 – CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims						
, 1	2	3	4	5	6	7
Account	1 - 30 Days	31 - 60 Days	61 - 90 Days	91 - 120 Days	Over 120 Days	Total
Claims Unpaid (Reported)						
· · · · · · · · · · · · · · · · · · ·						
· · · · · · · · · · · · · · · · · · ·						•••••
						,
						17.51.711.1711.1

0199999 Individually listed claims unpaid		0				ō
0299999 Aggregate accounts not individually listed-uncovered						
0399999 Aggregate accounts not individually listed-covered	1,733,961	89,861	39,400	13,346	29,867	1,906,435
0499999 Subtotals	1,733,961	89,861	39,400	13,346	29,867	1,906,435
0599999 Unreported claims and other claim reserves						3,769,341
0699999 Total amounts withheld						
0799999 Total claims unpaid						5,675,776
0899999 Accrued medical incentive pool and bonus amounts						554,000

EXHIBIT 5 - AMOUNTS DUE FROM PARENT, SUBSIDIARIES AND AFFILIATES

1	2	3	4	5	6	Adm	itted
						7	8
Name of Affiliate	1 - 30 Days	31 - 60 Days	61 - 90 Days	Over 90 Days	Nonadmitted	Current	Non-Current
Delta Dental of Iowa Foundation Veratrus Benefit Solutions, Inc. Veratrus Health, Inc.	56,247	24	0	750	750	56,271	0
Veratrus Benefit Solutions, Inc.	93,038	150	0	8,204	8,204	93,188	
Veratrus Health, Inc	21 , 184	0	<u> </u> 0	0	0	21,184	0
					,,,		
							.,.,

	•						.,,.

0199999 Individually listed receivables	170,469	17/	0	8.954	8.954	170,643	0
0299999 Receivables not individually listed	170,403		J	0,504	0,304	170,043	
0399999 Total gross amounts receivable	170,469	174	0	8,954	8.954	170,643	Λ
nosasaa Torat Arosa suronius teretasnia	170,409	114	ı	0,904	0,904]	110,043	<u> </u>

EXHIBIT 6 - AMOUNTS DUE TO PARENT, SUBSIDIARIES AND AFFILIATES

1	2	3	4	5
Affiliate	Description	Amount	Current	Non-Current
Veratrus Benefit Solutions, Inc	Vision Premium	548,876	548,876	
Delta Dental of lowa Foundation	Second Story Reimbursement	10,694	10,694	
		,,		
0199999 Individually listed payables.		559,570	559,570	0
0199999 Individually listed payables 0299999 Payables not individually listed		28	28	
0399999 Total gross payables		559,598	559,598	0

EXHIBIT 7 - PART 1- SUMMARY OF TRANSACTIONS WITH PROVIDERS

Payment Method	1 Direct Medical Expense Payment	2 Column 1 as a % of Total Payments	3 Total Members Covered	4 Column 3 as a % of Total Members	5 Column 1 Expenses Paid to Affiliated Providers	6 Column 1 Expenses Paid to Non-Affiliated Providers
Capitation Payments:						
1. Medical groups	0	0.0		0.0		
2. Intermediaries		0.0		0.0		
3. All other providers	0	0.0		0.0	.,,,,,,,,,	
4. Total capitation payments		0.0	0	0.0	0	0
Other Payments:						
5. Fee-for-service	62,383,365	59.1	XXX	XXX		62,383,365
Contractual fee payments	40 454 007	40.9	XXX	XXX		43,151,287
7. Bonus/withhold arrangements - fee-for-service	0	0.0	XXX	XXX		
Bonus/withhold arrangements - contractual fee payments	0	0.0	XXX	XXX		
9. Non-contingent salaries	0	0.0	XXX	XXX		
10. Aggregate cost arrangements	0	0.0	XXX	XXX		
11. All other payments	۸ ا	0.0	XXX	XXX		,
12. Total other payments	105,534,652	100.0	XXX	XXX	0	105,534,652
13. Total (Line 4 plus Line 12)	105,534,652	100 %	XXX	XXX	0	105,534,652

EXHIBIT 7 - PART 2 - SUMMARY OF TRANSACTIONS WITH INTERMEDIARIES

	EXHIBIT 7-FART 2-SUMMART OF TRANSACTIONS	<u> </u>	ZIMEDIVIZIE	<u> </u>	
1 1	2	3	4	5	6
<u> </u>			Average		Intermediary's
			Average Monthly	Intermedian/s	Intermediary's Authorized Control Level RBC
NAIC Code	Name of Intermediary	Capitation Paid	Capitation	Intermediary's Total Adjusted Capital	Control Level PBC
NAIC Code	Natire of intermediary	Capitation raid	Capitation	Total Aujusteu Capital	Control rever IVDC
				.,,,,,	
				NINISHII	
					,,
9999999 Totals			XXX	XXX	XXX

EXHIBIT 8 – FURNITURE, EQUIPMENT AND SUPPLIES OWNED

•	1	2	3	4	5	6
Description	Cost	Improvements	Accumulated Depreciation	Book Value Less Encumbrances	Assets Not Admitted	Net Admitted Assets
Administrative furniture and equipment	1,885,712		1,580,383		305,328	
Medical furniture, equipment and fixtures						
3. Pharmaceuticals and surgical supplies						
4. Durable medical equipment						
5. Other property and equipment	7,011				7,011	
6. Total	1,892,722	0	1,580,383	0	312,339	0

Item 1. Summary of Significant Accounting Policies and Going Concerns

A. The accompanying financial statements of the Company have been prepared in conformity with the accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Iowa.

NET INCOME	SSAP#	F/S <u>Page</u>	F/S <u>Line #</u>	2020	<u>2019</u>
(1) Company state basis (Page 4, Line 32, Columns 2 & 3)	xxx	xxx	XXX\$	6,877,906	\$ 3,719,657
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:			\$		\$
(3) State Permitted Practices that increase/(decrease) NAIC SAP:		111111	\$		\$
(4) NAIC SAP (1-2-3=4)	xxx	xxx	\$xx \$_	6,877,906	\$3,719,657
SURPLUS (5) Company state basis (Page 3, Line 33, Columns 3 & 4)	XXX	., xxx	xxx s	87,501,656	\$ 78,951,533
(6) State Prescribed Practices that increase/(decrease) NAIC	SAP:	,	\$		\$
(7) State Permitted Practices that increase/(decrease) NAIC SAP:		***************************************	\$		\$
(8) NAIC SAP (5-6-7=8)	XXX	xxx	xxxs_	87,501,656	\$78,951,533

- B. The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported assets and liabilities as well as contingent assets and liabilities at the date of the financial statements and the revenue and expenses reported during the period. Actual results could differ from the estimates.
- C. The Company uses the following policies:
 - 1. Short-term investments are stated at amortized cost.
 - 2. Bonds are stated at amortized cost using the effective interest method.
 - 3. Unaffiliated Common stocks are stated at market value as determined by the Securities Valuation Office of the NAIC and the related unrealized capital gains (losses) are reported in the unassigned funds. Common Stock investments in uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the equity basis.
 - 4. The Company owns no preferred stock as of December 31, 2020.
 - 5. The Company owns no mortgage loans as of December 31, 2020.
 - 6. Loan-backed securities are stated at either the amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all such securities except interest only which are valued using the prospective method.

i kata Japan pertahangan perjanggaban panggaban panggabangan berangga panggaban pengaban pengaban pengaban pen

- 7. The Company has a 100% ownership in Veratrus Health, Inc. (VHI) as of December 31, 2020. Veratrus Benefit Solutions, Inc. (VBS) which is owned by VHI is carried on the books using the statutory equity method. Amounts related to the investment in VHI other than VBS are non-admitted by the Company.
- 8. The Company owns no investments in joint ventures, partnerships or limited liability companies.
- 9. The Company owns no derivative instruments.
- 10. The Company anticipates investment income as a factor in the premium deficiency calculation, in accordance with SSAP No 54, Individual and Group Accident and Health Contracts.
- 11. Unpaid claims and claims adjustment expense liabilities represent the estimated ultimate net cost of all reported and unreported claims incurred through December 31, 2020. The Company does not discount claims and claim adjustment expense liabilities. The liabilities for unpaid claims and claims adjustment expenses are estimated using past experiences and statistical analysis. Those estimates are subject to the effects of trends in utilization of dental services, the amount of charges and other factors. Although considerable variability is inherent in such estimates, management believes the liabilities for claims and unpaid claims adjustment expenses are adequate. The estimates are continually reviewed and adjusted as necessary as experience develops or new information becomes known; such adjustments are included in current operations.
- 12. The Company capitalizes assets which have an acquisition cost greater than or equal to \$2,000 and when the asset is expected to benefit the company more than one year.

Item 2. Accounting Changes and Corrections of Errors

- A. The Company made no corrections of accounting errors for the year ended December 31, 2020.
- B. There were no material changes in Accounting Principles.
- Item 3. Business Combinations and Goodwill Not Applicable
- Item 4. Discontinued Operations Not Applicable
- Item 5. Investments
 - A. Mortgage Loans Not Applicable
 - B. Debt Restructuring Not Applicable
 - C. Reverse Mortgages Not Applicable
 - D. Loan Backed Securities
 - For fixed-rate agency mortgage-backed securities, Clearwater Analytics calculates prepayment speeds utilizing
 Mortgage Industry Advisory Corporation (MIAC) Mortgage Industry Medians (MIMs). MIMs are derived from a
 semi-monthly dealer-consensus survey of long-term prepayment projections. For other mortgage-backed, loan-backed,
 and structured securities, Clearwater utilizes prepayment assumptions from Moody's Analytics. Moody's applies a flat
 economic credit model and utilizes a vector of multiple monthly speeds as opposed to a single speed for more robust
 projections. In instances where Moody's projections are not available, Clearwater uses data from Reuters, which
 utilizes the median prepayment speed from contributors' models.

er kann stag algere er at til fråre, til ekste de som stagte i staling fra det i stokke stallfar er de er er i

2. Summarize loan-backed security other-than-temporary impairments by quarter.

		1	2a	2b	3
			OTTI Recognized	OTTI Recognized	
			in Loss	in Loss	
OTTI	Recognized 1st	Amortized Cost	Interest-Related	Non-Interest Loss	1Q20 FV
	Quarter	before OTTI	(Unrealized) Loss	(OTTI)	1-(2a+2b)
a.	Intent to Sell	0	00	0	0
b.	Inability/ lack				
	of intent to				
	retain long				
	enough to				
	recover AC	0	0	0	0
C.	Total 1st				
	Quarter	0	0	0	0
	·	1	2a	2b	3
			OTTI Recognized	OTTI Recognized	
	_		in Loss	in Loss	********
OI	TI Recognized	Amortized Cost	Interest-Related	Non-Interest Loss	2Q20 FV
	2nd Quarter	before OTTI	(Unrealized) Loss	(OTTI)	1-(2a+2b)
<u>a.</u>	Intent to Sell	0	0	0	0
b.	Inability/ lack				
	of intent to				
	retain long				
	enough to				
	recover AC	0	0	0	0
c.	Total 2nd				
	Quarter	0	0	0	0
··········		1	2a	2b	3
			OTTI Recognized in Loss	OTTI Recognized in Loss	
ОТ	TI Recognized	Amortized Cost	Interest-Related	Non-Interest Loss	3Q20 FV
	3rd Quarter	before OTTI	(Unrealized) Loss	(OTTI)	_
					1-129+201
a.		0	(On canzeu) Loss	***************************************	1-(2a+2b)
<u>a.</u> b.	Intent to Sell	78.44.60	***************************************	0	0
	Intent to Sell Inability/ lack	78.44.60	***************************************	***************************************	
	Intent to Sell Inability/ lack of intent to	78.44.60	***************************************	***************************************	
	Intent to Sell Inability/ lack of intent to retain long	78.44.60	***************************************	***************************************	
	Intent to Sell Inability/ lack of intent to	78.44.60	***************************************	0	0
	Intent to Sell Inability/ lack of intent to retain long enough to	0	0	***************************************	
b.	Intent to Sell Inability/ lack of intent to retain long enough to recover AC	0	0	0	0
b.	Intent to Sell Inability/ lack of intent to retain long enough to recover AC Total 3 rd	0	0	0	0
b.	Intent to Sell Inability/ lack of intent to retain long enough to recover AC Total 3 rd Quarter TI Recognized	0 0 Amortized Cost	0 0 OTTI Recognized in Loss Interest-Related	0 0 OTTI Recognized in Loss Non-Interest Loss	0 0 0 4Q20 FV
b.	Intent to Sell Inability/ lack of intent to retain long enough to recover AC Total 3 rd Quarter	0 0	0 0 OTTI Recognized in Loss	0 0 OTTI Recognized in Loss	0 0

of intent to retain long enough to				
recover AC				
c. Total 4th				
Quarter	0	0	0	0

3. Listing all loan-backed securities that have recognized an OTTI due to cash flow analysis in the current reporting period.

1	2	3	4	5	6	
	Book Adj					
	Amortized Cost			Amortized Cost	Fair Value	
	before	Projected	Recognized	after	at time of	
<u>Secid</u>	<u>otti</u>	Cash Flow	OTTI	<u>otti</u>	OTTI	Date
NONE						12/31/2020

- 4. All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized related impairment remains):
- a. Aggregate Unrealized Losses

b

	1. Less than 12 months	3,631
	2. 12 Months or Longer	0
).	Aggregate FV of Securities with Unrealized Losses	
	1. Less than 12 months	884,892
	2. 12 Months or Longer	0

- 5. In determining whether a decline in value is other than temporary, the Company considers several factors including but not limited to the following: the extent and duration of the decline in value; the Company's inability or lack of intent to retain the investment for a period of time sufficient to recover the amortized cost basis; and the performance of the security's underlying collateral and projected future cash flows. In projecting future cash flows, the Company incorporates inputs from third-party sources and applies reasonable judgment in developing assumptions used to estimate the probability and timing of collecting all contractual cash flows.
- E. Dollar Repurchase Agreements Not Applicable
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing-Not Applicable
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing-Not Applicable
- H. Repurchase Agreements Transactions Accounted for as a Sale-Not Applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale-Not Applicable
- J. Real Estate Not Applicable
- K. Investments in low-income housing tax credits Not Applicable
- L. Restricted Assets-Not Applicable
- M. Working Capital Finance Investments-Not Applicable
- N. Offsetting and Netting of Assets and Liabilities-Not Applicable

- O. 5GI Securities-Not Applicable
- P. Short Sales-Not Applicable
- Q. Prepayment Penalty and Acceleration Fees

General Account

(1) Number of CUSIPs

8

(2) Aggregate Amount of Investment Income

\$78,395

- Item 6. Joint Ventures, Partnerships and Limited Liability Companies Not Applicable
- Item 7. Investment Income
 - A. The Company had no amount due and accrued excluded from investment income.
- Item 8. Derivative Instruments Not Applicable
- Item 9. Income Taxes-Not Applicable
- Item 10. Information Concerning Parent, Subsidiaries, Affiliates and other Related Parties
 - 1. The Company established the Delta Dental of Iowa Foundation (DDIAF) to administer funds set aside for Community Impact programs. A request to establish the DDIAF as a 501(c)(3) entity was approved by the Internal Revenue Service. The DDIAF was incorporated on July 24, 2007 to support and improve the oral health of Iowans. The DDIAF was organized to assist in carrying out the charitable purposes of the Company.

The DDIAF is a supporting organization that has one member, the Company. The DDIAF Board of Directors will be comprised of not more than fourteen members representing the Company, government/public health, the dental community, academia, community health, and/or other philanthropic organizations. Two of the fourteen positions are seated positions: 1) President of Delta Dental of Iowa and; 2) Chairman of the Board of Directors for Delta Dental of Iowa or another member of the Board of Directors of Delta Dental shall serve as a Director of the Foundation. Twelve of the DDIAF Directors are annually elected by Delta Dental of Iowa Board of Directors.

In addition, the Vice President of Public Affairs of the Company serves as the executive director of DDIAF, the President and Chief Executive Officer of the Company serves as President of DDIAF, and the Vice President of Finance and Controller of the Company serves as Treasurer of DDIAF. DDIAF pays a management fee for services provided by the Company. Management fee expense for the year ending December 31, 2020 was \$464,045 and for the year ending December 31, 2019 was \$352,940. The Company also processes and allocates other common expenses which are reimbursed by DDIAF. These amounts totaled \$38,157 for the year ended December 31, 2020 and \$24,402 for the year ended December 31, 2019.

At December 31, 2020 and 2019, the net amount owed to the Company by DDIAF for the above agreement and other expense reimbursements was \$46,299 and \$28,327, respectively.

The Company made a contribution of \$5,608,977 and \$4,703,950 during 2020 and 2019, respectively, to the Foundation.

The Company established a for-profit holding company, Veratrus Health, Inc. (VHI) on February 27, 2017, and purchased 10,000 shares of common stock of VHI for consideration of \$1,050,000 and all common stock of Veratrus Benefit Solutions, Inc (VBS). VHI is incorporated in the State of Iowa as a for-profit corporation and will be the holding company of various organizations offering services in the State of Iowa. DDIA recorded a receivable from VHI of \$21,185 as of December 31, 2020, and \$32,253 as of December 31, 2019. DDIA also recorded a receivable from Veratrus Investments, LLC, a subsidiary of VHI, of \$0 as of December 31, 2020, and \$39,511 as of December 31, 2019. The Company established a management agreement with VHI beginning January 1, 2019. Management fee expense for the year ending December 31, 2020 was \$301,689 and for the year ending December 31, 2019 was \$339,467.

The Company has a management agreement with VBS, a subsidiary of VHI. Management fee expense for the year ending December 31, 2020 was \$401,773 and for the year ending December 31, 2019 was \$387,007.

The net amount owed to VBS by the Company for the management agreement and other expense reimbursements for the year ending December 31, 2020 was \$447,485 and for the year ending December 31, 2019 was \$330,592.

Item 11. Debt

A. Under an unsecured line of credit agreement with a bank, the Company had available borrowings of approximately \$2,000,000 which expired on April 30, 2020. The Company obtained a new line of credit of \$10,000,000 which expires on May 15, 2021. Interest on outstanding balances is based on a variable rate as detailed in the agreement. There were no borrowings on this line of credit as of December 31, 2020.

Item 12. Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences and other Post-retirement Benefit Plans

A. Not Applicable

- B. The Company has a qualified contributory 401(K) plan that covers substantially all employees. Employee participation in the 401(K) plan is optional. The Company matches 100% of the first 3% and 50% of the next 2% of the employee's contribution. Expenses related to the employer match were \$519,638 in 2020 and \$437,570 in 2019. The Company elected to contribute an additional 4% of each eligible employee's earnings in 2020 and in 2019. The expenses for this discretionary contribution were \$523,255 for 2020 and \$468,421 for 2019.
- C. Effective July 1, 2003, the Company established the Delta Dental Plan of Iowa 457(b) Retirement Plan (the "457 Plan"). The 457 Plan is intended to provide deferred compensation benefits for a select group of management and directors in accordance with Part 1 of Title I of the Employee Retirement Income and Security Act of 1974. The select group of management and directors enter into a Salary Deferral Agreement with the Company to elect to contribute a portion of their compensation from the Company to the 457 Plan. Assets are held in a Rabbi Trust. At December 31, 2020 and 2019, the Company had established a liability of \$810,663 and \$608,843 respectively, for compensation deferred under the 457 Plan. The assets in the Rabbi Trust, which are common stocks and cash, had fair market value of \$778,121 and \$32,542 respectively, at December 31, 2020. As of December 31, 2019, the fair market values of the common stocks and cash were \$544,060 and \$64,784, respectively. Investment returns, which are recorded as investment income, match increases or decreases in the related liability.
- D. Effective January, 1, 2016, the Company established the Delta Dental of Iowa Executive 457(f) Plan (the "Plan"). The Plan is intended to provide for incentive and retention benefits for selected executive employees. The Company makes discretionary contributions to the Plan, and unvested benefits are held by the Company. As of December 31, 2020 and 2019, the Company had established a liability of \$967,410 and \$1,143,989, respectively for benefits earned under the Plan. The assets in the Plan, which are common stocks and cash, had fair market value of \$476,687 and \$251,085, respectively, at December 31, 2020. As of December 31, 2019, the fair market values of the common stocks and cash were \$569,232 and \$368,368 respectively. Investment returns, which are recorded as investment income, match increases or decreases in the related liability.
- E. Effective January 1, 2017, the Company established the Delta Dental of Iowa 2017 Executive Long-Term Incentive Plan (the "LTIP Plan"). The primary purpose of the LTIP Plan was to focus the executive team on long-term strategic initiatives that help meet the organization's mission and enhance the long term sustainability and growth of the Corporation. Further, the LTIP Plan was designed to enhance the Board's efforts at retention of its executive staff. As of December 31, 2019, the Company had established a liability of \$616,408. The LTIP plan ended December 31, 2019 and was paid out to the executive team in April 2020. The final payout amount was \$616,408.
- F. Effective January 1, 2020, the Company established the Delta Dental of Iowa 2020 Executive Long-Term Incentive Plan (the "2020 LTIP Plan"). The primary purpose of the LTIP Plan is to focus the executive team on long-term strategic initiatives that help meet the organization's mission and enhance the long term sustainability and growth of the Corporation. Further, the LTIP Plan is designed to enhance the Board's efforts at retention of its executive staff. The LTIP Plan is effective January 1, 2020 to December 31, 2022. The LTIP Plan is intended and at all times shall be an unfunded and unsecured plan that is limited to key management employees of the organization. As of December 31, 2020, the Company had established a liability of \$365,000 for benefits under the LTIP Plan.
- Item 13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations Not Applicable

Item 14. Contingencies

- A. The Company has no known contingent liabilities.
- B. The Company has committed no reserves to cover any contingent liabilities.

Item 15. Leases

- A. 1. The Company entered into a lease for mail equipment on December 16, 2014. The current lease ended March 16, 2020. Rental expense for 2020 was \$1,240 and for 2019 it was \$3,718.
- Item 16. Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk Not Applicable
- Item 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
 - A. Transfers of Receivables reported as Sales Not Applicable
 - B. Transfer and Servicing of Financial Assets Not Applicable
 - C. Wash Sales

The Company did not have any wash sales for the year ended December 31, 2020.

- Item 18. Gain or Loss from Uninsured Plans
 - A. ASO Plans Not applicable
 - B. ASC Plans

The gain from operations from Administrative Services Contract (ASC) uninsured plans and the uninsured portion of partially insured plans was as follows during 2020:

		ASC	_	ninsured ortion of ASC		Total
1.	Gross Reimbursement for medical cost incurred	\$ 176,230,972	\$	0	\$ 1	76,230,972
2.	Gross Admin Fees Accrued	\$ 13,290,233	\$	0	\$	13,290,233
3.	Other Income/Expense	\$ 0	\$	0	\$	0
4.	Gross Expenses incurred	\$ 190,143,869	\$	0	\$ 1	90,143,869
5.	Total Net Gain or Loss from Operations	\$ (622,664)	\$	0 \$	(6:	22,664)

Item 19. Direct Premium Written/Produced by Managing General Agents/TPA's - Not Applicable

Item 20. Fair Value Measurement

A. The fair value of the Company's financial assets and financial liabilities has been determined using available market information as of December 31, 2020. Although the Company is not aware of any factors that would significantly affect the fair value of the financial assets and financial liabilities, such amounts have not been comprehensively revalued since those dates. Therefore, estimates of fair value subsequent to the valuation dates may differ significantly from the amounts presented herein. Considerable judgment is required to interpret market data to develop the estimates of fair value. The use of different market assumptions and/or estimation methodologies may have a material effect on the estimated fair value amounts.

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. In determining fair value, the Company primarily uses the market approach which utilizes process and other relevant information generated by market transactions involving identical or comparable assets or liabilities. To a lesser extent, the Company also uses the income approach which uses discounted cash flows to determine fair value. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs. Observable inputs reflect the assumptions market participants would use in valuing a financial instrument based on market data obtained from sources independent of the Company. Unobservable inputs reflect the Company's estimates about the assumptions market participants would use in valuing financial liabilities based on the best information available in the circumstances.

The Company is required to categorize its financial assets and financial liabilities carried at fair value on the statutory statements of admitted assets, liabilities and capital and surplus according to a three-level hierarchy. A level is assigned to each financial asset and financial liability based on the lowest level input that is significant to the fair value measurement in its entirety. The levels of fair value hierarchy are as follows:

Level 1-Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market.

Level 2-Fair value is based on significant inputs, other than quoted prices included in Level 1, that are observable in active markets for identical or similar assets and liabilities.

Level 3-Fair value is based on at least one or more significant unobservable inputs. These inputs reflect the Company's assumption about the inputs market participants would use in pricing the assets or liabilities.

The Company uses prices and inputs that are current as of the measurement date. In periods of market disruption, the

ability to observe prices and inputs may be reduced, which could cause an asset or liability to be reclassified to a lower level.

Inputs used to measure fair value of an asset or liability may fall into different levels of the fair value hierarchy. In these situations, the Company will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value.

The following table summarizes by level of fair value hierarchy the financial assets and liabilities measured and reported by the Company at fair value as of year end:

	Level 1	Level 2	Level 3	Total
Short-term Investments				
Cash Equivalents				
Money Market Mutual Funds	919,703			919,703
Common Stacks				
Industrial & Misc.	21,637,355			21,637,355
	\$			<u> </u>
Total Financial Assets	22,557,058	\$ -	\$ -	22,557,058
Total Financial Liabilities	\$ 	\$ 	\$ 	\$
	***************************************	·		

- (1) The Company did not have any transfers between Level 1 and Level 2 of the fair value hierarchy during the current year.
- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy-Not Applicable
- (3) Transfers of securities among levels occur at the beginning of the reporting period.
- (4) Not Applicable no level 2 or 3 assets or liabilities
- (5) Not Applicable
- B. Not Applicable
- C. The following table summarizes by level of fair value hierarchy the aggregate fair value of all financial assets and liabilities held by the Company as of the current year end:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets*	Level 1	Level 2	Level 3	Not Practicable Carrying Value
Bonds	48,830,262	45,972,275	2,849,166	43,123,109		,,
Common Stock	21,637,355	21,637,355	21,637,355	-	-	
Short Terms	919,703	919,703	919,703			
Total Assets	71,387,320	68,529,333	25,406,224	43,123,109	_	<u> </u>
	Aggregate	Admitted				Not Practicable
Type of Financial Instrument	Fair Value	Assets*	Level 1	Level 2	Level 3	Carrying Value
Total Liabilities	30 -	-	-	-	-	*

D. Not Practicable to Estimate Fair Value-Not Applicable

Item 21. Other Items-Not Applicable

Item 22. Events Subsequent -

The Company is subject to an annual fee under section 9010 of the Federal Affordable Care Act (ACA). This annual fee is allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of health insurance for any U.S. health risk that is written during the preceding calendar year. A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for an U.S. health risk for each calendar

year beginning on or after January 1 of the year that the fee is due.

		Current Year	Prior Year
A.	Did the reporting entity write accident and health insurance premium that is subject to Section 9010 of the Federal Affordable Care Act		
	(YES/NO)?	Yes	Yes
B.	ACA fee assessment payable for the upcoming year	\$0	\$
C.	ACA fee assessment paid	\$ 1,147,154	\$
D.	Premium written subject to		
	ACA 9010 assessment	\$0	\$
E.	Total Adjusted Capital before		
	surplus adjustment	\$ 87,501,656	\$78,951,533
	(Five-Year Historical Line 14)		
F.			
	surplus adjustment	\$ 87,501,656	\$78,951,533
	(Five-Year Historical Line 14		
	minus 22B above)		
G.	Authorized Control Level		
	(Five-Year Historical Line 15)	\$ 5,616,478	\$5,827,594
Н.	Would reporting the ACA		
	assessment as of Dec. 31,		
	2020 have triggered an RBC		
	action level (YES/NO)?	No	No

Item 23. Reinsurance

During 2014, the Company entered into an separate agreements with Delta Dental Insurance Company. Under the first agreement the Company assumes certain dental insurance under a 3.02% risk sharing contract. In the other agreement the Company assumes certain dental insurance under a 2.53% risk sharing contract that covers two separate programs. Gross premiums of \$9,532,231 and \$9,449,133 were received under the agreements during 2020 and 2019, respectively. The first agreement ended December 31, 2018 with one year of runout under the agreement.

Item 24. Retrospective Rated Contracts - Not Applicable

Item 25. Change in Incurred Claims and Claim Adjustment Expenses

The Company utilizes an independent actuary to review the adequacy of the Company's loss and loss expense reserves. Based on the assessment of the Company's reserves, the actuary concluded the amount carried on the statements of admitted assets, liabilities and surplus reflects an adequate provision for all unpaid losses and loss adjustment expenses obligations of the Company.

Activity in the liability for unpaid claims and adjustment expenses is summarized as follows:

	<u>2020</u>	<u>2019</u>
Balance as of January 1,	\$ 6,339,459	\$ 6,969,362
Add provision for claims occurring in:		
Current year	118,623,843	134,151,665
Prior years	(461,846)	(1,176,975)
Total Incurred	118,161,997	132,974,690
Deduct payments for claims occurring in:		
Current year	112,726,595	128,049,189
Prior years	5,666,633	5,555,404
Total Paid	118,393,228	133,604,593
Balance as of December 31,	\$ 6,108,228	\$ 6,339,459

The forgoing reconciliation reflects a decrease of reserves of \$461,846 and \$1,176,975 as of December 31, 2020 and 2019, respectively. The changes in those reserves were primarily the result of differences in actual and assumed utilization and cost of dental services.

Item 26. Intercompany Pooling Arrangements - Not Applicable

Item 27 Structured Settlements - Not Applicable

- Item 28. Health Care Receivables -
 - A. The Company does not have any pharmaceutical rebate receivables
 - B. The Company does not have any risk sharing receivables
- Item 29. Participating Policies Not Applicable
- Item 30. Premium Deficiency Reserves-Not Applicable
- Item 31. Anticipated Salvage & Subrogation Not Applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1	Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?	Yes [х 1	No	()
	If yes, complete Schedule Y, Parts 1, 1A and 2.		,		
1.2	If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to	[X] No []	N/A	[]
1.3	State Regulating? Iowa				
1.4	Is the reporting entity publicly traded or a member of a publicly traded group?	Yes []	No	[X]
1.5	If the response to 1.4 is yes, provide the CiK (Central Index Key) code issued by the SEC for the entity/group.				
2.1	reporting entity?	Yes []	No	[X]
3.1	State as of what date the latest financial examination of the reporting entity was made or is being made. State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This		12	2/31	12010
3.3	date should be the date of the examined balance sheet and not the date the report was completed or released.		12	2/31	/2016
3.3	the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet		Of	3/07	/2018
3.4					
3.5	Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?	[] No []	N/A	[X]
3.6	Have all of the recommendations within the latest financial examination report been complied with?	[X] No []	N/A	[]
4.1	During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of: 4.11 sales of new business?	Yes [Yes [•		[X]
4.2			,		1 ^ 1
	affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on				
	direct premiums) of: 4.21 sales of new business?	Yes [1	No	[X]
	4.22 renewals?	Yes []	No	[X]
5.1	Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?	Yes []	No	[X]
5.2	If yes, complete and file the merger history data file with the NAIC. If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has				
	ceased to exist as a result of the merger or consolidation.				
	1 2 3				
	Name of Entity NAIC Company Code State of Domicile				
6.1	Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?	ı Yes [1	No	[X]
6.2	If yes, give full information		-		•
7.1	Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?	Yes []	No	[X]
7.2	If yes,				
	7.21 State the percentage of foreign control				0.0 %
	7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney in-fact).	i -			
	1 2				
	Nationality Type of Entity				

GENERAL INTERROGATORIES

8.1 8.2	Is the company a subsidiary of a bank holding company reg If response to 8.1 is yes, please identify the name of the ba					Yes []	No [Хј
8.3 8.4	Is the company affiliated with one or more banks, thrifts or sif response to 8.3 is yes, please provide the names and loc financial regulatory services agency [i.e. the Federal Reservederal Deposit Insurance Corporation (FDIC) and the Secregulator.	ations (city and state of the main office) of ve Board (FRB), the Office of the Comptro	oller of the Cu	rrency (OCC),	the	Yes []	No [Х]
	1	2	3	4	5	6	7		
	Affiliate Name	Location (City, State)	FRB	occ	FDIC	SEC	\downarrow		
]			
	What is the name and address of the independent certified Plante & Moran, PLLC, 111 Michigan Ave, Ste 100, East L	ansing, MI 48823							
	Has the insurer been granted any exemptions to the prohi- requirements as allowed in Section 7H of the Annual Finar- law or regulation? If the response to 10.1 is yes, provide information related to	cial Reporting Model Regulation (Model				Yes []	No [)	(]
	Has the insurer been granted any exemptions related to allowed for in Section 18A of the Model Regulation, or subs	the other requirements of the Annual Fi	inancial Repo	rting Model R	Regulation as	Yes [1	No [)	ΧŢ
10.4	If the response to 10.3 is yes, provide information related to	this exemption:				•	•	•	•
	Has the reporting entity established an Audit Committee in If the response to 10.5 is no or n/a, please explain	compliance with the domiciliary state insu	rance laws?		Yes	[X] No []	N/A []
11.	What is the name, address and affiliation (officer/emploconsulting firm) of the individual providing the statement of Anne L. Treankler, FSA, MAAA, Director, Actuarial Servic WI 54481	actuarial opinion/certification? es, Delta Dental of Wisconsin, 2801 Hoo	ver Road, P.(D. Box 828, S	tevens Point,				
12.1	Does the reporting entity own any securities of a real estate	holding company or otherwise hold real	estate indirect	ly?		Yes []	No [X]
		12.11 Name of rea 12.12 Number of p							
		12.13 Total book/a							
12.2	If yes, provide explanation								
	FOR UNITED STATES BRANCHES OF ALIEN REPORTIN								
13.1	What changes have been made during the year in the Unite	ed States manager or the United States tr	ustees of the	reporting entit	y?				
	Does this statement contain all business transacted for the		Branch on risl	ks wherever lo	cated?	Yes []	No []
	Have there been any changes made to any of the trust inde	- ·			V	Yes []]
	If answer to (13.3) is yes, has the domiciliary or entry state Are the senior officers (principal executive officer, principal		ficer or contro	ller or nersor		[] No [J	N/A	ļ
	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical handle	f ethics, which includes the following stand	dards?		•	Yes [Χ]	No []
	relationships; b. Full, fair, accurate, timely and understandable disclosure	in the periodic reports required to be filed	by the report	tina entitv:					
	c. Compliance with applicable governmental laws, rules and	regulations;		3 -91					
	d. The prompt internal reporting of violations to an appropri	ate person or persons identified in the coo	de; and						
14.11	e. Accountability for adherence to the code. If the response to 14.1 is no, please explain:								
	•								
	Has the code of ethics for senior managers been amended					Yes []	No [X]
1 4 .Z1	If the response to 14.2 is yes, provide information related to	amendment(s).							

Yes [] No [X]

14.3 Have any provisions of the code of ethics been waived for any of the specified officers?

14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

	s the reporting entity the beneficiary of a Lei SVO Bank List?	tter of Credit that is unrelated to re	insurance where th	ne issuing or confirming bank is not on th	ie	Yes [.]	No	[
.2	if the response to 15.1 is yes, indicate the Albank of the Letter of Credit and describe the	merican Bankers Association (ABA circumstances in which the Letter	A) Routing Number of Credit is trigger	and the name of the issuing or confirmined.	ng				
	1	2		3	<u> </u>	4		٦	
	American								
	Bankers								
	Association (ABA) Routing	Issuing or Confirming							
	Number	Bank Name	Circumstance	es That Can Trigger the Letter of Credit	<u> </u>	Amount		4	
					<u> </u>				
	***************************************				<u> </u>		*******		
							.,		
	<u> </u>	BOARD OF	DIRECTOR	8				_	
	Is the purchase or sale of all investments of the control of the c				ittee	Yes [X]	No	[
	Does the reporting entity keep a complete	permanent record of the proceed	dings of its board of	of directors and all subordinate commit	tees				
	thereof?					Yes [Х]	No	[
	Has the reporting entity an established proof the part of any of its officers, directors, trus such person?					Yes [х ј	No	[
		FINANCIA	\L						
	Has this statement been prepared using a b Accounting Principles)?	asis of accounting other than Statu	utory Accounting Pr	rinciples (e.g., Generally Accepted		Yes []	No	[
	Total amount loaned during the year (inclusi	ve of Separate Accounts, exclusiv	e of policy loans):	20.11 To directors or other officers	\$				
				20.12 To stockholders not officers	\$				
				20.13 Trustees, supreme or grand					
				(Fraternal only)	\$				
	Total amount of loans outstanding at the end policy loans):	d of year (inclusive of Separate Ac	counts, exclusive o	of 20.21 To directors or other officers	\$				
	policy location.			20.22 To stockholders not officers					
	·			20.23 Trustees, supreme or grand (Fraternal only)					
	Were any assets reported in this statement obligation being reported in the statement?	subject to a contractual obligation t	to transfer to anoth	er party without the liability for such		Yes [.]	No	[
	If yes, state the amount thereof at Decembe	r 31 of the current year:	21.21 Rented t	from others	\$				
			21.22 Borrowe	d from others	\$				
			21.23 Leased 1	from others	\$				
			21.24 Other		\$				
	Does this statement include payments for as guaranty association assessments?	sessments as described in the An	nnual Statement Ins	structions other than guaranty fund or		Yes []	No	[
	If answer is yes:			paid as losses or risk adjustment					
				paid as expenses		·····			
			22.23 Other a	·					
	Does the reporting entity report any amounts If yes, indicate any amounts receivable from		_	t of this statement?		Yes [-		-
		INVE	STMENT						
1	Were all the stocks, bonds and other securit the actual possession of the reporting entity	ies owned December 31 of current on said date? (other than securitie	t year, over which t es lending program	the reporting entity has exclusive control s addressed in 24.03)	, in	Yes [X]	No	[
	If no, give full and complete information, rela					·	-		•
3	For securities lending programs, provide a whether collateral is carried on or off-balanc	description of the program include a sheet. (an alternative is to refere	ding value for colla ence Note 17 where	teral and amount of loaned securities, e this information is also provided)	and				
4	For the reporting entity's securities lending Capital Instructions.	program, report amount of collater	ral for conforming p		•				_
	For the reporting entity's securities lending p	* · · · · · · · · · · · · · · · · · · ·							0
	Does your securities lending program requoutset of the contract?			•	Yes [] No			
	Does the reporting entity non-admit when the				Yes [No	[.	NA	ĺ
3	Does the reporting entity or the reporting enti conduct securities lending?	aty's securities lending agent utiliza	e the Master Secur	ities Lending Agreement (MSLA) to	Yes [] No	ſ	l NA	ſ

GENERAL INTERROGATORIES

24.09	For the rep	orting entity	y's securities lending prog	jram, s	state the amour	nt of the folio	owing as of December 31 of the current year:				
	24.	091 Tota	al fair value of reinvested	collate	ral assets repo	rted on Sch	edule DL, Parts 1 and 2	\$			0
	24.	092 Tota	al book adjusted/carrying	value	of reinvested co	ollateral asso	ets reported on Schedule DL, Parts 1 and 2	\$.0
	24.	093 Tota	al payable for securities le	ending	reported on the	liability pag	ge	\$			0
25.1	control of	the reportin		ng ent	ity sold or trans		at December 31 of the current year not exclusively und assets subject to a put option contract that is currently in t		Yes [] No [X]
25.2	If yes, stat	e the amou	nt thereof at December 31	1 of the	e current year.						
				25.21	Subject to rep	urchase agr	reements	\$			
				25.22	Subject to rev	erse repurch	nase agreements	\$			
				25.23	Subject to doll	ar repurcha	se agreements	\$			
				25.24	Subject to rev	erse dollar r	repurchase agreements	\$,,		
				25.25	Placed under	option agree	ements	\$			
				25.26	Letter stock or	securities r	restricted as to sale – excluding FHLB Capital Stock	\$			
				25.27	FHLB Capital	Stock		\$			
				25.28	On deposit wit	h states		\$			
				25.29	On deposit wit	th other regi	ulatory bodies	\$			
				25.30	Pledged as co	llateral – ex	cluding collateral pledged to an FHLB	\$			
				25.31	Pledged as co	llateral to F	HLB – including assets backing funding agreements	\$			
				25.32	Other			\$			
25.3	For catego	ry (25.26) p	provide the following:								
						1					
			1 Nature of Restriction				2 Description		3 Amount		
						İ					
26.1	Does the r	eporting en	tity have any hedging trar	rsactio	ns reported on	Schedule D	B?		Yes []	No [X]
26.2	If yes, has	a compreh	ensive description of the I	hedgin	g program bee	n made ava	ilable to the domiciliary state?	Yes [] No []	N/A [X]
	If no, attac	h a descrip	tion with this statement.								
.INES 26.3	26.3 throug Does the r rate sensit	eporting en	R LIFE/FRATERNAL REI	PORT edge v	ING ENTITIES rariable annuity	ONLY: guarantees	subject to fluctuations as a result of interest		Voc. [1 No F	,
26.4			is YES, does the reporti	ng ent	ity utilize:				Yes [] No [J
						nting provis	ion of SSAP No. 108		Yes [] No []
					Permitted acc				Yes [] No [1
				26.43	Other account	ing guidanc	e		Yes [] No [1
26.5	By respon	ding YES to					of SSAP No. 108, the reporting entity attests to		•		•
	the following	_		,		•			Yes [] No [1
	•	The report	ing entity has obtained ex	colicit :	approval from th	ne domicilia	ry state.		,		•
	•	Hedging s	trategy subject to the spe	cial ac	counting provis	ions is cons	sistent with the requirements of VM-21.				
	•	Actuarial of 21 reserve	certification has been obt as and provides the impac	ained at of th	which indicates e hedging strate	that the he	edging strategy is incorporated within the establishment ne Actuarial Guideline Conditional Tail Expectation Amount	of VM- nt.			
	•	Hedging S		that t	the Clearly Defi		t the hedging strategy meets the definition of a Clearly D g Strategy is the hedging strategy being used by the cor				
27.1		preferred st				e current ye	ar mandatorily convertible into equity, or, at the option of		Yes []	No f X	1
27.2	If yes, stat	e the amou	nt thereof at December 3	f of the	e current vear.			s		•	•
	Excluding entity's offi pursuant to	items in Sci ces, vaults a custodia tions, F. Ou	nedule E – Part 3 – Speci or safety deposit boxes, v il agreement with a qualifi	al Der vere a ied bai	oosits, real esta il stocks, bonds nk or trust comp	and other so any in acco	e loans and investments held physically in the reporting securities, owned throughout the current year held ordance with Section 1, III – General Examination greements of the NAIC Financial Condition Examiners	•	Yes [X]		
28.01			omply with the requireme	ents of	the NAIC Finar	ncial Conditi	on Examiners Handbook, complete the following:		100 [N]	140 [J
			Nama	1 of Cur	todian(s)		2 Custodian's Address	1			
							PO Box 897, Des Moines, IA 50204	-			
							10 DOX DUT, DES MOTHES, IN DUZU4				

GENERAL INTERROGATORIES

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
		0 14 5 4 6 6 1
Name(s)	Location(s)	Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? 28.04 If yes, give full and complete information relating thereto:

Yes [] No [X]

1	2	3	4
		Date of	
Old Custodian	New Custodian	Change	Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1	2
Name of Firm or Individual	Affiliation
Jeffrey Russell -Investment Committee	
Sherry Perkins-Investment Committee	
	I
Donn Hutchins-Investment Committee	I
Asset Allocation & Management Company, LLC	U
Adam Voigts-Investment Committee	1

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes [X] No []

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes [X] No []

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration	2 Name of Firm or	3	4	5
Depository Number	Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
109875	Asset Allocation & Management Company, LLC	SURRAMPUSELNW8AQJB87	SEC	NO

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D - Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])?
 29.2 If yes, complete the following schedule:

Yes [X] No []

1	2	3
CUSIP#	Name of Mutual Fund	Book/Adjusted Carrying Value
9.2001 256219-10-6	Dodge & Cox Stock Fund	2,858,030
9.2002 413838-72-3		1,398,869
9.2003 52469H-78-4	Legg Mason Clearbridge Large Cap	2.350,261
9.2004 552981-85-4		1,484,826
9.2005 808509-44-2	Schwab Fdmtl US Lg Coʻldx	953,365
9.2006 808509-84-8	Schwab Small Cap Index	1,024,041
9.2007 921909-81-8	Vanquard Total Intl Stock Index Adm	1,907,529
9.2008 921936-20-9		2,075,008
9.2009 922908-66-0	Vanguard Growth Index Adm	2,458,482
	Vanguard Value Index Adm	1 773 114
9.2011 922908-71-0		2,099,023
29.2999 TOTAL		20.382.547

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation
Dodge & Cox Stock Fund	FedEx Corp	118,608	12/31/2020
		102,603	
		101,746	
		99,745	
Oakmark International Fund		39,851	
Oakmark International Fund		39,565	
Oakmark International Fund		37,849	
Oakmark International Fund Institutional		37,467	
Oakmark International Fund Institutional		35,942	

GENERAL INTERROGATORIES

1	2	3	4
Name of Mutual Fund (from above table)	Name of Significant Holding of the Mutual Fund	Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	Date of Valuation
Legg Mason Clearbridge Large Cap	Amazon.com Inc.		12/31/2020
Legg Mason Clearbridge Large Cap	Facebook Inc A		12/31/2020
Legg Mason Clearbridge Large Cap	Microsoft Corp.		12/31/2020
Legg Mason Clearbridge Large Cap	Apple Inc.	1	12/31/2020
Legg Mason Clearbridge Large Cap Legg Mason Clearbridge Large Cap	Visa Inc Class A	1	12/31/2020
1 00		1	
MFS Intl New Discovery I	OBIC Co Ltd	1	12/31/2020
MFS Intl New Discovery I		,	12/31/2020
MFS Intl New Discovery I	1		12/31/2020
MFS Intl New Discovery I			12/31/2020
MFS Intl New Discovery		1	12/31/2020
Schwab Fdmt1 US Lg Co Idx	}		12/31/2020
Schwab Fdmt1 US Lg Co Idx	· ·	1	12/31/2020
Schwab Fdmti US Lg Co idx			12/31/2020
Schwab Fdmti US Lg Co idx	1		12/31/2020
Schwab Fdmtl US Lg Co ldx		1	12/31/2020
Schwab Small Cap Index	l .		12/31/2020
Schwab Small Cap Index	1	1	12/31/2020
Schwab Small Cap Index	Plug Power Inc	4,099	12/31/2020
Schwab Small Cap Index	Mirati Therapeutics Inc	4,099	12/31/2020
Schwab Small Cap Index	Sunrun Inc.	3,909	12/31/2020
Vanguard Total Intl Stock Index Adm.	Alibaba Group Holding Ltd ADR	32,237	12/31/2020
Vanguard Total Intl Stock Index Adm.	Tencent Holdings Ltd	29,185	12/31/2020
Vanguard Total Intl Stock Index Adm.	Taiwan Semiconductor Manufacturing Co Ltd	28,613	12/31/2020
Vanguard Total Intl Stock Index Adm.	Nestle SA	21,746	12/31/2020
Vanguard Total Intl Stock Index Adm	Samsung Electronics Co Ltd	19,648	12/31/2020
Vanguard Primecap Fund Adm	_		12/31/2020
Vanguard Primecap Fund Adm	E .		12/31/2020
Vanguard Primecap Fund Adm			12/31/2020
Vanquard Primecap Fund Adm		I I	12/31/2020
Vanguard Primecap Fund Adm	E .	83,000	12/31/2020
Vanguard Growth Index Adm	1 -	404 000	12/31/2020
Vanguard Growth Index Adm		163,481	12/31/2020
Vanguard Growth Index Adm	1	136,175	12/31/2020
Vanguard Growth Index Adm	1	67,201	12/31/2020
Vanguard Growth Index Adm		53,193	12/31/2020
Vanguard Value Index Adm			12/31/2020
Vanguard Value Index Adm		48,938	12/31/2020
Vanguard Value Index Adm	1	46,101	12/31/2020
Vanguard Value Index Adm		44,328	12/31/2020
Vanguard Value Index Adm	1	40,959	12/31/2020
Vanguard 500 Index Admiral	1	133,708	12/31/2020
Vanguard 500 Index Admiral	1	113,347	12/31/2020
Vanguard 500 Index Admiral	1	94,246	
Vanguard 500 Index Admiral	Facebook Inc A	I I	12/31/2020
Vanguard 500 Index Admiral	1		12/31/2020
Leuinage Annie Committee	Turbuance Hin V	30,943	12/31/2020

GENERAL INTERROGATORIES

Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value Excess of Stateme over Fair Value (-). Statement (Admitted) or Fair Value over Statement (+) Fair Value Value 45,972,275 48.830.262 2.857.987 30.1 Bonds 0 30.2 Preferred Stocks. 0 45.972 48,830,262 2,857,987 30.3 Totals 30.4 Describe the sources or methods utilized in determining the fair values: The Company used FT Interactive Data in determining the Fair Value Yes [X] No [] 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) Yes [X] No [] for all brokers or custodians used as a pricing source? 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D: 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [] 32.2 If no, list exceptions: 33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security: a.Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available. b.Issuer or obligor is current on all contracted interest and principal payments. c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal. Has the reporting entity self-designated 5GI securities? Yes [] No [X] By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
 a. The security was purchased prior to January 1, 2018.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as an NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO. Has the reporting entity self-designated PLGI securities? Yes [] No [X] By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund: a. The shares were purchased prior to January 1, 2019.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio. e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X] 36. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA. Part 1 or Schedule E Part 2 entified through a code (%) in those investment schedules), the reporting entity is certifying to the following:

a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date. a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
b. If the investment is with a nonrelated party or nonaffiliated then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a -36.c are reported as long-term investments.

Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? Yes [] No [] NA [X] **OTHER** 37.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? 493,726

37.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations, and statistical or rating bureaus during the period covered by this statement.

1	2
Name	Amount Paid
Delta Dental Plan Association	\$ 439,558
	, , , , , , , , , , , , , , , , , , , ,

GENERAL INTERROGATORIES

38.1 Amount of payments for legal expenses, if any?	
---	--

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
Nyemaster Law Firm	\$139,951

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers, or departments of government during the period covered by this statement.

1 Name	2 nount Paid
Karla Fultz McHenry	\$ 46.878
,	,

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

1.1 1.2 1.3	Does the reporting entity have any direct Medicare Suppl If yes, indicate premium earned on U.S. business only. What portion of Item (1.2) is not reported on the Medicare 1.31 Reason for excluding	\$			No [X]				
1.4 1.5 1.6	Indicate amount of earned premium attributable to Canad Indicate total incurred claims on all Medicare Supplement Individual policies:		t included	in Item (1.2) above		•			0
			Most curr	ent three years:					
				l premium earned		\$			0
			1.62 Tota	l incurred claims		•			0
			1.63 Num	ber of covered lives					0
			-	prior to most current thre	e years:				_
				l premium earned		•			0
				l incurred claims					0
17	Group policies:		1.00 Num	ber of covered lives		.,	• • • • • • • • • • • • • • • • • • • •		
,	Group policies.		Most curr	ent three years:					
				l premium earned		\$			0
				l incurred claims					0
			1.73 Nurr	ber of covered lives					0
			•	prior to most current thre	e years:				_
				l premium earned					0
				I incurred claims ber of covered lives					0
2	Health Test:		1.76 Num	iber of covered lives					υ
2.	nealth rest.								
				1		2			
				Current Year		r Year			
	2.1	Premium Numerator	\$	152,285,751	\$1	58,086,086			
	2.2	Premium Denominator	\$	152,285,751	\$1	58,086,086			
	2.3	Premium Ratio (2.1/2.2)		1.000		1.000			
	2.4	Reserve Numerator	\$	37,486,127	\$.15,848,238			
	2.5	Reserve Denominator	\$	37,486,127	\$	15,848,238			
	2.6	Reserve Ratio (2.4/2.5)		1.000		1.000			
3.1	Has the reporting entity received any endowment or g returned when, as and if the earnings of the reporting en		als, phys	icians, dentists, or other	s that is agreed	will be	Yes	1	No [X]
3.2	, ,	ity permits:					165	J	NO [A]
4.1	Have copies of all agreements stating the period and		ysicians',	and dentists' care offe	red to subscriber	s and	Voc I	y 1	No f
42	dependents been filed with the appropriate regulatory ag If not previously filed, furnish herewith a copy(ies) of suc		agreeme	nte includa additional bor	nefite offered?				No [X]
5.1	Does the reporting entity have stop-loss reinsurance?	ragreement(s). Do trese	agreenie	nts include additional bei	ients difered:				No [X]
	If no, explain:						100 (,	···· [n]
	Dental payments are restricted by an annual maximum	which eliminates the need	for stop l	oss reinsurance on the pa	art of the carrier.				
5.3	Maximum retained risk (see instructions)		5.31 Cor	nprehensive Medical		\$			
			5.32 Med	dical Only		\$			
				dicare Supplement					
				ntal and Vision					
			5.35 Oth 5.36 Oth	er Limited Benefit Plan					
6.	Describe arrangement which the reporting entity may				t the risk of insol			*******	***************************************
	including hold harmless provisions, conversion privileges any other agreements:	s with other carriers, agree	ements w	th providers to continue	rendering service	s, and			
7.1 7.2	Does the reporting entity set up its claim liability for provi	der services on a service o	date basis	?			Yes [)	X]	No []
8.	Provide the following information regarding participating	•	n= nf = '	idono ot otali afire a si					4 745
				ders at start of reporting ders at end of reporting y	-				
9.1	Does the reporting entity have business subject to premi								1,701 No [X]
9.2	If yes, direct premium earned:	5	×1.11111				[,	
				te guarantees between 1					
		9.22 Busines	ss with rat	te quarantees over 36 mo	onths				0

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

	Does the reporting entity half yes:	nave Incentive Pool,	Withhold or Bonus	Arrangements in its provide	r contracts?		Ye	s[X]	No []
	-			10.21 Maximum amou	nt payable bonuses		\$.554,000
				10.22 Amount actually	paid for year bonus	es	\$		
				10.23 Maximum amou	. ,		\$		
	4 4 4 44			10.24 Amount actually	paid for year withho	olds	\$		
11.1	Is the reporting entity orga	inized as:		46 40 A Modinal Carre	Chaff Bladel		V	. 1	No FV 1
				11.12 A Medical Group 11.13 An Individual Pro		DA) or			No [X]
				11.14 A Mixed Model (•			No [X]
11.2	Is the reporting entity subj	ect to Statutory Minis	mum Capital and S	· · · · · · · · · · · · · · · · · · ·	Combination of abo	, .			No []
	If yes, show the name of t	•	•	, -					
	If yes, show the amount re						\$		
11.5	Is this amount included as	part of a contingend	cy reserve in stock!	holder's equity?					No [X]
11.6	If the amount is calculated	d, show the calculation	on						
12.	List service areas in which	n reporting entity is li	censed to operate:						
				1					
		-	Laws	Name of Se					
			IOWa	•••••					
		_							
13.1	Do you act as a custodian	ı for health savings a	ccounts?				Ye	s []	No [X]
	If yes, please provide the	_		e reporting date.			\$		
13.3	Do you act as an administ	trator for health savin	igs accounts?				Ye	s []	No [X]
13.4	If yes, please provide the	balance of the funds	administered as o	f the reporting date.			\$		
	Are any of the captive affil			s authorized reinsurers?			Yes []	No [N/A [X]
14.2	If the answer to 14.1 is yes	s, please provide the	following:						
		1	2	3	4	Assets	Supporting Reserve	Credit	
			NAIC	Danie Wein		5	_6		7
		Company Name	Company Code	Domiciliary Jurisdiction	Reserve Credit	Letters of Credit	Trust Agreements	01	ther
15.	Provide the following for in ceded).	ndividual ordinary life	insurance* policie	es (U.S. business only) for th	e current year (prior	r to reinsurance ass	sumed or		
				15.1 Direct Prem	ium Written		\$		
				15.2 Total Incurre			\$		
				15.3 Number of (Covered Lives		***************************************		
			*Ordinary Lit	fe Insurance Includes					
		Term (whether ful		underwriting, jet issue, "short for	п арр")				
		Whole Life (wheth	ner full underwriting, li	mited underwriting, jet issue, "sho	rt form app")				
		Variable Life (with	or without secondary	/ guarantee)					
			th or without secondar						
		Variable Universa	I Life (with or without	secondary guarantee)					
16.	Is the reporting entity licen	nsed or chartered, re-	gistered, qualified.	eligible or writing business is	n at least two states	?	Ye	s []	No [X]
	If no, does the reporting e	ntity assume reinsur	ance business that	t covers risks residing in at le	ast one state other	than the state of do	micile of		
	the reporting entity?	,					Ye	s [X]	No []

FIVE - YEAR HISTORICAL DATA

	1147-		PIONICA		A 1	5
\ 		1 2020	2 2019	3 2018	4 2017	2016
Balan	ce Sheet (Pages 2 and 3)		redepoliceren			
1.	Total admitted assets (Page 2, Line 28)	143,134,984	112,519,723	94,943,399		87,122,825
2.	Total liabilities (Page 3, Line 24)		33,568,190	23,841,760	1	24,445,864
3.	Statutory minimum capital and surplus requirement		5,000,000	5,000,000	5,000,000	5,000,000
4.	Total capital and surplus (Page 3, Line 33)	87,501,656	78,951,533	71,101,639	67,501,493	62,676,961
	ne Statement (Page 4)					
5.	Total revenues (Line 8)	152,285,751	158,086,086	168,291,436	160,222,487	152,943,282
6.	Total medical and hospital expenses (Line 18)	114,448,596	128,676,058	139,592,374	135,149,971	124,762,375
7.	Claims adjustment expenses (Line 20)	4,267,401	4,928,632	4,674,065	5,517,789	5,948,357
8.	Total administrative expenses (Line 21)	29,660,666	23,766,309	23,447,034	21,304,391	19,853,443
9.	Net underwriting gain (loss) (Line 24)	3,882,750	714,299	560,225	(1,671,765)	2,729,532
10.	Net investment gain (loss) (Line 27)	3,050,627	3,070,250	3,067,821	5,036,260	2,266,829
11.	Total other income (Lines 28 plus 29)	(55,470)	(64,892)	(67,005)	(47 ,848)	(53,050)
12.	Net income or (loss) (Line 32)	6,877,906	3,719,657	3,561,041	3,316,647	4,943,140
Cash	Flow (Page 6)					
13.	Net cash from operations (Line 11)	25,919,012	19,370,894	793,361	(4,407,062)	7,589,929
Risk-l	Based Capital Analysis					
14.	Total adjusted capital	87,501,656	78,951,533	71,101,639	67,501,493	62,676,961
15.	Authorized control level risk-based capital	5,616,478	5,827,594	5,874,015	5,578,541	5,245,381
Enrol	lment (Exhibit 1)					
16.	Total members at end of period (Column 5, Line 7)	590,525	545,951	519,631	504,681	414,578
17.	Total members months (Column 6, Line 7)	6,860,861	6,491,653	6,190,085	5,417,211	5,039,753
Opera	iting Percentage (Page 4)					
(Item (divided by Page 4, sum of Lines 2, 3, and 5) x 100.0					
18.	Premiums eamed plus risk revenue (Line 2 plus Lines 3 and 5)	100.0	100.0	100.0	400.0	400.0
10	Total hospital and medical plus other non-health (Lines		100.0	100.0	100.0	100.0
13.	18 plus Line 19)	75.2	81.4	82.9	84.4	81.6
20.	Cost containment expenses		0.2	0.2	0.2	0.2
21.	Other claims adjustment expenses		2.9	2.6	3.3	3.7
22.	Total underwriting deductions (Line 23)		ł i		101.0	
23.	Total underwriting gain (loss) (Line 24)	2.5	0.5	0.3	(1.0)	1.8
	d Claims Analysis					
,	(xhibit, Part 2B)					
	Total claims incurred for prior years (Line 13, Col. 5)		6,304,064	6,193,552	7,873,013	6,985,192
25.	Estimated liability of unpaid claims – [prior year (Line 13, Col. 6)]	6 E40 7E7	7 440 503	8,497,137	0 700 057	7 050 700
Invest	ments in Parent, Subsidiaries and Affiliates			0,487,137	o,/20,U5/	
	Affiliated bonds (Sch. D Summary, Line 12, Col. 1)	,	2		_	•
	Affiliated preferred stacks (Sch. D. Summon, Line 19					
	Col. 1)	0	0	0	0	0
	Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)	3,870,960	2,862,372	2,429,590	1,807,225	678,102
29.	Affiliated short-term investments (subtotal included in Sch. DA Verification, Col. 5, Line 10)	ا	_	^	ا م	•
30.	Affiliated mortgage loans on real estate	E .		1		
	All other affiliated	1		i		
	Total of above Lines 26 to 31					
	Total investment in parent included in Lines 26 to 31	01019300			1,001,225	
	above					

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3 - Accounting Changes and Correction of Errors?

Yes [] No [] If no, please explain

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION Delta Dental of Iowa (LOCATION) NAIC Group Code BUSINESS IN THE STATE OF Iowa NAIC Company Code **DURING THE YEAR 2020** 55786 Comprehensive (Hospital & Medical) Federal Employees Medicare Vision Health Benefit Title XIX Dental Title XVIII Total Individual Group Medicaid Other Supplement Only Only Plan Medicare Total Members at end of: Prior Year .545.951 .545,951 .559,992 2 First Quarter .559,992 3 Second Quarter .569,858 .569,858 580,255 4. Third Quarter .580,255 5. Current Year 590,525 590.525 6 Current Year Member Months 6.860.861 6.860.861 Total Member Ambulatory Encounters for Year: 8. Non-Physician 0 10. Hospital Patient Days Incurred 11. Number of Inpatient Admissions 12. Health Premiums Written (b). 142,753,520 142,753,520 13. Life Premiums Direct 14. Property/Casualty Premiums Written. 15. Health Premiums Earned. .142,753,520 142,753,520 16. Property/Casualty Premiums Earned 0 17. Amount Paid for Provision of Health Care Services 105.534.652 105,534,652 18. Amount Incurred for Provision of Health Care Services 105,962,404 105,962,404 (a) For health business: number of persons insured under PPO managed care products .222,832 and number of persons insured under indemnity only products. .367,693

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$...



EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION Delta Dental of Iowa

								(LOCATION)		
AIC Group Code 4690 BUSINESS IN THE STATE	OF Consolidated			DURING THE YEAR	2020				VIC Company Code	55786
	1 1	Compre (Hospital 8	hensive k Medical)	4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	545,951	0	0	0	0	545,951	0	0	0	
2 First Quarter	559,992	0	0	0	0	559,992	0	0	0	
3 Second Quarter	569,858	0	0	0	0	569,858	0	0	0	
4. Third Quarter	580,255	0	0	0	0	580,255	0	0	0	
5. Current Year	590,525	0	0	0	0	590,525	0	0	0	
6 Current Year Member Months	6,860,861	0	0	0	0	6,860,861	0	0	0	
Total Member Ambulatory Encounters for Year:										
7. Physician		0	0	0	0	0	0	0	0	
8. Non-Physician		0	0	0	0	0	0	0	0	
9. Total	0	0	0	0	0	0	0	0	0	
10. Hospital Patient Days Incurred	.0	0	0	0	0	0	0	0	0	
11. Number of Inpatient Admissions	0	0	0	0	0	0	0	0	0	
12. Health Premiums Written (b)	142,753,520	0	0	0	0	142,753,520	0	0	0	
13. Life Premiums Direct		0	0	0	0	0	0	0	0	
14. Property/Casualty Premiums Written		0	0	0	0	0	0	0	0	
15. Health Premiums Earned	142,753,520	0	0	0	0	142,753,520	0	0	0	
16. Property/Casualty Premiums Earned	. 0	0	0	0	0	0	0	0	0	
17. Amount Paid for Provision of Health Care Services	105,534,652	0	0	0	0	105,534,652	0	0	0	
18. Amount Incurred for Provision of Health Care Services	105,962,404	0	0	0	o	105,962,404	0	0	0	

222,832 and number of persons insured under indemnity only products (a) For health business: number of persons insured under PPO managed care products

SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC		j			Type Of	Type Of			Reserve Liability Other Than For	Reinsurance	Modified	
Company	מו			Domiciliary	Reinsurance			Unearned	Unearned	Payable on Paid	Coinsurance	Funds Withheld
Code		Effective Date	Name of Reinsured	Jurisdiction	Assumed	Assumed	Premiums	Premiums	Premiums	and Unpaid Losses		Under Coinsurance
Affiliates -	U.S Captive	- 3						A				
Affiliates -		<u> </u>										
	Non-U.S Capt											
	Non-U.S Othe es - U.S. Non-Af											
54211	94-1461312	01/01/2014	Delta Dental of California	CA.	OTH/G	Ъ	8,961,520					
54211 54211	94-1461312 94-1461312	01/01/2014	Delta Dental of California. Delta Dental of California	CA	OTH/G	,,	570,711					
0899999 - 1	Total Non-Affilia	ates - U.S. Non-A	îfiliales	·			9,532,231	0	0	0	0	0
Non-Affiliate	es - Non-U.S. No	n-Affiliales 📑				-						
1099999 - T	lotal Non-Affilia	ates - Total Non-	Affiliales				9,532,231	0	0		0	<u> </u>
1199999 - 1	iota: U.S. (Sum (of 0399999 and 08	99999)	Г	1 1		9,532,231	V	0	V	<u>U</u>	υ
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9999999 T	otals						9,532,231	0	0	0	0	0

Schedule S - Part 2

NONE

Schedule S - Part 3 - Section 2

NONE

Schedule S - Part 4

NONE

Schedule S - Part 5

NONE

Schedule S - Part 6

NONE

Schedule S - Part 7

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Allocated by States and Territories

			1				Direct Bus	iness Only			
			'				Direct Date	6			Į.
				2	3	4	5 Federal Employees	Life & Annuity Premiums &	7	8	9
	State, Etc.		Active Status (a)	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Health Benefits Plan Premiums	Other Consideration s	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama	AL	N							ļ0	0
2.	Alaska	AK	N							ļ	ļ0
3.	Arizona	AZ	N							ļ	J
4.	Arkansas	AR	N							n n	
5.	California	CA	NN							,v	, n
6.	Colorado Connecticut	CO CT	NN.							n n	0
7. 8.	Delaware	DE	A t							n	n
9.	District of Columbia	DC	NN							n	n
10.	Florida		N							n .	0
11.	Georgia	GA	N.		***************************************					l ő	0
12.	Hawaii	HI	N							0	0
13.	Idaho	ID	N							0	0
14.	Illinois	IL	N							0	0
15.	Indiana	IN	N		,					o	0
16.	lowa	IA	L	142,753,520			ļ	.		142,753,520	J0
17.	Kansas		N							J0	0
18.	Kentucky		N			[ļ0	<u> </u> 0
19.	Louisiana	LA	N							ļ	J
20.	Maine	ME	N				-			ļ0	ļ0
21.	Maryland	MD MA	N				 	 		10	ļ0
22. 23.	Massachusetts		NNN							ļ	ļ
24.	Minnesota	MN	LI LI				***************************************	<u> </u>		1	l
25.	Mississippi	MS	NN				• • • • • • • • • • • • • • • • • • • •			1	n
26.	Missouri	MO	N							n	n
27.	Montana	MT	N							n	n
28.	Nebraska	NE	N							0	0
29.	Nevada		N							0	0
30.	New Hampshire	NH	N		, , ,					0	
31.	New Jersey	NJ	N							0	0
32.	New Mexico	NM	N		***************************************					0	0
33.	New York	NY	N							ļo	0
34.	North Carolina	NC	N							0	0
35.	North Dakota	ND	N		••••			ļ		ļ0	0
36.	Ohio	OH	N							ļ0	ļ0
37.	Oklahoma	OK	N.								0
38.	Oregon		N							ļ0	J0
39. 40.	Pennsylvania		N		•••••					0	0
41.	Rhode Island South Carolina		M					1		0	J
42.	South Dakota		NNNN						,.,	J	J
43.	Tennessee		N							n	
44.	Texas		N							0	l
45.	Utah		N	***************************************						0	1 0
46.	Vermont		N							0	l o
47.	Virginia		N							٥	0
48.	Washington	WA	N								0
49.	West Virginia	WV	N	•••••						0	0
50.	Wisconsin		N		,					0	0
51.	Wyoming		N							0	0
52.	American Samoa		N		·					0	0
53.	Guam		N		***************************************					ļ0	J0
54.	Puerto Rico		N							J	0
55. 56.	U.S. Virgin Islands Northern Mariana Islands		NN							ļ0	0
50. 57.	Canada		N		,					ļō	ļ
57. 58.	Aggregate other alien		XXX	0	0	0	0	0	0	0	ļ
59.	Subtotal.		XXX	142,753,520	0	0	0	0	U0	142,753,520	J0
60.	Reporting entity contributio Employee Benefit Plans.	ns for	ХХХ				0		U	142,753,520	0
61.	Total (Direct Business)		XXX	142,753,520	0	0	0	0	0	142,753,520	0
1	OF WRITE-INS			··· · · · · · · · · · · · · · · · · ·						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	l
			XXX		••••	·····					
58002. 58003.			XXX		***************************************						
	Summary of remaining writ	e-ins						·····			
	for Line 58 from overflow page 1	age	ХХХ	0	0	0	٥	0	Ω	0	0
D0999.	Totals (Lines 58001 throug 58003 plus 58998) (Line 58 above)	n }	XXX	0 :	0	_	_		_	_	0
ь	apove)		. ^^^	U	U	0	0	0	0	00	<u>L.</u>

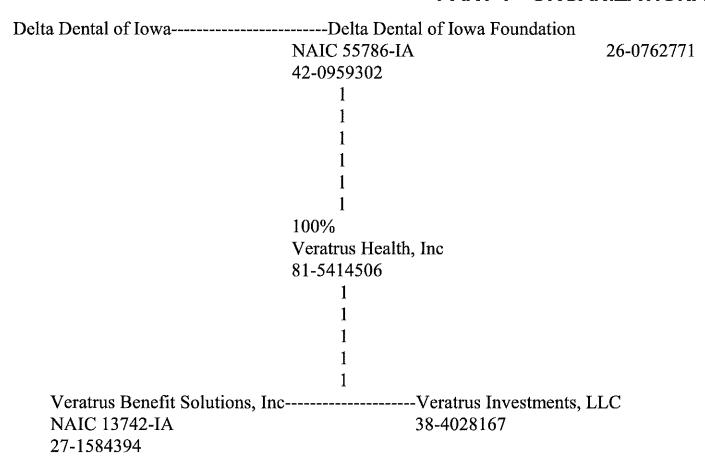
⁽b) Explanation of basis of allocation of premiums by states, etc. All Business is written in lowa.

SCHEDULE T — PART 2 INTERSTATE COMPACT — EXHIBIT OF PREMIUMS WRITTEN Allocated By States and Territories

Allocated By States and Territories Direct Business Only								
		1 Life (Group and	2 Annuities (Group	3 Disability Income (Group and	4 Long-Term Care (Group and	5 Deposit-Type	6	
States, Etc.		Individual)	and Individual)	Individual)	Individual)	Contracts	Totals	
1. Alabama	AL							
2. Alaska								
3. Arizona	1					.,,,		
4. Arkansas								
5, California	CA							
6, Colorado	co							
7. Connecticut	СТ							
8. Delaware		,						
9. District of Columbia								
10. Florida								
11. Georgia								
12. Hawaii	1			,			.]	
13, Idaho	1							
14. Illinois	IL							
15, Indiana		-,,			 			
16. lowa	JA				ļ	ļ		
17. Kansas								
18. Kentucky								
19. Louisiana								
20. Maine	ME							
21. Maryland	DM							
22. Massachusetts	MA							
23. Michigan	MI	,						
24. Minnesota	MN							
25. Mississippi	МS							
26. Missouri	омо							
27. Montana	ТМ							
28. Nebraska	NE							
29. Nevada	NV							
30. New Hampshire	NH							
31. New Jersey	NJ							
32. New Mexico	NM							
33. New York	NY						1	
34. North Carolina	NC							
35. North Dakota	D							
36. Ohio	1							
37. Oklahoma	ок						1	
38. Oregon	I I							
39. Pennsylvania								
40. Rhode Island								
41. South Carolina	r							
42. South Dakota	f							
43. Tennessee	- F							
44. Texas	TX							
45. Utah								
46. Vermont								
47. Virginia								
48. Washington	T I						1	
49. West Virginia								
50. Wisconsin					1			
51. Wyoming								
52. American Samoa					1		T	
53. Guam	1							
54. Puerto Rico					1			
55. US Virgin Islands								
56. Northern Mariana Islands	MD							
57. Canada			1	1	1			
58. Aggregate Other Alien								
				1	. L	.1		

MEMBERS OF A HOLDING COMPANY

PART 1 - ORGANIZATIONAL CHART



40

SCHEDULE Y PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Delta Dental of Iowa

1 1	2	3	1 4	T	6	7	I 8	1 0	10	11	12	1 10	14	15	16
	_		•	"	٠	Name of	0	1 3	10	''	Type of Control	13	"4	15	'0
						Securities					(Ownership,				1
						Exchange if			Relationship		Board.	If Control is		Is an SCA	1
l		NAIC				Publicly	Names of	1	to		Management,	Ownership		Filing	1 '
Group		Company		Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary	Reporting	Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	1
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Y/N)	*
0.4000											Board of				
04690	Delta Dental of lowa	55786	42-0959302				Delta Dental of Iowa	A.	RE		Directors	0.0		N	0
0.4600	Dalta Dantal of Jama	40740	07 4504004				l 	l			Board of		Delta Dental of	l	1 _ '
04690	Delta Dental of lowa	13/42	27 - 1584394				Veratrus Benefit Solutions, Inc.	IA	DS		Directors	100.0	lowa	N	ļ0 !
ĺ			81-5414506				Varataur Haaldh Inc	١.,	20	 	Board of	400.0	Delta Dental of		' ما
			01-0414000	-		••••••	Veratrus Health, Inc	IA	DS		Directors	100.0	Delta Dental of	N	[
			38-4028167	and the same of th			Veratrus Investments, LLC	IA	DS		Board of Directors		llowa	AI AI	ا ما
			00-4020101	1			veratius investments, etc	IA			Board of	100.0	Delta Dental of		Į
			26-0762771				Delta Dental of Iowa Foundation	IA.			Directors	100.0	lows	N	l "
							Dorra Donta: Or Towa / Ganaar (G)			T outlast fort		100.0	10.44		
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Asterisk	Explanation
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SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7 Income/	8	9	10	11	1	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	(Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Agreements and	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business		Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
5786	42-0959302	Della Dental of Iowa	., .,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	(750,000)			1,167,507 (401,773) (464,045) (301,689)			(5,608,977)		(5, 191, 470)	
3742	27 - 1584394 26 - 0762771	Veratrus Benefit Solutions Inc. Delta Dental of Iowa Foundation.	(100,000)				(401,773)		ļ			(501,773) 5,144,932	
	81-5414506	Vertice Vental of Towa Foundation	400,000	750 000			(464,045)			5,608,977		5,144,932	
	01-0414000	Veratrus Health Inc.	100,000	750,000			(301,689)		ļ			548,311	
**	*-*	***************************************											
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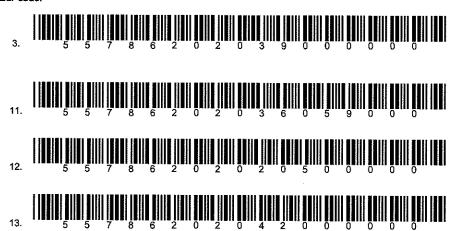
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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

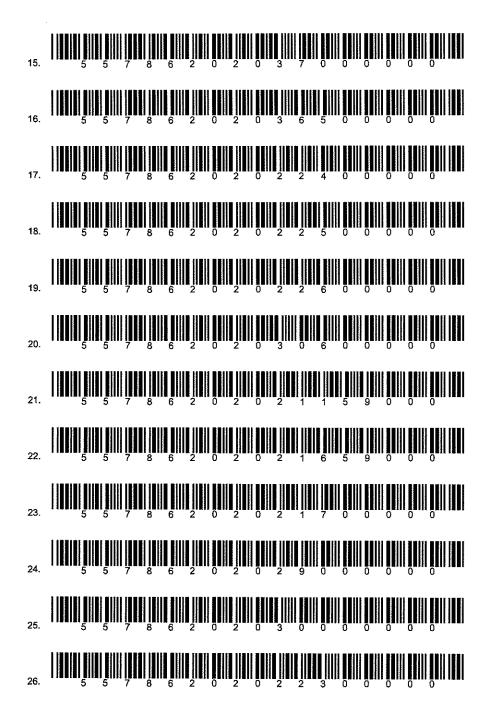
The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the

	atory questions.	
	MARCH FILING	Responses
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YESYES
2.	Will an actuarial opinion be filed by March 1?	YES
3.		WA I VÉD
4.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required by March 1?	YES
	APRIL FILING	
5.	у при при при при при при при при при при	YES
6.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YE\$
7.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
	JUNE FILING	
8.	Will an audited financial report be filed by June 1?	YES
9.	Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
	AUGUST FILING	
10.	Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES
owev	lowing supplemental reports are required to be filed as part of your statement filing if your company is engaged in the type of business cover, in the event that your company does not transact the type of business for which the special report must be filed, your response of gatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your comparter reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.	f NO to the specific
	MARCH FILING	
11.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12.	Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?	N0
13.	Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?	NO
14.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?	NO
15.	Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	N0
17.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
18.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
19.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed with electronically with the NAIC by March 1?	NO
	APRIL FILING	
20.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	N0
21.	Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?	N0
22.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	N0
23.		NO
	AND ILLER ALL DE B. A. D. A. C. B. B. A. L. B. B. A. L. B. C. B.	
24.	Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1?	00
24. 25.	the NAIC by April 1? Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be	NONO
	the NAIC by April 1? Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be	





SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES



OVERFLOW PAGE FOR WRITE-INS

M014 Additional Aggregate Lines for Page 14 Line 25. *EXEXP - Underwriting and Investment Exhibit - Part 3

	1	2	3	4	5
	Cost	Other Claim	General		
	Containment	Adjustment	Administrative	Investment	
	Expenses	Expenses	Expenses	Expenses	Total
2504. Change in Claims Adjustment Expenses		(16,846)	(9,404)	·	(26, 250)
2505.		<u> </u>	` ` ` ` `		oʻ
2597. Summary of remaining write-ins for Line 25 from Page 14	0	(16,846)	(9,404)	0	(26,250)

SUMMARY INVESTMENT SCHEDULE

		Gross Inves			Admitted Assets a in the Annual S	,	
		1 Folding	2	3	4	5	6
	Investment Categories	Amount	Percentage of Column 1 Line 13	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3+4) Amount	Percentage of Column 5 Line 13
1.	Long-Term Bonds (Schedule D, Part 1):						
	1.01 U.S. governments	3.641.617	2.636	3,641,617		3,641,617	2.685
	1.02 All other governments		0.253	349,461		349,461	0.258
	1.03 U.S. states, territories and possessions, etc. guaranteed		0.058	80,000		80,000	0.059
	1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed		1.055			1,456,938	1.074
	1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed		9.377	12,954,793		12,954,793	9.553
	1.06 Industrial and miscellaneous		19.898	27,489,466		27,489,466	20.271
	1.07 Hybrid securities		0.000	1		0	0.000
	1.08 Parent, subsidiaries and affiliates		0.000			0	0.000
	1.09 SVO identified funds	0	0.000			0	0.000
	1.10 Unaffiliated bank loans	n	0.000	1		0	0.000
	1.11 Total long-term bonds		33.277	45,972,275			33.900
2	Preferred stocks (Schedule D, Part 2, Section 1):			10,072,270		10,012,210	
٤.	2.01 Industrial and miscellaneous (Unaffiliated)	n	0.000			n	0.000
	2.02 Parent, subsidiaries and affiliates		0.000	l i		0	0.000
	2.03 Total preferred stocks		0.000		1	0	i
_	!	U	0.000	0	0		
3.	Common stocks (Schedule D, Part 2, Section 2):	0	0.000			0	0.000
	3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)		1			0	0.000
	3.02 Industrial and miscellaneous Other (Unaffiliated)		0.000	1			0.000
	3.03 Parent, subsidiaries and affiliates Publicly traded		0.00				0.000
	3.04 Parent, subsidiaries and affiliates Other		2.802	1,333,874	1		0.984
	3.05 Mutual funds		15.662	21,637,355	1		15.955
	3.06 Unit investment trusts		0.000				0.000
	3.07 Closed-end funds		0.000				0.000
	3.08 Total common stocks	25,508,315	18.464	22,971,229	0	22,971,229	16.939
4.	Mortgage loans (Schedule B): 4.01 Farm mortgages	0	0.000			0	0.000
	4.02 Residential mortgages		0.000			Ω	0.000
	4.03 Commercial mortgages					n	0.000
	4.04 Mezzanine real estate loans						
	4.05 Total valuation allowance						
	4.06 Total mortgage loans				0		
5	Real estate (Schedule A):						
٠.	5.01 Properties occupied by company	12 657 201	9.162	12,657,201		12,657,201	9.333
	5.02 Properties held for production of income	• • • • • • • • • • • • • • • • • • • •	0.000	0		0	0.000
		0	0.000	0		•	0.000
		12,657,201	9.162	12,657,201			1
6		12,037,201	9,102	12,007,201	0	12,657,201	9.333
0.	Cash, cash equivalents and short-term investments:	ED 474 007	27.700	CO 474 007		E0 474 007	20 174
	6.01 Cash (Schedule E, Part 1)		37 .765	52,171,297		52, 171, 297	38.471
	6.02 Cash equivalents (Schedule E, Part 2)	•	0.666	919,703		919,703	0.678
	6.03 Short-term investments (Schedule DA)		0.00	0		0	0.000
_	6.04 Total cash, cash equivalents and short-term investments		38.430	53,091,001	0	53,091,001	39 . 149
	Contract loans		0.00	0		0	0.000
	Derivatives (Schedule DB)		.0.000	0		0	0.000
	Other invested assets (Schedule BA)		0.666	920,162		920,162	0.679
10.	Receivables for securities	75	0.000	75		75	0.000
11.	Securities Lending (Schedule DL, Part 1)	0	0.000	0	XXX	XXX	XXX
12.	Other invested assets (Page 2, Line 11)	0	0.000	0		0	0.000
13.	Total invested assets	138,149,030	100.000	135,611,943	0	135,611,943	100.000

SCHEDULE A – VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year	13,063,047
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 6)	
	2.2 Additional investment made after acquisition (Part 2, Column 9).	0
3.	Current year change in encumbrances:	
	3.1 Totals, Part 1, Column 13	
	3.2 Totals, Part 3, Column 11	00
4.	Total gain (loss) on disposals, Part 3, Column 18	0
5.	Deduct amounts received on disposals, Part 3, Column 15	0
6.	Total foreign exchange change in book/adjusted carrying value:	
	6.1 Totals, Part 1, Column 15	
	6.2 Totals, Part 3, Column 13	0
7.	Deduct current year's other-than-temporary impairment recognized:	
	7.1 Totals, Part 1, Column 12 0	
	7.2 Totals, Part 3, Column 10.	0
8.	Deduct current year's depreciation:	
	8.1 Totals, Part 1, Column 11 405,845	
	8.2 Totals, Part 3, Column 9 0	405,845
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).	12,657,201
10.	Deduct total nonadmitted amounts	.,,
11.	Statement value at end of current period (Line 9 minus Line 10)	12,657,201

SCHEDULE B - VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year	0
۷.	2.1 Actual cost at time of acquisition (Part 2, Column 7)	n
	2.2 Additional investment made after acquisition (Part 2, Column 8)	n n
3	Capitalized deferred interest and other:	<u>.</u>
•	3.1 Totals, Part 1, Column 12	Λ
	3.2 Totals, Part 3, Column 11	Õ O
4.	Accrual of discount	
5	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 9 5.2 Totals, Part 3, Column 8 Total gain (loss) on disposals, Part 3, Column 18	0
	5.2 Totals, Part 3, Column 8	00
6.	Total gain (loss) on disposals, Part 3, Column 18	0
7.	Deduct amounts received on disposals, Part 3, Column 15	0
8.	Deduct amortization of premium and mortgage interest points and commitment fees	
9.	A THE STATE OF THE PARTY OF THE	
	9.1 Totals, Part 1, Column 13	.0
	9.2 Totals, Part 3, Column 13	0
10.	Deduct current year's other-than-temporary impairment recognized:	
	10.1 Totals, Part 1, Column 11 10.2 Totals, Part 3, Column 10	.0
	10.2 Totals, Part 3, Column 10	0
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0
12.	Total valuation allowance	
13.		0
14.	Deduct total nonadmitted amounts	
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)	0

SCHEDULE BA – VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year		210,579
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2. Column 8) 450	000,0	
	2.1 Actual cost at time of acquisition (Part 2, Column 8) 450 2.2 Additional investment made after acquisition (Part 2, Column 9) 250	,583	709,583
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 16	0	
	3.2 Totals, Part 3, Column 12	0	0
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 13	0	
	5.2 Totals, Part 3, Column 9	0	0
6.	Total gain (loss) on disposals, Part 3, Column 19		0
7.	Deduct amounts received on disposals, Part 3, Column 16.		0
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Totals, Part 1, Column 17	0	
	9.2 Totals, Part 3, Column 14	0	0
10.	Deduct current year's other-than-temporary impairment recognized:	_	
	10.1 Totals, Part 1, Column 15		_
	10.2 Totals, Part 3, Column 11	0	0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts.		
13.	Statement value at end of current period (Line 11 minus Line 12).		920 , 162

SCHEDULE D – VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year.		63 , 457 , 383
2.	Cost of bonds and stocks acquired, Part 3, Column 7.		15.329.926
3.			35,831
	Unrealized valuation increase (decrease):		00,001
٠,	4.1 Part 1, Column 12	7 129	
	4.2 Part 2, Section 1, Column 15.	n	
	4.3 Part 2, Section 2, Column 13	2 360 487	
	4.4 Part 4, Column 11.	1 552	2 369 168
5.	Total gain (loss) on disposals, Part 4, Column 19.		74 592
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7		9 704 491
7.	Deduct amortization of premium		105 839
8.	Total foreign exchange change in book/adjusted carrying value:		
	8.1 Part 1, Column 15.	0	
	8.2 Part 2, Section 1, Column 19	0	
	8.3 Part 2, Section 2, Column 16	0	
	8.4 Part 4, Column 15.	Ō	0
9.	Deduct current year's other-than-temporary impairment recognized:		
	9.1 Part 1, Column 14.	0	
	9.2 Part 2. Section 1. Column 17	Û	
	9.3 Part 2, Section 2, Column 14.	0	
	9.3 Part 2, Section 2, Column 14. 9.4 Part 4, Column 13.	54.376	54.376
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line (2)	,	78,395
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)		71,480,590
12.	Deduct total nonadmitted amounts		2,537,086
13.			68.943.504

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term	Bonds and	Stocks	OWNED	December	31	of Current '	Year

		1	2	3	4
					Par Value of Bonds
1.					3,634,716
2.	Canada	349,461	372,256	348,809	350,000
3.	Other Countries				
4.	Totals	3,991,078	4,194,706	4,043,609	3,984,716
5.	Totals	80,000	87,050	80,000	80,000
			·		
6.	Totals	1,456,938	1,596,532	1,471,803	1,375,000
7.	Totals	12,954,793	13,604,845	12,978,025	12,740,461
8.	United States	26,232,637	28,011,841	26,259,295	26,110,043
9.	Canada	820,985	864,664	821,872	
10.	Other Countries	435,844	470,625	437 , 126	431,426
11.	Totals	27,489,466	29,347,130	27,518,292	27,356,470
12.	Totals	0	0	0	0
13.	Total Bonds	45,972,275	48,830,262	46,091,730	45,536,647
14.	United States				
15.	Canada				
16.	Other Countries				
17.	Totals	0	0	0	
18.	Totals	Ö	0	0	
19.	Total Preferred Stocks	0	0	0	
20.	United States	21,637,355	21,637,355	14,213,316	
21.	Canada	,		,,	
22.	0450				
23.	Totals	21,637,355	21,637,355	14,213,316	
24.	Totals	3,870,960	3,870,960	3,870,960	
25.	Total Common Stocks	25,508,315		······································	
26.	Total Stocks				
		······································			
	2. 3. 4. 5. 6. 7. 8. 9. 10. 11. 12. 13. 14. 15. 16. 17. 18. 19. 20. 21. 22. 23. 24. 25. 26.	3. Other Countries 4. Totals 5. Totals 6. Totals 6. Totals 7. Totals 8. United States 9. Canada 10. Other Countries 11. Totals 12. Totals 13. Total Bonds 14. United States 15. Canada 16. Other Countries 17. Totals 18. Totals 19. Totals 19. Totals 19. Total Preferred Stocks 20. United States 21. Canada 22. Other Countries 23. Totals 24. Totals 25. Total Common Stocks	Book/Adjusted Carrying Value	Book/Adjusted Carrying Value	Book/Adjusted Carrying Value Fair Value Actual Cost

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	_7	8	9	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	822,955	2,443,900	357,215	17 , 478	69	XXX	3,641,617	7.9	3,503,205	8.4	3,641,617	
1.2 NAIC 2						XXX	.[0.0	0	0.0		
1.3 NAIC 3				,		XXX		0.0	0	0.0		
1.4 NAIC 4						XXX	0	0.0	0	0.0		
1.5 NAIC 5						XXX	0	0.0	0	0.0		
1.6 NAIC 6						XXX	0	0.0	0	0.0		
1.7 Totals	822,955	2.443,900	357,215	17,478	69	XXX	3,641,617	7.9	3,503,205	8.4	3,641,617	0
2. All Other Governments			······································	A								
2.1 NAIC 1		149,874	199,587			XXX	349,461	8.0	499,247	1.2	349.461	
2.2 NAIC 2						XXX	0	0.0	0	0.0		
2.3 NAIC 3				,,,,,,		XXX	ľ	0.0	n	0.0		
2.4 NAIC 4						XXX	0	0.0	0	0.0		
2.5 NAIC 5						XXX	0	0.0	0	0.0		
2.6 NAIC 6						XXX	0	0.0	0	0.0		
2.7 Totals	0	149,874	199,587	0	0	XXX	349.461	0.8	499,247	1.2	349.461	0
3. U.S. States, Territories ar	nd Possessions, etc., 0			-			1					
3.1 NAIC 1			80,000			XXX	80,000	0.2	80,000	0.2	80,000	
3.2 NAIC 2						XXX	n	0.0	n	0.0		
3.3 NAIC 3						XXX	n	0.0	Ď l	0.0		
3.4 NAIC 4						XXX	ñ	0.0	n l	0.0		
3.5 NAIC 5						XXX	n l	0.0	Ď	0.0		
3.6 NAIC 6						XXX	n l	0.0	ñ	0.0		
3.7 Totals	0	0	80,000	0	0	XXX	80,000	0.2	80,000	0.2	80,000	0
4. U.S. Political Subdivisions	of States, Territories	and Possessions, Guar		<u> </u>		Ana	00,000	V.L	00,000	0111	00,000	
4.1 NAIC 1		169.946 L	1,286,992			XXX	1,456,938	3.2	909,332	2.2	1,456,938	
4.2 NAIC 2		, , , , , , , , , , , , , , , , , , , ,	,200,000			XXX	n	0.0	n	0.0	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
4.3 NAIC 3						XXX	n	0.0	ñ	0.0		
4.4 NAIC 4						XXX	n	0.0	ň	0.0		
4.5 NAIC 5						XXX	n l	0.0	n	0.0		
4.6 NAIC 6						XXX	n	0.0	n	0.0		
4.7 Totals	0	169.946	1,286,992	0	n	XXX	1,456,938	3.2	909,332	2.2	1.456,938	<u> </u>
5. U.S. Special Revenue & S	Special Assessment O		aranteed			1013	1,100,000	VIL	000,002	2,2	1,,00,000	<u> </u>
5.1 NAIC 1	1,832,910	5,722,754	4,604,110	752,962	42,057	XXX	12,954,793	28.2	9,560,635	22.8	12,954,793	
5.2 NAIC 2	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				, 501	XXX	n	0.0	n	0.0	, , , , , , , , , , , , , , , , , , ,	
5.3 NAIC 3						XXX	n i	0.0	n l	0.0		
5.4 NAIC 4						XXX	n l	0.0	n	0.0		
5.5 NAIC 5					··	XXX	1	0.0	n	0.0		
5.6 NAIC 6						XXX	n l	0.0	n l	0.0		
5.7 Totals	1,832,910	5,722,754	4,604,110	752,962	42,057	XXX	12,954,793	28.2	9,560,635	22.8	12,954,793	l n

SCHEDULE D - PART 1A - SECTION 1 (Continued) Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

] 1	2	3	4	5	6	7	8	9	10	11	12
		Over 1 Year Through		Over 10 Years		No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately Place
NAIC Designation	1 Year or Less	5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.7	Prior Year	Prior Year	Traded	(a)
6. Industrial and Miscellaned	ous (unattiliated)	0.704.704	5 000 700									1
6.1 NAIC 1	2,938,468		5,288,728	A 40 000		XXX	17,991,978	39.1	18,401,542	43.9	17,991,978	
6.2 NAIC 2	724,900	2,181,601	6,093,074	349,038	******	XXX	9,348,613	20.3	8,919,436	21.3	9,348,613	
6.3 NAIC 3			148,875		***************************************	XXX	148,875	0.3	ļ <u>0</u>	0.0	148,875	
6.4 NAIC 4						XXX	<u>0</u>	0.0	ļ0	0.0		
6.5 NAIC 5						XXX	0	0.0	ļ0	0.0		
6.6 NAIC 6						XXX	0	0.0	0	0.0		
6.7 Totals	3,663,368	11,946,382	11,530,678	349,038	0	XXX	27,489,466	59.8	27,320,978	65.2	27,489,466	C
7. Hybrid Securities												
7.1 NAIC 1						XXX]0	0.0	0	0.0		
7.2 NAIC 2						XXX	ļ0	0.0	ļ0	0.0		
7.3 NAIC 3						XXX	0	0.0	0	0.0		
7.4 NAIC 4						XXX	0	0.0	0	0.0		
7.5 NAIC 5	,				***************************************	XXX	0	0.0	0	0.0		
7.6 NAIC 6						XXX	0	0.0	0	0.0		
7.7 Totals	0	0	0	0	<u> </u>	XXX	0	0.0	0	0.0	0	(
8. Parent, Subsidiaries ar	nd Affiliates											
8.1 NAIC 1						XXX	0	0.0	0	0.0		.,
8.2 NAIC 2						ХХХ	0	0.0	0	0.0		
8.3 NAIC 3						XXX	0	0.0	0	0.0		
8.4 NAIC 4						XXX	0	0.0	0	0.0		
8.5 NAIC 5						XXX	0	0.0	0	0.0		
8.6 NAIC 6						XXX	0	0.0	0	0.0		
8.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	(
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX		0	0.0	0	0.0		
9.2 NAIC 2	XXX	XXX	XXX	ХХХ	XXX		0	0.0	0	0.0		
9.3 NAIC 3	XXX	XXX	XXX	ХХХ	XXX		0	0.0		0.0		
9.4 NAIC 4	XXX	XXX	ХХХ	XXX	XXX		0	0.0	0	0.0		
9.5 NAIC 5	XXX	XXX	XXX	XXX	ХХХ		0	0.0	0	0.0		
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX		0	0.0	0	0.0		
9.7 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	(
10. Unaffiliated Bank Loans												
10.1 NAIC 1	,					XXX	0	0.0	0	0.0		
10.2 NAIC 2						XXX	0	0.0	0	0.0		
10.3 NAIC 3						XXX	0	0.0	0	0.0		
10.4 NAIC 4	***************************************					XXX	0	0.0	0	0.0		
10.5 NAIC 5				***************************************		ххх	l0	0.0	0	0.0		
10.6 NAIC 6						XXX	0	0.0	0	0.0		
10.7 Totals	0	0	0	n	0	XXX	0	0.0	n	0.0	0	ſ

SCHEDULE D - PART 1A - SECTION 1 (Continued)

		Quality and	Maturity Distribution of	of All Bonds Owned De	cember 31, at Book/A	djusted Carrying Value	s by Major Types of Is	sues and NAIC Desig	nations			
	1	2	3	4	5	6	7	8	9	10	11	12
		Over 1 Year Through	Over 5 Years	Over 10 Years		No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately Placed
NAIC Designation	1 Year or Less	5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.7	Prior Year	Prior Year	Traded	(a)
11. Total Bonds Current Year												
11.1 NAIC 1[d	5,594,333	18,251,255	11,816,633	770,440	42,126	0	36,474,787	79.3	XXX	XXX	36,474,787	0
11.2 NAIC 2	724,900	2,181,601	6,093,074	349,038	0	0	9,348,613	20.3	ХХХ	XXX	9,348,613	0
11.3 NAIC 3 (d	⁰ 0	0	148,875	0	0	0	148,875	0.3	XXX	XXX	148,875	0
11.4 NAIC 4(d	0]0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.5 NAIC 5(d	0]0	0	0	0	0	(c) 0	0.0	XXX	XXX	0	0
11.6 NAIC 6(d	0	0	0	0	0	0	(c) 0	0.0	XXX	XXX	0	0
11.7 Totals	6,319,233	20,432,857	18,058,582	1,119,478	42,126	0	(b) 45,972,275	100.0	XXX	XXX	45,972,275	0
11.8 Line 11,7 as a % of Col. 7	13.7	44.4	39.3	2.4	0.1	0.0	100.0	XXX	XXX	XXX	100.0	0.0
12. Total Bonds Prior Year												
12.1 NAIC 1	4,480,617	16,664,640	10,005,388	1,623,137	180,178	0	XXX	XXX	32,953,960	78.7	32,953,960	0
12.2 NAIC 2	224,952	3,185,475	5,509,008	0	0	0	XXX	XXX	8,919,436	21.3	8,919,436	0
12.3 NAIC 3	0	l0	0	0	0	0	XXX	ХХХ	0	0.0	0	0
12.4 NAIC 4	0	l0	0	0	0	0	XXX	XXX	0	0.0	0	0
12.5 NAIC 5	0	l	0	O	0	0	XXX	XXX	(c) 0	0.0	0	0
12.6 NAIC 6	0	0	0	0	0	0	XXX	XXX	(c) 0	0.0	0	00
12.7 Totals	4,705,570	19,850,115	15,514,396	1,623,137	180,178	0	XXX	XXX	(b) 41,873,396	100.0	41,873,396	0
12.8 Line 12.7 as a % of Col. 9	11.2	47.4	37.1	3.9	0.4	0.0	XXX	XXX	100.0	XXX	100.0	0.0
13. Total Publicly Traded Bonds												
13.1 NAIC 1	5,594,333	18,251,255	11,816,633	770,440	42,126		36,474,787	79.3	32,953,960	78.7	36,474,787	XXX
13.2 NAIC 2	724,900	2,181,601	6,093,074	349,038	'		9,348,613	20.3	8,919,436	21.3	9,348,613	XXX
13.3 NAIC 3	,		148,875	·			148 . 875	0.3	10	0.0	148,875	
13.4 NAIC 4							0	0.0	0	0.0	0	XXX
13.5 NAIC 5					***************************************		0	0.0]0	0.0	0	XXX
13.6 NAIC 6							0	0.0	0	0.0	0	XXX
13.7 Totals	6,319,233	20,432,857	18,058,582	1,119,478	42,126	0	45,972,275	100.0	41,873,396	100.0	45,972,275	
13.8 Line 13.7 as a % of Col. 7	13.7	44.4	39.3	2.4	0.1	0.0	100.0	XXX	XXX	XXX	100.0	ХХХ
13.9 Line 13.7 as a % of Line												
11.7, Col. 7, Section 11	13.7	44.4	39.3	2.4	0.1	0.0	100.0	XXX	XXX	XXX	0. 100	XXX
14. Total Privately Placed												
Bonds												_
14.1 NAIC 1		.,					0	0.0	0	0.0	XXX	ļ0
14.2 NAIC 2							0	0.0	ļ	0.0	XXX	ļ0
14.3 NAIC 3			·····				ō	0.0	ļ	0.0	XXX	ļ
14.4 NAIC 4							ō	0.0	ļ	0.0	XXX	ļ
14.5 NAIC 5							ō	0.0	ļ	0.0	XXX	ļ
14.6 NAIC 6	_						0	0.0	<u> </u>	0.0	XXX	0
14.7 Totals	0			0		0	0	0.0	ļ0	0.0	XXX	1
14.8 Line 14.7 as a % of Col. 7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	0.0	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0.0

⁽a) Includes \$

⁽b) Includes \$ 145,000 prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.

⁽c) Includes \$ current year, \$ prior year of bonds with 5Gl designations and \$ current year, \$ prior year of bonds with 6* designations reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1\$; NAIC 2\$; NAIC 3\$; NAIC 4\$; ... prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the SVO in ...; NAIC 5 \$.; NAIC 6 \$

SCHEDULE D - PART 1A - SECTION 2

	Ma	iturity Distribution (of All Bonds Owned	December 31, At B	ook/Adjusted Carry	ing Values by Majo	r Type and Subtyp	of Issues				
Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments	CAD ACO	2 200 700				VVV	0.040.400	0.0	0.507.000	6.0	2 040 400	
1.01 Issuer Obligations	648,460	2,200,706	CO 00E	47 470	Δη.	XXX	2,849,166	6.2	2,597,688	6.2	2,849,166	
1.02 Residential Mortgage-Backed Securities	174,495	243 , 195	69,025	17 ,478	69	XXX	504,261	1.1	619,199	1.5	504,261	
1.03 Commercial Mortgage-Backed Securities			288,190			XXX	288,190	0.6	286,317	0.7	288 , 190	
1.04 Other Loan-Backed and Structured Securities	822,955	2,443,900	357,215	67 470		XXX	0.044.047	0.0	0.500.005	0.0	3,641,617	
2. All Other Governments	022,900	2,443,900	351,215	17,478	69	XXX	3,641,617	7.9	3,503,205	8.4	3,041,01/	U
2.01 Issuer Obligations		149.874	199,587			XXX	349,461	8.0	499,247	1.2	349,461	
2.02 Residential Mortgage-Backed Securities		, 10,011	100,001			XXX	n	0.0	n	0.0	010,104	
2.03 Commercial Mortgage-Backed Securities						XXX	n	0.0	ñ	0.0		.,
2.04 Other Loan-Backed and Structured Securities						XXX	0	0.0	ň	0.0		
2.05 Totals	0	149,874	199,587	0	0	XXX	349,461	0.8	499,247	1.2	349,461	0
U.S. States, Territories and Possessions, Guaranteed 3.01 Issuer Obligations			80.000		:	XXX		0.2	80,000	0.2	80,000	
3.02 Residential Mortgage-Backed Securities				:		XXX	0	0.0	0	0.0		
3.03 Commercial Mortgage-Backed Securities.						XXX	0	0.0	Ō	0.0		
3.04 Other Loan-Backed and Structured Securities						XXX	0	0.0	Ō	0.0		
3.05 Totals	0	0	80.000	0	0	XXX	80.000	0.2	80.000	0.2	80,000	0
U.S. Political Subdivisions of States, Territories and Possess 4.01 Issuer Obligations	ions, Guaranteed	169.946	1,286,992		<u> </u>	XXX	1,456,938	3.2	909,332	2.2	1,456,938	
4.02 Residential Mortgage-Backed Securities						XXX	0	0.0	n n	0.0	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
4.03 Commercial Mortgage-Backed Securities						XXX	0	0.0	Ď	0.0		
4.04 Other Loan-Backed and Structured Securities						XXX	n	0.0	Ō	0.0		
4.05 Totals	0	169.946	1,286,992	0	0	XXX	1,456,938		909,332	2.2	1,456,938	0
U.S. Special Revenue & Special Assessment Obligations, etc., 5.01 Issuer Obligations	418,925	1.031,143	2,181,692	210,783		XXX	3.842,544	8.4	3,224,057	7.7	3.842,544	
5.02 Residential Mortgage-Backed Securities	1,403,442	4,120,097	1,708,220	542,179	42,057	XXX	7.815.995	17.0	5,840,702	13.9	7,815,995	
5.03 Commercial Mortgage-Backed Securities	10,542	571,514	714,198		,	XXX	1,296,254	2.8	495,876	1.2	1,296,254	
5.04 Other Loan-Backed and Structured Securities	·	· ·	·			XXX	0	0.0	0	0.0		
5.05 Totals	1,832,910	5,722,754	4,604,110	752,962	42,057	XXX	12,954,793	28.2	9,560,635	22.8	12,954,793	0
6. Industrial and Miscellaneous 6.01 Issuer Obligations	1,724,491	8,095,922	10,813,261	349,038		XXX	20,982,711	45.6	20,614,466	49.2	20,982,711	
6.02 Residential Mortgage-Backed Securities					,.,.,.,	XXX	0	0.0	0	0.0		
6.03 Commercial Mortgage-Backed Securities	83,726	1,734,796	664,322			XXX	2,482,844	5.4	2,372,166	5.7	2,482,844	
6.04 Other Loan-Backed and Structured Securities	1,855,152	2,115,665	53,095			XXX	4,023,911	8.8	4,334,345	10.4	4,023,911	
6.05 Totals	3,663,368	11,946,382	11,530,678	349,038	0	XXX	27,489,466	59.8	27,320,978	65.2	27,489,466	0
7. Hybrid Securities						MACO.						
7.01 Issuer Obligations						XXX	0	0.0	<u>0</u>	0.0		
7.02 Residential Mortgage-Backed Securities						XXX	ō	0.0	J	0.0		
7.03 Commercial Mortgage-Backed Securities						XXX	0	0.0	}Ď	0.0		
7.04 Other Loan-Backed and Structured Securities						XXX	0	0.0	ļ <u>V</u>	0.0		
8. Parent, Subsidiaries and Affiliates	U_,	. 0	0	0	<u> </u>	XXX	0	0.0	U	0.0	U	L L
8.01 Issuer Obligations						XXX	0	0.0	ļo	0.0		
8.02 Residential Mortgage-Backed Securities						XXX	0	0.0	ļ0	0.0		
8.03 Commercial Mortgage-Backed Securities						XXX	0	0.0	ļ0	0.0		ļ
8.04 Other Loan-Backed and Structured Securities.						XXX	0	0.0	ļ0	0.0		
8.05 Affiliated Bank Loans – Issued						XXX	<u>0</u>	0.0	ļō	0.0		
8.06 Affiliated Bank Loans – Acquired						XXX	0	0.0	<u> </u>	0.0		
8.07 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	(

SCHEDULE D - PART 1A - SECTION 2 (Continued) Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Identifying Values by Major Type and Major Type and Subtype of Identifying Values by Major Type and Ma

		Maturity Distribution	of All Bonds Owne	d December 31, at E	Book/Adjusted Carry	ing Values by Majo	or Type and Subtype	of Issues				
	1	2	3	4	5	6	7	8	9	10	11	12
		Over 1 Year	Over 5 Years	Over 10 Years		No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately
Distribution by Type	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.08	Prior Year	Prior Year	Traded	Placed
9. SVO Identified Funds						·····						
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX		0	0.0	0	0.0		
9.02 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX		0	0.0	0	0.0		
9.03 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Unaffiliated Bank Loans								1				
10.01 Bank Loans - Issued						XXX	0	0.0	0	0.0		
10.02 Bank Loans – Acquired						XXX	0	0.0	0	0.0		
10.03 Totals	0	0	0	0	U	XXX	<u> </u>	0.0	0	0.0	n	0
11. Total Bonds Current Year				<u> </u>			<u> </u>	V.0		0,0		
11.01 Issuer Obligations	2,791,876	11,647,591	14,561,532	559,821	n	XXX	29,560,820	64.3	XXX	XXX	29,560,820	e.
11.02 Residential Mortgage-Backed Securities	1,577,937	4,363,292	1,777,245	559,656	42,126	XXX	8,320,256	18.1	XXX	XXX	8,320,256	0
11.03 Commercial Mortgage-Backed Securities	94,268	2,306,309	1,666,710	n	n	XXX	4,067,288	8.8	XXX	XXX	4,067,288	0
11.04 Other Loan-Backed and Structured Securities	1,855,152	2,115,665	53,095	n	n	XXX	4,023,911	8.8	XXX	XXX	4,023,911	0
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	/	n	0.0	XXX	XXX	n	n
11.06 Affiliated Bank Loans	n	n		۸۸۸	۸۸۸	XXX	ļ	0.0	XXX	XXX	ا ۷	n
11.07 Unaffiliated Bank Loans	ا م	n		ا ۱	y	XXX	ļ	0.0	XXX	XXX		Uo
11.08 Totals	6,319,233	20,432,857	18,058,582	1,119,478	40.400	444	U 45 070 075	100.0	77171	XXX	45,972,275	<u>U</u>
11.09 Lines 11.08 as a % Col. 7					42,126	U	45,972,275		XXX			U
12. Total Bonds Prior Year	13.7	44,4	39.3	2,4	0.1	0.0	100.0	XXX	XXX	XXX	100.0	0.0
12.01 Issuer Obligations	2,491,884	40,000,400	40.040.755	544.050		una	11997	11500	07 001 700	00.7	07 001 700	_
12.02 Residential Mortgage-Backed Securities		12,898,199	12,019,755	514,952	9	XXX	XXX	XXX	27,924,790	66.7	27,924,790	U
	945,173	2,672,122	1,554,243	1,108,185	180,178	XXX	XXX	XXX	6,459,901	15.4	6,459,901	U
12.03 Commercial Mortgage-Backed Securities	63,739	1,336,712	1,753,909			XXX	XXX	XXX	3,154,360		3,154,360	
12.04 Other Loan-Backed and Structured Securities	1,204,774	2,943,082	186,489	0	0	XXX	XXX	XXX	4,334,345	10.4	4,334,345	D
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	XXX	XXX	0	0.0	0	<u> </u>
12.06 Affiliated Bank Loans	0		0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	XXX	XXX	Ð	0.0	0	0
12.08 Totals	4,705,570	19,850,115	15,514,396	1,623,137	180 , 178	0		XXX	41,873,396	100.0	41,873,396	0
12.09 Line 12.08 as a % of Col. 9	11.2	47.4	37.1	3.9	0.4	0.0	XXX	XXX	100.0	XXX	100.0	0.0
13. Total Publicly Traded Bonds		-	ļ									
13.01 Issuer Obligations	2,791,876	11,647,591	14,561,532	559,821		XXX	29,560,820	64.3	27,924,790	66.7	29,560,820	XXX
13.02 Residential Mortgage-Backed Securities	1,577,937	4,363,292	1,777,245	559,656	42,126	XXX	8,320,256	18.1	6,459,901	15.4	8,320,256	XXX
13.03 Commercial Mortgage-Backed Securities	94,268	2,306,309	1,666,710		***************************************	XXX	4,067,288	8.8	3,154,360	7.5	4,067,288	XXX
13.04 Other Loan-Backed and Structured Securities	1,855,152	2,115,665	53,095			XXX	4,023,911	8.8	4,334,345	10.4	4,023,911	XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	XXX
13.06 Affiliated Bank Loans						XXX	0	0.0	0	0.0	0	XXX
13.07 Unaffiliated Bank Loans						XXX	0	0.0	0	0.0	0	XXX
13.08 Totals	6,319,233	20,432,857	18,058,582	1,119,478	42,126	0	45,972,275	100.0	41,873,396	100.0	45,972,275	XXX
13.09 Line 13.08 as a % of Col. 7	13.7	44.4	39.3	2.4	0.1	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	13.7	44.4	39.3	2.4	0,1	0.0	100.0	XXX	ХХХ	XXX	100.0	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations						XXX	1 0	0.0	Ð	0.0	XXX	0
14.02 Residential Mortgage-Backed Securities						XXX	0	0.0	0	0.0	XXX	0
14.03 Commercial Mortgage-Backed Securities						XXX	0	0.0	ก	0.0	XXX	0
14.04 Other Loan-Backed and Structured Securities						XXX	i a	0.0	ก	0.0	XXX	n
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	n	T n	0.0	ก	0.0	XXX	n
14.06 Affiliated Bank Loans	2032		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			XXX	h	0.0	ภ	0.0	XXX	n n
14.07 Unaffiliated Bank Loans						XXX	0		ນ	0.0	XXX	
14.08 Totals	0	0	n	0	n	0	0	1	N N	0.0	XXX	ŏ
14.09 Line 14.08 as a % of Col. 7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	0.0	0.0	0.0	0.0	0.0	0.0	0.0		XXX	XXX	XXX	0.0
Line 14.00 as a 70 OF LINE 11.00, COL 7, Section 11	U.U]	ν.ν	0.0	0.0 [V.U	บ.บ	1 0.0	1 444	ለአአ	1 444	۸۸۸	U.U

Schedule DA - Verification Between Yrs

NONE

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E – PART 2 – VERIFICATION BETWEEN YEARS

(Cash Equivalents)			<u></u>
	1	2	3	4
	Total	Bonds	Money Market Mutual Funds	Other (a)
Book/adjusted carrying value, December 31 of prior year	4,021,832	0	4,021,832	(
Cost of cash equivalents acquired.	9,359,076		9,359,076	
3. Accrual of discount	0			····,
Unrealized valuation increase (decrease)	0			
5. Total gain (loss) on disposals	0			
Deduct consideration received on disposals.	12,461,205		12,461,205	
7. Deduct amortization of premium.	0	***************************************		
8. Total foreign exchange change in book/adjusted carrying value	0			
Deduct current year's other-than-temporary impairment recognized	0			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	919,703	0	919,703	
11. Deduct total nonadmitted amounts.	0			
12. Statement value at end of current period (Line 10 minus Line 11)	919,703	0	919.703	

⁽a) Indicate the category of such investments, for example, joint ventures, transportation equipment

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						Showing All F	Real Estate OWNE	D December 31 of	Current Year							
1	2	Loca	ation	5	6	7	8	9	10	Chang	e in Book/Adjus	ted Carrying Va	lue Less Encumb	rances	16	17
		3	4							11	12	13	14	15		
Description of Property	Code	City	State	Date Acquired	Date of Last Appraisal	Actual Cost	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Fair Value Less Encumbrances	Current Year's	Impairment	-	Total Change in B./A.C.V. (13-11-12)	Change in	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs and Expenses Incurred
Properties occupied by the reporting en	tity - Hea	aith Care Delivery									·					
Properties occupied by the reporting en	lity - Adm	ninistrative	T 11	1 40/00/2000	T 40 (47 (0000	0.005.500		I operation			1					η
Home Office Building		Johnston Johnston		1070672009 08/01/2010	12/17/2020	2,665,500 13,187,415		2,665,500 9,991,702		405,845			(405.845)		1,406,475	655,823
0299999 - Properties occupied by the ren	porting er	ntity – Administrati		1	1 (27 () 72.02.0.	15,852,915	0		14,480,000	405,845	0	Ö		0	1,406,475	655,823
0399999 - Total Properties occupied by	he report	ting entity				15,852,915	0	12,657,201	14,480,000	405,845				0		655,823
Properties held for the production of in	сопе															
Properties held for sale			· · · · · · · · · · · · · · · · · · ·	7	T											· · · · · · · · · · · · · · · · · · ·
				-												

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0699999 Totals						15,852,915	0	12,657,201	14,480,000	405,845	0	0	(405,845)	0	1,406,475	655,823

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 1

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

						Shov	vina Other	ong-Term I	nvested Asse	te OWNED	December 31 of C	urrent Vear							
1	2	3	Local	lion	6	7 7	R R	0	10	11	12	MICHT 1001	Change in E	look/Adjusted Carr	ving Value		18	19	20
	-	1 ° F	A	5	1	NAIC	o	9	"	, ,,	12	40	14	15	ying value	17	10	19	20
			7			Desig-						13	14	15	16	17			
						nation,									1	l			
						NAIC													
				1		Desig-													
					1	nation			1		50 1- 2 A - 15 1 2				İ				
				i		Modifier &					Book / Adjusted		Current Year's	Current Year's		Total		٠	
		1 1			Name of	svo	0-1-	T	i		Carrying	Unrealized	(Depreciation)	Other-Than-	Capitalized	Foreign		Commitment	
CUSIP						Admin-	Date	Туре		l	Value	Valuation	Of	Temporary	Deferred	Exchange	l	for	Percentage
Identification	Name or Description	Code	Citv	State	Vendor or General Partner	istrative	Originally	and	Actual	Fair	Less	Increase	(Amortization)/	Impairment	Interest and	Change in	Investment	Additional	of
	iction - Unaffiliated	Coue	URY URY	State	General Partner	Symbol	Acquired	Strategy	Cost	Value	Encumbrances	(Decrease)	Accretion	Recognized	Other	B./A.C.V.	Income	Investment	Ownership
	iction - Affiliated																		
	uipment - Unaffiliated											·							
	uipment - Affiliated				····														
Mineral Rights -	linaffi Liated																		
Mineral Rights -	Affiliated																		
Non-Registered Pr	ivale Funds with Underlying Ass	els Havino	Characteristics of	· Ronde . NAIC Doei	anation Assigned by the	courities Va	unline Office	/CVA\ lan/f	Linted		······································								
INOR-REDISTERED PA	IVALE FUNDS WITH UNDERLYING ASS	efs Havinn	Characterictics of :	Ronde - Maic Daei	onation Appiaged by the (acurities Val	unting Office	(CUA)	alad										
Non-Registered Pr	ivate Funds with Underlying Asso	els Havino	Characteristics of	Bonds - NAIC Desi	onation Not Assigned by t	he Securities	Valuation N	fice /SVA) III	atti ialad										
Non-Registered Pr	ivate Funds with Underlying Asso	ets Havino	Characteristics of	Bonds - NAIC Desi	onation Not Assigned by	ha Securities	Valuation O	fice (SVA) - G	fi islad										
Non-Registered Pr	ivate Funds with Underlying Asso	ets Havino	Characteristics of	Kortoace Loans -	Unaffiliated	no decentific	Tatuation of	FICE (GFO) * A	IIIIIaicu										
Non-Registered Pr	(vate Funds with Underlying Assi	ets Having	Characteristics of:	Mortgage Loans -	Affiliated								·····						
Non-Registered Pr	ivale Funds with Underlying Assi	ets Havino	Characteristics of:	Other Fixed Incom	e Instruments - Unaffilia	led													
Non-Registered Pr	ivale Funds with Underlying Asse	ets Havino	Characteristics of	Other Fixed Incom	e instruments - Affiliate	d													
Joint Venture, Pa	rtnership or Limited Liability (Coroany in	terests for Which th	e Underlying Asset	s Have The Characteristic	s of Fixed	ocose Instru	ents - NAIC De	ionalion Assign	nd by the Secur	ities Valuation Offic	a /SVOL - Unaffil	inted						
GOINL VEHILUTE, PA	ITTOETSAID OF LIMITED LIADIIILV L	Loncany in	leresis for Which th	e ikderivina Asset	s Have the Characteristic	en Fivor	ncosa Incirio	onie . NAIC Dai	rionalion Accion	ad by the Coope	ition Votuation Office	o (CUO) Affilio	tod						
LJOIDE VENTIERE PA	rinership of Limited Labriity (Coroany In	terests for Which in	tessa nnivitehnil e	e Havo the Characteristic	e of Fixed I	ncore inclain	ante Mittif Dar	innation Not to	giagod by the C	pourition Malunting	Efine (CMO) the	IIIII alad						
Joint Venture, Pa	rinership or Limited Liability (Company in	terests for Which th	ne Underlvina Asset	s Have The Characteristic	s of : Fixed	acoge Instru	enis - NAIC Des	cionation Not As	signed by the S	ecurities Valuation	Office (SVO) - Aff	ilialed	···	·····		***************************************		
Joint venture, Pa	rinership of Limited Liability (Company in	terests for Which th	ne Underfying Asset	s Have the Characteristic	sof: Common	Stocks Una	ffiliated	A STATE OF THE PROPERTY OF	orgnos uj vilo u	COUTTING TAILBRIDGE	ATTEC TOTO) - NAT	1110166						
Joint Yenture, Pa	rinershio or Limited Liability (Company in	terests for Which th	e Underlying Assel	s Have the Characteristic	s of Common	Stocks . Aft	iliated											
Joint Venture, Pa	rtnership or Limited Liability (Corroany In	terests for Which th	e Underlying Asset	s Have the Characteristic	s of · Real F	state . imafi	iliated								***************************************			$\overline{}$
Lioint Yenture, Pa	rtnership or limited Liability (Cornany In	lerests for Which Ih	e Underlying Accet	e Have the Characterictic	e of Post F	ctate Affil	ialad											
Joint Venture, Pa	rtnership or Limited Liability (Company in	terests for Which th	e Underlying Asset	s Have the Characteristic	sof Morto:	ne Inans - In	offiliated											
Joint Venture, Pa	finership of Limited Liability (concenv In	terests for Which th	e Underlying Assel	s Have the Characteristic	s of : Morto:	ne Inans - Ai	filiated						***************************************	***************************************				
Joint Venture, Pa	rinership or Limited Liability (Company in	terests for Which th	ie Underlying Asset	s Have the Characteristic	s of: Other	 Unaffiliate 	d				***************************************							***************************************
Joint Venture, Pa	rtnership or Limited Liability (Company In	terests for Which th	e Underlying Asset	s Have The Characteristic	s of: Other	 Affiliated 												
	s. etc Unaffilialed																		
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	ans - Unaffiliated																		
Non-Collateral Lo Capital Notes - U																			
Capital Notes - A							· · · · · · · · · · · · · · · · · · ·												
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Non-Guaranteed Str	ate Low Income Housing Tax Credi	(· Unaii	inlateu									***************************************							
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	lext Level Ventures Fund II.	т г		T						1	·			·	·	······	·	·	
	LLP	l no	s Koines	L IA	Direct H.O.	XXX	06/18/2019	,	470 . 162	470.162	470.162	^			1 .			F00 001	, [
	pringRock Ventures II, LP		altle		Direct H.O.		09/30/2020	······	450.000	450.000	450.000		0	1	\range	<u>\</u>	J	529.838 2,550,000	
	ther Class of Assets - Unaffilia				27100. II.V.	4	0510012020		920.162	920.162		<u></u> 0	0	0	J		<u>v</u>	3,079,83	
	Assets - Affiliated								32U. 10Z	320.102	320.102	U	1 0	<u>, </u>	<u> </u>	ט	יט	1 3,019,630	1 444
	totals - Unaffiliated								920.162	000.100	000 400 T	0	1 ^			Ω	1 ^	0.070.00	1 000
	totals - Affiliated								920.162	920.162	† 		<u> </u>				<u> </u>	3,079,838	
5099999 Totals										0	0	0				0	0	· Ł	D XXX
Uvaaaaa Tulais									920.162	920.162	920.162	0	0	0	0	ı 0	1 0	3.079.836	B XXX

Book/	Adjusted Carrying Value by	NAI	C Designation Category F	oolno	e:									
1A	\$ 0	1B	\$ 0	1C	\$ {)	1D	\$ 0	1E	\$ 0	1F	\$ 0	1G	s 0
	\$ 0													•
3A	\$ 0	3B	\$ 0	3C	\$ ()								
4A	\$ 0	4B	\$ 0	4C	\$ ()								
5A	\$ 0	58	\$ 0	5C	\$ ()								
6	\$ 0													

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year 1 2 Location 5 6 7 8 9 10 Name Of Date Type Of Originally and Actual Additional Investment Made Amount Oil and Gas Production - Unaffiliated Oil and Gas Production - Marrier Description Oil and Gas Production - Affiliated Transport lation Equipment - Affiliated Transport at ion Equipment - Affiliated Transport at ion Equipment - Affiliated Transport at ion Equipment - Affiliated	
CUSIP Identification Name or Description City State General Partner Acquired Strategy Cost at Time of Acquisition After Acquisition Encumbrate Transport at John Cost at Time of Acquisition After Acquisition Encumbrate Transport at John Cost at Time of Acquisition After Acquisition Encumbrate Transport at John Cost at Time of Acquisition After Acquisition Encumbrate Transport at John Cost at Time of Acquisition After Acquisition Encumbrate Transport at John Cost at Time of Acquisition Encumbrate Transpo	Percentage of of
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Oil and Gas Production - Unaffiliated Oil and Gas Production - Unaffiliated Oil and Gas Production - Unaffiliated Oil and Gas Production - Unaffiliated Oil and Gas Production - Unaffiliated	nces Ownership
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Transportation Equipment - Unaffiliated Transportation Equipment - Affiliated	
[fransportation Equipment - Affiliated	
Mineral Rights - Unalfifiated	
Rineral Rights - Affiliated	
Non-Registered Private Funds with Underlying Assets Having Characteristics of: Bonds - NAIC Designation Assigned by the Securities Valuation Office (SVO) - Unaffiliated	***************************************
NOR-Kedistered Private Funds with Underlying Assets Having Characteristics of Roods - NAIC Decignation Assets Having Characteristics of Roods - NAIC Decignation Assets Having Characteristics (Roods - NAIC Decignation Assets)	
NON-REGISTERED Private Funds with Underlying Assets Having Characteristics of Roads - Natio Operandica Not Assigned by the Securities Valuation Office (SWO) Instituted	
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Non-Registered Private Funds with Underlying Assets Having Characteristics of: Nortgage Loads - Affiliated	
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Non-Registered Private Funds with Underlying Assets Having Characteristics of Other Fixed Income Instruments Affiliated	
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Joint Venture, Partnership or Limited Liability Corporate Interests for Mich the Underlying Assets Have the Characteristics of Other - Affiliated	
Surplus Debentures, etc Unarritiated	
Surplus Debentures, etc Affiliated	
Collateral Loans - Unaffiliated	
Collateral Loans - Affiliated	
Non-col lateral Loans - Unaffiliated	
Non-collateral Loans - Affiliated	
Capital Notes - Unaffillated	
Capital Notes - Affiliated	
Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated	
Guaranteed Federal Low Income Housing Tax Credit - Affiliated	
Non-Quaranteed Federal for Incose Housing Tax Credit - Inaffitiated	
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Non-Guaranteed State Low Income Housing Tax Credit - Unaffiliated	
Non-Guaranteed State Low Income Housing Tax Credit - Affiliated	
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All Other Low Income Housing Tax Credit - Affiliated	
Morking Capital Finance Investment - linaffilialed	
Any Other Class of Assets - Unaffiliated	
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4500009 - Bry Clinar Clark at Areate Heaff United	
1035955 Anny Other Class of Assets - Offiliated 450,000 259,583 450,000 259,583	O XXX
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5099999 (Mais 450.000) 259.583	O XXX

				Showing Other Long-Term I	nvested Ass	sets DISPL	JSED, Iran	sterred or	Repaid Dui	ring the Cu	rrent year								
1 1	2	Loc	ation	5	6	7	8			je in Book/Adj			•	15	16	17	18	19	20
		3	4					9	10	11	12	13	14	1					
							Book/		Current	Current			1	Book/Adjusted	i				
							Adjusted		Vear's	Year's		Total	Total	Carrying	1				
1							Carrying	Unrealized	(Depreciation)	Other-Than-		Change	Foreign	Value	1	Foreign	Realized	Total	
CUSIP	Mana				Date		Value Less	valuation	or	remporary	Deferred	in	Exchange	Less		Exchange	Gain	Gain	
Identification	Name or Description	City	State	Name of Purchaser or	Originally	Disposal	Encumbrances	increase	(Amortization)		Interest	B./A.C.V.		Encumbrances	1	Gain (Loss)		(Loss) on	Investment
Identification	Description	City	State	Nature of Disposal	Acquired	Date	Prior Year	(Decrease)	Accretion	Recognized	and Other	(9+10-11+12)	B./A.C.V.	on Disposal	Consideration	on Disposal	Disposal	Disposal	Income
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4699999 Totals	7 1171111111111							<u></u>	- V	+ ×	+ v	 	ļ	ļ	<u>v</u>		<u> </u>	0	0

							Showing All L	ong-Term BON	ned herw 201	mber 31 of Current	Voor								
1	2	Codes	E	7	. Fai	r Value	10	11		ange in Book / Adjust			1		Interes	st		Da	ates
		F 0 r	5 NA Design , NA Design	nation NC nation	8	9			12	13	14 Current Year's Other	15 Total Foreign	16	17	18	19	20	21	22
CUSIP		e i g B	Mod and S lond Admin	SVO	Rate Used to Obtain Fair	Fair	Par	Book/ Adjusted Carrying	Unrealized Valuation Increase/	Current Year's (Amortization)/	Than Temporary Impairment	Exchange Change In	Rate	Effective Rate	When	Admitted Amount Due &	Amount Rec. During		Stated Contractual Maturity
Identification	Description		HAR ive Sy		Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	Governments - Issuer Obligat	ions																	
	UNITED STATES TREASURYUNITED STATES TREASURY		1			284,805 231,750	250,000 200,000	266,102	0	(8,141) (1,805)	0	0	7.625 6.250	4.014 5.178	MN FA	2,475	19,063	12/15/2009 10/15/2003	11/15/2022 08/15/2023
912828-4H-0	UNITED STATES TREASURY		1			331,093	314.484	205,193 311,439	3,693	1,311	0)	0.625	1.068	AO AO	4,721 421	12,500 1,954	12/27/2018	04/15/2023
	UNITED STATES TREASURY		1		1 103.5630	310,688	300,000	298,906	0	555	0	0	2.000	2.196	MN	527	6,000	12/27/2017	11/30/2022
	UNITED STATES TREASURYUNITED STATES TREASURY	··	1			403,750 148,512	400,000 145,000	399,018 146,032	0	1,170 (627)	0	ļ0	1.250 1.625	1.549 1.180		856 .890	5,000 2,356	11/10/2016 06/30/2016	10/31/2021 08/15/2022
912828-U6-5	UNITED STATES TREASURY		1			253,672	250,000	249,442	0			ر د	1.750	1.998	MN.	385	4,375	12/28/2016	11/30/2021
	UNITED STATES TREASURY				102.0780		321,204	323,107	3,771	753	o	0	0.125	0.374	AO	86	399	06/22/2017	04/15/2022
	UNITED STATES TREASURY londs - U.S. Governments - Iss	uer Obligations	1.	A 649,9 2,904,6		650,508 2,942,655	650,000 2,830,688	649,926 2,849,166	7,464	0 (6,184)	0	0	0.250 XXX	0.252 XXX	JD	10,369	51,647	12/29/2020 XXX	12/31/2025 XXX
Bonds - U.S.	Governments - Residential Mo	rtgage-Backed Sec	curities		1 AAA	2,042,000	2,000,000	2,043,100	, 404	(0,104)	l	1 0	1 000	ΔΛΛ	1 200	10,505	31,047	I AAA	1 444
36179M-SV-3.	G2 MAO532 - RMBS		41.		5 108.2150		63,644	63,423	0	30	0	0	2.500	2.579	MON	133	1,591	02/12/2013	11/20/2042
	G2 MA0623 - RMBS GN 604994 - RMBS	·	4 1 1.			85,016 7,285	78,564 6,311		0	88	0	ļ	2.500 5.000	2.671 4.993	HON.	164 26	1,964 315	03/26/2013	12/20/2042 02/15/2034
36202D-2V-2	G2 003488 - RMBS		4 1	A	5117.7730	7,706	6,543	6,553	0	(3)	0	o	5.500	5.405	MON	30	360	04/26/2004	12/20/2033
36202D-7K-1.	G2 003598 - RMBS		.4			8,605	7,294	7,402	0	(†1) (39)	0	o	6.000	5.452	MON	36	437	07/28/2004	08/20/2034
	G2 004635 - RMBS		4 1.			31,869	29,613 31,528	30,028		(39) (8)	0 		4.000	3.542 3.962	MON.	99 105	1,184 1,261	06/28/2010 03/31/2011	12/20/2039
36202F-M7-8	G2 004882 - RMBS		.41.	A	7 109.8380	35,420	32,247	32,184	ŏ	(1)	0	0	4.000	4.027	MON	107	1,289	03/28/2011	12/20/2040
36202X-WZ-6[GN 612764 - RMBS GN 731000 - RMBS		.41.			6,574 33,788	5,663	5,727	0	(7)	ļ0	0	5.000	4.610	MON	24 103	283 1,238	05/13/2003 04/07/2010	06/15/2033
36291G-WF-9.	GN 628046 - RMBS		4 1.			56,592	30,990 48,395	30,254 49,295	0	(119)	l	J	4.000	4.688 4.868	MON	222	2,661	02/05/2009	02/15/2040
	GNR 2013-109 H - CMO/RMBS		.41.	A 53,86	0100.9970	53,917	53,385	53,636	0	(225)		0	3.500	2.294	MON	156	1,713	01/24/2020	06/16/2041
	YENDE 2011-1 DA - CMO/RMBS ZARAPL 1 A2 - RMBS	+	4 1.			5,928 110,192	5,881 103,970	5,877 103,975	0		ļ0	ļ0	3.750 2.702	3.513	MON	18 320	230 2,817	12/20/2011 09/16/2014	02/15/2035 08/20/2026
	onds - U.S. Governments - Res	idential Mortgag								.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		u			1				
Donde II C	<u>Securities</u> Governments - Commercial Mor	acan Backed Poor		504.99	3 XXX	546,393	504,028	504,261	0	(303)	0	0	XXX	XXX	XXX	1,543	17,344	XXX	XXX
			4 1.	A	7111.1340	333,402	300,000	288,190	n l	1,872	I0	I0	3.100	3.866	IMON	775	9,300	03/07/2019	01/16/2059
0399999 - 8	onds - U.S. Governments - Com	mercial Mortgage								·····									
Pondo II S	Securities Other Lore Book	and of surface	ad Cassinitizad	285,1	7 XXX	333,402	300,000	288,190	0	1,872	0	0	XXX	XXX	XXX	775	9,300	XXX	XXX
	Governments - Other Loan-Back onds - U.S. Governments - Sub			3,694,80	OT XXX	3.822.450	3,634,716	3,641,617	7.464	(4,614)	T 0	0	1 XXX	XXX	T XXX T	12,687	78,291	XXX	T XXX
Bonds - All C	ther Governments - Issuer Ob		TOTTINIOTE D	1 0,004,00	o į zaz	<u> </u>		3,047,077	7,707	(4,014)			1 AAA	100	1 000	12,007	70,231	NAA.	
	MANITOBA, PROVINCE OF	C C		FE 149,54		153,755		149,874	0	91	0	0	2.125	2.189	MN	505	3,188	D4/27/2017	05/04/2022
	ONTARIO, PROVINCE OF onds - All Other Governments		1.D	FE 199,26		218,500 372,256	200,000 L	199,587 349,461	0		0	0	2.500	2,542 XXX	AO		5,000 8,188	04/20/2016 XXX	D4/27/2026 XXX
Bonds - Ail 0	ther Governments - Residentia	i Mortgage-Backe	d Securities	1 040,00	<u> </u>	512,230	300,000	342,401	υ	100	1	1	1 ^^^		1 444	1,394	0,100		1
Bonds - All 0	ther Governments - Commercial	Mortgage-Backed	Securilies													***************************************			
	ther Governments - Other Loar onds - All Other Governments			Lies		T		<u> </u>										7	1
1033333 - 0	Governments	- Subtotals - MI	Utilei	348.80	9 xxx	372,256	350,000	349,461	اه	163	l n	1 0	XXX	XXX	l xxx l	1,394	8,188	l xxx	XXX
	States, Territories and Poss	essions (Direct		d) – Issuer Oblig	it ions	•		•											<u></u>
97705M-NQ-4_[1	MISCONSIN ST onds - U.S. States, Territori	os and Parancala	.11.0		0 108.8120	87,050	80,000	80,000	0	O	O	Ω	2.141	2,141		285	1,718	10/02/2019	05/01/2027
	Guaranteed) - Issuer Obligat		ns (pritect an	80.00	ol xxx	87.050	80,000	80.000	ا م	ر ا	o	0	XXX	XXX	XXX	285	1.718	XXX	l xxx
Bonds - U.S.	States, Territories and Posse	ssions (Direct a) - Residential	or I gage - Backed	Securilies		22,000					1 110/1	1 4000	1 1111	200	.,		1
	States, Territories and Posse																		
	States, Territories and Posse onds - U.S. States, Territori				keu anu structt	red Securities		· · · · · · · · · · · · · · · · · · ·	1			Τ	Γ		1			T	T
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Guaranteed) - Subtotals - U.	S. States, Terri																	
Pondo 11 C	Possessions (Direct and Guar	anteed)	and Desses	80,00	O XXX	87,050	80,000	80,000	0	0	0	0	XXX	XXX	XXX	285	1,718	XXX	XXX
438687-EG-6 II	Political Subdivisions of Sta HONOLULU HAWAII CITY & CNTY	ites, refritories	and Possess			uer Obligations 135,730 [115,000	115,000	n T	<u>ი</u>	<u> </u>	, ,	3.553	3.553	MS	1,362	4,086	08/16/2018	09/01/2028
44256P-XN-8	HOWARD CNTY MO	1	1.A			94,937	90,000	90.000	 0	ا ٥	۱	1 0	1.990	1,990	FA	677	488	04/28/2020	08/15/2029

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								Chausi An t	T DO	IDE O		· · · · · ·								
1	2		Codes	6	7	Es!s	Value	Showing All L	ong-Term BOI		ember 31 of Current					1_4				
1 '	_		4 5	NAIC	1 '	R Fair	value 9	10	11	12	nange in Book / Adjust	ed Carrying Value		**	7	Intere		00		ites
			-	Designation	J		ש			12	13	14 Current	15	16	17	18	19	20	21	22
			5	. NAIC	1							Year's	Total							
1		l lì	r	Designation	<u> </u>			!				Other	Foreign							
l		- 6	e	Modifier		Rate Used			Book/	Unrealized		Than	Exchange		ļ	1 1	Admitted	Amount		Stated
		j	i	and SVO		to Obtain			Adjusted	Valuation	Current Year's	Temporary	Change		Effective	1	Amount	Rec.		Contractual
CUSIP			g Bond	Administrat-		Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	ln	Rate	Rate	When	Due &	During		Maturity
Identification		Code r	1 CHAR			Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
686053-66-8	OREGON SCH BRDS ASSN OREGON SCH BRDS ASSN	· · · · · · · · · · · · · · · · · · ·		1.0 FE	158,033	123.2530	172,554	140,000	152,662	0	(2,097)	0	0	5.550	3.683	JD	22 26	7,770	05/04/2018	06/30/2028
1000000-00-0	PFLUGERVILLE TEX INDPT SCH		!	1.C FE	212,084	115.2400	224,718	195,000	208,085	υ	(1,488)	U		4.759	3.725	JD	26	9,280	03/16/2018	06/30/2028
717096-AG-6				1.A FE	263,989	120.5120	277 , 178	230,000	260,555	0	(3,435)	n	n	4.000	1.990	FA	3,476	4.498	01/31/2020	02/15/2028
	PORTLAND ORE CHINTY COLLEGE							200,000	200,000		(0,400)	······································			1.000	1		1,100		DET TOT EVEC
736688-LL-4				1.B FE	120,000	119.2680	143,122	120,000	120,000	0	Q	0	0	3.970	3.970	JD	397	4,764	11/07/2018	06/01/2027
864813-7A-2				1.A FE	200,000	109.7420	219,484	200,000	200,000	0	0	0	0	2.411	2.411	FA	2,009	3,804	09/26/2019	02/01/2029
882723-7Q-6. 882724-GU-5.			[1.A FE	108,200 110,000	113.5970 107.7930	113,597	100,000	107,147	Q	(1,053)	<u>0</u>	0	3.201	1.883	A0	800	3,201	02/05/2020	10/01/2026
	YSLETA TEX INDPT SCH DIST			1.A FE 1.A FE	94,496	128.8540	118,572 96,641	110,000 75,000	110,000	U	(1,007)	 n	U	3.112 5.000	3.112	AO FA		3,423	08/29/2018 06/24/2020	10/01/2023 08/15/2028
1899999 -	Bonds - U.S. Political Subdivis	ions of S	States, Ter	rilories and		120,0340		10,000		υ	(1,007)	V	νυ		1,001	FM		240		D07 137 2020
	Possessions (Direct and Guara	nteed) -	Issuer Obli	igations	1,471,803	XXX	1,596,532	1,375,000	1,456,938	0	(9,080)	0	0	XXX	XXX	XXX	11.040	41.553	XXX	l xxx l
Bonds - U.S.	Political Subdivisions of Stat	es. Terr	itories and	Possessions	(Direct and Guar	anteed) - Res	idential Mortoa	ge-Backed Secur	ities		(0,000)		1	, and	AAA	1 AAA	17,010	,000	71171	1
Bonds - U.S.	Political Subdivisions of Stat	es, Terr	itories and	Possessions	(Direct and Guar	anteed) - Com	mercial Mortoac	e-Backed Securi	lies											
Bonds - U.S.	Political Subdivisions of Stat	es, Terr	ilories and	Possessions	(Direct and Guar	anteed) - Oth	er Loan-Backed	and Structured S	Securities											
Z499999 -	Bonds - U.S. Political Subdivis																			
1	Possessions (Direct and Guara Political Subdivisions of Sta	need) • les Terr	· 216101000 ne sainntin	- U.O. d Prespesions											1					
1	(Direct and Guaranteed)				1,471,803	xxx	1,596,532	1,375,000	1,456,938	n	(9.080)	n	ا م	XXX	XXX	XXX	11.040	41,553	XXX	l xxx l
Bonds - U.S.	Special Revenue and Special As	sessment	Obligation	s and all Non-	-Guaranteed Obli	gations of Age	encies and Auth	orities of Gover	rnments and The	ir Political Subdi	visions - Issuer Obli	igations	<u>ı y</u>	AAA	1 200	1 000	11,040	71,000	AAA	
052414-RT-8	AUSTIN TEX ELEC UTIL SYS REV		11	1.0 FE	110,000	111.4130	122,554	110,000	110,900	0	0	G	1 0 1	2.837	2.837	HAN.	399	3,121	05/23/2019	11/15/2027
1 TOOL 1/T F	BAY AREA TOLL AUTH CALIF TOLL			1				•	-			•	1							l l
072024-NT-5	BRDG REV		1	1.D FE	171,382	126.1490	176,609	140,000	163,966	0	(2,051)	0	0	6.793	4.508	AO	2,378	9,510	02/16/2017	04/01/2030
13067W-JA-1	PROJ REV			1.D	5,046	104,8110	5,241	E 000	E 1/4 F		1-91		_	0.007	0.400	15	ا . ر	400	0010010040	10/04/0000
10001 11-01-1	CENT UT WRT CONSERVANCY DIST					104.0110		5,000	5,015	U	(7)	U	}0	2.637	2.480	JD	11	132	06/30/2016	12/01/2022
15567R-CK-7.,	WTR REV		11	1.8 FE	90,000	100,9100	90,819	90,000	90,000	n	ń	n	ا ا	1,491	1,491	AO	231	ก	10/15/2020	10/01/2029
	CONNECTICUT ST SPL TAX OBLIG							,	•		νν		j							
207758-KM-4.	REV			1.E FE	230,624	123.6020	247 ,204	200,000	223,774	00	(1,943)	0	[o	5.459	3.986	BN	1,820	10,918	04/05/2017	11/01/2030
235036-4P-2	DALLAS FORT WORTH TEX INTL ARPT REV			1 ,	200 000	100 0000	040 444	200 200	200 222	_		_	_						00/00/00/0	
20000-47-2.	DALLAS TEX WTRWKS & SWR SYS			1.E FE	200,000	106.2220	212,444	200,000	200,000	0	0	0	J0	2.434	2.434	KN	811	4,868	08/08/2019	11/01/2028
235416-7D-0			1	1.B FE	185,000	101.6910	188,128	185,000	185,000	n	n	Δ	ا ۾	2.485	2.485	AO	1.149	4,597	03/11/2015	10/01/2021
	ENERGY NORTHWEST WASH ELEC					,0 , ,00 ,0			100,000		V	υ	ا تا		2,403				931 11/2013	3070172021
29270C-L3-7	REY		1	1.0 fE	5,000	104.9480	5,247	5,000	5,000	0	o	0	[ol	2.814	2.814	JJ	70	141	04/24/2015	07/01/2024
1112500 10 0	FEDERAL NATIONAL MORTGAGE				,. <u>.</u>	400									-					
3135G0-J2-0	ASSOCIATION HI ST ARPTS SYS CUSTOMER FAC	······		1.A	148,364	100.1860	150,279	150,000	149,930	0	456	0	ļ0	1,375	1.684	FA	716	2.063	06/29/2017	02/26/2021
41978C-AH-8			1 1	1.F FE	135,000	105 .5920	142,549	135,000	135,000	^		^	اہ	3.025	3,025	JJ	2.042	4 004	07/13/2017	07/01/2025
	HAWAII ST ARPTS SYS REV		1 1	1.E FE	104,171	104.2370	104,237	100,000	104,170	 ກໍ			ا م ا م	2.230	1.701	JJ	434	4,084	12/29/2020	07/01/2025
45462T-HH-8	INDIANA BO BK REV			1.B FE	200,000	104.9830	209,966	200,000	200,000		(1)	o	n n	2.230	2.967	JJ	2,736	5,934	01/25/2019	D1/15/2023
534272-M9-7	LINCOLN NEB ELEC SYS REV		1	1.C FE	100,000	101.9740	101,974	100,000	100,000	0	Ď	0	Ď l	1,749	1.749	MS	476	0	09/03/2020	09/01/2032
E40070 AU 0	LA LOC GOVT ENVIRON FACS 7								1											
54627R-AH-3	MAINE HUN BO BK LIQUOR			1.A FE	111,442	106.0790	118,246	111,469	111,466	0	1	0	J	2.860	2.861	FA	1,328	3,188	07/29/2014	08/01/2028
56045T-AH-8	OPERATION REV	1	1 4	1.E FE	100.000	104.5440	104.544	100,000	100.000	^		^		4 400	1 404	II.	010	4 400	0010010040	06/01/2022
0,000,011,011,0	METRO ST LOUIS MO SWR DIST			1.5 (5		104.3440	104, 544		100,000	υ	ן ע			4.102	4.101	JD	342	4,102	08/28/2013	06/01/2022
592481-LM-5			1	1.B FE	256,733	110.2690	275,673	250,000	256,035	n	(697)	n	ا م	2,514	2.156	MN	1.048	5,709	01/24/2020	05/01/2028
	NY NY CITY TRANSITIONAL FIN											v	ا ت	£,U(4	2.100		UPO		9112712020	9070172020
64971Q-7G-2			1	1.A FE	201,482	105.1610	210,322	200,000	200,351	0	(184)	0	J o l	3.250	3.151	MN	1,083	6,500	03/04/2014	11/01/2022
64000E 0V 0	NEW YORK STATE DORMITORY	- 1	1 .		050.000	100 0000	ATT 1 ATT				` ']									
64990F-QV-9	AUTHORITY		1	1.C FE	250,000	109.9820	274,955	250,000	250,000	0	0	0	J0	2.727	2,727	FA	2,576	4,204	12/19/2019	02/15/2029
650035-7E-2	SEA LOKK 21 OKBAN DEA COKE		1	1.8 FE	195,918	113.6580	227 ,316	200 000	107 020	Δ.	400	•		0.450	n 447	100	4 000	6 200	04/40/2049	02/45/2022
333000-1E-Z.	OH ST WITR DEV AUTH WITR	······		1.0 rt	ן סו פ, טפו	113,0000	221,310	200,000	197,038		423	υ	}u	3.150	3.417	MS	1,855	6,300	04/10/2018	03/15/2027
67766W-QG-0.	POLLUTN CTL REV		11	11.A FE	113,000	126.6190	126,619	100,000	110.783	n	(576)	0	1 0	4.879	3.869	JD.	407	4.879	11/28/2016	12/01/2034

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Second Company Seco											LE D -										
Comparison Com		1			1				Showing All L	T						·					
Description Process	1 7	2				7			10	11			ted Carrying Value				Interes	it		Da	
Column C			3 4	5			8	9			12	13		15	16	17	18	19	20	21	22
Column C			-																		1
Column C	1	:								ļ											1
Company Comp	ĺ						Boto Hood			D1/	13 17 1								l		1
Classified Cla												0			ļ		1 1				
Procession Control C	CUSIP	1	ا ا	Bond		Actual		Enir	Por		1 .				D-1-		1,275				
Section Column		Description	Code n																	A	
GEOLAGE FOR PRINCIPAL 1 1 1 1 1 1 1 1 1					110 0 111 1101	000,	Value	Value	Value	Value	(Decrease)	Acciettori	Recognized	D.JA.C.V.	OI	Oi.	Palu	Accided	rear	Acquireu	Date
	67919P-PW-7_	REVOLVING FD REV			1.A FE	100.000	109.3690	109.369	100.000	100.000	0	n	٥	۱ ،	2 361	2 361	NO.	590	1 849	12/04/2019	04/01/2029
Section Sect															2.501		J		, ,,040	1270472010	0410112020
Control Cont	68607D-TY-1			1	1.B FE	100,000	109.5140	109,514	100,000	100,000	0	0	. 0	0	2.371	2.371	MN	303	2.338	11/07/2019	11/15/2027
Segregation 1	704747 41 4			١.						•									,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		[
Section Control Cont				ļ 1							0		0	0	1.782	1.782			891		
9710-08-9 1.5 CF 37 MA APPRILITION 1.6 LE 110,070 112,410 1.11,16 1.5,000 100,322 0 0 0 0 0 0 0 0 0				······							<u>0</u>		0	0					3,750		
1 1 1 1 1 1 1 1 1 1	00213A+00-0			lt	1.A FE	125,000	105.8090	132,261	125,000	125,000	0	0	0	J0	2.683	2.683	MN	429	3,354	01/07/2015	05/15/2023
15 15 15 15 15 15 15 15	977100-GH-3		ļ		1 0 55	118 070	113 /130	1/1 766	125 000	100 000	٥	040		_	0.454	0.005		057	0.040	44 107 (0040	05 104 10007
	077 100 077 0				·1.6 FE	110,570	113.4130	141,700	120,000	120,322	U		U	ע	3.154	3.825	MN		3,943	11/05/2018	<i>ובטבו</i> ווטופע
Part of the destroy of the property of the pro		REV		L1	1.C F€	250,000	107 .1120	267.780	250,000	250 000	ก	n	n	۱ ،	2 3/0	2 3/0	LEAS	979	4 200	01/20/2020	05/01/2029
## 18 Part - September 19 Part - September	2599999 - 1	Bonds - U.S. Special Revenue and	d Special /	Assessment	Obligations							νν				2.343	пач		4,200	0172372020	0370172020
Authorities of Conversaria and Takeir Political Sobolyvisions - 3,855.705		and all Non-Guaranteed Obliga	tions of A	gencies and	d i																1
Section December	ļ	Authorities of Governments and	d Their Pol	Éitical Sul	bdivisions -									1							1
1828 1829		Issuer Obligations				3,855,375		4,111,478					0	0	XXX	XXX	XXX	26,582	100,582	XXX	XXX
1,000 1,00	Bonds - U.S.	Special Revenue and Special As	sessment 0	bligations	and all Non-				orities of Gove	rnments and The	ir Political Subdi	visions - Residential	Mortgage-Backed S	ecurities				•			
13998 - 6.0 74				4	4		111.8530		4,585	4,674	0	761	0	0	5.500	4.814		21	252		06/01/2033
13088 -2-4 Fr 60678 Fr 606				ļ4				22,629			0	6	0	0							
1 1 1 1 1 1 1 1 1 1				4							0	(39)	0	O		4.039		82			
13/281-5-18 PH 008-50 PMS				4 							0	(23)	0	0		3.268					
132801-V-C 94 008631 9885				4							U	(93)		J			MON				
31288 J. H. S. FI 00866 MSC				4							ປຸ			น							
13081-149-6 H (00970 H8/S 1				4								(80)	v	າ							
37,201,444 PH (0,0964) PHRS				4							n			n							
3728H_3P-1, HT (2005) - MRS.				4	A.t		106.1910	104,747			0		Ö	ő							
3 2500 - 4 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1				4	1.A	105,748					0			0		2.554	MON				07/01/2045
3788U-178-5, IF 000773 RNISS				4							0			0					3,005		
3128041-78-5 PH 008747 - BRISS				4				84,555			0		0	0							
372844 J. 4 1. A 139 240 105 780 146,074 138 107 138 822 0 126,074 10020 1885 4 1. A 139 240 105 780 146,074 138 107 138 827 0 126,074 118 118 118 119 119 119 119 119 119 119				4							0		0	0							
312894 N.9_ Fit 100020 - BIBES 4					+			146,073			U			0							
312943-CD-Q- FH A99093 - RHBS				4			106 9040							J	3.000						
312937-02-0 [H A9995] - RMBS	312941-NJ-2	FH A93093 - RMBS		4							n					3.424 A ARR					
31297F-P9-2 (PM 23) PM 25 (PM 23) PM 25 (PM 23) PM 25 (PM 24) PM 25 (PM 25) PM 25 (PM				4,.,	1.4	65,042	111.2340				Ŏ		ñ	Ď							
31297H-0-0-1 Ht A29793 - RMSS				4				5,214	4,817	4,833	ŏ l		ŏ			5.380					
31297H-W-0-10 H ASBOY - MRSS				4							0		0	0	5.500	5.069	HON	42	501	10/19/2004	10/01/2034
313205-584-0.1 FH 588052 - RIBES				4	T						0	(5)	0	0	5.000	5.185					
31320F-0-5-6-1 H 588087 - RMRS				4							<u>0</u>		ļ	ō		4.567		26			
3132PR-PS-5 FH 508090 RMSS				4 A									łō	ŀō							
3132H2-H3-0- H 014321 - RMSS				Δ							×		ļ	łĎ							
3132HR-GI-G) FH 014636 RIMS				4							ا ۵		V								
3132HR-GD-6 FH 014696 - RMBS	3132HR-EH-9			4	1								n								
313369-C5-6 Ft (N2792 - RMS				4	1	111,537		117,814			n l		n	n							
313711-KY-4 FN 255111 - RNBS		FH QN2792 - RMBS		4	[1.A]	295,552	104.7480	298,079	284,569		ŏ l		l								
3137FW-HZ-4 FHR 4666 JP - CMO/RMSS 4 1.A 15,338 108.1950 16,630 15,370 14,691 0 (553) 0 0 0 185 0 0 0 3.78 3.294 MoN 41 569 07/30/2007 06/01/2035 137FW 466 JP - CMO/RMSS 4 1.A 123,876 102.5600 12.8600 12.8600 12.8650 0 0 0 1.378 MON 352 3.500 1.393 MON 352 3.500 1.375 MON 352 3.500 1.3	[31371L-KY-4.]	FN 255111 - RMB\$		4				6,645	5,672	5,618	o		Ŏ	0		5.762					
3137FV-60-0. FHR 4998 BC - CMO/RMBS				4							0		0	0	3.178	3.294		41	569	07/30/2007	
3138A1-VP-3 FN AH0621 - RMBS			[[4							0		0	D							
3138A2-NM-7 FN AH1295 - RM8S	313841-70-3	FN 4H0821 - DURC		4							0		<u>0</u>	ļ0							
3138A8 - JU - L FN AH6574 - RMBS 4 1.A 70,838 113.6450 79,180 69,673 70,513 0 (205) 0 0 0 4,000 3.598 MON 232 2.787 06/08/2011 03/01/2041 3138EC - AA - L FN AK7200 - RMBS 4 1.A 53,608 104.4420 54,656 52,332 52,935 0 (146) 0 0 0 2.500 1.914 MON 109 1,308 05/10/2012 04/01/2027 3138LO-3C - 6 FN A0794 - RMBS 4 1.A 45,432 104.4110 46,407 44,446 44,919 0 (99) 0 0 2.500 1.947 MON 93 1,110 04/27/2012 04/01/2027			·····	4							ō		[<u>0</u>	ļ							
3138EC-AA-1 FN AK7200 - RMBS 4 1.A 53,608 104.4420 54,656 52,332 52,935 0 (146) 0 0 0 2,500 1.947 MON 109 1,308 D5/10/2012 D4/01/2027 3138LO-3C-6 FN A0794 - RMS 4 1.A 45,432 104.4110 46,407 44,446 44,919 0 (99) 0 0 2,500 1.947 MON 93 1,110 D4/27/2012 D4/01/2027				······-d									ļ	<u>ŏ</u>							
3138LQ-3C-6 FN A00794 - RHBS 4 1.A 45,432 104.4110 46,407 44,446 44,919 0 (99) 0 0 2.500 1.947 MON 93 1,110 04/27/2012 04/01/2027				4							u		່ນ	ม							
0450tto (c. ([14] 10.1701 10.000 1.000	3138LQ-3C-6.	FN A00794 - RMBS		4										ע			MON.				
	3138M8-J6-1	FN AP4784 - RMBS		4							0		ก	ر ا							

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								Showing All L	ong-Term BOI	VDS Owned Dece	ember 31 of Current	Year								
ļ 1	2		des	6	7	Fair	Value	10	11		hange in Book / Adjust	ed Carrying Value	}			Interes	st		Da	tes
		3 4	5	NAIC		8	9			12	13	14	15	16	. 17	18	19	20	21	22
	<u> </u>	[Designation NAIC								Current	7-1-1							
				Designation								Year's Other	Total Foreign							
		e		Modifier		Rate Used			Book/	Unrealized		Than	Exchange				Admitted	Amount		Stated
		l i		and SVO		to Obtain			Adjusted	Valuation	Current Year's	Temporary	Change		Effective		Amount	Rec.		Contractual
CUSIP		g	Band	Administrat-	Actual	Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	In	Rate	Rate	When	Due &	During		Maturity
Identification	Description	Code n	CHAR	ive Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	FN AQ9316 - RMBS		4	1.A	109,707	105.4620	114,121	108,210	109,420	0	(171)	0	0	2.500	2.215	MON	225	2,705	06/29/2016	01/01/2043
3138W7-GG-3 3138WE-JU-4			4	1.4	113,987 83,856	106.8020	123,732	115,851	114,349	0	199	0	O	3.000	3.332	MON	290	3,475	07/24/2014	03/01/2043
			4	1.A	134,435	108.8910		83,810 132,960			(33)		J	3,000	2.946 3.158	MON	210 388	2,514 4,652	12/28/2015 02/01/2018	04/01/2045 08/01/2045
3138WJ-GH-5			4		116,600	107.4270	125,731	117,039	116,656	0	48	n	n n	3.000	3.061	MON	293	3,510	05/09/2017	11/01/2046
3138WP-G2-4			4	1.A	89 ,578	106.8020	95,077	89,021	89,422	0	(95)	0	. 0	3.000	2.835	MON	223	2,670	10/24/2014	04/01/2043
3138WP-GY-4			4	1.8	90,813	106.8020	98,875	92,578	91,172	0		0	Õ	3.000	3.396	MON	231	2,777	05/09/2014	04/01/2043
	FN AT2721 - RM8S		4		97,255	107.6800	104,073	96,651	97,066	0	(130)	0	o	3.000	2.841	MON	242	2,899	11/25/2014	05/01/2043
	FHR 3640 AD - CMO/RMBS FN 707312 - RMBS	· · · · · · · · · · · · · · · · · · ·	4	1.A	132,884 12,366	104.1000	144,002 14,610	138,331 12,659	137,173	0		ŏ	<u>ŏ</u>	4.000	4.503	MON	461	5,533	05/13/2010	03/15/2025
31402N-YA-0		· · · · · · · · · · · · · · · · · · ·	4	1.4	8,406	118,1670	10,258		8,458	ປ		0	ļĎ	5.000	5.458 5.772	MON	53	633 434	05/13/2008 08/06/2003	06/01/2033 09/01/2033
31405H-S9-0.	FN 789944 - RMBS		4		10,800	118.8890	12,736	10,713	10,741		(12)	o	n n	5.500	5.342	MON		589	03/01/2005	07/01/2034
31406Q-6S-1.	FN 817281 - RMBS	ļ	4	1.A	18,544	115.0990	21,126	18,355	18,437	ŏ	(17)	0	0	5.500	5.300	HON	84	1,009	06/20/2005	06/01/2035
31407B-WY-1			4	1.4	12,232	114.1310	14,664	12,849	12,368	0	.32	0	0	5.000	6.090	MON	54		D3/30/2006	07/01/2035
31408J-CT-6 31409T-UJ-5			4		5,003 8,260	114.1250	6,065	5,315	5,099	0	22	0	ļ0	5.000	6.162	MON	22	266	08/01/2007	12/01/2035
31409Y-DZ-7			4 A	1.4	10,526	117.9190 116.9960	9,937 12,186	8,427 10,416		U	13	ال	ļ	5.500 6.500	5.881 6.245	MON	39	463 677	08/22/2006 07/25/2007	08/01/2036 09/01/2036
31417A-RC-5			4	11	25,342	104.2990	26,105	25,029	25,179		(2)		ν n	2.500	2.141	MON	50	624	12/21/2011	12/01/2026
314170-22-9			4	1.4	92,141	105 4900	95,367	90.404	91,678	0	(178)		n n	2.500	2.148	MON	188	2.260	12/21/2012	11/01/2042
31417F-YA-0.			4	1.4	106,616	105.5980	117,442	111,216	107,612	0	454	0	õ	2.500	3.256	MON	232	2,780	05/28/2013	03/01/2043
31418A-U7-1.		· · · · · · · · · · · · · · · · · · ·	4		88,275	106.9110	101,072	94,538	89,382	0	808	D	0	3.000	4.376	MON	236	2,836	08/16/2013	07/01/2043
31418C-DL-5 31418C-EG-5			4		73,924	106 . 1880 106 . 3740	79,609	74,970	74,144	0	166	0	0	3.000	3.331	MON	187	2,249	03/17/2017	11/01/2046
31418C-HF-4			4 Δ	1.A	108,273 107,849	106.4470	113,037 112,435	106,264 105,625	107 ,898 107 ,242	U	(316) (407)		, u	3.500	2.933 2.850	MON	310 308	3,717 3,696	12/23/2016 02/15/2017	12/01/2046 03/01/2047
31418D-FJ-6.	FN MA3768 - RMBS		. 4	1.4	128 . 137	104.9550	130.966	124,783	128,825		797	າ	, n	3.000	1.859	MON	312	3,744	09/05/2019	09/01/2034
31418D-JJ-2	FN MA3864 - RMBS		4	1.A	205,680	104.3690	211,201	202,360	206,122	Ŏ ·	442	0	0	2.500	1.922	MON	422	4,216	02/12/2020	12/01/2034
31418D-KJ-0.			4		208,482	104.2480	213,798	205,085	208,915	0	433	0	0	2.500	1.925	MON	427	4,273	02/11/2020	01/01/2035
31418D-RR-5 31418D-SH-6	FN MA4095 - RMBS FN MA4119 - RMBS	·	4	1-A}	295,380	104.5710	296,867	283,891	295,000	0	(380)	0	0	2.000	1.113	MON	473	2,366	07/15/2020	08/01/2035
31418D-SL-7		ł	⁴ ∕I	1.A	253,825 297,694	104.2270 103.0010	255,686 299,514	245,315 290,788	253,377		(447) (232)	0	ļ	2.000 1.500	1.272	MON	409 363	1,635 1,454	08/11/2020 08/25/2020	09/01/2050 09/01/2035
	FN MA4202 - RMBS		4	1.0	252,626	101.9450	254,007	249,161	252,550		(76)	Λ	ν	1.500	0.983	MON	311	311	11/10/2020	12/01/2040
	FN MA4204 - RMBS		4	1.4	310,705	103.9570	310.857	299,025	310,555	0	(150)	0	ő	2.000	1.002	MON	498	498	11/24/2020	12/01/2040
31418D-Y6-6.			4	1.8	252,813	101.0730	252,684	250,000	252,820	0	8'	0	0	1.500	1.253	MON	313	0	12/29/2020	01/01/2051
31418D-VZ-2. 31419B-CT-0.	FN MA4231 - RMBS FN AE0981 - RMBS	ļ	4	·}	305,625	101.9450	305,834	300,000	305,594	0	(31)	0	o	1.500	0.982	HON	375	0	12/16/2020	01/01/2041
	FN AE8426 - RMBS	<u> </u>	4 1	1.8	29,994 12,263	107.8790 106.0370	32,413 12,953	30,046 12,215	29,989 12,224	0	(2)	0	J	3.500	3.511	MON	88	1,051	08/09/2011	03/01/2041
	HN ST HSG FIN AGY			· ······· ·^	12,203	1 100.03/0	12,900	12,213	12,224	U	(/)	U	ν	3.500	3.330	MON	36	426	03/28/2011	11/01/2025
	HOMEOWNERSHIP FIN-RMBS	l	2	1.A FE	33,103	100 . 4650	33,257	33,103	33,103	0	ا ما	0	1 0	2.700	2.699	MON	74	893	04/10/2013	09/01/2041
2699999 - B	Bonds - U.S. Special Revenue a	nd Special A	ssessment	Obligations																
	and all Non-Guaranteed Obliga	ations of Ag	encies an	d hattaria]												
	Authorities of Governments an Residential Mortgage-Backed S	NO INSIL POL Socuritios	iticai șu	balvisions -	7,817,911	XXX	8,107,282	7.708.992	7,815,995	n	(2.751)		١ .	VVV	VVV	,,,,	40 005	450,000	XXX	XXX
Bonds - U.S.	Special Revenue and Special A	ssessment Ob	ligations	and all Non-	Guaranteed Obli	inations of An	encies and Author			· .	(3,754) visions - Commercial	Variage Backed Se	U U	XXX	XXX	XXX	16,335	150,896	444	
[3137BK-RJ-1.]	FHMS K-047 A2 - CMBS		4	1.A. I	278,945	111,4280	278.570	250,000	276,316	0 1	(2,629)	nor ryaye-backed St	L D	3.329	0.782	MON	694	3,468	07/23/2020	05/25/2025
	FHMS K-720 A2 - CMBS		4	1.A	306,797	102.3530	307,059	300,000	304,074	ŏ l	(2,723)	0	J	2.716	1.480	HON	679	5,432	03/27/2020	06/25/2022
	FHMS K-077 A2 - CMBS		4		241,314	119.3040	280,364	235,000	239,746	0	(636) (645)	0]	3.850	3.521	MON	754	9,047	06/14/2018	05/25/2028
	FHMS K-080 A2 - CM8S		4	·	256,250	120.2660	300,665	250,000	254,849		(645)	0	ļ	3.926	3.615	MON	818	9,815	09/27/2018	07/25/2028
	Ronds - U.S. Special Revenue ar	d Special A	ceacemen!	Obligations	221,433	102.0590	219,427	215,000	221,270	0	(163)	D	J	1.378	1.047	MON	247	741	09/22/2020	07/25/2030
2,00000 10	and all Non-Guaranteed Obliga	a opocial <i>h</i> itions of An	encies an	d					l											Barrer .
	Authorities of Governments ar	id Their Pol													l d		-			
	Commercial Mortgage-Backed Se	curities			1,304,739	XXX	1,386,085	1,250,000	1,296,254	0	(6,797)	0	0	XXX	XXX	XXX	3,191	28,503	XXX	XXX
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] 1	2		odes	6	7	ļ	Value	10	11		ange in Book / Adjust	ed Carrying Value				Interes	st			ates
		3 4	5	NAIC		8	9			12	13	14	15	16	17	18	19	20	21	22
Ì		F		Designation								Current								
		0		, NAIC								Year's	Total			1 t				
		r		Designation		l		+				Other	Foreign			[
		l e		Modifier		Rate Used			Book/	Unrealized		Than	Exchange				Admitted	Amount		Stated
		i	l	and SVO		to Obtain		1	Adjusted	Valuation	Current Year's	Temporary	Change		Effective		Amount	Rec.		Contractual
CUSIP		g	Bond	Administrat-	Actual	Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	ln in	Rate	Rate	When	Due &	During		Maturity
identification		Code n	CHAR	ive Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V,	of	of	Paid	Accrued	Year	Acquired	Date
Bonas - U.S.	. Special Revenue and Special A	ssessment (obligation	s and all Non-	Guaranteed Obli	igations of Age	encies and Autho	orities of Gover	nments and The	r Political Subdiv	isions - Other Loan-	Backed and Structu	red Securities							
3199999 - 1	Bonds - U.S. Special Revenue ar	nd Special	Assessment	Obligations																
	and all Non-Guaranteed Obliga Authorities of Governments ar	ations of A	igencies ar	nd l																
	Authorities of Governments ar	o Their Po	offical St	bdivisions -																
	Subtotals - U.S. Special Reve	enue and Sp	ectal Asse	essment																
	Obligations and all Non-Guara			Agencies of	40.070.005		40.004.045	10 710 101		.		_								
Panda India	Governments and Their Politic strial and Miscellaneous (Unafi				12,978,025	XXX	13,604,845	12,740,461	12,954,793	0	(14,304)	0	0	XXX	XXX	XXX	46,109	279,981	XXX	XXX
		rifiateo) -	- Issuer U		00.007	1 405 4000 T		100 000 1					4	***************************************	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
00287Y-AX-7	ALABAMA POWER CO	·····		2.B FE	99,987	105.1220	105,122	100,000	99,996	0	2	Q	J	2.850	2.852	NN	372	2,850	05/09/2016	05/14/2023
	ALLSTATE CORP	 	ļ	1.E FE	149,679 199,067	101,3920 99,5390	152,089	150,000	149,985	ō	36	ļ0	ļ ō ļ	3.950	3.975	JD	494	5,925	05/18/2011	06/01/2021
	ALPHABET INC.	l	†	1.6 FE	146 .879	106.8580	199,078 160,287	200,000	199,073	<u>0</u>		ļ0	lŏ l	1.450	1.500	JD	298	0	12/08/2020	12/15/2030
	AMERICAN EXPRESS CO		2	1.0 FE	143.552	109.1300	163,695	150,000 150,000	148,164 145,780		303	V	lδ l	1.998	2.231	FA	1,132	2,997	08/02/2016	08/15/2026
Jacob 10 10th V.	AMERICAN INTERNATIONAL GROUP	ll	1	1.V FE	143,332	108. 1300		130,000	140,760		1,008		·····9	3.000	3.797	AO	763	4,500	09/27/2018	10/30/2024
026874-DR-5				2.A FE	189.975	114.1820	216.946	190,000	189,985	n	10	n	ام ا	3,400	3,401	JD	10	4 . 109	05/06/2020	06/30/2030
	AMERICAN WATER CAPITAL CORP	[I	2.A FE.	249.448	114.6270	286,567	250,000	249,528		48	n	ן אַ	3.450	3,476		719	8,625	05/06/2019	06/01/2029
	AMGEN INC			2.A FE	49,898	105.1310	52,566	50,000	49,915	n	17	n	ا ۸	1.900	1.943		343		02/18/2020	02/21/2025
	AMGEN INC.			2.A FE	144,481	106.1770	153,957	145,000	144,516	n	35	n)	2.300	2.337	FA	2,177	0	05/04/2020	02/25/2031
032654-AN-5.	ANALOG DEVICES INC.	<u> </u>		2 B FE	251,726	113.5840	283,959	250,000	251,969	n l	(348)	Û	ň	3,500	3.332	JD	632	8,750	12/16/2019	12/05/2026
	ANHEUSER-BUSCH INBEV				, i							······································								
	WORLDWIDE INC			2.A FE	223,184	123.3300	246,659	200,000	219,988		(2,210)	D	l ol	4.750	3,289	JJ	4 . 169	9,500	07/15/2019	01/23/2029
	AON CORP			2.A FE	261,197	109.1700	272,925	250,000	260,988	0	(209)	Ō	Ō	2.800	2.256	MN	894	3,500	10/21/2020	05/15/2030
	APPLIED MATERIALS INC.			1.6 FE	59,770	103.5300	62,118	60,000	59,783	0	12	0	0	1.750	1.792	JD	88	531	05/26/2020	06/01/2030
	ARIZONA PUBLIC SERVICE CO		ļ	1.F FE	149,306	106.9570	160,435	150,000	149,584	0	67	0	0	2.550	2.603	MS	1,126	3,825	09/15/2016	09/15/2026
	TRUIST FINANCIAL CORP		22	1.G FE	99,918	107 . 7560	107,756	100,000	99,955	0	11	0	0	2.850	2.863	AO	515	2,850	10/23/2017	10/26/2024
	BAKER HUGHES HOLDINGS LLC			1.G FE	142,176	112.0380	168,057	150,000	143,909	0	746	0	0	3.337	4.012	JD	222	5,006	08/13/2018	12/15/2027
	BALTIMORE GAS AND ELECTRIC CO. BANK OF AMERICA CORP			1.F FE	149,550	108.0800	162,120	150,000	149,735	0	44	0	0	2.400	2.434	FA	1,360	3,600	08/15/2016	08/15/2026
	BANK OF AMERICA CORP			1.F FE	252,940 25,000	108.5150 107.2910	271,289	250,000	252,063	0	(772)	0	ļ0	3.864	3.521	JJ	4,240	9,660	12/16/2019	07/23/2024
	BANK OF NEW YORK MELLON CORP		······	1.F FE 1.E FE	99,901	100.4550	26,823 100,455	25,000	25,000 99,995			ย	ŏ	2.592	2.592		112	324	04/22/2020 02/16/2016	04/29/2031
	BANK OF NEW YORK MELLON CORP		2	1.E FE	99.786	102,2090	102,209	100,000	99,951		43		ا پر با	2.500	2.519 2.646		528 1.040	2,500 2,600	01/31/2017	04/15/2021 02/07/2022
064159-YK-9	BANK OF NOVA SCOTIA	C		1.F FE	249,600	102.7640	256,910	250,000	249.692		92	V	ן ע	2.600 1.625	1.679		677	2,201	04/14/2020	05/01/2023
	BLACK HILLS CORP			2.A FE	249.433	109.0740	272.684	250,000	249,499		52	n	ا ۷	3.050	3.076		1,610	7,879	12/16/2019	10/15/2029
10112R-AY-0	BOSTON PROPERTIES LP			2.A FE	252,861	108.7740	271,935	250,000	252,398	0	(399)	0	ا م	2.750	2.562	AO	1.719	6.875	12/16/2019	10/01/2026
110122-AT-5_	BRISTOL-MYERS SQUIBB CO	ļ	11	1.F FE	123,143	102.6250	128,281	125,000	124,679	ň	197	ñ	ň	2.000	2,166	AO FA	1.042	2,500	07/26/2012	08/01/2022
11	BROADRIDGE FINANCIAL				· [ļ.								2.200			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			T "
	SOLUTIONS INC			2.A FE	249,282	109.7730	274,433	250,000	249,348	0	63	0	lo l	2,900	2,933	JD	604	7,089	12/16/2019	12/01/2029
	CBOE GLOBAL MARKETS INC			1.6 FE	147,492	114.2210	171,332	150,000	148,200	0	260	0] مَــــــــــــــــــــــــــــــــــــ	3.650	3.875		2,570	5,475	03/14/2018	01/12/2027
125523-AH-3				2.B FE	219,958	120.5040	241,008	200,000	217,605	0	(2,048)	0	ļ0	4.375	3.058		1,847	8,750	12/16/2019	10/15/2028
120/2U-AE-5	CME GROUP INC		ļ1	1.D FE	149,537	104.5030	156,755	150,000	149,913		49	Q	ļ0	3.000	3.035		1,325	4,500	09/05/2012	09/15/2022
126117-AW-U 126408-GV-9				2.A FE.	49,874	102.9300	51,465	50,000	49,878	<u>0</u> .	4	0	<u>0</u>	2.050	2.078		390	0	08/11/2020	08/15/2030
126650-01-9.	CVS HEALTH CORP			2.A FE	122,117	100 .6180	125,772	125,000	124,969	ō þ.	73	0	ō	4.250	4.311	JD	443	5,313	05/20/2011	06/01/2021
	CAMOEN PROPERTY TRUST		l	2.8 FE	149.721	118.9940 109.2630	134,463 163,895	113,000 . 150,000	120,927 149,908		(999)	<u>0</u>	····o	4.300	3.172	MS	1,296	14,069	10/18/2019	03/25/2028
	CAPITAL ONE FINANCIAL CORP			2.A FE	149,721	102.2790	153,419	150,000	149,908		28		├ ў	4.250	4.272	JJ	2,940 3,285	6,375 7,125	11/20/2013 07/14/2011	01/15/2024 07/15/2021
	CATERPILLAR FINANCIAL			· · · · · · · · · · · · · · · · · · ·		102.2130	614,001		148,534		02		V	4.750	4.808	JJ	3,∠00		VII 141 ZV 11	
14913Q-2S-7	SERVICES CORP.		1 1	1.F FE	150,027	109,6280	164 . 441	150,000	150,016	ا ۱	(5)	n	ا م	3.650	3.646	JD	365	5.475	12/04/2018	12/07/2023
	CITIGROUP INC.		1	1.6 FE.	155,000	106.3840	164.895	155.000	155,000		n (3)		ا ۱۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۱	2.572	2.572	JD.	310	1,993	05/26/2020	06/03/2031
	CITIZENS FINANCIAL GROUP INC.			2.A FE	109.758	106.2880	116.916	110.000	109,777	ត	19	n	ا ۱	2.500	2.525		1.108	1,375	02/03/2020	02/06/2030
191216-0D-9			1	1.E FE	79,937	100.3440	80,275	80,000	79,939	ก้ไ	2	n	n	1.000	1.011	MS	229	n	09/14/2020	03/15/2028
	COLUMBIA PIPELINE GROUP INC			1.G FE	209,798	115.2580	230,517	200,000	207,107	ñ	(1,555)	n	n l	4.500	3.575		750	9.000	03/26/2019	06/01/2025
	CONSTELLATION BRANDS INC			2.C FE	232,007	111.1230	250,027	225,000	231,379	0	(628)	Û	o l	3.150	2,767	FA	2,953	7,127	01/22/2020	08/01/2029
	CONSUMERS ENERGY CO			1.E FE	199,504	117.4680	234,935	200,000	199,595	0 L	43	0	l ő l	3.800	3.830		971	7,600	10/29/2018	11/15/2028
	CUBESMART LP			2.B FE	52,520	109.5000	54,750	50,000	51,128	o [(390)	0	Õ	4.375	3.495	JD	97	2,188	03/30/2017	12/15/2023
	DTE ENERGY CO.			2.B FE	104,965	106 .5200	111,846	105,000	104,974	0	7′[0		2.529	2.536	0A	664	2,655	08/08/2019	10/01/2024
	DTE ENERGY CO.		1	2.B FE	74,916	103.0670	77,300	75,000	74,959	0 .	28	0		2.600	2.639	JD.	87	1,950	06/10/2019	06/15/2022
244199-BH-7	UEERE & CO.			11.F FE	69,905	108 .6440	76,051	70,000	69,919	0	14	0	l nl	2.750	2,779	AO I	406	1.043	03/25/2020	04/15/2025

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								Showing All L	ong-Term BO	IDS Owned Dec	ember 31 of Current	Year								
1	2		odes	6	7	Fair	Value	10	11		hange in Book / Adjust		***************************************			Interes	st		Da	ites
ļ		3 4	5	NAIC] 8	9			12	13	14	15	16	17	18	19	20	21	22
		-		Designation . NAIC								Current								1
İ		"		Designation								Year's	Total							1
		ė		Modifier		Rate Used			Book/	Unrealized		Other Than	Foreign Exchange				Admitted	Amount		Stated
		l i		and SVO		to Obtain			Adjusted	Valuation	Current Year's	Temporary	Change		Effective		Amount	Rec.		Contractual
CUSIP		g	Bond	Administrat-	Actuat	Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	In	Rate	Rate	When	Due &	During		Maturity
Identification		Code n	CHAR	ive Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	JOHN DEERE CAPITAL CORP		ļ	1.F FE	49,873	112.0180	56,009	50,000	49,915	0	19	0	0	3.450	3.494	MS	518	1,725	09/05/2018	03/13/2025
	TWDC ENTERPRISES 18 CORP		.]	1.6 FE	178,468	105.0880	210,176	200,000	183,552		2,628	0	O	1.850	3.485	JJ	1,552	3,700	01/10/2019	07/30/2026
	ECOLAB INC			1.G FE	31,426 44,958	104.8790	32,960 57,379	31,426	31,496 44,960		70	0	ļ	2.578	2.487	MJSD	47	807	12/03/2013	12/10/2025
291011-BP-8			·	1.F FE	59.827	100.6300	60,378	60,000	59,835		Ω	U		4.800 0.875	4.812 0.924	MS	582	1,080 n	03/20/2020 09/17/2020	03/24/2030 10/15/2026
29364D-AU-4,	ENTERGY ARKANSAS LLC			1.F FE	149,507	112.9810	169,471	150,000	149,726	0	47		l	3.500	3.538	AO .	1,313	5,250	01/05/2016	04/01/2026
29717P-AN-7.	ESSEX PORTFOLIO LP			2.A FE	157,637	109.7520	164,628	150,000	155,303	Ō	(1,630)		Ď	3.875	2.673	MN.	969	5,813	07/18/2019	05/01/2024
			ļ	2.A FE	99,742	106.6170	106,617	100,000	99,760	0	18	0	0	2.650	2.675	#S	780	1,575	02/06/2020	03/15/2032
302491-AU-9	FIFTH THIRD BANK NA (OHIO)		· · · · · · · · · · · · · · · · · · ·	2.0 FE	125,115	113.7210	142,151	125,000		0	(10)		0	3.450	3.439	AO	1,078	4,444	09/18/2019	10/01/2029
	FLORIDA POWER & LIGHT CO		ļ	1.G FE 1.D FE	198,970 34,964	101.6660	203,331 38,139	200,000	199,883 34,969	0	154	0	ļ	2.875	2.955 2.872	A0	1,438 249	5,750 510	09/02/2014 03/24/2020	10/01/2021 04/01/2025
343498-AB-7	FLOWERS FOODS INC.		1	2.8 FE	99,473	111.5700	111,570	100,000	99,678		50 50	U	n	3.500	3.562	AU	875	3,500	09/23/2016	10/01/2025
		C		2.C FE	257 ,705	109.8830	274,707	250,000	256,658	ŏ	(1,047)	0	0	3.055	2.534	AO	1,846	7,638	01/17/2020	10/04/2026
	GENERAL MILLS INC	ļ	ļ	2.B FE	24,950	119.7990	29,950	25,000	24,961	0	4	0	0	4.200	4.225	A0	216	1,050	04/03/2018	04/17/2028
375558-A\-3. 377373-AG-0.	GILEAD SCIENCES INC		ļ	2.A FE	154,412	109.2360	163,854	150,000	152,346	0	(735)	0	0	3.700	3.150	AO	1,388	5,550	02/02/2018	04/01/2024
	HELIOS LEASING II LLC			1.F FE 1.D	199,378 41,507	108.0030 104.8280	216,006 43,511	200,000	199,587 41,509	0	113	0	J0	3.000	3.064	JD	500	6,000	03/19/2019	06/01/2024
437076-BN-1	HOME DEPOT INC		İ	1.F FE.	148.356	107.8680	161.801	150,000	149.020		160		ν	2.668	2.666 2.248	MJSD	939	1,105 3,188	01/27/2014 09/06/2016	03/18/2025 09/15/2026
44644A - AE - 7	HUNTINGTON NATIONAL BANK		2	1.G FE	250.555	108.1630	270.409	250,000	250.341		(123)	n	ע	3.550	3.496	AO	2.095	8.875	01/29/2019	10/06/2023
	ILLINOIS TOOL WORKS INC		ļ	1.F FE	139,470	110.3150	165,473	150,000	142,269	Ö	1,163	Ö	ő	2,650	3,633	HN.	508	3,975	07/11/2018	11/15/2026
45866F-AN-4	INTERCONTINENTAL EXCHANGE INC.			2.A FE	249,257	100.8620	252,156	250,000	249,278		21	0	0	1.850	1.878	MS	1,683		08/18/2020	09/15/2032
459200-JZ-5	INTERNATIONAL BUSINESS MACHINES CORP			45.55	250 072	440 0700	000 404	050 000	057 000				1						4014010040	1
403200-02-0	INTERPUBLIC GROUP OF		h	1.F FE	258,873	112.8760	282,191	250,000	257 ,338	0	(1,257)			3.300	2.708	KN	1,054	8,250	12/16/2019	05/15/2026
460690-BN-9	COMPANIES INC.		1 1	2.8 FE	150,113	102.4370	153,656	150,000	150.030	n	(39)	n	0	3.750	3,723	AO	1,406	5,625	09/25/2018	10/01/2021
	JPMORGAN CHASE & CO			1.F FE	190,000	108.1870	205,555	190,000	190,000	0	0	0	0	3.797	3,798	JJ	3,166	7,214	07/16/2018	07/23/2024
49327M-2U-7				1.G FE	248,503	106.3910	265,977	250,000	249,272	0	316	0	0	3.375	3.515	MS	2,672	8,438	07/10/2018	03/07/2023
4942/K-AL-6	KILROY REALTY LP KINDER MORGAN ENERGY PARTNERS		ļ	2.8 FE	99,444	112.1480	112,148	100,000	99,712	0	54	0	0	4.375	4.443	AO	1,094	4,375	09/09/2015	10/01/2025
494550-BT-2	I P		l	2.B FE	149,241	100.0080	150.012	150.000	149.981	0	118	0	١ .	2 500	2 504		4 700	E 050	00/40/0044	00/04/0004
	LAM RESEARCH CORP.			1.6 FE	257.374	114.4390	286,097	250,000	255.905	 n	(1,074)			3.500 3.750	3.581 3.235	MS MS	1,750 2,760	5,250 9,375	02/19/2014 12/16/2019	03/01/2021 03/15/2026
532457-BQ-0	ELI LILLY AND CO		1	1.F FE	149,774	102.8740	154,311	150,000	149,936	0	45	0	0	2.350	2.382	HN HN	450	3,525	05/04/2017	05/15/2022
	LOWE'S COMPANIES INC			2.A FE	249,416	100.8510	252,126	250,000	249,426	Ō	10	0	0	1.700	1.726	AO.	815	0	10/08/2020	10/15/2030
55336V-AR-1.	MPLX LP			2.8 FE	206,954	113.8750	227 ,750	200,000	206,095	0	(758)	0	0	4.000	3.503	HS	2,356	8,000	12/16/2019	03/15/2028
559080-AQ-9	IMAGELIAN MIDSIREAM PARINERS	İ		2.55	273,282	112 1120	280,279	250 000	070 605	•	(053)	•		0.000	0.453	ا ہر ا			00.144.10000	00/04/0000
000000 114 0	MARSH & MCLENNAN COMPANIES			2.A FE	213,202	112.1120	200,279	250,000	272,625	0	(657)	U	!ย	3.250	2.157	JD	677	4,311	09/14/2020	06/01/2030
571748-AZ-5	INC			1.6 FE.	149,885	113,5060	170.259	150.000	149,941	٥	10	n	_ n	3.750	3.758	MS	1,672	5,625	09/09/2015	03/14/2026
	MASTERCARD INC		11	1.E FE	199,962	109.4240	218,848	200,000	199,982	0	5	0	0	3.375	3.378	AO	1,688	6,750	06/12/2018	04/01/2024
579780-AH-0	MCCORMICK & COMPANY INC.		ļ	2.B FE	149,469	100.9900	151,485	150,000	149,966	0	61	0		3.900	3.943	J	2,698	5,850	07/05/2011	07/15/2021
585055-BS-4 58933Y-AZ-8	MEDTRONIC INC		ļ1.,	1.G FE	58,654 173,497	112.0150	66,089	59,000	58,777	<u>0</u>	49	<u>0</u>	ļ0	3.500	3.598	MS	608	4,684	06/08/2018	03/15/2025
	MICROSOFT CORP			1.E FE	199,628	100.8720 108.9330	176,526 217,866	175,000 200,000	173,570 L 199,782	0	73	0	ļ	1.450 2.400	1.543	JD FA	1,907	1,269 4,800	06/16/2020 08/01/2016	06/24/2030 08/08/2026
	MONTEFICRE MEDICAL CENTER		2	1.0 Z	125.000	104.7290	130,911	125,000	125,000		n	ນ	۱۷	2.400	2.421	AO	531	2,690	05/04/2016	10/20/2026
	NEXTERA ENERGY CAPITAL							120,000	120,000							nv		2,000	,,,0070472010,	1 1012012020
	HOLDINGS INC.			2.A FE	115,000	107 .6680	123,818	115,000	115,000	0	0	0	0	3.150	3.150	AO	906	3,623	03/28/2019	04/01/2024
	NORDSTROM INC.			3.A FE	149,033	99.2500	148,875	150,000	148,875	(335)	ļ1 <u>10</u>	0		4.000	4.097	MS	1,767	6,000	06/06/2019	03/15/2027
	NORTHERN TRUST CORP		Z	1.E FE	199,750 198,898	117.3990 102.7460	234,797	200,000	199,802	ō ;	22	·····ō	<u>0</u>	3.650	3.665	FA	3,001	7,300	07/31/2018	08/03/2028
	NSTAR ELECTRIC CO.		ļ	1.E FE	149,478	102.7460	205,491 162,168	200,000 150,000	199,697 149,702	ປ	214 50	0	J	2.400 2.700	2.513 2.740	JD	587 338	4,800 4,050	02/14/2017 05/23/2016	05/17/2022 06/01/2026
677415-CS-8	OHIO POWER CO.			1.6 FE	29 968	109.3520	32,806	30,000	29,971	0	2	n	n :	2.600	2.740	AO.	195	420	03/13/2020	04/01/2030
	OLD NATIONAL BANCORP.			1.6 FE	100,000	108.0190	108,019	100,000	100,000	ŏ	0	0		4,125	4.124	FA	1,558	4,125	08/12/2014	08/15/2024
	ONEOK INC.			2.B FE	199,924	105.4730	210,945	200,000	199,955	0	12	0	D	2.750	2.756	MS	1,833	5,744	12/16/2019	09/01/2024
68389X-BB-0 69353R-EW-4	ORACLE CORP		······	1.6 FE	199,296	102.7220	205,444	200,000	199,854		103	ō]ō	2.500	2.555		639	5,000	04/28/2015	05/15/2022
695114-CS-5			٤	1.F FE	249,848 199,744	100.3760	250,939 220,588	250,000 200,000		0	31	ō	J	2.150	2.163	AO	926	5,375	04/26/2016,	04/29/2021
	PARKER-HANNIFIN CORP		1	2.A FE	123,560	105.0800	131,350	125,000	124,759	 ກ່	25 135	U	j	3.350	3.365 3.618	JJ MS	3,350 1,288	6,700 4,375	06/16/2015 09/07/2010	07/01/2025 09/15/2022
		···		ا الم		100.0000].		120,000 [124,100	U	135	U	ַ ע	J	3,018	J6m		4,3/0	0010112010	ושע

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1	2		odes	6	7	1	Value	10	11		nange in Book / Adjust	 		ļ.,.		Interes				ates
		3 4	5	NAIC Designation		8	9		İ	12	13	14 Current	15	16	17	18	19	20	21	22
				. NAIC	•							Current Year's	Total							
-		F		Designation					,			Other	Foreign							
		e		Modifier		Rate Used			Book/	Unrealized		Than	Exchange				Admitted	Amount		Stated
	1	i		and SVO		to Obtain			Adjusted	Valuation	Current Year's	Temporary	Change		Effective		Amount	Rec.		Contractual
CUSIP		g	Bond	Administrat-	Actual	Fair	Fair	Раг	Carrying	Increase/	(Amortization)/	Impairment	ln	Rate	Rate	When	Due &	During		Maturity
Identification	Description PHILLIPS 66	Code n	CHAR	ive Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	IMID-AMERICA APARTMENTS LP	†		2.A FE 2.A FE	216,488 99,972	101.6690 104.5160	218,589 104,516	215,000 100,000	216,471 99,995		(1/)	U	J	1.300	1.160	FAJD		3,375	12/08/2020 11/02/2012	02/15/2026 12/01/2022
101410 712 0	PRESIDENT AND FELLOWS OF	·		2.N FE		104.3100	104,336		98,88		£		J	3.3/3	3.376		201	3,373	(110212012	12/01/2022
	HARVARD COLLEGE		11	1.A FE	175,000	105.5870	184,777	175,000	175,000	0	0	l o	1 0	2.300	2,300	AO	1,006	4,025	04/29/2013	10/01/2023
743315-AR-4	PROGRESSIVE CORP.		1	1.F FE	149,948	108.8560	163,284	150,000	149,967	0	5	0	0	2.450	2.454	JJ	1,695	3,675	08/22/2016	01/15/2027
74450A DD 0	PUBLIC SERVICE ELECTRIC AND													l .						1
74456Q-BR-6	RELX CAPITAL INC	····		1.F FE	149,507	108.0020	162,003	150,000	149,707	0	48	ļ0]0	2.250	2.287	MS	994	3,375	09/07/2016	09/15/2026
75884R-AX-1	REGENCY CENTERS LP		· · · · · · · · · · · · · · · · · · · ·	2.A FE	44,810 214,672	106 4350	47,896 228,320	45,000 200,000	44,912 212,960	ال 0		ļU	ļ	3.500 4.125	3.593	MS	459 2,429	1,575 8,250	03/09/2018 12/16/2019	03/16/2023 03/15/2028
	ROCKWELL AUTOMATION INC.		·	1.F FE	49,833	116.5420	58.271	50,000	49.859		15	n	0	3.500	3.540	MS	583	1,750	02/27/2019	03/01/2029
78013X-W2-0	ROYAL BANK OF CANADA	C	1	1.F FE	199,888	108.9950	217,990	200,000	199,936	0	22	0	0	3.700	3.712	AO	1,768	7,400	10/02/2018	10/05/2023
808513-AT-2	CHARLES SCHWAB CORP	ļ		1,F FE	149,760	104.7550	157,132	150,000	149,901	Ö	46	, O	į 0	2.650	2.683	JJ	1,723	3,975	12/04/2017	01/25/2023
824348-BJ-4	SHERWIN-WILLIAMS CO.	ļ	· 	2.B FE	201,457	110.1940	220,388	200,000	201,321	<u>0</u>	(137)	<u>0</u>	0	2.950	2.860	FA	2,229	5,720	12/16/2019	08/15/2029
833034-AK-7	SOUTHWEST GAS CORP	ļ	·	1.F FE	48,778	111.3510	55,675	50,000	49,081	·····ō	131	jŏ	ļŏ	3.250	3.585	MS	542	1,625	08/16/2018	03/01/2027
857477-A7-6	STATE STREET CORP	†	·	1.6 FE	124,958 150,000	103 . 1950 102 . 6550	128,993 153,982	125,000 150,000	124,996 150,000	ປ	3	J) N	3.875	3.878 2.654	AO	1,211 508	4,844	03/20/2012 05/10/2017	04/01/2022 05/15/2023
	TRUIST FINANCIAL CORP		1	1.G FE	150,000	112.7640	169,146	150,000	150,000	ก	(30)	n	1 0	4.000	3.975	KN	1.000	6,000	04/26/2018	05/01/2025
882508-AY-0_	TEXAS INSTRUMENTS INC.		1	1.E FE	153,419	100 . 1700	150,255	150,000	150,107	Õ	(929)	Ö	Ō	2.750	2.118	MS	1.249	4,125	04/27/2017	03/12/2021
	TEXAS INSTRUMENTS INC	ļ		1.E FE	24,963	103.3930	25,848	25,000	24,969	0	6	Ŏ	0	1.375	1.406	MS	104	172	03/03/2020	03/12/2025
	THERMO FISHER SCIENTIFIC INC		ļ	2.A FE	198,962	109.4420	218,885	200,000	199,063	0	95	0	0	2.600	2.660	A0	1,300	5,099	12/16/2019	10/01/2029
	TORONTO-DOMENTON BANK	[ļ1	1.D FE	114,679	100.0500	115,057	115,000	114,699	0	20	0	ļ0	0.750	0.807	MS	264	0	09/09/2020	09/11/2025
	TOYOTA MOTOR CREDIT CORP		· · · · · · · · · · · · · · · · · · ·	2.B FE	217,865 194,390	100,5690	221,252	220,000	217,899	0	34		J	1.750	1.857	W.	652		10/28/2020 07/10/2018	11/01/2030 04/17/2024
906548-CP-5	UNION ELECTRIC CO.	· · · · · ·	· · · · · · · · · · · · · · · · · · ·	1.E FE 1.F FE	249,788	107 .5060 115 .1620	215,012 287,905	200,000 250,000	196,667 249,823		942 18	v	J	2.900	3.440	AO	1,192 2,576	5,800 8,750	03/04/2019	03/15/2029
	UNION PACIFIC CORP		1	2 A FE	148.587	109.0710	163,607	150,000	149,223	0 O	138	n	0	2.750	2.859	MS	1.375	4.125	02/25/2016	03/01/2026
	UNITED COMMUNITY BANKS INC			2.B FE	55,000	101 . 1910	55,655	55,000	55,000	0	0	0	Ď	5.000	5.001	JD	122	1,360	06/12/2020	06/15/2030
	UNITED PARCEL SERVICE INC			1.G FE	146,750	102.5890	153,884	150,000	148,623	0	969	0	0	2.350	3.038		441	3,525	01/14/2019	05/16/2022
913017-00-8.1	RAYTHEON TECHNOLOGIES CORP	 	ļ	2.A FE	150,460	114.7120	172,068	150,000	150,315	0	(63)	0	Įū	3.950	3.899	FA	2,222	5,925	08/14/2018	08/16/2025
91324P-BY-3.1	UNITEDHEALTH GROUP INC. VENTAS REALTY LP	}		1.F FE	124 , 138 149 , 015	102.5060 105.5150	128,133	125,000	124,884	0	94 142	0]0	2.875	2.954	MS	1,058	3,594	03/05/2012	03/15/2022 06/15/2023
92343V -ER-1	VERIZON COMMUNICATIONS INC.	l	1	2.A FE	137, 269	120.1310	158,272 150,163	150,000 125,000	149,632 135.544		(1,194)	ال	J	3.125	3.230	JD		4,688 5,411	05/25/2016 07/15/2019	09/21/2028
	WPP FINANCE 2010	C	1	2.B FE	206,321	110.8300	221,659	200.000	204,761	n	(1,198)	n	n	3.750	3.065	MS	2,125	7,500	12/16/2019	09/19/2024
92936U-AE-9	WP CAREY INC			2.B FE	149,523	115.0370	172,555	150,000	149,711	Ö	44	.0	Ö	4.250	4.288	AO	1,594	6,375	09/07/2016	10/01/2026
	WRKCO INC	ļ	ļ	2.B FE	180,916	115.8860	202,800	175,000	180,165	0	(635)	0	0	3.900	3.428	JD		6,825	10/18/2019	06/01/2028
	WALMART INC	 	ļ	1.C FE	249,980	103.9780	259,944	250,000	249,997	ō	2	ļ0	ļō	2.350	2.351	JD	261	5,875	10/11/2017	12/15/2022
	WEBSTER FINANCIAL CORP	ļ		2.B FE 1.F FE		105.9980 105.3170	105,998 263,292	100,000	99,717		83	ļō	ļ	4.375	4.473	FA	1,653 927	4,375 2,735	02/04/2014 04/24/2020	02/15/2024 04/30/2026
	EVERGY KANSAS CENTRAL INC		1	1.F FE	250,003	110.0250	20.049	250,000 200,000	250,003 199,497		ນ 72	ļ	ן,	2.188	2.188 3.145	AO AO	1,550	6.200	02/27/2017	04/01/2027
98389B-AW-0	XCEL ENERGY INC.		1	2.A FE	248 192	108 6890	271,722	250,000	248,376	0 A	163	n	, n	2.600	2.682	JD.	542	6,933	12/16/2019	12/01/2029
983919-AK-7_	XILINX INC.		ļ	1.6 FE	144,961	104.3440	151,299	145,000	144,964	0	3	0	0	2.375	2.378	JD	287	1,837	05/12/2020	06/01/2030
98419M-AJ-9.				2.B FE	149,691	112.2300	168,345	150,000	149,813	0	29	0	ļ0	3.250	3.274	NN	813	4,875	10/03/2016	11/01/2026
98978V-AL-7[/ C C C C	2.B FE	149,166	111.7440	167,616	150,000	149,418	0	77	0	JD	3.000	3.065	MS	1,363	4,500	09/05/2017	09/12/2027
3Z99999 - E	Ronds – Industrial and Miscella Obligations	neous (Una	TTITIated)	- Issuer	20.979.318	XXX	22,579,655	20,879,933	20,982,711	/2001	(40, 440)	0	0	VVV	עעע	VVV	154,136	595,930	XXX	l xxx
Bonds - Indu	strial and Miscellaneous (Unaf	fifiated\ -	Residenti	al Mortnage-B			22,318,033	20,019,933	20,902,711	(335)	(10,148)	l v	1 0	XXX	XXX	XXX	134,130	383,838		T
Bonds - Indu	strial and Miscellaneous (Unaf	iliated) -	Commercia	Mortgage-Ba	cked Securities	3									*,					
[06427D-AP-8]	BACM 2017-BNK3 A2 - CMBS		4	1.A FE	257,489	102.4600	256,150	250,000	251,546	0	(1,577)	0	D	3.116	2.467	MON	649	7,790	02/02/2017	02/17/2050
06540X -BC -4	BANK 2019-BNK22 A1 - CMBS		4	1.A FE	199,387	102.9270	205,228	199,391	199,339	0	(45)	0	ļ	2.077	2.049	MON	345	4,141	10/25/2019	11/17/2062
	BMARK 2019-B10 A4 - CMBS		ļ <u>4</u>	1.A FE	257,491	116.5550	291,388	250,000	256,301	ō	(699)		ļ	3.717	3.366	MON	774	9,293	03/21/2019	03/17/2062
	CSAIL 2017-CX9 A2 - CMBS. GSMS 2012-GCJ9 A3 - CMBS.		ļ4	1.A FE 1.A FE	206,000	103 . 4630 103 . 3010	206,926 202,141	200,000	201,884	<u>0</u>	(1,290)	jŏ	ŏ	3.054	2.383	MON		6,108 5,475	09/19/2017 11/16/2012	09/16/2050 11/10/2045
	MSBAM 2015-C27 A4 - CMBS	···	4	1.A FE	205,988	112,5610	202,141	200,000	196,218 202,993		(376)	J	ļ	2.773	3.400	MON	45Z 626	7,506	11/19/2015	12/17/2047
	MSC 2017-H1 A2 - CMBS		4	1.A FE	205,999	104.0490	208.098	200,000	202,993	n	(708)	1	, n	3.089	2.511	MON.	515	6,178	05/24/2017	06/17/2050
90270R-BE-3	UBSBB 2012-C4 A5 - CMBS		4	1.A FE	250,664	103.6280	259,070	250,000	250,156	Ŏ	(175)	0	0	2.850	2.791	MON	594	7,125	01/05/2018	12/12/2045
190276U-AX-9	UBSCM 2017-C6 A5 - CMBS	ļ	4	1.A FE	257 , 498	114.8900	287,225	250,000	255,338	0	(733)	0	J	3.580	3.230	MON	746	8,949	12/01/2017	12/16/2050
90349D-AD-4	UBSBB 2012-C3 A4 - CMBS	 	4	1.A FE	196,733	103 .4590	199,029	192,375	195,021	<u>0</u>	(1,712)	0	0	3.091	2.128	MON	796	5,751	01/22/2020	08/12/2049
949091 - AZ - B	WFCM 2016-C32 A4 - CMBS WFCM 2016-C36 A4 - CMBS	 	ļ 4	1.A FE	102,995	111.4640	111,464	100,000	101,527		(349)]0	ļ	3.560	3.198	MON	297	3,560	02/03/2016	01/17/2059
[33000M-DL-49]	11 Cm 20 10 C30 A4 + CMD3	<u> </u>	 4	[1.A FE]	154,495	109.2810	163,922	150,000	152,683	U	(458)	LU	ע	3.065	2.721	MON	383	4,598	10/21/2016	11/18/2059

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								Showing All L	ong-Term BOI	NDS Owned Dec	ember 31 of Current \	Year								
1	2		odes	6	7	Fair	Value	10	11	С	hange in Book / Adjuste	ed Carrying Value				Interes	it		Da	
		3 4 F o		NAIC Designation , NAIC		8	9			12	13	14 Current Year's	15 Total	16	17	18	19	20	21	22
	The state of the s	rei		Designation Modifier and SVO		Rate Used to Obtain		į	Book/ Adjusted	Unrealized Valuation	Current Year's	Other Than Temporary	Foreign Exchange Change		Effective		Admitted Amount	Amount Rec.		Stated Contractual
CUSIP	B	g	Bond	Administrat-		Fair	Fair	Раг	Carrying	Increase/	(Amortization)/	Impairment	ln .	Rate	Rate	When	Due &	During		Maturity
Identification	Description WFCM 2019-C50 A1 - CM8S	Code n	CHAR 4	ive Symbol	Cost 17,777	Value 100.6260	Value 17,889	Value 17,777	Value 17,767	(Decrease)	Accretion /9\	Recognized	B./A.C.V.	of 2.741	of 2.618	Paid MON	Accrued 41	Year 487	Acquired 04/29/2019	Date 05/17/2052
	Bonds - Industrial and Miscell	aneous (Una				100.0200	11,000				(0)									
	Commercial Mortgage-Backed S	ecurities	,		2,513,085	XXX	2,633,651	2,455,225	2,482,843	0	(8,764)	0	0	XXX	XXX	XXX	6,726	76,960	XXX	XXX
Bonds - Indi	ustrial and Miscellaneous (Unaf IAMXCA 2017-7 A - ABS	ffiliated)	- Other Loa		Structured Sec	urilies	007 004 1	000 000	200 544		700 1			B 050		T 11011 1	200 1	. 700	0010410040	05/15/2025
	AEPWV 1113 A1 - ABS	+	4	1.A FE	196,250 112,689	103.8100 101.6730	207,621 114,906	200,000 113,016	198,514 112,796	0		0	 N	2.350 2.008	2.791 2.198	MONFA	209	4,700 2,269	02/01/2018 06/05/2019	02/01/2024
14041N-FT-3	COMET 2019-1 A - ABS		4	1.A FE	199,965	102,9000	205,799	200,000	199,987	0	16	ő	D	2.840	2.863	MON	945 252 1,150	5,680	02/20/2019	12/16/2024
	CNP IV A3 - ABS		4	1.A FE	182,247	105.5850	189,963	179,914	182,034	0	(573)	0	Q	3.028	2.438	AO	1,150	5,448	01/17/2020	10/15/2025
	CCCIT 2014-A1 A1 - ABS		44	1.A FE	141,553 198,625	100.1210 100.0970	140,170	140,000	140,013 199,963	0	(246) 706	0	ō	2.880	2.688 2.825	JJ JJ	1,792 2,227	4,032 4,980	03/19/2014 01/29/2019	01/23/2023 01/20/2023
210717-AB-0	CMRS 2014A A2 - ABS	·	4	1.A FE	103,385	104.9930	200,194 108,549	200,000 103,387	103,389	0		υ	o	2.490	2.960	MN	510	3,062	07/14/2014	11/03/2025
254683-BX-2	DCENT 2017-2 A - ABS. DCENT 2018-1 A - ABS.		4	1.A FE	99,953	102.2570	102,257	100,000	99,990	Ö	10	0		2.390	2.412	MON	106	2,390	01/13/2017	07/15/2024
254683-CD-5.	DCENT 2018-1 A - ABS		4	1.A FE	199,993	105.8640	211,729	200,000	199,996	0	4	o	Q	3.030	3.050	MON	269	6,060	03/08/2018	08/15/2025
	DY9 LEASING LLC ENOI 1 A - ABS		ļ	1.0 1.8 FE	103,728 97,627	105.6910 104.2320		103,728 97,653	103,733 97.644	0	[1)		ō	2.372	2.370 2.674	MJSD JD		2,470 2,566	05/04/2015 01/25/2017	03/19/2027 06/01/2027
34528Q-GH-1	FORDF 2018-3 A1 - ABS		4	1.A FE	256,719	102.5080	256,271	250,000		0	(2,964)			2.670 3.520	2.311	MON	391	8.800	07/10/2019	10/15/2023
34533F-AD-3	FORDO 2019-A A3 - ABS		4	1.A FE	249,958	101.7490	254,372	250,000	249,987	0	15	0		2.780	2.803	MON	309	6,950	03/19/2019	09/15/2023
36259P-AD-8_	GMALT 2020-2 A3 - ABS		44	1.A FE	134,983	100.7460	136,007	135,000	134,987	0	5	0	Q0	0.800	0.808	MON	33	549	06/08/2020	07/20/2023
41284U-AE-4	HDMOT 2020-A A4 - ABS HELIOS LEASING I LLC	·	ļ4	1.A FE	224,931 25,343	103.2070 102.8250	232,215 26,059	225,000	224,948	0	17	0	ō	1.930	1.947 2.024	MON FMAN	193	3,812 511	01/21/2020 06/12/2012	04/15/2027 05/29/2024
	HART 2019-B A3 - ABS		4	1.A FE	199,991	102.6250	203.670	25,343 200,000	25,344 199,995]	ນ	ע	2.018 1.940	1.950	MON.	172	3,880	10/29/2019	02/15/2024
44932N-AD-2	HART 2019-A A3 - ABS		4	1.A FE	191,983	101.4270	194,749	192,009	192,001	0	9	0	0	2.660	2.681	MON	227	5,107	04/03/2019	06/15/2023
47789J-AD-8_	JDOT 2019 A3 - ABS		4	1.A FE	155,004	101.7890	157,797	155,023	155,017	Ō	7	Ō		2.910	2.933	MON	201	4,511	03/05/2019	07/17/2023
59280L-AA-8	MEXICAN AIRCRAFT FINANCE V			1	C4 502	104 0000	07, 000	04 500	04 500					0.000	0 003	ا ا	220	4 500	00/04/0045	01/14/2027
65479C-AD-0	NAROT 2020-B A3 - ABS		1	1.0 1.A FE	64,583 199,995	104,9930 100,4340		64,583 200,000	64,586 199,996		3	V	ע	2.329 0.550	2.327 0.552	JAJO	322 49	1,506 .504	02/24/2015 06/23/2020	07/15/2024
	PSNH 2018-1 A2 - ABS		4	1.A FE	164,994	111.4840	183,949	165,000	165,007	0 0	(1)	0	0	3.506	3.504	FA	2,410	5.785	05/01/2018	08/01/2028
873782-AA-4_	TAGUA LEASING LLC	ļ	1	1.D	33,726	102.5710	34,593	33,726	33,727	ō	1	Ō	0	1.900	1.898	JAJO_	141	641	07/11/2012	07/12/2024
873782-AB-2	TAGUA LEASING LLC TAOT 2020-A A2 - ABS	· [ļ <u>.</u>		50,490	102.4610	51,733	50,490	50,492	0		0	0	1.732	1.730	MJSO	32	872	09/10/2012	09/18/2024
	TAOT 2018-B A3 - ABS	t	4	1.A FE 1.A FE	183,628 93,251	100.5370 100.9440		183,630 93,253	183,629 93,252		1	V		1.670 2.960	1.677 2.979	MON	136 123	2,581 2,760	02/04/2020 05/09/2018	11/15/2022 09/15/2022
	VZOT 2018-A A1A - RMBS		4	1.A FE	136,640	101.2840	138,397	136,642	136,642	0 0	0	n	ע	3.230	3.252	MON	135	4,414	10/02/2018	04/20/2023
	WOART 2017-A A3 - ABS		4	1.A FE	.23,657	100.2800	23,982	23,915	23,860	0	110	0	0	1.930	3.045	MON	21	462	12/21/2018	09/15/2022
3599999 - E	Bonds - Industrial and Miscella		ffiliated)	- Other	4 405 000					_		_	_		43534		40 700	07.000		
3800000	Loan-Backed and Structured Se Bonds - Industrial and Miscella	ecurilles	ffiliated)	Cublotale	4,025,889	XXX	4,133,824	4,021,311	4,023,911	0	(1,986)	0	0	XXX	XXX	XXX	12,700	97,302	XXX	XXX
3033333 - 1	- Industrial and Miscellaneou	us (Unaffil	iated)	* 50010tars	27,518,292	XXX	29,347,130	27,356,470	27,489,466	(335)	(20,898)	ก	0	XXX	XXX	XXX	173,563	770,192	xxx	l xxx
Bonds - Hybr	id Securities - Issuer Obligat					,,,,,	20,011,100 }	2. 1000 110	27 7 100 7 100 1	(000)	(20,000)[1	11,77	1	.,,,,,,,,	3, 5, 1, 1		I
	id Securities - Residential Mo											······								
	id Securilies - Commercial Mor									***************************************										
	id Securities - Other Loan-Bac														***************************************					
	nt, Subsidiaries and Affiliate nt, Subsidiaries and Affiliate				sour it ion										,					
	nt, Subsidiaries and Affiliate																			
Bonds - Pare	nt, Subsidiaries and Affiliate:	s - Other L	oan-Backed	and Structur	ed Securities															
	nt, Subsidiaries and Affiliate									***************************************										
	nt, Subsidiaries and Affiliate																			
Bonds: SVU II	dentified Funds - Exchange Tra Identified Funds - Bond Mutual	ded Funds -	as Identi	ried by the S	SYU							······································								
Ronds - Hosf	filiated Bank Loans - Unaffilia	runus – as ated Bank I	nant - Jee	u by the 5YU ued																
Bonds - Unaf	filiated Bank Loans - Unaffili	ated Bank L	nans - Aco	ucu uired																
	Bonds - Total Bonds - Subtotals				29,639,996	XXX	31,689,625	29,297,091	29,560,820	7,129	(29,002)	0	0	XXX	XXX	XXX	203,807	799,618	XXX	XXX
	Bonds - Total Bonds - Subtotals				, , , , , , , , , , , , , , , , , , , ,															
	Securities		<u> </u>		8,322,904	XXX	8,653,675	8,213,020	8,320,256	0	(4,057)	0	0	XXX	XXX	XXX	17,878	168,239	XXX	XXX

								Showing All L	.ong-Term BO l		ember 31 of Current	Year								
1	2		des	6	7	Fair	Value	10	11		hange in Book / Adjust			Y		Intere	st		Da	ates
		3 4 F o r e	5	NAIC Designation , NAIC Designation Modifier and SVO		Rate Used	9		Book/	12 Unrealized	13	14 Current Year's Other Than	15 Total Foreign Exchange	16	17	18	19 Admitted	20 Amount	21	22 Stated
CUSIP Identification		g Code n	CHAR	Administrat- ive Symbol	Actual Cost	to Obtain Fair Value	Fair Value	Par Value	Adjusted Carrying Value	Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Temporary Impairment Recognized	Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Amount Due & Accrued	Rec. During Year	A a au sina al	Contractual Maturity Date
	Bonds - Total Bonds - Subtotals Securities	- Commerc	ial Mortga	ige-Backed	4,102,941	XXX	4,353,138	4,005,225		(Decredae)	(13,689)	()	***************************************		XXX	XXX	10,692	114,763	Acquired XXX	XXX
7999999 -	Bonds - Total Bonds - Subtotals Structured Securities	- Other L	oan-Backed	and	4,025,889	XXX	4,133,824	4,021,311	4,023,911	0	(1,986)	0	0	XXX	XXX	XXX	12,700	97,302	XXX	XXX
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8399999	Subtotals - Total Bonds			T	46,091,730	XXX	48 830 262	45,536,647	45,972,275	7,129	(48,733)	0	0	XXX	vvv	XXX	245 070	4 470 022	VVV	
					10,001,100]	MAR	10,000,202	-10,000,047	73,312,213	1,129	(40,733)	U	Į Ū	አለአ	XXX	1 444	245,078	1,179,922	XXX	XXX

Book/	Adjusted Carrying Value by	/ NAI	C Designation Category F	ootnai	te:											
1A	\$ 21,118,291	1B	\$ 1,360,718	10	\$ 1,724,231	1D	9	\$ 1.343.732	1E	8	2.851.956	1₽	\$	4.475.963	10	c 3 599 895
2A	\$ 5,325,733	2B	\$ 3,409,740	2C	\$ 613,140			, , ,		7		••	٠	11 11 0 1000		Ψ 0,000,000
3A	\$ 148,875	3B	\$ 0	3C	\$ 0											
4A	\$ 0	4B	\$ 0	4C	\$ 0											
5A	\$ 0	5B	\$ 0	5C	\$ 0											
6	\$ 0															

8999999 Total Preferred Stocks

SCHEDULE D - PART 2 - SECTION 1

1	2	Co	des	5	6	7 7	B		Value	11	1	Dividends	of Current Year		Ohanaa in l	Book/Adjusted Carryin	a Valua	***	20	21
	-	3	4	1		'		9	10	_	12	13	14	15	16	17	18	19	NAIC	21
CUSIP Identification	Description	Code	Foreign	Number Of Shares	Par Value Per Share	Rate Per Share	Book/ Adjusted Carrying Value	Rate Per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared but Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change In B./A.C.V. (15+16-17)	Total Foreign Exchange Change In B./A.C.V.	Designation, NAIC Designation Modifier and SVO Admin- istrative Symbol	Date
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	Adjusted Carrying Value by N													
1A	\$ 0 1E	В	\$ 0	1C	\$ 0	1D	\$ 0	1E	\$ 0	 1F	\$	0	1G	s 0
2A	\$ 0 2E	В	\$ 0	2C	\$ 0				·		-			•
3A	\$ 0 3E	В	\$ 0	3C	\$ 0									
4A	\$ 0 4E	В	\$ 0	4C	\$ 0									
5A	\$ 0 5E	В	\$ 0	5C	\$ 0									
6	\$ 0													

SCHEDULE D - PART 2 - SECTION 2

		,						STOCKS Owned	December 31 o	of Current Year							
1	2		Codes	5	6	Fair '	Value	9		Dividends			Change in Book/Adju	sted Carrying Value		17	18
		3	4			7	8		10	11	12	13	14	15	16		NAIC Designation
			***************************************		Book / Adjusted	Rate per Share Used To Oblain				Amount	Nonadmitted	Unrealized Valuation	Current Year's Other-Than- Temporary	Total Change in	Total Foreign Exchange		Designati Modifie and SV
CUSIP	December 11 co	١	l	Number of	Carrying	Fair	Fair	Actual	Declared	Received	Declared	Increase/	Impairment	B./A.C.V.	Change in	Date	Administ
dentification	Description (iscellaneous (Unaffiliated) Publicly Traded	Code	Foreign	Shares	Value	Value	Value	Cost	but Unpaid	During Year	But Unpaid	(Decrease)	Recognized	(13-14)	B./A.C.V.	Acquired	ive Symt
	lisce laneous (Unaffilialed) Other																
rent. Subsidia	ries and Affiliales Publicly Traded																
	ries and Affiliates Other	,															
	Veratrus Health, Inc.			. [10,000.000		387,096	3,870,960	3,870,960				258.589		258.589		02/27/2017	XXX
9399999 - Parei Iual Funds	nt, Subsidiaries and Affiliates Other				3,870,960	XXX	3,870,960	3,870,960	0	0	0	258.589	0	258.589	0	XXX	XXX
	DODGE & COX STOCK		1	14,842.290	2,858,030	192,560	2,858,030	1,769,197		L 186.200 L		4,717	3	4,717		12/18/2020	
838-72-3[OAKMARK INTERNATL INST	İ	İ	53,678,790	1,398,869	26.060	1,398,869	1.323.651	υ 0	7.543	n l	61,040	n l	61,040	0	12/10/2020	
9H-78-4(CB_LARGE_CAP_GR_I		ļ	34,644.180	2,350,261	67 .840	2,350,261	1,474,120	ő	123.336	Ö	435.265	ŏ	435.265	Õ	12/22/2020	
81-85-4 09-44-2	MES INTL NEW DISCV I SCHWAB CAP:FDM US LCI			39,167.120	1,484,826	37.910	1,484,826	1,409,593	<u>0</u>	55,671	0		0	78,856	0	12/08/2020	
	SCHWAB, CAP, SC. IDX	ł	<u> </u>	52,124.910 30,021.730	953.365		953.365 1.024.041	623 433 842 304	D	55,344 25,473	0	24,684 146,152	0		<u>0</u>	12/18/2020	
	VANGUARD TOT J \$ ADM		1.	58,765,540	1,907,529	32.460	1.907.529	1 517 631	0	39,493	n l	153.852	0	153.852	0	12/18/2020	
36-20-9	YANGJARD PRIMECAP ADM			13,487.210	2,075,008	153.850	2.075,008	1.145.262	Õ	185.347	0	121.043	0	121.043	ō	12/21/2020	
08-66-0 08-67-8	YANGUARD, GRO, IDX, ADM			18,844.720	2,458,482	130 .460	2,458,482	1,483,208	ō	16,058	o	688.725	0			12/23/2020	
	VANGUARD, VAL. JOX ADM VANGUARD 500 IDX ADM	·····		38,205.430 6,056.560	1,773,114	46.410 346.570	1,773,114 2,099,023	868.267 711.005	D	44,327 31,823	0	(4,595) 293,870	0	(4,595) 293.870	D	12/23/2020	
	AVERICAN FUNDS EUROPACIFIC GROWTH		ļ	972.780	65,993	67.840		44.716		113	u	14,251		14,251		12/17/2020	
51T-15-6	PRINCIPAL MIDCAP S&P 400 IDX R5 FD			2,870.180	63,632	22.170	63,632	57 617	Ď	4,090		5,212	Ŏ	5,212	0	12/21/2020	
	PRINCIPAL INV. LG CP S&P 500 IDX PFD.		ļ	17,141.120	375.733	21.920	375.733	285.329		25,545	0	32,959	0	32,959	0	12/21/2020	
51T-87-5 53J-21-4	PRINCIPAL CORE PLUS BOND R5 FUND		·····	8,209.220 3.922.180	91,779	11.180 28.160	91,779 110,449	89,489 97,993	Ö		0	1,241 12,968	0	1,241	0	12/31/2020.	
	MELLON CAPITAL MONT BOND MIT 10X R5 FD		+	7.055.750	70,769	10.030	70.769	75,578	u	11.549	u		V	(7,226)		12/20/2020	
6#-20-4	PRINCIPAL LIFETIME HYBRID 2020 R6 FUND		1	12,438.900	154.989	12.460	154.989	132.754	0	7,117	0	10.275	0	10,275	Ŏ	12/31/2020	
	PRINCIPAL LIFETIME HYBRID 2030 R6 FUND			17,870,560	237 . 142	13.270	237 . 142	194.918		10,231	0	22,895	0	22,895	0	12/31/2020	
	PRINCIPAL LIEETIME HYBRID 2040 R6 FUND PRINCIPAL LIFETIME HYBRID INC R6 FUND			3,004.880	41,828 42,493	13.920 11.280	41,828 42,493	29,697 37,553	0	1,572	0	3,889 1,824	0	3,889 1,824	0	12/31/2020	
9499999 - Wutus		Ł		13,707,120	21,637,355	XXX	21,637,355	14,213,316	0	2,002 840,992	u	2,101,899	υ 0	2,101,899	v	XXX	XXX
t Investment			······································		21,001.000	ANA	21,007.000	19,213.310	<u> </u>	040.332	V I	2,101,033	1	2,101,033		1 664	i naa
osed-End Funds																	
																	
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	al Common Stocks	l	1	4	25 500 245	vvv	05 500 045	40.004.072		NO 222		n oro (65		0.000 (07			XXX
9/99999 TOTA					25,508.315	XXX	25,508.315	18,084.276	U	840.992	Ü	2,360,487	0	2,360,487	U	XXX	XXX
	al Preferred and Common Stocks				25.508.315	XXX	25,508,315	18.084.276	0	840.992	0	2,360,487	0	2.360.487	0	XXX	XX

1A	\$ 0	1B	\$ 0	1C	\$ 0
2A	\$ 0	2B	\$ 0	2C	\$ 0
ЗА	\$ 0	3B	\$ 0	3C	\$ 0
4A	\$ 0	4B	\$ 0	4C	\$ 0
					\$ 0
	e O				

			s	howing All Long-Term Bonds and Stocks ACQUIRED During Current Year				
1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
Bonds - U.S. Governm 38378T-X8-8	nents IGNR 13109C M - CMO/RMBS	-	01/24/2020	INTL FCStone L.P.	XXX	120 704 [128.648	350
91282C-BC-4	UNITED STATES TREASURY		12/29/2020	CITIBANK, N.A.	XXX	129,794 649,926	650,000	(
0599999 - Bonds -						779,720	778,648	35
Bonds - All Other Go	Territories and Possessions (Direct and Guaranteed)							
Bonds - U.S. Politic	Territories and Possessions (Direct and Guaranteed) al Subdivisions of States, Territories and Possessions (Direct and Guarantee	d)					
44256P~XN~8	LUAMADA CATV HA		04/28/2020	CITIBANK	XXX	90,000	90,000	
717096-AG-6 882723-7Q-6	PFLUGEVILLE TEX INOPT SCH DIST		01/31/2020 02/05/2020	SAMUEL A RAMIREZ & COMPANY INC	XXX XXX	263,989 108,200	230,000 100,000	1,12
98816P-DE-9	YSLETA TEX INDPT SCH DIST		06/24/2020	PIPER JAFFRAY	XXX	94,496	75,000	,
2499999 - Bonds -	U.S. Political Subdivisions of States, Territories and P	ossessions (Direct a	nd Guaranteed)			556,686	495,000	1,12
Bonds - U.S. Special	Revenue and Special Assessment and all Non-Guaranteed O CENT UT WRI CONSERVANCY DIST WTR REV	bligations of Agenci	es and Authorities of	of Governments and Their Political Subdivisions	VVV I		00.000	
3132D5-5#-0	JFH SB8052 - RMBS		06/24/2020	PERFORMANCE TRUST CAP	XXX	90,000 309,797		41
3132D5-6C-1	FH SB8067 - RMBS		09/10/2020	G.X. CLARKE & COMPANY	XXX	305,500	298,049	19
3132DV -7B - 5 3133G9 - C5 - 6	FH SD8090 - RMBS FH ON2792 - RMBS		1 08/19/2020	R W BAIRD & CO	XXX XXX	258,867 311,578	250,000 300.000	27
3137BK-RJ-1	FHMS K-047 A2 - CMBS		07/23/2020	PERFORMANCE TRUST CAP	XXX	278,945	250,000	66
3137BL-UR-7	FHMS K720 A2 - CMBS		03/27/2020	PERFORMANCE TRUST CAP.	XXX	306,797	300.000	[
3137BW-MZ-4 3137FV-6Q-0	FHR 4666A JP - CMO/RMBS FHR 4998 BC - CMO/RMBS		01/31/2020 07/28/2020	INTL FCStone L.P. D.A. Davisdon & Co.	XXX		257,507 300,000	10 50
3137FW-RT-0	FHMS K-116 A2 - CMBS		09/22/2020	JP MORGAN SECURITIES INC.	XXX	221,433	215.000	23
314180-JJ-2	FN MA3864 - RMBS		02/12/2020	PERFORMANCE TRUST CAP	XXX	298,344	293,529	20 34 34
31418D-KJ-0 31418D-RR-5	FN MA3896 - RMBS FN MA4095 - RMBS		02/11/2020 07/15/2020	INTE FCStone L.P PERFORMANCE TRUST CAP	XXX		295,545 300,000	34
31418D-SH-6	FN MA4119 - RMBS			PERFORMANCE TRUST CAP	XXX	258,672	250,000	
31418D-SL-7	FN MA4122 - RM8S		08/25/2020	PERFORMANCE TRUST CAP	XXX	307,125	300,000	33 16 44
31418D-U4-2 31418D-U6-7	FN MA4202 - RMBS FN MA4204 - RMBS		11/10/2020 11/24/2020	PERFORMANCE TRUST CAP. PERFORMANCE TRUST CAP.	XXX XXX	253,477 311,719	250,000 300,000	16
31418D-V6-6	FN MA4236 - RWBS		12/29/2020	PERFORMANCE TRUST CAP	XXX	252,813	250,000	l 3 [.]
31418D-VZ-2	FN MA4231 - RMBS		12/16/2020	INTL FCSIone L.P.	XXX	305,625	300,000	2'
419794-F9-8 534272-M9-7	HAWAII ST ARPTS SYS REV LINCOLN MEB ELEC SYS REV		12/29/2020 09/03/2020	HILLTOP SECURITIES. JP MORGAN SECURITIES INC.	XXX		100,000 100,000	4
592481 -LM-5	METRO ST LOUIS HO SWR DIST WASTEWER SYS		01/24/2020	KEYBANC CAPITAL MARKETS INC.	XXX	256,733	250,000	9
977100-HB-5	WI ST GEN FD ANN APROPRIATION REV.		01/29/2020	CITIBANK	XXX	250,000	250,000	
3199999 - 8onds -	U.S. Special Revenue and Special Assessment and all Non- nd Miscellaneous (Unaffiliated)	-Guaranteed Obligati	ons of Agencies and	Authorities of Governments and Their Political Subdivisions		5,966,672	5,799,630	6,79
020002-BJ-9	ALLSTATE CORP	T	12/08/2020	Various	XXX	199.067	200,000	
026874-DR-5	AMERICAN INTERNATIONAL GROUP INC		05/06/2020	Various. UP MORGAN SECURITIES INC.	XXX	189,975	190,000	
031162-CV-0 031162-CW-8	AMGEN INC.		02/18/2020 05/04/2020	MORGAN STANLEY & CO LLC.	XXX XXX	49,898 144,481	50,000	
037389-BE-2	AOM: CODD		10 (04 (0000	Was inve	XXX	261,197	250.000	1.6
038222-AN-5	APPLIED MATERIALS INC		05/26/2020	MERRILL LYNCH FIXED INCOME	XXX		60,000	
06051G-JB-6 064159-VK-9	BANK OF AMERICA CORP	·		MERRILL LYNCH FIXED INCOME.	XXX			ļ
126117-AW-0	CNA FINANCIAL CORP		08/11/2020	WELLS FARGO BROKERAGE	XXX	49,874	50,000	
15200W-AC-9	CNP 4 A3 - ABS		01/17/2020	WELLS FARGO BROKERAGE	XXX	103,219	100,000	8
172967 -MS -7 174610 - AS -4	CITIGROUP INC CITIZENS FINANCIAL GROUP INC	-	05/26/2020 02/03/2020	CITIBANK, N.A. MORGAN STANLEY & CO LLC	XXX XXX		155,000 110,000	ļ
191216-DD-9	COCA-COLA CO		09/14/2020	CITIBANK, N.A.	XXX	79,937	80,000	
21036P-BE-7	CONSTELLATION BRANDS INC		01/22/2020	MORGAN STANLEY & CO LLC	XXX	232,007	225,000	3,4
244199-BH-7 278865-BE-9	DEERE & CO ECOLAB INC	+		CITIBANK, N.A. CREDIT SUISEE FIRST BOSTON	XXX XXX	69,905 44,958	70,000 45,000	
291011-BP-8	EMERSON ELECTRIC CO.			CITIBANK, N.A.	XXX	59,827	60,000	
29717P-AV-9	JESSEX PORTFOLIO LP	.	02/06/2020	Various	XXX			
341081-FZ-5 349553-AM-9	FLORIDA POWER & LIGHT CO	t c	03/24/2020 01/17/2020	NELLS FARGO BROKERAGE	XXXXXX	34,964 257,705	35,000 250,000	2,2
36259P-AD-8	GMALT 2020-2 A3 - ABS		06/08/2020	BARCLAYS CAPITAL	XXX	134,983	135,000	2,24
41284U-AE-4	HOMOT 20A A4 - ABS		01/21/2020	CITIBANK, N.A.	XXX	224,931	225,000	
45866F-AN-4	INTERCONTINENTAL EXCHANGE INC.	. L	08/18/2020	Var i ous	l xxx l	249.257	250,000	

				howing All Long-Term Bonds and Stocks ACQUIRED During Curre	ni rear			
1	2	3	4	5	6	7	8	9
OLIDID.								Deid for Assessed
CUSIP Identification	Depariation	Foreign	Data Assuriand	Name of Vender	Number of	Actual	Par Value	Paid for Accrued Interest and Dividends
548661 - DY - 0	Description	Foreign	Date Acquired	Name of Vendor	Shares of Stock	Cost 249,416	250.000	Interest and Dividends
559080-AQ-9	LOWE'S COMPANIES INC MAGELLAN MIDSTREAM PARTNERS LP		09/14/2020	MORGAN STANLEY & CO LLC.		273,282	250,000	2,586
58933Y-AZ-8	MERCK & CO INC		06/16/2020	MERRILL LYNCH FIXED INCOME	xxx	173,497	175,000	<u> </u>
	NAROT 2020-B A3 - ABS.		06/23/2020	MIZUHO SECURITIES	XXX	199,995	200,000 30,000	[
677415-CS-8 718546-AZ-7	PHILLIPS 66		03/13/2020 12/08/2020	RBC CAPITAL MARKETS	XXX XXX	29,968 216,488 L	215,000	119
882508-BH-6	TEXAS INSTRUMENTS INC		03/03/2020	MERRILL LYNCH FIXED INCOME	XXX	24,963	25,000	
891140-CK-2	TORONTO-DOMENTON BANK	C	09/09/2020	TD Securities	XXX	114,679	115,000	
89232H-AB-1	TAOT 20A A2 - ABS		02/04/2020	MITSUBISHI UFJ SECURITIES	xxx	249,997	250,000	
892356-AA-4 90349D-AD-4	TRACTOR SUPPLY CO		10/28/2020 01/22/2020	GOLDMAN SACHS & CO. INC. UBS SECURITIES	XXX	217,865 212,974		41
90985F - AH - 9	UNITED COMMUNITY BANKS INC		06/12/2020	US BANK N.A.	XXX			
95000U-2N-2	WELLS FARGO & CO		04/24/2020	Yarious.	ХХХ	250,003	250,000	
	XILINX INC		05/12/2020	MERRILL LYNCH FIXED INCOME	XXX	144,961	145,000	
	Industrial and Miscellaneous (Unaffiliated)				,	5,498,140	5,448,256	11,42
Bonds - Hybrid Securi	illes diaries, and Affiliates							
Bonds - SVO Identifie								
Bonds - Subtotals - U	Unaffiliated Bank Loans							
8399997 - Bonds - S	Sublotals - Bonds - Part 3					12,801,218	12,521,534	19,69
8399998 - Bonds - S	Summary item from Part 5 for Bonds					687,101	670,000	67
8399999 - Bonds - S						13,488,319	13,191,534	20,36
Preferred Stocks - Ir	ndustrial and Miscellaneous (Unaffiliated) Perpetual Prefe	erred	***************************************					
Preferred Stocks - In	ndustrial and Miscellaneous (Unaffiliated) Redeemable Pre	ferred						
	arent, Subsidiaries and Affiliates Perpetual Preferred Parent, Subsidiaries and Affiliates Redeemable Preferred		·					
Common Stocks - Indus	strial and Miscellaneous (Unaffiliated) Publicly Traded							
Common Stocks - Indus	strial and Miscellaneous (Unaffiliated) Other							
Common Stocks - Paren	nt, Subsidiaries, and Affiliates Publicly Traded							
Common Stocks - Paren Common Stocks - Paren	nt, Subsidiaries, and Affiliates Publicly Traded int, Subsidiaries and Affiliates Other							
Common Stocks - Paren Common Stocks - Paren 000000-00-0	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other , Veratrus Health, Inc.		04/27/2020	DIRECT		750,000	XXX	
Common Stocks - Paren Common Stocks - Paren 	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other		04/27/2020.	DIRECT		750,000 750,000	XXX XXX	
Common Stocks - Paren Common Stocks - Paren 000000-00-0 9399999 - Common St Common Stocks - Mutua	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other Yeratrus Health, Inc. locks - Parent, Subsidiaries and Affiliates Other al Funds		12/18/2020		1 077 250	750,000	XXX	
Common Stocks - Paren Common Stocks - Paren 000000-00-0 9399999 - Common St Common Stocks - Mutua 256219-10-6 413838-72-3	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other		L12/18/2020	DIVIDENO REINVESTMENT	1,077.250 296.500	750,000	XXX XXX XXX	
Common Stocks - Paren Common Stocks - Paren Common Stocks - Paren 000000-00-0 9399999 - Common St Common Stocks - Mulua 256219-10-6 413838-72-3 52469H-78-4	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other . Veratrus Health, Inc Locks - Parent, Subsidiaries and Affiliates Other at Funds . DODGE & COX STOCK . OAKMARK INTERNATL !NST CB LARGE CAP GR 1		12/18/2020 12/10/2020 12/22/2020	DIVIDEND REINVESTMENT DIRECT DIRECT	296.500 1,835.910	750,000 186,200 7,543 123,336	XXX XXX XXX XXX	
Common Stocks - Paren Common Stocks - Paren 000000-00-0. 9399999 - Common St Common Stocks - Mulua 256219-10-6. 413838-72-3. 52469H-78-4. 552981-85-4.	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other , Yeratrus Health, Inc. locks - Parent, Subsidiaries and Affiliates Other al Funds DODGE & COX STOCK OAKMARK INTERNATL INST. CB LARGE CAP GR 1 CB LARGE CAP GR 1 CB LARGE CAP GR 1 MIFS INTL NEW DISCY I		12/18/2020 12/10/2020 12/22/2020 12/08/2020	DIVIDEND REINVESTMENT. DIRECT DIRECT	296.500 1,835.910 1,512.380	750,000 186,200 7,543 123,336 55,671	XXX XXX XXX XXX XXX	
Common Stocks - Paren Common Stocks - Paren C00000-00-0 9399999 - Common St Common Stocks - Mulua 256219-10-6 413838-72-3 52469H-78-4 552981-85-4 808509-44-2	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other Veratrus Health, Inc. locks - Parent, Subsidiaries and Affiliates Other at Funds DODGE & COX STOCK OAKMARK INTERNATL INST CB LARGE CAP GR I MFS INTL NEW DISCV I SCHMAB CAP-FDM US LCI		12/18/2020 12/10/2020 12/22/2020 12/28/2020 12/18/2020	DIVIDEND REINVESTMENT. DIRECT DIRECT DIRECT DIRECT DIRECT	296 500 1,835 910 1,512 380 3,061.060	750,000 186,200 7,543 123,336 55,671 55,344	XXX XXX XXX XXX XXX XXX	
Common Slocks - Paren Common Slocks - Paren 000000-00-0. 9399999 - Common Sl Common Slocks - Mulua 256219-10-6. 413838-72-3. 52469H-78-4. 552981-85-4. 808509-44-2. 808509-84-8. 921909-81-8.	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other , Yeratrus Health, Inc. locks - Parent, Subsidiaries and Affiliates Other at Funds DODGE & COX STOCK.		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020	DIVIDEND REINVESTMENT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIVIDEND REINVESTMENT	296.500 1,835.910 1,512.380	750,000 186,200 7,543 123,336 55,671 55,344 225,473 39,493	XXX XXX XXX XXX XXX XXX XXX XXX	
Common Stocks - Paren Common Stocks - Paren 000000-00-0. 9399999 - Common St Common Stocks - Mulua 256219-10-6. 413838-72-3. 52469H-78-4. 552981-85-4. 808509-44-2. 808509-84-8. 921909-81-8. 921936-20-9.	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other , Yeratrus Health, Inc. locks - Parent, Subsidiaries and Affiliates Other al Funds DODGE & COX STOCK, OAKMARK INTERNATL INST. CB LARGE CAP GR MFS INTL NEW DISCV SCHWAB CAP:SC IDX VANGUARD TOT I S ADM. VANGUARD PRIMECAP ADM.		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020 12/18/2020 12/21/2020	DIVIDEND REINVESTMENT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIVIDEND REINVESTMENT	296.500 1,835.910 1,512.380 3,061.060 749.200 1,377.380 1,219.630	750,000 186,200 7,543 123,336 55,671 55,344 25,473 39,493 185,347	XXX XXX XXX XXX XXX XXX XXX XXX	
Common Stocks - Paren Common Stocks - Paren 000000-00-0 9399999 - Common St Common Stocks - Mutua 256219-10-6 413838-72-3 52469H-78-4 552981-85-4 808509-44-2 808509-84-8 921909-81-8 921936-20-9 922908-66-0	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other Veratrus Health, Inc. locks - Parent, Subsidiaries and Affiliates Other al Funds DODGE & COX STOCK OAKMARK INTERNATL INST. CB LARGE CAP GR I MFS INTL NEW DISCV I SCHMAB CAP:FDM US LCI SCHMAB CAP:FDM US LCI SCHMAB CAP:FD CIX VANGUARD TOT I S ADM. VANGUARD PRIMECAP ADM. VANGUARD GRO IDX ADM.		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020 12/18/2020 12/18/2020 12/21/2020 12/21/2020	DIVIDENO REINVESTMENT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIVENO REINVESTMENT DIRECT DIVIDENO REINVESTMENT	296.500 1,835.910 1,512.380 3,061.060 749.200 1,377.380 1,219.630 156.540	750,000 186,200 7,543 123,336 55,671 25,473 39,493 185,347 16,058	XXX XXX XXX XXX XXX XXX XXX XXX	
Common Slocks - Paren Common Slocks - Paren Common Slocks - Paren 000000-00-0 9399999 - Common Sl Common Slocks - Mulua 256219-10-6 413838-72-3 52469H-78-4 552981-85-4 808509-44-2 808509-84-8 921909-81-8 921908-66-0 922908-66-0	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other . Veratrus Health, Inc Locks - Parent, Subsidiaries and Affiliates Other at Funds . Dodde & COX STOCK . OAKMARK INTERNATL INST DB LARGE CAP GR I . MFS INTL NEW DISCV I SCHMAB CAP-IFDM US LCI . SCHMAB CAP-ISC IDX . VANGUARD TOT I S ADM VANGUARD PRIMECAP ADM VANGUARD PRIMECAP ADM VANGUARD GRO IDX ADM VANGUARD VAL IDX ADM.		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020 12/18/2020 12/21/2020 12/23/2020 12/23/2020	DIVIDEND REINVESTWENT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIRECT DIVIDEND REINVESTWENT DIVIDEND REINVESTWENT DIVIDEND REINVESTWENT DIVIDEND REINVESTWENT	296.500 1,835.910 1,512.380 3,061.060 	750,000 186,200 7,543 123,336 55,671 55,344 25,473 39,493 185,347 16,058 44,327	XXX XXX XXX XXX XXX XXX XXX XXX	
Common Slocks - Paren Common Slocks - Paren Common Slocks - Paren 000000-00-0 9399999 - Common Sl Common Slocks - Mulua 256219-10-6 413838-72-3 52469H-78-4 552981-85-4 808509-44-2 808509-84-8 921909-81-8 921908-66-0 922908-66-0	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other Veratrus Health, Inc. Locks - Parent, Subsidiaries and Affiliates Other at Funds DODGE & COX STOCK OAKMARK INTERNATL INST. DB LARGE CAP GR I MFS INTL NEW DISCV I. SCHMAB CAP:FDM US LCI SSCHMAB CAP:SC IDX VANGUARD TOT I S ADM VANGUARD PRIMECAP ADM VANGUARD PRIMECAP ADM VANGUARD VAL IDX ADM VANGUARD SOO IDX ADM VANGUARD SOO IDX ADM VANGUARD SOO IDX ADM VANGUARD SOO IDX ADM		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020 12/18/2020 12/18/2020 12/21/2020 12/23/2020 12/21/2020 12/21/2020	DIVIDEND REINVESTMENT DIRECT DIRECT DIRECT DIRECT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT	296.500 1,835.910 1,512.380 3,061.060 749.200 1,377.380 1,219.630 156.540 1,143.590 108.590	750,000 186,200 7,543 123,336 55,671 55,344 225,473 39,493 185,347 16,058 44,327 31,823	XXX XXX XXX XXX XXX XXX XXX XXX	
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Common Stocks - Paren	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other [Veratrus Hearth, Inc.] Locks - Parent, Subsidiaries and Affiliates Other al Funds DODGE & COX STOCK OAKMARK INTERNATL INST CB LARGE CAP GR I MFS INTL NEW DISCV I SCHMAB CAP:FDM US LCI SCHMAB CAP:FDM US LCI SCHMAB CAP:SC IDX VANGUARD TOT I S ADM VANGUARD PRIMECAP ADM VANGUARD PRIMECAP ADM VANGUARD FOR IDX ADM ANGUARD FOR IDX ADM ANGUARD FOR IDX ADM PRINCIPAL INVOS EUROPACIFIC GROWTH PRINCIPAL INV LG CP SAP 500 IDX F5 D PRINCIPAL INV LG CP SAP 500 IDX F5 D PRINCIPAL STAP CORE PLUS BOND R5 FUND PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL LIFETIME HYBRID 2020 R6 FUND PRINCIPAL LIFETIME HYBRID 2030 R6 FUND PRINCIPAL LIFETIME HYBRID 2030 R6 FUND PRINCIPAL LIFETIME HYBRID 2040 R6 FUND PRINCIPAL LIFETIME HYBRID 2040 R6 FUND PRINCIPAL LIFETIME HYBRID 1NC R6 FUND INVESTMENT TRUSTS		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020 12/18/2020 12/18/2020 12/21/2020 12/23/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/31/2020 12/31/2020 12/31/2020 12/31/2020 12/31/2020	DIVIDEND REINVESTMENT DIRECT DIRECT DIRECT DIRECT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS	296.500 1,835.910 1,512.380 3,061.060 3,061.060 749.200 1,377.380 1,219.630 1,166.540 1,143.590 221.770 848.690 4,969.000 1,997.140 955.110 2,630.130 3,334.790 4,793.330 979.000	750,000 186,200 7,543 123,336 55,671 55,344 25,473 39,493 185,347 16,058 44,327 31,823 10,850 16,130 99,819 22,391 22,391 20,782 26,782 39,197 56,275 12,556	XXX XXX	
Common Slocks - Paren	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other [Veratrus Health, Inc.] Locks - Parent, Subsidiaries and Affiliates Other al Funds DODGE & COX STOCK OAKMARK INTERNATL INST. CB LARGE CAP GR I. MFS INTL NEW DISCV I. SCHWAB CAP:FDM US LCI. SCHWAB CAP:FDM US LCI. SCHWAB CAP:SC IDX VANGUARD TOT I S ADM. VANGUARD PRIMECAP ADM. VANGUARD FOR IDX ADM. VANGUARD FOR IDX ADM. VANGUARD FOR DIDX ADM. VANGUARD FOR DIDX ADM. VANGUARD FOR DIDX ADM. PANGUARD SOD IDX ADM. VANGUARD FOR DIDX ADM. PRINCIPAL MIDCAP S&P 400 IDX R5 FD. PRINCIPAL INV LG CP S&P 500 IDX F5D. PRINCIPAL INV LG CP S&P 500 IDX F5D. PRINCIPAL SON CAP S&P 600 IDX R5. MELION CAPITAL MGMT BOND MKT IDX R5 FD. PRINCIPAL LIFETIME HYBRID 2020 R6 FUND. PRINCIPAL LIFETIME HYBRID 2020 R6 FUND. PRINCIPAL LIFETIME HYBRID 2040 R6 FUND. PRINCIPAL LIFETIME HYBRID 10C R6 FU		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020 12/18/2020 12/18/2020 12/21/2020 12/23/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/31/2020 12/31/2020 12/31/2020 12/31/2020 12/31/2020	DIVIDEND REINVESTMENT DIRECT DIRECT DIRECT DIRECT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS	296.500 1,835.910 1,512.380 3,061.060 3,061.060 749.200 1,377.380 1,219.630 1,166.540 1,143.590 221.770 848.690 4,969.000 1,997.140 955.110 2,630.130 3,334.790 4,793.330 979.000	750,000 186,200 7,543 123,336 55,671 25,473 39,493 185,347 16,058 44,327 31,623 10,650 16,130 99,819 22,391 20,782 28,782 39,197 56,275 12,556 14,212 1,091,607	XXX XXX	
Common Slocks - Paren	nt, Subsidiaries, and Affiliates Publicly Traded nt, Subsidiaries and Affiliates Other [Veratrus Hearth, Inc.] Locks - Parent, Subsidiaries and Affiliates Other al Funds DODGE & COX STOCK OAKMARK INTERNATL INST CB LARGE CAP GR I MFS INTL NEW DISCV I SCHMAB CAP:FDM US LCI SCHMAB CAP:FDM US LCI SCHMAB CAP:SC IDX VANGUARD TOT I S ADM VANGUARD PRIMECAP ADM VANGUARD PRIMECAP ADM VANGUARD FOR IDX ADM ANGUARD FOR IDX ADM ANGUARD FOR IDX ADM PRINCIPAL INVOS EUROPACIFIC GROWTH PRINCIPAL INV LG CP SAP 500 IDX F5 D PRINCIPAL INV LG CP SAP 500 IDX F5 D PRINCIPAL STAP CORE PLUS BOND R5 FUND PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL SM CAP SAP 600 IDX R5 FD PRINCIPAL LIFETIME HYBRID 2020 R6 FUND PRINCIPAL LIFETIME HYBRID 2030 R6 FUND PRINCIPAL LIFETIME HYBRID 2030 R6 FUND PRINCIPAL LIFETIME HYBRID 2040 R6 FUND PRINCIPAL LIFETIME HYBRID 2040 R6 FUND PRINCIPAL LIFETIME HYBRID 1NC R6 FUND INVESTMENT TRUSTS		12/18/2020 12/10/2020 12/22/2020 12/08/2020 12/18/2020 12/18/2020 12/18/2020 12/18/2020 12/21/2020 12/23/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/21/2020 12/31/2020 12/31/2020 12/31/2020 12/31/2020 12/31/2020	DIVIDEND REINVESTMENT DIRECT DIRECT DIRECT DIRECT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT DIVIDEND REINVESTMENT PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS PRINCIPAL GLOBAL INVESTORS	296.500 1,835.910 1,512.380 3,061.060 3,061.060 749.200 1,377.380 1,219.630 1,166.540 1,143.590 221.770 848.690 4,969.000 1,997.140 955.110 2,630.130 3,334.790 4,793.330 979.000	750,000 186,200 7,543 123,336 55,671 55,344 25,473 39,493 185,347 16,058 44,327 31,823 10,850 16,130 99,819 22,391 22,391 20,782 26,782 39,197 56,275 12,556	XXX XXX	

51	lowing All Long-Term Bonds and Stocks ACQUIRED During Current Year				
4	5	6	7	8	9

1	2	3	4	5	6	7	8	9
ı								
CUSIP					Number of	Actual		Paid for Accrued
Identification	Description	Foreign	Date Acquired	Name of Vendor	Shares of Stock	Cost	Par Value	Interest and Dividends
9999999 Totals	-		*			15,329,926	XXX	20,367

					Showing all	Long-Term	Bonds and S	tocks SOLD,	REDEEMED o	r Otherwise DI	SPOSED OF	During Current	Year						
1	2	3 4	5	6	7	8	9	10			Book/Adjusted Ca			16	17	18	19	20	21
		F 0 r							11	12	13	14	15						
CUSIP	,	e: i g		Number of				Prior Year Book/ Adjusted	Unrealized Valuation	Current Year	Current Year's Other-Than- Temporary	Total Change in	Total Foreign Exchange	Book/ Adjusted Carrying Value	Foreign Exchange Gain	Realized Gain	Total Gain	Bond Interest/Stock Dividends	Stated Contractual
Identi- fication Bonds - U.S. (Description Sovernments	n Disposat Date	Name of Purchaser	Shares of Stock	Consideration	Par Value	Actual Cost	Carrying Value	Increase/ (Decrease)	(Amortization)/ Accretion	Impairment Recognized	B./A.C.V. (11+12-13)	Change in B./A.C.V.	at Disposal Date	(Loss) on Disposal	(Loss) on Disposal	(Loss) on Disposal	Received During Year	Malurity Date
	G2 MA0532 - RW8S	12/01/2020.		XXX	18,881	18,881	18,801	18,806	0	74	0	74	0	18,881	0	0	0	292	
	G2 MA0623 - RWBS GN 604994 - RWBS	12/01/2020		XXX	23,632	23,632	23,440	23 ,455 887	0	177	0	177	0	23,632 887	0	0	0	361	12/20/2042
	G2 003488 - RV8S	12/01/2020		XXX	1,611	1.611	1,616	1,614	0	(3)	0	(3)		1,611	0	0	0	49	12/20/2033
	G2 003598 - RVBS	12/01/2020.		XXX	2, 148	2,148	2,196	2.183	0	(35)	0	(35)	0	2,148	0	0	0	73	.08/20/2034.
	G2 004597 - RVBS G2 004635 - RVBS	12/01/2020		XXX	11,299 9,317	9,317	11,499 9,324	11,473 9,321	0	(173)		(173)	0	11,299 9,317	0	0	0	281	12/20/2039
	G2 004882 - RVBS	12/01/2020		ŶŶŶ	13,421	13,421	13,396	13,395	0			26	C	13,421	0	0	0	327	12/20/2040
	GN 612764 - RWBS	12/01/2020	Paydown	XXX	1,346	1,346	1,372	1,362	0	(17)	0	(17)	0	1,346	0	0	0	36	06/15/2033
	GN 731000 - RVBS	12/01/2020		XXX	15,448 9,109	15,448	15,031 9,372	15,079	0	370	0		0		0	0	0	266	
	GNR 2009-106 PD + CNO/RNBS	04/20/2020		XXX	19,788	19,788	19,937	9,301	0	(192) 40		(192) 40		19,788	0		0	181	04/20/2038
38378T-X8-8	GNR 2013-109 M - CMO/RWBS	12/01/2020,	Paydown	XXX	75,263	75,263	75,934		0	(670)		(670)	0	75,263	0	0	0	1,384	06/16/2041
	UNITED STATES TREASURY UNITED STATES TREASURY	12/15/2020		XXX	250.000	250 .000	249.229 149.908	249.747 149.981	0	253 19	0	253	0	250.000 150.000	0	0	0	4,688 2,625	12/15/2020
	VENDE 2011-1 DA - CWO/RWBS	12/01/2020		XXX	25,608	25,363	25,573		0	219		219		25,608	0	0	0		
	ZARAPL 1 A2 - RWBS	11/20/2020		XXX	16,481	16,481	16,481	16,482	0	(1)	.0	(1)	O	16,481	0	0	0	280	08/20/2026
	onds - U.S. Governments her Governments				644.240	643.995	643.996	568.224	0	83	0	83	C	644.240	0	0	0	11,941	XXX
		C. L. 11/30/2020.	Maturity 0 100.00	XXX	150.000	150.000	149.724	149.948	0	52	0	52	. 0	150,000	0		0	3,075	11/30/2020
	onds - All Other Governments				150.000	150.000	149.724	149.948	0	52				150.000	0	0	0	3,075	
Bonds - U.S. S	itates, Territories and Possessions (D ofitical Subdivisions of States, Terr	irect and Guara	inteed)	Inndi															
	pecial Revenue and Special Assessment				ties of Governmen	nts and Their P	olitical Subdiv	isions											
T T	BAY AREA TOLL AUTH CALIF TOLL BRDG REV	04/01/2020	Ca £ 100.00	XXX	10,000	10,000	***************************************	11,858		(20)		(20)		11,823		(1,823)	(1,823)	.340	.04/01/2030.
	FH G01563 - RVBS	12/01/2020		XXX	1,240	1,240	12,242	1,266	U	(36)	0	(36)		1,240	0	(1,023)			06/01/2033
	FH G03383 - RVBS	12/01/2020	Paydown	XXX	3,648	3,648	3,619	3,626	0	22	0		0	3,648	0	0	0	63	08/01/2037
	FH G05965 + RWBS	12/01/2020	Paydown Paydown	XXX	6,366	6,366	6,492	6,473	0	(108)	0	(108)	0	6,366	0	0	0	169 382	
	FH G14433 - RVBS	12/01/2020	Paydown	XXX	14,744	14,744	17,516 15,117	17,494 14,973	0	(135)	0	(135)	0	17,358	0	.0	0	207	05/01/2027.
3128MJ-S6-8	FH G08540 - RV8S	12/01/2020	Paydown	XXX	26,311	26,311	26,426	26,414	0	(103)	0	(103)	O	26,311	0	0	0	510	1
	FH G08631 + RVBS	12/01/2020	Paydown Paydown	XXX	57,390 53,840	57,390 53,840	57,749 53,975	57,711 53,959	0	(321)	0	(321)	0	57,390 53,840	0		0	1,096	03/01/2045 09/01/2045
	FH 608670 - RWBS	12/01/2020	Paydown	XXX		56,459	56,486	56,477	00	(18)	0	(118)	C		0	.0	0	1,104	
	FH G08640 - RWBS	12/01/2020	Paydown	XXX	54,205	54,205	54,100	54,103	0	103	0	103	0	54,205	0	0	0	1,054	
	FH G08653 - RVBS	12/01/2020	Paydown	XXX	53,093	53,093	53,989	53,913	0	(820)	0	(820)	0	53,093	0	ļ0	0	1,035 1,181	
	FH G08701 - RVBS	12/01/2020	Paydown Paydown	XXX	61,784 52,296	61,784 52,296		62,885 53,491		(1,101)		(1,101) (1,196)		61,784 52,296	0	0	0	1,002	
3128MJ-Y5-3	FH G08731 - RWBS	12/01/2020,	Paydown	XXX	49,840	49,840	49,622	49,636	0	204		204		49,840	0	0	0	887	11/01/2046
	FH 608719 - R¥85	12/01/2020	Paydown Paydown	XXX	58,249	58,249 57,151	58,996 57,620	58,934 .57,580	0	(684)	0	(684)	0		0	0	0	1,020	
3128S4-AV-9	FH 100020 - RWBS	12/01/2020	Paydown	XXX	3,587	57 , 151 ,	3,545	3.527		(429)	n	(429) 60		3,587	0	0	0	65	
312941-NJ-2	FH A93093 - RWBS	12/01/2020	Paydown	XXX	5,582	5,582	5,585	5,584	0	(1)	0	(1)	0	5,582	0	0	0	149	07/01/2040
312943-00-0	FH A94951 - RWBS FH A26049 - RWBS	12/01/2020	Paydown.	XXX	18,919	18,919	18,706	18,733	0	186	0	186	0	18,919	0	0	0	440 73	,
	FH A27392 - RVBS	12/01/2020	Paydown Paydown	XXX	1,830	1,830	1,840 426	1,837 425	 n	(6)	0	(5)		1,830 418	0	,u	o	/3	
31297H-CQ-0	FH A29079 - RWBS	12/01/2020	Paydown	XXX	11,086	11,086	11,019	11,019	0	68		68	0	11,086	0	.0	0	250	12/01/2034
	FH A29373 - RVBS	12/01/2020	Paydown	XXX	5,383	5,383	5,514	5,513	0	(130)	0	(130)		5,383	0	0	0	31	
	FH SB8052 + RWBS	12/01/2020	Paydown	XXX	22,860	22,860	23,607 11,545	0	0 n	(747) (282)	0 n	(747)				, O	 n	162	
31320Y-7B-5	H SD8090 - RWBS	12/01/2020	Paydown	XXX	5,975	5,975	6,187	0	0	(212)		(212)	0	5,975	0	0	0	28	09/01/2050.
	FH Q14321 - RVBS	12/01/2020	Paydown	XXX	28,483	28,483	28,755	28,737	0	(253)	0	(253)	0	28,483	0	0	0	577	
	FH Q14636 - RVBS	12/01/2020	Paydown	XXX	41,889	41,889 19,335	40,789 19,913	40,881 19,845	0	1,008	0	1,008 (510)	0	41,889 19,335	0	0	0	835 339	1
313369-05-6	TH ON2792 - RVBS	12/01/2020	Paydown	XXX	15,431	15,431	16,026	0	0	(596)	0	(596)	0	15,431	0		.0	84	
	N 255111 - RMBS	12/01/2020	Paydown	XXX	1,171	1,171	1,157	1,159	0	12	0	12	0	1,171	0	0	0	36	03/01/2034
31371H-DF-1	N 255802 - RWBS	12/01/2020	Paydown	XXX	826	826	824	819	0	J7	0	7	0	826	0	<u> </u>		25	.06/01/2035

1	2	3	4	5	6	Snowing air	Long-Term	Bonos and St	10 10	KEDEEMED (or Otherwise DI	Sock/Adjusted Ca		Year	16	17	18	19	20	21
1 '	1	F	7			1 ′	"]	10	11	12	13	14	15	┥ ''		,0	15	2.0	~ '
CUSIP Identi- fication	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year (Amortization)/ Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
	FHR 4666 JP - CWO/RWBS		2/01/2020	Paydown	XXX		136 . 727	140.230	0	0	(3,504)	0	(3,504)	ļQ	136.727	0	0	0	2,194	
3137FV-6Q-0 3138A1-VP-3	FHR 4998 8C - CWO/RWBS		2/01/2020 ₋ 2/01/2020 ₋	Paydown	XXX	17,492	17,492 13,466	17,981	0		(489)	0	(489)	ļ	17,492				104 257	07/25/2044
3138A2-NH-7	FN AH1295 - RV8S		1270172020 1270172020	Paydown Paydown	XXX	13,466	11 686	13,809	11,696	n	(279)	ນ	(279) (11)		13,466		Λ	n	240	.01/01/2026
3138A8-JU-1	FN AH6574 - RV8S		2/01/2020	Paydown	XXX	10,759	10,759	10,938	10.920	0	(161)	0	(161)		10.759	0	0	0	250	.03/01/2041
3138EC-AA-1	FN AK7200 - RVBS.		2/01/2020	Paydown	XXX	14,336	14,336	14,685	14,541	0	(205)	0	(205)		14,336	0	0	0	186	04/01/2027
3138LQ-3C-6.	FN A00794 - RVBS		2/01/2020	Paydown	XXX	17,616	17,616	18,007	17,843	0	(227)	0	(227)	0	17,616	0	0	0	250	04/01/2027
313898-J6-1 313898-K6-7	FN AP4784 - RWBS FN AQ9316 - RWBS		12/01/2020, . 12/01/2020, .	Paydown Paydown	XXX	32,731 27,217	32,731	31,693	31,796 27,564	0	(347)	00	934]	32,731	0			625 437	09/01/2042
3138W7-GG-3	FN AR9198 - RVBS		2/01/2020	Paydown	XXX	40.589	40.589	27,593 39,936		<u>.</u> u	596		(347)		0 40.589	0	0	0	794	03/01/2043
3138WE-JU-4	FN AS4774 - RVBS		2/01/2020	Paydown	XXX	57,507	57,507	57,539		0	(25)	0	(25)		57,507	0		0	1,108	04/01/2045
3138WF-GC-4	FN AS5594 - RVBS	t	2/01/2020	Paydown	XXX	55,239	55,239	55,852	55,835	0	(597)	0	(597)		55,239	0	0	0	1,174	
3138WJ-GH-5,.	FN AS8299 - RVBS		2/01/2020,	Paydown	XXX	30,871	30,871	30,755	30 ,757	0	114	0	114	ļ0	30,871	0	0	ō	581	11/01/2046
3138WP-G2-4_ 3138WP-GY-4	FN AT2016 - RVBS		2/01/2020.	Paydown	XXX	30,300	30,300	30,489	30,469		(169)	0	(169)	ļ	30,300	0	[0	0	566	04/01/2043
3138WQ-AX-Q_	FN AT2721 - RWBS.		2/01/2020 2/01/2020	Paydown Paydown	XXX	29,549 22,606	29,549 22,606	28,985	29,035	n u			514	1	29,549	0	۱	0	421	05/01/2043.
31398V-FS-0.	FHR 3640 AD - CMO/RWBS		2/01/2020.	Paydown	XXX	67,741	67,741	65,073	67 136	0	604	0	604		67,741	0		0	1,411	03/15/2025
31401F-YH-3	FN 707312 - RVBS	1	2/01/2020	Paydown	XXX	810	810	791	795	0	15	0	15		810	0	0	0	21	06/01/2033
31402N-YA-0.	FN 734305 - RWBS		2/01/2020	Paydown	XXX	599	599	580	582	0	17	0	17		599	0	0	0	17	09/01/2033
31405H-S9-0 31406Q-6S-1	FN 789944 - RVBS		2/01/2020	Paydown	XXX	660	660	665		0	(3)	0	(3)	ļ	660	0	0	0	20	07/01/2034
31400Q-03-1 31407B-WY-1	FN 817281 - RVBS		2/01/2020 2/01/2020	Paydown.	XXX	2,796 2,577	2,796 2,577	2,824 2,454	2,811 2,475	U	(15)		(15)	1	2,796		n	o	61	07/01/2035.
31408J-CT-6.	FN 852482 - RVBS		2/01/2020	Paydown	XXX	652	652	614	623		29	n	29	1	652	0	η	0	20	12/01/2035
31409T-UJ-5	FN 878185 - RVBS		2/01/2020	Paydoxn	XXX	548	548	538	540	.0	8	0	8		548	0	0	0	17	08/01/2036
31409Y-DZ-7	FN 882220 - RWBS		2/01/2020	Paydown	XXX	3,412	3,412	3,448	3,435	0	(23)	0	(23)		3,412	0		0	144	09/01/2036
31417A-RC-5	FN AB4082 - RVBS		2/01/2020	Paydown	XXX	10,706	10,706	10,840	10,785	0	(79)		(79)		10,706	0	0	0	157	12/01/2026
31417D-ZZ-9 31417F-YA-0	FN AB7059 - RVBS		2/01/2020 2/01/2020	Paydown	XXX	21,895 25,385	21,895	22,315	22,246	00	(352)	0	(352)	1	21,895				342	11/01/2042
31418A-U7-1	FN WA1505 - RWSS		2/01/2020.	Pavdown	XXX	24,911	25,385 24,911	24,335 23,261	24,459	u	1,571		1,571	1	24,911	,,n	0		391	07/01/2043
31418C-DL-5	FN MA2806 - RVBS		2/01/2020	Paydown	XXX	36,827	36,827	36,313	36,340	0	487	0	487		36,827	0	0	0	726	
31418C-EG-5	FN MA2834 - RWBS	12	2/01/2020	Paydown	XXX	58,194	58,194	59,295	59,262	0	(1,068)	0	(1,068)		58,194	0	0	0	1,262	
31418C-HF-4	FN MA2929 - RW8S		2/01/2020	Paydown	XXX	71,881	71,881	73,394	73,258	0	(1,377)	00	(1,377)	0	71,881	0	0	0	1,523	
314180-F.j-6 314180-J.j-2	FN MA3768 - RVBS FN MA3864 - RVBS		2/01/2020	Paydown.	XXX	112.272	112.272	115.289	115.191	0	(2,919)	0	(2,919)	ļ	112.272	0	0	00	1,984	09/01/2034
314180-KJ-0	FN MA3896 - RWBS		2/01/2020 2/01/2020	Paydown.	XXX	91,169 90,460	91,169 90,460		ا ۱۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰		(1,496)	ນ	(1,496)		91,169 090,460		0)	1,269	
31418D-KK-7	FN MA3897 - RVBS		1/18/2020	Yarious	XXX	309.461	300.000	307.969	307.966	0	(3,153)	0	(3,153)		304.813		4,648	4,648	7,818	
31418D-RR-5,	FN MA4095 - RWBS		2/01/2020.	Paydown	XXX	16,109	16,109	16,760	0	0	(652)	0	(652)		16,109	0	0	0	91	08/01/2035
31418D-SH-6	FN MA4119 - RNBS		2/01/2020	Paydown	XXX	4,685	4,685	4,847	0	0	(163)	0	(163)	ļ	4,685	0	0	o	22	09/01/2050
31418D-SL-7 31418D-U4-2	FN MA4122 - RWBS		2/01/2020	Paydown	XXX	9,212	9,212	9,431	ō	·····ō	(219)	ō	(219)	ļ	9,212	ļ	0	0	31	09/01/2035
314180-04-2 314180-06-7	FN MA4202 + RVBS FN MA4204 + RVBS		2/01/2020,_ 2/01/2020,_	Paydown	XXX			851 1,014	D		(12)		(12)	}	975	u	, n		2	12/01/2040
31419B-CT-0	FN AE0981 - RMBS		2/01/2020	Paydown.	XXX	14,282	14,282	14,257	14,256	0	26	n	26	[14,282		0	0	310	
31419K-LG-8	FN AE8426 - RVBS		2/01/2020.	Paydown	XXX	7,619	7,619	7,649	7,629	0	(10)	0	(10)		7,619	0	0	0	119	
54627R-AH-3	LA LOC DOYT ENVIRON FACS 7 CHATY REV		8/01/2020	Paydown	ххх	16,800	16,800	16,796	16,800	0	1	0	1		16,800	0	0	0	349	08/01/2028
60416Q-FW-9	FIN-RUSS. UTILITY DEBT SECURITIZATION AUTH	12	2/01/2020	Call @ 100,00	XXX	11,182	11,182	11,182	11,182	0	0	0	0		11,182	0	0	0	191	
91802R-AU-3	NY-RWBS. UTILITY DEBT SECURITIZATION AUTH			Call @ 100.00	XXX	105.000	105.000	104.995	105.005	0	(1)	0	(1)		105.004	0	(4)		2,682	į
	NY-ABS Bonds - U.S. Special Revenue and Special	al Asse	essment and	Call @ 100.00 (all Non-Guaranteed Obligat	ions of	45,000	45,000	44,998	45,000	0	1	0	1		45,001	0	1.1		(2,107	
	Agencies and Authorities of Governm trial and Miscellaneous (Unaffiliated)				T 9502	2,541,361	2,531,900	2,557,573	2,125,109	0	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	0	(19,669)			0		2,820		1
00206R-CN-0 02005A-GN-4				Call @ 100.00	XXXXXX	279.715	250.000	248.053	249.349	ō	24	ō	24	ļ	249,373	0	627	627	36,019 2,040	
	AWOT 2017-3 A2 - ABS			Yarious	XXX	200.000 46,136	200.000 46.136	197 .422 46 ,003	199 .136 45 .011	0	864 125	0		ļ	200.000 46.136	ļ	ļ	,	2,040	
	BB&T CORP			Call & 100.00	XXX	100.000		99,944	99 994	n	5	n	5	1	99,999	, n	1	1	1,094	
	BANK 2019-BNK22 A1 - CWBS			Paydown	XXX	46,673	46,673	46,672	46 671	0	2	0	2	[46,673	Ö	0	0	528	
	CVS HEALTH CORP			TENDER	XXX	103.301	87.G00	94.019	93.873	n.	(748)	n	(748)	[93.125		(6,125)	(6.125		

1	2	31	4	5	6	7 7	8 8	o alla	10		r Otherwise DI	Book/Adjusted Ca		roai	16	17	18	19	20	21
,		F	4	3	"	′	О	"	10	11	12	13	14	15	,,,	17	10	19	20	-
CUSIP Identi- fication	Description	o f g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year (Amortization)/ Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractu Maturity Date
	CABMT 2015-11 A1 - ABS		.07/15/2020	Yarious	XXX	150.000	150 .000	149.940	149.993	0	1	0		0	150.000	0	0	0	1,969	
136385-AT-8 15200W-AB-1	CANADIAN NATURAL RESOURCES LTD		_05/13/2020_ _10/15/2020	MORGAN STANLEY & CO LLC	XXX	152.013 38.050	150 .000	149.346	149.513		39	0	39	0	149.551	U	2,462	2,462	3,325	
15200#-AC-9	CNP IV A3 - ABS	1	. 10/15/2020.	Paydown.	XXX	20,086		20,347	38,041 10,020		(301)		(301)	n	20,086	0	0	0	608	
	CISCO SYSTEMS INC.			Maturity @ 100.00	XXX	150.000	150 .000	149.778	149 999	0	1	0	1		150.000		0	0	3,338	01/15/202
202795-HV-5	COMMONNEALTH EDISON CO		.08/01/2020.	Maturity @ 100.00	XXX	100.000	100.000	99,976	99,999	0	1	0	1	0	100.000	0	0	0	4,000	
209111-EZ-2	CONSOLIDATED EDISON CO OF NY INC			Maturity @ 100.00	XXX	100.000	100 .000	99,783	99,988	0	12	0	12	0	100.000	0	0	0	2,225	
210717-AB-0	CMRS 2014A A2 - A8S		.11/01/2020	Paydown	XXX	24,541	24,541	24,541	24,542	0	(1)	0	[(1)	0	24,541	0	0	0	548	
	DY9 LEASING LLC DY7 LEASING LLC		.12/19/2020 .12/10/2020	Paydown Paydown	XXX	16,743 6,285		16,743 6,285	16,744 6,285	······	[1]		(1)	U	16,743 6,285	U	u	u	254	
	ENOI 1 A - ABS		12/01/2020	Paydown	XXX	26,419				o	3	ر	7	0	26,419	n	n		576	
	ENERGY TRANSFER OPERATING LP		.05/13/2020.	HARKETAXESS	XXX	202.464	200.000	209.714	208.928	0	(733)	0	(733)	0	208.195	0	(5,731)	(5,731	5,250	
33766Q-AB-3	FE0H 2013 A2 - ABS		.01/15/2020.	Paydown	XXX	16,726	16,726	16,725	16,726	0	0	0		0	16,726	0	o	0	144	
			.04/01/2020	Maturily @ 100.00	XXX	150.000	150.000	149.915	149.999	0	1	0	1	0	150.000	0	0	0	3,413	
	GSMS 2012-GCJ9 A3 - CMSS		.05/01/2020	Paydown	XXX	1,874	1,874	1,921	1,882	0	(9)	0	(9)	0	1,874	0	0	0	9	11/10/204
	GMCAR 2019-2 A2A - ABS HSBC USA INC.		.10/16/2020.	Paydown	XXX	158.227	158.227	158.213	158.221	0		0	6		158.227	0			1,845 5,500	
	HELIOS LEASING I LLC		.08/07/2020,	Maturity @ 100.00	XXX	200.000 6,922	200.000 6,922	199.824 6.922	199.978 6.922	٠			22		200.000 6,922	Λ) N	5,500	05/29/202
	HELIOS LEASING II LLC		12/18/2020	Paydown	XXX	9.072	9,072	9,072	9.072	ብ	h	n	0	6	9,072		0	0	155	
	HART 2019-A A3 - ABS		12/15/2020	Paydown	XXX	7,991	7,991	7,990	7 991	. 0	1		1	0	7,991		0	0	213	
	JDOT 2019 A3 - ABS	.	.12/15/2020	Paydown	XXX	19,977	19 .977	19,974	19 975	0	2	0	2	0	19,977	0	0	0	559	
	KIMBERLY-CLARK CORP.		.08/01/2020.	Maturity อิ 100.00	XXX		125.000	124.625	124.975	0	25	0	25	0	125.000	0	0	0	4,531	
	LEXINGTON REALTY TRUST		.08/31/2020	TENCER	XXX	164.063	150 .000	149.825	149.918	0	<u>11</u>	0	11	<u>o</u>	149.929	0	71	71	18,756	
	LOWE'S COMPANIES INC		.10/08/2020. .07/15/2020.	INTL FCSIone L.P. Maturity @ 100,00	XXX	163.247 125.000	150,000 125,000	149.102 124.503	149 .631 124 .969	0	73	0	73	D	149.705 125.000	D	13,542	13,542	6,265	
	MEDTRONIC INC		.10/29/2020	Call & 100,00	XXX	33,823	30,000	29,824	29,862	Un	20	ا	20)	29,882	0	118	118		
	MEXICAN AIRCRAFT FINANCE V LLC.		.10/14/2020.	Pavdown	XXX	10.333	10.333	10,333	10.333		0	0	0	0	10.333	0	0	0	151	1
	MONTEFICRE MEDICAL CENTER		10/20/2020,	Call @ 100.00	XXX	20,000	20,000	20,000	20,000	0	ō	0	0	0	20,000	0	0	0	323	
	OCCIDENTAL PETROLEUM CORP			CITIBANK, N.A.	XXX	181.918	200.000	145.500	199.870	0	6	54,376	(54,370)	0	145.500	0	36,418	36,418		
	PRUDENTIAL FINANCIAL INC			Maturity @ 100.00	XXX	100.000	100 .000	99,390	99,964	0	36	0	36	ļ0	100.000	0	0	0	2,588	
755111-8T <i>-</i> 7	RAYTHEON CO		.10/15/2020	Maturity @ 100.00	XXX	100.000	100.000	99,465	99,952	0	48	0	48	0	100.000	0	0	J	3,125	10/15/202
76132F-AA-5	PRNTSHP		.08/18/2020	MARKETAXESS	XXX	155.397	150,000	150.384	150 . 177	0	(26)	0	(26)	l 0	150.151	lo	5,246	5.246	5,104	12/15/202
828807-DD-6	SIMON PROPERTY GROUP LP.		06/30/2020	CREDIT SUISEE FIRST BOSTON	XXX	156 .887	150.000	149.729	149.826	0	24	0	24	0	149.851	0	7,036	7,036	2,406	06/01/202
87165L-AF-8	SYNCT 151 A - ABS		.03/16/2020	Yar ious	XXX	100.000	100.000	99,980	99,999	0	ļ1	0	ļ1	0	100.000	0	0	0	593	
	TAGUA LEASING LLC		.10/12/2020	Paydown	XXX	8,596	8,596	8,596	8,596	0	0	0	0	ļ0	8,596	<u>0</u>	0	0	102	
873782-AB-2 87612E-AV-8	TAGUA LEASING LLC TARGET CORP			Paydown	XXX	12,884	12,884	12,884	12,884	0	ļ	ļō	ļō	ļō	12,884	0	0	0	143	09/18/202
88224L-AF-5	TEXAS CHILDRENS HOSP		.01/28/2020	Call @ 100.00	XXX	126.252 220.000	125.000 220.000	124.641 220.000	124.977 220.000		3 		J3	u	124.980	n	ZU	ZU	5,216	
89232H-AB-1	TAOT 2020-A A2 - ABS		12/15/2020	Paydown	XXX	66,370	66,370		220.000 N	 0	1	n	1	n		0	n	0	828	
89238T-AD-5	TAOT 2018-B A3 - ABS		12/15/2020.	Paydown	XXX	106.747	106.747	106,746	106.747	0	[L	ŏ	106.747		0	0	2,150	
90349D-AD-4	UBSBB 2012-C3 A4 - CMBS		12/01/2020.	Paydown	XXX	15,881	15,881	16,241	0	0	(360)	0	(360)	0	15,881	0	0	0	376	08/12/204
908256-AA-8	UNION 16 LEASING LLC		.04/22/2020.	Paydown	XXX	69,506	69,506	69,506	69,506	0	0	0	0	[o	69,506	0	0	0	2,832	
91159H-HL-7	U.S. BANCORP			Call @ 100.00	XXX	200.000	200.000	199.972	199.994	ō	J5	ļō	5	ļō	200.000	ō	0	0	6,658	
	UNITED TECHNOLOGIES CORP		.02/28/2020 _. .06/29/2020 _.	TENDER	XXX	97,349 128.928	100.000	99,501	99,984 124,842	0	9	J	9	ļō		0	(2,644)	(2,644) 4,663 8,208	
	VZOT 2018-A A1A - RUBS		.0672972020 .1272072020	Call @ 100.00 Paydown	XXX		125.000	123.931		 n	h		n	u	38,358	n	n 91		1,186	
	WASTE HANAGEMENT INC.			Call @ 100.00 SUSQUEHANNA FINANCIAL	XXX	252.500	250.000	257.524	257 .476	0	(397)	0	(397)		257.078	0	(7,078)	(7,078		
	WELLS FARGO & CO	1	.04/24/2020	GROUP LLP	XXX	155.139	150.900	149.673	149.922	0	11	0			149.933	0	5,206	5,206	3,354	03/08/202
94988X-AR-7	WFCM 2014-LC16 A2 - CKBS		.04/17/2020	Paydown	XXX	3,682	3,682	3,792	3,696	0	(14)	0	(14)	0	3,682	O	0		285	08/17/205
	NFCN 2019-C50 A1 - CNBS		.12/01/2020	Paydown	XXX	25,046	25,046	25,046	25,042	0	14	0	4	0	25,046	0	0	0	376	
	WOART 2017-A A3 - ABS		12/15/2020	Paydown	XXX	78,210	78,210	77,366	77,674	0	535	0	535	0	78,210	0	0	0		
3899999 - Be	onds - Industrial and Miscellaneous	_(Unaf f	rii (ated)			5,374,329	5,288,335	5,246,319	5,215,442	0	(560)	54,376	(54,936)	0	5,253,483	0	49,266	49,266	194.692	XXX

Bonds - Pyptio Securities
Bonds - Parent, Subsidiaries, and Affiliates
Bonds - SVO Identified Funds
Bonds - Subtotals - Unaffiliated Bank Loans
8399997 - Bonds - Subtotals - Bonds - Parl 4 255.451 XXX 8,709,930 8,614,230 8,597,611 8,058,723 54,376 (74,471) 0 8,586,264

SCHEDULE D - PART 4

										1 /3131									
					Showing all	Long-Term	Bonds and St	ocks SOLD, I	<u>REDEEME</u> D o	r Otherwise DE			Year						
1	2	3 4	5	6	7	8	9	10		Change in B	ook/Adjusted Ca	rrying Value		16	17	18	19	20	21
		F		1					11	12	13	14	15	1		[
1		0		1				l						1		1			
		r					1												
		e						Prior Year			Current Year's			Book/		!!!		Bond	
]]		ī					1	Book/	Unrealized		Other-Than-	Total	Total Foreign	Adjusted	Foreign			Interest/Stock	Stated
CUSIP		'n	•	Number of	1		1	Adjusted	Valuation	Current Year	Temporary	Change in	Exchange	Carrying Value		Realized Gain	Total Gain	Dividends	Contractual
Identi-		n Disposal		Shares of	1			Carrying	Increase/	(Amortization)/	Impairment	B./A.C.V.	Change in	at	(Loss) on	(Loss) on	(Loss) on	Received	Maturity
fication	Description	Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Value	(Decrease)	Accretion	Recognized	(11+12-13)	B./A.C.V.	Disposal Date	Disposal	Disposal	Disposal	During Year	Date
	onds - Summary item from Part 5 for B		, 114110 of Catoriaco	_ Otosic	715,242	670,000		Fullo	0		n	(1,180)	0		0.000000	22,507	22.507	15.990	XXX
	onds - Sublotals - Bonds				9,425,173	9,284,230		8,058,723	٥		54,376				0	74.592	74,592	271,441	XXX
	cks - Industrial and Miscellaneous (U	naffiliated) Per	netual Preferred		37.251.11	2,100.1100	0,001,712	0,000,120	·	(27,270)	01,010	(10,00.7		0,12121100		, , , , , , , , , , , , , , , , , , , ,			
	cks - Industrial and Miscellaneous (U																		
	cks - Parent, Subsidiaries and Affilia																		
	cks - Parent, Subsidiaries and Affilia																	••••	
	- Industrial and Miscellaneous (Unaf																		
	- Industrial and Miscellaneous (Unaf		TY TENGEN	***************************************											***************************************				
	 Parent, Subsidiaries, and Affiliate 		[nd																
	- Parent, Subsidiaries, and Affiliate		ign																
	- Parent, Subsidiaries and Affiliates - Mutual Funds	s ville/																	
		1 277221222				1/1/1					_								1 UPV
	AMERICAN FUNDS EUROPACIFIC GROWTH		PRINCIPAL GLOBAL INVESTORS.	240 .420		XXX	13,230	13,091	139		0	139	D	13,230	0	0			XXX
	PRINCIPAL MIDCAP S&P 400 IDX R5 FD.		PRINCIPAL GLOBAL INVESTORS.	777 .120		XXX	16,273	16,257	16		0	16	0	16,273	0	0		00	XXX
	PRINCIPAL INV LG CP S&P 500 IDX PFD		PRINCIPAL GLOBAL INVESTORS.	5,033,970		XXX	101.334	100.478	856	0	0	856	0	101.334	0	0	0	0	XXX
	PRINCIPAL CORE PLUS BOND R5 FUND		PRINCIPAL GLOBAL INVESTORS.	647 .430		XXX	7,115	7,102	13	0	0	13	0	7,115	0	0	0	0	XXX
74253J-21-4	PRINCIPAL SM CAP S&P 600 IDX R5	01/02/2020	PRINCIPAL GLOBAL INVESTORS.	470 .000	12,149	XXX	. 12,149	12,149	0	0	0	0	0	12,149	0	0	0	0	XXX
Tanga	MELLON CAPITAL MONT BOND WKT IDX R5	2/12010				VVV	1						_		_	_		_	100
742553-38-1	PU DEMONDA LICETING INCOME PORT OF	01/02/2020	PRINCIPAL GLOBAL INVESTORS.	2,609.290	29,067	XXX	29,067	29,015	52	0	0	52	0	29,067	0	[D	0	D	XXX
74256#-20-4	PRINCIPAL LIFETIME HYBRID 2020 R6	04 (00 (0000	PRINCIPAL GLOBAL INVESTORS.	1 044 200	00.044	XXX	20.01	ا من مما		ام	_	24		20 044	_		^		xxx
/4200m-2U-4	PRINCIPAL LIFETIME HYBRID 2030 R6		PRINCIPAL GLUBAL INVESTURS.	1,911.020	22,244		22,244	22,149	96			96		22,244	u	0	υ	υ	^^^
742561-40-2	EMD	01/02/2020	PRINCIPAL GLOBAL INVESTORS	1.841.370	22,373	XXX	22,373	22,244	129	۸	٥	129	n	22,373	n	n	n	n	XXX
1 -25011-40-2	PRINCIPAL LIFETIME HYBRID 2040 R6		I I MIND I RE GEODRE INTESTURS.	1,041,370	1				129			129				u			
74256W-60-0	FUND	01/02/2020	PRINCIPAL GLOBAL INVESTORS	2,780.680	35.037	XXX	35,037	34,842	195	ا م	n	195	٥	35.037	0	3	0	0	XXX
	PRINCIPAL LIFETINE HYBRID INC RG	Į.]																
000000-00-0	FUND	01/02/2020	PRINCIPAL GLOBAL INVESTORS	1,901,260	20,496	XXX	20,496	20 . 438	57	0	0	57	0	20,496	0	0	0	0	XXX
	omnon Stocks - Mutual Funds				279.318	XXX	279.318	277.766	1,552	0	0	1,552	0	279.318	0	0	0	0	XXX
	- Unit Investment Trusts													***************************************					
	- Closed-End Funds									,.,									
	ommon Stocks - Subtotals - Common Stor				279.318	XXX	279.318	277.766	1,552	0	0	1,552	0	279.318	0	0	0	0	XXX
9799999 - Co	ommon Stocks - Subtotals - Common Stor	cks			279.318	XXX	279.318	277.766	1,552	0	0	1,552	0	279.318	0	0	0	0	XXX
9899999 - Co	xmmon Stocks - Subtotals - Preferred a	and Common Stock	is		279.318	XXX	279.318	277.766	1,552	0	0	1,552	0	279.318	0	0	0	0	XXX
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1,552

54,376

271.441 XXX

*************************************					Showing :	all Long-Term E	Bonds and Sto	ocks ACQUIRED I	During Year an	<u>d Fully DIS</u> POS									
1	2	3 4	5	6	7	8	9	10	11		Change in	Book/Adjusted C	arrying Value		17	18	19	20	21
]				1	1	1		'-		12	13	14	15	16	1	ŀ			1
1		F			1					,				-					
1		o l		1	1									1					
		f		ŀ		Par Value		{	Book/			Current Year's		į					Paid for
		e				(Bonds) or			Adjusted	Unrealized		Other Than	Total Change	Total Foreign	Foreign			Interest and	Accrued
1		i				Number of]	Carrying	Valuation	Current Year's	Temporary	In	Exchange	Exchange	Realized Gain	Total	Dividends	Interest
CUSIP		g Date		Disposal		Shares		[Value at	Increase/	(Amortization)/	Impairment	B./A. C.V.	Change in	Gain (Loss) on		Gain (Loss)	Received	and
Identification	Description	n Acquired	Name of Vendor	Date	Name of Purchaser	(Stocks)	Actual Cost	Consideration	Disposal	(Decrease)	Accretion	Recognized	(12 + 13 - 14)	B./A. C.V.	Disposal	Disposal	on Disposal	During Year	Dividends
Bonds - U.S.	Governments			<u></u>			1			1 (= ====		1	1 (1- 1-2	.1		<u> </u>			
Bonds - All O	ther Governments																		
	States, Territories and Possession	s (Direct and Gr	uaranteed)			****													
	Political Subdivisions of States, To			ranteed)									,						
	Special Revenue and Special Ass				e and Authorities of Cove	somenic and T	hair Dalitian S	Subdivisions											
	strial and Miscellaneous (Unaffiliat		Harr-Subranteet Ouligatio	ere or Adelicie	and Addioning of Other	zinisteritə aitü 1	ron runusal s	anonivisioi is											
DOINGS * INGG	onia; and misconditions (Otialilliat	eu/	r	1	KEYBANC CAPITAL WARKETS		1				r	T	т	1	1	1	I		1
009158-BC-9	AIR PRODUCTS AND CHEMICALS INC	04/28/2020	JP WORGAN SECURITIES INC	.07/14/2020		.85,000	85,472	90,409	85,463	n	/01	م ا	(9)	_ n	l .	4.946	4.946	368	1
1					SUSQUEHANNA FINANCIAL		J]		7	(3)						l
	FIRSTENERGY CORP	02/18/2020	MORGAN STANLEY & CO LLC	08/11/2020	GROUP LLP	100.000	99,929	102.502	99,932	o	L 3	L 0	3		0	2,570	2,570	1,273	
	HEALTHCARE REALTY TRUST INC		US BANK N.A.	08/18/2020	GOLDWAN SACHS & CO. INC.	40.000	39,866	40,681	39,871	Į	5	Ö	5	0	0	810	810	405	1
427866-8E-7	HERSHEY CO		CITIBANK, N.A.		MIZUMO SECURITIES	30,000	29,931	30,992	29,932	0	1	0	11	0	0	1,060	1,060	60	
617668-AZ+9	MSBAN 2016-C31 A5 - CMBS	01/22/2020	MERRILL LYNCH FIXED INCOME.	08/19/2020	PERFORMANCE TRUST CAP	250.000	262.715	272.969	261.677	0	(1,038)	ļū	(1,038)	0	0	11,291	11,291	4,955	
654106-AJ-2	PIONEER NATURAL RESOURCES CO.		MERRILL LYNCH FIXED INCOME. CITIBANK. N.A.	06/19/2020	MITSUBISHI UFJ SECURITIES	35,000	34,995	38,402	34,996	ļ	1	ļ0	0	0	0	3,406	3,406	230 574	17
	PROCTER & GAVBLE CO		CITIBANK, N.A.	.05/07/2020	Call @ 100.00	40,000 90,000	44,336 89,857	42,473 96,815		۱۷	(159)	۱	(159)	บ	ļ	(1,704)	(1,704) 127	8.125	
	Bonds - Industrial and Miscellaneo				Cail & 100.00	670.000						<u>u</u>			0		22.507	15,990	
		us (Unaillialeu)				670.000	687.101	715.242	685.921		(1,180)	0	(1,180)	l U	U	22,307	22,501	10,580	01
Bonds - Hybri																			
	nt, Subsidiaries, and Affiliates																		
	Identified Funds																		
	otals - Unaffiliated Bank Loans				· · · · · · · · · · · · · · · · · · ·											.,			
	Bonds - Subtotals - Bonds					670.000	687,101	715.242	685.921	0	(1,180)	0	(1,180)	0	0	22,507	22,507	15,990	67
	cks - Industrial and Miscellaneous								_										
	cks - Industrial and Miscellaneous																		
Preferred Sto	cks - Parent, Subsidiaries and Affi	liates Perpetual	Preferred																
Preferred Sto	cks - Parent, Subsidiaries and Affi	lates Redeemat	ole Preferred				***************************************												
Common Stor	cks - Industrial and Miscellaneous	(Unaffiliated) Pu	iblicly Traded																
	cks - industrial and Miscellaneous																		****
	cks - Parent, Subsidiaries, and Affi						***************************************												
	cks - Parent, Subsidiaries and Affil		10000																
	cks - Mulual Funds	IDIOS ORIGI						• • • • • • • • • • • • • • • • • • • •											
	cks - Witter Fullus cks - Unit Investment Trusts									••••									
	cks - Closed-End Funds																		
Common Stor	UKS - CIOSEO-ENG PUNGS						Т	T		Ţ	T	·	1	·	T	1	1		1
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<u> </u>															ļ	<u> </u>			
9999999 To	stals						687.101	715.242	685.921	0	(1,180)	0	(1,180)	0) 0	22,507	22,507	15,990	67

SCHEDULE D - PART 6 - SECTION 1

1	2	3	4	5	6	7	8	9	10	Stock of	
						Do				Company O	
		į l		ļ		Insurer's				Insurer on Stat	
						Assets	ŀ			11	12
						Include					
						Intangible					
						Assets					
						Connected					
						with					
	Description					Holding of	Total Amount	Book /		Nt	
	Name of Subsidiary,		NAIC		NAIC	Such	of Such	Adjusted	B.1	Number	0/-5
CUSIP	Controlled or Affiliated		Company	10.11	Valuation	Company's		Carrying	Nonadmitted	of	% of
entification		Foreign	Code	ID Number	Method	Stock?	Assets	Value	Amount	Shares	Outstandin
	ocks – Parent ocks – U.S. Property & Casua	ity incur	n.								
	ocks - U.S. Life Insurer	ity msure	51								•
	ocks - U.S. Health Entity										
	ocks - Alien Insurer										
	ocks - Non-Insurer Which Con	trols insu	urer	······						***************************************	
referred Sto	ocks - Investment Subsidiary										
referred Sto	ocks - Other Affiliates										
ommon Stocks								·····			
	s – U.S. Property & Casualty	insurer									
	s - U.S. Life Insurer										
	s - U.S. Health Entity				***************************************						
	s – Alien Insurer s – Non-Insurer Which Contro	To Incure									
	s - Non-insurer which contro s - Investment Subsidiary	is insure	<u> </u>			·					
	s - Other Affiliates							•			
	Veratrus Health, Inc.	1	1		8bi	I. No		3,870,960	2,537,086	10,000,000	100.
	common Stocks - Other Affilia	ates	l:				0	3,870,960	2,537,086	XXX	XXX
	Common Stocks - Subtotals - (ocks				0	3,870,960	2,537,086	XXX	XXX

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				-		-					-}
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		-	l		<u> </u>						
	1	1									
	otals - Preferred and Com	1			<u> </u>		0	3,870,960	2,537,086	XXX	XXX

SCHEDULE D - PART 6 - SECTION 2

1	2	3	4 Total Amount of Intangible Assets	Stock in Lower-Tie Owned Indirectly by Statement D	Insurer on
CUSIP Identification	Name of Lower-Tier Company	Name of Company Listed in Section 1 Which Controls Lower-Tier Company	Included in Amount Shown in Column 8, Section 1	5 Number of Shares	6 % of Outstanding
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0399999 Totals - Pref	erred and Common			XXX	XXX

Schedule DA - Part 1

NONE

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part A - Section 2

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part B - Section 2

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DB - Part E

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

SCHEDULE E - PART 1 - CASH

					_				
	Deposit	tory		Code	Rate of Interest	Amount of Interest Received During Year	Amount of Interest Accrued December 31 of Current Year	Balance	*
	OPEN DEPOSITORIES	Dankora Tru	st, Des Moines, IA	1	T	T		58,301,025	
ankers Trust Depository ankers Trust Claims Acc	Account	Bankers Trus	st, des moines, iast. Des Moines, la					(5,545,662)	⊢ I XX
ankers Trust Accounts P	ayable	Bankers Trus	st, Des Moines, IA st, Des Moines, IA					(478,768)	XX
0199998 Deposits in			es that do not exceed the						
allowable l	imit in any one deposite			XXX	XXX			(105,347)	
0199999 Totals - Ope	n Depositories			XXX	XXX	0	0	52,171,247	XX
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0399999 Total Cash o	n Deposit			ХХХ	XXX	0	0	52,171,247	X
0499999 Cash in Con	npany's Office			XXX	XXX	XXX	XXX	50	X
0599999 Total Cash				XXX	XXX	0	0	52,171,297	χ
1	TOTALS OF	DEPOSITORY	BALANCES ON THE LAS	T DAY OF E	ACH MONT	TH DURING THE CU	RRENT YEAR		
January February	29,894,686 4.	April	39 , 087 , 633	7. July		43,346,864	10. October	47 , 162 , 4	407
. repruary 1	32,389,584 5.	May	47,531,831	8. August		45,655,378	11. November	51,453,4	405
. March	33,822,483 6.		46,382,647	9. Septemb			12. December	52,171,2	

SCHEDULE E - PART 2 - CASH EQUIVALENTS

		Show	Investments Owned	December 31 of Curren	t Year			
1	2	3	4	5	6	7	8	9
			Date	Rate of	Maturity	Book/Adjusted	Amount of Interest	Amount Received
CUSIP	Description	Code	Acquired	Interest	Date	Carrying Value	Due & Accrued	During Year
nds - U.S. Governments - Issu					······			
<u>nds - U.S. Governments - Resi</u>	dential Mortgage-Backed Securities							
nds - U.S. Governments - Comm	ercial Hortgage-Backed Securities							
ands - U.S. Governments - Othe	r Loan-Backed and Structured Securities							
onds - All Other Governments -	Issuer Obligations							
onds - Ali Other Governments -	Residential Mortgage-Backed Securities							
onds - All Other Governments -	Commercial Mortgage-Backed Securities							
onds - All Other Governments -	Other Loan-Backed and Structured Securities							
onds - U.S. States, Territorie	s and Possessions (Direct and Guaranteed) - Issuer Obligations							
onds - U.S. States, Territorie	s and Possessions (Direct and Guaranteed) - Residential Mortgage-t	Backed Securities						
ondsS. States, Territories	and Possessions (Direct and Guaranteed) - Commercial Mortgage-Bao	cked Securities						
onds - U.S. States, Territorie	s and Possessions (Direct and Guaranteed) - Other Loan-Backed and	Structured Securities						
onds - U.S. Political Subdivis	ions of States, Territories and Possessions (Direct and Guaranteed	d) - Issuer Obligations						
<u>ənds - U.S. Political Subdivis</u>	ions of Stales, Territories and Possessions (Direct and Guaranteed	d) – Residential Mortgage-Backed	Securities					
onds - U.S. Political Subdivis	ions of States, Territories and Possessions (Direct and Guaranteed	d) - Commercial Mortgage-Backed S	Gecurities					
onds - U.S. Political Subdivis	ions of States. Territories and Possessions (Direct and Guaranteen	d) - Other Loan-Backed and Struct	ured Securities		***************************************			
onds - U.S. Special Revenue an	d Special Assessment Obligations and all Non-Guaranteed Obligation	ns of Agencies and Authorities of	Governments and Their	Political Subdivisions -	Issuer Obligations			
onds - U.S. Special Revenue an	d Special Assessment Obligations and all Non-Guaranteed Obligation	as of Agencies and Authorities of	Governments and Their	Political Subdivisions - F	Residential Mortgage-Rag	cked Securities		
.S. Special Revenue and Specia	l Assessment Obligations and all Non-Guaranteed Obligations of Age	encies and Authorities of Governs	ents and Their Politics	d Subdivisions - Commercis	I Martagag-Racked Secu	rities		
onds - U.S. Special Revenue an	d Special Assessment Obligations and all Non-Guaranteed Obligation	ns of Agencies and Authorities of	Governments and Their	Political Subdivisions - 0	ther Loan-Backed and S	tructured Securities		
onds - Industrial and Miscella	deous - Essuer Oblinations							
onds - Industrial and Miscella	neous (Unaffiliated) - Residential Mortgage-Backed Securities							
onds - Industrial and Miscella	neous (Unaffiliated) - Commercial Mortgage-Backed Securities							
onds - Industrial and Miscella	neous (Unaffiliated) - Other Loan-Backed and Structured Securities	3						
londs – Hybrid Securities – Iss						· · · · · · · · · · · · · · · · · · ·		
onds - Hybrid Securities - Res	idential Mortgage-Backed Securities							
onds - Hybrid Securities - Com	mercial Mortgage-Backed Securities							
onds – Hybrid Securities – Oth	er Loan-Backed and Structured Securities							
onds - Parent, Subsidiaries an	d Affiliates Bonds - Issuer Obligations							
onds - Parent, Subsidiaries an	d Affiliates Bonds - Residential Mortgage-Backed Securities							
onds - Parent, Subsidiaries an	d Affiliates Bonds - Commercial Mortgage-Backed Securities			, , , , , , , , , , , , , , , , , , , ,				
onds - Parent, Subsidiaries an	d Affiliales Bonds - Other Loan-Backed and Structured Securities							
onds - Parent, Subsidiaries an	d Affiliates Bonds - Affiliated Bank Loans - Issued							
onds - Parent, Subsidiaries an	d Affiliates Bonds - Affiliated Bank Loans - Acquired							
onds - SVO Identified Funds - I	Exchange Traded Funds —as Identified by the SVO							
onds - SVO Identified Funds - I	Bond Mutual Funds - as Identified by the SVO							
onds - Unaffiliated Bank Loans	- Unaffiliated Bank Loans - Issued							
	- Unaffiliated Bank Loans - Acquired							
eep Accounts								
empt Money Market Mutual Fund:	s - as Identified by SVO							
l Other Money Market Mujual Fi	unds							
141W-27-3 GOLDMAN:FS	GOVT INST		12/31/2020	0.0	30 L XXX L	636,076	11	
	REAS MONEY HIKT INV FD		12/31/2020	0.0	D5 XXX	283,627		
	ket Mutual Funds				νν	919.703		***************************************
ODDODDO - NII OTHER MORES MAI						919,703	. L	
her Cash Equivalents 8899999 Total Cash Equivalents	onts					919.703	T 11	

			o Designation Category Fo											
1A	\$ 0	1B	\$ 0	1C	\$ 0	1D	\$ 0	1E	\$ 0	1F	\$ 0	1G	9	0
2A	\$ 0	2B	\$ 0	2C	\$ 0								-	
3A	\$ 0	3B	\$ 0	3C	\$ 0									
4A	\$ 0	4B	\$ 0	4C	\$ 0									
5A	\$ 0	5B	\$ 0	5C	\$ 0									
6	\$ 0													

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

		1	2	Deposits the Benefit of All F		All Other Special Deposits		
States ata		Type of	Purpose of	3 Book/Adjusted	4 Fair	5 6 Book/Adjusted Fair		
States, etc.	As	Deposits	Deposits	Carrying Value	Value	Carrying Value	Value	
2, Alaska		,						
3. Arizona								
4. Arkansas								
5. California								
6. Colorado								
7. Connecticut	СТ	X						
8. Delaware	DE							
9. District of Columbia	DC							
10. Florida	FL							
11. Georgia	GA		***************************************					
12. Hawaii	HI							
13. Idaho								
14. Illinois			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
15. Indiana								
16. lowa			••••••					
17. Kansas								
18. Kentucky						·····		
20. Maine								
21. Maryland								
22. Massachusetts			***************************************					
23. Michigan								
24. Minnesota					***************************************			
25. Mississippi								
26. Missouri					***************************************			
27. Montana								
28. Nebraska								
29. Nevada	NV							
30. New Hampshire	NH							
31. New Jersey	NJ							
32. New Mexico	NM							
33. New York	NY							
34. North Carolina	NC							
35. North Dakota	ND							
36. Ohio	OH							
37. Oklahoma	OK							
38. Oregon								
39. Pennsylvania								
40. Rhode Island	RI							
41. South Carolina			,					
42. South Dakota								
43. Tennessee								
44. Texas		·····						
45. Utah						·		
46. Vermont								
47. Virginia		······						
49. West Virginia								
50. Wisconsin								
51, Wyoming								
52. American Samoa			***************************************					
53. Guam			***************************************					
54. Puerto Rico								
55. US Virgin Islands								
56. Northern Mariana Island								
57. Canada								
58. Aggregate Alien and Ot		XXX	XXX	0	0			
59. Total		XXX	XXX	0	0	0		
ILS OF WRITE-INS			***************************************			<u>*</u> -	******	
1,								
2.	į							
3.								
Sum of remaining write	e-ins for Line	VVV	1/5/07			····	***************************************	
58 from overflow page 5. Totals (Lines 5801 - 58	803 + 58981	ХХХ	XXX	0	0	0		
(Line 58 above)		XXX	XXX	0	0	0		



Vec [Y 1 No [1

SUPPLEMENTAL COMPENSATION EXHIBIT

For the Year Ended December 31, 2020

(To Be Filed by March 1)
PART 1 - INTERROGATORIES

If yes, do the amounts below represent 1) total gross compensation paid to each individual by or on behalf of all companies that are part of the group: or 2) allocation to each insurer: Yes [].		χĵ		10	Į.]
Did any person while an officer, director, or trustee of the reporting entity, receive directly or indirectly, during the period covered by this statement any commission on the business transactions of the reporting entity?	Yes	[X] 1	Vo	[]
Except for retirement plans generally applicable to its staff employees, has the reporting entity any agreement with any person, other than contracts with its agents for the payment of commissions whereby it agrees that for any service rendered or to be rendered, that he/she shall receive directly or indirectly, any salary, compensation or emolument that will extend beyond a period of 12 months from the date of the agreement?	Yes	-] 1	Vo	[X	1

PART 2 - OFFICERS AND EMPLOYEES COMPENSATION

	1	2	3	4	5	6	7	8	9	10
	Name and Principal Position	Year	Salary	Bonus	Stock Awards	Option Awards	Sign-on Payments	Severance Payments	All Other Compensation	Totals
Cur								······································	1	
1.	Jeffrey S Russell, President &									
	Chief Executive Officer	2020	571,507	270,421					324,749	1,166,677
		2019	529,200	215,668	.,	<u></u>			157,724	902,591
		2018	505,077	145,338					142,918	793,333
Cun	rent:			•						
2.	Jeffrey G Chaffin, DDS, Chief						l			
	Dental Officer	2020	253,606	105,520					164,844	523,970
		2019	240,914	68,502					90,053	399,469
	1	2018	224,598	51,784					51,753	328,135
3.	Todd Herren, Senior Vice President									
	Techno logy	2020	275,787	113,045		ļ			127,271	516,103
	1	2019	258,094	74,902					41,852	374,848
	1	2018	245,579	56,544					4,723	306,846
4.	Elizabeth Myers, Vice President									
	Operations.	2020	234,803	98,363	***************************************				131,532	464,698
	1	2019	224,573	64 , 858					9,290	298,720
	.1	2018	212,649	49,377					5,507	267,533
5.	April Schmaltz, Senior Vice	0000	950 400	404 004						
	President of Marketing and Sales	2020	258,186	101,664					100,838	460,688
	1	2019	232,109	65,906					32,373	330,388
_	Sherry M. Perkins, Vice President	2018	216,086	48,252					2,830	267,168
6.	Finance & Controller	2020	214,501					ļ	400.000	440.050
	rmance a controller	2019	208,040				,,		106,636	412,258
	,	2018	199,209	47.093	•••••				38,785	307 ,583
7.	Michael Elam, Vice President of	2010	199,209	47,083					13,149	259,451
1.	Underwriting and Actuarial	2020	180,890	77.192					149,795	407,877
	Shaci is it ing and horder for	2019		52,461					37.819	266 517
	1	2018	172,004	41,321					128.931	342,256
8.	Suzanne B. Heckenlaible, Vice		172,004	71,041					120,331	342,230
٥.	President Public Affairs	2020	200.051						93,904	379,979
		2019	196,402	57,510				1	30,643	284,555
		2018	188,556	43.926				1	16,611	249,093
9.	Gretchen Hageman, Vice President		100,000	10,020					10,011	243,033
٠.	of Government Programs	2020	165.088	32.240					9.748	207.076
		2019	147.214	26, 127			1	<u> </u>	5,296	178,637
		2018	142 770	20.691	erania. Praktoria	1,54			1.984	165.445
10.	Ranae Calvert, Director, Strategic						 		1,1304	,· ,
	Business Solutions	2020	161,812	35, 134					3.960	200,906
	I	2019	160,429	28,231					3,654	192,315
	1	2018	154,270	21,762			I		6,853	182,885

PART 3 - DIRECTOR COMPENSATION

1	ļ	Paid or Deferred for	6	7		
Name and Principal Position or Occupation and Company	2 Direct	3 Stock	4 Option	5	All Other Compensation	
(if Outside Director)	Compensation	Awards	Awards	Other	Paid or Deferred	Totals
Ed Brown, Director	29,600					29.60
Rowena Crosbie, Director	20,300					20, 20,
John Maletta, DDS, Director	23,200					22.20
Thomas Mahoney, Director	18.500					10 60
Krista Tanner, Director	19.500					40 50
Donna Grant Paulsen, Director	18.500					40 EO
Gregory Dunn, Director	20.000			1		າດົດດ
Scott Yegge DDS	44 400					44 40
William Wever DDS	11,500			3 500		14 00
				2,000		14,0

PART 4 - NARRATIVE DESCRIPTION OF MATERIAL FACTORS

Provide a narrative description of any material factors necessary to gain an understanding of the information disclosed in the tables.

The employees included in Part 2 above include officers and other highly compensated individuals. Compensation for employees is reviewed on a regular basis by an independent third party. This review analyzes data for comparable positions in similar organizations. The results and compensation are approved by the Board of Directors. The amounts in the Bonus column reflect bonus paid under the Company's incentive compensation plan. A bonus is paid to all employees if the Company meets a range of goal accomplishments for the year. The amounts in the All Other Compensation column include deferred compensation payments, sales incentive payments, and other miscellaneous payments. The amounts in Part 3 are paid to the Company's Board of Directors. Direct Compensation includes amounts paid to directors for attendance at meetings and quarterly compensation payments. The amount shown in Other in Part 3 is for recognition of service paid when a Board member's service ends.