



# HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022  
OF THE CONDITION AND AFFAIRS OF THE

## Wellmark Health Plan of Iowa, Inc.

NAIC Group Code 0770 0770 NAIC Company Code 95531 Employer's ID Number 42-1455449  
(Current) (Prior)

Organized under the Laws of Iowa, State of Domicile or Port of Entry IA

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 03/13/1996 Commenced Business 01/01/1997

Statutory Home Office 1331 Grand Avenue, Des Moines, IA, US 50309-2901  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1331 Grand Avenue  
(Street and Number)  
Des Moines, IA, US 50309-2901, 515-376-4500  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1331 Grand Avenue, Des Moines, IA, US 50309-2901  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1331 Grand Avenue  
(Street and Number)  
Des Moines, IA, US 50309-2901, 515-376-4500  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.wellmark.com

Statutory Statement Contact Ashley Ariel Arellano, 515-376-6307  
(Name) (Area Code) (Telephone Number)  
arellanoaa@wellmark.com, 515-376-9054  
(E-mail Address) (FAX Number)

### OFFICERS

President, CEO & Chairman Cory Randall Harris EVP, CFO & Treasurer David Seth Brown  
Secretary Scott Andrew Sundstrom

### OTHER

John Thomas Clendenin

### DIRECTORS OR TRUSTEES

Ron Joseph Corbett Cory Randall Harris-Chairman Douglas Dwight Laird

State of Iowa SS:  
County of Polk

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Cory Randall Harris  
President, CEO & Chairman

Scott Andrew Sundstrom  
Secretary

David Seth Brown  
EVP, CFO & Treasurer

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed.....  
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	185,675,416	0	185,675,416	181,746,052
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	81,774,897	0	81,774,897	108,167,234
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ .....0 encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ .....0 encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ .....0 encumbrances) .....	0	0	0	0
5. Cash (\$ .....2,369 ), cash equivalents (\$ .....7,001,660 ) and short-term investments (\$ .....1,809,189 ) .....	8,813,218	0	8,813,218	6,820,423
6. Contract loans (including \$ .....0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	7,996,124	0	7,996,124	8,459,551
9. Receivables for securities .....	493,790	0	493,790	168,607
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	284,753,445	0	284,753,445	305,361,867
13. Title plants less \$ .....0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	1,696,659	0	1,696,659	1,501,131
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	733,809	0	733,809	685,347
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....0 earned but unbilled premiums) .....	0	0	0	0
15.3 Accrued retrospective premiums (\$ .....0 ) and contracts subject to redetermination (\$ .....372,807 ) .....	372,807	0	372,807	373,000
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	0	0	0	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	57,468,750	8,700,000	48,768,750	53,686,000
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	0
18.2 Net deferred tax asset .....	5,198,000	0	5,198,000	0
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ .....0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	169,023,341	0	169,023,341	63,962,242
24. Health care (\$ .....25,054,608 ) and other amounts receivable .....	32,050,721	6,996,113	25,054,608	21,864,039
25. Aggregate write-ins for other than invested assets .....	6,260,999	6,260,999	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	557,558,531	21,957,112	535,601,419	447,433,626
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27)	557,558,531	21,957,112	535,601,419	447,433,626
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expenses .....	6,260,999	6,260,999	0	0
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	6,260,999	6,260,999	0	0

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ .....0 reinsurance ceded) .....	67,757,000	0	67,757,000	63,740,000
2. Accrued medical incentive pool and bonus amounts .....	2,850,000	0	2,850,000	6,090,912
3. Unpaid claims adjustment expenses .....	565,042	0	565,042	518,257
4. Aggregate health policy reserves, including the liability of \$ .....4,000,000 for medical loss ratio rebate per the Public Health Service Act .....	31,928,502	0	31,928,502	39,226,895
5. Aggregate life policy reserves .....	0	0	0	0
6. Property/casualty unearned premium reserve .....	0	0	0	0
7. Aggregate health claim reserves .....	0	0	0	0
8. Premiums received in advance .....	21,273,200	0	21,273,200	17,633,598
9. General expenses due or accrued .....	1,702,974	0	1,702,974	3,661,749
10.1 Current federal and foreign income tax payable and interest thereon (including \$ .....1,163,000 on realized gains (losses)) .....	33,957,429	0	33,957,429	11,766,429
10.2 Net deferred tax liability .....	0	0	0	2,358,000
11. Ceded reinsurance premiums payable .....	0	0	0	0
12. Amounts withheld or retained for the account of others .....	0	0	0	0
13. Remittances and items not allocated .....	0	0	0	0
14. Borrowed money (including \$ .....0 current) and interest thereon \$ .....0 (including \$ .....0 current) .....	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates .....	0	0	0	0
16. Derivatives .....	0	0	0	0
17. Payable for securities .....	3,959,948	0	3,959,948	377,085
18. Payable for securities lending .....	0	0	0	0
19. Funds held under reinsurance treaties (with \$ .....0 authorized reinsurers, \$ .....0 unauthorized reinsurers and \$ .....0 certified reinsurers) .....	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ .....0 ) companies .....	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....	0	0	0	0
22. Liability for amounts held under uninsured plans .....	46,032,677	0	46,032,677	32,539,892
23. Aggregate write-ins for other liabilities (including \$ .....477,632 current) .....	2,297,632	0	2,297,632	1,920,000
24. Total liabilities (Lines 1 to 23) .....	212,324,404	0	212,324,404	179,832,817
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	0
26. Common capital stock .....	XXX	XXX	24,000,000	24,000,000
27. Preferred capital stock .....	XXX	XXX	0	0
28. Gross paid in and contributed surplus .....	XXX	XXX	0	0
29. Surplus notes .....	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	299,277,015	243,600,809
32. Less treasury stock, at cost:				
32.1 .....0 shares common (value included in Line 26 \$ .....0 ) .....	XXX	XXX	0	0
32.2 .....0 shares preferred (value included in Line 27 \$ .....0 ) .....	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	323,277,015	267,600,809
34. Total liabilities, capital and surplus (Lines 24 and 33) .....	XXX	XXX	535,601,419	447,433,626
<b>DETAILS OF WRITE-INS</b>				
2301. Health Assessments .....	2,297,632	0	2,297,632	1,920,000
2302. ....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) .....	2,297,632	0	2,297,632	1,920,000
2501. ....	XXX	XXX		
2502. ....	XXX	XXX		
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	XXX	XXX	0	0
3001. ....	XXX	XXX		
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) .....	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	1,361,322	1,243,563	1,682,118
2. Net premium income ( including \$ 0 non-health premium income)	XXX	679,527,704	575,454,090	779,923,090
3. Change in unearned premium reserves and reserve for rate credits	XXX	36,643	9,071,790	5,259,478
4. Fee-for-service (net of \$ 0 medical expenses)	XXX	0	0	
5. Risk revenue	XXX	0	0	
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	679,564,347	584,525,880	785,182,568
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits	0	287,978,261	261,972,439	375,117,049
10. Other professional services	0	57,180,991	47,955,582	70,375,081
11. Outside referrals	0	28,172,209	19,759,228	27,912,253
12. Emergency room and out-of-area	0	17,175,333	14,485,535	21,217,250
13. Prescription drugs	0	99,154,940	90,090,025	128,322,187
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	5,866,052	4,572,548	7,063,460
16. Subtotal (Lines 9 to 15)	0	495,527,786	438,835,357	630,007,280
<b>Less:</b>				
17. Net reinsurance recoveries	0	0	0	
18. Total hospital and medical (Lines 16 minus 17)	0	495,527,786	438,835,357	630,007,280
19. Non-health claims (net)	0	0	0	
20. Claims adjustment expenses, including \$ 4,126,445 cost containment expenses	0	13,981,327	13,014,343	19,531,031
21. General administrative expenses	0	68,038,388	69,937,501	93,479,354
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only)	0	0	0	
23. Total underwriting deductions (Lines 18 through 22)	0	577,547,501	521,787,201	743,017,665
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	102,016,846	62,738,679	42,164,903
25. Net investment income earned	0	6,148,122	5,425,932	7,261,435
26. Net realized capital gains (losses) less capital gains tax of \$ (63,000)	0	(13,433)	4,141,218	4,597,280
27. Net investment gains (losses) (Lines 25 plus 26)	0	6,134,689	9,567,150	11,858,715
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 0 ) (amount charged off \$ 0 )]	0	0	0	
29. Aggregate write-ins for other income or expenses	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	108,151,535	72,305,829	54,023,618
31. Federal and foreign income taxes incurred	XXX	22,254,000	13,937,000	10,499,000
32. Net income (loss) (Lines 30 minus 31)	XXX	85,897,535	58,368,829	43,524,618
<b>DETAILS OF WRITE-INS</b>				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.				
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	267,600,809	211,411,977	211,411,977
34. Net income or (loss) from Line 32.....	85,897,535	58,368,829	43,524,618
35. Change in valuation basis of aggregate policy and claim reserves.....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ (6,315,000).....	(21,844,722)	4,826,739	9,030,460
37. Change in net unrealized foreign exchange capital gain or (loss).....	(1,911,826)	(891,697)	(1,085,616)
38. Change in net deferred income tax.....	1,241,000	(2,325,000)	(884,000)
39. Change in nonadmitted assets.....	(7,705,781)	9,747,416	5,603,370
40. Change in unauthorized and certified reinsurance.....	0		
41. Change in treasury stock.....	0		
42. Change in surplus notes.....	0		
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in.....	0	0	
44.2 Transferred from surplus (Stock Dividend).....	0		
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in.....	0		
45.2 Transferred to capital (Stock Dividend).....	0	0	0
45.3 Transferred from capital.....	0	0	0
46. Dividends to stockholders.....	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	55,676,206	69,726,287	56,188,832
49. Capital and surplus end of reporting period (Line 33 plus 48)	323,277,015	281,138,264	267,600,809
<b>DETAILS OF WRITE-INS</b>			
4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	675,857,287	583,882,282	799,614,749
2. Net investment income .....	7,158,143	6,631,733	8,944,354
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	683,015,430	590,514,015	808,559,103
5. Benefit and loss related payments .....	502,109,467	428,427,774	619,525,720
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	70,061,060	70,491,878	108,765,080
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... 0 tax on capital gains (losses) .....	0	0	17,052,891
10. Total (Lines 5 through 9) .....	572,170,527	498,919,652	745,343,691
11. Net cash from operations (Line 4 minus Line 10) .....	110,844,903	91,594,363	63,215,412
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	44,675,850	48,543,534	63,835,991
12.2 Stocks .....	10,648,737	13,783,708	17,416,399
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	(275)	73	62
12.7 Miscellaneous proceeds .....	3,582,863	354,012	238,750
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	58,907,175	62,681,327	81,491,202
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	51,251,272	61,354,871	75,888,401
13.2 Stocks .....	12,487,723	9,303,010	10,928,138
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	360,608	524,776	1,907,261
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	64,099,603	71,182,657	88,723,800
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(5,192,428)	(8,501,330)	(7,232,598)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(103,659,680)	(85,142,532)	(56,922,226)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(103,659,680)	(85,142,532)	(56,922,226)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	1,992,795	(2,049,499)	(939,412)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	6,820,423	7,759,835	7,759,835
19.2 End of period (Line 18 plus Line 19.1) .....	8,813,218	5,710,336	6,820,423

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year .....	146,392	41,313	105,079	0	0	0	0	0	0	0
2. First Quarter .....	150,235	44,642	105,593	0	0	0	0	0	0	0
3. Second Quarter .....	150,873	45,187	105,686	0	0	0	0	0	0	0
4. Third Quarter .....	153,281	45,770	107,511	0	0	0	0	0	0	0
5. Current Year	0									
6. Current Year Member Months	1,361,322	405,955	955,367							
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician .....	487,804	155,186	332,618							
8. Non-Physician .....	436,229	115,955	320,274							
9. Total	924,033	271,141	652,892	0	0	0	0	0	0	0
10. Hospital Patient Days Incurred	18,984	6,858	12,126							
11. Number of Inpatient Admissions	4,744	1,577	3,167							
12. Health Premiums Written (a) .....	679,527,704	247,870,442	431,657,262							
13. Life Premiums Direct .....	0									
14. Property/Casualty Premiums Written .....	0									
15. Health Premiums Earned .....	679,564,347	247,760,458	431,803,889							
16. Property/Casualty Premiums Earned .....	0									
17. Amount Paid for Provision of Health Care Services.....	502,109,467	168,227,032	333,882,435							
18. Amount Incurred for Provision of Health Care Services	495,527,786	165,279,532	330,248,254							

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ .....0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

**Aging Analysis of Unpaid Claims**

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
<b>Claims Unpaid (Reported)</b>						
0299999 Aggregate accounts not individually listed-uncovered	0	0	0	0	0	0
0399999 Aggregate accounts not individually listed-covered	18,059,004	1,312,607	442,442	69,269	90,783	19,974,105
0499999 Subtotals	18,059,004	1,312,607	442,442	69,269	90,783	19,974,105
0599999 Unreported claims and other claim reserves						47,782,895
0699999 Total amounts withheld						0
0799999 Total claims unpaid						67,757,000
0899999 Accrued medical incentive pool and bonus amounts						2,850,000



## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	55,484,543	437,517,960	398,403	67,358,597	55,882,946	63,740,000
2. Medicare Supplement .....					0	0
3. Dental Only .....					0	0
4. Vision Only .....					0	0
5. Federal Employees Health Benefits Plan .....					0	0
6. Title XVIII - Medicare .....					0	0
7. Title XIX - Medicaid .....					0	0
8. Other health .....					0	0
9. Health subtotal (Lines 1 to 8) .....	55,484,543	437,517,960	398,403	67,358,597	55,882,946	63,740,000
10. Healthcare receivables (a) .....	6,181,616	25,752,641	0	116,464	6,181,616	24,692,952
11. Other non-health .....					0	0
12. Medical incentive pools and bonus amounts .....	5,356,964	3,750,000	0	2,850,000	5,356,964	6,090,912
13. Totals (Lines 9-10+11+12)	54,659,891	415,515,319	398,403	70,092,133	55,058,294	45,137,960

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

## NOTES TO FINANCIAL STATEMENTS

### NOTE 1 Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The financial statements of Wellmark Health Plan of Iowa, Inc. (the Company) have been prepared in conformity with the accounting practices prescribed by the National Association of Insurance Commissioners (NAIC) and the State of Iowa.

The NAIC Accounting Practices and Procedures manual has been adopted as a component of prescribed or permitted practices by the State of Iowa. The Commissioner of Insurance has the right to permit specific practices that deviate from prescribed practices. The Company does not have any permitted practices.

	SSAP #	F/S Page	F/S Line #	2022	2021
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 85,897,535	\$ 43,524,618
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:				\$ -	\$ -
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:				\$ -	\$ -
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 85,897,535	\$ 43,524,618
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 323,277,015	\$ 267,600,809
(6) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:				\$ -	\$ -
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:				\$ -	\$ -
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 323,277,015	\$ 267,600,809

#### B. Use of Estimates in the Preparation of the Financial Statements

No significant changes

#### C. Accounting Policy

##### (1) Basis for Short-Term Investments

No significant changes

##### (2) Basis for Bonds and Amortization Method

No significant changes

##### (3) Basis for Common Stocks

No significant changes

##### (4) Basis for Preferred Stocks

Not applicable

##### (5) Basis for Mortgage Loans

Not applicable

##### (6) Basis for Loan-Backed Securities and Adjustment Methodology

Loan-backed securities that are NAIC designation 1 or 2 are reported at cost adjusted for amortization of premiums and accretion of discounts using the effective interest method. For all securities except for interest only securities or securities where the yield had become negative, the amortization of premiums and accretion of discounts on loan-backed securities is adjusted quarterly using current estimated future cash flows, including any new prepayment assumptions, using the retrospective adjustment method. Interest only securities and securities where the yield had become negative are valued using the prospective method. Loan-backed securities are stated at the lower of amortized cost or fair value if they are NAIC designation 3 through 6.

##### (7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities

Not applicable

##### (8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities

No significant changes

##### (9) Accounting Policies for Derivatives

Not applicable

##### (10) Anticipated Investment Income Used in Premium Deficiency Calculation

No significant changes

##### (11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses

No significant changes

## NOTES TO FINANCIAL STATEMENTS

## (12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period

No significant changes

## (13) Method Used to Estimate Pharmaceutical Rebate Receivables

No significant changes

## D. Going Concern

Management has evaluated the Company's ability to continue as a going concern and has concluded that there are no events or circumstances that raise any doubt about the Company's ability to continue as a going concern.

**NOTE 2 Accounting Changes and Corrections of Errors**

Not applicable

**NOTE 3 Business Combinations and Goodwill**

Not applicable

**NOTE 4 Discontinued Operations**

Not applicable

**NOTE 5 Investments**

## A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable

## B. Debt Restructuring

Not applicable

## C. Reverse Mortgages

Not applicable

## D. Loan-Backed Securities

## (1) Description of Sources Used to Determine Prepayment Assumptions

For fixed-rate agency mortgage-backed securities, prepayment speeds are calculated utilizing Mortgage Industry Advisory Corporation (MIAC) Mortgage Industry Medians (MIMs). MIMs are derived from a semi-monthly dealer-consensus survey of long-term prepayment projections. For other mortgage-backed, loan-backed, and structured securities, prepayment assumptions are utilized from Moody's Analytics. Moody's applies a flat economic credit model and utilizes a vector of multiple monthly speeds as opposed to a single speed for more robust projections. In instances where Moody's projections are not available, data from Refinitiv is used, which utilizes the median prepayment speed from contributors' models.

## (2) Other-Than-Temporary Impairments

There are no loan-backed securities with a current period recognized other-than-temporary impairment.

## (3) Recognized OTTI securities

There are no loan-backed securities with a current period recognized other-than-temporary impairment.

## (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

## a) The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ 3,808,470
2. 12 Months or Longer	\$ 1,289,453

## b) The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 53,012,226
2. 12 Months or Longer	\$ 8,485,662

## (5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

The unrealized losses on the Company's investments in loan-backed securities were due to temporary changes in interest rates and market conditions. The contractual cash flows of the agency mortgage-backed investments are guaranteed by an agency of the U.S. government and the non-agency mortgage-backed and asset-backed securities include collateral which reduce the risk of loss. Based on cash flow projections, the Company believes it will recover the carrying value of these investments. Because the Company does not have the intent to sell these securities, nor is it more likely than not the Company will be required to sell these securities until a recovery of carrying value, which may be maturity, the Company does not consider these investments to be other-than-temporarily impaired.

## E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable

## F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

## NOTES TO FINANCIAL STATEMENTS

---

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

J. Real Estate

Not applicable

K. Low Income Housing Tax Credits (LIHTC)

Not applicable

L. Restricted Assets

Not applicable

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

Not applicable

O. 5GI Securities

Not applicable

P. Short Sales

Not applicable

Q. Prepayment Penalty and Acceleration Fees

Not applicable

R. Reporting Entity's Share of Cash Pool by Asset Type

Not applicable

**NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**NOTE 7 Investment Income**

No significant changes

**NOTE 8 Derivative Instruments**

Not applicable

**NOTE 9 Income Taxes**

No significant changes

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

**NOTE 11 Debt**

Not applicable

**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefits**

Not applicable

**NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

A. Number of Shares and Par or Stated Value of Each Class

No significant changes

## NOTES TO FINANCIAL STATEMENTS

---

B. Dividend Rate, Liquidation Value and Redemption Schedule of Preferred Stock Issues

Not applicable

C. Dividend Restrictions

Without prior approval of its domiciliary commissioner, dividends to shareholders are limited to the greater of ten percent of surplus at December 31 of the prior year or net income for the twelve month period ending December 31 of the prior year.

D. Dates and Amounts of Dividends Paid

No dividends paid in the current year.

E. Profits that may be Paid as Ordinary Dividends to Stockholders

Within the limitations of (C) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

F. Restrictions Placed on Unassigned Funds (Surplus)

Not applicable

G. Amount of Advances to Surplus not Repaid

Not applicable

H. Amount of Stock Held for Special Purposes

Not applicable

I. Reasons for Changes in Balance of Special Surplus Funds from Prior Period

Not applicable

J. The Portion of Unassigned Funds (Surplus) Represented or Reduced by Unrealized Gains and Losses is:

\$ 14,741,198

K. The Reporting Entity Issued the Following Surplus Debentures or Similar Obligations

Not applicable

L. The Impact of any Restatement Due to Prior Quasi-Reorganizations

Not applicable

M. Effective Date of Quasi-Reorganization for a Period of Ten Years Following Reorganization

Not applicable

**NOTE 14 Liabilities, Contingencies and Assessments**

No significant changes

**NOTE 15 Leases**

Not applicable

**NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

Not applicable

**NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

Not applicable

**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No significant changes

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable

## NOTES TO FINANCIAL STATEMENTS

### NOTE 20 Fair Value Measurements

#### A. Fair Value Measurements

##### (1) Fair Value Measurements at Reporting Date

Description for Each Class of Asset or Liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
<b>a. Assets at Fair Value</b>					
Cash Equivalents: Exempt MM Mutual Funds	\$ 7,001,660	\$ -	\$ -	\$ -	\$ 7,001,660
Bonds: Industrial & Miscellaneous	\$ -	\$ 8,521,252	\$ -	\$ -	\$ 8,521,252
Common Stock: Industrial & Miscellaneous	\$ 62,627,259	\$ -	\$ -	\$ -	\$ 62,627,259
Common Stock: Mutual Funds	\$ 19,147,638	\$ -	\$ -	\$ -	\$ 19,147,638
<b>Total Assets at Fair Value/NAV</b>	<b>\$ 88,776,557</b>	<b>\$ 8,521,252</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 97,297,809</b>

##### (2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy

Not applicable

##### (3) Policies when Transfers Between Levels are Recognized

The Company recognizes transfers between fair value hierarchy levels at the end of the reporting period.

##### (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Bonds, structured securities, and surplus notes (other invested assets) are reported within Level 2 of the fair value hierarchy; all of these securities have direct or indirect price inputs that are observable in active markets. Fair values of these fixed income instruments are based on quoted market prices where available. The Company obtains at least one price from a third party pricing service or its custodian, which also uses a pricing service. In most instances, the Company obtains more than one price and evaluates between the pricing sources for any outliers or stale prices. Assuming prices are not stale and are reasonable between sources, the Company follows a pre-established hierarchy to conclude on which pricing source to utilize.

The pricing services normally derive security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the market for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, benchmark yields, credit spreads, default rates, prepayment conditions, and nonbinding broker quotes.

##### (5) Fair Value Disclosures for Derivative Assets and Liabilities

Not applicable

#### B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Statutory guidance requires the disclosure of fair values for certain other financial instruments for which it is practicable to estimate fair value, whether or not such values are recognized in the statements of assets, liabilities, capital and surplus. The carrying amounts for cash, receivable for securities, accrued investment income, premium receivables, other receivables, amounts due to/from affiliates, unearned premiums, accounts payable and accrued expenses, and certain other liabilities approximate fair value because of the short-term nature of these items.

#### C. Aggregate Fair Value For All Financial Instruments and the Level Within the Fair Value Hierarchy in Which the Fair Value Measurements in Their Entirety Fall

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash Equivalents	\$ 7,001,660	\$ 7,001,660	\$ 7,001,660	\$ -	\$ -	\$ -	\$ -
Short-Term Investments	\$ 1,807,046	\$ 1,809,189	\$ -	\$ 1,807,046	\$ -	\$ -	\$ -
Bonds	\$ 169,788,268	\$ 185,675,416	\$ -	\$ 169,788,268	\$ -	\$ -	\$ -
Common Stock	\$ 81,774,897	\$ 81,774,897	\$ 81,774,897	\$ -	\$ -	\$ -	\$ -
Other Invested Assets	\$ 1,069,831	\$ 1,105,985	\$ -	\$ 1,069,831	\$ -	\$ -	\$ -

#### D. Not Practicable to Estimate Fair Value

Not applicable

#### E. NAV Practical Expedient Investments

Not applicable

### NOTE 21 Other Items

#### A. Unusual or Infrequent Items

No significant changes

#### B. Troubled Debt Restructuring: Debtors

Not applicable

#### C. Other Disclosures

Assets in the amount of \$3,085,843 at September 30, 2022 were committed to purchase mortgage-backed securities in October and November 2022.

#### D. Business Interruption Insurance Recoveries

Not applicable

#### E. State Transferable and Non-transferable Tax Credits

Not applicable

## NOTES TO FINANCIAL STATEMENTS

## F. Subprime Mortgage Related Risk Exposure

No significant changes

## G. Retained Assets

Not applicable

## H. Insurance-Linked Securities (ILS) Contracts

Not applicable

## I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable

**NOTE 22 Events Subsequent**

The Company has evaluated all events occurring after September 30, 2022 through November 10, 2022, the date the quarterly statement was available to be issued, to determine whether any event required either recognition or disclosure in the Company's quarterly statement. No items requiring recognition or disclosure were identified.

**NOTE 23 Reinsurance**

Not applicable

**NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination**

## A. Method Used to Estimate Accrued Retrospective Premium Adjustments

No significant changes

## B. Retrospective Premiums Recorded Through Written Premium or Adjustment to Earned Premium

No significant changes

## C. Amount and Percentage of Net Premiums Written Subject to Retrospective Rating Features

No significant changes

## D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act

No significant changes

## E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes [X] No []

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

Amount

## a. Permanent ACA Risk Adjustment Program

## Assets

1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments) \$ 372,807

## Liabilities

2. Risk adjustment user fees payable for ACA Risk Adjustment \$ 180,418

3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premium) \$ 27,749,250

## Operations (Revenue &amp; Expense)

4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment \$ (29,474,193)

5. Reported in expenses as ACA risk adjustment user fees (incurred/paid) \$ 184,589

## b. Transitional ACA Reinsurance Program

## Assets

1. Amounts recoverable for claims paid due to ACA Reinsurance \$ -

2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability) \$ -

3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance \$ -

## Liabilities

4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium \$ -

5. Ceded reinsurance premiums payable due to ACA Reinsurance \$ -

6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance \$ -

## Operations (Revenue &amp; Expense)

7. Ceded reinsurance premiums due to ACA Reinsurance \$ -

8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments \$ -

9. ACA Reinsurance contributions – not reported as ceded premium \$ -

## c. Temporary ACA Risk Corridors Program

## Assets

1. Accrued retrospective premium due to ACA Risk Corridors \$ -

## Liabilities

2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors \$ -

## Operations (Revenue &amp; Expense)

3. Effect of ACA Risk Corridors on net premium income (paid/received) \$ -

4. Effect of ACA Risk Corridors on change in reserves for rate credits \$ -

**NOTES TO FINANCIAL STATEMENTS**

(3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
	1	2	3	4	Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Ref	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
					5	6	7	8		9	10
	Receivable	Payable	Receivable	Payable	Receivable	Payable	Receivable	Payable		Receivable	Payable
<b>a. Permanent ACA Risk Adjustment Program</b>											
1. Premium adjustments receivable (including high risk pool payments)	\$ 373,000	\$ -	\$ -	\$ -	\$ 373,000	\$ -	\$ (193)	\$ -	A	\$ 372,807	\$ -
2. Premium adjustments (payable) (including high risk pool premium)	\$ -	\$ (35,011,000)	\$ -	\$ (36,737,701)	\$ -	\$ 1,726,701	\$ -	\$ (1,726,701)	B	\$ -	\$ -
3. Subtotal ACA Permanent Risk Adjustment Program	\$ 373,000	\$ (35,011,000)	\$ -	\$ (36,737,701)	\$ 373,000	\$ 1,726,701	\$ (193)	\$ (1,726,701)		\$ 372,807	\$ -
<b>b. Transitional ACA Reinsurance Program</b>											
1. Amounts recoverable for claims paid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
2. Amounts recoverable for claims unpaid (contra liability)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
3. Amounts receivable relating to uninsured plans	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
5. Ceded reinsurance premiums payable	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
6. Liability for amounts held under uninsured plans	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
7. Subtotal ACA Transitional Reinsurance Program	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
<b>c. Temporary ACA Risk Corridors Program</b>											
1. Accrued retrospective premium	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
2. Reserve for rate credits or policy experience rating refunds	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
3. Subtotal ACA Risk Corridors Program	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -
<b>d. Total for ACA Risk Sharing Provisions</b>	<b>\$ 373,000</b>	<b>\$ (35,011,000)</b>	<b>\$ -</b>	<b>\$ (36,737,701)</b>	<b>\$ 373,000</b>	<b>\$ 1,726,701</b>	<b>\$ (193)</b>	<b>\$ (1,726,701)</b>		<b>\$ 372,807</b>	<b>\$ -</b>

Explanations of Adjustments

- A. Revised data received.
- B. Revised data received.

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Not applicable

(5) ACA Risk Corridors Receivable as of Reporting Date

Not applicable

**NOTE 25 Change in Incurred Claims and Claim Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

The Company's reserves for incurred claims and claim adjustment expenses attributable to insured events of prior years have decreased \$7,855,724 from \$64,258,257 in 2021. Because unpaid losses are estimated based on past experience and accumulated statistical data, the Company's actual benefit payments have varied from the original estimates.

B. Information about Significant Changes in Methodologies and Assumptions

There have been no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

**NOTE 26 Intercompany Pooling Arrangements**

Not applicable

**NOTE 27 Structured Settlements**

Not applicable



## NOTES TO FINANCIAL STATEMENTS

---

**NOTE 28 Health Care Receivables**

No significant changes

**NOTE 29 Participating Policies**

Not applicable

**NOTE 30 Premium Deficiency Reserves**

No significant changes

**NOTE 31 Anticipated Salvage and Subrogation**

Not applicable

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2021
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2016
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/29/2018
- 6.4 By what department or departments?  
Iowa Insurance Division
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 169,023,341

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ 0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds   | \$ 0   | \$ 0  |
| 14.22 Preferred Stock   | \$ 0   | \$ 0  |
| 14.23 Common Stock  | \$ 0   | \$ 0  |
| 14.24 Short-Term Investments  | \$ 0   | \$ 0  |
| 14.25 Mortgage Loans on Real Estate   | \$ 0   | \$ 0  |
| 14.26 All Other   | \$ 0   | \$ 0  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0   | \$ 0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above                       | \$ 0   | \$ 0  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A   
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ 0
- 16.3 Total payable for securities lending reported on the liability page ..... \$ 0

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Bank of New York Mellon .....	BNY Mellon Center, 500 Grant Street, Pittsburgh, PA 15258 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [ X ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
NISA Investment Advisors, LLC .....	U .....
Wellington Management Company LLP .....	U .....
William Blair Investment Management, LLC .....	U .....
Metropolitan West Asset Management LLC .....	U .....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ X ] No [ ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
107313 .....	NISA Investment Advisors, LLC .....	549300L11G2J0W7XNY28 .....	SEC .....	NO .....
106595 .....	Wellington Management Company LLP .....	549300YHP12TEZNLX41 .....	SEC .....	NO .....
173961 .....	William Blair Investment Management, LLC .....	549300VQX7UK060A7X27 .....	SEC .....	NO .....
104571 .....	Metropolitan West Asset Management LLC .....	5493004MDKGC001Y283 .....	SEC .....	NO .....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [ X ] No [ ]

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

- 1. Operating Percentages:
  - 1.1 A&H loss percent .....73.5 %
  - 1.2 A&H cost containment percent .....0.6 %
  - 1.3 A&H expense percent excluding cost containment expenses .....11.5 %
  
- 2.1 Do you act as a custodian for health savings accounts? ..... Yes [  ] No [  ]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$ .....
- 2.3 Do you act as an administrator for health savings accounts? ..... Yes [  ] No [  ]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$ .....
  
- 3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [  ] No [  ]
  
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [  ] No [  ]

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Contract	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
<b>NONE</b>									

# SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

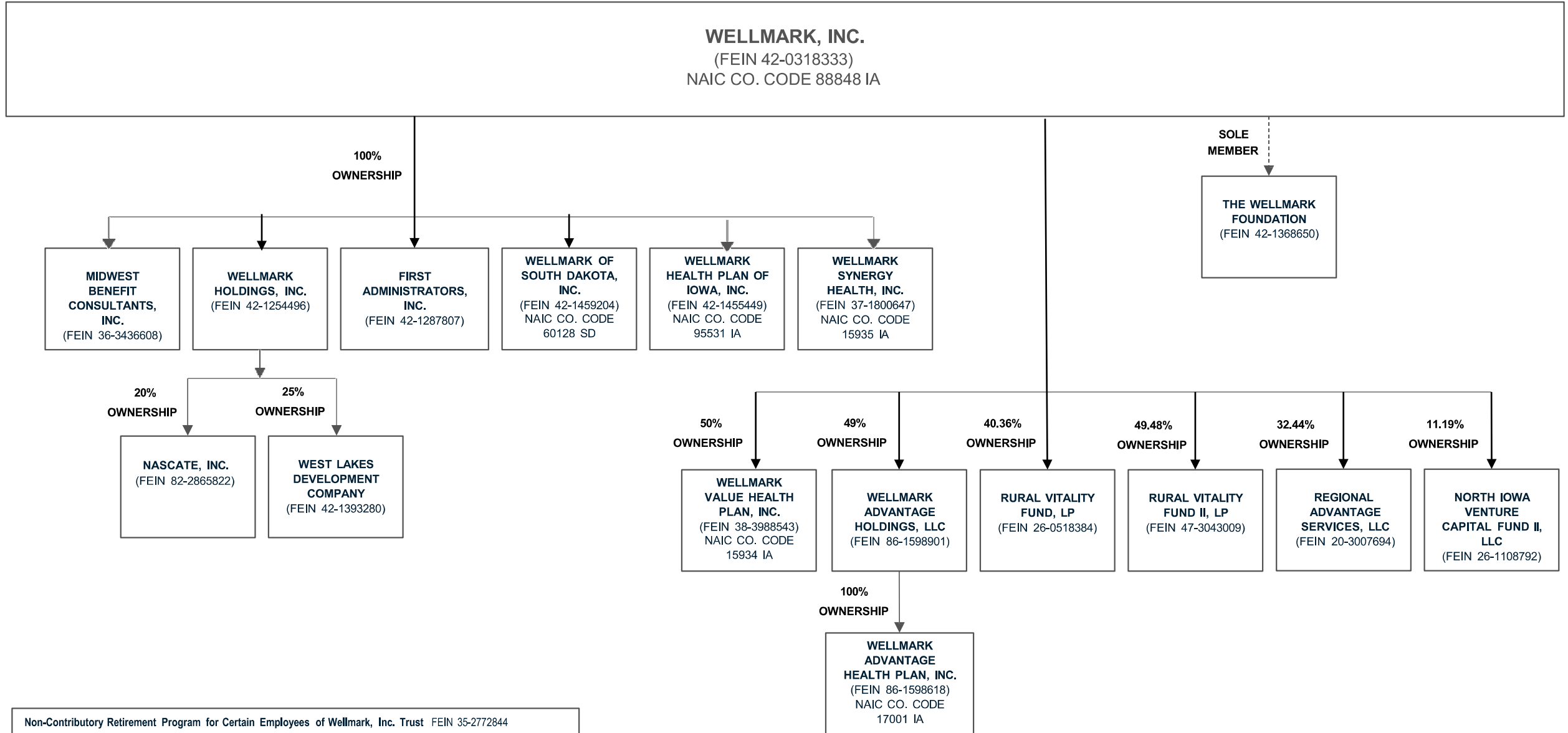
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only									
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 CHIP Title XXI	6 Federal Employees Health Benefits Program Premiums	7 Life and Annuity Premiums & Other Considerations	8 Property/Casualty Premiums	9 Total Columns 2 Through 8	10 Deposit-Type Contracts	
1. Alabama AL	N									0	
2. Alaska AK	N									0	
3. Arizona AZ	N									0	
4. Arkansas AR	N									0	
5. California CA	N									0	
6. Colorado CO	N									0	
7. Connecticut CT	N									0	
8. Delaware DE	N									0	
9. District of Columbia DC	N									0	
10. Florida FL	N									0	
11. Georgia GA	N									0	
12. Hawaii HI	N									0	
13. Idaho ID	N									0	
14. Illinois IL	N									0	
15. Indiana IN	N									0	
16. Iowa IA	L	679,527,704								679,527,704	
17. Kansas KS	N									0	
18. Kentucky KY	N									0	
19. Louisiana LA	N									0	
20. Maine ME	N									0	
21. Maryland MD	N									0	
22. Massachusetts MA	N									0	
23. Michigan MI	N									0	
24. Minnesota MN	N									0	
25. Mississippi MS	N									0	
26. Missouri MO	N									0	
27. Montana MT	N									0	
28. Nebraska NE	N									0	
29. Nevada NV	N									0	
30. New Hampshire NH	N									0	
31. New Jersey NJ	N									0	
32. New Mexico NM	N									0	
33. New York NY	N									0	
34. North Carolina NC	N									0	
35. North Dakota ND	N									0	
36. Ohio OH	N									0	
37. Oklahoma OK	N									0	
38. Oregon OR	N									0	
39. Pennsylvania PA	N									0	
40. Rhode Island RI	N									0	
41. South Carolina SC	N									0	
42. South Dakota SD	N									0	
43. Tennessee TN	N									0	
44. Texas TX	N									0	
45. Utah UT	N									0	
46. Vermont VT	N									0	
47. Virginia VA	N									0	
48. Washington WA	N									0	
49. West Virginia WV	N									0	
50. Wisconsin WI	N									0	
51. Wyoming WY	N									0	
52. American Samoa AS	N									0	
53. Guam GU	N									0	
54. Puerto Rico PR	N									0	
55. U.S. Virgin Islands VI	N									0	
56. Northern Mariana Islands MP	N									0	
57. Canada CAN	N									0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0	0	0
59. Subtotal	XXX	679,527,704	0	0	0	0	0	0	679,527,704	0	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX									0	
61. Totals (Direct Business)	XXX	679,527,704	0	0	0	0	0	0	679,527,704	0	0
DETAILS OF WRITE-INS											
58001.	XXX										
58002.	XXX										
58003.	XXX										
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 1 R - Registered - Non-domiciled RRGs..... 0  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0  
 N - None of the above - Not allowed to write business in the state..... 56

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
 PART 1 – ORGANIZATIONAL CHART



15

Non-Contributory Retirement Program for Certain Employees of Wellmark, Inc. Trust FEIN 35-2772844

Wellmark, Inc. Savings and Investment Plan Trust FEIN 42-0318333



STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
.0770	Wellmark Group	88848	42-0318333				Wellmark, Inc.	IA	UDP			0.000	Wellmark, Inc.	NO	
.0770	Wellmark Group	60128	42-1459204				Wellmark of South Dakota, Inc.	SD	IA	Wellmark, Inc.	Ownership	100.000	Wellmark, Inc.	NO	
.0770	Wellmark Group	95531	42-1455449				Wellmark Health Plan of Iowa, Inc.	IA	RE	Wellmark, Inc.	Ownership	100.000	Wellmark, Inc.	NO	
.0770	Wellmark Group	15935	37-1800647				Wellmark Synergy Health, Inc.	IA	IA	Wellmark, Inc.	Ownership	100.000	Wellmark, Inc.	NO	
.0770	Wellmark Group	15934	38-3988543				Wellmark Value Health Plan, Inc	IA	IA	Wellmark, Inc.	Ownership	50.000	Wellmark, Inc.	NO	1
	Wellmark Group	.00000	36-3436608				Midwest Benefit Consultants, Inc.	IA	NIA	Wellmark, Inc.	Ownership	100.000	Wellmark, Inc.	YES	
	Wellmark Group	.00000	42-1287807				First Administrators, Inc.	IA	NIA	Wellmark, Inc.	Ownership	100.000	Wellmark, Inc.	YES	
	Wellmark Group	.00000	42-1254496				Wellmark Holdings, Inc.	IA	NIA	Wellmark, Inc.	Ownership	100.000	Wellmark, Inc.	YES	
	Wellmark Group	.00000	82-2865822				Nascate, Inc.	CO	NIA	Wellmark Holdings, Inc.	Ownership	20.000	Wellmark, Inc.	NO	
	Wellmark Group	.00000	42-1393280				West Lakes Development Company	IA	NIA	Wellmark Holdings, Inc.	Ownership	25.000	Wellmark, Inc.	NO	
.0770	Wellmark Group	.00000	86-1598901				Wellmark Advantage Holdings, LLC	DE	NIA	Wellmark, Inc.	Ownership	49.000	Blue Cross Blue Shield of Michigan Mutal Insurance Company	NO	
.0770	Wellmark Group	.17001	86-1598618				Wellmark Advantage Health Plan, Inc.	IA	IA	Wellmark Advantage Holdings, LLC	Ownership	100.000	Blue Cross Blue Shield of Michigan Mutal Insurance Company	NO	
	Wellmark Group	.00000	26-0518384				Rural Vitality Fund, LP	IA	NIA	Wellmark, Inc.	Ownership	40.360	Wellmark, Inc.	NO	
	Wellmark Group	.00000	47-3043009				Rural Vitality Fund II, LP	IA	NIA	Wellmark, Inc.	Ownership	49.480	Wellmark, Inc.	NO	
	Wellmark Group	.00000	20-3007694				Regional Advantage Services, LLC	DE	NIA	Wellmark, Inc.	Ownership	32.440	Wellmark, Inc.	NO	
	Wellmark Group	.00000	26-1108792				North Iowa Venture Capital Fund II, LLC	IA	NIA	Wellmark, Inc.	Ownership	11.190	Wellmark, Inc.	NO	
	Wellmark Group	.00000	42-1368650				The Wellmark Foundation	IA	DTH	Wellmark, Inc.	Management	0.000	Wellmark, Inc.	NO	2
	Wellmark Group	.00000	35-2772844				Non-Contributory Retirement Program For Certain Employees of Wellmark, Inc. Trust	IA	DTH	Wellmark, Inc.	Management	0.000	Wellmark, Inc.	NO	3
	Wellmark Group	.00000	42-0318333				Wellmark, Inc. Savings and Investment Plan Trust	IA	DTH	Wellmark, Inc.	Management	0.000	Wellmark, Inc.	NO	4

Asterisk	Explanation
1	This entity is 50% owned by Wellmark, Inc. and 50% owned by Mercy Health Network, Inc. Each party has voting rights.
2	Wellmark, Inc. is the sole member of The Wellmark Foundation.
3	The Non-Contributory Retirement Program For Certain Employees of Wellmark, Inc. Trust was established in 2014.
4	The Wellmark, Inc. Savings and Investment Plan Trust was established in 2014.

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

**AUGUST FILING**

2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A
--	-----

Explanation:

1. The data for this supplement is not required to be filed.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	8,459,551	8,416,722
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	
2.2 Additional investment made after acquisition	0	
3. Capitalized deferred interest and other	0	
4. Accrual of discount	0	
5. Unrealized valuation increase (decrease)	(439,694)	73,499
6. Total gain (loss) on disposals	0	
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	23,733	30,670
9. Total foreign exchange change in book/adjusted carrying value	0	
10. Deduct current year's other than temporary impairment recognized	0	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	7,996,124	8,459,551
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	7,996,124	8,459,551

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	289,913,286	270,198,048
2. Cost of bonds and stocks acquired	63,738,995	86,816,539
3. Accrual of discount	423,211	723,774
4. Unrealized valuation increase (decrease)	(27,720,027)	11,068,961
5. Total gain (loss) on disposals	(40,734)	6,039,821
6. Deduct consideration for bonds and stocks disposed of	55,324,587	81,252,390
7. Deduct amortization of premium	1,628,005	2,392,175
8. Total foreign exchange change in book/adjusted carrying value	(1,911,826)	(1,085,616)
9. Deduct current year's other than temporary impairment recognized	0	203,676
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	0	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	267,450,313	289,913,286
12. Deduct total nonadmitted amounts	0	
13. Statement value at end of current period (Line 11 minus Line 12)	267,450,313	289,913,286

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	129,934,189	13,589,276	15,347,421	241,831	126,570,988	129,934,189	128,417,875	126,849,019
2. NAIC 2 (a) .....	49,954,659	3,952,464	1,781,376	(616,633)	49,221,886	49,954,659	51,509,114	45,656,023
3. NAIC 3 (a) .....	3,716,629	92,188	1,376	(314,267)	4,880,446	3,716,629	3,493,174	6,024,587
4. NAIC 4 (a) .....	1,086,278	256,846	95,739	(104,798)	1,876,121	1,086,278	1,142,587	2,295,226
5. NAIC 5 (a) .....	627,194	231,404	37,484	(20,876)	606,615	627,194	800,238	692,987
6. NAIC 6 (a) .....	1,355,896	505,701	27,025	287,045	1,209,486	1,355,896	2,121,617	1,947,774
7. Total Bonds	186,674,845	18,627,879	17,290,421	(527,698)	184,365,542	186,674,845	187,484,605	183,465,616
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	186,674,845	18,627,879	17,290,421	(527,698)	184,365,542	186,674,845	187,484,605	183,465,616

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 1,809,189 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

S102

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
7709999999 Totals	1,809,189	xxx	1,800,664	0	0

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,719,564	429,972
2. Cost of short-term investments acquired .....	3,674,625	4,493,825
3. Accrual of discount .....	14,746	353
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	(275)	54
6. Deduct consideration received on disposals .....	3,599,471	3,204,640
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,809,189	1,719,564
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	1,809,189	1,719,564

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,103,314	7,335,713
2. Cost of cash equivalents acquired .....	36,002,970	56,394,322
3. Accrual of discount .....	167	283
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	8
6. Deduct consideration received on disposals .....	34,104,791	58,627,012
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	7,001,660	5,103,314
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	7,001,660	5,103,314



Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
38373M-AS-6	GNR 2008-092 Z - CMBS		09/01/2022	Direct		1,405	1,405	0	1.A
38376G-5N-6	GNR 2011-127 Z - CMBS		09/01/2022	Direct		1,040	1,040	0	1.A
38376G-SJ-0	GNR 2010-083 Z - CMBS		09/01/2022	Direct		1,369	1,369	0	1.A
<b>0109999999 Subtotal - Bonds - U.S. Governments</b>						<b>3,815</b>	<b>3,815</b>	<b>0</b>	<b>XXX</b>
01F020-68-7	FNCL-2N-AUG-2022 - MBS		07/06/2022	CREDIT SUISSE SECURITIES		131,326	150,000	0	1.A
01F020-69-5	FNCL-2N-SEP-2022 - MBS		07/27/2022	Various		752,805	850,000	0	1.A
01F020-6A-2	FNCL-2N-OCT-2022 - MBS		08/22/2022	Various		749,743	850,000	0	1.A
01F020-6B-0	FNCL-2N-NOV-2022 - MBS		09/28/2022	Various		750,244	925,000	0	1.A
01F022-68-3	FNCL-2, 5N-AUG-2022 - MBS		07/05/2022	Various		204,505	225,000	0	1.A
01F022-69-1	FNCL-2, 5N-SEP-2022 - MBS		07/29/2022	Various		922,257	1,000,000	0	1.A
01F022-6A-8	FNCL-2, 5N-OCT-2022 - MBS		09/14/2022	BARCLAYS CAPITAL INC		1,949,382	2,200,000	0	1.A
01F022-6B-6	FNCL-2, 5N-NOV-2022 - MBS		09/28/2022	Various		1,622,918	1,900,000	0	1.A
01F042-68-1	FNCL-4, 5N-AUG-2022 - MBS		07/11/2022	CREDIT SUISSE SECURITIES		300,172	300,000	0	1.A
01F042-69-9	FNCL-4, 5N-SEP-2022 - MBS		07/29/2022	CREDIT SUISSE SECURITIES		304,395	300,000	0	1.A
01F042-6A-6	FNCL-4, 5N-OCT-2022 - MBS		09/02/2022	CS First Boston Corp		297,879	300,000	0	1.A
3137BL-N3-8	FHMS K-049 X3 - CMBS		08/02/2022	SG AMERICAS SECURITIES, LLC		20,272	0	0	1.A
358082-HX-6	FRESNO CALIF		09/21/2022	MORGAN SECURITIES-FIXED INC		535,600	520,000	10,596	2.A FE
38122N-ZX-3	GOLDEN ST TOB SECURITIZATION CORP CALIF		09/13/2022	JP Morgan		780,930	1,000,000	8,999	1.D FE
544445-E4-7	LOS ANGELES CALIF DEPT ARPTS ARPT REV		08/10/2022	GOLDMAN		781,146	670,000	0	1.C FE
66285W-J5-6	NORTH TEX TIWY AUTH REV		09/15/2022	SIEBERT, BRANFORD, SHANK & CO		143,794	130,000	0	1.E FE
<b>0909999999 Subtotal - Bonds - U.S. Special Revenues</b>						<b>10,247,369</b>	<b>11,320,000</b>	<b>19,681</b>	<b>XXX</b>
02660B-AA-0	AHMA 2007-1 A1 - RMBS		07/07/2022	MORGAN STANLEY & COMPANY		44,832	102,926	38	1.D FM
03066T-AE-1	AMCAR 2022-1 C - ABS		09/07/2022	RBC CAPITAL MARKETS		113,963	120,000	209	1.F FE
06541Q-BV-6	BANK 2022-BNK43 XA - CMBS		08/09/2022	FUND FUNDS II		662,013	0	6,938	1.A FE
07386H-QJ-3	BALTA 2005-1 M2 - RMBS		09/26/2022	Citigroup (SSB)		126,685	126,685	310	6. FE
12668P-AD-4	CIWALT 2006-0A17 11D - CMO/RMBS		09/20/2022	CREDIT SUISSE SECURITIES		104,682	133,422	190	1.D FM
150890-AL-8	CELANESE US HOLDINGS LLC		07/07/2022	Bank of America Merrill Lynch		534,963	535,000	0	2.C FE
20754L-AB-5	CAS 2022-R01 1M2 - CMO/RMBS		07/08/2022	BANC OF AMERICA/FIXED INCOME		63,109	70,000	82	2.B FE
20754R-AB-2	CAS 2021-R01 1M2 - CMO/RMBS		07/13/2022	BANC OF AMERICA/FIXED INCOME		108,819	115,000	142	2.B FE
20754R-AF-3	CAS 2021-R01 1B1 - CMO/RMBS		09/16/2022	WELLS FARGO SECURITIES LLC		92,188	100,000	382	3.B FE
25151K-AC-3	DBALT 2007-3 2A1 - RMBS		07/07/2022	GOLDMAN		135,834	160,989	149	1.D FM
256677-AK-1	DOLLAR GENERAL CORP		09/06/2022	Citi Bank		214,791	215,000	0	2.B FE
316773-DG-2	FIFTH THIRD BANCORP		07/25/2022	GOLDMAN		540,000	540,000	0	2.A FE
32052W-AF-6	FHAMS 2007-FA4 1A6 - CMO/RMBS		07/27/2022	CREDIT SUISSE SECURITIES		135,447	265,583	1,291	6. *
362334-MF-8	GSA 2006-6 AF4 - RMBS		08/23/2022	BANC OF AMERICA/FIXED INCOME		118,761	354,511	1,447	5.C FE
380144-AF-2	GMALT 2021-2 C - ABS		09/08/2022	BNP Paribas		119,800	125,000	77	1.C FE
39538W-FH-6	GPMF 2006-AR1 A1A - RMBS		09/14/2022	INDUSTRIAL AND COMMERCIAL BANK		89,773	99,472	175	4.C FE
41161P-L2-7	HVMLT 2006-4 11A - CMO/RMBS		08/24/2022	BTIG LLC		135,690	246,150	119	6. *
41161P-MF-7	HVMLT 2005-3 1A1 - CMO/RMBS		09/07/2022	Citigroup (SSB)		135,398	224,494	378	6. FE
44329E-AC-5	HALO 2007-AR2 2A1 - CMO/RMBS		08/18/2022	BANC OF AMERICA/FIXED INCOME		132,427	149,846	293	6. *
45660L-R3-5	INDX 2005-AR25 2A1 - CMO/RMBS		09/01/2022	Direct		102	102	0	1.D FM
45661E-AA-2	INDX 2006-AR2 A1A - RMBS		09/13/2022	NATL FINANCIAL SERVICES CORP (NFS)		46,279	52,589	88	1.D FM
45669E-AC-0	INDX 2007-AR5 2A1 - CMO/RMBS		09/12/2022	Various		118,069	138,903	151	6. *
458140-CA-6	INTEL CORP		08/11/2022	BZIV SECS		596,322	600,000	692	1.E FE
47837R-AE-0	JOHNSON CONTROLS INTERNATIONAL PLC		09/06/2022	MORGAN SECURITIES-FIXED INC		527,023	535,000	0	2.B FE
52520M-FB-4	LMT 2006-1 1A5 - CMO/RMBS		07/01/2022	Direct		32	32	0	1.D FM
52521R-BP-5	LMT 2007-5 5A2 - CMO/RMBS		07/19/2022	Bank of New York Mellon		37,623	0	1,145	5.C FE
52522C-AE-3	LXS 2006-14N 2A - CMO/RMBS		09/07/2022	JANNEY MONTGOMERY SCOTT INC		75,020	81,267	96	5.B FE
576429-AA-2	MARM 2007-2 A1 - RMBS		09/14/2022	Citigroup (SSB)		167,073	184,611	310	4.C FE
576431-AA-8	MASTR ADJUSTABLE RATE MORTGAGES TRUST 20		08/25/2022	INDUSTRIAL AND COMMERCIAL BANK		133,177	300,117	94	6. FE
64110L-AT-3	NETFLIX INC		07/27/2022	BZIV SECS		469,775	460,000	5,555	2.B FE
68383N-DX-8	OPMAC 2006-1 AC2 - RMBS		08/16/2022	SG AMERICAS SECURITIES, LLC		110,441	123,743	244	6. FE
69381P-AB-4	PRET 22R3 A2 - CMO/RMBS		08/24/2022	NOMURA SECURITIES/FIXED INCOME		93,889	100,000	0	6. *
74333F-AF-6	PROG 2021-SFR5 E2 - RMBS		08/17/2022	JPM/INTL FCSTONE FINANCIAL INC		120,839	140,000	165	2.C FE
899042-AC-9	TUFTS MEDICAL CENTER INC		07/19/2022	JP Morgan		717,984	646,000	2,512	2.A FE
92938G-AJ-7	WFRBS 2013-C17 B - CMBS		08/15/2022	WELLS FARGO SECURITIES LLC		59,663	60,000	128	1.A FE

E04

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
969457-BZ-2	WILLIAMS COMPANIES INC		.08/03/2022	Bank of America Merrill Lynch		119,562	120,000	0	2,B FE
1109999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					7,002,045	7,226,440	23,401	XXX
2509999997	Total - Bonds - Part 3					17,253,229	18,550,255	43,082	XXX
2509999998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX
2509999999	Total - Bonds					17,253,229	18,550,255	43,082	XXX
4509999997	Total - Preferred Stocks - Part 3					0	XXX	0	XXX
4509999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
4509999999	Total - Preferred Stocks					0	XXX	0	XXX
035710-40-9	ANNALY CAPITAL MANAGEMENT REIT ORD		.09/12/2022	BTIG LLC	5,000.000	33,225	0	0	
13645T-10-0	CANADIAN PACIFIC RAILWAY ORD	C	.08/24/2022	Various	8,868.000	718,964	0	0	
20825C-10-4	CONOCOPHILLIPS ORD		.08/17/2022	BTIG LLC	600.000	60,819	0	0	
25675T-10-7	DOLLARAMA ORD		.09/30/2022	Various	3,762.000	217,769	0	0	
281020-10-7	EDISON INTERNATIONAL ORD		.09/12/2022	BTIG LLC	400.000	28,450	0	0	
344849-10-4	FOOT LOCKER ORD		.07/11/2022	Jefferies	2,700.000	67,082	0	0	
421946-10-4	HEALTHCARE REAL REIT ORD		.07/20/2022	Jefferies	2,900.000	72,384	0	0	
45823T-10-6	INTACT FINANCIAL ORD		.09/30/2022	Various	1,357.000	194,638	0	0	
668074-30-5	NORTHWESTERN ORD		.09/12/2022	BTIG LLC	800.000	44,564	0	0	
F5879X-10-8	VINCI ORD	B	.09/28/2022	Various	1,219.000	99,211	0	0	
G0593M-10-7	ASTRAZENECA ORD	B	.08/11/2022	GOLDMAN SACHS INTL	447.000	59,603	0	0	
G16968-11-0	BUNZL ORD	B	.09/30/2022	Various	2,201.000	66,511	0	0	
H9870Y-10-5	ZURICH INSURANCE GROUP ORD	B	.09/28/2022	Various	1,189.000	520,104	0	0	
J32491-10-2	KEYENCE ORD	B	.08/12/2022	Jefferies	300.000	125,101	0	0	
J75734-10-3	SMC ORD	B	.07/11/2022	Instinet Europe Limited	300.000	137,109	0	0	
L90272-10-2	TENARIS ORD	B	.09/29/2022	Various	12,798.000	164,562	0	0	
Q0521T-10-8	ARISTOCRAT LEISURE ORD	B	.08/12/2022	Jefferies	1,927.000	47,740	0	0	
Q3018U-10-9	CSL ORD	B	.09/08/2022	Various	1,632.000	327,782	0	0	
Y3550G-10-2	LGES ORD	B	.07/12/2022	CLSA SECURITIES KOREA LTD	597.000	179,711	0	0	
5019999999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					3,165,330	XXX	0	XXX
72201F-49-0	PIMCO INCOME INST		.09/30/2022	Internal	25,608.062	271,525	0	0	
5329999999	Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO					271,525	XXX	0	XXX
5989999997	Total - Common Stocks - Part 3					3,436,855	XXX	0	XXX
5989999998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
5989999999	Total - Common Stocks					3,436,855	XXX	0	XXX
5999999999	Total - Preferred and Common Stocks					3,436,855	XXX	0	XXX
6009999999	Totals					20,690,084	XXX	43,082	XXX

E04.1















STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

Table with columns 1-22: CUSIP Identification, Description, Foreign, Disposal Date, Name of Purchaser, Number of Shares of Stock, Consideration, Par Value, Actual Cost, Prior Year Book/Adjusted Carrying Value, Change In Book/Adjusted Carrying Value (Unrealized Valuation Increase/Decrease, Current Year's Amortization/Accretion, Current Year's Other Than Temporary Impairment Recognized, Total Change in Book/Adjusted Carrying Value, Total Foreign Exchange Change in Book/Adjusted Carrying Value), Book/Adjusted Carrying Value at Disposal Date, Foreign Exchange Gain (Loss) on Disposal, Realized Gain (Loss) on Disposal, Total Gain (Loss) on Disposal, Bond Interest/Stock Dividends Received During Year, Stated Contractual Maturity Date, NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol.

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
Y55506-10-2	LGES OPD	B	08/16/2022	JP MORGAN SECS (FAR EAST) LTD, SEUL	161,000	56,509		47,814	0	0	0	0	0	0	47,814	(283)	8,978	8,694	0			
5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						2,602,670	XXX	2,909,162	3,459,767	(919,504)	0	0	(919,504)	8,425	2,909,162	(257,573)	(48,919)	(306,492)	26,693	XXX	XXX	
5989999997. Total - Common Stocks - Part 4						2,602,670	XXX	2,909,162	3,459,767	(919,504)	0	0	(919,504)	8,425	2,909,162	(257,573)	(48,919)	(306,492)	26,693	XXX	XXX	
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						2,602,670	XXX	2,909,162	3,459,767	(919,504)	0	0	(919,504)	8,425	2,909,162	(257,573)	(48,919)	(306,492)	26,693	XXX	XXX	
5999999999. Total - Preferred and Common Stocks						2,602,670	XXX	2,909,162	3,459,767	(919,504)	0	0	(919,504)	8,425	2,909,162	(257,573)	(48,919)	(306,492)	26,693	XXX	XXX	
6009999999 - Totals						17,822,763	XXX	18,330,929	10,978,665	(916,054)	44,616	0	(871,438)	8,425	18,319,832	(257,573)	(239,497)	(497,070)	235,759	XXX	XXX	

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of New York Mellon Custodial ..... Pittsburgh, PA .....		0.000	0	0	(13)	9,237	4,623	XXX
Bankers Trust ..... Des Moines, IA .....		0.000	0	0	(379,179)	(2,179)	(2,254)	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(379,192)	7,058	2,369	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(379,192)	7,058	2,369	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	0	0	(379,192)	7,058	2,369	XXX

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Wellmark Health Plan of Iowa, Inc.

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0109999999	Total - U.S. Government Bonds					0	0	0
0309999999	Total - All Other Government Bonds					0	0	0
0509999999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
0709999999	Total - U.S. Political Subdivisions Bonds					0	0	0
0909999999	Total - U.S. Special Revenues Bonds					0	0	0
1109999999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					0	0	0
1309999999	Total - Hybrid Securities					0	0	0
1509999999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
1909999999	Subtotal - Unaffiliated Bank Loans					0	0	0
2419999999	Total - Issuer Obligations					0	0	0
2429999999	Total - Residential Mortgage-Backed Securities					0	0	0
2439999999	Total - Commercial Mortgage-Backed Securities					0	0	0
2449999999	Total - Other Loan-Backed and Structured Securities					0	0	0
2459999999	Total - SVO Identified Funds					0	0	0
2469999999	Total - Affiliated Bank Loans					0	0	0
2479999999	Total - Unaffiliated Bank Loans					0	0	0
2509999999	Total Bonds					0	0	0
09248U-71-8	BLKRX LQ:T-FUND INSTL		09/30/2022	2.840		7,001,660	12,186	2,192
8209999999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					7,001,660	12,186	2,192
8609999999	Total Cash Equivalents					7,001,660	12,186	2,192