

ANNUAL STATEMENT

For the Year Ended December 31, 2021 OF THE CONDITION AND AFFAIRS OF THE

MNL Reinsurance Company

NAIC Group Code 00431	, <u>00431</u>	NAIC Com	pany Code	00000	Employer's	ID Number	27-4013872
(Current Period) Organized under the Laws of	(Prior Period) lowa		, State	e of Domicile or	Port of Entry		Iowa
Country of Domicile			Unite	d States			
Licensed as business type: Life, Acc	cident and Health [x]		Frate	ernal Benefit So	cieties []		
Incorporated/Organized	12/30/2010		Commenced			12/31/2	010
Statutory Home Office	8300 Mills Civ	ric Parkway	-	,	West Des	Moines, IA, U	IS 50266
	(Street and	Number)				, State, Country an	
Main Administrative Office			8300	Mills Civic Parl	kway		
				(Street and Number)			
West Des Moines	, ,				515-440-		
(City or Town, State, Co	ountry and Zip Code)			(A	rea Code) (Tele	phone Number)	
	300 Mills Civic Parkwa		,		West Des Moi		
(\$	Street and Number or P.O. Box	()			(City or Town, State	e, Country and Zip	Code)
Primary Location of Books and Recor	ds				Civic Parkway		
Mast Das Maissa	IA 110 50000			(Street a	and Number)	-500	
West Des Moines (City or Town, State, Co		,		(Area Code	515-440-5 e) (Telephone N		nsion)
• •	, , , , , , , , , , , , , , , , , , , ,			`	c) (Telephone I	(Exter	101011)
Internet Web Site Address				N/A			
Statutory Statement Contact	Daniel E E		,			5-440-5500	
DEDSEN@SFGM	(Name))		()	Area Code) (Tel 877-586-0	ephone Number) 1249	(Extension)
(E-Mail Ad			-		(Fax Numl		
		OF	FICERS				
Name	Title			Name			Title
	President, Chairma						
Esfandyar Eruch Dinshaw ,	Executive C			Brian Darrel Har			l Counsel & Secretary
David Christopher Attaway ,	SVP & Chief Final			Eric Yung Hsi	<u>Lin</u> ,	SVP&C	Corporate Actuary
Theresa Baird Kuiper,	AVP & Trea		OFFICE	RS	,		
	DID	ECTOD	OD TO	ICTEEC			
Donald Thomas Lyons Heather Lynn Kreager	Esfandyar Eruch		OR TRU	Brian Darrel Har	nsen	Steven	Craig Palmitier
State oflowa		_					
County ofDallas		S					
The officers of this reporting entity being d above, all of the herein described assets with this statement, together with related liabilities and of the condition and affairs of and have been completed in accordance with may differ; or, (2) that state rules or regular knowledge and belief, respectively. Further when required, that is an exact copy (excregulators in lieu of or in addition to the encregulators.)	were the absolute property exhibits, schedules and e f the said reporting entity with the NAIC Annual State ations require differences i rmore, the scope of this a ept for formatting difference	of the said re explanations the as of the repor- ment Instruction in reporting not ttestation by the	porting entity, freerein contained, ting period state ans and Account t related to account de described offi	ee and clear from annexed or refe ed above, and of i ing Practices and ounting practices a cers also includes	any liens or clain rred to, is a full its income and de Procedures man and procedures, as the related corre	ns thereon, exc and true statem eductions therefi- ual except to the according to the esponding elect	ept as herein stated, and lent of all the assets and rom for the period ended, e extent that: (1) state law best of their information, ronic filing with the NAIC,
Esfandyar Eruch Dinsha	w	Brian	Darrel Hanse	n	- 	avid Christop	her Attaway
President, Chairman and Chief Exe			al Counsel & S	ecretary	SV	P & Chief Fin	ancial Officer
				a. Is thi	s an original filing	 ?	Yes [X] No []
Subscribed and sworn to before me this day of	,			b. If no: 1. St	: ate the amendme	nt number	
					ate filed		
				3. Nu	ımber of pages at	tached	

ASSETS

			Current Year		Prior Year
		1	2	3	4
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Net Admitted Assets
1.	Bonds (Schedule D)			54,930,460	
2.	Stocks (Schedule D):	0.070.000		0.070.000	0.000.000
	2.1 Preferred stocks			3,070,000	2,000,000
	2.2 Common stocks				
3.	Mortgage loans on real estate (Schedule B):				
	3.1 First liens				
	3.2 Other than first liens				
4.	Real estate (Schedule A):				
	4.1 Properties occupied by the company (less				
	\$ encumbrances)				
	,				
	4.2 Properties held for the production of income				
	(less \$ encumbrances)				
	4.3 Properties held for sale (less				
	\$ encumbrances)				
5.	Cash (\$48,423,426 , Schedule E-Part 1), cash equivalents				
	(\$, Schedule E-Part 2) and short-term				
	investments (\$, Schedule DA)	48.423.426	<u> </u>	48.423.426	1.336.429
6.	Contract loans (including \$ premium notes)				
		i		i i	
7.	Derivatives (Schedule DB)				
8.	Other invested assets (Schedule BA)		i	i i i	
9.	Receivables for securities	1		i i	
10.	Securities lending reinvested collateral assets (Schedule DL)				
11.	Aggregate write-ins for invested assets	_	ļ		
12.	Subtotals, cash and invested assets (Lines 1 to 11)	107,972,814		107,972,814	59,918,266
13.	Title plants less \$charged off (for Title insurers			, ,	
10.	only)				
	**				447 440
14.	Investment income due and accrued	379,070		379,070	447 ,440
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of				
	collection				
	15.2 Deferred premiums, agents' balances and installments booked but				
	deferred and not yet due (including \$earned				
	but unbilled premiums)				
	15.3 Accrued retrospective premiums (\$) and				
	contracts subject to redetermination (\$)				
4.0					
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers				
	16.2 Funds held by or deposited with reinsured companies	1,457,236,797		1 ,457 ,236 ,797	1,300,762,416
	16.3 Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans				
18.1	Current federal and foreign income tax recoverable and interest thereon				1.868.950
	Net deferred tax asset				,,,,,,,,
1			i	i i	
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software	 			
21.	Furniture and equipment, including health care delivery assets				
	(\$)		ļ	ļ	
22.	Net adjustment in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	1,969,098		1,969,098	15,420,166
24.	Health care (\$) and other amounts receivable				
25.	Aggregate write-ins for other-than-invested assets			1,338,219,836	
		, , 000 , 210 , 000		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, ,020,004, 100
26.	Total assets excluding Separate Accounts, Segregated Accounts and	2 000 404 040		2 000 404 040	0 404 004 040
	Protected Cell Accounts (Lines 12 to 25)			2,906,161,049	∠,404,381,349
27.	From Separate Accounts, Segregated Accounts and Protected				
	Cell Accounts			 	
28.	Total (Lines 26 and 27)	2,906,161,049		2,906,161,049	2,404,381,349
DETAIL	S OF WRITE-INS				
1101.					
1102.					
1103.					
	C				
1198.	Summary of remaining write-ins for Line 11 from overflow page	<u> </u>		 	
1199.	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.	LLC Note Guarantee.	1,338,219,836		1 ,338 ,219 ,836	1,025,964,106
2502.					
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page				
				1 339 310 936	1 005 064 400
2599.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,338,219,836	l	1,338,219,836	1,025,964,106

LIABILITIES, SURPLUS AND OTHER FUNDS

	LIABILITIES, SURPLUS AND UTHER FU	1	2
	0.705.450.000	Current Year	Prior Year
1.	Aggregate reserve for life contracts \$2,795,456,633 (Exhibit 5, Line 9999999) less \$	2,795,456,633	2,326,726,522
1	Aggregate reserve for accident and health contracts (including \$		
	Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$		
	4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)		
5	4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)		
	unpaid (Exhibit 4, Line 10)		
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year—estimated amounts:		
	6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)		
	6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less		
	\$ discount; including \$ accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of Lines 4 and 14)		
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of \$accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public		
	Health Service Act		
	9.3 Other amounts payable on reinsurance, including \$6,576,650 assumed and \$	6,576,650	
10.	Commissions to agents due or accrued-life and annuity contracts \$accident and health	1,200,707	1,400,299
	\$and deposit-type contract funds \$		
11.	Commissions and expense allowances payable on reinsurance assumed	1 203 314	071 /137
	Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense	1,293,314	37 1,437
	allowances recognized in reserves, net of reinsured allowances)	i	
	Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 6)		
i	! Net deferred tax liability	i	
	Unearned investment income		
	Amounts withheld or retained by reporting entity as agent or trustee		
i	Remittances and items not allocated	i i	
	Net adjustment in assets and liabilities due to foreign exchange rates		
	Liability for benefits for employees and agents if not included above		
	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:	225 500	204 425
	24.01 Asset valuation reserve (AVR, Line 16, Col. 7) 24.02 Reinsurance in unauthorized and certified (\$		
	24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
	24.04 Payable to parent, subsidiaries and affiliates		
	24.05 Drafts outstanding		
	24.07 Funds held under coinsurance		
	24.08 Derivatives		
	24.09 Payable for securities		
	24.11 Capital notes \$and interest thereon \$		
25.	Aggregate write-ins for liabilities	7,769,608	11,654,411
	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	2,813,686,750	2,351,295,397
	Total liabilities (Lines 26 and 27)	2,813,686,750	2,351,295,397
29.	Common capital stock		100,000
	Preferred capital stock		
32.	Surplus notes		
	Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)		
34. 35	Aggregate write-ins for special surplus funds	(1 292 245 537)	1,025,964,106 (989,378,153)
	Less treasury stock, at cost:		(505,570,105)
	36.1shares common (value included in Line 29 \$		
37	36.2shares preferred (value included in Line 30 \$		52,985,952
38.	Totals of Lines 29, 30 and 37 (Page 4, Line 55)	92,474,299	53,085,952
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	2,906,161,049	2,404,381,349
	Location Loc	7 760 608	11 65/ //1
	Ulcarried Teveride.		
2503.			
i	Summary of remaining write-ins for Line 25 from overflow page	7,769,608	11,654,411
	Totals (Lines 2301 through 2303 plus 2330) (Line 23 above)		11,004,411
3102.			
	Summary of remaining write-ins for Line 31 from overflow page	i i	
	Totals (Lines 3101 through 3103 plus 3198) (Line 31 above)		
3401.	LLC note guarantee	i	
	Summary of remaining write-ins for Line 34 from overflow page		
	Totals (Lines 3401 through 3403 plus 3498) (Line 34 above)	1.338.219.836	1,025,964,106

SUMMARY OF OPERATIONS

	SUMMART OF OPERATIONS		
	Promiums and annuity considerations for life and assident and health contracts (Eyhibit 1, Bert 1, Line 20,4, Col. 1, Leas	1 Current Year	2 Prior Year
	Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	127 ,938 ,661	131,752,857
2.	Net investment income (Exhibit of Net Investment Income, Line 17)	2 997 505	3 438 215
3. 4	Amortization of Interest Maintenance Reserve (IMR, Line 5)	155 891	135 567
5.	Separate Accounts net gain from operations excluding unrealized gains or losses		
	Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)		
	Reserve adjustments on reinsurance ceded		
	Miscellaneous Income: 8.1 Income from fees associated with investment management, administration and contract guarantees from Separate		
	Accounts		
	8.3 Aggregate write-ins for miscellaneous income		53,067,700
	Totals (Lines 1 to 8.3)		188,394,339
10.	Death benefits	7,491,037	9,090,316
11.	Matured endowments (excluding guaranteed annual pure endowments)		
12.	Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)		
	Disability benefits and benefits under accident and health contracts		
	Coupons, guaranteed annual pure endowments and similar benefits		
	Group conversions		
17.	Interest and adjustments on contract or deposit-type contract funds		
18.	Payments on supplementary contracts with life contingencies		
19.	Increase in aggregate reserves for life and accident and health contracts	457 , 777 , 570	222,627,495
20.	Totals (Lines 10 to 19)	465,268,606	231,717,811
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)		
22.	Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)	19,871,492	15,026,896
23.	General insurance expenses and fraternal expenses (Exhibit 2, Line 10, Columns 1, 2, 3, 4 and 6)	4 , 116 , 095	3 , 666 , 108
	Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5)		
	Increase in loading on deferred and uncollected premiums		
	Net transfers to or (from) Separate Accounts net of reinsurance		
	Totals (Lines 20 to 27)	489,256,393	250,410,915
29	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus)	400,200,000	200,410,010
20.	Line 28)	(292.419.366)	(62.016.576)
30.	Dividends to policyholders and refunds to members		(02,0.0,0.0)
	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29).		
	minus Line 30)	(292,419,366)	(62,016,576)
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	(385,598)	(2,043,244)
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before		
	realized capital gains or (losses) (Line 31 minus Line 32)	(292,033,768)	(59,973,332)
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR)		
35	less capital gains tax of \$	(292,033,768)	(59,973,332)
33.	CAPITAL AND SURPLUS ACCOUNT	(202,000,700)	(00,010,002)
36	Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	53,085,952	62,513,755
37.	Net income (Line 35)	(292.033.768)	(59,973,332)
38.	Change in net unrealized capital gains (losses) less capital gains tax of \$14,70014,700	(35,550)	
39.	Change in net unrealized foreign exchange capital gain (loss)		
	Change in net deferred income tax		
	Change in nonadmitted assets		
	Change in liability for reinsurance in unauthorized and certified companies.		
43.	Change in reserve on account of change in valuation basis, (increase) or decrease	18 026	3/ 150
	Change in treasury stock (Page 3, Lines 36.1 and 36.2 Col. 2 minus Col. 1)		
46.	Surplus (contributed to) withdrawn from Separate Accounts during period		
	Other changes in surplus in Separate Accounts statement		
48.	Change in surplus notes		
49.	Cumulative effect of changes in accounting principles	90,850	
50.	Capital changes:		
	50.1 Paid in		
	50.2 Transferred from surplus (Stock Dividend)		
	50.3 Transferred to surplus		
"	51.1 Paid in	30.000.000	14.000.000
	51.2 Transferred to capital (Stock Dividend)		
	51.3 Transferred from capital		
	51.4 Change in surplus as a result of reinsurance		
	Dividends to stockholders		00.544.000
	Aggregate write-ins for gains and losses in surplus		36,511,369
	Net change in capital and surplus for the year (Lines 37 through 53)	39,388,347	(9,427,803)
	Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38) S OF WRITE-INS	92,474,299	53,085,952
	Investment income - funds withheld coinsurance - ceded	55 583 686	43,631,260
	Funding allowance		9,436,440
08.303			
	Summary of remaining write-ins for Line 8.3 from overflow page		
08.399	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	65,744,971	53,067,700
2701.			
2702.			
2703.	Oursell of the state of the sta		
2798.	Summary of remaining write-ins for Line 27 from overflow page		
2799. 5301.	Totals (Lines 2701 through 2703 plus 2798) (Line 27 above) Change in LLC note guarantee.	201 202 120	26 F11 260
5301.	Change III LLC note guarantee	i i	
5302.		i i	
5398.	Summary of remaining write-ins for Line 53 from overflow page		
5399.	Totals (Lines 5301 through 5303 plus 5398) (Line 53 above)	301,303,189	36,511,369

CASH FLOW

		1	2
		Current Year	Prior Year
	Cash from Operations		
1.	Premiums collected net of reinsurance	(28,535,720)	132,638,217
	Net investment income		2,937,647
3.	Miscellaneous income	61,311,235	(136, 266, 145)
4.	Total (Lines 1 through 3)	35,291,283	(690,281)
	Benefit and loss related payments		7,545,919
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7.	Commissions, expenses paid and aggregate write-ins for deductions	23,643,069	17 , 472 , 288
8.	Dividends paid to policyholders		
	Federal and foreign income taxes paid (recovered) net of \$tax on capital gains (losses)		556,717
	Total (Lines 5 through 9)		25,574,924
	Net cash from operations (Line 4 minus Line 10)		(26, 265, 205)
	Cash from Investments	, ,	(-,,,
12.	Proceeds from investments sold, matured or repaid:		
	12.1 Bonds	8.828.505	13.696.575
	12.2 Stocks	i i	
	12.3 Mortgage loans	1	
	12.4 Real estate	1	
	12.5 Other invested assets	1	
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		
	12.7 Miscellaneous proceeds		
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	,	13 696 575
13	Cost of investments acquired (long-term only):	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
10.	13.1 Bonds	9 188 179	
	13.2 Stocks		
	13.3 Mortgage loans	1	
	13.4 Real estate	1 1	
	13.5 Other invested assets		
	13.6 Miscellaneous applications		
	13.7 Total investments acquired (Lines 13.1 to 13.6)		
11			
	Net increase (decrease) in contract loans and premium notes		13,696,575
15.	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	039,570	13,090,373
40	Cash from Financing and Miscellaneous Sources		
16.	Cash provided (applied):		
	16.1 Surplus notes, capital notes		
	16.2 Capital and paid in surplus, less treasury stock	1 1	
	16.3 Borrowed funds	1	
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	1 1	
	16.5 Dividends to stockholders		
4-	16.6 Other cash provided (applied)		
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	44,000,000	
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS	47 000 007	(40 500 000)
	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)		(12,568,630)
19.	Cash, cash equivalents and short-term investments:	4 000 100	40 005 050
	19.1 Beginning of year		
	19.2 End of year (Line 18 plus Line 19.1)	48,423,426	1,336,429

Note:	Supplemental disclosures of cash flow information for non-cash transactions:		
20.0001.	Accrued capital contribution from parent (financing)		(14,000,000)
	Accrued capital contribution from parent (financing)		14,000,000
	Capitalized interest (operating)		(77,538)
	Capitalized Interest (investing)		77,538
	, , ,	, i	, , , , , , , , , , , , , , , , , , ,

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS – SUMMARY

	ANALIS				JI LINL		JUSINE,			
		1	2	3	4 Individual	5 Group	6 Accident and	7	8 Other Lines of	9 YRT Mortality
<u> </u>		Total	Individual Life	Group Life	Annuities	Annuities	Health	Fraternal	Business	Risk Only
	Premiums and annuity considerations for life and accident and health contracts	127 , 938 , 661	127,938,661	VVV				VVV		VVV
	Considerations for supplementary contracts with life contingencies	0.007.505	XXX				XXX	XXX		XXX
	Net investment income	2,997,505	2,997,505			+		ļ		ł
	. Amortization of Interest Maintenance Reserve (IMR)		155,891							
5								XXX		
	Commissions and expense allowances on reinsurance ceded							XXX		
	Reserve adjustments on reinsurance ceded							XXX		
8	Miscellaneous Income:									i
	8.1 Income from fees associated with investment management, administration and contract									i
	guarantees from Separate Accounts							XXX		
	8.2 Charges and fees for deposit-type contracts						XXX	XXX		.
	8.3 Aggregate write-ins for miscellaneous income	65,744,971	65,744,971							i
g	Totals (Lines 1 to 8.3)	196,837,027	196,837,027							i
	Death benefits	7 //91 //37	7,491,037				XXX	XXX		
	Matured endowments (excluding guaranteed annual pure endowments)							XXX		
	Annuity benefits		XXX	XXX			XXX	XXX	***************************************	XXX
12	Disability benefits and benefits under accident and health contracts		,,,,,	,,,,,	1			XXX		
13	Coupons, guaranteed annual pure endowments and similar benefits							XXX		ſ
							XXX	XXX	•	ſ
	Surrender benefits and withdrawals for life contracts					+		XXX	-	ľ
	Group conversions									i
17	Interest and adjustments on contract or deposit-type contract funds							XXX		ł
18	Payments on supplementary contracts with life contingencies Increase in aggregate reserves for life and accident and health contracts						XXX	XXX		
		457 , 777 , 570	457 , 777 , 570					XXX		<u> </u>
	Totals (Lines 10 to 19)	465,268,606	465, 268, 606					XXX		
21	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)									XXX
22	Commissions and expense allowances on reinsurance assumed	19,871,492	19.871.492					XXX		i
	General insurance expenses and fraternal expenses.		4,116,095							i
24	Insurance taxes, licenses and fees, excluding federal income taxes	200	200							i
	Increase in loading on deferred and uncollected premiums				1	·		XXX	·	i
	Net transfers to or (from) Separate Accounts net of reinsurance				1			XXX		i
	Aggregate write-ins for deductions					+				ſ
	Totals (Lines 20 to 27)	489,256,393	489.256.393							
		409,200,393	409,200,393							
	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(292,419,366)	(292,419,366)					XXX		
	Dividends to policyholders and refunds to members							۸۸۸		
31	Net gain from operations after dividends to policyholders, refunds to members and before	(000 440 000)	(000 440 000)							i
	federal income taxes (Line 29 minus Line 30)	(292,419,366)	(292,419,366)			<u> </u>		ļ		<u> </u>
	Federal income taxes incurred (excluding tax on capital gains)	(385,598)	(385,598)							<u> </u>
33	. Net gain from operations after dividends to policyholders, refunds to members and federal									ł
	income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(292,033,768)	(292,033,768)							<u> </u>
34	Policies/certificates in force end of year							XXX		1
	LS OF WRITE-INS									i
08.30	. Investment income - funds withheld coinsurance.	55,583,686	55,583,686							i
08.30	P. Funding allowance	10,161,285	10,161,285							l
	3.				I	T	T	I	T	1
08 39	3. Summary of remaining write-ins for Line 8.3 from overflow page									1
). Total (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	65.744.971	65.744.971			· †		İ		······
	(00),0	, ,-			+	+	-		·
						+			+	ſ
2/02					t	+		 	+	ſ
1					†	+		 	+	f
	Summary of remaining write-ins for Line 27 from overflow page									i
2799	Total (Lines 2701 through 2703 plus 2798) (Line 27 above)					1	1			<u> </u>

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL LIFE INSURANCE (b)

ANAL 1313 UF UPE	RAHUI	13 DIL	TIMES (T DUS	IINEOO -	- IIIDIV	IDUALI		JUKAN			
	1 Total	2 Industrial Life	3 Whole Life	4 Term Life	5 Indexed Life	6 Universal Life	7 Universal Life With Secondary Guarantees	8 Variable Life	9 Variable Universal Life	10 Credit Life (c)	11 Other Individual Life	12 YRT Mortality Risk Only
Premiums for life contracts (a)	127,938,661				5,248,659		122,690,001					
Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
3. Net investment income	2,997,505				145,165		2,852,340					
Amortization of Interest Maintenance Reserve (IMR)	155,891				7.550		148,342					
5. Separate Accounts net gain from operations excluding unrealized gains or losses												
Commissions and expense allowances on reinsurance ceded												
Reserve adjustments on reinsurance ceded												
Miscellaneous Income:												
8.1 Income from fees associated with investment management, administration and contract quarantees from Separate Accounts												
8.2 Charges and fees for deposit-type contracts												
8.3 Aggregate write-ins for miscellaneous income	65,744,971				3,183,928		62,561,043					
9. Totals (Lines 1 to 8.3)	196,837,027				8,585,301		188.251.726					+
10. Death benefits	7,491,037				0,000,001		7.491.037					+
Matured endowments (excluding guaranteed annual pure endowments)				•	***************************************							
Matured endowments (excluding guaranteed armual pure endowments)	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
Annuity benefits												
14. Coupons, guaranteed annual pure endowments and similar benefits					+							
15. Surrender benefits and withdrawals for life contracts												
16. Group conversions												
17. Interest and adjustments on contract or deposit-type contract funds												
18. Payments on supplementary contracts with life contingencies												
19. Increase in aggregate reserves for life and accident and health contracts	457 , 777 , 570				7,405,195		450,372,375					
20. Totals (Lines 10 to 19)	465,268,606				7 , 405 , 195		457 ,863 ,411					
Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)												XXX
22. Commissions and expense allowances on reinsurance assumed	19,871,492				962,346		18,909,146					
23. General insurance expenses	4,116,095				199,336		3,916,759					
24. Insurance taxes, licenses and fees, excluding federal income taxes	200				10		190					
25. Increase in loading on deferred and uncollected premiums												
26. Net transfers to or (from) Separate Accounts net of reinsurance												
27. Aggregate write-ins for deductions												
28. Totals (Lines 20 to 27)	489.256.393				8,566,887		480,689,506					+
29. Net gain from operations before dividends to policyholders, refunds to members and federal	403,230,333				0,000,007		400,009,000					+
Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(292,419,366)				18,415		(292,437,781)					
31. Net gain from operations after dividends to policyholders, refunds to members and before												
federal income taxes (Line 29 minus Line 30)	(292,419,366)				18.415		(292.437.781)					
32. Federal income taxes incurred (excluding tax on capital gains)	(385.598)			†	24		(385.622)			+		-
32. Federal income taxes incurred (excluding tax on capital gains)	(303,330)				24		(303,022)					+
 Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) 	(292,033,768)				18,390		(292,052,159)					
34. Policies/certificates in force end of year												
DETAILS OF WRITE-INS												1
08.301. Investment income - funds withheld coinsurance.	55,583,686				2,691,832							
08.302. Funding allowance	10,161,285				492.095		9,669,189					
08.303.			T	T	T	[T		T	T		T
08.398. Summary of remaining write-ins for Line 8.3 from overflow page												
08.399. Total (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	65,744,971			†	3,183,928		62,561,043					
2701.	00,744,071				3,103,320		02,001,040					+
		†	+	 	+							-
2702		<u> </u>	<u> </u>		<u> </u>		<u> </u>			1		1
2798. Summary of remaining write-ins for Line 27 from overflow page												
2799. Total (Lines 2701 through 2703 plus 2798) (Line 27 above)		T	T	Ī	Ī							T
27-99. Total (Line 27-97 till ough 27-99) (Line 27-89) (Line 27-89)	l .	1	1		1	I	1		I	1		

^{..} page. (Indicate whether included with Individual or Group.) (c) Individual and Group Credit Life are combined and included on

Analysis of Operations - Group Life Insurance NONE

Analysis of Operations - Individual Annuities NONE

Analysis of Operations - Group Annuities NONE

Analysis of Operations - Accident and Health NONE

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL LIFE INSURANCE (a)

	1	2	3	4	5	6	7	8	9	10	11	12
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Universal Life With Secondary Guarantees	Variable Life	Variable Universal Life	Credit Life (b) (N/A Fraternal)	Other Individual Life	YRT Mortality Risk Only
Involving Life or Disability Contingencies (Reserves)												
(Net of Reinsurance Ceded)												I
Reserve December 31 prior year	326,726,522				120 , 327 , 125		2,206,399,397					
Tabular net premiums or considerations	293,016,750				11,336,933		281,679,817					ſ
Present value of disability claims incurred												ſ
4. Tabular interest	108,590,283				5,258,860		103,331,423					ſ
Tabular less actual reserve released												[
6. Increase in reserve on account of change in valuation basis												[
6.1 Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve		ХХХ								XXX		· · · · · · · · · · · · · · · · · · ·
7. Other increases (net)												-
8. Totals (Lines 1 to 7)	728,333,554				136,922,918		2,591,410,636					
9. Tabular cost	.(72,798,918)				9,190,598		(81,989,517)					ſ
10. Reserves released by death	5,675,840						5,675,840					
11. Reserves released by other terminations (net)												ſ
12. Annuity, supplementary contract, and disability payments involving life contingencies												Γ
13. Net transfers to or (from) Separate Accounts												
14. Total deductions (Lines 9 to 13)	(67, 123, 078)				9,190,598		(76,313,676)					 -
15. Reserve December 31 current year 2,	795,456,633				127,732,320		2,667,724,312					
Cash Surrender Value and Policy Loans												I
16. CSV Ending balance December 31, current year	531,630,736				119,751,397		411,879,338					[
17. Amount Available for Policy Loans Based upon Line 16 CSV												1

⁽a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

⁽b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

Analysis of Increase in Reserves - Group Life ${\color{blue}\mathsf{NONE}}$

Analysis of Increase in Reserves - Individual Annuities NONE

Analysis of Increase in Reserves - Group Annuities ${\color{blue}\mathsf{NONE}}$

EXHIBIT OF NET INVESTMENT INCOME

		1 Collected During Year	2 Earned During Year
1.	U.S. Government bonds	(a)139,375	139,375
1.1	Bonds exempt from U.S. tax	(a)	
1.2	Other bonds (unaffiliated)	(a)2,742,251	2,673,876
1.3	Bonds of affiliates		
2.1	Preferred stocks (unaffiliated)		153,244
2.11			
2.2	Common stocks (unaffiliated)		
2.21	Common stocks of affiliates		l .
3.	Mortgage loans		i e
4.	Real estate	1 ` '	i e
5.	Contract loans		
6.	Cash, cash equivalents and short-term investments		1,958
7.	Derivative instruments		07 501
8. 9.	Other invested assets		97,501
10.	Aggregate write-ins for investment income Total gross investment income	3.135.248	919 3,066,873
		., ., .,	
11. 12.	Investment expenses		
13.	Interest expense		
14.	Depreciation on real estate and other invested assets		
15.	Aggregate write-ins for deductions from investment income		
16.	Total deductions (Lines 11 through 15)		
17.	Net investment income (Line 10 minus Line 16)		2,997,505
DETAI	LS OF WRITE-INS		, , , , , , , , , , , , , , , , , , , ,
0901.	Miscellaneous investment income	919	919
0902.	mi soci i di locale i i i i i i i i i i i i i i i i i i i		
0903.			
	Summary of remaining write-ins for Line 9 from overflow page		
	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)	919	
1501.	ζ		
1501.			
1503.			
1598.	Summary of remaining write-ins for Line 15 from overflow page		
1599.	Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)		
(b) Incl (c) Incl (d) Incl (e) Incl (f) Incl (g) Incl	udes \$546,591 accrual of discount less \$51,708 amortization of premium and less \$	paid for accrued paid for accrued paid for accrued ton encumbrances.	d dividends on purchases. d interest on purchases. d interest on purchases.
(h) Incl	udes \$	ts.	

EXHIBIT OF CAPITAL GAINS (LOSSES)

		0. 0/11.		5 (2552	U ,	
		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds					
1.1	Bonds exempt from U.S. tax					
1.2	Other bonds (unaffiliated)	10,607		10,607		
1.3	Bonds of affiliates					
2.1	Preferred stocks (unaffiliated)				70,000	
2.11	Preferred stocks of affiliates					
2.2	Common stocks (unaffiliated)					
2.21	Common stocks of affiliates					
3.	Mortgage loans					
4.	Real estate					
5.	Contract loans					
6.	Cash, cash equivalents and short-term investments					
7.	Derivative instruments					
8.	Other invested assets					
9.	Aggregate write-ins for capital gains (losses)					
10.	Total capital gains (losses)	10,607		10,607	70,000	
DETAI	LS OF WRITE-INS					
0901.						
0902.						
0903.						
0998.						
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)					

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ANNUAL STATEMENT FOR THE YEAR 2021 OF THE MNL Reinsurance Company

EXHIBIT 1 - PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

LAIIIDII	I - FAIXI I	- PREMIUM	S AND AN	TOTT CON	SIDLIVATIO		I L AND AC	CIDENT A	IDIILALIII	CONTINA	710	
	1	2	Orc	linary	5		roup	1	Accident and Health			12
	'	2	3	4	1	6	7	8	9	10	Aggregate of	Fraternal
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	All Other Lines of Business	(Fraternal Benefit Societies Only)
FIRST YEAR (other than single)	Total	industrial Life	Life insurance	Individual Amidites	and marvidual)	Life insurance	Airidities	Стоир	individual)	Otrici	Lines of Business	Oddicties Offiy)
1. Uncollected												
Deferred and accrued Deferred , accrued and uncollected:								-	-			
3.1 Direct												
3.2 Reinsurance assumed												
3.3 Reinsurance ceded												
3.4 Net (Line 1 + Line 2)												
4. Advance												
5. Line 3.4 - Line 4												
Collected during year: 6.1 Direct												
6.2 Reinsurance assumed												
6.3 Reinsurance ceded					ļ	ļ				ļ		
6.4 Net												
7. Line 5 + Line 6.4												
Prior year (uncollected + deferred and accrued - advance)												
First year premiums and considerations: 9.1 Direct												
9.2 Reinsurance assumed										ļ		<u> </u>
9.3 Reinsurance ceded				.				ļ				ļ
9.4 Net (Line 7 - Line 8)								ļ		ļ		ļ
SINGLE												
Single premiums and considerations:												
10.1 Direct				·				-				
10.2 Reinsurance assumed								·				
10.4 Net				·				-				
RENEWAL												
11. Uncollected												
12. Deferred and accrued										ļ		ļ
Deferred, accrued and uncollected:												
13.1 Direct												
13.2 Reinsurance assumed												
13.3 Reinsurance ceded												
14. Advance												
15. Line 13.4 - Line 14				·				†				
16. Collected during year:		1		1	1	İ	1	1		1	1	
16.1 Direct												
16.2 Reinsurance assumed	127,938,661	1	127,938,661									
16.3 Reinsurance ceded				ļ	ļ	ļ		ļ		ļ		ļ
16.4 Net	127,938,661	! 	127,938,661			ļ				ļ		ļ
17. Line 15 + Line 16.4	127 ,938 ,661	1	127,938,661							ļ		
18. Prior year (uncollected + deferred and accrued -												
advance)	·			·	·	····		+	-	·		
19. Renewal premiums and considerations: 19.1 Direct								1				
19.2 Reinsurance assumed	127,938,661	1 T	127,938,661		İ		<u> </u>	1		İ	1	
19.3 Reinsurance ceded			12, 1000,001									
19.4 Net (Line 17 - Line 18)	127,938,661	1	127,938,661									
TOTAL												
20. Total premiums and annuity considerations:												
20.1 Direct					ļ	ļ				ļ		ļ
20.2 Reinsurance assumed	127 , 938 , 661	¹ 	127 ,938 ,661									
20.3 Reinsurance ceded	127.938.661		127.938.661	·	·	····		+	-	·		
20.4 Net (Lines 9.4 + 10.4 + 19.4)	127,938,00	· •	127,938,001	1	I	I .	1	1	1	I	1	I

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EXHIBIT 1 – PART 2 – POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

			Insurance									
	1	2	Ordir	nary	5	Gro	oup		Accident and Health		11	12
	Total	Industrial Life	3 Life Insurance	4 Individual Annuities	Credit Life (Group and Individual)	6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
POLICYHOLDERS'S DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)												
21. To pay renewal premiums												
22. All other												
REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED												
23. First year (other than single): 23.1 Reinsurance ceded												
23.2 Reinsurance assumed												
23.3 Net ceded less assumed												
24. Single:												
24.1 Reinsurance ceded												
24.2 Reinsurance assumed												
24.3 Net ceded less assumed												
25. Renewal:												
25.1 Reinsurance ceded												
25.2 Reinsurance assumed	19,871,492		19,871,492									
25.3 Net ceded less assumed	(19,871,492)		(19,871,492)									
26. Totals:												
26.1 Reinsurance ceded (Page 6, Line 6)												
26.2 Reinsurance assumed (Page 6, Line 22)	19,871,492		19,871,492									
26.3 Net ceded less assumed	(19,871,492)		(19,871,492)									
COMMISSIONS INCURRED (direct business only)	, , , , ,											
27. First year (other than single)												
28. Single												
29. Renewal												
30. Deposit-type contract funds												
31. Totals (to agree with Page 6, Line 21)												

EXHIBIT 2 - GENERAL EXPENSES

2. Salaries and wages 3.11 Contributions for benefit plans 3.12 Contributions for benefit plans 3.21 Payments to employees unde 3.22 Payments to employees unde 3.23 Other employee welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real este 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$				1 1 1 101			5 6	, '
2. Salaries and wages 3.11 Contributions for benefit plans 3.12 Contributions for benefit plans 3.12 Payments to employees unde 3.22 Payments to employees unde 3.22 Payments to agents under no 3.31 Other employee welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph at 5.4 Printing and stationery 5.5 Cost or depreciation of furnitut 5.6 Rental of equipment 5.7 Cost or depreciation of EDP et 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service of 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$ 7.3 Agency conferences other tha 8.1 Official publication (Fratemal I 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid De 11. General expenses unpaid De 12. General expenses unpaid De 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 16. Software 17. Software 18. Software		1	Accident ar		⊣ ⁴		ļ	
2. Salaries and wages		Life	2 Cost Containment	3 All Other	All Other Lines of Business	Investment	Fraternal	Total
3.11 Contributions for benefit plans 3.12 Contributions for benefit plans 3.21 Payments to employees unde 3.22 Payments to agents under no 3.31 Other employee welfare 3.32 Other agent welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off 5. 7.3 Agency conferences other tha 8.1 Official publication (Fraternal) 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to year 15. General expenses paid during ETALLS OF WRITE-INS 9.301. LLC not guarantee fee								
3.12 Contributions for benefit plans 3.21 Payments to employees unde 3.22 Payments to agents under no 3.31 Other employee welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph at 5.4 Printing and stationery 5.5 Cost or depreciation of EDP et 6.7 Rental of equipment 6.8 Rental of equipment 6.9 Bureau and association fees 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service of 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 6.8 Reimbursements by uninsure 6.9 Agents' balances charged off 6 Sundry expense allowance 7.2 Agents' balances charged off 7.3 Agency conferences other tha 8.1 Official publication (Fraternal) 8.2 Expense of supreme lodge m 6.5 Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid Det 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 16. Software 17. Software 17. Software	l wages							
3.12 Contributions for benefit plans 3.21 Payments to employees unde 3.22 Payments to agents under no 3.31 Other employee welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph at 5.4 Printing and stationery 5.5 Cost or depreciation of EDP et 6.7 Rental of equipment 6.8 Rental of equipment 6.9 Bureau and association fees 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service of 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 6.8 Reimbursements by uninsure 6.9 Agents' balances charged off 6 Sundry expense allowance 7.2 Agents' balances charged off 7.3 Agency conferences other tha 8.1 Official publication (Fraternal) 8.2 Expense of supreme lodge m 6.5 Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid Det 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 16. Software 17. Software 17. Software	s for benefit plans for employees							
3.21 Payments to employees unde 3.22 Payments to agents under no 3.31 Other employee welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of FDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$	s for benefit plans for agents							
3.22 Payments to agents under no 3.31 Other employee welfare 3.32 Other agent welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real este 6.4 Miscellaneous losses 6.5 Collection and bank service of 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off 5. 7.3 Agency conferences other tha 8.1 Offficial publication (Fraternal l 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses unpaid Det 10. General expenses unpaid Det 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 16. Software 19.301.	employees under non-funded benefit plans							
3.31 Other employee welfare 3.32 Other agent welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service of 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off 5 7.3 Agency conferences other tha 8.1 Official publication (Fraternal) 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses unpaid Det 10. General expenses unpaid Det 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to year 15. General expenses paid during ETALLS OF WRITE-INS 9.301. LLC not guarantee fee					I I			
3.32 Other agent welfare 4.1 Legal fees and expenses 4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of FDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service of 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$								
4.1 Legal fees and expenses								
4.2 Medical examination fees 4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service of 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off 5 Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid De 11. General expenses unpaid De 12. General expenses unpaid De 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 15. General expenses paid during 16. Soft ware 1.302. Soft ware 1.3030.								
4.3 Inspection report fees 4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses								
4.4 Fees of public accountants ar 4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$								
4.5 Expense of investigation and 5.1 Traveling expenses 5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$ 1. Agency expense allowance 7.3 Agency conferences other tha 8.1 Official publication (Fratemal l 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid Dec 11. General expenses unpaid Dec 12. Amounts receivable relating to year 13. General expenses paid during 14. Amounts receivable relating to year 15. General expenses paid during 15. General expenses paid during 16. Software 17. Software 17. Software 17. Software	eport fees	05.000						
5.1 Traveling expenses	lic accountants and consulting actuaries	25,000						25,0
5.2 Advertising 5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real esta 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$ 7.3 Agency conferences other tha 8.1 Official publication (Fraternal 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid Dei 11. General expenses unpaid Dei 12. General expenses unpaid Dei 13. Amounts receivable relating to year 15. General expenses paid during TTALLS OF WRITE-INS 1,3001. LLC not guarantee fee	investigation and settlement of policy claims							
5.3 Postage, express, telegraph a 5.4 Printing and stationery 5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$ 7.3 Agency conferences other tha 8.1 Official publication (Fratemal I 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid De 11. General expenses unpaid De 12. General expenses unpaid De 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 16. Software 17. Software 17. Software 17. Software	penses							
5.4 Printing and stationery								
5.4 Printing and stationery	press, telegraph and telephone							
5.5 Cost or depreciation of furnitu 5.6 Rental of equipment 5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees 6.3 Insurance, except on real est 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off 5. 7.3 Agency conferences other tha 8.1 Official publication (Fraternal 8.2 Expense of supreme lodge m 7.3 Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid Det 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 16. Software. 18. Software.	stationery							
5.6 Rental of equipment	reciation of furniture and equipment							
5.7 Cost or depreciation of EDP e 6.1 Books and periodicals 6.2 Bureau and association fees . 6.3 Insurance, except on real este 6.4 Miscellaneous losses 6.5 Collection and bank service c 6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsurer 7.1 Agency expense allowance 7.2 Agents' balances charged off \$ \$ 7.3 Agency conferences other tha 8.1 Official publication (Fraternal I 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not include 9.3 Aggregate write-ins for expen 10. General expenses unpaid Dec 11. General expenses unpaid Dec 12. General expenses unpaid Dec 13. Amounts receivable relating to year 14. Amounts receivable relating to year 15. General expenses paid during 17. General expenses paid during	uipment							
6.1 Books and periodicals								
6.2 Bureau and association fees 6.3 Insurance, except on real esta 6.4 Miscellaneous losses								
6.3 Insurance, except on real esta 6.4 Miscellaneous losses					I I			
6.4 Miscellaneous losses								
6.5 Collection and bank service of 6.6 Sundry general expenses	except on real estate							
6.6 Sundry general expenses 6.7 Group service and administra 6.8 Reimbursements by uninsure 7.1 Agency expense allowance 7.2 Agents' balances charged off \$	us losses							
6.7 Group service and administra 6.8 Reimbursements by uninsurer 7.1 Agency expense allowance 7.2 Agents' balances charged off \$ 7.3 Agency conferences other tha 8.1 Official publication (Fraternal I 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses unpaid Der 11. General expenses unpaid Der 12. General expenses unpaid Der 13. Amounts receivable relating to year 14. Amounts receivable relating to year 15. General expenses paid during ETALLS OF WRITE-INS 9.301. LLC not guarantee fee	nd bank service charges							2,6
6.8 Reimbursements by uninsurer 7.1 Agency expense allowance 7.2 Agents' balances charged off 8	eral expenses							
6.8 Reimbursements by uninsurer 7.1 Agency expense allowance 7.2 Agents' balances charged off 8	ce and administration fees	100,000						100,0
7.1 Agency expense allowance 7.2 Agents' balances charged off \$ \$ 7.3 Agency conferences other the 8.1 Official publication (Fraternal 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incl 9.3 Aggregate write-ins for expen 10. General expenses incurred 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to year 15. General expenses paid during 15. General expenses paid during 16.301. LLC not guarantee fee	nents by uninsured plans							
7.2 Agents' balances charged off \$	ense allowance							
\$								
7.3 Agency conferences other tha 8.1 Official publication (Fraternal 8.2 Expense of supreme lodge m Societies Only)	recovered)							
8.1 Official publication (Fraternal 8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not inclus 3 Aggregate write-ins for expen 10. General expenses unpaid Detection 11. General expenses unpaid Detection 12. Amounts receivable relating to year 15. General expenses paid during TAILS OF WRITE-INS 13.301. LLC not guarantee fee	ferences other than local meetings							
8.2 Expense of supreme lodge m Societies Only) 9.1 Real estate expenses 9.2 Investment expenses not incle 9.3 Aggregate write-ins for expen 10. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to year 14. Amounts receivable relating to year 15. General expenses paid during TAILS OF WRITE-INS 3.301. LLC not guarantee fee								
Societies Only)								
9.1 Real estate expenses	Only)	vvv	vvv	VVV	xxx	vvv		
9.2 Investment expenses not inclu 9.3 Aggregate write-ins for expen 10. General expenses incurred 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to year 15. General expenses paid during TAILS OF WRITE-INS 301. LLC not guarantee fee								
9.3 Aggregate write-ins for expen 10. General expenses incurred 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to year 15. General expenses paid during TAILS OF WRITE-INS .301. LLC not guarantee fee						69.368		
10. General expenses incurred 11. General expenses unpaid Det 12. General expenses unpaid Det 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 16. TAILS OF WRITE-INS 1.301. LLC not guarantee fee	expenses not included elsewhere							
General expenses unpaid Det General expenses unpaid Det Amounts receivable relating to year General expenses paid during TAILS OF WRITE-INS Software	vrite-ins for expenses	3,988,430						3,988,4
12. General expenses unpaid Det 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 16. LLC not guarantee fee	enses incurred	4,116,095						
12. General expenses unpaid Det 13. Amounts receivable relating to 14. Amounts receivable relating to 15. General expenses paid during 15. General expenses paid during 16. LLC not guarantee fee	enses unpaid December 31, prior year	930,097						971,4
Amounts receivable relating to Amounts receivable relating to year General expenses paid during TAILS OF WRITE-INS 3.301. LLC not guarantee fee	enses unpaid December 31, current year	1,274,815				18,499		1,293,3
year General expenses paid during TAILS OF WRITE-INS 3.301. LLC not guarantee fee	ceivable relating to uninsured plans, prior year							
15. General expenses paid during TAILS OF WRITE-INS 9.301. LLC not guarantee fee	ceivable relating to uninsured plans, current						İ	
TAILS OF WRITE-INS 9.301. LLC not guarantee fee 9.302. Software 9.303.								
TAILS OF WRITE-INS 9.301. LLC not guarantee fee 9.302. Software 9.303.	enses paid during year (Lines 10+11-12-13+14)	3,771,377				92,209		3,863,
9.301. LLC not guarantee fee								
0.302. Software							l	
.303	antee fee	3,984,424						3,984,
		4,006						4,
398 Summary of remaining write-in	remaining write-ins for Line 9.3 from overflow							
	Terrialing wite indicate and other overnow							
0.399. Totals (Lines 09.301 through 09	3 09.301 through 09.303 + 09.398) (Line 9.3 above)	3,988,430			···			3.988.4
Includes management fees of \$		offiliates and ©		to non of	filiates	l.		3,300,*

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOMETAXES)

		Insurance		4	5	6
	1	2	3 All Other Lines of			
	Life	Accident and Health	Business	Investment	Fraternal	Total
Real estate taxes						
State insurance department licenses and fees	200					200
State taxes on premiums						
Other state taxes, incl. \$ for employee benefits						
U.S. Social Security taxes						
6. All other taxes						
Taxes, licenses and fees incurred	200					20
8. Taxes, licenses and fees unpaid December 31, prior year						
9. Taxes, licenses and fees unpaid December 31, current year						
10. Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	200					20

EXHIBIT 4 - DIVIDENDS OR REFUNDS

		1	2
		Life	Accident and Health
1.	Applied to pay renewal premiums		
2.	Applied to shorten the endowment or premium-paying period		
3.	Applied to provide paid-up additions		
4.	Applied to provide paid-up annuities		
5.	Total Lines 1 through 4		
6.	Paid-in cash		
7.	Left on deposit		
8.	Aggregate write-ins for dividend or refund options		
9.	Total Lines 5 through 8		
10.	Amount due and unpaid		
11.			
12.	Terminal dividends		
13.	Provision for deferred dividend contracts		
14.	Amount provisionally held for deferred dividend contracts not included in Line 13		
15.	Total Lines 10 through 14		
16.	Total from prior year		
17.	Total dividends or refunds (Lines 9 + 15 - 16)		
DETAIL	S OF WRITE-INS		
1	5 OF WRITE-INS		
0801.			
0802.			
0803.			
0898.	Summary of remaining write-ins for Line 8 from overflow page		
0899.	Totals (Line 0801 through 0803 + 0898) (Line 8 above)		

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

EARIBIT 3 - AGGRE	2	3	1	5	6
'	2	3	7		O
Valuation Standard	Total (a)	Industrial	Ordinary	Credit (Group and Individual)	Group
LIFE INSURANCE				<u> </u>	
0100001. 80 CSO 4.0% CRVM 2006-2008	101,062,149		101,062,149		
0100002. 80 CSO 4.5% CRVM 2002-2005			47,325,894 823,493,475		
1100003. 2001 C30 4.0% CRVM 2007-2012	820,761,364		820,761,364	l	
1100005. ADDITIONAL RESERVE FOR AG38.			747,193,505		
	2,539,836,386		2,539,836,386		
0199998 Reinsurance ceded					
0199999 Totals (Net)	2,539,836,386		2,539,836,386		
ANNUITIES (excluding supplementary contracts with life					
contingencies):					
0299997 Totals (Gross)		XXX		XXX	
0299998 Reinsurance ceded		XXX		XXX	
0299999 Totals (Net)		XXX		XXX	
SUPPLEMENTARY CONTRACTS WITH LIFE					
CONTINGENCIES:					
0399997 Totals (Gross)					
0399998 Reinsurance ceded					
0399999 Totals (Net)					
ACCIDENTAL DEATH BENEFITS:					
0499997 Totals (Gross)					
0499998 Reinsurance ceded					
0499999 Totals (Net)					
DISABILITY-ACTIVE LIVES:					
0599997 Totals (Gross)					
0599998 Reinsurance ceded					
0599999 Totals (Net)					
DISABILITY-DISABLED LIVES:					
0699997 Totals (Gross)					
0699998 Reinsurance ceded					
0699999 Totals (Net)					
MISCELLANEOUS RESERVES 0700001. DEFICIENCY	255,620,246		255,620,246		
0700001. DEFICIENCY	200,020,240		200,020,240		
				1	
				 	
			ļ	 	
				 	
				†	
0799997 Totals (Gross)	255,620,246		255,620,246		
0799998 Reinsurance ceded					
0799999 Totals (Net)	255,620,246		255,620,246		
9999999 Totals (Net) - Page 3, Line 1	2,795,456,633		2,795,456,633	1	

(a) included in the above table are amounts of c	ieposit-type contracts that originally co	ontained a mortality ris	K.		
Amounts of deposit-type contracts in Column 2	that no longer contain a mortality risk	are Life Insurance	\$; Annuities \$		
Supplemental Contracts with	; Accidental Death	; Disability - Active	; Disability – Disabled	; Miscellaneous	
Life Contingencies \$. Benefits \$	Lives \$	Lives \$	Reserves \$	

EXHIBIT 5 - INTERROGATORIES

1.1 1.2	Has the reporting entity ever issued both participating and non-participating contracts?	Yes []	No [Х]
2.1 2.2	7	Yes []	No [Х]
3.	Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?	Yes []	No [Х]
4.	Has the reporting entity any assessment or stipulated premium contracts in force?	Yes []	No [Х]
4.1	Amount of insurance:				
4.2	Amount of reserve:				
4.3	Basis of reserve:				
4.4	Basis of regular assessments:				
4.5	Basis of special assessments:				
4.6	Assessments collected during the year: \$				
5.	If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts:				
6.	Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis?	Yes []	No [Х]
6.1	If so, state the amount of reserve on such contracts on the basis actually held:				
6.2	That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits:\$.				
	Attach statement of methods employed in their valuation.				
7. 	Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?				-
7.1	If yes, state the total dollar amount of assets covered by these contracts or agreements: Secrete the horizoft in the property of the horizontal and the secrete				
7.2	Specify the basis (fair value, amortized cost, etc.) for determining the amount				
7.3	State the amount of reserves established for this business:				
7.4	Identify where the reserves are reported in the blank				
8.	Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?	Yes []	No [Х]
8.1	If yes, state the total dollar amount of account value covered by these contracts or agreements:				
8.2	State the amount of reserves established for this business:				
8.3	Identify where the reserves are reported in the blank:				
9.	Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year?	Yes []	No [Хј
9.1	If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:\$\$\$\$\$				
9.2	State the amount of reserves established for this business:				
9.3	Identify where the reserves are reported in the blank:				

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

EXHIBIT SA - CHANGES IN DASE			IIL ILAN	
1	Valuatio	on Basis	4	
	2	3	Increase in Actuarial	
			Reserve Due to	
Description of Valuation Class	Changed From	Changed To	Change	
LIFE CONTRACTS (Including supplementary contracts set upon a basis other than that used to determine benefits) (Exhibit 5)				
0199999 Subtotal (Page 7, Line 6)	XXX	XXX		
ACCIDENT AND HEALTH CONTRACTS (Exhibit 6)				
0299999 Subtotal	XXX	XXX		
DEPOSIT-TYPE CONTRACTS (Exhibit 7)				
······································				
	<i></i>			
2000000 0 1-1-1-1	NAV.	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		
0399999 Subtotal	XXX	XXX		
9999999 Total (Column 4 only)				

Exhibit 6 - Agg. Res. for A&H Contracts NONE

Exhibit 7 - Deposit Type Contracts

NONE

Exhibit 8 - Part 1

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

	PART 2 - Incurred During the Year											
	1	2	Ordinary			6	Gr	oup		Accident and Health		
			3	4	5		7	8	9	10	11	
	Total	Industrial Life (a)	Life Insurance (b)	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (c)	Annuities	Group	Credit (Group and Individual)	Other	
Settlements during the year: 1.1 Direct												
1.2 Reinsurance assumed	7 ,491,037		7,491,037									
1.3 Reinsurance ceded	(d) 7,491,037		7 ,491 ,037									
1.4 Net 2. Liability December 31, current year from Part 1: 2.1 Direct			7 ,491,037									
Reinsurance assumed Reinsurance ceded A Net												
Amounts recoverable from reinsurers December 31, current year												
Liability December 31, prior year: 4.1 Direct												
4.2 Reinsurance assumed												
Amounts recoverable from reinsurers December 31, prior year												
Incurred benefits: 6.1 Direct												
6.2 Reinsurance assumed	7,491,037		7,491,037									
6.3 Reinsurance ceded												
6.4 Net	7,491,037		7,491,037									

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to	\$ in Line 1.1, \$	in Line 1.4.
	\$ in Line 6.1 and \$	in Line 6.4.
(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to	\$ in Line 1.1, \$	in Line 1.4.
	\$ in Line 6.1 and \$	in Line 6.4.
(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to	\$ in Line 1.1, \$	in Line 1.4.
	\$ in Line 6.1 and \$	in Line 6.4.

(d) Includes \$premiums waived under total and permanent disability benefits.

EXHIBIT OF NONADMITTED ASSETS

	LAHIBH OF NORAL			1 2
		Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1	Bonds (Schedule D)		Nonadmitted Assets	(001. 2 - 001. 1)
İ	Stocks (Schedule D):			
۷.	·			
	2.1 Preferred stocks			
	2.2 Common stocks			
3.	Mortgage loans on real estate (Schedule B):			
	3.1 First liens			
	3.2 Other than first liens			
4.	Real estate (Schedule A):			
	4.1 Properties occupied by the company			
	4.2 Properties held for the production of income			
	4.3 Properties held for sale			
5.	Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and			
	short-term investments (Schedule DA)			
6.	Contract loans			
	Derivatives (Schedule DB)			
İ	Other invested assets (Schedule BA)			
9.	Receivables for securities			
i	Securities lending reinvested collateral assets (Schedule DL)			
1	Aggregate write-ins for invested assets			1
1	Subtotals, cash and invested assets (Lines 1 to 11)			
	Title plants (for Title insurers only)			
	Investment income due and accrued			
15.	Premiums and considerations:			
	15.1 Uncollected premiums and agents' balances in the course of collection			
	15.2 Deferred premiums, agents' balances and installments looke but leren d and not yet due	NE		
	15.3 Accrued retrospective premiums and contracts subject to retrieve matter	J		
16.	Reinsurance:			
	16.1 Amounts recoverable from reinsurers			
	16.2 Funds held by or deposited with reinsured companies			
	16.3 Other amounts receivable under reinsurance contracts			
17.	Amounts receivable relating to uninsured plans			
18.1	1 Current federal and foreign income tax recoverable and interest thereon			
18.2	Net deferred tax asset			
19.	Guaranty funds receivable or on deposit			
20.	Electronic data processing equipment and software			
21.	Furniture and equipment, including health care delivery assets			
22.	Net adjustment in assets and liabilities due to foreign exchange rates			
23.	Receivables from parent, subsidiaries and affiliates			
	Health care and other amounts receivable			
1	Aggregate write-ins for other-than-invested assets			
	Total assets excluding Separate Accounts, Segregated Accounts and			
	Protected Cell Accounts (Lines 12 to 25)			
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			
28.	Total (Lines 26 and 27)			
DETAI	LS OF WRITE-INS			
1101.				
i				
İ				
	Summary of remaining write-ins for Line 11 from overflow page			
1	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)			
	· · · · · · · · · · · · · · · · · · ·			
				<u> </u>
i				
	Summary of remaining write-ins for Line 25 from overflow page			
2599.	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)			

NOTES TO FINANCIAL STATEMENTS

These items are based on illustrations taken from the NAIC Annual Statement Instructions

1. Summary of Significant Accounting Policies and Going Concern

Accounting Practices

Under the certificate of authority granted as a limited purpose subsidiary company by the State of Iowa, the Company prepares its statutory basis financial statements in accordance with accounting practices prescribed or permitted by the Iowa Insurance Division. Prescribed statutory accounting practices include state laws, regulations and general administrative rules. Permitted practices encompass all accounting practices not so prescribed. The Company utilized a prescribed practice that allows a limited purpose subsidiary company domiciled in Iowa to carry an LLC note guarantee as an admitted asset.

	SSAP#	F/S <u>Page</u>	F/S Line #	2021	2020
NET INCOME	<u>567 ti 77</u>	<u>. ago</u>	<u> </u>	<u> </u>	
(1) Company state basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	\$ (292,033,768)	\$ (59,973,332)
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ (292,033,768)	\$ (59,973,332)
SURPLUS					
(5) Company state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 92,474,299	\$ 53,085,952
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
LLC note guarantee	00	3	34	\$ 1,338,219,836	\$ 1,025,964,106
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ (1,245,745,537)	\$ (972,878,154)

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of the financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Life premiums are recognized as income over the premium-paying period of the related policies. Annuity considerations are recognized as revenue when received. Expenses incurred in connection with acquiring new insurance business, including acquisition cost such as sales commissions, are charged to operations as incurred.

In addition, the Company uses the following accounting policies

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds not backed by other loans are stated at amortized cost using the interest method.
- (3) The Company has no common stocks.
- (4) Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32, Preferred Stock.
- (5) The Company has no mortgage loans.
- (6) Loan-backed bonds, CMO's and other structured securities are stated at amortized cost using the interest method including anticipated prepayments.
- (7) The Company has no investments in subsidiary, controlled, or affiliated companies
- (8) The Company has no ownership interest in joint ventures, partnerships, or limited liability companies.
- (9) The Company has no derivative instruments.
- (10) The Company has no premium deficiency calculation for Individual and Group, Accident and Health Contracts.
- (11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimated and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified its capitalization policy from the prior period
- (13) The Company does not have any pharmaceutical rebate receivables
- D. Going Concern

After evaluating the Company's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the Company's ability to continue as a going concern as of the filling of this statement.

2. Accounting Changes and Corrections of Errors

Beginning January 1, 2021, perpetual preferred stocks are now carried at fair value, not to exceed the current stated call price, in accordance with SSAP No. 32R. The impact of adopting this accounting change increased preferred stocks by \$115,000 and increased surplus by \$90,850, net of tax, as of January 1, 2021.

During 2021, the Company recorded a correction of an error related to the calculation of the AG 38 8D reserves assumed in its reinsurance agreements as well as an offsetting correction to its outstanding LLC note guarantee. Due to the offsetting nature of these errors, there was no impact to surplus. However, increase in aggregate reserves in 2021 on page 4 line 19 has been decreased by \$10,952,541 and change in LLC note guarantee on page 4 line 53.01 has also been decreased by \$10,952,041.

During the current year, the Company discovered an error in the classification of certain investments in the prior year. In the prior year, certain preferred stocks were incorrectly classified as bonds, resulting in an overstatement of \$1,000,000 in line 1 on the Assets page and an offsetting understatement in line 2.1 of the Assets page. These preferred stocks were also reported as bonds instead of preferred stocks in the investment schedules in the prior year. The company has correctly reported these securities as preferred stocks in column 1 of the Assets page and correctly reported these securities in the investment schedules in this Annual Statement, but did not revise the prior year amounts reported in column 4 of the Assets page.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

The Company was not subject to any business combinations in 2021.

B. Statutory Merger

The Company does not carry any goodwill resulting from a statutory merger.

C. Assumption Reinsurance

The Company does not have goodwill resulting from assumption reinsurance.

D. Impairment Loss

The Company did not recognize an impairment loss on the transactions described above.

E. Subcomponents and Calculation of Adjusted Surplus and Total Admitted Goodwill

The Company does not carry any goodwill.

4. Discontinued Operations

The Company does not have any amounts related to Discontinued Operations.

Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable to the Company.

B. Debt Restructuring

Not applicable to the Company.

C. Reverse Mortgages

Not applicable to the Company.

- D. Loan-Backed Securities
 - (1) The Company obtains its prepayment assumptions on loan-backed securities from market observable sources and internal prepayment models.
 - (2) The Company has no loan-backed securities with a recognized other than temporary impairment to be disclosed in aggregate as of the statement date.
 - (3) The Company has no loan-backed securities with a recognized other than temporary impairment as of December 31, 2021.
 - The following summarized the aggregate unrealized losses and fair values of loan-backed securities with unrealized losses segregated by those loan backed securities that have been at an unrealized loss position for less than twelve months and those in an unrealized loss position for greater than twelve months.

a. The aggregate amount of unrealized losses:

1.	Less than 12 Months	\$	3,247
2.	12 Months or Longer	\$	37.153
			. ,
1	Less than 12 Months	\$	489.465
		Ψ	
2.	12 Months or Longer	\$	532.066
	12 Months of Longer	Ψ	002,000

Separate Account

General Account

220.400

b. The aggregate related fair value of securities with unrealized losses:

- (5) Loan-backed securities primarily consist of residential mortgage-backed securities ("RMBS") and commercial mortgage-backed securities ("CMBS"). The unrealized losses on RMBS are concentrated in the non-agency sector and are primarily due to concerns regarding mortgage defaults on Alt-A and other risky mortgages. These concerns result in some illiquidity in the market and spread widening on those securities that are being traded. The unrealized losses on CMBS are primarily attributable to illiquidity in that sector and concerns regarding the potential for future commercial mortgage defaults. The Company continues to review payment performance, delinquency rates, and credit enhancements within the security structures and monitored the credit ratings of all its CMBS holdings. The Company performs various stress tests on the cash flow projections for its RMBS and CMBS securities and in situations where it is determined the projected cash flows cannot support the contractual amounts due the Company, an other-than-temporary impairment is recognized. In situations where the projected cash flows indicate the Company will received the amounts it is contractually due and the Company has the intent and ability to hold the securities until recovery of its amortized cost, an other-than-temporary impairment is not recognized.
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable to the Company.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable to the Company.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable to the Company.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable to the Company.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable to the Company.

J. Real Estate

Not applicable to the Company.

K. Low-Income Housing Tax Credits (LIHTC)

Not applicable to the Company.

L. Restricted Assets

Not applicable to the Company.

M. Working Capital Finance Investments

Not applicable to the Company.

N. Offsetting and Netting of Assets and Liabilities

Not applicable to the Company.

O. 5GI Securities

Not applicable to the Company.

P. Short Sales

Not applicable to the Company.

Q. Prepayment Penalty and Acceleration Fees

(1) Number of CUSIPs(2) Aggregate Amount of Investment Income

Reporting Entity's Share of Cash Pool by Asset type.

Not applicable to the Company.

- Joint Ventures, Partnerships and Limited Liability Companies
 - A. The Company has no investments in Join Ventures, Partnerships, or Limited Liability Companies that exceed 10% of its admitted assets.
 - B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships, and Limited Liability Companies during the statement periods.
- 7. Investment Income

There was no due and accrued income excluded from investment income in 2021.

8. Derivative Instruments

The Company has no derivative instruments.

9. Income Taxes

					12/31/2021		
			(1)		(2)		(3)
			Ordinary		Capital		(Col 1+2) Total
(a) (b)	Gross Deferred Tax Assets Statutory Valuation Allowance Adjustments	\$ \$	241,555,533 229,922,902	\$ \$		\$ \$	241,555,53 229,922,90
(c)	Adjusted Gross Deferred Tax Assets (1a - 1b)	\$	11,632,631	\$		\$	11,632,63
(d) (e)	Deferred Tax Assets Nonadmitted Subtotal Net Admitted Deferred Tax Asset	\$ \$	44 622 624	\$		\$ \$	14 622 624
(f) (g)	(1c -1d) Deferred Tax Liabilities Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability)	\$	11,632,631 11,632,631	\$ \$		\$	11,632,63 11,632,63
(9)	(1e - 1f)	\$		\$		\$	
			(4)		12/31/2020 (5)		(6)
			Ordinary		Capital		(Col 4+5) Total
(a)	Gross Deferred Tax Assets	\$	180,991,226	\$	Оирни	\$	180,991,220
(b) (c)	Statutory Valuation Allowance Adjustments Adjusted Gross Deferred Tax Assets	\$	166,510,093	\$		\$	166,510,093
(d) (e)	(1a - 1b) Deferred Tax Assets Nonadmitted Subtotal Net Admitted Deferred Tax Asset	\$ \$	14,481,133	\$ \$		\$ \$	14,481,13
(f)	(1c -1d) Deferred Tax Liabilities	\$ \$	14,481,133 14,481,133	\$ \$		\$ \$	14,481,13 14,481,13
(g)	Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	\$, . ,	\$		\$, - , -
			(7)		Change		(0)
			(7) (Col 1-4)		(8) (Col 2-5)		(9) (Col 7+8)
			Ordinary		Capital		Total
(a) (b)	Gross Deferred Tax Assets Statutory Valuation Allowance Adjustments	\$ \$	60,564,307 63,412,809	\$ \$		\$ \$	60,564,307 63,412,809
(c)	Adjusted Gross Deferred Tax Assets (1a - 1b) Deferred Tax Assets Nonadmitted	\$ \$	(2,848,502)	\$ \$		\$ \$	(2,848,50
(e)	Subtotal Net Admitted Deferred Tax Asset (1c -1d)	\$	(2,848,502)	\$		\$	(2,848,50
(f) (g)	Deferred Tax Liabilities Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability)	\$	(2,848,502)	\$		\$	(2,848,50
	(1e - 1f)	\$		\$		\$	
			(1)		12/31/2021	1	(3)
			(.)		(-)		(Col 1+2)
Adm	nission Calculation Components SSAP No. 101		Ordinary		Capital		Total
(a)	Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks.	\$		\$		\$	
(b)	Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the	Ψ		Ÿ		Ψ	
	Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below) 1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following	\$		\$		\$	
(c)	the Balance Sheet Date. 2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold. Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax	\$	XXX	\$	XXX	\$ \$	13,870,95
(d)	Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities. Deferred Tax Assets Admitted as the result of application of SSAP No. 101.	\$	11,632,631	\$		\$	11,632,63
	Total $(2(a) + 2(b) + 2(c))$	\$	11,632,631	\$		\$	11,632,63
			(4)		12/31/2020 (5)		(6)
			Ordinary		Capital		(Col 4+5) Total
(a)	Federal Income Taxes Paid In Prior Years Recoverable Through Loss						
(b)	Carrybacks. Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The	\$		\$		\$	
	Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below) 1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following	\$		\$		\$	
	the Balance Sheet Date. 2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	\$	XXX	\$	XXX	\$ \$	7,962,89
(c)	Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities. Deferred Tax Assets Admitted as the result of application of SSAP No. 101.	\$	14,481,133	\$		\$	14,481,13
(u)	Total (2(a) + 2(b) + 2(c))	\$	14,481,133	\$		\$	14,481,13
			(7)		Change (8)		(9)
			(Col 1-4)		(Col 2-5)		(Col 7+8)
(0)	Foderal Jacome Tayes Daid In Drier Veers Deceyerable Through Less		Ordinary		Capital		Total
(a) (b)	Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks. Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The	\$		\$		\$	
/	Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	\$		\$		\$	
	Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	\$	xxx	\$	xxx	\$ \$	5,908,05
(c)	Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold. Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.	\$	(2,848,502)	\$	<u>~~~</u>	\$ \$	(2,848,50
(d)	Deferred Tax Assets Admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	\$	(2,848,502)			\$	(2,848,50)

(a) Ratio Percentage Used To Determine Recovery Period And Threshold 419.000 317.000 Limitation Amount.
(b) Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period \$ 92,474,299.000 \$ 53,085,952.000

And Threshold Limitation In 2(b)2 Above.

Impact of Tax-Planning Strategies

- Determination Of Adjusted Gross Deferred Tax Assets And Net Admitted Deferred Tax Assets,

 - Determination Of Adjusted Gross Deterred Tax Assets And Net Admitted Deterred Tax Assets, By Tax Character As A Percentage.

 1. Adjusted Gross DTAs Amount From Note 9A1(c)

 2. Percentage Of Adjusted Gross DTAs By Tax Character Attributable To The Impact Of Tax Planning Strategies

 3. Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e)

 4. Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies

12/31	/2021
(1)	(2)
Ordinary	Capital

11.632.631

11,632,631

14.481.133

14,481,133

12/31	/2020
(3)	(4)
Ordinary	Capital
Oramary	Capital

- Determination Of Adjusted Gross Deferred Tax Assets And Net Admitted Deferred Tax Assets,

 - Determination Or Adjusted Gross Deterred Tax Assets And Net Admitted Deterred Tax Assets, By Tax Character As A Percentage.

 1. Adjusted Gross DTAs Amount From Note 9A1(c)

 2. Percentage Of Adjusted Gross DTAs By Tax Character Attributable To The Impact Of Tax Planning Strategies

 3. Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e)

 4. Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies

(3)	(4)
Ordinary	Capital

Change (Col 2-4) (Col 1-3) Ordinary Capital

- Determination Of Adjusted Gross Deferred Tax Assets And Net Admitted Deferred Tax Assets, By Tax Character As A Percentage.

 1. Adjusted Gross DTAs Amount From Note 9A1(c)

 2. Percentage Of Adjusted Gross DTAs By Tax Character Attributable To The Impact Of Tax Planning Strategies

 3. Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e)

 4. Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies

(2,848,502)

(2,848,502)

- (b) Does the Company's tax-planning strategies include the use of reinsurance?
- Regarding Deferred Tax Liabilities That Are Not Recognized
- (1) Not applicable to the Company.
- Current income taxes incurred consist of the following major components

				(1)		(2)		(3)
				12/31/2021		12/31/2020		(Col 1-2) Change
1.	Curre	ent Income Tax		12/3 1/202 1	l	12/01/2020		Onlange
	(a)	Federal	\$	(374,310)	\$	(2,040,861)	\$	1,666,551
	(b) (c)	Foreign Subtotal	\$	(374,310)	\$	(2,040,861)	\$	1,666,551
	(d) (e)	Federal income tax on net capital gains Utilization of capital loss carry-forwards	\$ \$	2,228	\$ \$	171,911	\$	(169,683)
	(f) (g)	Other Federal and foreign income taxes incurred	\$ \$	(11,288) (383,370)	\$ \$	(2,383) (1,871,333)	\$ \$	(8,905) 1,487,963
2.		rred Tax Assets:	Ψ	(303,370)	Ψ	(1,071,000)	Ψ	1,407,000
2.	(a)	Ordinary						
	(a)	(1) Discounting of unpaid losses	\$		\$		\$	
		(2) Unearned premium reserve	\$	044 555 500	\$	400 004 000	\$	00 504 007
		(3) Policyholder reserves(4) Investments	\$ \$	241,555,533	\$ \$	180,991,226	\$ \$	60,564,307
		(5) Deferred acquisition costs(6) Policyholder dividends accrual	\$ \$		\$ \$		\$ \$	
		(7) Fixed assets (8) Compensation and benefits accrual	\$		\$ \$		\$ \$	
		(9) Pension accrual	\$		\$		\$	
		(10) Receivables - nonadmitted (11) Net operating loss carry-forward	\$ \$		\$ \$		\$ \$	
		(12) Tax credit carry-forward	\$		\$		\$	
		(13) Other (including items <5% of total ordinary tax assets) (99) Subtotal	\$ \$	241,555,533	\$ \$	180,991,226	\$ \$	60,564,307
	(b)	Statutory valuation allowance adjustment Nonadmitted	\$ \$	229,922,902	\$	166,510,093	\$ \$	63,412,809
	(d)	Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	\$	11,632,631	\$	14,481,133	\$	(2,848,502)
	(e)	Capital:						
		(1) Investments	\$		\$		\$	
		(2) Net capital loss carry-forward(3) Real estate	\$ \$		\$ \$		\$ \$	
		(4) Other (including items <5% of total capital tax assets) (99) Subtotal	\$		\$ \$		\$	
	(f) (g)	Statutory valuation allowance adjustment Nonadmitted	\$ \$		\$ \$		\$ \$	
	(h)	Admitted capital deferred tax assets (2e99 - 2f - 2g)	\$		\$		\$	
	(i)	Admitted deferred tax assets (2d + 2h)	\$	11,632,631	\$	14,481,133	\$	(2,848,502)
3.	Defe	rred Tax Liabilities:						
	(a)	Ordinary						
		(1) Investments	\$	63,418	\$	37,991	\$	25,427
		(2) Fixed assets(3) Deferred and uncollected premium	\$ \$		\$ \$		\$ \$	
		(4) Policyholder reserves	\$	11,554,513	\$	14,443,142	\$	(2,888,629)
		Other (including items<5% of total ordinary tax liabilities)(99) Subtotal	\$ \$	11,617,931	\$ \$	14,481,133	\$ \$	(2,863,202)
	(b)	Capital:						
		(1) Investments (2) Real estate	\$ \$	14,700	\$		\$ \$	14,700
		(3) Other (including items <5% of total capital tax liabilities)	\$		\$ \$		\$	
		(99) Subtotal	\$	14,700	\$		\$	14,700

(c) Deferred tax liabilities (3a99 + 3b99) \$ 11,632,631 \$ 14,481,133 \$ (2,848,502)

Net deferred tax assets/liabilities (2i - 3c) \$ \$

D. The provision for federal and foreign income taxes incurred is different from that which would be obtained by applying the statutory Federal Income tax rate to income before income taxes. The significant items causing this difference are as follows:

12/31/2021	Effective Tax Rate
(61,405,840)	21.0%
(32,737)	0.1%
(60,917)	0.0%
63,412,809	(21.7%)
(2,311,385)	0.8%
(398,070)	0.2%
(383,370)	0.2%
(14,700)	0.0%
(398,070)	0.2%
	(61,405,840) (32,737) (60,917) 63,412,809 (2,311,385) (398,070) (383,370) (14,700)

- E. Operating Loss and Tax Credit Carry-forwards and Protective Tax Deposits
 - (1) At December 31, 2021, the Company did not have any unused operating loss or tax credit carry-forwards available to offset against future taxable income.
 - (2) The following are income taxes on capital gains incurred in the current and prior years that will be available for recoupment in the event of a future capital loss:

Year	Amount
2021	\$0
2020	\$0
2019	\$0

- (3) The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Code.
- F. Consolidated Federal Income Tax Return
 - (1) The Company's Federal Income Tax return is consolidated with the following entities for the current year:

Midland National Life Insurance Company, Solberg Reinsurance Company, Canal Reinsurance Company

- (2) The method of federal income tax allocation is subject to a written agreement. Allocation is based upon separate return calculations with credit applied for losses as appropriate. The Company has the enforceable right to recoup prior year payments in the event of future losses.
- G. Federal or Foreign Federal Income Tax Loss Contingencies

The Company does not have any tax loss contingencies for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.

H. Repatriation Transition Tax (RTT)

The Company has no outstanding liabilities related to the repatriation transition tax.

I. Alternative Minimum Tax Credit

Not Applicable

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

- A. The Company is a wholly owned affiliated limited purpose subsidiary life insurance company of Midland National Life Insurance Company.
- B. No transactions were entered into by the Company or any affiliated insurer with any affiliate other than what is reflected on Schedule Y Part 2 of this statement. The transactions exclude any non-insurance transaction that involves less than 1/2 of 1% of the total assets of Midland National Life Insurance Company, which is the largest affiliated insurer, and cost allocation transactions are based on generally accepted accounting principles.
- C. Transactions with related party who are not reported on Schedule Y

The Company does not have any transactions with related parties who are not reported on Schedule Y.

- D. Amounts due/to from related parties at December 31, 2021 are presented on Page 2, Line 23 and Page 3, Life 24.04 of this statement. The terms of the settlement require that these amounts be settled within 90 days.
- E. The following describes the management or service contracts and all cost sharing arrangements involving the Company or any affiliated insurer with any affiliate during 2021.
 - (1) The Company received management and administrative services from its parent, Midland National Life Insurance Company. Under the terms of the agreement, Midland National Life Insurance Company provides the Company with general management and administrative services, which include regulatory reporting, compliance services, legal, accounting, financial reporting, tax reporting and other services as may be required.
 - (2) The Company received investment management services from Guggenheim Partners Investment Management, LLC, in return for which the Company paid a fee.
- F. The Company is not a party to any guarantee or undertaking for the benefit of an affiliate, which results in a material contingent exposure of the Company or any affiliated insurer's assets or liabilities.
- G. The Company's outstanding shares are 100% owned by Midland National Life Insurance Company which is in turn 100% owned by Sammons Financial Group, Inc., which is in turn 100% owned by Consolidated Investment Services, Inc., which is in turn 100% owned by Sammons Enterprises, Inc., which is in turn 100% owned by Sammons Enterprises, Inc., which is in turn 100% owned by Sammons Enterprises, Inc. ESOP. More detailed information concerning the domiciliary of the above corporations and other affiliated corporations of the Company are reflected on the organizational chart of Schedule Y Part 1 of this statement.
- H. The Company does not own shares, either directly or indirectly via a downstream subsidiary, controlled or affiliated company, of an upstream intermediate or ultimate parent.
- I. The Company does not hold a Subsidiary, Controlled or Affiliated Company that exceeds 10% of admitted assets of the insurer.
- J. The Company did not recognize an impairment write-down for investment in Subsidiary, Controlled, or Affiliated Companies in 2021.
- K. The Company has no investment in a foreign subsidiary.
- L. The Company does not hold and investments in a downstream non-insurance holding company.
- M. All SCA Investments

The Company has no SCA investments as of December 31, 2021.

N. Investment in Insurance SCAs

The Company has no investment in Insurance SCAs as of December 31, 2021.

O. SCA or SSAP No. 48 Entity Loss Tracking

The Company does not have any losses in an SCA or SSAP No. 48 entity as of December 31, 2021.

11. Debt

- A. The Company has no capital note obligations, no outstanding liabilities for borrowed money, and no reverse repurchase agreements.
- B. FHLB (Federal Home Loan Bank) Agreements

The Company does not hold any agreements with Federal Home Loan Bank (FHLB)

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

Not applicable to the Company.

B. Not applicable to the Company.

- Not applicable to the Company.
- D. Not applicable to the Company
- E. Defined Contribution Plans

Not applicable to the Company.

F. Multiemployer Plans

Not applicable to the Company.

G. Consolidated/Holding Company Plans

Not applicable to the Company.

H. Postemployment Benefits and Compensated Absences

Not applicable to the Company.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not applicable to the Company.

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

- A. The Company has 100,000 shares authorized, issued, and outstanding
- The Company has no preferred stock outstanding.
- C. Without prior approval of its domiciliary commissioner, the Company is unable to pay dividends to its shareholders.
- D. The Company has never paid dividends, either ordinary or extraordinary.
- E. Within the limitations of (C) above, there are no restrictions placed on the portion of the Company's profits that may be paid as ordinary dividends to its stockholders.
- F. The unassigned surplus of \$(1,292,245,537) is unrestricted and held for the benefit or obligation of the Company's stockholders.
- G. The Company has no unpaid advances to surplus.
- H. The Company holds no stock, including stock of affiliated companies, for special purposes such as conversion of preferred stock and employee stock options.
- I. The Company has a special surplus fund balance of \$1,338,219,836 which is due to a LLC note guarantee.
- The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$

The Company has no surplus debentures outstanding.

70.000

- t. The company has no surplus dependings outstanding.
- L. The Company has never been involved in quasi-reorganization.
- M. The Company has never been involved in quasi-reorganization.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

At the statement date, the Company had no outstanding capital commitments to limited partnerships.

B. Assessments

Not applicable to the Company.

C. Gain Contingencies

Not applicable to the Company.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

Not applicable to the Company.

E. Joint and Several Liabilities

Not applicable to the Company.

F. All Other Contingencies

Not applicable to the Company.

15. Leases

17.

- A. Lessee Operating Lease
 - (1) The Company has no leases.
 - (2) a. The Company has no rental commitments.
 - (3) The Company is not involved in any material sale-leaseback transactions.
- B. Leasing is not part of the Company's business activities.

16. Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk

The Company does not have any financial instruments with off-balance sheet risk.

Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not applicable to the Company.

B. Transfer and Servicing of Financial Assets

Not applicable to the Company.

C. Wash Sales

Not applicable to the Company.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

The Company does not administer an uninsured accident and health plan or a partially uninsured plan.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

The Company had no direct premiums written through a managing general agent or third party administrator.

20. Fair Value Measurements

A. The assets and liabilities measured and reported at fair value for the Company as of the statement date are as follows:

(1) Fair Value Measurements at Reporting Date

	Description for each class of asset or liability	 (Level 1)	-	(Level 2)	(Level 3)	Net Asset Value (NAV)	-	Total
a.	Assets at fair value Preferred stocks	\$	\$	2,070,000 \$		\$	\$	2,070,000
	Total assets at fair value/NAV	\$	\$	2,070,000 \$		\$	\$	2,070,000

- (2) Not Applicable
- (3) Not Applicable
- (4) Fair value for fixed maturity securities, preferred stocks, and commons stocks is obtained primarily from independent pricing sources, SVO, vendor, broker quotes and fair value/cash flow models. Fair value is based on SVO price or quoted market prices, where available. For fixed maturities not actively traded, fair value is estimated using values obtained from independent pricing services or broker quotes. In some cases, such as private placements and certain mortgage backed securities, fair value is estimated by discounting expected future cash flows using a current market rate applicable to the yield, credit quality and maturity of the investments. The fair value of equity securities is based on quoted market prices, where available, and for those equity securities not actively traded, fair values are obtained from independent pricing services or from internal fair value/cash flow models.
- (5) Not Applicable
- B. Not applicable to the Company.

C.

The Company is able to obtain a fair value for the following assets:

Type of Financial		Aggregate	Admitted						Net Asset Value	Not Practicable
Instrument	_	Fair Value	 Assets	_	(Level 1)	 (Level 2)	 (Level 3)	_	(NAV)	(Carrying Value)
Bonds	\$	63,274,856	\$ 54,930,460	\$		\$ 61,718,742	\$ 1,556,114	\$	\$	
Preferred Stocks	\$	3,121,390	\$ 3,070,000	\$		\$ 3,121,390	\$	\$	\$	
Cash, cash equivalents and	\$	48,423,426	\$ 48,423,426	\$	48,423,426	\$	\$	\$	\$	
short terms										
Other invested assets	\$	1,926,436	\$ 1,548,928	\$		\$ 1,926,436	\$	\$	\$	

D. Not Practicable to Estimate Fair Value

Not applicable to the Company.

E. Not applicable to the Company.

21. Other Items

A. Unusual or Infrequent Items

Not applicable to the Company.

B. Troubled Debt Restructuring: Debtors

Not applicable to the Company.

C. Other Disclosures

Not applicable to the Company.

D. Business Interruption Insurance Recoveries

Not applicable to the Company.

E. State Transferable and Non-transferable Tax Credits

Not applicable to the Company.

F. Subprime-Mortgage-Related Risk Exposure

Not applicable to the Company.

G. Retained Assets

Not applicable to the Company.

H. Insurance–Linked Securities (ILS) Contracts

Not applicable to the Company.

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable to the Company

22. Events Subsequent

The Company is not aware of any recognized event occurring subsequent to the close of the books that would have a material effect on the financial condition of the Company. The date through which subsequent events have been evaluated is February 25, 2022.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company? Yes () No (X)
- (2) Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes () No (X).
 - a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? N/A
 - b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of liability, for these agreements in the statement? N/A
- (2) Does the Company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amount which, in aggregate and allowing for offset of mutual credit from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? N/A
- $(2) \quad \text{Have any new agreements been executed by the Company as of the effective date of this statement? Yes () No (X) } \\$
- B. Uncollectible Reinsurance

Not applicable to the Company.

Commutation of Reinsurance Reflected in Income and Expenses

Not applicable to the Company.

Certified Reinsurer Rating Downgraded or Status Subject to Revocation

- Not applicable to the Company. E.
- Not applicable to the Company.
- G. Ceding Entities That Utilize Captive Reinsurers to Assume Reserves Subject to the XXX/AXXX Captive Framework

The Company does not utilize captive reinsurers to reinsure XXX/AXXX reserves on business issued on or after January 1, 2015 subject to the XXX/AXXX Captive Framework

Reinsurance Credit

Not applicable to the Company.

Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company does not have any retrospectively related contracts and contracts subject to redetermination.

Changes in Incurred Losses and Loss Adjustment Expenses

The Company did not have a change in incurred losses or loss adjustment expense during the reported year.

Intercompany Pooling Arrangements

The Company was not involved in any intercompany pooling arrangements.

27. Structured Settlements

The Company does not operate in the structured settlement market and carries no reserves associated with such products.

28. Health Care Receivables

The Company does not operate in the heath care market and carries no receivables associated with such products.

29. Participating Policies

The Company has never issued participating policies.

Premium Deficiency Reserves 30.

Liability carried for premium deficiency reserves
Date of the most recent evaluation of this liability
Was anticipated investment income utilized in the calculation?

12/31/2021

31. Reserves for Life Contracts and Annuity Contracts

- Not applicable to the Company. (1)
- Extra premiums plus the regular gross premium for the true age are charged for substandard lives. Mean reserves are determined by computing the regular mean reserve for the plan at the true age and holding in addition, one-half (50%) of the extra premium charge for the year for fixed premium plans and one-half of the extra risk charge for the month for the flexible (2)
- As of December 31, 2021, the Company had insurance in force for which the gross premiums are less than the net premiums according to the standard of valuation set by the State of lowa. Reserves to cover the above insurance totaled \$255,620,246 at year end and are reported in Exhibit 5, Life Insurance and Annuities sections. (3)
- The Tabular Interest (Page 7, line 4) has been determined by formula as described in the instructions for Page 7. The Tabular Less Actual Reserve Released (Page 7, line 5) has been determined by formula as described in the instructions for Page 7. The Tabular Cost (Page 7, line 9) has been determined by formula as described in the instructions for Page 7.
- (5) The Tabular Interest (Page 7, line 4), Tabular Less Actual Reserve Released (Page 7, line 9) has been determined by the formula as described in the instructions for Page 7.
- (6) Not applicable to the Company.

32. Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

The Company has no annuity reserves or deposit liabilities.

33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

The Company has no policies with cash value.

Premiums and Annuity Considerations Deferred and Uncollected

The Company has no deferred and uncollected life insurance premiums and annuity considerations.

35. Separate Accounts

The Company has no Separate Accounts.

36. Loss/Claim Adjustment Expenses

The Company has no loss/claim adjustment expenses

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1	Is the reporting entity a member of an Insurance Holding Company System which is an insurer?	m consisting of	two or more affiliated	persons, one or more of		X] No []
	If yes, complete Schedule Y, Parts 1, 1A, 2 and 3.				.00 [/	.] []
1.2	If yes, did the reporting entity register and file with its domiciliary State Insurar regulatory official of the state of domicile of the principal insurer in the Ho disclosure substantially similar to the standards adopted by the National As Insurance Holding Company System Regulatory Act and model regulatio standards and disclosure requirements substantially similar to those required	olding Company ssociation of Ins ons pertaining the	System, a registration surance Commissioners pereto, or is the report	statement providing (NAIC) in its Model ing entity subject to	s [X]No[] N/A []
1.3	State Regulating? Iowa					
1.4	Is the reporting entity publicly traded or a member of a publicly traded group?	?			Yes [] No [X]
1.5	If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued	d by the SEC fo	r the entity/group.			
2.1	Has any change been made during the year of this statement in the charte reporting entity?	er, by-laws, artic	les of incorporation, or		Yes []	X] No []
2.2	If yes, date of change:					
3.1	State as of what date the latest financial examination of the reporting entity w State the as of date that the latest financial examination report became avail		•			12/31/2016
3.2	date should be the date of the examined balance sheet and not the date the State as of what date the latest financial examination report became available	report was com	pleted or released.			12/31/2016
3.3	the reporting entity. This is the release date or completion date of the exami date).			amination (balance sheet		04/20/2018
3.4	By what department or departments? Iowa Insurance Division					
3.5	Have all financial statement adjustments within the latest financial examin statement filed with Departments?	nation report be	en accounted for in a		s [] No [] N/A [X]
3.6	Have all of the recommendations within the latest financial examination report	rt been complie	d with?	Yes	. [] No [] N/A [X]
4.1	During the period covered by this statement, did any agent, broker, sales combination thereof under common control (other than salaried employed control a substantial part (more than 20 percent of any major line of business premiums) of:	es of the reports measured on	ting entity) receive cred direct s of new business?] No [X]
4.2	During the period covered by this statement, did any sales/service organi			he reporting entity or an		
	affiliate, receive credit or commissions for or control a substantial part (modirect premiums) of:	ore than 20 per	cent of any major line of	of business measured on		
	direct premiums) of.	4.21 sale	s of new business?		Yes [] No [X]
		4.22 rene	ewals?		Yes [] No [X]
5.1	Has the reporting entity been a party to a merger or consolidation during the	period covered	by this statement?		Yes [] No [X]
	If yes, complete and file the merger history data file with the NAIC.					
5.2	If yes, provide the name of the entity, NAIC company code, and state of doceased to exist as a result of the merger or consolidation.	omicile (use two	letter state abbreviation	n) for any entity that has		
	1 Name of Entity		2 NAIC Company Code	3 State of Domicile		
6.1	Has the reporting entity had any Certificates of Authority, licenses or registroor revoked by any governmental entity during the reporting period?	ations (includin	g corporate registration	, if applicable) suspended	d Yes [] No [X]
6.2	If yes, give full information					
7.1	Does any foreign (non-United States) person or entity directly or indirectly co	ontrol 10% or mo	ore of the reporting entity	/ ?	Yes [] No [X]
7.2	If yes,					
	7.21 State the percentage of foreign control					%
	7.22 State the nationality(s) of the foreign person(s) or entity(s) manager or attorney-in-fact and identify the type of entity(s) in-fact).					
	1		2			
	Nationality		Type of Entity			
					İ	

	If response to 8.3 is yes, please provide the names and lor financial regulatory services agency [i.e. the Federal Rese Federal Deposit Insurance Corporation (FDIC) and the Se regulator.	rve Board (FRB), the Office of the Comp	ptroller of the Cu	rrency (OCC),	the		•		
	1	2	3	4	5	6			
	Affiliato Namo	Location (City State)	EDD	000	EDIC	SEC			
	Affiliate Name Asset Consulting Group, LLC	St. Louis, MO	FRB	000	FDIC	YES	_		
	IGuagenheim Funds Distributors. LLC	IChicago. IL				YES			
	Guggenheim Investment Advisors, LLC Security Investors, LLC dba Guggenheim Investments	New York, NY				1E5 YES			
	Guggenheim Partners Investment Management, LLC	Santa Monica, CA				YES			
	GS Gamma Advisors, LLC Guggenheim Funds Investment Advisors, LLC	New York, NY				YES			
	Guggenheim Securities, LLC	New York, NY				YES			
	IGuagenheim Investor Services, LLC	INew York, NY				YES			
	Sammons Financial Network, LLC Guggenheim Partners Advisors, LLC	.West Des Moines, IA				YES YES			
	IGSA . LLC	New York. NY				YES			
	Guggenheim Corporate Funding, LLC. Beacon Capital Management, Inc	New York, NY				YES			
	beacon capital management, inc	Dayton, On				1E3			
_				the December of C			ユ		
5	Is the reporting entity a depository institution holding comp of Federal Reserve System or a subsidiary of the reporting		is as defined by t	ine Board of G	overnors	Yes []	No [χ
3	If response to 8.5 is no, is the reporting entity a company of	or subsidiary of a company that has other	erwise been mad	e subject	V .	1 11 1		N. / ·	r v-
	to the Federal Reserve Board's capital rule?	Loublic accountant as a second of	atalaad ta	at the a	Yes [] No []	N/A	[X
	What is the name and address of the independent certified PricewaterhouseCoopers, LLP, 699 Walnut Street, Des Mo								
1	Has the insurer been granted any exemptions to the prohil requirements as allowed in Section 7H of the Annual Final	pited non-audit services provided by the	certified indepe	ndent public a	ccountant	V	,	N	/
2	law or regulation? If the response to 10.1 is yes, provide information related to	o this exemption:				Yes []	NO [, Х
	Has the insurer been granted any exemptions related to allowed for in Section 18A of the Model Regulation, or sub If the response to 10.3 is yes, provide information related to	stantially similar state law or regulation?		rting Model R	egulation as	Yes []	No [Χ
6	Has the reporting entity established an Audit Committee in If the response to 10.5 is no or n/a, please explain As a captive reinsurer, the Company is exempt from esta What is the name, address and affiliation (officer/empl consulting firm) of the individual providing the statement of Eric Lin, SVP & Corporate Actuary, 8300 Mills Civic Parks	blishing an audit committeeoyee of the reporting entity or actual actuarial opinion/certification?	ry/consultant ass	sociated with] No []	N/A	[X
1	Does the reporting entity own any securities of a real estat	e holding company or otherwise hold re	al estate indirect	ly?		Yes []	No	[X
		12.11 Name of	real estate holdi	ng company					
			of parcels involve						
2	If you provide cyclenation	12.13 Total boo	k/adjusted carry	ing value	\$				
2	If yes, provide explanation								
	FOR UNITED STATES BRANCHES OF ALIEN REPORTI What changes have been made during the year in the Unit		s trustees of the	reporting entity	y?				
	Does this statement contain all business transacted for the	reporting entity through its United State	es Branch on risl	ks wherever lo	cated?	Yes [1	No	[X
	Have there been any changes made to any of the trust ind					Yes []		[X
1 2	If answer to (13.3) is yes, has the domiciliary or entry state				Yes [] No [j	N/A	
1 2 3		al financial officer, principal accounting		ller, or persor	s performing	1 20V	ν.	l No	r
1 2 3 4	Are the senior officers (principal executive officer, princip		tandards?		profossional	Yes [۸]	INO	l
1 2 3 4	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships;	of ethics, which includes the following st lling of actual or apparent conflicts of i	nterest between		professional				
1 2 3 4	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships; b. Full, fair, accurate, timely and understandable disclosure.	of ethics, which includes the following st lling of actual or apparent conflicts of i e in the periodic reports required to be fi	nterest between		professional				
1 2 3 4	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships; b. Full, fair, accurate, timely and understandable disclosure. Compliance with applicable governmental laws, rules are	of ethics, which includes the following st lling of actual or apparent conflicts of i e in the periodic reports required to be fi d regulations;	nterest between		professional				
1 2 3 4	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships; b. Full, fair, accurate, timely and understandable disclosure. Compliance with applicable governmental laws, rules are d. The prompt internal reporting of violations to an appropriate to a code of the conductive subject to a code of the conductive subject to a code of the conductive subject to a code of the code	of ethics, which includes the following st lling of actual or apparent conflicts of i e in the periodic reports required to be fi d regulations;	nterest between		professional				
1 2 3 4 1	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships; b. Full, fair, accurate, timely and understandable disclosure. Compliance with applicable governmental laws, rules are	of ethics, which includes the following st lling of actual or apparent conflicts of i e in the periodic reports required to be fi d regulations;	nterest between		professional				
1 2 3 4 1	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships; b. Full, fair, accurate, timely and understandable disclosure. Compliance with applicable governmental laws, rules are d. The prompt internal reporting of violations to an approprie. Accountability for adherence to the code.	of ethics, which includes the following st lling of actual or apparent conflicts of i e in the periodic reports required to be fi id regulations; riate person or persons identified in the	nterest between		professional	Yes []	No	[X
1 2 3 4 1	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships; b. Full, fair, accurate, timely and understandable disclosure. Compliance with applicable governmental laws, rules are d. The prompt internal reporting of violations to an approprie. Accountability for adherence to the code. If the response to 14.1 is no, please explain:	of ethics, which includes the following st lling of actual or apparent conflicts of it e in the periodic reports required to be find regulations; riate person or persons identified in the	nterest between		professional	Yes []	No	[X
1 2 3 4 1 2 1 2 1	similar functions) of the reporting entity subject to a code of a. Honest and ethical conduct, including the ethical hand relationships; b. Full, fair, accurate, timely and understandable disclosure. Compliance with applicable governmental laws, rules are d. The prompt internal reporting of violations to an approprie. Accountability for adherence to the code. If the response to 14.1 is no, please explain:	of ethics, which includes the following state of actual or apparent conflicts of it is in the periodic reports required to be find regulations; riate person or persons identified in the draw of the	nterest between		professional	Yes []	No	

						0		4		
		1 American Bankers	2			3		4		
		Association (ABA) Routing Number	Issuing or Confirming Bank Name	Circumstance	es That Ca	an Trigger the Letter of Credit		Amount		
	'		BOARD O	F DIRECTOR	s					
16.	Is the	purchase or sale of all investments of?	of the reporting entity passed upo	on either by the boa	ard of dire	ectors or a subordinate commi	ttee	Yes [X] 1	lo [
17.	Does thereo	the reporting entity keep a complete of?	permanent record of the proceed	dings of its board of	of director	s and all subordinate committ	ees	Yes [X] 1	lo [
18.	the pa	he reporting entity an established pro art of any of its officers, directors, trus person?						Yes [X] N	0 [
			FINANCIA	NL						
19.		his statement been prepared using a bunting Principles)?	asis of accounting other than State	utory Accounting Pr	rinciples (e	e.g., Generally Accepted		Yes [] N	0 [
0.1	Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans): 20.11 To directors or other officers 20.12 To stockholders not officers									
						Trustees, supreme or grand (Fraternal only)	\$			
0.2		amount of loans outstanding at the en	d of year (inclusive of Separate Ac	counts, exclusive o		To directors or other officers	\$			
	p = ,					To stockholders not officers				
						Trustees, supreme or grand (Fraternal only)	\$			
1.1		any assets reported in this statement ation being reported in the statement?	subject to a contractual obligation	to transfer to anothe	er party w	ithout the liability for such		Yes [•	
1.2	If yes,	, state the amount thereof at Decembe	r 31 of the current year:	21.21 Rented f						
				21.22 Borrowe 21.23 Leased f						
				21.24 Other	rom outc	3				
2.1		this statement include payments for a anty association assessments?	ssessments as described in the Ar	nnual Statement Ins	structions	other than guaranty fund or		Yes [] 1	lo [
2.2	If ans	wer is yes:		22.21 Amount 22.22 Amount	•	osses or risk adjustment expenses				
				22.23 Other a	mounts pa	aid	\$			
23.1	Does	the reporting entity report any amount	s due from parent, subsidiaries or	affiliates on Page 2	of this sta	atement?		Yes [X	-	-
3.2	If yes,	, indicate any amounts receivable from	parent included in the Page 2 am	ount:			\$		1,9	39,0
	full wi	the insurer utilize third parties to pay a thin 90 days?	•	,	,			Yes [] 1	lo [
4.2	If the	response to 24.1 is yes, identify the th	ird-party that pays the agents and	whether they are a	related pa	arty.	_			
		Na	1 ime of Third-Party	Is the Third-	Party Age	2 ent a Related Party (Yes/No)				
			INVE	STMENT						

25.02	If no, give full and co	mplete information, relating t	hereto						
25.03					g value for collateral and amount of loaned securities, se Note 17 where this information is also provided)	and			
25.04	For the reporting en Capital Instructions.	ity's securities lending progr	am, report amount o	of collateral	for conforming programs as outlined in the Risk-Based	_			
25.05	For the reporting ent	ity's securities lending progra	am, report amount of	collateral f	for other programs.	\$			
25.06			02% (domestic secu	urities) and	I 105% (foreign securities) from the counterparty at the		l old f	1 NA F V 1	1
05.07	outset of the contract					Yes [] NA [X]	
		ntity non-admit when the coll				Yes [] No [] NA [X]	1
25.08	conduct securities le		securities lending ag	jent utilize t	the Master Securities Lending Agreement (MSLA) to	Yes [] No [] NA [X]	
25.09	For the reporting ent	ity's securities lending progra	am, state the amount	t of the follo	owing as of December 31 of the current year:				
	25.091 To	tal fair value of reinvested co	llateral assets repor	ted on Sch	edule DL, Parts 1 and 2	\$			
	25.092 To	tal book adjusted/carrying va	lue of reinvested col	llateral asse	ets reported on Schedule DL, Parts 1 and 2	\$			
	25.093 To	tal payable for securities lend	ding reported on the	liability pag	ge	\$			
26.1	control of the report (Exclude securities	ng entity or has the reporting subject to Interrogatory 24.1	entity sold or transf and 25.03).	ity owned a erred any a	at December 31 of the current year not exclusively uncassets subject to a put option contract that is currently in	der the force?	Yes [] No [X]
26.2	If yes, state the amo	unt thereof at December 31 o	-						
			3.21 Subject to repu	•					
			3.22 Subject to reve	•	· ·				
			5.23 Subject to dolla	-					
			-		epurchase agreements				
			6.25 Placed under o	-					
					restricted as to sale – excluding FHLB Capital Stock				
			5.27 FHLB Capital S						
			5.28 On deposit with		ulatory bodies				
			6.29 On deposit with	_	cluding collateral pledged to an FHLB				
			_		HLB – including assets backing funding agreements	-			
			3.32 Other	iatoral to 1 1	TIES Initiating about backing failing agreements	-			
		_,							
26.3	For category (26.26)	provide the following:				\$			
26.3	For category (26.26)	provide the following:				\$			
26.3	For category (26.26)	provide the following: 1 Nature of Restriction			2 Description	\$	3 Amount		
26.3	For category (26.26)	1				\$	3		
27.1	Does the reporting e	1 Nature of Restriction ntity have any hedging trans	actions reported on S		Description	Yes [3 Amount] No [X]]
27.1 27.2 LINES	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e	Nature of Restriction Intity have any hedging transchensive description of the heption with this statement. DR LIFE/FRATERNAL REPO	actions reported on S dging program been DRTING ENTITIES C	made avai	Description B?		3 Amount Yes [] No [] No [X]] N/A [X]]
27.1 27.2 LINES 27.3	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity?	Nature of Restriction Intity have any hedging transchensive description of the heption with this statement. DR LIFE/FRATERNAL REPO	actions reported on S dging program been DRTING ENTITIES C ge variable annuity	made avai	Description BP? ilable to the domiciliary state?		3 Amount] No [X]] N/A [X]]
27.1 27.2 LINES 27.3	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity?	Nature of Restriction Intity have any hedging transchensive description of the heption with this statement. OR LIFE/FRATERNAL REPORTITY utilize derivatives to heco3 is YES, does the reporting	actions reported on S dging program been DRTING ENTITIES O ge variable annuity of	made avai DNLY: guarantees	Description BP? ilable to the domiciliary state?		3 Amount Yes [] No [] No [X]] N/A [X]]
27.1 27.2 LINES 27.3	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity?	Nature of Restriction Intity have any hedging transite hensive description of the heption with this statement. OR LIFE/FRATERNAL REPORTING Utilize derivatives to hed 3 is YES, does the reporting	actions reported on S dging program been DRTING ENTITIES O ge variable annuity of	made avai	Description BP? illable to the domiciliary state? subject to fluctuations as a result of interest ion of SSAP No. 108		3 Amount Yes [] No [] No [X]] N/A [X]]
27.1 27.2 LINES 27.3	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity?	Nature of Restriction Intity have any hedging transition of the heption with this statement. OR LIFE/FRATERNAL REPORTITY Utilize derivatives to hed 3 is YES, does the reporting	actions reported on Sidging program been DRTING ENTITIES Cige variable annuity of entity utilize: 7.41 Special accour	made avai DNLY: guarantees nting provisi	Description BP? illable to the domiciliary state? subject to fluctuations as a result of interest ion of SSAP No. 108 ctice		3 Amount Yes [] No [Yes [Yes [] No [X]] N/A [X] [] No [X]
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27	Nature of Restriction Intity have any hedging transite the prior with this statement. OR LIFE/FRATERNAL REPORTITY Utilize derivatives to head is YES, does the reporting 27 27 27	actions reported on 3 dging program been DRTING ENTITIES Clige variable annuity of entity utilize: 7.41 Special accourt 7.42 Permitted account	made avai DNLY: guarantees nting provisi punting prace ng guidance	Description BP? illable to the domiciliary state? subject to fluctuations as a result of interest ion of SSAP No. 108 ctice		3 Amount Yes [] No [Yes [Yes [Yes [] No [X]] N/A [X]] No [X]
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27	Nature of Restriction Intity have any hedging transite the prior with this statement. OR LIFE/FRATERNAL REPORTITY Utilize derivatives to head is YES, does the reporting 27 27 27	actions reported on 3 dging program been DRTING ENTITIES Clige variable annuity of entity utilize: 7.41 Special accourt 7.42 Permitted account	made avai DNLY: guarantees nting provisi punting prace ng guidance	Description BP? illable to the domiciliary state? subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e		3 Amount Yes [] No [Yes [Yes [Yes [] No [X]] N/A [X] [] No [X [] No [X [] No []] No []
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: • The repo	Nature of Restriction Intity have any hedging transic hensive description of the heption with this statement. DR LIFE/FRATERNAL REPORTING UNITED TO THE PROPERTY OF THE PROP	actions reported on Sidging program been DRTING ENTITIES Cige variable annuity of entity utilize: 7.41 Special account 7.42 Permitted account 7.43 Other accounting of especial accounting the special account	DNLY: guarantees hting provision guidance g provisions e domiciliar	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e is of SSAP No. 108, the reporting entity attests to ry state.		3 Amount Yes [] No [Yes [] No [X]] N/A [X] [] No [X [] No [X [] No []] No []
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The reporting the reporting the response to 27 By responding YES the following: Hedging	Nature of Restriction Intity have any hedging transition of the helption with this statement. Intity LIFE/FRATERNAL REPONITION of the helption with this statement. INTITION OF THE PONITIO	actions reported on Sidging program been DRTING ENTITIES Cige variable annuity of entity utilize: 7.41 Special account 7.42 Permitted accounting the special accounting of the special accounting the special accounting the special accounting provision of the special accounting provision accounting provision of the special accounting provision of the spec	DNLY: guarantees nting provisi nunting prac ng guidance g provisions e domiciliar ons is cons	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e is of SSAP No. 108, the reporting entity attests to ry state. isistent with the requirements of VM-21.	Yes [Yes [] No [Yes [Yes] No [X]] N/A [X] [] No [X [] No [X [] No []] No []
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The reporting e Hedging Actuarial	1 Nature of Restriction Intity have any hedging transic hensive description of the heption with this statement. INTITUTE TO THE LIFE/FRATERNAL REPORTING TO	actions reported on Sidging program been DRTING ENTITIES Cige variable annuity of entity utilize: 7.41 Special account 7.42 Permitted accounting accounting the special accounting of the accounting provisioned which indicates	DNLY: guarantees hting provision guidance g provisions e domiciliar ons is cons that the he	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e is of SSAP No. 108, the reporting entity attests to ry state.	Yes [Yes [] No [Yes [Yes] No [X]] N/A [X] [] No [X [] No [X [] No []] No []
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The repo Hedging Actuarial 21 resen Financial Hedging	1 Nature of Restriction Intity have any hedging transition of the heption with this statement. Intity utilize derivatives to head of the heption with this statement. In LIFE/FRATERNAL REPONTITY OF THE NATE O	actions reported on a dging program been of the program been of the program been of the program been of the program been of the program of th	DNLY: guarantees Inting provision guidance g provisions e domiciliar ons is cons that the he gy within the dicates that	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e is of SSAP No. 108, the reporting entity attests to ry state. isistent with the requirements of VM-21. edging strategy is incorporated within the establishment	Yes [of VM- unt. Defined	Yes [] No [Yes [Yes] No [X]] N/A [X] [] No [X [] No [X [] No []] No []
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The repo Hedging Actuarial 21 resen Financial Hedging in its actu	Nature of Restriction Intity have any hedging transic hensive description of the heption with this statement. OR LIFE/FRATERNAL REPORTING A sis YES, does the reporting 27 27 27 27 27 27 27 27 27 27 27 27 27	actions reported on Signing program been of the program been of the program been of the program been of the program been of the program of th	DNLY: guarantees nting provision punting prace guidance g provisions e domiciliar ons is cons that the he gy within the dicates that ned Hedging	Description BP? ilable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e is of SSAP No. 108, the reporting entity attests to ry state. isistent with the requirements of VM-21. edging strategy is incorporated within the establishment are Actuarial Guideline Conditional Tail Expectation Amount the hedging strategy meets the definition of a Clearly II.	of VM- int. Defined mpany	Yes [] No [Yes [Y] No [X]] N/A [X] No [X] No [X] No [X] No [X] No [X] No [X] No [X]	
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: FO Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The report Hedging Actuarial 21 resen Financial Hedging in its actual Were any preferred the issuer, convertib	Nature of Restriction Intity have any hedging transic hensive description of the heption with this statement. OR LIFE/FRATERNAL REPORTING A sis YES, does the reporting 27 27 27 27 27 27 27 27 27 27 27 27 27	actions reported on a dging program been of the program been of the program been of the program been of the program been of the program of th	DNLY: guarantees nting provision punting prace guidance g provisions e domiciliar ons is cons that the he gy within the dicates that ned Hedging	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e s of SSAP No. 108, the reporting entity attests to ry state. isistent with the requirements of VM-21. edging strategy is incorporated within the establishment he Actuarial Guideline Conditional Tail Expectation Amount the hedging strategy meets the definition of a Clearly E g Strategy is the hedging strategy being used by the co	of VM- int. Defined mpany	Yes [Ye] No [X]] N/A [X] [] No [X [] No [X [] No []] No [
27.1 27.2 LINES 27.3 27.4	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The repo Hedging Actuarial 21 resen Financial Hedging in its actu Were any preferred the issuer, convertib If yes, state the amo Excluding items in S	Nature of Restriction Intity have any hedging transic hensive description of the heption with this statement. OR LIFE/FRATERNAL REPORTITY AND A STATE OF THE PROPERTY OF THE	actions reported on a dging program been or standard program been or standard program been or standard program been or standard provision of the current year. Deposits, real estate of the current year.	DNLY: guarantees Inting provision ounting pract guidance g provisions e domiciliar ons is cons that the he gy within the dicates that hed Hedging current year	Description BP? illable to the domiciliary state? subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e s of SSAP No. 108, the reporting entity attests to ry state. sistent with the requirements of VM-21. edging strategy is incorporated within the establishment he Actuarial Guideline Conditional Tail Expectation Amount the hedging strategy meets the definition of a Clearly E g Strategy is the hedging strategy being used by the color ar mandatorily convertible into equity, or, at the option of the loans and investments held physically in the reporting	of VM- int. Defined mpany	Yes [Ye] No [X]] N/A [X]] N/A [X]] No [X] No [] No [] No [] No [
27.1 27.2 LINES 27.3 27.4 27.5	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The repo Hedging Actuarial 21 reserv Financial Hedging in its act Were any preferred the issuer, convertib If yes, state the amo Excluding items in S entity's offices, vault pursuant to a custod	Nature of Restriction Intity have any hedging transitions are description of the heption with this statement. OR LIFE/FRATERNAL REPOINTITY utilize derivatives to head in the properties of the statement of the properties of the statement of th	actions reported on 8 dging program been operating entity utilize: 7.41 Special account of 2.42 Permitted accounting especial accounting for the all accounting provisioned which indicates of the hedging strate on obtained which indicates of the hedging strate on obtained which indicates of the hedging strate on obtained which indicates of the current year. December 31 of the of the current year. Deposits, real estate re all stocks, bonds it bank or trust comp.	DNLY: guarantees nting provision punting pracing guidance provisions e domiciliar ons is cons that the he gy within the dicates that ned Hedging current yea e, mortgage and other s any in acco	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e is of SSAP No. 108, the reporting entity attests to ry state. isistent with the requirements of VM-21. edging strategy is incorporated within the establishment he Actuarial Guideline Conditional Tail Expectation Amount the hedging strategy meets the definition of a Clearly E g Strategy is the hedging strategy being used by the color ar mandatorily convertible into equity, or, at the option of	of VM- int. Defined mpany	Yes [Yes [] No [X]] N/A [X]] N/A [X]] No [X] No [] No [] No [] No [
27.1 27.2 LINES 27.3 27.4 27.5	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The repo Hedging Actuarial 21 resen Financial Hedging in its act Were any preferred: Were any preferred: Sentity's offices, vault pursuant to a custod Considerations, F. C Handbook?	Nature of Restriction Intity have any hedging transition in the heption with this statement. Intity utilize derivatives to head of the heption with this statement. Intity utilize derivatives to head of the heption with this statement. Intity utilize derivatives to head of the heption with this statement. Intity utilize derivatives to head of the h	actions reported on 8 dging program been of the country of the current year. Deposits, real estater all stocks, bonds to bank or trust compns, Custodial or Safetimes, and the current year.	DNLY: guarantees nting provision punting pracing guidance g provisions e domiciliar ons is cons that the he gy within the dicates that ned Hedging current yea e, mortgage and other s any in acco ekeeping ag	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e s of SSAP No. 108, the reporting entity attests to ry state. isistent with the requirements of VM-21. edging strategy is incorporated within the establishment are Actuarial Guideline Conditional Tail Expectation Amount the hedging strategy meets the definition of a Clearly E g Strategy is the hedging strategy being used by the color ar mandatorily convertible into equity, or, at the option of the loans and investments held physically in the reporting securities, owned throughout the current year held ordance with Section 1, III – General Examination	of VM- int. Defined mpany	Yes [Yes [] No [X]] N/A [X] [] No [X [] No [[]	
27.1 27.2 LINES 27.3 27.4 27.5	Does the reporting e If yes, has a compre If no, attach a descri 27.3 through 27.5: Fo Does the reporting e rate sensitivity? If the response to 27 By responding YES the following: The repo Hedging Actuarial 21 resen Financial Hedging in its act Were any preferred: Were any preferred: Sentity's offices, vault pursuant to a custod Considerations, F. C Handbook?	Nature of Restriction Intity have any hedging transic hensive description of the heption with this statement. OR LIFE/FRATERNAL REPOINTITY utilize derivatives to head in the property of th	actions reported on 8 dging program been of the country of the current year. Deposits, real estater all stocks, bonds it bank or trust compns, Custodial or Safeting Research of the stocks of the current year.	DNLY: guarantees nting provision punting pracing guidance g provisions e domiciliar ons is cons that the he gy within the dicates that ned Hedging current yea e, mortgage and other s any in acco ekeeping ag	Description BP? illable to the domiciliary state? is subject to fluctuations as a result of interest ion of SSAP No. 108 ctice e s of SSAP No. 108, the reporting entity attests to ry state. isistent with the requirements of VM-21. edging strategy is incorporated within the establishment he Actuarial Guideline Conditional Tail Expectation Amount the hedging strategy meets the definition of a Clearly E g Strategy is the hedging strategy being used by the color ar mandatorily convertible into equity, or, at the option of the loans and investments held physically in the reporting securities, owned throughout the current year held ordance with Section 1, III – General Examination greements of the NAIC Financial Condition Examiners	of VM- int. Defined mpany	Yes [Yes [] No [X]] N/A [X] [] No [X [] No [[]	

1	2
Name of Custodian(s)	Custodian's Address
CitiBank N.A.	388 Greenwich Street, New York, NY 10013

GENERAL INTERROGATORIES

29.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the	ne name,
location and a complete explanation:	

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

29.03	Have there been any changes, including name changes, in the custodian(s) identified in 29.01 during the current year?	Yes []	No [Χ
29 N4	If yes, give full and complete information relating thereto:				

1	2	3	4
		Date of	
Old Custodian	New Custodian	Change	Reason

29.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Guggenheim Partners Investment Management, LLC.	A

29.0597 For those firms/individuals listed in the table for Question 29.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes [] No [X]

29.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 29.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes [] No [X]

29.06 For those firms or individuals listed in the table for 29.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration	Name of Firm or	Legal Entity		Investment Management
Depository Number	Individual	Identifier (LEI)	Registered With	Agreement (IMA) Filed
137432	Guggenheim Partners Investment Management, LLC		Securities Exchange Commission	DS

30.1 Does the reporting entity have any diversified mutual funds reported in Schedule D - Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])?
 30.2 If yes, complete the following schedule:

Yes	[]	I 1	lo [Χ	1

1	2	3
CUSIP#	Name of Mutual Fund	Book/Adjusted Carrying Value
30.2999 TOTAL	<u> </u>	

30.3 For each mutual fund listed in the table above, complete the following schedule:

	1	2	3	4
			Amount of Mutual Fund's	
	Name of Mutual Fund	Name of Significant Holding of the Mutual Fund	Book/Adjusted Carrying Value	
	(from above table)	of the Mutual Fund	Attributable to the Holding	Date of Valuation

	1 Statement (Admitted)	2	3 Excess of Statement over Fair Value (-), or Fair Value		
04.4 . D I.	Value 54,000,464	Fair Value	over Statement (+)		
		63,274,856			
	3,070,000				
31.3 Totals	· · · · · · · · · · · · · · · · · · ·	66,396,246	8,395,785		
Describe the sources or methods util	· ·				
1 71 0 7 1	dent broker quotes and pricing models lue determined by a broker or custodian			Vac I V i	l No f
	e reporting entity have a copy of the brok			Yes [X]	No[X]
	the reporting entity's process for determ	ining a reliable pricing source for	or purposes of disclosure of fair		
An internal pricing validation is perfor	med quarterly				
Have all the filing requirements of the	Purposes and Procedures Manual of the	e NAIC Investment Analysis Offi	ce been followed?	Yes [X]	No []
f no, list exceptions:					
Has the reporting entity self-designat By self-designating PLGI securities, t a. The security was purchased pri b. The reporting entity is holding o c. The NAIC Designation was deri which is shown on a current pri	he reporting entity is certifying the followi	ng elements of each self-design gnation reported for the security NAIC CRP in its legal capacity	as an NRSRO	Yes [] No [X
regulators. d. The reporting entity is not perm las the reporting entity self-designat	itted to share this credit rating of the PL sed PLGI securities?	security with the SVO.		Yes [] No [X
lesignated FE fund: a. The shares were purchased pri b. The reporting entity is holding c c. The security had a public credit to January 1, 2019. d. The fund only or predominantly	rapital commensurate with the NAIC Desi rating(s) with annual surveillance assign holds bonds in its portfolio.	gnation reported for the security ed by an NAIC CRP in its legal of	: capacity as an NRSRO prior		
CRP in its legal capacity as an f. The public credit rating(s) with a	ignation was derived from the public crec NRSRO. Innual surveillance assigned by an NAIC to Schedule BA non-registered private fu	CRP has not lapsed.		Yes [] No [X
identified through a code (%) in thos a. The investment is a liquid asset b. If the investment is with a nonre renewal completed at the discre c. If the investment is with a relate of the transaction for which do	h equivalent investments with continued e investment schedules), the reporting end that can be terminated by the reporting eleted party or nonaffiliated then it reflects etion of all involved parties. It investments that have been renewed/rot investments that have been renewed/rot investments that have been renewed/rot.	ntity is certifying to the following: entity on the current maturity dat s an arms-length transaction with ity has completed robust re-unde ew.	te. n erwriting		

GENERAL INTERROGATORIES

OTHER

38.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?

38.2	1.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations, and statistical or rating bureaus during the period covered by this statement.						
		1 Name	2 Amount Paid				
			\$				
			\$				
			\$				
30 1	Amount of navments t	or legal expenses, if any?					
00.1	Amount of payments i	si iogui experioco, ii uriy:	\$				
39.2	List the name of the fi the period covered by	rm and the amount paid if any such payment represented 25% or more of the total this statement.	I payments for legal expenses during				
		1	2				
		Name	Amount Paid				
			5				
			o				
			φ				
40.1	Amount of payments f	or expenditures in connection with matters before legislative bodies, officers, or de	partments of government, if any? \$				
40.2	List the name of the fi with matters before le	rm and the amount paid if any such payment represented 25% or more of the total gislative bodies, officers, or departments of government during the period covered	payment expenditures in connection by this statement.				
		1	2				
		Name	Amount Paid				

GENERAL INTERROGATORIES

PART 2 – LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

fe, A	ccident and Health Co	ompanies/Fraternal Benefit Socie	eties:						
1.1	Does the reporting en	tity have any direct Medicare Supp	lement Insurance in force	?				Yes [] No [X]
1.2	If yes, indicate premiu	um earned on U. S. business only.							
1.3	What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit?								
	1.31 Reaso	on for excluding:							
1.4	Indicate amount of ea	rned premium attributable to Canad	dian and/or Other Alien n	ot included ir	ltem (1.2) above		\$		
1.5	Indicate total incurred	claims on all Medicare Supplemen	t insurance.				\$		
1.6	Individual policies:								
		Most c	current three years:						
		1.61 T	otal premium earned				\$		
		1.62 T	otal incurred claims				\$		
		1.63 N	lumber of covered lives						
		All yea	ars prior to most current th	ree years:					
		1.64 T	otal premium earned				\$		
		1.65 T	otal incurred claims				\$		
		1.66 N	lumber of covered lives						
1.7	Group policies:								
		Most o	current three years:						
			otal premium earned				\$		
		1.72 T	otal incurred claims				\$		
		1.73 N	lumber of covered lives						
		All yea	ars prior to most current th	rree years:					
		1.74 T	otal premium earned				\$		
		1.75 T	otal incurred claims				\$		
		1.76 N	lumber of covered lives						
2.	Health Test:								
					1 Current Year		2 Prior Year		
		2.1	Dramium Numaratar	œ.		œ.			
			Premium Numerator		127,938,661		131,752,857		
		2.2	Premium Denominator						
		2.3	Premium Ratio (2.1/2.2	′					
		2.4	Reserve Numerator		2 520 026 206		2,081,790,024		
		2.5	Reserve Denominator		2,539,836,386				
		2.6	Reserve Ratio (2.4/2.5)						
2.4	Dage this reporting or	atitu baya Canarata Assayınta?						1 20V	1 No [V]
3.1	· -	ntity have Separate Accounts?						Yes [] No [X]
	, ,	Accounts statement been filed with	•] No [] N/A [X]
ა.ა		al and surplus funds of the reportin the Separate Accounts to the gene							
3.4	State the authority un	der which Separate Accounts are n	naintained:	-					
3.5	•	ing entity's Separate Accounts busi						Yes [] No []
3.6	Has the reporting enti	ty assumed by reinsurance any Se	parate Accounts business	s as of Decer	mber 31?			Yes [] No [X]
3.7	If the reporting entity	has assumed Separate Accounts to	ousiness, how much, if a	ny, reinsuran	ce assumed receivable	for reinsu	rance of	•	
		s reserve expense allowances is in							
4.	For reporting entities	et)?having sold annuities to another in:	surer where the insurer p					e claimar	nt (payee)
	-	urchase of an annuity from the repo							
4.1		ount of loss reserves established by these annuities during the current year:							
4.2	List the name and loo	cation of the insurance company pu	irchasing the annuities ar	nd the statem	nent value on the purcha	ase date of	the annuities.		
		1			2				
		P&C Insurance Co	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)			uities			
		and Locatio							
		1							

1	P&C Insurance Company and Location	Statement Value on Purchase Date of Annuities				
L	and Location	(i.e., Present Value)				
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GENERAL INTERROGATORIES

PART 2 – LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

5.1	-	custodian for health savings ac							. ,
5.2		ovide the amount of custodial f		· -					
5.3 5.4									
0	ii yoo, pioaco pio	ovide the balance of the fands		the reporting date.				Ψ	
6.1	Are any of the ca	aptive affiliates reported on Sch	nedule S, Part 3, as	s authorized reinsu	rers?		Y	es [] No [] N	/A [X]
		6.1 is yes, please provide the f							
		1 2 3 4 Assets Supporting Reserve							
		1	NAIC	3	4	5	6	7	
		Company Name	Company Code	Domiciliary Jurisdiction	Reserve Credit	Letters of Credit	Trust Agreements	Other	
				Uditodiction		Letters of oreal	Ĭ		
			'		•	1	'	1	
7.	Provide the followassumed or cede	wing for individual ordinary life ed).	insurance* policies	7.1 Direc	ct Premium Written			\$ \$	
			*Ordinary	Life Insurance In	cludes				
		Term (whether full underwr	iting, limited underv	vriting, jet issue, "s	hort form app")		_		
		Whole Life (whether full und			ue, "short form app	")			
		Variable Life (with or withou		•					
		Universal Life (with or without the country of the life (with or without the country of the coun		-					
		Variable Universal Life (with	or without second	lary guarantee)					
Life,	domicile of th	porting entity assume reinsura e reporting entity? ealth Companies Only:						Yes [X]	No []
		reporting entity (except for acdies)?			.,			Yes [X]	No [
9.2		ent of such expenses between							
				9	9.21 Paid		\$	100 , 00	00
				9	9.22 Received		\$		
10.1	Does the reportir	ng entity write any guaranteed	interest contracts?					Yes []	No [X]
10.2	If yes, what amo	unt pertaining to these items is	s included in:						
				1	0.22 Page 4, Line 1	1	\$		
	For stock reporting	-						40, 400, 00	20
	•	id in by stockholders as surplu	•	·	orting entity:		\$	46 , 400 , 00)()
12.	i otal dividends p	paid stockholders since organiz	zation of the report	,	12 11 Coob		e		
					12.12 Stock		φ		
13.1	Does the reporti	ing entity reinsure any Workers	s' Compensation C	arve-Out business	defined as:			Yes []	No [X]
		Reinsurance (including retroc benefits of the occupational il written as workers' compensa	lness and accident						
13.2	If yes, has the re	eporting entity completed the V	Vorkers Compensa	ation Carve-Out Su	pplement to the An	nual Statement?		. Yes []	No []
	-	e amounts of earned premium	•		•			. ,	. ,
		·				•	•		
				1 Reinsur Assun		2 nsurance Ceded	3 Net Retained		
	13.31	Earned premium							
		Paid claims							
		Claim liability and reserve (be	,						
		Claim liability and reserve (en	• •						
	13.35	Incurred claims							

GENERAL INTERROGATORIES

PART 2 – LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

13.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 13.31 and 13.34 for Column (1) are:

		Attachment Point	1 Earne Premiu		2 Claim Liability and Reserve						
	13.41	<\$25,000									
	13.42	\$25,000 – 99,999									
	13.43	\$100,000 - 249,999									
	13.44	\$250,000 – 999,999									
	13.45	04 000 000									
13.5	What portion of earned	d premium reported in 13.31, Colun	nn 1 was assumed from	pools?		\$					
Fratern	nal Benefit Societies C	Only:									
14.		-			and representative form of government?		Yes	[] No	[]	
15.		•									
16.		·		-							
17.		•									
18.1	How often are regular	meetings of the governing body he	ld?								
18.2	When was the last reg	ular meeting of the governing body	held?								
18.3	When and where will t	he next regular or special meeting	of the governing body be	e held?							
	-	of the governing body attended the	-								
	-	e were delegates of the subordinate									
19.	·										
20.	Ť										
21.	•	·									
22.	•	•									
23.		-	-				Voc. I		1 No	г 1	
24.		ion required before issuing a benef	• •				Yes		J No		
25.	* *	·		oming a membei	r of a local branch by ballot and initiation?	V [Yes		J No		
		ments required sent to the member				Yes [] No		J N/A		
	-	state the purpose for which the mon	-				Yes		J NO	[]	
27.	What proportion of firs	t and subsequent year's payments	-	gement expenses	s?					0/	
		27.11 First \								%	
		27.12 Subs	-	_							
					ents for the same, used for expenses?		Yes		J NO	[]	
							V 1		1 Na	r 1	
		tity pay an old age disability benefit	?				Yes		J No	[]	
		es the benefit commence?									
		r have the laws of the reporting enti	•	,			Yes		J NO	[]	
	•	s Department all forms of benefit co			ion and all of the laws, rules and regulation		Yes] No	[]	
32.1				d during the cur	rent year under premium-paying certificate	es			,	, .	
00.0		ting attained age or membership re	quirements.			Voc r	Yes		J NO		
	,	al reserve included in Exhibit 5?				Yes [] No		J N/A	[]	
							Voc. I		1 No	r 1	
	, ,	y reinsured, amalgamated with, or		•	• ,		Yes] No	[]	
33.2	trustee, or any oth	er person, or firm, corporation, soon ny nature whatsoever in connection	iety or association, rece	eived or is to rec	d, by means of which any officer, director, ceive any fee, commission, emolument, or ice, amalgamation, absorption, or transfer	Yes [] No] N/A	[]	
34.					irm, corporation, society or association, ar	ny	Yes		1 No	1	
35.1	•	re whatsoever against this reporting tity have outstanding assessments	•		•		Yes		j No 1 No		
		e of the original lien and the total ou	· ·		·		. 00		,	.]	
		Date			Outstanding Lien Amount						

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e., 17.6. \$000 omitted for amounts of life insurance

	\$000 omitted for an	nounts of life insurance			
	1 2021	2 2020	3 2019	4 2018	5 2017
Life Insurance in Force					
(Exhibit of Life Insurance)					
Ordinary-whole life and endowment (Line 34, Col. 4)					102,112
2. Ordinary-term (Line 21, Col. 4, less Line 34, Col. 4)					
3. Credit life (Line 21, Col. 6)					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)					
5. Industrial (Line 21, Col. 2)					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4)					
7. Total (Line 21, Col. 10)	302,744	255,203	211,568	161,941	102,112
7.1 Total in force for which VM-20 deterministic/ stochastic reserves are calculated					
New Business Issued (Exhibit of Life Insurance)					
8. Ordinary-whole life and endowment (Line 34, Col. 2)					
9. Ordinary-term (Line 2, Col. 4, less Line 34, Col. 2)					
10. Credit life (Line 2, Col. 6)					
11. Group (Line 2, Col. 9)					
12. Industrial (Line 2, Col. 2)					
13. Total (Line 2, Col. 10)					
Premium Income - Lines of Business (Exhibit 1 – Part 1)					
14. Industrial life (Line 20.4, Col. 2)	407.000.004	404 750 057	400 574 470	400.075.400	400 770 007
15.1 Ordinary life insurance (Line 20.4, Col. 3)		1			132,776,337
15.2 Ordinary individual annuities (Line 20.4, Col. 4)					
16. Credit life, (group and individual) (Line 20.4, Col. 5)					
17.1 Group line insurance (Line 20.4, Col. 6)					
18.1 A & H-group (Line 20.4, Col. 8)					
18.2 A & H-credit (group and individual) (Line 20.4, Col.					
18.3 A & H-other (Line 20.4, Col. 10)		1			
19. Aggregate of all other lines of business (Line 20.4,					
Col. 11)					
20. Total	127 , 938 , 661	131,752,857	133 ,574 , 173	132,975,162	132,776,337
Balance Sheet					
(Pages 2 and 3)					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	2,906,161,049	2,404,381,349	2,190,715,589	2,104,830,586	1,942,914,453
22 Total liabilities excluding Separate Accounts					
business (Page 3, Line 26)		2,351,295,397			
23. Aggregate life reserves (Page 3, Line 1)	2 ,795 ,456 ,633	2,326,726,522	2,104,099,026	2,021,313,921	1,818,091,202
23.1 Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1					
24. Aggregate A & H reserves (Page 3, Line 2)		i i	i i		
25. Deposit-type contract funds (Page 3, Line 3)		1			
26. Asset valuation reserve (Page 3, Line 24.01)		384 , 435			560,622
27. Capital (Page 3, Lines 29 & 30)		100,000			100,000
28. Surplus (Page 3, Line 37)		52,985,952	62,413,755	76,319,262	92,295,900
Cash Flow (Page 5)					
29. Net cash from operations (Line 11)	2,447,421	(26, 265, 205)	(16,723,141)	(34,277,476)	(4,088,732)
Risk-Based Capital Analysis					
30. Total adjusted capital		53,470,387			
31. Authorized control level risk-based capital	22 , 151 , 043	16,891,695	12,274,147	10,058,544	7 ,757 ,024
Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Col. 3) (Line No./Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1)	50.9	91.8	79 4	93.4	94.6
33. Stocks (Lines 2.1 and 2.2)		3.3		i	1.7
34. Mortgage loans on real estate (Lines 3.1 and 3.2)	i		i		
35. Real estate (Lines 4.1, 4.2 and 4.3)		i			
36. Cash, cash equivalents and short-term investments (Line 5)				2.2	2.3
37. Contract loans (Line 6)	i		i	i	
38. Derivatives (Page 2, Line 7)					
39. Other invested assets (Line 8)	1.4	2.6	1.8	1.9	1.4
40. Receivables for securities (Line 9)					
41. Securities lending reinvested collateral assets (Line					
42. Aggregate write-ins for invested assets (Line 11)					
43. Cash, cash equivalents and invested assets (Line	$\overline{}$				
12)	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA

(Continued)								
		1 2021	2 2020	3 2019	4 2018	5 2017		
	tments in Parent, Subsidiaries and Affiliates							
	Affiliated bonds (Sch. D Summary, Line 12, Col. 1)							
45.	Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)							
46.	Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)							
47.	Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)							
48.	Affiliated mortgage loans on real estate							
49.	All other affiliated							
50.	Total of above Lines 44 to 49							
51.	Total investment in parent included in Lines 44 to 49 above							
	Nonadmitted and Admitted Assets							
52.	Total nonadmitted assets (Page 2, Line 28, Col. 2)							
	Total admitted assets (Page 2, Line 28, Col. 3)	2,906,161,049	2,404,381,349	2,190,715,589	2,104,830,586	1,942,914,453		
	tment Data Net investment income (Exhibit of Net Investment			==				
55.	Income)	2,997,505	3,438,215	4,246,579 [39 , 750 , 136	29 , 632 , 762		
56.	Column 1) Unrealized capital gains (losses) (Page 4, Line 38,							
	Column 1)	(35,550)	3 438 215	4 246 579	39,750,136	29 632 762		
	fits and Reserve Increase (Page 6)					20,002,102		
	Total contract/certificate benefits-life (Lines 10, 11,							
	12, 13, 14 and 15, Col. 1 minus Lines 10, 11, 12, 13, 14 and 15, Cols. 6, 7 and 8)	7,491,037	9,090,316	3,418,813	1,036,708	539 , 491		
59.	Total contract/certificate benefits-A & H (Lines 13 & 14, Col. 6)							
60.	Increase in life reserves-other than group and annuities (Line 19, Col. 2)	457 , 777 , 570	222,627,495	82,785,105	203,222,719	309 , 527 , 030		
61.								
62.	Dividends to policyholders and refunds to members (Line 30, Col. 1)							
Opera	ating Percentages							
63.	Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23 less Line 6)/(Page 6 Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.00	18.7	14.2	10.8	9.6	7.8		
64.	Lapse percent (ordinary only) [(Exhibit of Life Insurance, Column 4, Lines 14 & 15) / ½ (Exhibit of Life Insurance, Column 4, Lines 1 & 21)] x 100.00							
65.	A & H loss percent (Schedule H, Part 1, Lines 5 & 6, Col. 2)							
66.	A & H cost containment percent (Schedule H, Part 1, Line 4, Col. 2)							
67.	A & H expense percent excluding cost containment expenses (Schedule H, Part 1, Line 10, Col. 2)							
<u> A & F</u>	I Claim Reserve Adequacy							
68.	Incurred losses on prior years' claims-group health (Sch. H, Part 3, Line 3.1, Col. 2)							
69.	Prior years' claim liability and reserve-group health (Sch. H, Part 3, Line 3.2, Col. 2)							
70.	Incurred losses on prior years' claims-health other than group (Sch. H, Part 3, Line 3.1, Col. 1 less Col. 2)							
71.	Prior years' claim liability and reserve-health other than group (Sch. H, Part 3, Line 3.2, Col. 1 less							
Policy by Lir	Col. 2)							
	Industrial life (Page 6.1, Col. 2)					/407 000 545		
	Ordinary-life (Page 6.1, Col. 1 less Cols. 2, 10 and 12) Ordinary-individual annuities (Page 6, Col. 4)							
	Ordinary-supplementary contracts							
	Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7)							
	Group life (Page 6.2, Col.1 Less Cols. 7 and 9) Group annuities (Page 6, Col. 5)							
	A & H-group (Page 6.5, Col. 3)							
	A & H-credit (Page 6.5, Col. 10)	i	i					
	A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10)							
	Aggregate of all other lines of business(Page 6, Col. 8).							
83.	Fraternal (Page 6, Col. 7)							
84.	Total (Page 6, Col. 1)	(292,033,768)	(59,973,332)	85,496,871	(34,668,674)	(137,330,515		

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3—Accounting Changes and Correction of Errors?

Yes [] No [] If no, please explain

23



DIRECT BUSINESS IN THE STATE OF Consolidated

DURING THE YEAR 2021

NAIC (Group Code 00431	LIFE	INSURANCE		NAIC Company	Code 00000
	DIRECT PREMIUMS	1	2	3	4	5
	AND ANNUITY CONSIDERATIONS		Credit Life (Group	_		
		Ordinary	and Individual)	Group	Industrial	Total
	Life insurance					
	. ,					
	= -		XXX		XXX	
	Out of total out of the					
5.	Totals (Sum of Lines 1 to 4)					
	DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS					
	TO MEMBERS					
	Life insurance:					
	6.1 Paid in cash or left on deposit					
	6.2 Applied to pay renewal premiums					
	6.3 Applied to provide paid-up additions or shorten the					
	endowment or premium-paying period					
	endowment or premium-paying period 6.4 Other 6.5 Totals (Sum of Lines 6.1 to 6.4) Annuities:					
	6.5 Totals (Sum of Lines 6.1 to 6.4)	\				
	Annuities:					
	7.1 Paid in cash or left on deposit		·			
	7.3 Other		··· 		·	
Q	Grand Totals (Lines 6.5 + 7.4)					
0.	DIRECT CLAIMS AND BENEFITS PAID					
a	Death benefits					
	A 1 6:					
	Aggregate write-ins for miscellaneous direct claims and					
	benefits paid					
14.	All other benefits, except accident and health					
	Totals					
	DETAILS OF WRITE-INS				<u> </u>	
1301.						
1303.						
1398.	Summary of remaining write-ins for Line 13 from overflow					
1399.	Total (Lines 1301 through 1303 + 1398) (Line 13 above)					
	<u> </u>		•			

	Oı	rdinary		edit Life nd Individual)		Group	Inc	Industrial		Γotal
DIRECT DEATH	1	2	3	4	5	6	7	7 8		10
BENEFITS AND MATURED			No. of							
	No. of Pols.		Ind. Pols.&		No. of		No. of Pols.		No. of Pols.	
INCURRED	& Certifs.	Amount	Gr. Certifs.	Amount	Certifs.	Amount	& Certifs.	Amount	& Certifs.	Amount
16. Unpaid December 31, prior year										
17. Incurred during current										
year										
Settled during current year:										
18.1 By payment in full							·		 	
18.2 By payment on										
compromised claims				10			1		1	
18.4 Reduction by				1/ 1		···	·····		†····	
18.4 Reduction by compromise										
18.5 Amount rejected									i i	
18.6 Total settlements										
19. Unpaid Dec. 31, current										
year (16 + 17 - 18.6)										
					No. of					
POLICY EXHIBIT					Policies					
20. In force December 31,				(a)						
prior year										
21. Issued during year	····						 		 	
22. Other changes to in force (Net)										
23. In force December 31				(a)		· · · · · · · · · · · · · · · · · · ·	†		t	
of current year				(α)						
(a) Includes Individual Credit L	ife Insurance	e: prior year \$,cu	rrent vear \$				1	

Includes Group Credit Life Insurance: Loans less than or equal to 60 months at issue, prior year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

, current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year , current year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

ACCIDENT AND HEALTH INCOMANCE							
	1	2	3	4	5		
			Policyholder Dividends				
			Paid, Refunds to				
		Direct Premiums	Members or Credited		Direct Losses		
	Direct Premiums	Earned	on Direct Business	Direct Losses Paid	Incurred		
24. Group policies (b)							
24.1 Federal Employees Health Benefits Plan premium (b)							
24.2 Credit (Group and Individual) 24.3 Collectively renewable policies/certificates (b)							
24.3 Collectively renewable policies/certificates (b)							
24.4 Medicare Title XVIII exempt from state taxes or fees							
Other Individual Policies:			_				
25.1 Non-cancelable (b)							
25.2 Guaranteed renewable (b)							
25.3 Non-renewable for stated reasons only (b)							
25.4 Other accident only							
25.4 Other accident only							
25.6 Totals (sum of Lines 25.1 to 25.5)							
26 Totals (Lines 24 + 24 1 + 24 2 + 24 3 + 24 4 + 25 6)							

b. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6) | | | (b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products

EXHIBIT OF LIFE INSURANCE(\$000 Omitted for Amounts of Life Insurance)

	Indi	ıstrial	(\$000 On	nitted for Amounts of I	Life Insurance) Credit Life (Group	n and Individual)	I	Group		10
 	1			4	5	p and individual) 6	Num	ber of	0	10 Total
	1 Number of	2 Amount of	3 Number of	4 Amount of	Number of Individual Policies and Group	Amount of	7	8	9 Amount of	Amount of
	Policies	Insurance	Policies	Insurance	Certificates	Insurance	Policies	Certificates	Insurance	Insurance
In force end of prior year			5,471	255 , 203						255,203
Issued during year										
Reinsurance assumed										
4. Revived during year			1,408	55 , 488						FF 400
5. Increased during year (net)		·····	1.408	55,488						55 , 488 55 , 488
6. Subtotals, Lines 2 to 5	XXX	•	1,400 XXX	33,400	XXX		XXX	XXX		
7. Additions by dividends during year	ΛΛΛ				ļ					
Aggregate write-ins for increases Totals (Lines 1 and 6 to 8)			6,879	310,692						310,692
9. Totals (Lines 1 and 6 to 8)		<u> </u>	0,079		····		 	·		
10. Death			154	7.948			l xxx			7.948
11. Maturity			104				XXX			
12. Disability							XXX			
13. Expiry										
14. Surrender										
15. Lapse										
16. Conversion							XXX	XXX	XXX	
17. Decreased (net)										
18. Reinsurance										
19. Aggregate write-ins for decreases										
20. Totals (Lines 10 to 19)			154	7,948						7.948
21. In force end of year (b) (Line 9 minus Line 20)			6,725	302,744						
22. Reinsurance ceded end of year	XXX		XXX		XXX		XXX	XXX		
23. Line 21 minus Line 22	XXX		XXX	302,744	XXX	(a)	XXX	XXX		302,744
DETAILS OF WRITE-INS	AAA		AAA	002,711	N/A	• •	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,		002,711
0801.										
0802.					·					
0803.										
0898. Summary of remaining write-ins for Line 8 from overflow										
page										
above)										
1901.										
1902.										
1903.					1					
1998. Summary of remaining write-ins for Line 19 from										
overflow page		 			 		 	 		
1999. Totals (Lines 1901 through 1903 plus 1998) (Line 19 above)										
Life, Accident and Health Companies Only: (a) Group \$: Individual \$										

Life, Accident and Fleatin Companies Only.
(a) Group \$; Individual \$
Fraternal Benefit Societies Only:
(b) Paid-up insurance included in the final totals of Line 21 (including additions to certificates) number of certificates, Amount \$,
Additional accidental death benefits included in life certificates were in amount \$
If not, how are such expenses met?

EXHIBIT OF LIFE INSURANCE

(\$000 Omitted for Amounts of Life Insurance) (Continued)

ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

	<u>Industri</u> al	Ordinary
	1 2	3 4
	I um el of Folic Amount of Insurance	Number of Policies
24. Additions by dividends		XXX
25. Other paid-up insurance	/ I I —	
26. Debit ordinary insurance	XXX XXX	

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

	Issued Du (Included		In Force End of Year (Included in Line 21)		
	1	2	3	4	
Term Insurance Excluding Extended Term Insurance	Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	
27. Term policies-decreasing					
28. Term policies-other					
29. Other term insurance-decreasing	XXX		XXX		
30. Other term insurance	XXX		XXX		
31. Totals, (Lines 27 to 30)					
Reconciliation to Lines 2 and 21:					
32. Term additions	XXX		XXX		
33. Totals, extended term insurance	XXX	XXX			
34. Totals, whole life and endowment			6,725	302,744	
35. Totals (Lines 31 to 34)			6,725	302,744	

CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS

	Issued During Year (included in Line 2)		In Force End of Year (included in Line 21)		
	1 Non-Participating	2 Participating	3 Non-Participating	4 Participating	
36. Industrial	1 0		3	, ,	
37. Ordinary			302,744		
38. Credit Life (Group and Individual)					
39. Group					
40. Totals (Lines 36 to 39)			302,744		

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

	Credi	it Life	Group		
	1	2	3	4	
	Number of Individual	Amount	Number	Amount	
	Policies and Group	of	of	of	
	(a tifica es	Insurance	Certificates	Insurance	
41. Amount of insurance included in Line 2 ceded to other companies	XX		XXX		
42. Number in force end of year if the number under shared groups is sourced.	JINL				
on a pro-rata basis		XXX		XXX	
43. Federal Employees' Group Life Insurance included in Line 21					
44. Servicemen's Group Life Insurance included in Line 21					
45. Group Permanent Insurance included in Line 21					



BASIS OF CALCULATION OF ORDINARY TERM INSURANCE



POLICIES WITH DISABILITY PROVISIONS

		Industrial		Ordinary		Credit		Group
	1	2	3	4	5	6	7 Number of	8
	Number of	Amount of	Number of	Amount of	Number of	Amount of	Certificate	Amount of
Disability Provision	Policies	Insurance	Policie	suran e	olicies	Insurance	s	Insurance
48. Waiver of Premium								
49. Disability Income			\\					
50. Extended Benefits			Χλλ	XXX				
51. Other								
52. Total		(a)		(a)		(a)		(a)

 $[\]hbox{(a) See the Annual Audited Financial Reports section of the annual statement instructions.}\\$

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEME	NTARY CONTRACTS			
	Ordi	inary	Gr	oup
	1	2	3	4
	Involving Life	Not Involving Life	Involving Life	Not Involving Life
	Contingencies	Contingencies	Contingencies	Contingencies
In force end of prior year				
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Total (Lines 1 to 4)				
Deductions during year:	JINL			
6. Decreased (net)				
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)				
9. In force end of year (line 5 minus line 8)				
10. Amount on deposit		(a)		(a)
11. Income now payable				
12. Amount of income payable	(a)	(a)	(a)	(a)

A	NNUITIES			
	Ord	linary	G	roup
	1	2	3	4
	Immediate	Deferred	Contracts	Certificates
In force end of prior year				
2. Issued during year				
Reinsurance assumed				
Increased during year (net)				
5. Totals (Lines 1 to 4)				
Deductions during year:				
6. Decreased (net)				
6. Decreased (net)	ノINL	<u>L</u>		
8. Totals (Lines 6 and 7)				
Totals (Lines 6 and 7) In force end of year (line 5 minus line 8)				
Income now payable:	1			
10. Amount of income payable	(a)	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance	XXX	(a)	XXX	(a)

		ACCIDENT AN	D HEALTH INSURANC	E		
	Gr	oup	Cr	edit	Ot	her
	1	2	3	4	5	6
	Certificates	Premiums in Force	Policies	Premiums in Force	Policies	Premiums in Force
 In force end of prior year 						
Issued during year						
Reinsurance assumed						
4. Increased during year (net)		XXX	1	XXX		XXX
5. Totals (Lines 1 to 4)		(X)		XXX		XXX
Deductions during year:			I			
6. Conversions		XXX	XXX	XXX	XXX	XXX
7. Decreased (net)		XXX		XXX		XXX
8. Reinsurance ceded		XXX		XXX		XXX
9. Totals (Lines 6 to 8)		XXX		XXX		XXX
10. In force end of year						
(line 5 minus line 9)		(a)		(a)		(a)

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS		
	1	2
		Dividend
	Deposit Funds	Accumulations
	Contracts	Contracts
1. In force end of prior year		
2. Issued during year		
3. Reinsurance assumed		
4. Increased during year (net)		
5. Totals (Lines 1 to 4)		
Deductions during year:		
6. Decreased (net)		
7. Reinsurance ceded		
8. Totals (Lines 6 and 7)		
9. In force end of year (line 5 minus line 8)		
10. Amount of account balance	(a)	(a)

⁽a) See the Annual Audited Financial Reports section of the annual statement instructions.

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

Interest Maintenance Reserve	
	1 Amount
Reserve as of December 31, prior year	1,403,299
Current year's realized pre-tax capital gains/(losses) of \$10,607 transferred into the reserve net of taxes of \$ 2,228	8,380
Adjustment for current year's liability gains/(losses) released from the reserve	-
Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	1,411,679
5. Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	155,891
6. Reserve as of December 31, current year (Line 4 minus Line 5)	1,255,787

	Amortization 1	2	3	4
	1			
Year of Amortization	Reserve as of December 31, Prior Year	Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	Balance Before Reduction for Current Year's Amortization (Cols. 1+2+3)
1. 2021	153,251	2,640		155,891
2. 2022	140,891	3,282		144 , 173
3. 2023		1,060		132,924
4. 2024	127 ,723	773		128,496
5. 2025	125,397	469		125,866
6. 2026	116,064	156		116,220
7. 2027	102,726			102,726
8. 2028	91 , 153			91 , 153
9. 2029				77 ,940
10. 2030				63,063
11. 2031	52,544			52 , 544
12. 2032	45,315			45,315
13. 2033				37,904
14. 2034				
15. 2035	23,133			23 , 133
16. 2036				18,578
17. 2037				16,614
18. 2038				15,252
19. 2039				13,072
20. 2040				10,628
21. 2041				7 , 471
22. 2042	4,729			4,729
23. 2043				1,883
24. 2044	(24)			(24)
25. 2045	(677)			(677)
26. 2046				(882)
27. 2047	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			(885)
28. 2048	, ,			(823)
29. 2049	,			(632)
30. 2050	,			(198)
31. 2051 and Later	(100)			(100)
32. Total (Lines 1 to 31)	1,403,299	8,380		1,411,679

ASSET VALUATION RESERVE

	1100_1	Default Component	T TYLOLITY L		Equity Component		
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1+2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4+5)	7 Total Amount (Cols. 3+6)
Reserve as of December 31, prior year	370,220		370 ,220		14,215	14,215	384,435
Realized capital gains/(losses) net of taxes-General Account							
Realized capital gains/(losses) net of taxes-Separate Accounts							
4. Unrealized capital gains/(losses) net of deferred taxes-General Account	55,300		55,300				55,300
5. Unrealized capital gains/(losses) net of deferred taxes-Separate Accounts							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves							
7. Basic contribution	59,520		59,520		2,770	2,770	62,289
8. Accumulated balances (Lines 1 through 5 - 6 + 7)	485,040		485,040			16,985	502,025
9. Maximum reserve	321,295		321,295		14,214	14,214	335,509
10. Reserve objective	182,865		182,865		8,464	8,464	191,328
11. 20% of (Line 10 - Line 8)	(60,435)		(60,435)		(1,704)	(1,704)	(62,139)
12. Balance before transfers (Lines 8 + 11)	424,605		424,605		15,280	15,280	439,885
13. Transfers							
14. Voluntary contribution							
15. Adjustment down to maximum/up to zero	(103,310)		(103,310)		(1,066)	(1,066)	(104,376)
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	321,295		321,295		14,214	14,214	335,509

ASSET VALUATION RESERVE (Continued) BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS DEFAULT COMPONENT

			1	2	3	4	Basio	c Contribution	Rese	erve Objective	Maxim	num Reserve
Line Num- ber	NAIC Designation	Description	Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1+2+3)	5 Factor	6 Amount (Cols. 4x5)	7 Factor	8 Amount (Cols. 4x7)	9 Factor	10 Amount (Cols. 4x9)
		LONG-TERM BONDS	7 0			<u> </u>				, ,		,
1		Exempt Obligations		XXX	XXX	7,350,992						
2.1	1	NAIC Designation Category 1.A	5,665,423	XXX	XXX	5,665,423	0 .0005	2,833	0.0016	9,065	0 .0033	18,696
2.2	1	NAIC Designation Category 1.B		XXX	XXX		0 .0005	249	0 .0016	797	0 .0033L	1,644
2.3	1	NAIC Designation Category 1.C		XXX	XXX	6,267,385	0 .0005	3,134	0.0016	10,028	0 .0033	20,682
2.4	1	NAIC Designation Category 1.D		XXX	XXX	3,475,624	0.0005	1,738	0.0016	5,561	0 .0033	11,470
2.5	1	NAIC Designation Category 1.E	4,619,772	ХХХ	XXX	4,619,772	0 .0005	2,310	0 .0016	7 , 392	0 .0033	15,245
2.6	1	NAIC Designation Category 1.F	4,695,156	XXX	XXX	4,695,156	0 .0005	2,348	0 .0016	7,512	0 .0033	15,494
2.7	1	NAIC Designation Category 1.G		XXX	XXX	5,113,717	0 .0005	2,557	0 .0016	8,182	0 .0033	16,875
2.8		Subtotal NAIC 1 (2.1+2.2+2.3+2.4+2.5+2.6+2.7)		XXX	XXX			15,168		48,536		100 , 106
3.1	2	NAIC Designation Category 2.A		XXX	XXX	9,163,683	0 .0021	19,244	0 .0064	58,648	0 .0106	
3.2	2	NAIC Designation Category 2.B		XXX	XXX		0.0021	10,592	0 .0064	32,279	0.0106	53,462
3.3	2	NAIC Designation Category 2.C	2,820,019	XXX	XXX	2,820,019	0.0021	5,922	0.0064	18,048	0.0106	29,892
3.4		Subtotal NAIC 2 (3.1+3.2+3.3)		XXX	XXX	17,027,324		35,757		108,975		180,490
4.1	3	NAIC Designation Category 3.A		XXX	XXX		0 .0099		0.0263		0 .0376	
4.2	3	NAIC Designation Category 3.B		ХХХ	XXX		0.0099		0.0263		0.0376	
4.3	3	NAIC Designation Category 3.C	216,936	XXX	XXX	216,936	0.0099		0.0263	5,705	0.0376	8,157
4.4		Subtotal NAIC 3 (4.1+4.2+4.3)	216,936	XXX	XXX	216,936		2,148		5,705		8,157
5.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0245		0 .0572		0 .0817	
5.2	4	NAIC Designation Category 4.B		XXX	XXX		0 .0245		0.0572		0 .0817	
5.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		0.0817	
5.4		Subtotal NAIC 4 (5.1+5.2+5.3)		XXX	XXX							
6.1	5	NAIC Designation Category 5.A		XXX	XXX		0 .0630		0 . 1128		0 . 1880	
6.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0 . 1128		0 . 1880	
6.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0 . 1880	
6.4		Subtotal NAIC 5 (6.1+6.2+6.3)		XXX	XXX							
7	6	NAIC 6		XXX	XXX				0.2370		0.2370	
8		Total Unrated Multi-class Securities Acquired by Conversion]	XXX	XXX		XXX		XXX		XXX	
9		Total Long-Term Bonds (1+2.8+3.4+4.4+5.4+6.4+7+8)	54,930,460	XXX	XXX	54,930,460	XXX	53,073	XXX	163,217	XXX	288,753
		PREFERRED STOCKS										
10	1	Highest Quality		XXX	XXX		0 .0005		0.0016		0.0033	
11	2	High Quality		XXX	XXX	3,070,000	0.0021	6,447	0.0064	19,648	0 .0106	32,542
12	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
13	4	Low Quality		XXX	XXX		0.0245	<u> </u>	0 .0572		0 .0817l	
14	5	Lower Quality		XXX	XXX		0.0630		0 . 1128		0 . 1880	
15	6	In or Near Default		XXX	XXX				0.2370		0.2370	
16		Affiliated Life with AVR		XXX	XXX							
17		Total Preferred Stocks (Sum of Lines 10 through 16)	3,070,000	XXX	XXX	3,070,000	XXX	6,447	XXX	19,648	XXX	32,542

ASSET VALUATION RESERVE (Continued) BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS DEFAULT COMPONENT

			1	2	3	4	Basio	c Contribution	Resei	rve Objective	Maxim	ium Reserve
				_			5	6	7	8	9	10
Line Num- ber	NAIC Designation	Description	Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1+2+3)	Factor	Amount (Cols. 4x5)	Factor	Amount (Cols. 4x7)	Factor	Amount (Cols. 4x9)
Dei	Designation	SHORT-TERM BONDS	Carrying value	Faity Elicumbiances	Liicumbrances	(COIS. 1+2+3)	i actor	(0015. 47.0)	i actor	(0015. 477)	1 actor	(0015. 433)
18		Exempt Obligations		XXX	XXX							
19.1	1	NAIC Designation Category 1.A		XXX	XXX	··†·····	0.0005		0.0016		0.0033	
19.2	1	NAIC Designation Category 1.A		XXX	XXX	<u> </u>	0 .0005		10.0016		10.00331	
19.3	1	NAIC Designation Category 1.C		XXX	XXX	<u> </u>	0.0005		0.0016		0.0033	
19.4	i	NAIC Designation Category 1.D		XXX	XXX		0 .0005		0.0016		0.0033	
19.5	1	NAIC Designation Category 1.E		XXX	XXX		0.0005		I0.0016		10.0033	
19.6	1	NAIC Designation Category 1.F		XXX	XXX		0.0005		I 0.0016		0.0033	
19.7	1	NAIC Designation Category 1.G		XXX	XXX		0.0005		I 0.0016		0.0033	
19.8		Subtotal NAIC 1 (19.1+19.2+19.3+19.4+19.5+19.6+19.7)		XXX	XXX						I	
20.1	2	NAIC Designation Category 2.A		ХХХ	XXX		0.0021		0.0064l		0.0106	
20.2	2	NAIC Designation Category 2.B		XXX	XXX		0.0021		0.0064		0.0106	
20.3	2	NAIC Designation Category 2.C		ХХХ	XXX		0.0021		0.0064		0.0106	
20.4		Subtotal NAIC 2 (20.1+20.2+20.3)		ХХХ	XXX						<u> </u>	
21.1	3	NAIC Designation Category 3.A		ХХХ	XXX		0 .0099		0.0263		L0.0376L.	
21.2	3	NAIC Designation Category 3.B		XXX	XXX		0 .0099		0.0263		L0.0376L.	
21.3	3	NAIC Designation Category 3.C		ХХХ	XXX		0 .0099		0.0263		0.0376	
21.4		Subtotal NAIC 3 (21.1+21.2+21.3)		XXX	XXX						ļļ.	
22.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0245		0.0572		0.0817	
22.2	4	NAIC Designation Category 4.B		XXX	XXX		0.0245		0.0572		0.0817	
22.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		l0.0817l.	
22.4		Subtotal NAIC 4 (22.1+22.2+22.3)		ХХХ	XXX						ļ	
23.1	5	NAIC Designation Category 5.A		ХХХ	XXX		0 .0630		0.1128		0.1880L	
23.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0 . 1128		D.1880L.	
23.3	5	NAIC Designation Category 5.C		ХХХ	XXX		0.0630		0 . 1128		0.1880 <u>_</u> _	
23.4		Subtotal NAIC 5 (23.1+23.2+23.3)		ДХХХ	XXX						ļ	
24	6	NAIC 6		XXX	XXX				0.2370		0.2370	
25		Total Short-Term Bonds (18+19.8+20.4+21.4+22.4+23.4+24)		XXX	XXX		XXX		XXX		XXX	
		DERIVATIVE INSTRUMENTS										
26		Exchange Traded		ХХХ	XXX		0.005		0.0016		J0.0033J.	
27	1	Highest Quality		ХХХ	XXX		0 .0005		0.0016 <u> </u>		D.0033L.	
28	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
29	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
30	4	Low Quality		XXX	XXX		0 .0245	ļ	0.0572		0.0817	
31	5	Lower Quality	ļ	XXX	XXX		0 .0630	ļ	0 . 1128		0 . 1880L	
32	6	In or Near Default		XXX	XXX				0.2370		0.2370	
33		Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX	
34		Total (Lines 9 + 17 + 25 + 33)	58,000,460	XXX	XXX	58,000,460	XXX	59,520	XXX	182,865	XXX	321,295

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ASSET VALUATION RESERVE (Continued) BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS DEFAULT COMPONENT

			1	2	3	4	Basic	Contribution	Rese	rve Objective	Maxim	um Reserve
			•	_	-	· ·	5	6	7	8	9	10
Line						Balance for AVR						
Num-	NAIC		Book/Adjusted	Reclassify Related	Add Third Party	Reserve Calculations		Amount		Amount		Amount
ber	Designation	Description	Carrying Value	Party Encumbrances	Encumbrances	(Cols. 1+2+3)	Factor	(Cols. 4x5)	Factor	(Cols. 4x7)	Factor	(Cols. 4x9)
		MORTGAGE LOANS										
		In Good Standing:										
35		Farm Mortgages - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
36		Farm Mortgages - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
37		Farm Mortgages - CM3 - Medium Quality			XXX		D.0069		.l0.0200		0.0257	
38		Farm Mortgages - CM4 - Low Medium Quality			XXX		0 .0120		.l0.0343		0 .0428	
39		Farm Mortgages - CM5 - Low Quality			XXX		0 .0183		0.0486		0.0628	
40		Residential Mortgages - Insured or Guaranteed			XXX		0 .0003		0.0007		0.0011	
41		Residential Mortgages - All Other			XXX		0 .0015		.l0.0034		0 .0046	
42		Commercial Mortgages - Insured or Guaranteed			XXX		0 .0003		0.0007		0.0011	
43		Commercial Mortgages - All Other - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
44		Commercial Mortgages - All Other - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
45		Commercial Mortgages - All Other - CM3 - Medium Quality			XXX		D.0069		0.0200		0.0257	
46		Commercial Mortgages - All Other - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
47		Commercial Mortgages - All Other - CM5 - Low Quality			ХХХ		0 .0183		0.0486		0.0628	
		Overdue, Not in Process:										
48		Farm Mortgages			XXX		0 .0480		0.0868		0.1371	
49		Residential Mortgages - Insured or Guaranteed			XXX		0 .0006		0.0014		0.0023	
50		Residential Mortgages - All Other			ХХХ		0.0029		0.0066		0.0103	
51		Commercial Mortgages - Insured or Guaranteed			XXX		0 .0006		0 .0014		0 .0023	
52		Commercial Mortgages - All Other			XXX		0 .0480	•	0.0868		0 . 1371	
		In Process of Foreclosure:										
53		Farm Mortgages			XXX				0.1942		0.1942	
54		Residential Mortgages - Insured or Guaranteed			XXX				0.0046		0.0046	
55		Residential Mortgages - All Other			XXX				0.0149		0.0149	
56		Commercial Mortgages - Insured or Guaranteed			XXX				0.0046		0.0046	
57		Commercial Mortgages - All Other			XXX				0.1942		0.1942	
58		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59		Schedule DA Mortgages			XXX		0.0034		0.0114		0.0149	
60		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS EQUITY AND OTHER INVESTED ASSET COMPONENT

			1	2	3	4	BASIC CO	ONTRIBUTION	RESERV	E OBJECTIVE	MAXIMU	M RESERVE
Line Number	NAIC Designation	ion Description	Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Col. 1 + 2 + 3)	5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		COMMON STOCK										
1		Unaffiliated Public		XXX	XXX				0 . 1580 (a)		0.1580 ^(a)	
2		Unaffiliated Private		XXX	XXX				0 . 1945		0.1945	
3		Federal Home Loan Bank		XXX	XXX				0.0061		0.0097	
4		Affiliated Life with AVR		XXX	XXX							
		Affiliated Investment Subsidiary:										
5		Fixed Income Exempt Obligations					XXX		XXX		XXX	
6		Fixed Income Highest Quality					XXX		XXX		XXX	
7		Fixed Income High Quality					XXX		XXX		XXX	
8		Fixed Income Medium Quality					XXX		XXX		XXX	•
9		Fixed Income Low Quality					XXX		.lXXX		.lXXX	
10		Fixed Income Lower Quality					XXX		XXX		XXX	
11		Fixed Income In or Near Default					ХХХ		XXX		XXX	
12		Unaffiliated Common Stock Public							0 . 1580 (a)		0.1580 ^(a)	
13		Unaffiliated Common Stock Private							0 . 1945		0.1945	
14		Real Estate					(b)		(b)		(b)	
15		Affiliated-Certain Other (See SVO Purposes & Procedures Manual)		ХХХ	ХХХ				0 . 1580		0 . 1580	
16		Affiliated - All Other		XXX	XXX				0.1945		0.1945	
17		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
		REAL ESTATE										
18		Home Office Property (General Account only)							0.0912		0.0912	
19		Investment Properties							0.0912		0.0912	
20		Properties Acquired in Satisfaction of Debt							0.1337		0.1337	
21		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
		OTHER INVESTED ASSETS										
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS		VVV	VVV							
22		Exempt Obligations		XXX	XXX	 	0.0005		0.0040			
23	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
24	2	High Quality		XXX	XXX		0.0021		0.0064	 	0.0106	•
25	3	Medium Quality	ļ	XXX	XXX	 	0.0099		0.0263	 	0.0376	
26	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
27	5	Lower Quality		XXX	XXX	 	0.0630		0.1128	 	0.1880	
28	6	In or Near Default		XXX	XXX				0.2370		0.2370	
29		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX	1	XXX		XXX	

ASSET VALUATION RESERVE (Continued) BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS EQUITY AND OTHER INVESTED ASSET COMPONENT

_	1		AIID OI	1		133LI CC						
			1	2	3	4	BASIC CO	ONTRIBUTION	RESERV	OBJECTIVE MAXIMUM RESERVE		M RESERVE
						Balance for AVR	5	6	7	8	9	10
				Reclassify Related		Reserve						
Line	NAIC		Book/Adjusted	Party	Add Third Party	Calculations		Amount		Amount		Amount
Numbe	r Designation		Carrying Value	Encumbrances	Encumbrances	(Col. 1 + 2 + 3)	Factor	(Cols. 4 x 5)	Factor	(Cols. 4 x 7)	Factor	(Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING										
		CHARACTERISTICS OF PREFERRED STOCKS		VVVV	,,,,,,,	004.040	0.0005		0.0040			
30	1	Highest Quality	301,940	XXX	XXX	301,940	0.0005	151	0.0016	483	0.0033	996
31		High Quality	1,246,988	XXX	XXX	1,246,988	0.0021	2,619	0.0064	7 ,981	0.0106	13,218
32		Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
33		Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
34		Lower Quality		XXX	XXX	-	0.0630		0 . 1128		0 . 1880	
35		In or Near Default		XXX	XXX	 			0.2370	<u> </u>	0.2370	
36		Affiliated Life with AVR		XXX	XXX							
37		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)	1,548,928	XXX	XXX	1,548,928	XXX	2,770	XXX	8,464	XXX	14,214
		INVESTMENTS WITH THE UNDERLYING										
		CHARACTERISTICS OF MORTGAGE LOANS										
00		In Good Standing Affiliated:			VVV		0.0044		0.0057		0.0074	
38		Mortgages - CM1 - Highest Quality			XXXXXX	·····	0.0011 0.0040		0.0057 0.0114		0.0074 0.0149	
39		Mortgages - CM2 - High Quality			XXX	·····	0.0040		0.0114		0.0149	
40		Mortgages - CM3 - Medium Quality			XXX	†	0.0120		0.0343		0.0428	
41		Mortgages - CM4 - Low Medium Quality			XXX	ł	0.0183		0.0486	ł	0.0628	
42		Mortgages - CM5 - Low Quality				ł				ł		
43		Residential Mortgages - Insured or Guaranteed		XXX	XXX	 	0 .0003		0.0007	ł	0.0011	
44		Residential Mortgages - All Other		XXX	XXXXXX	 	0 .0015		0.0034	ł	0.0046	
45		Commercial Mortgages - Insured or Guaranteed			λλλ	 	0.0003		0.0007	·	0 .0011	
40		Overdue, Not in Process Affiliated:			XXX		0.0480		0.0868		0.1371	
46 47		Farm Mortgages			XXX	†	0.0006		0.0014	····	0.0023	
47		Residential Mortgages - Insured or Guaranteed			XXX	 	0.0000		0.0066		0.0103	
40		Residential Mortgages - All Other			XXX	 	0.0029		0.0014		0.0103	
50		Commercial Mortgages - Ill Other			XXX	†	0.0480		0.0868	·	0.1371	
50		In Process of Foreclosure Affiliated:			 Ι	†	0.0400			·		
51		l =			XXX				0.1942		0.1942	
52		Farm Mortgages Residential Mortgages - Insured or Guaranteed Residential			XXX	.			0.0046		0.0046	
53		l =			XXX	†			0.0149	l	0.0149	
54		Residential Mortgages - All Other			XXX	†			0.0046	·	0.0046	
55		Commercial Mortgages - All Other			XXX	†····			0.1942		0.1942	
56		Total Affiliated (Sum of Lines 38 through 55)			XXX	†····	XXX		XXX		XXX	
57		Unaffiliated - In Good Standing With Covenants			XXX		////		(c)		(c)	
58							(0)		0.0057		(0)	
		Unaffiliated - In Good Standing Defeased With Government Securities			XXX		0.0011		0.0057		0.0074	
59		Unaffiliated - In Good Standing - Primarily Senior			XXX	 	0.0069		0.0200	·	0.0257	
60		Unaffiliated - In Good Standing All Other										
61		Unaffiliated - Overdue, Not in Process			XXX		0.0480		0.0868		0.1371	
62	1	Unaffiliated - In Process of Foreclosure			XXX		VVV		0.1942		0.1942	
63		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX		XXX		XXX	
64		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE (Continued) BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS EQUITY AND OTHER INVESTED ASSET COMPONENT

	1 2 3 4 BASIC CONTRIBUTION RESERVE OBJECTIVE MAXIMUM RESE											IM DESEDVE
			1	2	3	4 Balance for AVR	5	JINTRIBUTION F	7	E ODJECTIVE Ω	Q WAXING	I 10
				Reclassify Related		Reserve	3	"	,	0		10
Line	NAIC		Book/Adjusted	Party	Add Third Party	Calculations		Amount		Amount		Amount
Number	Designation	Description	Carrying Value	Encumbrances	Encumbrances	(Col. 1 + 2 + 3)	Factor	(Cols. 4 x 5)	Factor	(Cols. 4 x 7)	Factor	(Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65		Unaffiliated Public		ХХХ	XXX		· · · · · · · · · · · · · · · · · · ·		0 . 1580 (a)		0 . 1580 (a)	
66		Unaffiliated Private		ХХХ	XXX				0 . 1945		0 . 1945	
67		Affiliated Life with AVR		ХХХ	XXX							
68		Affiliated Certain Other (See SVO Purposes & Procedures Manual)		ХХХ	XXX				0 . 1580		0 . 1580	
69		Affiliated Other - All Other		XXX	XXX				0.1945		0.1945	
70		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71		Home Office Property (General Account only)							0.0912		0.0912	ļ
72		Investment Properties							0.0912		0.0912	ļ
73		Properties Acquired in Satisfaction of Debt							0.1337		0.1337	1
74		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75		Guaranteed Federal Low Income Housing Tax Credit					0.0003		0 .0006		0.0010	
76		Non-guaranteed Federal Low Income Housing Tax Credit					0 . 0063		0 .0120	•	0.0190	
77		Guaranteed State Low Income Housing Tax Credit					0.0003		0 .0006		0.0010	ļ
78		Non-guaranteed State Low Income Housing Tax Credit					0.0063		0.0120		0.0190	
79		All Other Low Income Housing Tax Credit					0.0273		0.0600		0.0975	
80		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
		ALL OTHER INVESTMENTS										1
81		NAIC 1 Working Capital Finance Investments		XXX	•				0.0042		0.0042	
82		NAIC 2 Working Capital Finance Investments		ХХХ					0 .0137		0 .0137	ļ
83		Other Invested Assets - Schedule BA		ХХХ					0 . 1580	ļ	0 . 1580	ļ
84		Other Short-Term Invested Assets - Schedule DA		XXX					0.1580		0.1580	
85		Total All Other (Sum of Lines 81, 82, 83 and 84)		XXX			XXX		XXX		XXX	
86		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)	1,548,928			1,548,928	XXX	2,770	XXX	8,464	XXX	14,214

⁽a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).

⁽b) Determined using same factors and breakdowns used for directly owned real estate.

⁽c) This will be the factor associated with the risk category determined in the company generated worksheet.

Asset Valuation Reserve RSA

NONE

Schedule F - Claims

NONE

Schedule H - Part 1

NONE

Schedule H - Part 2

NONE

Schedule H - Part 3

NONE

Schedule H - Part 4

NONE

Schedule H - Part 5 - Health Claims

NONE

SCHEDULE S - PART 1 - SECTION 1

Reinsurance Assumed Life Insurance, Annuities, Deposit Funds and Other Liabilities

Without Life or Disability Continuencies, and Related Benefits Listed by Reinsured Company as of December 31. Current Year

1				Without Life or Disability C	ontingencies, and Related Benefi	ts Listed by Reir	sured Compa	any as of December 3	1, Current Year				
Company Description Procedure Proc	1	2	3	4	5	6	7	8	9	10	11	12	13
Code Number Date Reinsured Jurisdiction Assumed Assumed at End of Year Reserve Premiums and Ungoid Losses Reserve Under Coinsulance Comercia Count x, All Titudes - V. S Copitive	NAIC			Name		Type of	Type of	Amount of			Reinsurance	Modified	1
Code Number Date Reinsured Aurisolation Assumed Assu	Company	ID	Effective	of	Domiciliary	Reinsurance	Business	In Force			Payable on Paid	Coinsurance	Funds Withheld
Comman Account Affiliaties U.S. Captives Ca			Date	Reinsured	Jurisdiction	Assumed	Assumed	at End of Year	Reserve	Premiums	and Unpaid Losses	Reserve	Under Coinsurance
Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - U.S Other Secretar Account - Affiliation - Affiliation - U.S Other Secretar Account - Affiliation - Affiliation - U.S Other Secretar Account - Affiliation - Affiliation - Affiliation - U.S Other Secretar Account - Affiliation - Affiliation - Affiliation - Account - Affiliation - Affil	General Ac	count - Affiliate	s - U.S Captiv	/e									
66964 46-746857 \$27-197010	0199999 -	General Account	- Affiliates - U	J.S Captive									
B8974 33-242891 1270/2019 INDRIT MBR CO LIFE & 17-10 175 (55 (80) 127 (30) 175 (55 (80) 127 (30) 127 (2	General Acc	count - Affiliates	- U.S Other	·									•
1.457_28.797	66044		12/10/2010	IMIDLAND NATL LIFE INS CO.	I A	COFW/I	AXXX	190,046,304	1,318,920,647				
1.457.286.797	66974	36 - 2428931	12/10/2010	NORTH AMER CO LIFE & HLTH INS	IA	COFW/I	AXXX	112,697,920	1,476,535,986	68,817,113			745,031,603
Serveral Account - Affiliates - Non-U.S Captive	0299999 -	General Account	- Affiliates - U.	S Other	•			302,744,224		127,938,661			1,457,236,797
DeBiggi - General Account - Affiliates - Nor-U.S Captive Debiggi - General Account - Affiliates - Nor-U.S Other DeBiggi - General Account - Affiliates - Nor-U.S Other Debiggi - General Account - Affiliates - Nor-U.S Total Debiggi - General Account - Affiliates - Nor-U.S Total Affiliates - Nor-U.S Total Affiliates - Nor-U.S Nor-Affiliates - Nor-U.S. Nor-Affiliates - Nor-U.S Captive - Nor-U.S. Nor-Affiliates - Nor-U.S. Nor-Affiliates - Nor-U.S. N								302,744,224	2,795,456,633	127,938,661			1,457,236,797
Control Account - Affiliates - Non-U.S Other Control Account - Affiliates - Non-U.S Other Control Account - Affiliates - Non-U.S Other Control Account - Affiliates - Non-U.S Other Control Account - Affiliates - Non-U.S Other Control Account - Affiliates - Other - Other - Affiliates - Other								•					
C899999 - General Account - Affiliates - Non-U.S Other C99999 - General Account - Affiliates - Non-U.S Other C99999 - General Account - Affiliates - Non-U.S Other C99999 - General Account - Affiliates - Other - Ot													
D099999 - General Account - Affiliates - Non-U.S Total 1.457.286.797	General Acc	ount - Affiliates	- Non-U.S Oth	ner									
1,457,226,797	0599999 -	General Account	- Affiliates - No	on-U.S Other									
Centeral Account - Non-Affiliates - U.S. Non-Affiliates	0699999 -	General Account	- Affiliates - No	on-U.S Total									
D089999 - General Account - Non-Affiliates - Non-U.S. Non-Affiliates								302,744,224	2,795,456,633	127,938,661			1,457,236,797
General Account - Non-Affiliates - Non-U.S. Non-Affiliates													
1099999 - General Account - Non-Affiliates - Non-U.S. Non-Affiliates 1,457,236,797													
199999 - General Account - Non-Affiliates - Total Non-Affiliates 1,457,236,797													
1199999 - General Account - Total General Account 1045, 236, 197													
Separate Accounts - Affiliates - U.S Captive													
1299999 - Separate Accounts - Affiliates - U.S Other								302,744,224	2,795,456,633	127,938,661			1,457,236,797
Separate Accounts - Affiliates - U.S Other 1399999 - Separate Accounts - Affiliates - U.S Total 1399999 - Separate Accounts - Affiliates - U.S Total 1599999 - Separate Accounts - Affiliates - Non-U.S Captive 1599999 - Separate Accounts - Affiliates - Non-U.S Captive 1699999 - Separate Accounts - Affiliates - Non-U.S Other 1699999 - Separate Accounts - Affiliates - Non-U.S Other 1799999 - Separate Accounts - Affiliates - Non-U.S Other 1799999 - Separate Accounts - Affiliates - Non-U.S Total 1899999 - Separate Accounts - Affiliates - Non-U.S Total 1899999 - Separate Accounts - Affiliates - Non-U.S Total 1899999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - Non-Affiliates 19999999 - Separate Accounts - Non-Affiliates - Non-Affiliates 19999999 - Separate Accounts - Non-Affiliates - Non-Affiliates 19999999 - Separate Accounts - Non-Affiliates - Non-Affiliates 199999990 - Separate Accounts - Non-Affiliates - Non-Affiliates 1999990 - Separate Accounts - Non-Affiliates - Non-Affiliates 1999990 - Separate Accounts - Non-Affiliates - Non-Affiliates													
1399999 - Separate Accounts - Affiliates - U.S Other													
1499999 - Separate Accounts - Affiliates - U.S Total													
Separate Accounts - Affiliates - Non-U.S Captive													
1599999 - Separate Accounts - Affiliates - Non-U.S Captive													
Separate Accounts - Affiliates - Non-U.S Other													
1699999 - Separate Accounts - Affiliates - Non-U.S Other 1799999 - Separate Accounts - Affiliates - Non-U.S Total 1899999 - Separate Accounts - Affiliates - Total Affiliates 1899999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates 1899999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates - Non													
1799999 - Separate Accounts - Affiliates - Non-U.S Total 1899999 - Separate Accounts - Non-Affiliates - Total Affiliates 1899999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates - Non-U.S. Non-Affiliates - N													
1899999 - Separate Accounts - Affiliates - U.S. Non-Affiliates Separate Accounts - Non-Affiliates - U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 2099999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 2199999 - Separate Accounts - Non-Affiliates - Total Non-Affiliates 2199999 - Separate Accounts - Total Separate Accounts - Non-Affiliates 2299999 - Separate Accounts - Total Separate Accounts - Total Separate Accounts - Non-Affiliates 2399999 - Total U.S. (Sum of 039999, 089999, 1499999 and 199999) 302,744,224 2,795,456,633 127,938,661 1,457,236,797 2499999 - Total Non-U.S. (Sum of 0699999, 099999, 1799999 and 2099999) 1,457,236,797													
Separate Accounts - Non-Affiliates - U.S. Non-Affiliates 1999999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 2099999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 2199999 - Separate Accounts - Non-Affiliates - Total Non-Affiliates 22999999 - Separate Accounts - Total Separate Accounts 22999999 - Total U.S. (Sum of 039999, 089999, 1499999 and 199999) 2399999 - Total U.S. (Sum of 039999, 089999, 1499999 and 199999) 2499999 - Total Non-U.S. (Sum of 0699999, 099999, 1799999 and 209999) 1,457,236,797 2499999 - Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)													
1999999 - Separate Accounts - Non-Affiliates - U.S. Non-Affiliates Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 209999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 2199999 - Separate Accounts - Non-Affiliates - Total Non-Affiliates 2299999 - Separate Accounts - Total Separate Accounts - Tota													
Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates													
2099999 - Separate Accounts - Non-Affiliates - Non-U.S. Non-Affiliates 2199999 - Separate Accounts - Non-Affiliates - Total Non-Affiliates 2299999 - Separate Accounts - Total Separate Accounts 2399999 - Total U.S. (Sum of 0399999, 0899999, 1499999 and 199999) 2499999 - Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)													
2199999 - Separate Accounts - Non-Affiliates - Total Non-Affiliates 2299999 - Separate Accounts - Total Separate Accounts 2399999 - Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999) 302,744,224 2,795,456,633 127,938,661 1,457,236,797 2499999 - Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999) 1799999 and 20999999 1799999 and 20999999													
2299999 - Separate Accounts - Total Separate Accounts 2399999 - Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999) 302,744,224 2,795,456,633 127,938,661 1,457,236,797 2499999 - Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999) 1799999 and 20999999 1799999 and 20999999 1799999 and 20999999													
239999 - Total U.S. (Sum of 039999, 089999, 149999 and 199999) 1,457,236,797 249999 - Total Non-U.S. (Sum of 069999, 099999, 179999 and 209999)													
249999 - Total Non-U.S. (Sum of 0699999, 099999, 1799999 and 2099999)													
	2399999 -	Total U.S. (Sum	of 0399999, 08999	999, 1499999 and 1999999)				302,744,224	2,795,456,633	127,938,661			1,457,236,797
999999 Totals 2,795,456,633 127,938,661 1,457,236,797	2499999 -	Total Non-U.S. (Sum of 0699999, (0999999, 1799999 and 2099999)									
	9999999	Totals		·				302,744,224	2,795,456,633	127,938,661			1,457,236,797

Schedule S - Part 1 - Section 2

NONE

Schedule S - Part 2

NONE

Schedule S - Part 3 - Section 1

NONE

Schedule S - Part 3 - Section 2

NONE

Schedule S - Part 4

NONE

Schedule S - Part 5

NONE

Schedule S - Part 6

NONE

SCHEDULE S - PART 7

	Restatement of Balance Sheet to Identify Net Credit F	For Ceded Reinsurance	2	3
		As Reported (net of ceded)	Restatement Adjustments	Restated (gross of ceded)
	ASSETS (Page 2, Col. 3)			
1.	Cash and invested assets (Line 12)	107,972,814		107,972,814
2.	Reinsurance (Line 16)	1,457,236,797	(1,457,236,797)	
3.	Premiums and considerations (Line 15)			
4.	Net credit for ceded reinsurance	XXX	1,457,236,797	1,457,236,797
5.	All other admitted assets (balance)	1,340,951,438		1,340,951,438
6.	Total assets excluding Separate Accounts (Line 26)	2,906,161,049		2,906,161,049
7.	Separate Account assets (Line 27)			
8.	Total assets (Line 28)	2,906,161,049		2,906,161,049
	LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9.	Contract reserves (Lines 1 and 2)	2,795,456,633		2,795,456,633
10.	Liability for deposit-type contracts (Line 3)			
11.	Claim reserves (Line 4)			
12.	Policyholder dividends/member refunds/reserves (Lines 5 through 7)			
13.	Premium & annuity considerations received in advance (Line 8)			
14.	Other contract liabilities (Line 9)	7,832,437		7,832,437
15.	Reinsurance in unauthorized companies (Line 24.02 minus inset amount)			
16.	Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)			
17.	Reinsurance with Certified Reinsurers (Line 24.02 inset amount)			
18.	Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)			
19.	All other liabilities (balance)	10,397,681		10,397,681
20.	Total liabilities excluding Separate Accounts (Line 26)	2,813,686,750		2,813,686,750
21.	Separate Account liabilities (Line 27)			
22.	Total liabilities (Line 28)	2,813,686,750		2,813,686,750
23.	Capital & surplus (Line 38)	92,474,299	XXX	92,474,299
24.	Total liabilities, capital & surplus (Line 39)	2,906,161,049		2,906,161,049
	NET CREDIT FOR CEDED REINSURANCE			
25.	Contract reserves			
26.	Claim reserves			
27.	Policyholder dividends/reserves			
28.	Premium & annuity considerations received in advance			
29.	Liability for deposit-type contracts			
30.	Other contract liabilities			
31.	Reinsurance ceded assets	1,457,236,797		
32.	Other ceded reinsurance recoverables			
33.	Total ceded reinsurance recoverables	1,457,236,797		
34.	Premiums and considerations			
35.	Reinsurance in unauthorized companies			
36.	Funds held under reinsurance treaties with unauthorized reinsurers.			
37.	Reinsurance with Certified Reinsurers			
38.	Funds held under reinsurance treaties with Certified Reinsurers			
39.	Other ceded reinsurance payables/offsets			
40.	Total ceded reinsurance payable/offsets			

1,457,236,797

SCHEDULE T—PREMIUMS AND ANNUITY CONSIDERATIONS (b)

Direct Business Only Life Contracts Accident and Health Insurance Premiums, Including Policy, Membership and Other Fee Total Columns 2 through 5 (b) Life Insurance Premiums Active Annuity Consideration Other Deposit - Type (a) Alabama .. AL 2. Alaska . ΑK Arizona Δ7 Ν AR .N. Arkansas California CA Colorado CO .N. Connecticut .CT Delaware DE .N. District of Columbia DC 10. Florida Ν Georgia GA .N. 12. Idaho ID .N.. 14. Illinois IL 15. Indiana INI Ν 16. lowa .. ΙA 17. KS Ν 18. Kentucky ΚY .N.. 19. Louisiana LA 20. Maine ME Ν 21. 22. Maryland N. MD Massachusetts MA Michigan MI. .N.. 24. Minnesota 25. Mississippi MS N 26. Missouri . МО 27. Montana мт Ν 28. Nebraska NE .N.. 29. Nevada. New Hampshire NH Ν. 31. New Jersey NJ 32. New Mexico NM N 33. New York . NY .N.. 34. North Dakota ND N 36. Ohio . ОН 37. Oklahoma OK Ν 38. OR Oregon .. .N. 39. Rhode Island RI .N. 41. South Dakota SD Ν 43. Tennessee .. TN 44. Texas . N 45. Utah .. UT .N. 46. Vermont . 47. Virginia . VA Ν 48. Washington WA 49. West Virginia ۱۸/۱ N 50. Wisconsin. WI .N.. 51. WY N. Wyoming American Samoa AS Ν 53. GU. Guam . Puerto Rico PR. Ν 55. US Virgin Islands. .VI 56. Northern Mariana Islands... MP Canada. CAN .N.. 58 Aggregate Other Alien 59. Subtotal .. XXX Subtotal
Reporting entity contributions for employee
benefits plans
Dividends or refunds applied to purchase paid-up
additions and annuities
Dividends or refunds applied to shorten
endowment or premium paying period
Premium or annuity considerations waived under
disability or other contract provisions 90. XXX 91. XXX XXX. ХХХ. Aggregate other amounts not allocable by State XXX ХХХ. Totals (Direct Business) 96. Plus reinsurance assumed XXX. .127.938.661 127.938.661 Totals (All Business). XXX. .127 ,938 ,661 127,938,661 98. XXX. Less reinsurance ceded 99. (c) ХХХ 127,938,661 127,938,661 Totals (All Business) less Reinsurance Ceded DETAILS OF WRITE-INS 58002. XXX XXX. 58998. Summary of remaining write-ins for Line 58 from XXX. 58999 58 above) XXX 9401 .XXX.. 9402 XXX XXX. 9498. Summary of remaining write-ins for Line 94 from XXX. 9499 XXX

(a) Active Status Counts	
L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG	R – Registered – Non-domiciled RRGs
E – Eligible – Reporting entities eligible or approved to write surplus lines in the state (other than their state of	
domicile – See DSLI)	. Q – Qualified – Qualified or accredited reinsurer
N - None of the above - Not allowed to write business in the state	

⁽b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations All premiums are reinsurance assumed and does not require an allocation by state.. (c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4 and 16.4, Cols. 8, 9 and 10, or with Schedule H, Part 1, Column 1, Line 1 indicate which; Exhibit 1.

SCHEDULE T – PART 2 INTERSTATE COMPACT – EXHIBIT OF PREMIUMS WRITTEN Allocated By States and Territories

			ed By States and Terri		siness Only		
		1 Life (Group and	2 Annuities (Group	3 Disability Income (Group and	4 Long-Term Care (Group and	5 Deposit-Type	6
States, Etc.		Individual)	and Individual)	Individual)	Individual)	Contracts	Totals
1. Alabama							
	AK						
3. Arizona	AZ						
4. Arkansas	AR						
5. California	CA						
6. Colorado	co						
7. Connecticut	СТ						
8. Delaware	DE						
9. District of Columbia	DC						
10. Florida	FL						
11. Georgia	GA						
12. Hawaii							
13. Idaho	I						
14. Illinois				•			
15. Indiana	I						
16. lowa	JA						
17. Kansas	KS				-	l	1
18. Kentucky	The state of the s				-	l	1
							1
19. Louisiana							
20. Maine					-	l	·
21. Maryland			ļ		·	····	·
22. Massachusetts							
23. Michigan							
24. Minnesota							
25. Mississippi							
26. Missouri	MO						
27. Montana	TM						
28. Nebraska	NE						
29. Nevada	NV						
30. New Hampshire	NH						
31. New Jersey	NJ						
32. New Mexico							
33. New York							
34. North Carolina							
35. North Dakota							
36. Ohio	OH						
37. Oklahoma	li di						
38. Oregon	OR						
38. Oregon					•		1
40. Rhode Island							1
					-	l	†
41. South Carolina					-	l	·
42. South Dakota							-
43. Tennessee	1						-
44. Texas	TX					ļ	·
45. Utah	1					ļ	·
46. Vermont							
47. Virginia	VA						-
48. Washington							-
49. West Virginia	WV						
50. Wisconsin	wı						.
51. Wyoming	WY						.
52. American Samoa	AS						
53. Guam							
54. Puerto Rico							
55. US Virgin Islands							
56. Northern Mariana Islands							
57. Canada							
58. Aggregate Other Alien							

E	Federal ID Number	NAIC Co. Code	Domicile	Footnote
ns Enterprises, Inc. ESOT				
mmons Enterprises, Inc.				
Consolidated Investment Services, Inc.				
Constitution, LLC				
Community Investments, Inc.				
Nextgen Re Holdings, LLC				
Sammons Corporation				
Sammons Financial Group, Inc.				
Sammons Securities, Inc.				
Sammons Financial Network, LLC				
Midland National Life Insurance Company	46-0164570	66044	IA	
MNL Reinsurance Company	27-4013872		IA	
Solberg Reinsurance Company	61-1583842		IA	
Canal Reinsurance Company	84-3081181		IA	
My Financial Freedom LLC	30-1012500		DE	
North American Company for Life and Health Insurance	36-2428931	66974	IA	
Sammons Institutional Group, Inc.				
Property Disposition, Inc.				
Heyday Insurance Agency	61-1863087			
SFG Tenura LLC				
Beacon Capital Management, Inc.				
SFG Bermuda Ltd.	98-1600408			
Sammons Industrial, Inc.				
Alternative Fuel Solutions, Inc.				
H2 Fuels, Inc.				
Briggs International, Inc.				
Sync Storage Solutions, Inc.				
Industrial US Holdings, Inc.				
Briggs Equipment, Inc.				
Controladora Briggs de Mexico, S. de R.L. de C.V.				

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
111	Forklift Operations de Mexico, S.A. de C.V.		·		
	Mexcolift Servicios de Personnel, S. de R.L. de C.V.				
	Montacargas Yale de Mexico, S.A. de C.V.				
	Briggs Equipment, S.A. de C.V.				
	Briggs Equipment Mexico, Inc.				
	Controladora Briggs de Mexico S. de R.L. de C.V.				
	Forklift Operations de Mexico, S.A. de C.V.				
	Mexcolift Servicios de Personnel, S. de R.L. de C.V.				
	Montacargas Yale de Mexico, S.A. de C.V.				
	Briggs Equipment, S.A. de C.V.				
	SitePro Rentals, Inc.				
	Briggs UK Holdings, Inc.				
	Briggs Equipment UK Limited				
	J.B. Plant Hire (Dungannon) Limited				
	J.B. Plant Hire Limited				
	Hitec Lift Trucks Limited				
	BE Finance Limited				
	Briggs Equipment Ireland Limited				
	Bannagroe Limited				
	Laois Hire Services Limited				
	Balloo Hire Limited				
	Balloo Hire Centre Limited				
	Northern Forklift (Scotland) Limited				
	Hiremech Holdings Limited				
	Hiremech Limited				
	AmeyBriggs Services Holding Limited				
	AmeyBriggs Services Limited				
	AmeyBriggs Asset Holdings Limited				
	AmeyBriggs Assets Limited				
	GFL Holdings Limited				

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
	Gwynedd Forklifts Limited				
	GFL Access Limited				
	Forkway Group Limited				
	Forkway Limited				
	Forkway (Hire) Limited				
	Solent Forklift Trucks Limited				
	Nisshin Denshi Limited				
	PR Holdings, Inc.				
	Pathfinder Ranches, LLC				
	Sweetwater River Conservancy, LLC				
	Sweetwater River Conservancy Greater Sage-Grouse Habitat Bank, LLC				
	Sweetwater River Conservancy Bald and Golden Eagle Bank, LLC				
	Sweetwater River Conservancy Wetland Mitigation Bank, LLC				
	Pathfinder Land and Ranch Management, LLC				
	Pathfinder Bison Bend, LLC				
	Wooden Rifle Grazing Association, LLC				
	Pathfinder Buzzard Bird Ranch, LLC				
	Two Crosses Grazing Association, LLC				
	Pathfinder Cardwell Access Ranch, LLC				
	Wooden Rifle Grazing Association, LLC				
	Pathfinder Cardwell Ranch, LLC				
	Wooden Rifle Grazing Association, LLC				
	Pathfinder Dumbell Ranch, LLC				
	Beulah Belle Grazing Association, LLC				
	Pathfinder Miracle Mile Ranch, LLC				
	Two Crosses Grazing Association, LLC				
	Pathfinder Pathfinder Ranch, LLC				
	Two Crosses Grazing Association, LLC				
	Pathfinder Perkins Ranch, LLC				
	Wooden Rifle Grazing Association, LLC				

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
	Pathfinder Sand Creek, LLC				_
	Two Crosses Grazing Association, LLC				
	Pathfinder Stewart Creek Ranch, LLC				
	Stewart Creek Grazing Association, LLC				
	Pathfinder Sun Ranch, LLC				
	Stewart Creek Grazing Association, LLC				
	Pathfinder Two Iron Ranch, LLC				
	Wooden Rifle Grazing Association, LLC				
	Sammons Infrastructure, Inc.				
	550 Capital, Inc.				
	Sammons CAES Holdings, LLC				
	DC Solutions LLC				
	Pathfinder Renewable Wind Energy, LLC				
	Sammons Energy Storage Holdings LLC				
	Laramie Wind LLC				
	Sammons Renewable Energy Holdings, Inc.				
	SRE OpCo, Inc.				
	SRE Solar OpCo, Inc.				
	SRE Blocker #1, Inc.				
	SRE/Franklin Park Utility Solar 1, LLC				
	SRE Utility Solar 1, LLC				
	Heelstone Energy, LLC				
	Dement Farm, LLC				
	Duplin Solar II, LLC				
	North Carolina Solar III, LLC				
	Raeford Farm, LLC				
	Heelstone Energy II, LLC				
	ABV Holdings, LLC				
	Albemarle Solar Center, LLC				
l I	Broadway Solar Center, LLC				

52.

ME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
<u> </u>	Van Slyke Solar Center, LLC				
	Heelstone Energy III, LLC				
	SRK Holdings, LLC				
	Kenansville Solar Farm, LLC				
	Red Hill Solar Center, LLC				
	Samarcand Solar Farm, LLC				
	Heelstone Energy IV, LLC				
	PV Project Holdings I, LLC				
	BRE NC Solar 1, LLC				
	Shelby Randolph Road Solar I, LLC				
	Lafayette Solar I, LLC				
	Rockingham Solar, LLC				
	SID Solar I, LLC				
	York Road Solar I, LLC				
	Heelstone Energy V, LLC				
	PV Project Holdings II, LLC				
	Aspen Solar, LLC				
	Balsam Solar, LLC				
	Cedar Solar, LLC				
	Elm Solar, LLC				
	Sweetgum Solar, LLC				
	Heelstone Energy VI, LLC				
	PV Project Holdings III, LLC				
	Morgan Farm, LLC				
	Laurinburg Solar, LLC				
	Nashville Farms, LLC				
	ESA Newton Grove 1 NC, LLC				
	ESA Selma NC 1, LLC				
	ESA Smithfield 1 NC, LLC				
	Heelstone Energy VII, LLC				

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
1 1 1	Heelstone Energy Investor VI, LLC				
	PV Project Holdings IV, LLC				
	PV Project Lessee IV, LLC				
	PV Project Holdings IV, LLC				
	Durham Solar, LLC				
	Innovative Solar 23, LLC				
	Red Toad 1425 A Powatan Road, LLC				
	ZV Solar 3, LLC				
	Heelstone Energy VIII, LLC				
	Heelstone Energy Investor VII, LLC				
	PV Project Lessee V-2015, LLC				
	PV Project Holdings V, LLC				
	Cornwall Solar Center, LLC				
	Crestwood Solar Center, LLC				
	Harrell's Hill Solar Center, LLC				
	Highland Solar Center, LLC				
	Littlefield Solar Center, LLC				
	Heelstone Land Holdings, LLC				
	SRE Focalpoint Holdings, Inc.				
	SRE/Franklin Park Focalpoint, LLC				
	SRE Focalpoint Member, LLC				
	SRE Wind OpCo Inc.				
	SRE Blocker #2, Inc.				
	SRE/Franklin Park Midway LLC				
	SRE Midway HoldCo LLC				
	SRE Midway Member LLC				
	Midway Wind, LLC				
	SRE Blocker #3, Inc.				
	SRE/Franklin Park Rio Bravo, LLC				
	Rio Bravo Wind Renewables, LLC				

52.

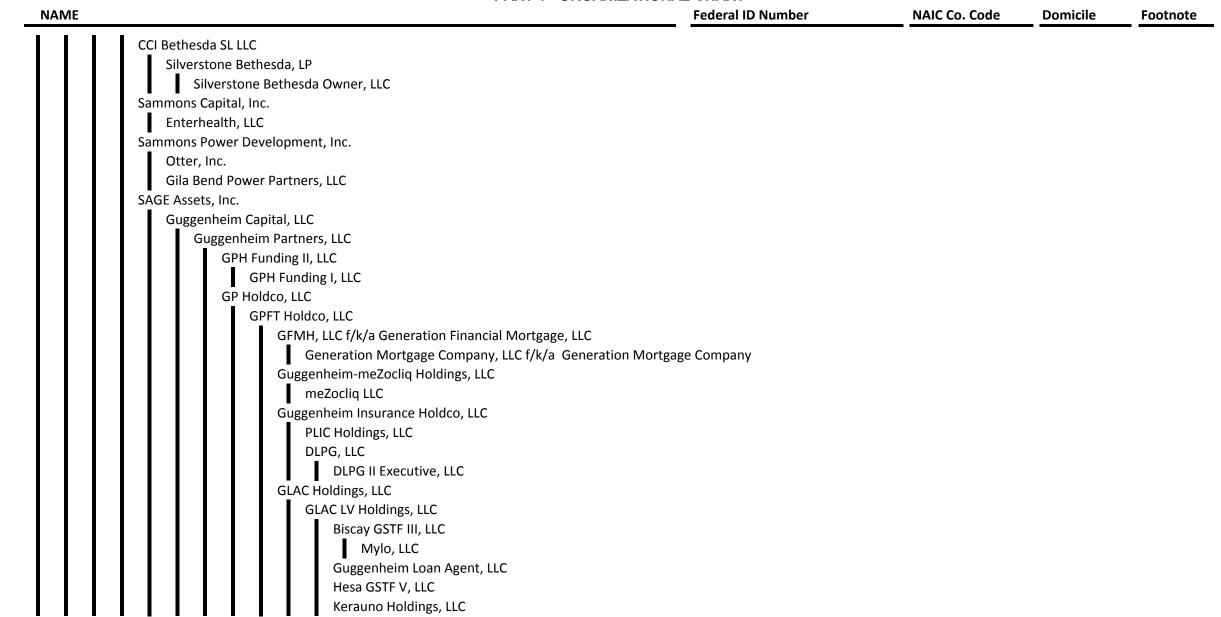
NAME	. / ut Otto/util	Federal ID Number	NAIC Co. Code	Domicile	Footnote
	Rio Bravo Wind Capital, LLC				
	Rio Bravo Wind Holdings, LLC				
	Rio Bravo Windpower, LLC				
	SRE DevCo, Inc.				
	SRE Hydro DevCo, Inc.				
	SRE/Franklin Park Hydro Canada-1, LLC				
	Sammons Renewable Energy Canada Holdings Inc.				
	SRE Hydro Canada Corp				
	SRE Hydro Canada General Services Ltd.				
	Clemina Hydro Power LP				
	Clemina Hydro Power GP Ltd.				
	Clemina Hydro Power LP				
	Serpentine Hydro Power LP				
	Serpentine Hydro Power GP Ltd.				
	Serpentine Hydro Power LP				
	Columbia Mountains Power LP				
	Columbia Mountains Power GP Ltd.				
	Columbia Mountains Power LP				
	English Hydro Power GP Ltd.				
	English Hydro Power LP				
	Fosthall Creek Power LP				
	SRE Blocker #4, Inc.				
	SRE Blocker #5, Inc.				
	SRE Blocker #6, Inc.				
	SRE Blocker #7, Inc.				
	SRE Blocker #8, Inc.				
Sa	ammons Equity Alliance, Inc.				
	Compatriot Capital, Inc.				
	CCE Funding LLC				
	K2 Development, LLC				

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
1111	KDC HoldCo LLC				
	KDC Real Estate JV I Controlling GP LLC				
	KDC Real Estate JV I General Partner LP				
	KDC Real Estate JV I LP				
	KDC Real Estate JV I REIT LLC				
	KDC Real Estate JV I Operating LLC				
	KDC Real Estate JV I General Partner LP				
	KDC Real Estate JV I LP				
	KDC Real Estate JV I REIT LLC				
	KDC Real Estate JV I Operating LLC				
	KDC Real Estate JV I LP				
	KDC Real Estate JV I REIT LLC				
	KDC Real Estate JV I Operating LLC				
	KDC Real Estate JV I REIT Manager LLC				
	KDC Real Estate JV I REIT LLC				
	KDC Real Estate JV I Operating LLC				
	ASG Mortgage Investors, LLC				
	DBI/ASG Advisors, LP				
	DBI/ASG Mortgage Acquisition Fund I, LP				
	CCI Historic, Inc.				
	CCI Residential LLC				
	Albion Residential LLC				
	Albion Construction LLC				
	Albion HoldCo LLC				
	Albion Columbus 1, LLC				
	Albion Columbus Investors 1, LLC				
	Albion WM 1, LLC				
	Albion at Evanston Investors I, LLC				
	Albion at Evanston, LLC				
	Albion at Oak Park Member, LLC				

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
11111111	Albion at Oak Park Investors I, LLC				
	Albion at Oak Park, LLC				
Albic	on DeVille Member LLC				
	Albion at Beachwood LLC				
	Beachwood Apartments LLC				
Albic	on at Parklane GP, LLC				
Albio	on at Parklane Limited Partner, LLC				
	Pent House Associates				
Albio	on at Highland Park, LLC				
	AJ Highland Park Investors, LLC				
	Albion Jacobs Highland Park, LLC				
Albio	on at New Forum Member, LLC				
	New Forum Apartments JV Investors, LLC				
	New Forum Owner LLC				
Albic	on in the Gulch Member, LLC				
	Albion in the Gulch Investors, LLC				
	Albion in the Gulch, LLC				
Albic	on at Renaissance Place, LLC				
	AJ Renaissance Place Investors, LLC				
	Albion Jacobs Renaissance Place, LLC				
Albio	on at Palatine, LLC				
VGH/Dall	as LLC				
Vi <u>l</u> la;	ge Green Holding LLC				
	Village Green Management Company LLC				
	Village Green Communications LLC				
	Village Green Management Company of Illinois LLC				
	Village Green Management Company of North Carolina LLC				
	Village Green Management Company of Pennsylvania LLC				
Southside Wo	rks Holding LLC				
Southside	e Works City Apartments LLC				

NAME	I ART I GROANLE	Federal ID Number	NAIC Co. Code	Domicile	Footnote
1111	LAV MP Holding, LLC				
	Morrow Park City Apartments LLC				
	Morrow Park Holding LLC				
	VG Morrow Park Capital LLC				
	Morrow Park City Apartments LLC				
	VG ECU Holdings LLC				
	CCI/HTC, Inc.				
	VGH Credit LLC				
	SLBCA Holding LLC				
	Soo Line Building City Apartments LLC				
	SLBCA Tenant LLC				
	Soo Line Building City Apartments LLC				
	SLBCA Developer LLC				
	SLBCA Tenant LLC				
	E2M Holdings, LLC				
	E2M Partners, LLC				
	Legacy KDC Holdings, LLC				
	E2M Villas at RPW, LLC				
	AHC Villas Richmond, LLC				
	VG Morrow Park Capital LLC				
	Morrow Park City Apartments LLC				
	JLB Partners LLC				
	JLB BUILDERS LLC				
	JLB REALTY LLC				
	JLB RESIDENTIAL LLC				
	JLB Stafford Land LP				
	JLB 2728 Cedar Springs, LP				
	JLB McLean LLC				
	JLB Chapman LP				
	JLB Chapman GP LLC				

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
	JLB Center Street, LP	5. 1 .			
	JLB Winhall, LP				
111	JLB Portales Partners Mgr L.P.				
	JLB Portales Partners LLC				
	JLB Stafford Phase I L.P.				
	JLB Stafford Phase I GP LLC				
	JLB Stafford Phase Two L.P.				
	JLB Stafford Phase Two GP LLC				
111	JLB West Paces Phase I L.P.				
111	JLB West Paces Phase I GP LLC				
111	Longhorn Trail Ranch II, Ltd.				
111	Ski Partners, LLC				
111	SP Land Company, LLC				
111	Ski Partners II, LLC				
111	Woodbine Legacy Investment Partners, LP				
111	Woodbine Legacy Holdings, LLC				
111	Woodbine Legacy Pref Partners, LP				
111	Woodbine Legacy Holdings, LLC				
111	Gerrity Holdings LLC				
111	Gerrity Group, LLC				
111	Gerrity Retail Fund 3 Management, LLC				
111	Gerrity Retail Partners 3, LLC				
111	Gerrity Retail Fund 3, LP				
111	Gerrity Retail Investors 3, LLC				
111	GRF Special, LLC				
111	Gerrity Retail Fund 3, LP				
111	Gerrity Retail Fund 3, LP				
111	CCI Tysons SL, LLC				
111	Silverstone Tysons, LP				
1 1 1	Silverstone Tysons Owner, LLC				



NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
	Advanced Software, LLC				
	K Launch, LLC				
	AxiaTP Development, LLC				
	AxiaTP Resources, LLC				
	BellTech, LLC				
	Venice GSTF I, LLC				
	Guggenheim Holdco Sub II, LLC				
	Guggenheim Alternative Asset Management, LLC				
	Guggenheim Management, LLC				
	Guggenheim Advisors, LLC				
	GPC LIX, LLC				
	Guggenheim Portfolio Company VII, LLC				
	Guggenheim Portfolio Company X, LLC				
111111	Guggenheim Partners India Holdings, LLC				
111111	Guggenheim Capital Management (Asia) Private Limited				
	Guggenheim Partners India GP, LLC				
	Thomas Weisel India Opportunity Fund, L.P.				
	GP India Opportunities Feeder Fund, LP				
	Guggenheim Partners India Management, LLC				
111111	Nominee Holding Company, LLC				
111111	Channel Capital Group Holdings, LLC				
	Channel Capital Group LLC				
	ACEI Holdco, LLC				
GI Ho	oldco II LLC				
_	GI Holdco LLC				
	Guggenheim Partners Investment Management Holdings, LLC				
	GC Orpheus Investors, LLC				
	GPIMH Sub Holdco, Inc.				
<i>(</i>	Guggenheim Partners Europe Limited				
	Iron Hill CLO Designated Acivity Company				

52.1

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
111111	Guggenheim Partners Fund Management (Europe	e) Limited			
	Guggenheim Global Investments Public Limit				
	GPIMH Sub, LLC				
	Guggenheim Funds Services, LLC				
	Guggenheim Funds Investment Advisors, LLC				
	Guggenheim Funds Distributors, LLC				
	GSA, LLC				
	Guggenheim Corporate Funding, LLC				
	Corio RR Finance-3, LLC				
	Corio RR Finance-4, LLC				
	G-Covestre Capital Holdings LLC				
	Covestre Capital PropCo-1 LLC				
	Covestre Capital PropCo-2 LLC				
	Covestre Capital PropCo-3 LLC				
	Covestre Capital PropCo-4 LLC				
	Covestre Capital PropCo-5 LLC				
	Covestre Capital PropCo-6 LLC				
	Covestre Capital PropCo-7 LLC				
	Covestre Capital PropCo-8 LLC				
	Covestre Capital PropCo-9 LLC				
	Covestre Capital PropCo-10 LLC				
	Covestre Capital PropCo-11 LLC				
	Covestre Capital PropCo-12 LLC				
	Covestre Capital PropCo-13 LLC				
	Covestre Capital PropCo-14 LLC				
	Covestre Capital PropCo-15 LLC				
	Covestre Capital PropCo-16 LLC				
	Covestre Capital PropCo-17 LLC				
	Covestre Capital PropCo-18 LLC				
_	Covestre Capital PropCo-19 LLC				

52.1

AME		Federal ID Number	NAIC Co. Code	Domicile	Footno
111111	Covestre Capital PropCo-20 LLC				
1 1 1 1 1 1 1	Covestre Capital PropCo-21 LLC				
111111	Covestre Capital PropCo-22 LLC				
	Covestre Capital PropCo-23 LLC				
	Covestre Capital PropCo-24 LLC				
	Covestre Capital PropCo-25 LLC				
1 1 1 1 1 1 1	Covestre Capital PropCo-26 LLC				
	Covestre Capital PropCo-27 LLC				
	Covestre Capital PropCo-28 LLC				
	Covestre Capital PropCo-29 LLC				
	Covestre Capital PropCo-30 LLC				
	Covestre Capital PropCo-31 LLC				
	Covestre Capital PropCo-32 LLC				
	Covestre Capital PropCo-33 LLC				
	Covestre Capital PropCo-34 LLC				
	Covestre Capital PropCo-35 LLC				
	Covestre Capital PropCo-36 LLC				
	Covestre Capital PropCo-37 LLC				
	Covestre Capital PropCo-38 LLC				
	Covestre Capital PropCo-39 LLC				
	Covestre Capital PropCo-40 LLC				
	Covestre Capital LLC				
	Guggenheim Investor Services, LLC				
	Guggenheim Partners Advisors, LLC				
	Guggenheim Partners Investment Management, LLC				
	AECORN, LLC				
	Artemis Aircraft Investments, LLC				
1 1 1 1 1 1 1	AT Funding Note Issuer, LLC				
1 1 1 1 1 1 1	Bronco Media Holdings LLC				
	Bronco Media MM LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

E	FART I - ORGA	Federal ID Number	NAIC Co. Code	Domicile	Footnot
1 1 1 1 1	ELM 2 MM Funding LLC				
	ELM 3 MM Funding LLC				
	ELM MM LLC				
	ETMF C-B LLC				
	ETMF C-G LLC				
1 1 1 1	ETUR C-B LLC				
	ETUR C-G LLC				
	ETUR C-G 2 LLC				
	Fitzcarraldo Funding LLC				
	ETGP AMZ 1 Venture, LP				
	G_Craton MM LLC				
	G-Craton Holdings LLC				
	G_Ficus MM LLC				
	G-Ficus Holdings LLC				
	GIBSL SN, LLC				
	G-One MM LLC				
	G-One Holdings LLC				
	GPF SMLP, LLC				
	G-PIPE MM LLC				
	G-PIPE LLC				
	G-Prairie MM LLC				
	G-Prairie LLC				
	G_PUFF MM LLC				
	G-PUFF LLC				
	G-PUFF 2 MM LLC				
	G-PUFF 2 LLC				
	Molly Aida Funding LLC				
	ETGP AMZ 2 Venture, LP				
	Rosales Funding LLC				
	Rosales Holdco LLC				
1	Ulmus Funding LLC				
1 1 1 1	Ulmus Holdco LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
1 1 1 1 1	GIES Managing Member, LLC		_		
	GIES Investment, LLC				
	GIES Holdco, LLC				
	GIES, LLC				
	Hyperscale MM Funding LLC				
	Hyperscale Funding LLC				
<i>i</i>	Hypnos C-B LLC				
	Hypnos C-G LLC				
	Hypnos Funding LLC				
	Hypnos Holdco LLC				
	Hypnos MM LLC				
	Internet Radio Funding, LLC				
	Internet Radio Funding II, LLC				
	Corio Funding, LLC				
1 1 1	Corio RR Funding, LLC				
	Corio RR Finance Co., LLC				
	Corio RR Finance Co2, LLC				
	CSCN, LLC				
1 I I	I-SAIL, LLC				
	SAIL 4 Finance, LLC				
	SAIL 4 VFN NOTE ISSUER, LLC				
	MERLIN Series 2015-A LLC				
1	MERLIN Series 2015-B LLC				
	MERLIN Series 2015-C LLC				
	REST, LLC				
	SAILS-3, LLC				
	SAILS-3, LLC SAILES 4-O, LLC				
<i>i</i>					
	CardCash Holdings, LLC				
	Guggenheim Core Plus Fund, LLC				
	AMC Investor Holdings LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

E		Federal ID Number	NAIC Co. Code	Domicile	Footnot
1111	Guggenheim Loan Fund, LLC	_			
	Guggenheim Private Debt Fund Managing Member, LLC				
	Guggenheim Private Debt Master Fund, LLC				
	Guggenheim Private Debt Fund, Ltd.				
	Guggenheim Private Debt Fund, LLC				
1111	Guggenheim Private Debt Fund Trinity Investor	o. LLC			
	Guggenheim Private Debt Fund Throne Investo				
	Guggenheim Private Debt Fund Note Issuer, LLC	.,			
	Guggenheim Private Debt Fund Throne Investco, LL	С			
	Kennecott Funding Ltd.				
	Magma WCFF II Ltd				
	NZC Guggenheim Fund Limited				
	NZC Guggenheim Fund LLC				
	NZC Guggenheim Master Fund Limited				
	NZCG Funding Ltd.				
	NZCG Funding LLC				
	BBEP Legacy Holdings, LLC				
	Orpheus Funding LLC				
	Orpheus Holdings LLC				
	Promenade-C 2 LLC				
	Promenade Funding LLC				
	Promenade Holdco LLC				
	Promenade MM LLC				
	Sands Point Funding Ltd.				
	Seven Sticks CLO Ltd.				
	Stellar Funding, Ltd.				
	Rydex Holdings, LLC				
	Security Investors, LLC				
	GS Gamma Management, LLC				
	GS Gamma Investments, LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
111111	GS Gamma Advisors, LLC				
	GPAM Holdings, Inc.				
	Guggenheim Credit Services, LLC				
	3200 West Twain Holdings I LLC				
	AAFT-MSN 29573 Lender LLC				
	AAFT-MSN 29574 Lender LLC				
	AAFT-MSN 31163 Lender LLC				
	AAFT-MSN 31167 Lender LLC				
	AAFT-MSN 33227 Lender LLC				
	AAFT-MSN 33229 Lender LLC				
	AAFT-MSN 33321 Lender LLC				
	AAFT-MSN 33486 Lender LLC				
	Acsal Holdco LLC				
	AAFT-MSN 29573 Holdco LLC				
	AAFT-MSN 29574 Holdco LLC				
111111	AAFT-MSN 31163 Holdco LLC				
111111	AAFT-MSN 31167 Holdco LLC				
111111	AAFT-MSN 33227 Holdco LLC				
	AAFT-MSN 33229 Holdco LLC				
	AAFT-MSN 33321 Holdco LLC				
	AAFT-MSN 33486 Holdco LLC				
	AAFT-MSN 29573 LLC				
	AAFT-MSN 29574 LLC				
	AAFT-MSN 31163 LLC				
	AAFT-MSN 31167 LLC				
	AAFT-MSN 33227 LLC				
	AAFT-MSN 33229 LLC				
111111	AAFT-MSN 33321 LLC				
111111	AAFT-MSN 33486 LLC				
1 1 1 1 1 1 1	Lionel Investors LLC				

STATEMENT AS OF December 31, 2021

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

NAME		ederal ID Number	NAIC Co. Code	Domicile	Footnote
	Guggenheim Energy LLC				
	Guggenheim Energy Opportunities Fund, LP				
	Guggenheim Loan Services Company, LLC				
	Guggenheim Loan Services Company, Inc.				
	Guggenheim Real Estate, LLC (fka Guggenheim Commercial Real Est	tate Finance, LLC)			
	Guggenheim Real Estate (CA), Inc. (fka Guggenheim Commercia	al Real Estate Finance (CA),	Inc.)		
	Guggenheim Transparent Value, LLC				
	Transparent Value, L.L.C.				
	Transparent Value Private Limited				
	GI Holdco II Sub LLC				
	Guggenheim Partners Advisory Company				
	SBTree, Inc.				
	South Blacktree Agency, LLC				
	Links Holdings, Inc.				
	Links Holdings LLC				
	Links GKPL Holdco, LLC				
	Guggenheim Partners Middle East Limited				
	Links GPJL Holdco, LLC				
	Guggenheim Partners Japan, Ltd.				
	Guggenheim Securities Credit Partners, LLC				
	Guggenheim Securities International, Ltd.				
	Guggenheim Securities, LLC				
	Guggenheim Trust Assets, LLC				
	GWM Holdco, LLC				
	Asset Consulting Group, LLC				
	GP Feeder Fund Management, LLC				
	GIA Europe Holdings, Ltd.				
	Guggenheim Investment Advisors (Europe) Limited				
	Guggenheim Investment Advisors, LLC				
	TEK Financial, LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
$\overline{11111}$	PLUS Funding IV, LLC				
	GSFI, LLC				
	JLx3, LLC				
	GSFI, LLC				
	Generation Financial Group LLC				
	Generation Financial Group, LLC				
	IPEX LLC				
	IPEX Services, LLC				
	GC Orpheus Investors, LLC				
	White Oak Guggenheim Feeder Fund, LLC				
	Guggenheim Payroll Agent, LLC				
	GC Parent Holdings, LLC				
	GRREP Holdings, LLC				
	Guggenheim Retail Real Estate Partners, LLC f/k/a Guggenheim Reta	il Real Estate Partners, Inc.			
	Guggenheim Development Services, LLC fka Terrabyte Develop	ment, LLC			
	West Coast QSR Acquisitions, LLC				
	Java Acquisition Company 0013, LLC				
	Java Acquisition Company 0031, LLC				
	JL Ada 1846, LLC				
	JL Bedford 1458, LLC				
	JL Bee Cave 1559, LLC				
	JL Beloit 1365, LLC				
	JL Bolivar 1575, LLC				
	JL Brownsville 1524, LLC				
	JL Cape Girardeau 1502, LLC				
	JL Centerton 1337, LLC				
	JL Chattanooga 1491, LLC				
	JL Chickasha 1476, LLC				
	JL Corpus Christi 1454, LLC				
	JL Cortlandville 1462, LLC				

STATEMENT AS OF December 31, 2021

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
111111111	JL Delavan 1363, LLC				
	JL Dripping Springs 1369, LLC				
	JL El Paso 1537, LLC				
	JL El Paso 1542, LLC				
	JL El Paso 1546, LLC				
	JL Evansville 1459, LLC				
	JL Fayetteville 1336, LLC				
	JL Flowood 1515, LLC				
	JL Fort Oglethorpe 1492, LLC				
	JL Fort Worth 834, LLC				
	JL Fort Worth 1393, LLC				
	JL Frankfort 1460, LLC				
	JL Georgetown 1407, LLC				
	JL Jeffersonville 1440, LLC				
	JL Kyle 1338, LLC				
	JL Lake Hallie 1434, LLC				
	JL Machesney Park 1388, LLC				
	JL Lexington 1436, LLC				
	JL Little Rock 1489, LLC				
	JL Louisville 1437, LLC				
	JL Manitowoc 1257, LLC				
	JL Manvel 1255, LLC				
	JL Marion 1499, LLC				
	JL Mason 1523, LLC				
	JL McAlester 1490, LLC				
	JL Mobile 1536, LLC				
	JL New Hartford 1463, LLC				
	JL Nixa 1386, LLC				
	JL Normal 1457, LLC				
11111111	JL Oconomowoc 1513, LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

IAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
1111	JL Oshkosh 1465, LLC		-		
	JL Owensboro 1461, LLC				
	JL Pharr 1482, LLC				
	JL Radcliff 1569				
	JL Richland 1509, LLC				
	JL Rockford 1428, LLC				
	JL Saraland 1552, LLC				
	JL Siloam Springs 1448, LLC				
	JL Springfield 1433, LLC				
	JL Springfield 1495, LLC				
	JL St. Robert 1582, LLC				
1111	JL Webster 1313, LLC				
	Lansing 824 Retail, LLC				
1 1 1 1	SC-H Sellersburg 1373, LLC				
1 1 1 1	SE Napa 1279, LLC				
	SE Orangevale 1223, LLC				
	SE Stockton 1394 LLC				
	TCFBK Richton Park 866, LLC				
	Stonebridge Investors III, LLC				
	National Brand Investors I, LLC				
1 1 1 1	Retail Investors III, LLC				
	FD Orange Beach 859, LLC				
	NE Lewiston 820, LLC				
	GW Phoenix 799, LLC				
	NC Lincolnshire 624, LLC				
1111	NC Little Rock 642, LLC				
	NC Naperville 623, LLC				
1 1 1 1	SE Sacramento 1224, LLC				
	SE Union City 1247, LLC				
	TLEXP Ellisville 926, LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

AME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
11111	TLEXP Kansas City 1250, LLC	-			
	TLEXP Overland Park 978, LLC				
11111	TLEXP St. Peters 899, LLC				
11111	TLEXP St. Peters 1200, LLC				
11111	GM Lansing 824, LLC				
11111	JL Appleton 980, LLC				
11111	JL Bentonville 1412, LLC				
11111	JL Cypress 821, LLC				
11111	JL Cypress 1433, LLC				
11111	JL Hamburg 1301, LLC				
11111	JL Huntley 797, LLC				
11111	JL Irondequoit 1252, LLC				
11111	JL Joplin 1391, LLC				
1 1 1 1 1 1	JL Katy 916, LLC				
11111	JL Milwaukee 1397, LLC				
11111	JL Nicholasville 1389, LLC				
11111	JL Normal 1378, LLC				
11111	JL Plover 1320, LLC				
11111	JL Porter 1414, LLC				
11111	JL Princeton 1332, LLC				
11111	JL Rib Mountain 1319, LLC				
	JL Romeoville 1318, LLC				
11111	JL Somers 1403, LLC				
11111	JL Spring 1384, LLC				
	JL Springdale 1357, LLC				
11111	JL Sycamore 1379, LLC				
11111	JLSB Fort Smith 1405, LLC				
	Stonebriar JL Henrietta 1273, LLC				
	Cainhoy Land & Timber, LLC				
	Seven Sticks, LLC				

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART NAME **Federal ID Number** NAIC Co. Code **Domicile** Footnote GFPID, LLC GFPIC, L.P. GGIC, Ltd. GGIC KTI Holdings Ltd. GFP Green Inc. GFP Peru Dunas Holdings, Inc. GGIC Greenbacker Funding Ltd. GGIC IIP Holdings, L.P. Franklin Park (Cyprus) Limited GGIC IIP Holdings Ltd. GGIC Manager, LLC Guggenheim Golf Properties Investor, LLC Guggenheim GN Fund I, Ltd. (fka Guggenheim-Nicklaus Fund I, Ltd.) GNP-IC, LLC GNP-MR, LLC Carmocela Investimentos Imobiliarios e Turisticosm Unipessoal, Lda Guggenheim GNP, LLC (fka Guggenheim Nicklaus Partners, LLC) GNP-BA, LLC GNP-BA S.R.L. GN Fund I, LLC GDP - Pilara Lotes, LLC GC Pilar Golf Investment, LLC Pilar Holdings, LLC Toledo-MNG, LLC Toledo-SLS, LLC Guggenheim Services, LLC GC Disbursement Agent, LLC Guggenheim Disbursement Agent, LLC Guggenheim Receivable Financing, LLC Guggenheim Manager, Inc.

STATEMENT AS OF December 31, 2021

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote
1111	GMI GPIM, LLC				
1111	GMI GPIMH, LLC				
1111	Guggenheim Premises I, LLC				
	GPM: Fundrise, LLC				
1111	GC Deferred Compensation I, LLC				
1111	AC Storage, LLC				
	Collectibles Antiquities Company, LLC				
	Pilara Lotes II, LLC				
1111	Guggenheim Opportunities Investors I-B, LLC				
1111	Guggenheim Opportunities Investors IV, LLC				
1111	Guggenheim Opportunities Investors V, LLC				
1111	Guggenheim Opportunities Investors VI, LLC				
1111	White Oak Guggenheim Feeder Fund, LLC				
1111	Guggenheim SPAC Holdings Holdings, LLC				
1111	Guggenheim GGP Holdings, LLC				
1111	GGP Holdco, LLC				
1111	GGP Sponsor Holdings, LLC				
1111	Gores Guggenheim Sponsor LLC				
1111	Gores Guggenheim Inc.				
1111	Guggenheim GPH Holdings, LLC				
1111	GPH Holdco, LLC				
1111	Guggenheim Partners Holdings, LLC				
	Guggenheim Special Purpose Acquisition Corp. I				
	Metric Finance TopCo, LLC				
	Bound Brook Capital Corporation				
1111	GPH Funding II, LLC				
1111	Metric Finance Acquisition Corp. I				
1111	Metric Finance Acquisition Corp. II				
	Metric Finance Acquisition Corp. III				
1111	Metric Finance Acquisition Corp. IV (fka Bound Brook Capital Corpora	tion)			

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

	PART 1 - ORGANIZATIONAL CHART						
NAME		Federal ID Number	NAIC Co. Code	Domicile	Footnote		
1111	Metric Finance Holdings I, LLC						
	Metric Finance Holdings II, LLC						
	Metric Finance Holdings III, LLC						
	Silver Sustainable Solutions Corp. (fka GPH Funding I, LLC)						
	Liberty Hampshire Holdings, LLC						
	Guggenheim Treasury Services, LLC						
	The Liberty Hampshire Company, LLC						
	GIFMS Capital Company, LLC						
	Blanford Capital Company #1, LLC						
	Blanford Capital Company #2, LLC						
	Blanford Capital Company #3, LLC						
	Blanford Capital Company #4, LLC						
	Blanford Capital Company #5, LLC						
	Blanford Capital Company #6, LLC						
	Blanford Capital Company #7, LLC						
	Blanford Capital Company #8, LLC						
	Blanford Capital Company #9, LLC						
	Blanford Capital Company #10, LLC						
	Blanford Capital Company #11, LLC						
	Blanford Capital Company #12, LLC						
	Blanford Capital Company #13, LLC						
	Blanford Capital Company #14, LLC						
	Blanford Capital Company #15, LLC						
	Blanford Capital Company #16, LLC						
	Blanford Capital Company #17, LLC						
	Blanford Capital Company #18, LLC						
	Blanford Capital Company #19, LLC						
	Blanford Capital Company #20, LLC						
	Blanford Capital Company #21, LLC						
	Blanford Capital Company #22, LLC						

STATEMENT AS OF December 31, 2021 SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

1E		Federal ID Number	NAIC Co. Code	Domicile	Footnote
	Blanford Capital Company #23, LLC				
	Blanford Capital Company #24, LLC				
	Blanford Capital Company #25, LLC				
	Blanford Capital Company #26, LLC				
	GIFS Capital Company, LLC				
	GIFM Holdings Trust				
	Sandy Point Capital Company, LLC				
	Saratoga Springs Capital Company, LLC				
	Saratoga (Cayman) Ltd.				
	BFC Capital Company, LLC				
	Bound Brook Capital Corporation				
	Chelsea Creek Capital Company, LLC				
	Jasmine Asset Funding Limited				
	Kemps Landing Capital Company, LLC				
	Kips Bay Capital Company #2, LLC				
	Kips Bay Capital Company #4, LLC				
	Guggenheim Treasury Services International Limited				
	Guggenheim Treasury Services (Europe) Limited				
	Moore's Creek Capital Corporation				
	Forrestal Portfolios, LLC				
	The Liberty Hampshire Capital Company, LLC				
111	Guggenheim Treasury Services Corporation (N.Y.)				
	Bennington Stark Capital Company, LLC				
	Cedar Springs Capital Company, LLC				
	Cedar Springs (Cayman) Ltd.				
111	Concord Minutemen Capital Company, LLC				
	Crown Point Capital Company, LLC				
	Legacy Capital Company, LLC				
	Optimus Funding Company, LLC				
	Ridgefield Funding Company, LLC				

STATEMENT AS OF December 31, 2021

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

Footnote

NAME		Federal ID Number	NAIC Co. Code	Domicile
	Thomas Creek Capital Corporation Valcour Bay Capital Company, LLC White Plains Capital Company, LLC Lexington Parker Capital Company, L.L.C. Great Bridge Capital Company, LLC			
	Washington Lee Capital Company, LLC Relationship Funding (Cayman) Ltd. Sammons Distribution Holdings, Inc.			
	Sammons Distribution Holdings, Inc. Sammons BW, Inc. Parkway Mortgage, Inc. Asheville Resolution Corporation GPI Ventures LLC			

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Consolidated Investment Services, Inc. EST 00000 \$8.04.4301	00000	Sammons Enterprises Inc ESOT	00000	75-6027423				Sammons Enterprises Inc	DE	IIIP		Ownershin	100.0	ESOT (SEL ESOT)	NO	
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00000	Sammons Enterprises, Inc. ESOT.	00000					Nishhen Denshi Limited	GBR		Forkway Group Limited	Ownership Ownership.	100.0	SET ESOT		
00000	. 3aiiiii0115 Litterprises, 1116. L301.	. 000000					INTSTITIET DETISTIT LTIIITTEU			Consolidated Investment	Owner Sirrp	100.0	JULI LOUI	-	
00000	Sammons Enterprises, Inc. ESOT.	00000					Sammons Infrastructure, Inc.	DE	NIA	Services, Inc.	Ownership.	100 0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000]			550 Capital, Inc.	DE	NIA	Sammons Infrastructure, Inc	Ownership	100.0	ISEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Sammons CAES Holdings, LLC	DE	NIA	Sammons Infrastructure. Inc	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		82-2115280				DC Solutions LLC	DE	NIA	Sammons CAES Holdings, LLC	Ownership	100.0	SEI ESOT	NO	
							Pathfinder Renewable Wind								
00000	Sammons Enterprises, Inc. ESOT.	. 00000	26-3181274				Energy, LLC	WY	NIA	DC Solutions LLC	Ownership	100.0	SEI ESOT	. N0	
00000	0	00000					Sammons Energy Storage Holdings	WV	NII A	Pathfinder Renewable Wind	0	400.0	051 5007	NO	
00000	Sammons Enterprises, Inc. ESOT.	. 00000					LLU	WY	NIA	Energy, LLCPathfinder Renewable Wind	Ownership	100.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Laramie Wind LLC	WY	NIA	Energy, LLC	Ownership	100.0	SEI ESOT	NO	
00000	. Sammons Enterprises, inc. Eson.	. 00000					Sammons Renewable Energy			Ellergy, LLG	ownership	100.0	SET ESUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-4744404				Holdings, Inc.	DE	NIA	Sammons Infrastructure, Inc	Ownership	100_0	SEI ESOT	NO	
00000	' '	i i	01 11 11 10 1				l			Sammons Renewable Energy	о и пот оттр				
00000	Sammons Enterprises, Inc. ESOT.	. 00000	81-2577924				SRE OpCo, Inc.	DE	NIA	Holdings, Inc.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	. 00000	81-4750081				SRE Solar OpCo, Inc	DE	NIA	SRE OpČo, Inc	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	. 00000	81-4848700				SRE Blocker #1, Inc	DE	NIA	SRE Solar OpCo, Inc	Ownership	100.0	SEI ESOT	NO	
							SRE/Franklin Park Utility Solar								
00000	Sammons Enterprises, Inc. ESOT.	. 00000	82-1592062				1, LLC	DE	NIA	SRE Blocker #1, Inc	Ownership	99.5	SEI ESOT	. NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	82-1592268				SRE Utility Solar 1, LLC	DE	NIIA	SRE/Franklin Park Utility Solar 1. LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	02-1392200				Heelstone Energy, LLC	DE	N I A	SRE Utility Solar 1, LLC	Ownership	100.0	SEL ESOL	IN∪ ON.	
00000	Sammons Enterprises, Inc. ESOT.	00000					Dement Farm, LLC	NC		Heelstone Energy, LLC	Ownership	100.0	SEI ESOTSEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Raeford Farm, LLC.	NC	NIA	Heelstone Energy, LLC	Ownership	100.0	SET ESOT	NO.	
00000	Sammons Enterprises, Inc. ESOT	00000					Duplin Solar II, LLC	NC	NIA	Heelstone Energy, LLC	Ownership	100.0	ISEL ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT	00000					North Carolina Solar III, LLC	NC	NIA	Heelstone Energy, LLC	Ownership	100.0	SET ESOT	NO	
00000	Isammons Enterprises, Inc. ESOT	00000					Heelstone Energy II, LLC	DE	NIA	SRE Utility Solar 1, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	. 00000					ABV Holdings, LLC	NC		Heelstone Energy II, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	. 00000					Albemarle Solar Center, LLC	NC	NIA	ABV Holdings, ĽĹC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.						Broadway Solar Center, LLC	NC	NIA	ABV Holdings, LLCABV Holdings, LLC	Ownership	100.0	SEI ESOTSEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Van Slyke Solar Center, LLC Heelstone Energy III, LLC	NC DE	NIA NIA	SRE Utility Solar 1, LLC	Ownership Ownership	100.0	SEL ESUL		
00000	Sammons Enterprises, Inc. ESOT.	00000		1			SRK Holdings, LLC	NC	NIA	Heelstone Energy III, LLC	Ownership	100.0	SEI ESOTSEI ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000					Kenansville Solar Farm, LLC	NC	NIA	SRK Holdings, LLC	Ownership	100.0	SET ESOT	NO.	
00000	Isammons Enterprises, Inc. ESOT.	00000					Red Hill Solar Center, LLC	NC	NIA	SRK Holdings, LLC	Ownership	100.0	ISEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.						Samarcand Solar Farm, LLC	NC	NIA	SRK Holdings, LLC.	Ownership	100.0	SET ESOT	N0	
00000	Sammons Enterprises Inc. ESOT	00000					Heelstone Energy IV, LLC	DE	NIA	SRE Utility Solar 1, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	. 00000					PV_Project Holdings I, LLC	NC		Heelstone Energy IV, LLC	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	. 00000		 			BRE NC Solar 1, LLC	NC	NIA	PV Project Holdings I, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Command Enternalism Los FOOT	00000					Shelby Randolph Road Solar I,	NO	NI A	DV Danisat Halding L. 110	Own a male i :	400.0	CEL FOOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	. 00000					LLC	NC	N I A	PV Project Holdings I, LLC	ownership	100.0	SEI ESOT	. NU	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	. ID	Federal		Traded (U.S. or		Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)			Entity(ies)/Person(s)	110	*
00000	Sammons Enterprises, Inc. ESOT.						Lafayette Solar I, LLC	NC	NIA	PV Project Holdings I, LLC	Ownership		SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000					Rockingham Solar, LLC	NC	NIA	PV Project Holdings I, LLC	Ownership	100.0	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					SID Solar I, LLCYork Road Solar I, LLC	NCNC.	NIA	PV Project Holdings I, LLC	Ownership	100.0	SEI ESOTSEI ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT.	00000						DE	NIA NIA	PV Project Holdings I, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					Heelstone Energy V, LLCPV Project Holdings II, LLC	NC	NIA	SRE Utility Solar 1, LLC Heelstone Energy V, LLC	Ownership Ownership	100.0	SET ESOT	NONO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Aspen Solar, LLC	NCNC	NIA	PV Project Holdings II, LLC	Ownership	100.0	SET ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000					Balsam Solar, LLC	NC		PV Project Holdings II, LLC	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT	00000					Cedar Solar, LLC	NC		PV Project Holdings II, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000					Elm Solar, LLC	NC	NIA	PV Project Holdings II, LLC	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Sweetgum Solar, LLC	NC		PV Project Holdings II, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000		1			Heelstone Energy VI, LLC	DE		SRE Utility Solar 1, LLC	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					PV Project Holdings III, LLC	NC	NIA	Heelstone Energy VI, LLC	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT	00000					Morgan Farm, LLC.	NC		PV Project Holdings III, LLC	Ownership	100.0	SEL ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT	00000					Laurinburg Solar, LLC	NC.		PV Project Holdings III, LLC	Ownership.	100.0	SET ESOT.	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Nashville Farms, LLC	NC.	NIA	PV Project Holdings III, LLC	Ownership		SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					ESA Newton Grove 1 NC, LLC	NC	NIA	PV Project Holdings III, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000					ESA Selma NC 1, LLC	NC	NIA	PV Project Holdings III, LLC	Ownership	100.0	SET ESOT.	NO .	
00000	Sammons Enterprises. Inc. ESOT.	00000					ESA Smithfield 1 NC, LLC	NC	NIA	PV Project Holdings III, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					Heestone Energy VII, LLC	DE	NIA	SRE Utility Solar 1, LLC	Ownership	100.0	SET ESOT	NO .	
							Heelstone Energy Investor VI,							1	
00000	Sammons Enterprises, Inc. ESOT.						LLC	DE	NIA	Heelstone Energy VII, LLC	Management	1.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					PV Project Holdings IV, LLC	NC	NIA	Heelstone Energy VII, LLC	Ownership	90.0	SET ESOT.	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					PV Project Holdings IV, LLC	NC	NIA	PV Project_Lessee IV, LLC	Ownership	10.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000					PV Project Holdings IV, LLC	NC	N!A	Heelstone Energy VII, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000					PV Project Lessee IV, LLC	NC	NIA	Heelstone Energy VII, LLC	Management	1.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Durham Solar, LLC	NC	NIA	PV Project Holdings IV, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					Innovative Solar 23, LLC	NC	NIA	PV Project Holdings IV, LLC	Ownership	100.0	SEI ESOT	NU .	
00000	Commono Enterprises Inc. FCOT	00000					Red Toad 1425 A Powatan Road,	NC	NIIA	DV Draiget Heldings IV IIC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					LLCZV Solar 3, LLC	NCNC.	NIA	PV Project Holdings IV, LLC	Ownership	100.0	SET ESOT		
	Sammons Enterprises, Inc. ESOT.	00000						DE	NIA NIA	PV Project Holdings IV, LLC	Ownership	100.0	SET ESOT		
00000	Sammons Enterprises, Inc. ESOT	00000					Heelstone Energy VIII, LLCHeelstone Energy Investor VII,	⊒ע	NTA	SRE Utility Solar 1, LLC	Ownership	100.0	OLI EOUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					HIC	DE	NIA	Heelstone Energy VIII, LLC	Management	1 0	SEI ESOT	NO.	
00000	Joannions Litterprises, Inc. Loui.						LLV			Heelstone Energy Investor	manayonont		OL1 L001		
00000	Sammons Enterprises, Inc. ESOT.	00000					PV Project Holdings V, LLC	NC	NIA	VII. LLC	Ownership	100 0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.						PV Project Holdings V, LLC	NC	NIA	Heelstone Energy VIII, LLC	Management	100.0	SET ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000					Cornwall Solar Center, LLC	NC		PV Project Holdings V, LLC	Ownership.	100.0	SET ESOT	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000		1			Crestwood Solar Center, LLC	NC		PV Project Holdings V, LLC	Ownership.		SET ESOT	NO	
]						Harrell's Hill Solar Center,]	1 1,111 111 111 111 111 111 111 111 111]				
00000	Sammons Enterprises, Inc. ESOT.	00000]			LLC	NC	NIA	PV Project Holdings V, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Highland Solar Center, LLC	NC	NIA	PV Project Holdings V, LLC	Ownership	100.0	SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Littlefield Solar Center, LLC	NC		PV Project Holdings V, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					PV Project Lessee V-2015, LLC	DE	NIA	Heelstone Energy VIII, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000		[Heelstone Land Holdings, LLC	DE	NIA	SRE Utility Solar 1, LLC	Ownership		SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-4678378				SRE Focalpoint Holdings, Inc	DE	NIA	SRE Solar OpCo, Inc	Ownership	100.0	SEI ESOT	NO .	
1							SRE/Franklin Park Focalpoint,		l				l	[l	
00000	Sammons Enterprises, Inc. ESOT	00000	81-4749765				LLC.	DE	NIA	SRE Focalpoint Holdings, Inc.	Ownership	99.5	SEI ESOT	NO .	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities			L		(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC		l <u>-</u>		Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	, ID	Federal	0114	Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	_
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	
00000	Commons Fotograpions Inc. FCOT	00000	04 4700475				CDE Foreign Markey 110	DE	NIA	SRE/Franklin Park Focalpoint,	O	100.0	SEI ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-4738175				SRE Focalpoint Member, LLC	₽E	NIA	Sammons Renewable Energy	Ownership	100.0	SET ESUI	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-4721371				SRE DevCo, Inc.	DE	NIA	Holdings, Inc.	Ownershin	100 0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	01-4/213/1				SRE Hydro DevCo, Inc	DE		SRE DevCo, Inc.	Ownership Ownership	100.0	SEL ESOT	NO	
00000	Sammons Enterprises, inc. ESUL	00000					SRE/Franklin Park Hydro Canada-	D⊏	NIA	SKE Devico, IIIC	ownership	100.0	SEI ESUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-4898487				1. LLC	DE	NIA	SRE Hydro DevCo, Inc	Ownership	00.5	SEL ESOT	NO	
00000	Janinons Enterprises, Inc. Esoi.	00000	01-4090407				Sammons Renewable Energy Canada	υ⊏	N I A	SRE/Franklin Park Hydro	ownersinp	99.3	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Holdings Inc.		NIA	Canada-1. LLC	Ownership	100.0	SET ESOT.	NO	
00000	l control circerpi (363, 1116. Loo)	00000							NIA	Sammons Renewable Energy	Owner 3111p	100.0	OL1 L001		
00000	Sammons Enterprises, Inc. ESOT.	00000					SRE Hydro Canada Corp		NIA	Canada Holdings Inc	Ownership	100.0	SET ESOT	NO	
00000	Janinons Litterprises, Inc. Loui.	00000					SRE Hydro Canada General		NIA	Canada nordings inc	Owner Sirrp	100.0	OLI LOUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Services Ltd		NIA	SRE Hydro Canada Corp	Ownership	100 0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Clemina Hydro Power LP		I NIA	SRE Hydro Canada Corp	Ownership	99 0	SET ESOT	NO	
00000	Sammons Enterprises Inc ESOT	00000					Clemina Hydro Power GP Ltd			SRE Hydro Canada Corp	Ownership	100 0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Clemina Hydro Power LP		I NIA	Clemina Hydro Power GP Ltd	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises Inc ESOT	00000					Serpentine Hydro Power LP		NIA	SRE Hydro Canada Corp	Ownership	99 0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Serpentine Hydro Power GP Ltd			SRE Hydro Canada Corp	Ownership	100.0	SET ESOT	NO NO	
00000	Commone Enterprised, me. 2001.	00000		1			Corpore mo my are read or creation			Serpentine Hydro Power GP	0 111 p		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000					Serpentine Hydro Power LP		NIA	Ltd.	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Columbia Mountains Power LP		NIA	SRE Hydro Canada Corp	Ownership.	99.0	SET ESOT	NO NO	
00000	Comments Enterprised, inc. 2001.	00000					Columbia Mountains Power GP			l light o danada dorp	о и пот оттр		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000					Ltd.		NIA	SRE Hydro Canada Corp	Ownership	100.0	SEI ESOT.	NO	
										Columbia Mountains Power GP					
00000	Sammons Enterprises, Inc. ESOT.	00000					Columbia Mountains Power LP		NIA	Ltd.	Ownership		SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					English Hydro Power GP Ltd.		NIA	SRE Hydro Canada Corp	Ownership.	100.0	SET ESOT	NO	
00000	Sammons Enterprises Inc FSOT	00000					English Hydro Power LP		NIA	English Hydro Power GP Ltd	Ownership		SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					English Hydro Power LP		NIA	Columbia Mountains Power LP	Ownership	99.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Fosthall Creek Power LP		NIA	SRE Hydro Canada Corp	Ownership	99.0	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					SRE Wind OpCo Inc.	DE	NIA	SRE OpCo, Inc	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	81-4849495				SRE Blocker #2, Inc	DE	NIA	SRE Wind OpCo, Inc	Ownership	100.0	ISEL ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					SRE/Franklin Park Midway LLC	DE		SRE Blocker #2, Inc	Ownership	99.5	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					SRE Midway HoldCo LLC	DE	NIA	SRE/Franklin Park Midway LLC	Ownership	100 . 0	SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					SRE Midway Member LLC	DE	NIA	SRE Midway HoldCo LLC	Ownership	100.0	SEI ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Midway Wind, LLC	DE	NIA	SRE Midway Member LLC	Ownership		SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-4858288				SRE Blocker #3, Inc	DE	NIA	SRE Wind OpCo, Inc	Ownership	100.0	SEI ESOT		
							SRE/Franklin Park Rio Bravo,			005 01 1 1/0 :			051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	83-2182362				LLC	DE	NIA	SRE Blocker #3, Inc	Ownership	99.5	SEI ESOT	NO	
										SRE/Franklin Park Rio Bravo,					
00000	Sammons Enterprises, Inc. ESOT.	00000					Rio Bravo Wind Renewables, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	N0	
00000		00000	07 4005540				D: D W: 10 :4 1 ::0	55	l	Rio Bravo Wind Renewables,		400 0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1895548				Rio Bravo Wind Capital, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	47 4554070				Rio Bravo Wind Holdings, LLC	DE	NIA	Rio Bravo Wind Capital, LLC	Ownership		SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	47 - 4551073				Rio Bravo Windpower, ĽLĆ	DE	NIA	Rio Bravo Wind Holdings, LLC	Ownership	100.0	SEI ESOT	NU	
00000	Commono Entorneissa Las 500T	00000	04 4050070				CDE Disakor #4	DE	NI A	Sammons Renewable Energy	Ownershi-	400.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-4858370				SRE Blocker #4, Inc		NIA	Holdings, IncSammons Renewable Energy	Ownership	100.0	DE1 E901	NU	
00000	Commono Entorprisos Inc. FCOT	00000	01 4077000				SRE Blocker #5, Inc	DE	NIA	Dallings Inc.	Ownership	100 0	SEI ESOT	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000	01-40//990				ONE DIOCKEL #3, IIIC		NIA	Holdings, Inc.	Ownership	100.0	DET EOUT	IVU	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of Securities					Type of Control (Ownership.				
						Exchange if			Relationship		Board.	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	*
00000		20000	04 4070045				SRE Blocker #6. Inc.	DE		Sammons Renewable Energy	0	400.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. C	JUUUU	81-4878045				SKE Blocker #6, Inc.	 	NIA	Holdings, Inc Sammons Renewable Energy	Ownership	100.0	SET ESUI	NU	
00000	Sammons Enterprises, Inc. ESOT. C	00000	82-3869035				SRE Blocker #7. Inc.	DE	NIA	Holdings, Inc.	Ownership.	100 0	SEI ESOT	NO	
00000	1		02 0000000							Sammons Renewable Energy					
00000	Sammons Enterprises, Inc. ESOT. C	0000	82-3877769				SRE Blocker #8, Inc	DE	NIA	Holdings, Inc	Ownership	100.0	SET ESOT	NO	
										Consolidated Investment					
00000	Sammons Enterprises, Inc. ESOT. C	0000	81-1190748 46-3173187				PR Holdings Inc.	DE WY	NIA	Services, Inc.	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. C	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	40-31/310/				Pathfinder Ranches, LLC Sweetwater River Conservancy.	N1	NIA	PR Holdings Inc	Ownership	100.0	SEI ESOT	INU	
00000	Sammons Enterprises, Inc. ESOT. C	00000 4	46-3173187				III C.	WY	NIA	Pathfinder Ranches, LLC	Ownership	100 0	SET ESOT	NO	
00000	daminorio Erreorpi 1000, mo. 2001.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	10 0170107				Sweetwater River Conservancy			Tatti ilidoi kanonoo, EEo	0 #1101 0111 p		021 2001		
							Greater Sage-Grouse Habitat			Sweetwater River Conservancy,					
00000	Sammons Enterprises, Inc. ESOT. C	، 4	47 - 1868 169				Bank, LLC	WY	N I A	LLC.	.Ownership	100.0	SEI ESOT	NO	
00000	Commono Enterprises Inc. FCOT (20000	47 - 1894969				Sweetwater River Conservancy	WY	NIA	Sweetwater River Conservancy,	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. C	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	47 - 1094909	-			Bald and Golden Eagle Bank, LLC. Sweetwater River Conservancy		N I A	Sweetwater River Conservancy,	. ownership	100.0	SET ESUI	INU	
00000	Sammons Enterprises, Inc. ESOT. C	00000	47 - 1832295				Wetland Mitigation Bank, LLC	WY	NIA	LLC	Ownership	100.0	SEI ESOT.	NO	
										Pathfinder Land and Ranch					
00000	Sammons Enterprises, Inc. ESOT. C	00000					Pathfinder Bison Bend, LLC	WY	NIA	Management, LLC	.Ownership	100.0	SEI ESOT	N0	
00000	Commons Fatouraises Inc. FCOT C	20000					Pathfinder Buzzard Bird Ranch,	WY	NII A	Pathfinder Land and Ranch	O	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. C						Pathfinder Cardwell Access		NIA	Management, LLC Pathfinder Land and Ranch	Ownership	100.0	SE ESU	NU	
00000	Sammons Enterprises, Inc. ESOT. C	00000					Ranch. LLC	WY	NIA	Management, LLC	Ownership.	100.0	SET ESOT	NO.	
							,			Pathfinder Land and Ranch	'				
00000	Sammons Enterprises, Inc. ESOT. C	00000					Pathfinder Cardwell Ranch, LLC	WY	NIA	Management, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. C	20000					Dathfindar Dumball Danah IIC	WY	NIIA	Pathfinder Land and Ranch	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. Eson. C						Pathfinder Dumbell Ranch, LLC Pathfinder Miracle Mile Ranch.		NIA	Management, LLC Pathfinder Land and Ranch	Ownership	100.0	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT. C	00000					LLC.	WY	NIA	Management, LLC	Ownership.	100.0	SET ESOT	NO	
	·						Pathfinder Pathfinder Ranch,			Pathfinder Land and Ranch					
00000	Sammons Enterprises, Inc. ESOT C	00000					LLC.	WY	NIA	Management, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammona Enterprises Inc. ESOT (20000					Pathfinder Perkins Ranch, LLC	WY	NIA	Pathfinder Land and Ranch Management, LLC	Ownership.	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. C			1			Tratiii iiidei reikiiis naiidii, LLU	1		Pathfinder Land and Ranch	. ownersurp	100.0	OLI EOUI	INU	
00000	Sammons Enterprises, Inc. ESOT. C	00000]			Pathfinder Sand Creek, LLC	WY	NIA	Management, LLC	Ownership	100.0	SEI ESOT	NO	
	' '						Pathfinder Stewart Creek Ranch,			Pathfinder Land and Ranch	· '				
00000	Sammons Enterprises, Inc. ESOT C	00000					LLC	WY	NIA	Management, LLC	.Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. C	00000					Pathfinder Sun Ranch, LLC	WY	NIA	Pathfinder Land and Ranch Management, LLC	Ownership.	100.0	SEI ESOT	NO	
00000	Joannions Enterprises, Inc. ESUL			1			Tratiii iiidei ouli Naiidii, EEG		NIA	Pathfinder Land and Ranch	10MIIG12111h	100.0	OLI EOUI	INU	
00000	Sammons Enterprises, Inc. ESOT. C	00000]			Pathfinder Two Iron Ranch, LLC	WY	NIA	Management, LLC	Ownership	100.0	SET ESOT	NO	
							Pathfinder Land and Ranch				· '				
00000	Sammons Enterprises, Inc. ESOT. C	00000	90-0879029				Management, LLC	WY	NIA	Pathfinder Ranches, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. C	00000					Beulah Belle Grazing Association, LLC	WY	NIA	Pathfinder Dumbell Ranch, LLC	Ownerchin	100.0	SEI ESOT	NO	
00000	Joannions Enterprises, mc. ESUL						Two Crosses Grazing	1 11		Pathfinder Buzzard Bird	. Owner 2111h	100.0	OLI EOVI	INU	
00000	Sammons Enterprises, Inc. ESOT. C	00000]			Association, LLC	WY	NIA	Ranch, LLC	Ownership	25.0	SEI ESOT	NO	
							,				. , , , , , , , , , , , , , , , , , , ,				

									10			10			
1	2	3	4	5	6	/ Name of	8	9	10	11	12 Type of Control	13	14	15	16
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	*
00000	0	00000					Two Crosses Grazing	WV	NII A	Pathfinder Pathfinder Ranch,	O	05.0	051 5007	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Association, LLC.	WY	NIA	LLCPathfinder Miracle Mile	Ownership	25.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT	00000					Two Crosses Grazing Association, LLC	WY	NIA	Ranch, LLC	Ownership	25.0	SEI ESOT	NO	
00000	Janinons Enterprises, inc. Esoi.	00000					Two Crosses Grazing			Indiffi, LLC	ownersirip	23.0	JOLI LOUI	INO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Association, LLC	WY	NIA	Pathfinder Sand Creek, LLC	Ownership	25.0	SEI ESOT	NO	
00000	Commond Enterprised, The Education						Wooden Rifle Grazing			Pathfinder Cardwell Ranch,	отпотоптр	20.0			
00000	Sammons Enterprises, Inc. ESOT.	00000					Association, LLC	WY	NIA	LLC.	Ownership	20.0	SEI ESOT	NO	
	·						Wooden Rifle Grazing								
00000	Sammons Enterprises, Inc. ESOT.	00000					Association, LLC	WY	NIA	Pathfinder Bison Bend, LLC	Ownership	20.0	SET ESOT	N0	
							Wooden Rifle Grazing	140.4					051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000					Association, LLC	WY	NIA	Pathfinder Perkins Ranch, LLC.	Ownership	20.0	SEI ESOT	NO	
00000	Commono Enterprises Inc. FCOT	00000					Wooden Rifle Grazing Association. LLC	WY	N I A	Pathfinder Cardwell Access, LLC	Ownership	20.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Wooden Rifle Grazing		N I A	Pathfinder Two Iron Ranch.	ownersiiip	20.0	SET ESUT	INU	
00000	Sammons Enterprises. Inc. ESOT	00000					Association. LLC	WY	NIA	III C	Ownership	20.0	SEI ESOT	NO	
00000	diminorio Enterprisco, me. 2001.						Stewart Creek Grazing				0#1101 0111 p		021 2001		
00000	Sammons Enterprises, Inc. ESOT	00000					Association, LLC	WY	NIA	Pathfinder Sun Ranch, LLC	Ownership	50.0	SET ESOT	NO.	
	' '						Stewart Creek Grazing			Pathfinder Stewart Creek	'				
00000	Sammons Enterprises, Inc. ESOT.	00000					Association, LLC	WY	NIA	Ranch, LLC	Ownership	50.0	SEI ESOT	NO	
										Consolidated Investment					
00000	Sammons Enterprises, Inc. ESOT.	00000	45-2838232				Sammons Equity Alliance, Inc	DE	N ! A	Services, Inc	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	75 - 2178848 46 - 2093595				Compatriot Capital, Inc	DE DE	NIA	Sammons Equity Alliance, Inc.	Ownership	100.0	SEI ESOTSEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUI.	000002	40-2093595				CCE Funding LLC	DE	NIA NIA	Compatriot Capital, Inc	Ownership	100.0	SET ESUT	N∪ ON	
00000	Sammons Enterprises, Inc. ESOT.	00000	 37 - 1279971				KDC HoldCo LLC.	DE		K2 Development, LLC	Ownership	25.0	SET ESOT	NO	
00000	odillilona Enterprisea, me. 2001.	00000	51 - 121 551 1				KDC Real Estate JV I	µ∟		l Doveropment, LLo	0#11G1 3111 p	20.0	DET LOOT		
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1439939				Controlling GP LLC	DE	NIA	K2 Development, LLC	Ownership	25.0	SEI ESOT	NO	
İ	' '						KDC Real Estate JV General			·	, , , , , , , , , , , , , , , , , , , ,				
00000	Sammons Enterprises, Inc. ESOT.	000008	87 - 1437210				Partner LP	DE	NIA	K2 Development, LLC	Ownership	48.5	SEI ESOT	NO	
							KDC Real Estate JV General			KDC Real Estate JV I					
00000	Sammons Enterprises, Inc. ESOT.		37 - 1437210				Partner LP	DE	NIA	Controlling GP LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	000008	87 - 1418252				KDC Real Estate JV LP	DE	NIA	K2 Development, LLC KDC Real Estate JV I General	Ownership	48.4	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.		87 - 1418252				 KDC Real Estate JV LP	DE	N I A	Partner LP	Ownership	0.2	SEI ESOT	NIO	
00000	Joannions Litterprises, Inc. ESUL	00000	JI - 14 10ZJZ				KDC Real Estate JV CF	ν∟			owner 2111b	J	JULI LUVI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000 8	37 - 1438399				Manager LLC	DE	NIA	K2 Development, LLC	Ownership	25.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		37 - 1435970				KDC Real Estate JV I REIT LLC	DE	NIA	KDC Real Estate JV I LP	Ownership	100.0	SET ESOT.	NO	
	·									KDC Real Estate JV REIT	'				
00000	Sammons Enterprises, Inc. ESOT.	000008	87 - 1435970				KDC Real Estate JV I REIT LLC	DE	NIA	Manager LLC	Management		SEI ESOT	N0	
							KDC Real Estate JV Operating							l	
00000	Sammons Enterprises, Inc. ESOT.	00000					LLC	DE		KDC Real Estate JV REIT LLC.		100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	80-0299888 80-0299902				ASG Mortgage Investors, LLC DBI/ASG Advisors. LP	DE DF	NIA NIA	Compatriot Capital, Inc	Ownership	35.3	SEI ESOTSEI ESOT	NO	
00000	oannions Enterprises, inc. ESUL	00000	DU-UZ999UZ				DBI/ASG Mortgage Acquisition		N I A	ASO WOLLGAGE INVESTORS, LLC	ownersinp	14.0	DE1 E301	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000 8	30-0299393				Fund I. LP	DE	N I A	Compatriot Capital, Inc.	Ownership	55 4	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	47 - 2566 125				CCI Historic. Inc.	DE	NIA	Compatriot Capital, Inc.	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	31-2775585				CCI Residential LLC.	DE		CCI Historic, Inc.	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	000008	33-4414103				Albion Residential LLC	DE		CCI Residential LLC	Ownership	100.0	SEI ESOT	NO	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities					(Ownership,			l	
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to	B G	Management,	Ownership		Filing	
Group	Oracia Nama	Company	ID Navashara	Federal	OII	Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number 83-444468	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)		Percentage	Entity(ies)/Person(s)	(Yes/No)	
00000	Sammons Enterprises, Inc. ESOT.	00000	83-444468				Albion Construction LLC	DE	NIA	Albion Residential LLC	Ownership	100.0	SET ESOT	INU	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	81-2775585				Albion HoldCo LLC	DE DF	NIA NIA	Albion Residential LLC Albion Residential LLC	Ownership	100.0	SELESOT	NU	
00000	Sammons Enterprises, Inc. Esui.	00000	01-2//0000				Albion Columbus 1, LLC Albion Columbus Investors 1.	ν⊏	NIA	Albion Residential LLC	Ownership	100.0	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4854802				ATDION COTUMBUS INVESTORS 1,	DE	NIA	Albion Columbus 1. LLC	Ownership	20.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. Esui.	00000	30-4034002				LLU	υ⊏	N I A	Albion Columbus Investors 1.	ownership	ZU.U	DE1 E301	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000	35-2580846				Albion WM 1, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
00000	. Sammons Enterprises, Inc. Eson.	00000	33-2300040				Albion at Evanston Investors I.		N I A	LLU	Owner Sirrp	100.0	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT	00000					ITTO AL EVANSION INVESTORS 1,	DE	NIA	Albion Residential LLC	Ownership	100.0	SEI ESOT	NO	
00000	Janinons Litterprises, Inc. Loui.	00000					LLU		NIA	Albion at Evanston Investors	Owner Sirip	100.0	OLI LOUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	83-4523474				Albion at Evanston, LLC	DE	N I A	1. LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		03-4323474				Albion at Cvanston, EtcAlbion at Oak Park Member, LLC	DE DE		Albion Residential LLC	Ownership	100.0	SET ESOT	NO	
00000	. Sammons Litterprises, mc. Loui.	00000					Albion at Oak Park Investors I.		N I A	Albion at Oak Park Member.	Owner Sirrp	100.0	OLI LOUI	INO	
00000	Sammons Enterprises, Inc. ESOT.	00000	82-5240902				III C	DE	NIA	LLC	Ownership	35.0	SEI ESOT	NO	
00000	Joannions Enterprises, Inc. Ecol.	00000	02-0240002				LLU		N17	Albion at Oak Park Investors	Owner sirrp		OLT LOUT		
00000	Sammons Enterprises, Inc. ESOT	00000					Albion at Oak Park, LLC	DE	N I A	1. LLC	Ownership.	100.0	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Albion DeVille Member LLC	DE	NIA	Albion Residential LLC	Ownership	100.0	SEI ESOTSEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	82-4664891				Albion at Beachwood LLC.	DE	NIA	Albion DeVille Member LLC	Ownership	50.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	82-4683762				Beachwood Apartments LLC	DE	NIA	Albion at Beachwood LLC	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	02-4000102				Albion at Parklane GP, LLC	DE	NIA	Albion Residential LLC	Ownership	100.0	SET ESOT	NO	
00000	Commons Enterprises, me. 2001.	00000					Albion at Parklane Limited		1	ATOTOT ROSTGOTETAT ELO	ожног эттр	1	021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	83-4423717				Partner, LLC.	DE	NIA	Albion Residential LLC	Ownership	100 0	SEI ESOT	NO	
00000	Cammono Enterprised, me. 2001.	00000	00 1120111						1	Albion at Parklane Limited	0 #1101 0111 p	1			
00000	Sammons Enterprises, Inc. ESOT.	00000	25 - 1425737				Pent House Associates.	DE	NIA	Partner, LLC	Ownership.	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20 1 1201 01 11111				Albion at Highland Park, LLC	DE.	NIA	Albion Residential LLC	Ownership.	100.0	SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-2514861				AJ Highland Park Investors, LLC.	DE.	NIA		Ownership.	100.0	SET ESOT.	NO	
	1						Albion Jacobs Highland Park,			AJ Highland Park Investors,					
00000	Sammons Enterprises, Inc. ESOT.	00000					LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.						Albion at New Forum Member, LLC.	DE	NIA	Albion Residential LLC	Ownership.	100.0	SEI ESOT	NO	
							New Forum Apartments JV			Albion at New Forum Member,					
00000	Sammons Enterprises, Inc. ESOT.	00000	84-2514861				Investors, LLC	DE	NIA	LLC.	Ownership.	10.0	SET ESOT	NO	
1	, , ,									New Forum Apartments JV	·				
00000	Sammons Enterprises, Inc. ESOT.			 			New Forum Owner LLC	DE	NIA	Investors, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Albion in the Gulch Member, LLC.	DE	NIA	Albion Residential LLC	Ownership	100.0	SEI ESOT	NO	
1		j					Albion in the Gulch Investors,			Albion in the Gulch Member,		1			
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2667963				LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
1										Albion in the Gulch		1			
00000	Sammons Enterprises, Inc. ESOT.	00000					Albion in the Gulch, LLC	DE	NIA	Investors, LLC	Ownership	100.0	SEI ESOT	NO	
1							Albion at Renaissance Place,								
00000	Sammons Enterprises, Inc. ESOT.	00000					LLC	DE	NIA	Albion Residential LLC	Ownership	100.0	SEI ESOT	N0	
1							AJ Renaissance Place Investors,			Albion at Renaissance Place,					
00000	Sammons Enterprises, Inc. ESOT.	00000	85-4134562				LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT		
1							Albion Jacobs Renaissance			AJ Renaissance Place					
00000	Sammons Enterprises, Inc. ESOT.	00000					Place, LLC	DE	NIA	Investors, LLC	Ownership	100.0	SEI ESOT	. N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 2079440				Albion at Palatine, LLC	DE	NIA	Albion Residential LLC	Ownership	100.0	SEI ESOT	. N0	
							Lugue III			Village Green Consolidated			051 5007		
00000	Sammons Enterprises, Inc. ESOT.		32-0497080				VGH/Dallas LLC	DE	NIA	LLC	Ownership	[SEI ESOT	. N0	
00000	Sammons Enterprises, Inc. ESOT	UUUUU	45-3536429				Village Green Holding LLC	DE	NIA	VGH/Dallas LLC	Ownership	1100.0	SEI ESOT.	. NU	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities					(Ownership,			ll	
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
0		NAIC	ID	F11		Publicly	Names of Parent. Subsidiaries	D	to	Discostine Constrailly of him	Management,	Ownership		Filing	
Group Code	Group Name	Company Code	Number	Federal RSSD	CIK	Traded (U.S. or International)	Or Affiliates	Domiciliary Location	Reporting Entity	Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact, Influence, Other)	Provide	Ultimate Controlling Entity(ies)/Person(s)	Required? (Yes/No)	*
Code	Gloup Name	Code	Number	ROOD	CIK	international)	Village Green Management	LUCATION	Littly	(Name of Entity/Ferson)	inilidence, Other)	reiceillage	Littity(les)/Feison(s)	(165/140)	
00000	Sammons Enterprises, Inc. ESOT.	00000	45-3536429				Company LLC.	DE	NIA	Village Green Holding LLC	Ownership	100 0	SEI ESOT	NO	
00000	Commond Enterprised, The Eder	00000	10 0000 120				Village Green Communications		1	Trirage cross northing Ezo	, o "1101 0111 p		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	45-3536429				LLC	DE	NIA	Village Green Holding LLC	Ownership	100.0	SET ESOT	NO	
	'						Village Green Management				· '				
00000	Sammons Enterprises, Inc. ESOT.	00000					Company of Illinois LLC	DE	NIA	Village Green Holding LLC	Ownership	100.0	SET ESOT	NO .	
							Village Green Management	25					051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000					Company of North Carolina LLC	DE	NIA	Village Green Holding LLC	Ownership	100.0	SEI ESOT	. NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					Village Green Management	DE	NIA	Village Green Holding LLC	Ownership	100.0	SEI ESOT	NO.	
	Sammons Enterprises, Inc. ESOT.		36-4839030				Company of Pennsylvania LLC Southside Works Holding LLC	DE	NIA	CCI Historic, Inc	Ownership	50.0	SET ESOT	NO . NO	
00000	Janiiilons Enterprises, inc. Eson.	00000	30-4039030	-			Southside Works City Apartments	DL	N I A		Owner Sirrp		JLI LOUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	30-0832966				LLC	DE	NIA	Southside Works Holding LLC	Ownership	10.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1829701				Morrow Park Holding LLC	DE	NIA	CCI Historic, Inc.	Ownership.	50.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	46-5393796				VG ECU Holdings LLC	DE	NIA	CCI Historic, Inc.	Ownership	100 0	ISEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					LAV MP Holding, LLC	DE	NIA	CCI Historic, Inc	Ownership	100.0	SET ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	32-0419928	.			Morrow Park City Apartments LLC.	DE	NIA	LAV MP Holding, LLC	Ownership	34.3	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-2765840				CCI/HTC, Inc.	DE		CCI Historic, Inc.	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	38-4005137				VGH Credit LLC	DE	NIA	CCI/HTC, Inc	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	36-4740768	-			SLBCA Developer LLC	DE	NIA	VGH Credit LLC	Ownership	50.0	SEI ESOTSEI ESOT		
00000	Sammons Enterprises, Inc. ESUI.	00000	36-4740768 38-3885114	-			SLBCA Developer LLCSLBCA Holding LLC	DE DE	NIA NIA	SLBCA Holding LLCCCI/HTC, Inc	Ownership Ownership	0.00	SET ESOT	NOINO	
00000	Sammons Enterprises, Inc. ESOT.	00000	38 - 3885114	-			SLBCA Holding LLC	DE	NIA	VGH Credit LLC	Ownership	10.0	SET ESOT	NO .NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4742309				SLBCA Tenant LLC.	DE	NIA	SLBCA Holding LLC	Management	10.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4742309				SLBCA Tenant LLC	DE	NIA	Compatriot Capital, Inc.	Ownership.	100.0	SET ESOT.	NO	
							Soo Line Building City]						
00000	Sammons Enterprises, Inc. ESOT.	00000	45-3072487				Apartments LLC	DE	NIA	SLBCA Holding LLC	Ownership	85.0	SET ESOT	NO .	
	·						Soo Line Building City								
00000	Sammons Enterprises, Inc. ESOT.	00000	45-3072487				Apartments LLC	DE	NIA	SLBCA Tenant LLC	Ownership	15.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	20-1962246				E2M Holdings, LLC	DE	NIA	Compatriot Capital, Inc	Ownership		SEI ESOT		
00000	Sammons Enterprises, Inc. ESUL	00000	20-1962023	-			E2M Partners, LLC	DE	NIA	E2M Holdings, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	26-2811198 38-4004306	-			Legacy KDC Holdings, LLC E2M Villas at RPW, LLC	DETX	NIA NIA	Compatriot Capital, Inc	Ownership Ownership	70.2	SET ESUT		
00000	Sammons Enterprises, Inc. ESOT.	00000	81-2858194	1			AHC VIIIas Richmond, LLC	TX	NIA	E2M Villas at RPW, LLC	Ownership	70.0	SET ESOT	NO N∩	
00000	Sammons Enterprises Inc. ESOT	00000	35-2497885				VG Morrow Park Capital LLC	DE	INIA	Compatriot Capital, Inc	Ownership	84 8	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	35-2497885				VG Morrow Park Capital LLC	DE	NIA	Morrow Park Holding LLC	Ownership	15.2	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	32-0419928				Morrow Park City Apartments LLC.	DE	NIA	VG Morrow Park Capital LLC	Ownership	65.7	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	38-3880287				JLB Partners LLC	DE	NIA	Compatriot Capital, Inc	Ownership	40.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	26 - 1997454				JLB BUILDERS LLC	TX	NIA	JLB Partners LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	26-0153203	-			JLB REALTY LLC.	TX	NIA	JLB Partners LLC	Ownership	100.0	SEI ESOT	N0	
1 000000 I	ISammons Enterprises Inc FSOT	100000	36-4702578	-			JLB RESIDENTIAL LLC	TX	NIA	JLB Partners LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOL	00000	36-4817766 35-2441843	-			JLB Stafford Land LP	TX TX	NIA	JLB Partners LLC	Ownership	1100.0	SEI ESOTSEI ESOT		
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	35-2441843 35-2441843	-			JLB 2728 Cedar Springs, LP JLB 2728 Cedar Springs, LP	TX	NIA NIA	JLB Partners LLCCompatriot Capital, Inc.	Ownership Ownership	70.0	SET ESUT		
00000	Sammons Enterprises, Inc. ESUL.	00000	90-0982090				JLB McLean LLC	DE	NIA	Compatriot Capital, Inc	Ownership	30 0	SET ESOT	NU . NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0982090	1			JLB McLean LLC	DE	NIA	JLB McLean Partners LP	Ownership		ISET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0982090				JLB McLean Partners LP	DE	NIA	JLB Partners LLC	Ownership	65.7	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0507004				JLB Chapman LP	DE	NIA	Compatriot Capital, Inc.	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		32-0507004				JLB Chapman LP	DE	NIA	JLB Chapman GP LLC	Ownership	100.0	SEI ESOT	NO	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities					(Ownership,				
		NAIO				Exchange if	No f		Relationship		Board,	If Control is		Is an SCA	
Group		NAIC Company	ID	Federal		Publicly Traded (U.S. or	Names of Parent. Subsidiaries	Domiciliary	to Reporting	Directly Controlled by	Management, Attorney-in-Fact,	Ownership Provide	Ultimate Controlling	Filing Required?	
Code	Group Name	Collipariy	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)		Entity(ies)/Person(s)	(Yes/No)	*
00000	Sammons Enterprises, Inc. ESOT.		81-3984532	NOOD	Ont	international	JLB Chapman GP LLC	DE	NIA	Compatriot Capital, Inc	Ownership		SEL ESOT	NO	
00000	Sammons Enterprises Inc. ESOT	00000	37 - 1763066				JLB Center Street, LP	TX		Compatriot Capital, Inc.	Ownership	70.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	37 - 1763066				JLB Center Street, LP	TX	NIA	JLB Partners LLC	Ownership	30.0	ISEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	37 - 1763047				JLB Winhall, LP	TX	NIA	Compatriot Capital, Inc	Ownership	70.0	SEL ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1763047				JLB Winhall, LP	ТХ	NIA	JLB Partners LLC	Ownership	30.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	32-0410379				JLB Portales Partners Mgr L.P	TX	NIA	Compatriot Capital, Inc	Ownership	23.9	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0410379				JLB Portales Partners Mgr L.P	TX	NIA	JLB Partners LLC	Ownership	76.1	SEI ESOT	NO .	
			07.4044405				WB B	25		JLB Portales Partners Mgr		50.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1641185				JLB Portales Partners LLC	DE	NIA	L.P	Ownership	50.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	35-2621511				JLB Stafford Phase I L.P.	TX		Compatriot Capital, Inc.	Ownership		SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	35-2621511 35-2621511				JLB Stafford Phase L.P JLB Stafford Phase L.P	TX		JLB Partners LLC JLB Stafford Phase I GP LLC	Ownership Management	30.0	SEL ESOT	NO . NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	33-2021311				JLB Stafford Phase I GP LLC	TX	NTA	JLB Starrord Phase 1 GP LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammone Enterprises, Inc. ESOT	00000	84-2762823				JLB Stafford Phase Two L.P.	TX	NIA	Compatriot Capital, Inc	Ownership	85.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	84-2762823				JLB Stafford Phase Two L.P	TX	NIA	JLB Partners LLC	Ownership	15.0	SET ESOT	NO .	
00000	Sammons Enterprises Inc ESOT	00000	84-2762823				JLB Stafford Phase Two L.P.	TX		JLB Stafford Phase Two GP LLC	Management		SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	0 1 21 02020				JLB Stafford Phase Two GP LLC	TX	NIA	JLB Partners LLC.	Ownership.	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	84-3458126				JLB West Paces Phase I L.P.	TX	NIA	Compatriot Capital, Inc	Ownership	80.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3458126				JLB West Paces Phase I L.P	ТХ	NIA	JLB Partners LLC	Ownership	19.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3458126				JLB West Paces Phase I L.P	TX	NIA	JLB West Paces Phase I GP LLC.	Management	1.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					JLB West Paces Phase I GP LLC	TX		JLB Partners LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	11-3732440				Longhorn Trail Ranch II, Ltd	TX		Compatriot Capital, Inc	Ownership	40.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOI.	00000	75-2885037				Ski Partners, LLC	DE		Compatriot Capital, Inc	Ownership	32.7	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	83-0389296				SP Land Company, LLC	DE DE		Ski Partners, LLC	Ownership	80.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8563569				Ski Partners II, LLCWoodbine Legacy Investment	⊅⊑	NIA	Compatriot Capital, Inc	Ownership	32.8	SEI ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1845293				Partners. LP	DE	NIA	Compatriot Capital, Inc	Ownership	15.7	SEI ESOT	NO.	
00000	Saminoris Enterprises, mc. Esor.	00000	37 - 1040293				Woodbine Legacy Pref Partners,	DE	N I A	Compatitot Capital, Inc	. Owner sirrp	45.7	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-1367956				IP	DE	NIA	Compatriot Capital, Inc	Ownership	42.1	SEI ESOT.	NO	
00000	Camillorio Erret pri 1903, 1110. E001.	00000	00 1001 000							Woodbine Legacy Investment	0 #1101 3111 p		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	86 - 1367046				Woodbine Legacy Holdings, LLC	DE	NIA	Partners. LP	Ownership	42.1	SET ESOT	NO .	
										Woodbine Legacy Pref					
00000	Sammons Enterprises, Inc. ESOT.	00000	86-1367046				Woodbine Legacy Holdings, LLC	DE	NIA	Partners, LP	Ownership	42.1	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000	84-2200867				Gerrity Holdings LLC	DE	NIA	Compatriot Capital, Inc	Ownership	40.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8156591				Gerrity Group, LLC	DE	NIA	Gerrity Holdings LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	82-5494752				Gerrity Retail Fund 3, L.P	DE	NIA	Compatriot Capital, Inc	Ownership	40.0	SEI ESOT	N0 .	
00000	0	00000	00 5404750				Occasion Detail Front O. 1. S.	DE		Gerrity Retail Partners 3,			051 5007	,,	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	82-5494752 20-8156591				Gerrity Retail Fund 3, L.P.	DE	NIA	Corrity Crown IIC	Management	100.0	SEI ESOTSEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESUI Sammons Enterprises, Inc. ESUI	00000	82 - 5494752				Gerrity Retail Partners 3, LLC Gerrity Retail Fund 3, L.P	DEDE	NIA NIA	Gerrity Group, LLCGRF Special, LLC	Ownership Ownership		SEL ESOT	N0 . .N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	82-5513736	[GRF Special, LLC	DE	NIA	Gerrity Group, LLC	Management	4.3	SET ESOT	NO .	
00000	Cammons Litterprises, IIIC. ESUL	00000	UL -UU IUI UU				οιι υρουται, εευ		IN I M	Gerrity Retail Investors 3,	manayondint		OL1 LOV1	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	82-5513736				GRF Special, LLC	DE		LLC	Ownership	100 0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	83-0526222				Gerrity Retail Investors 3, LLC	DE		Gerrity Group, LLC	Ownership	94.7	SET ESOT.	NO NO	
	2001.						Gerrity Retail Fund 3			· · · · · · · · · · · · · · · · · · ·	* · * · · · F · · · · · · · · · · · · ·				
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8156591				Management, LLC	DE		Gerrity Group, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000					CCI Tysons SL, LLCSilverstone Tysons, LP	DE	NIA	Compatriot Capital, Inc	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	85-0782247				Silverstone Tysons, LP	DE	NIA	CCI Tysons SL, LLC	Ownership	85.0	SEI ESOT	NO .	

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						Name of					Type of Control				
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	, ID	Federal		Traded (U.S. or		Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)		Entity(ies)/Person(s)	(Yes/No)	*
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2413782				Silverstone Tysons Owner, LLC	DE		Silverstone Tysons, LP	Ownership	100.0	SEI ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT	00000	00 4550400				CCI Bethesda SL LLC	DE	NIA	Compatriot Capital, Inc.	Ownership	100.0	SET ESOT		
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	86-1558132				Silverstone Bethesda, LP	DE		CCI Bethesda SL LLC	Ownership	85.0	SEL ESOT	NO .	
00000	Sammons Enterprises, Inc. ESUI	00000	86-1539060				Silverstone Bethesda Owner, LLC.	DE	NIA	Silverstone Bethesda, LP	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	75-1152016 26-0460383				Sammons Capital, Inc	DE TX		Sammons Equity Alliance, Inc.		100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	75-2879653				Enterhealth, LLC	DE	NIA NIA	Sammons Capital, Inc	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESUI.	00000	13-2019033				Sammons Power Development, Inc	DE	NIA	Sammons Equity Alliance, Inc., Sammons Power Development,	Ownership	100.0	DE1 E001	INU .	
00000	Sammons Enterprises, Inc. ESOT	00000	73-1186819				Otter, Inc.	0 K	NIA	Inc.	Ownership.	100.0	SET ESOT	NO.	
00000	Janiinons Litterprises, inc. Loui.	00000	7.5-1100019				01161, 1110	UN	NIA	Sammons Power Development,	Ownersinp	100.0	OLI LOUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	75-2880167				Gila Bend Power Partners. LLC	DE	NIA	Inc	Ownership	50.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	26-3960584				SAGE Assets. Inc	DE	NIA	Sammons Equity Alliance, Inc.	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4358656				Guggenheim Capital, LLC	DE	NIA	SAGE Assets, Inc	Ownership	36.5	SET ESOT	NO NO	
00000	Sammons Enterprises Inc ESOT	00000	94-3489008				Guggenheim Partners, LLC.	DE	NIA	Guggenheim Capital, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	20-8734966				GP Holdco, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SET ESOT.	NO	
00000	Sammons Enterprises Inc FSOT	00000	20-8734966				GP Holdco, LLC	DE	NIA	Guggenheim Manager, Inc	Management		SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8735034				GPFT Holdco, LLC.	DE	NIA	GP Holdco, LLC	Ownership	100 0	SEI ESOT	NO	
							Guggenheim Insurance Holdco,								
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 4332886				LLC	DE	N I A	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	NO	
										Guggenheim Insurance Holdco.					
00000	Sammons Enterprises, Inc. ESOT.	00000	27 -4333580				PLIC Holdings, LLC	DE	NIA	ILLČ	Ownership	100.0	SEI ESOT	NO .	
	' '									Guggenheim Insurance Holdco,	i '			İ	
00000	Sammons Enterprises, Inc. ESOT.	00000					DLPG, LLC	DE	NΙΔ	luč	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000	61-1801988				DLPG II Executive, LLC	DE	NIA	DLPG, LLC	Management		SEI ESOT	NO .	
	·						Guggenheim-meZocliq Holdings,								
00000	Sammons Enterprises, Inc. ESOT.	00000					LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SEI ESOT	NO .	
										Guggenheim-meZocliq Holdings,					
00000	Sammons Enterprises, Inc. ESOT.	00000					meZocliq LLC	DE	NIA	LLC	Ownership	41.9	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 1454227				GLAC Holdings, LLC	DE	NIA	GPFT Holdco, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					GLAC LV Holdings, LLC	DE		GLAC Holdings, LLC	Ownership	99.5	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOI.	00000					GLAC LV Holdings, LLC	DEDE	N! A	Guggenheim Manager, Inc	Management		SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Biscay GSTF III, LLC	DE	N!A	GLAC LV Holdings, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESUL	00000	45 0407700				Mylo, LLC	MO	NIA	Biscay GSTF III, LLC	Ownership	34.2	SET ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT	00000	45-3137763				Guggenheim Loan Agent, LLC	DEDE.	NIA	GLAC LV Holdings, LLC	Ownership	100.0	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Hesa GSTF V, LLC	JЛЕ	NIA	GLAC LV Holdings, LLC	Ownership	100.0	SEL ESOT	NU	
00000	Commons Enterprises, Inc. ESUI.	00000					Kerauno Holdings, LLC	ININ.	NIA	Hesa GSTF V, LLC	Ownership	34.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Advanced Software, LLC K Launch, LLC	II N	NIA NIA	Kerauno Holdings, LLCAdvanced Software, LLC	Ownership	100.0	SEL ESOT	NO . NO	
00000	Sammone Enterprises, IIIC. ESUL	00000					AxiaTP Development, LLC	IN		Kerauno Holdings, LLC	Ownership Ownership	100.0	SEL ESOT	IVU .	
00000	Sammone Enterprises Inc. ESUL	00000					AxiaTP Resources, LLC	IN		Kerauno Holdings, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					BellTech Capital, LLC	DE	NIA	Kerauno Holdings, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, IIIC. ESUI.	00000					Venice GSTF I. LLC	DE	NIA	GLAC LV Holdings, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-5289665				GFMH. LLC	DE	NIA	GPFT Holdco, LLC	Ownership	99.8	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	30-0852108				Guggenheim Holdco Sub II, LLC	DE DE		GPFT Holdco, LLC	Ownership	100 0	SEI ESOT	NO .	
30000	- Commono Enterprisos, 1116. E001.	00000	0002100				Guggenheim Alternative Asset				0 milor on ip		02. 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	01-0625839				Management, LLC	DE	NIA	GPFT Holdco, LLC	Ownership.	100 0	SEI ESOT	NO	
	' '								1	Guggenheim Alternative Asset	1				
00000	Sammons Enterprises, Inc. ESOT.	00000	55-0787375				Guggenheim Management, LLC	DE	NIA	Management, LLC	Ownership	100.0	SEI ESOT	NO NO	
										,					

1	2	3	4	5	6	7 Name of	8	9	10	11	12 Type of Control	13	14	15	16
						Securities					(Ownership.				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
_		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group Code	Group Name	Company Code	ID Number	Federal RSSD	CIK	Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	Domiciliary Location	Reporting Entity	Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact, Influence, Other)	Provide	Ultimate Controlling Entity(ies)/Person(s)	Required? (Yes/No)	*
Code	Group Name	Code	Number	RSSD	CIK	international)	Of Affiliates	Location	Enuty	Guagenheim Alternative Asset	iniluence, Other)	Percentage	Enuty(les)/Person(s)	(Tes/No)	
00000	Sammons Enterprises, Inc. ESOT.	00000	47 - 0877775				Guggenheim Advisors, LLC	DE	NIA	Management, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000					GPČ LIX, LLC	DE	NIA	Guggenheim Advisors, LLC	Ownership	100.0	SEI ESOT	NO	
			0.4.0504000				Guggenheim Portfolio Company	55					051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	81-0561623	-			VII, LLCGuggenheim Portfolio Company X,	DE	NIA	Guggenheim Advisors, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	47 - 0877754				ITTO COMPANY X,	DE	NIA	Guggenheim Advisors, LLC	Ownership	100 0	SEI ESOT	NO	
00000	Zammono Zinto. pr. 1000 ; 1110 1 Zuu						Guggenheim Real Estate, LLC			Guggenheim Partners	p		02. 200		
							(fka Guggenheim Commercial			Investment Management					
00000	Sammons Enterprises, Inc. ESOT_	00000	45-2348325				Restate Finance, LLC)	DE	NIA	Holdings, LLC	Ownership	100.0	SEI ESOT	N0	
							Guggenheim Real Estate (CA), Inc. (fka Guggenheim Real								
							Estate (CA), Inc. (fka			Guggenheim Real Estate, LLC					
							Guggenheim Commercial Real			(fka Guggenheim Commercial					
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0459105				Estate Finance (CA), Inc.)	DE	NIA	Restate Finance, LLC)	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	26-3419734				Guggenheim Partners India Holdings, LLC	DE	NIA	GPFT Holdco, LLC	Ownership.	100.0	SEI ESOT	NO	
00000	Saminons Enterprises, Inc. Eson.	00000	20-3419734	-			Guggenheim Partners India GP,	VL	N I A	Guggenheim Partners India	Ownersinp	100.0	OL1 LOUI		
00000	Sammons Enterprises, Inc. ESOT.	00000	26-3553951				LLC	DE	NIA	Holdings, LLC	Ownership	33.3	SEI ESOT	NO	
	' '						Thomas Weisel India Opportunity			Guggenheim Partners India GP,	·				
00000	Sammons Enterprises, Inc. ESOT.	00000	20-5637417				Fund, L.P.	DE	NIA	LLC	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-0635974				GP India Opportunities Feeder Fund, LP	CYM	NIA	Guggenheim Partners India GP,	Management		SEI ESOT	NO	
00000	odinilons Enterprises, me. 2001.	00000	30-0033314				Guggenheim Partners India			Guggenheim Partners India	managomorrt		OL1 L001		
00000	Sammons Enterprises, Inc. ESOT.	00000	26-3553827				Management, LLC	DE	NIA	Holdings, LLC	Ownership	100.0	SET ESOT	NO	
							Guggenheim Capital Management			Guggenheim Partners India			051 5007		
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	98-0602518 13-4196055	-			(Asia) Private Limited Nominee Holding Company, LLC	IND DE	NIA NIA	Holdings, LLCGPFT Holdco, LLC.	Ownership Ownership	100.0	SEI ESOTSEI ESOT	NO	
00000	Samillons Enterprises, Inc. ESOI.	00000	13-4190000	-			Channel Capital Group Holdings,	⊅⊏	N I A	BEET HOTOCO, LLC	Ownersinp	100.0) SET ESUT	.	
00000	Sammons Enterprises, Inc. ESOT.	00000	45-2809805				LLC	DE	NIA	Nominee Holding Company, LLC	Ownership	38.3	SEI ESOT	NO	
	·									Channel Capital Group	·				
00000	Sammons Enterprises, Inc. ESOT.	00000					Channel Capital Group LLC	DE	NIA	Holdings, LLC.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					ACEI Holdco, LLCGI Holdco II LLC.	DE DE.	NIANIA	GPFT Holdco, LLC	Ownership Ownership	100.0	SEI ESOT	N0 N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	47 - 2601294				GI Holdco II Sub LLC	DE		GI Holdco II LLC	Ownership	100.0	SET ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000					GI Holdco LLC	DE	NIA	GI Holdco II LLC	Ownership	100.0	SET ESOT	N0	
00000	0	00000	07 4500070				Guggenheim Partners Investment	D E	NI A				051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 1566073	-			Management Holdings, LLC Guggenheim Partners Investment	DE	NIA	GI Holdco LLC	Ownership	99.5	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 1566073				Management Holdings, LLC	DE	NIA	GMI GPIMH, LLC	Management		SEI ESOT	NO	
										Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	35-2476100				AT Funding Note Issuer, LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	Commons Enternalisms Inc. FOOT	00000	45 0740050				Internet Dedic Funding 110	חר	ALL A	Guggenheim Partners	Managanan		SEI ESOT	NO.	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU	45-2746953				Internet Radio Funding, LLC	DE	NIA	Investment Management, LLC Guggenheim Partners	ımanagement		2E1 E201	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-1008179				Internet Radio Funding II, LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO NO	
	·						_			Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					AECORN, LLC.	DE	NIA	Investment Management, LLC	Management	ļ	SEI ESOT	N0	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of Securities					Type of Control (Ownership,				
						Exchange if			Relationship		Board.	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary	Reporting	Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	*
00000		00000					5 1: 110	DE		Guggenheim Partners			051 5007	l No	
00000	Sammons Enterprises, Inc. ESOT_	00000					Campa Funding LLC	DE	NIA		Management		SEI ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT	00000	81-3818222				CSCN LLC.	DE.		Guggenheim Partners Investment Management, LLC	Managamant		SEI ESOT	NO.	
00000	oaiiiiioiis Litterprises, iiic. Looi.	00000	01-3010222				COOK LLO			Guggenheim Partners	management		OLI LOUI		
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2281178				ELM 2 MM Funding LLC	DE		Investment Management, LLC	Ownership	100.0	SEI ESOT	NO	
	·									Guggenheim Partners	i i			1	
00000	Sammons Enterprises, Inc. ESOT.	00000					ELM 3 MM Funding LLC	DE	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	0	00000					ELM MM LLC	DE		Guggenheim Partners	O	400.0	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					ELW MW LLG	DE	NIA	Investment Management, LLC Guggenheim Partners	Ownership	100.0	SET ESUI	. NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					ETCO C-B LLC	DE	N I A	Investment Management, LLC	Management		SEL ESOT	NO	
00000	odifficities Effect pri 1363, Tric. E001.	00000					L100 0-B LL0			Guggenheim Partners	I mariagomort		061 6001		
00000	Sammons Enterprises, Inc. ESOT.	00000					ETCO C-G LLC.	DE		Investment Management, LLC	Management		SEI ESOT	NO .	
	' '									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					ETMF C-B LLC	DE		Investment Management, LLC	Management		SEI ESOT	NO .	
00000	Commons Fatauraises Inc. FCOT	00000					ETMF C-G LLC	DE	NIA	Guggenheim Partners	Managanan		SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					EIWF 0-6 LL0	DE		Investment Management, LLC Guggenheim Partners	management		SET ESUI	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Fitzcarraldo Funding LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					ETGP-AMZ 1 Venture. LP	DE	NIA		Ownership.	97.0	SET ESOT	NO NO	
	·									Guggenheim Partners	'				
00000	Sammons Enterprises, Inc. ESOT.	00000					G-Craton MM LLC	DE			Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					G-Craton Holdings LLC	DE		G-Craton MM_LLC	Management		SEI ESOT	. NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000					G-Ficus MM LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					G-Ficus Holdings LLC	DE		G-Ficus MM LLC	Management		SET ESOT	NO . NO	
00000	Cammons Enterprises, me. 2001.	00000					10-1 1003 Hordrings ELO			Guagenheim Partners	I managomort		061 6001		
00000	Sammons Enterprises, Inc. ESOT.	00000					G-One MM LLC.	DE		Investment Management, LLC	Ownership	100.0	SET ESOT	NO	
	' '									Guggenheim Partners				1	
00000	Sammons Enterprises, Inc. ESOT.	00000					G-One Holdings LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO .	
00000	Commons Fatauraises Inc. FCOT	00000					ODE ONLD LLC	DE		Guggenheim Partners	Managanan		SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					GPF SMLP, LLC	DE	NIA	Investment Management, LLC Guggenheim Partners	management		SET ESUI	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					G-PIPE MM LLC	DE			Management		SEI ESOT	NO	
	Sammons Enterprises, Inc. ESOT.	00000					G-PIPE LLC.	DE	NIA	G-PIPE MM LLC	Management		SET ESOT	NO	
	' '									Guggenheim Partners	J				
	Sammons Enterprises, Inc. ESOT.	00000					G-Prairie MM_LLC	DE	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT_	00000	87 - 4088 107	[G-Prairie LLC	DE			Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					G-PUFF MM LLC	DE		Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESUI.	00000		[IG-PUFF NIM LLC	DEDE	NIA NIA	G-PUFF MM LLC	Management Management		ISET ESUT		
00000	Commons Enterprises, Inc. Edul.	00000		1			0 1 011 EE0	JL		Guggenheim Partners	maragomort		OL1 L001		
	Sammons Enterprises, Inc. ESOT.						G-PUFF 2 MM LLC.	DE	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	NO .	
	Sammons Enterprises, Inc. ESOT.		87 - 3742773				G-PUFF 2 LLC	DE	NIA	G-PUFF 2 MM LLC	Management		SEI ESOT	NO .	

				_											
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities			Deletionship		(Ownership, Board.	If Control is		Is an SCA	
		NAIC				Exchange if Publicly	Names of		Relationship to		Management.	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)			Entity(ies)/Person(s)		*
	2 2 3 7				-	,				Guggenheim Partners	, , , , , , , , , , , , , , , , , , , ,		3,(33)		
										Opportunistic Investment					
										Grade Securities Offshore					
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3882697				Rosales Funding LLC	DE	NIA	Master Fund, Ltd	Ownership	17.0	SEI ESOT	N0	
00000		00000						55		Guggenheim Partners	l.,		051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000					Molly Aida Funding LLC ETGP-AMZ 2 Venture. LP	DE DE	N I A	Investment Management, LLC Molly Aida Funding LLC	Management	07.0	SEI ESOTSEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					EIGP-AMZ Z Venture, LP	DE	N I A	Guggenheim Partners	Ownership	97.0	SET ESUT		
00000	Sammons Enterprises, Inc. ESOT.	00000					Orinoco Fundina LLC	DE	NIA	Investment Management. LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3882697	1			Rosales Funding LLC.	DE	NIA		Ownership	76.5	SET ESOT	NO NO	
00000	Zammone Zinterprieses, mer Zesi.	00000	01 0002007							Guggenheim Partners	0 11101 0111 p		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3882697				Rosales Funding LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT	NO	
	' '									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3904333				Rosales Holdco LLC	DE	NIA		Management		SEI ESOT	N0	
										Guggenheim Partners					
										Opportunistic Investment					
00000	Sammons Enterprises, Inc. ESOT	00000	84-3888862				Ulmus Funding LLC	NE NE	NIA	Grade Securities Offshore Master Fund. Ltd.	Ownership	17 0	SEI ESOT	NO	
	Sammons Enterprises, Inc. ESOT.		84-3888862	-			Ulmus Funding LLC	DE DE	NIA		Ownership		SET ESOT		
00000	Sammons Litterprises, mc. Loui-	00000	. 04-3000002	-			Offilias Failaring ELC			Guggenheim Partners	Ownersinp		OL1 L301	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3888862				Ulmus Funding LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
										Guggenheim Partners	3				
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3925515				Ulmus Holdco LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
										Guggenheim Partners					
			00 0404070							Investment Management		04.7	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0421378	-			GC Orpheus Investors, LLC	DE DE	NIA	Holdings, LLC.	Ownership	21.7	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0421378	-			GC Orpheus Investors, LLC	DE	NIA		Management		SEI ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000					GIES Managing Member, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEL ESOT	NO	
00000	Janimons Enterprises, Inc. Edon.	00000		1			TOTES managing member, ELC	DL		Guggenheim Partners	Owner sirrp	100.0	OL1 L001		
00000	Sammons Enterprises, Inc. ESOT.	00000	84 - 1777067				GIES Investment, LLC	DE	NIA		Management		SET ESOT.	NO	
	' '									Guggenheim Partners					
	Sammons Enterprises, Inc. ESOT.		83-3766718				GIES Holdco, LLC	DE	NIA		Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	83-3780564				GIES, LLC	DE	NIA	GIES Holdco, LLC.	Ownership	89.8	SEI ESOT	N0	
			00 0700504				0.500			Guggenheim Partners			051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	83-3780564	-			GIES, LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	N0	
										Guggenheim Partners Investment Management					
00000	Sammons Enterprises, Inc. ESOT.	00000	38-3975034				GPIMH Sub, LLC	DE	NIA	Holdings, LLC	Ownership	100 0	SEI ESOT.	NO	
00000	Commons Enterprises, Inc. Edul.	00000		1			Or Timil Out, LEO	⊐u∟		Guggenheim Partners	οπιιοι σιτιμ	100.0	OL1 LOV1		
										Investment Management					
00000	Sammons Enterprises, Inc. ESOT.	00000	38-4089233				GPIMH Sub Holdco, Inc	DE	NIA	Holdings, LLC	Ownership	100.0	SEI ESOT	NO	
							Guggenheim Partners Europe								
00000	Sammons Enterprises, Inc. ESOT.	00000	98-0530847				Limited	IRL	NIA	GPIMH Sub Holdco, Inc	Ownership	100.0	SEI ESOT	NO	
							Guggenheim Partners Fund		l			400.5	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000		4			Management (Europe) Limited	IRL	NIA	GPIMH Sub Holdco, Inc	Ownership	100.0	SEI ESOT	.[N0]	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities			L		(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
0		NAIC	ID	Federal		Publicly	Names of Parent, Subsidiaries	D	to	Discouling Constantille of his	Management,	Ownership	Lukiasaka Osakasuliasa	Filing	
Group Code	Group Name	Company Code	Number	RSSD	CIK	Traded (U.S. or International)	Or Affiliates	Domiciliary Location		Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact,	Provide	Ultimate Controlling Entity(ies)/Person(s)	Required?	*
Code	Group Name	Code	Number	ROOD	CIK	international)	Of Allillates	Location	Littity	Guggenheim Partners	militaerice, Other)	reiceillage	Litting (les)/Ferson(s)	(165/140)	
							Guggenheim Partners Investment			Investment Management					
00000	Sammons Enterprises, Inc. ESOT	00000	80-0644053				Management, LLC	DE	N I A	Holdings, LLC	Ownership	99.9	SEI ESOT.	NO	
							Guggenheim Partners Investment			_					
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 1566073				Management, LLC	DE	NIA	GMI GPIM, LLC	Management		SET ESOT	NO	
	' '									Guagenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	35-2476386				. I-SAIL, LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT	NO	
										Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					SAIL 4 VFN NOTE ISSUER, LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000		00000	00 0000704					DE		Guggenheim Partners	 .		051 5007	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0980791	-			SAILS-3, LLC	. DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					MERLIN Series 2015-A LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Managamant		SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOI.	00000					INVENTIN SELLES 2015-A LLC		N I A	Guggenheim Partners	l Wariayellierit		SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					MERLIN Series 2015-B LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	cammons Enterprises, me. 2001.	00000					MERETY GOTTOS 2010 B EEG			Guggenheim Partners	managomorrt		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000					MERLIN Series 2015-C LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT	NO	
										Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					REST, LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT	NO	
	,									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					SAILES 4-0, LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
							L			Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					Corio Funding, LLC	DE		Investment Management, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Corio RR Funding, LLC Corio RR Finance Co., LLC	DEDE	NIA NIA	Corio Funding, LLCCorio RR Funding, LLC	Ownership Ownership	100.0	SEI ESOTSEI ESOT	N0 N0	
	Sammons Enterprises, Inc. ESOT.	00000					Corio RR Finance Co., LLC	DE		Corio RR Funding, LLC	Ownership	100.0	SEL ESOT	NO	
00000	Janinons Enterprises, Inc. Loui.	00000					Tool to KK I mance co2, LLc		N 1 A	Guagenheim Partners	ownersinp	100.0	OLI LOUI	INU	
							Guggenheim Partners Advisors,			Investment Management					
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0489340				LLC	DE	NIA		Ownership	100.0	SEI ESOT	NO	
	'									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 1566193				.GPAM Holdings II, LLC	DE	NIA	Investment Management, LLC	Ownership	100.0	SET ESOT	NO	
	·						Guggenheim Partners			-					
			<u>-</u>				Opportunistic Investment Grade								
00000	Sammons Enterprises, Inc. ESOT.	00000	98-0598461				Securities Master Fund, Ltd	CYM	NIA		Ownership	100.0	SEI ESOT	N0	
									1	Guggenheim Partners					
			1						1	Opportunistic Investment Grade Securities Master Fund,					
00000	Sammons Enterprises, Inc. ESOT	00000					ETUR C-G LLC	DE	NIA	Ltd.	Ownership	100.0	SEI ESOT.	NO.	
00000	Cammons Enterprises, Inc. ESOI.	00000								Guggenheim Partners	Omino 1 9111 P	100.0	OL1 LOUI		
00000	Sammons Enterprises, Inc. ESOT.	00000					ETUR C-G LLC	DE.	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
	·]						1	Guagenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	84-5172462				ETUR C-G 2 LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT	NO	
	·									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					ETUR C-B LLCNZCG Feeder 1, L.P	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					NZCG Feeder 1, L.P	CYM	NIA		Management		SEI ESOT	NO	
00000	Commons Enternalisms Inc. 5007	00000	1				CDIM Haldings VIII 14d	CVM	NI A	Guggenheim Partners	O	100.0	051 5001	NO.	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU					GPIM Holdings VIII, Ltd	. UYM	N I A	Investment Management, LLC	ownersnip	100.0	SEI ESOT	NU	

1	2	3	4	5	6	7 Name of Securities	8	9	10	11	12 Type of Control (Ownership.	13	14	15	16
Group		NAIC Company	ID	Federal		Exchange if Publicly Traded (U.S. or	Names of Parent. Subsidiaries	Domiciliary	Relationship to Reporting	Directly Controlled by	Board, Management, Attorney-in-Fact,	If Control is Ownership Provide	Ultimate Controlling	Is an SCA Filing Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)		Entity(ies)/Person(s)	(Yes/No)	*
	·					,				Guggenheim Partners		Ť	, , , , , ,		
00000	Commons Fatouraises Inc. FCOT	00000					Guggenheim Global Investments	IRI	NII A	Investment Management	O	100.0	SEI ESOT	NO.	
00000	. Sammons Enterprises, Inc. ESOT.	00000		-			Public Limited Company	IKL	NIA	Holdings, LLC Guggenheim Partners Fund	Ownership	100.0	SEI ESUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Public Limited Company	IRL	NIA	Management (Europe) Limited	Management		SEI ESOT	NO	
	' '						Guggenheim Partners								
							Opportunistic Investment Grade Securities Private Pensions			Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT	00000	37 - 1759695				Fund. LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT.	NO	
00000							Guggenheim Partners						02. 200.		
00000		00000	00 0450770				Opportunistic Investment Grade	DE		Guggenheim Partners	l.,		051 5007	NO	
00000	. Sammons Enterprises, Inc. ESOT.	00000	32-0450778	-			Securities Fund 2015, LLC Guggenheim Partners	DE	N I A	Investment Management, LLC	Management		SEI ESOT	NU	
							Opportunistic Investment Grade			Guggenheim Partners					
00000	. Sammons Enterprises, Inc. ESOT.	00000	26 - 2886445				Securities Fund, LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0466249				Guggenheim Private Debt Fund 2.0 Managing Member, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEL ESOT	NO	
00000	Joannions Enterprises, Inc. Esoi.	00000	32-0400249	-			Guggenheim Private Debt Fund	ν⊏	N I A	Guggenheim Private Debt Fund	. Ownerstrip	100.0	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1784155				Note Issuer 2.0, LLC	DE	NIA	2.0 Managing Member, LLC	Management		SET ESOT	N0	
00000		00000	04 0440004				Guggenheim Private Debt Fund	DE		Guggenheim Private Debt Fund	.		051 5007	l uo	
00000	. Sammons Enterprises, Inc. ESOT.	00000	81-3149694	-			2.0-1, LLC Guggenheim Private Debt Fund	DE	N I A	2.0 Managing Member, LLC Guggenheim Private Debt Fund	Management		SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4810710				2.0, LLC	DE	NIA	2.0 Managing Member, LLC	Management		SEI ESOT.	NO	
							Guggenheim Private Debt Fund			Guggenheim Private Debt Fund					
00000	Sammons Enterprises, Inc. ESOT.	00000					2.0, Ltd Guggenheim Private Debt Master	CYM	NIA	2.0 Managing Member, LLC Guggenheim Private Debt Fund	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-2077566				Fund 2.0. LLC	DE	NIA	2.0, Ltd	Ownership	74 6	SEL ESOT	NO	
	' '						Guggenheim Private Debt Master			Guggenheim Private Debt Fund					
00000	. Sammons Enterprises, Inc. ESOT.	00000	81-2077566				Fund 2.0, LLC	DE	NIA	2.0, LLC	Ownership	12.4	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	81-2077566				Guggenheim Private Debt Master Fund 2.0. LLC	DE	NIA	Guggenheim Private Debt Fund	Ownership	13 1	SEL ESOT	NO	
00000							Guggenheim Private Debt Master			Guggenheim Private Debt Fund	0 #1101 5111 p				
00000	. Sammons Enterprises, Inc. ESOT.	00000	81-2077566				Fund 2.0, LLC	DE	NIA	2.0 Managing Member, LLC	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	38-4028256				Guggenheim Private Debt MFLTB	DE	N I A	Guggenheim Private Debt Master Fund 2.0, LLC	Ownership	100 0	SEI ESOT	NO	
00000	Joannions Litterprises, Inc. Loui.	00000	JU-+UZUZJU	-			Guggenheim Private Debt Fund	⊔∟		Guggenheim Partners	O#1161 3111P				
00000	. Sammons Enterprises, Inc. ESOT.	00000					3.0 Managing Member, LLC	DE	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	26-2427966				GW Ocean, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Ownership	E0 0	SEI ESOT	NO	
00000	Joannions Enterprises, Inc. ESUL	00000	ZU - Z4Z1 900	-			IOW OCEAN, LLC	µ⊏	IN I A	Guggenheim Partners	ownersiiip	0.00	DE1 E001	NU	
00000	. Sammons Enterprises, Inc. ESOT.	00000	45-3771739				5180 CL0 LP	DE	NIA		Management		SE1 ES0T	N0	
00000	0	00000	00 0007500				5400 0 010 10	DE	ALL A	Guggenheim Partners			051 5007		
00000	. Sammons Enterprises, Inc. ESOT Sammons Enterprises, Inc. ESOT.		30 - 0887520 06 - 1566500	-			5180-2 CLO LPBingham CDO L.P.	DE	NIA NIA	Investment Management, LLC	Management Management		SEI ESOT	NO NO	
00000		00000	00-1000000				Artemis Aircraft Investments,			Guggenheim Partners	managoniont		OL 1 LOUI		
00000	. Sammons Enterprises, Inc. ESOT.	00000	83-2341207				LLC.	DE	NIA	Investment Management, LLC	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					Copper River CLO Ltd.	CYM	NIA	Guggenheim Partners Investment Management, LLC	Managament		SEI ESOT	NO	
L 00000	Joannions Enterprises, Inc. Eson.	00000		4			Toohhei vivei oro ria.	UIWI	N I A	TITIVES CHEFIL MAHAYEMETT, LLC	ı ınanayenleri i		OLI EOVI	INU	

1	2	3	4	5	6	7 Name of Securities	8	9	10	11	12 Type of Control (Ownership,	13	14	15	16
Group		NAIC Company	ID	Federal		Exchange if Publicly Traded (U.S. or	Names of Parent, Subsidiaries	Domiciliary	Relationship to Reporting	Directly Controlled by	Board, Management, Attorney-in-Fact,	If Control is Ownership Provide	Ultimate Controlling	Is an SCA Filing Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)		Entity(ies)/Person(s)		*
00000	Sammons Enterprises, Inc. ESOT	00000	84-2623504				GIBSL SN. LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOI.	00000	04-2023304				GIBSE SN, ELC	VE	N I A	Guggenheim Partners	management		SEI ESUI	. INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					GIM GP Ltd.	CYM	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	NO	
	_ `									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	45-2943395				GPM-Center Court, LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT		
00000	Sammons Enterprises, Inc. ESOT	00000	80-0935684				Guggenheim High-Yield Fund, LLC.	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT.	NO	
00000	Cammono Enterprisos, me. 2001.	00000	00 000000 1				Guggenheim High-Yield Plus Fund			Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	Commono Enternricos Inc. FCOT	00000					Guggenheim High-Yield Plus Fund	CYM	N I A	Guggenheim Partners Investment Management, LLC	Managamant		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000		-			Guggenheim High-Yield Plus	T IVI	N I A	Guggenheim Partners	. management		SEI ESUI		
00000	Sammons Enterprises, Inc. ESOT.	00000					Master Fund SPC.	CYM	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
l			. <u>-</u>							Guggenheim Partners				ll	
00000	Sammons Enterprises, Inc. ESOT.	00000	45-3336426	-			Guggenheim Investors Fund LLC	DE.	NIA	Investment Management, LLC	Management		SEI ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000	46-2991676				Guggenheim Loan Fund, LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SET ESOT	NO	
			10 200 101 0				Guggenheim NSA Napoli-Mirabella			Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					Trust 2019	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Guggenheim Private Debt Fund Managing Member, LLC	DE	N I A	Guggenheim Partners Investment Management, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOI.	00000					Guggenheim Private Debt Fund,	⊅⊏	N I A	Guggenheim Private Debt Fund	. Ownersinp	100.0	SET ESUT		
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0815983				LLČ	DE	NIA	Managing Member, LLC	Management		SEI ESOT	NO	
00000		00000	00 0074040				Guggenheim Private Debt Master	5.5		Guggenheim Private Debt Fund	.		051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0374613				Fund, LLCGuggenheim Private Debt Fund,	DE.	NIA	Managing Member, LLC Guggenheim Private Debt Fund	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	61-1758762				Ltd.	CYM	NIA	Managing Member, LLC	Management		SEI ESOT	NO	
							Guggenheim Private Debt Fund			Guggenheim Private Debt Fund,					
00000	Sammons Enterprises, Inc. ESOT.	00000	26-2254634				Trinity Investco, LLC	DE	NIA	Ltd	Ownership	100.0	SEI ESOT		
00000	Sammons Enterprises. Inc. ESOT	00000	26-3304818				Guggenheim Private Debt Fund Note Issuer. LLC	DE	NIA	Guggenheim Private Debt Fund Managing Member, LLC	Management		SEL ESOT	NO	
00000	Commons Enterprises, The Econ.	00000	20 0004010	-			Guggenheim Private Debt Fund			Guggenheim Private Debt Fund.	mariagomorre				
00000	Sammons Enterprises, Inc. ESOT.	00000	52-2144923				Throne Investco, LLC	DE	NIA	Ltd	Ownership	100.0	SEI ESOT	NO	
00000	Commono Enternricos Inc 5007	00000	26-0898051				Guggenheim Private Debt Fund Throne Investco. LLC.	DE	NIA	Guggenheim Private Debt Fund	Management		SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0898051				Iron Hill CLO Designated	ИЕ	N I A	Managing Member, LLC Guggenheim Partners Europe	management		SET ESUT	. NU	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1231263				Activity Company	IRL	NIA	Limited	Management		SEI ESOT.	NO	
	' '									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0158747				Kennecott Funding Ltd	CYM	NIA		Management		SET ESOT		
00000	Sammons Enterprises Inc ESAT	00000	20-3577179				AMC Investor Holdings IIC	DF	NIA		Management		SEL ESOT	N∩	
00000	Sammono Enterprisos, Inc. E001.	00000	20 0011110				I Throater hordings ELG			Guggenheim Partners	managomont				
00000	Sammons Enterprises, Inc. ESOT.	00000	84-4071528				Bronco Media Holdings LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000	Commono Enternricos Inc 5007	00000					Drange Media MM LLC	DE	NII A		Ownership	100.0	CEL FOOT	NO.	
00000	Sammons Enterprises, Inc. ESUL	00000					PLOUGO MEGIA WWW FFG	VE	N I A		ownership	100.0	DE1 E001	. NU	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-4071528				Bronco Media Holdings LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000 00000 00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000 00000 00000	20-0158747 20-3577179 84-4071528				Activity Company	DEDEDE	NIA NIA NIA	Limited Guggenheim Partners Investment Management, LLC Guggenheim Partners Investment Management, LLC Guggenheim Partners Investment Management, LLC Guggenheim Partners Investment Management, LLC Guggenheim Partners Guggenheim Partners	Management Management Management Ownership	100.0	SEI ESOT		NO

1	2	3	4	5	6	7 Name of	8	9	10	11	12 Type of Control	13	14	15	16
						Securities Exchange if			Relationship		(Ownership, Board.	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	, ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	*
00000	Commons Fotonssisses Inc. FCOT	00000	84-4071528				Bronco Media Holdings LLC	DE	NII A	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	04-40/1020				Bronco Media Hordings LLC	D⊑	NIA	Guggenheim Partners	. management		SET ESUI	. NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Hyperscale Funding LLC	DE	NIA		Management		SEI ESOT.	NO NO	
	' '						1			Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	. 85 - 1739830				Hyperscale MM Funding LLC	DE	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					Hypnos C-B LLC	DE	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	NO	
00000	Joannions Enterprises, mc. 2001.	00000							N17	Guggenheim Partners	. management		OL1 LO01		
00000	Sammons Enterprises, Inc. ESOT.	00000					Hypnos C-G LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT	NO	
										Guggenheim Partners	l., .		051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000					Hypnos Funding LLC	DE	NIA	Investment Management, LLC Guggenheim Partners	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					Hypnos Holdco LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
	' ´						1		1	Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					Hypnos MM LLC	DE	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4917915				Magma WCFF II Ltd.	CYM	NIA	Guggenheim Partners Investment Management, LLC	Managamant		SEI ESOT	NO	
00000	Joannillons Enterprises, Inc. ESUL	00000	130-491/913				. Magilia Woff II Ltu	UTIWI	N I A	Guggenheim Partners	. Mariagemerit		SET ESUT	. INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8144136				NZC Guggenheim Fund Limited	CYM	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
	_ ' . '									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	. 80-0586015				NZC Guggenheim Fund LLC	DE		Investment Management, LLC	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	61-1879383				NZC Guggenheim Master Fund Limited	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1473135				BBEP Legacy Holdings, LLC	DE		NZC Guggenheim Fund Limited		100.0	SET ESOT.	NO NO	
										NZC Guggenheim Master Fund					
00000	Sammons Enterprises, Inc. ESOT.	00000	. 77 -0709769				NZCG Funding Ltd	CYM	NIA	Limited	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	. 98-1374616				NZCG Funding LLC	DE	NIA	NZCG Funding Ltd Guggenheim Partners	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-3422885				Orpheus Funding LLC.	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO NO	
	' ´									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.		20-3423059				Orpheus Holdings LLC	DEDE	NIA	Investment Management, LLC	Management	44.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU			[Lionel Investors LLC	JE	NIA	Orpheus Holdings LLCGuggenheim Partners	Ownership	44.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000]		l		Sands Point Funding Ltd	CYM	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
	' · · ·									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1252874				Seven Sticks CLO Ltd	CYM	NIA	Investment Management, LLC	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					Stellar Funding, Ltd.	CYM	NIA	Guggenheim Partners Investment Management, LLC	Management		SEI ESOT	NO	
00000	, odininolis Enterprises, IIIc. E301.	00000	1	1			Lotorial Fulluring, Ltd	VIW	NIN	Guggenheim Partners	manayoniont		OLI LUVI	١٧٠	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-5183558				Promenade-C 2 LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
00000		00000	04.0040004					55		Guggenheim Partners			051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	. 84-2646081	[Promenade Funding LLC	DE	NIA	Investment Management, LLC Guggenheim Partners	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-2787671		1		Promenade Holdco LLC	DE	NIA	Investment Management, LLC	Management		SET ESOT	NO	
	' · · ·		1						1	Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000					Promenade MM LLC	DE	NIA	Investment Management, LLC	Ownership	100.0	SEI ESOT	N0	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
0		NAIC	ID.	Federal		Publicly	Names of	D ! - !!!	to	Discoult. Constrail and but	Management,	Ownership	Lukiasaka Osakasuliasa	Filing	
Group Code	Group Name	Company Code	lD Number	RSSD	CIK	Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	Domiciliary Location	Reporting Entity	Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact, Influence, Other)	Provide	Ultimate Controlling Entity(ies)/Person(s)	Required? (Yes/No)	*
Code	Group Name	Code	Nullibei	NOOD	CIK	international)	Of Allillates	LUCATION	Littly	Guggenheim Partners	militaerice, Other)	reiceillage	Litting (les)/Ferson(s)	(165/140)	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-1020107				SAIL 4 Finance. LLC	DE	NIA	Investment Management, LLC	Management		SEI ESOT	NO	
	Table Tabl	00000	1020101							Guggenheim Partners	anagomorre				
00000	Sammons Enterprises, Inc. ESOT.	00000	80-0955343				CardCash Holdings, LLC	DE	NIA		Management		SET ESOT	NO	
	·									Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT.	00000	47 - 3809007				Guggenheim Core Plus Fund, LLC	DE	NIA		Management		SET ESOT	NO	
										Guggenheim Partners					
00000	Sammons Enterprises, Inc. ESOT	00000	80-0782975				Guggenheim Funds Services, LLC	DE	NIA	Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUL	00000	00-0702975				Guggenheim Corporate Funding.	ΣΕ	N I A	Guagenheim Funds Services.	Ownership	100.0	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0399374				III C	DE	NIA	LLC	Ownership	100.0	SET ESOT	NO	
00000	Joannions Enterprises, me. 2001.	00000	20-000001 4				LLO			Guggenheim Corporate Funding,	0 #1101 3111 p	100.0	OL1 L001		
00000	Sammons Enterprises, Inc. ESOT.	00000					Corio RR Finance-3, LLC	DE	NIA	LLC.	Ownership	100.0	SET ESOT	NO	
							i '			Guggenheim Corporate Funding,					
00000	Sammons Enterprises, Inc. ESOT.	00000					Corio RR Finance-4, LLC	DE	NIA	LLC	Ownership	100.0	SET ESOT	NO	
										Guggenheim Corporate Funding,					
00000	Sammons Enterprises, Inc. ESOT	00000	85-3770846				G-Covestre Capital Holdings LLC.	DE	NIA	LLC	Management		SEI ESOT	NO	
00000	0	00000	05 0744040				0		NII A	G-Covestre Capital Holdings	0	400.0	051 5007	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	85-3744049 86-1333892				Covestre Capital Holdings LLC Covestre Capital PropCo-1 LLC	DE DE	NIA NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESUL	00000	86 - 1350310				Covestre Capital PropCo-1 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NOI	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	86 - 1378493				Covestre Capital PropCo-3 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO NO	
00000	Sammons Enterprises. Inc. ESOT.	00000	86 - 1416532				Covestre Capital PropCo-4 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO	
00000	ISammons Enterprises. Inc. ESULI	00000					Covestre Capital PropCo-5 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000					Covestre Capital PropCo-6 LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	ISEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-7 LLC	DE	NIA	Covestre Capital Holdings LLC			SEI ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-8 LLC	DE	N I A	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-9 LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT		
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-10 LLC Covestre Capital PropCo-11 LLC	DE DE	NIA NIA	Covestre Capital Holdings LLC. Covestre Capital Holdings LLC.		100.0	SET ESOT	NOI	
00000	Sammone Enterprises, Inc. ESOT	00000					Covestre Capital PropCo-12 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-13 LLC	DE	NIA	Covestre Capital Holdings LLC	Ownership	100.0	SET ESOT	NO	
00000	ISammons Enterprises, Inc. ESOTI	00000					Covestre Capital PropCo-14 LLC	DE	NIA	Covestre Capital Holdings LLC	Ownership.	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-15 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-16 LLC	DE	NIA	Covestre Capital Holdings LLC.			SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-17 LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-18 LLC	DE	NI A	Covestre Capital Holdings LLC.		100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-19 LLC	DE	NIA	Covestre Capital Holdings LLC		100.0	SEL ESOT	NU	
00000	Sammons Enterprises, Inc. ESUL Sammons Enterprises, Inc. ESUL	00000					Covestre Capital PropCo-20 LLC Covestre Capital PropCo-21 LLC	DE DE	NIA NIA	Covestre Capital Holdings LLC Covestre Capital Holdings LLC		100.U	SEI ESOT	NU NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	1	1			Covestre Capital PropCo-21 LLC	DE	NIA	Covestre Capital Holdings LLC		100.0	SET ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-23 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO NO	
	Sammons Enterprises. Inc. FSOT	00000					Covestre Capital PropCo-24 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-25 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-26 LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SET ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000		.			Covestre Capital PropCo-27 LLC	DE	NIA	Covestre Capital Holdings LLC.		100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.			-			Covestre Capital PropCo-28 LLC	DE	NIA	Covestre Capital Holdings LLC		100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT	00000					Covestre Capital PropCo-29 LLC	DE	NIA	Covestre Capital Holdings LLC	_uwnership	100.0	SEI ESOT	.[NO]	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)		Percentage	Entity(ies)/Person(s)	(Yes/No)	*
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-30 LLC	DE		Covestre Capital Holdings LLC.		100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-31 LLC	DE	N A	Covestre Capital Holdings LLC	Ownership	100.0	SEI ESOTSEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUL	00000					Covestre Capital PropCo-32 LLC	DE	NIA	Covestre Capital Holdings LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-33 LLC	DE	NIA	Covestre Capital Holdings LLC	Ownership	100.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESUL	00000					Covestre Capital PropCo-34 LLC	DE	N A	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-35 LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-36 LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUL	00000					Covestre Capital PropCo-37 LLC	DE	NIA	Covestre Capital Holdings LLC	Ownership	100.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-38 LLC	DE	NIA	Covestre Capital Holdings LLC	Ownership	100.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-39 LLC	DE	NIA	Covestre Capital Holdings LLC	Ownership	100.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital PropCo-40 LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Covestre Capital LLC	DE	NIA	Covestre Capital Holdings LLC.	Ownership	100.0	SEI ESOT	N0	
			0.4.4004070				Guggenheim Funds Investment			Guggenheim Funds Services,		400.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	84-1631676				Advisors, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
00000		00000	00 0000044				Guggenheim Investor Services,	DE		Guggenheim Funds Services,		400.0	051 5007	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	30-0003311				LLC	DE	NIA	[LLC	Ownership	100.0	SEI ESOT	NU	
00000		00000	10 1175171				Guggenheim Funds Distributors,	DE		Guggenheim Funds Services,		400.0	051 5007	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	48-1175174				LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NU	
			00 4505404							Guggenheim Funds Services,		400.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	83-4525194				GSA, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
										Guggenheim Partners					
			00 0044050							Investment Management		400.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.		26-0614356				Rydex Holdings, LLC	KS		Holdings, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	48-1183041				Security Investors, LLC	KS		Rydex Holdings, LLC	Ownership	100.0	SEI ESOT	NO	
										Guggenheim Partners					
			00 0754700							Investment Management		50.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.		20-0751796				GS Gamma Management, LLC	DE		Holdings, LLC	Ownership	50.0	SEI ESOTSEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0963388				GS Gamma Investments, LLC	JDE	NIA	GS Gamma Management, LLC	Management		SET ESUI	NO	
										Guggenheim Partners					
00000	Commons Enteresises Inc. 500T	00000	74 0000554				CC Commo Advisono IIIC	_{DE}	NI LA	Investment Management	O	F0 0	OF L FOOT	NO.	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU	71-0983554				GS Gamma Advisors, LLC	DE	NIA	Holdings, LLC.	Ownership	50.0	SEI ESOT	NU	
										Guggenheim Partners					
00000	Commons Enterprises Ins FOOT	00000	27 - 1273264				CDAM Holdings Inc	DE	NIA	Investment Management	Ownership	100.0	SEI ESOT	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000	ZI - 1ZI 3Z04	-			GPAM Holdings, Inc			Holdings, LLC	ownersinp	100.0	DE1 E301	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0570314				Cuagophoim Cradit Carvisca 110	DE	NIA	Investment Management Holdings, LLC	Ownership	100.0	SEI ESOT	NO.	
00000	Janimons Enterprises, Inc. ESUL	00000	ZU-UU/US 14				Guggenheim Credit Services, LLC.		N 1 A	Guagenheim Credit Services.	ownersinp	100.0	JULI EUUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0412624				3200 West Twain Holdings LLC	DE	NIA	Todggerine fill Great Services,	Management		SEI ESOT	NO.	
00000	Janiiii0115 Litterpri 1565 , 1116 . E501	00000	JZ =U4 1ZUZ4	-			10200 MEST TWATH HUTUTHYS I LLU	⊒ע		Guggenheim Credit Services,	ımanaycındır		ULI LUVI		
00000	Sammons Enterprises, Inc. ESOT.	00000	80-0922431				AAFT-MSN 29573 Lender LLC	DE	NIA	Tire	Ownership	100.0	SEI ESOT	NO.	
00000	Joannions Enterprises, IIIC. ESUL	00000	00-0322431	-			AMI I-MON 290/3 LUHUUT LLU	DE	IN I A	Guagenheim Credit Services.	Ownersiii p	100.0	JULI EUUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0980027				AAFT-MSN 29574 Lender LLC	DE	NIA	Todygenne in Great Services,	Ownership	100.0	SEI ESOT	NO	
00000	. Joanniona Litterprisea, 1116. Eaut.	00000	JU-UJUUUZ1				ANT I-MON 20014 LEHUET LLC	JL	IN I M	Guggenheim Credit Services,	Owner Sirry	100.0	UL1 LUU1	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	80-0923092				AAFT-MSN 31163 Lender LLC	DE	NIA	III C	Ownership	100 0	SEI ESOT	NO	
00000	, odininona Enterprisea, IIIc. Eaul.	00000	00-0020002				ANTIPHION STIDS EGHUGI ELG	µL		Guggenheim Credit Services,	0#1161 3111 P	100.0	UL1 LUV1	IVU	
00000	Sammons Enterprises, Inc. ESOT.	00000	90-0980606				AAFT-MSN 31167 Lender LLC	DE	NIA	III C	Ownership.	100 0	SEI ESOT	N∩	
_ 00000	Tournions Filterbilises, IIIC. FOOT	00000	JU-UJUUUUU				ANT 1-1110N 31101 LETTUET LLC	J	NIV	LLV	O#1101 3111 P	100.0	OLI LOVI	INU	

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	_				-	Name of					Type of Control				
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filina	
Group		Company	ID	Federal		Traded (U.S. or		Domiciliary	Reporting	Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	*
	·					,				Guggenheim Credit Services,	,		, , , , , , , , ,	<u> </u>	
00000	Sammons Enterprises, Inc. ESOT.	00000	80-0923219				AAFT-MSN 33227 Lender LLC	DE	NIA	LLČ	Ownership	100.0	SET ESOT	N0	
	,									Guggenheim Credit Services,					
00000	Sammons Enterprises, Inc. ESOT.	00000	80-0922330				AAFT-MSN 33229 Lender LLC	DE	NIA	LLČ	Ownership	100.0	SET ESOT	N0	
										Guggenheim Credit Services,				i i	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0409298				AAFT-MSN 33321 Lender LLC	DE	N I A	LLČ	Ownership	100.0	SEI ESOT	NO	
İ	' '								İ	Guggenheim Credit Services,	İ '			i i	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0409425				AAFT-MSN 33486 Lender LLC	DE	NIA	LLČ	Ownership	100.0	SET ESOT	N0	
	,									Guggenheim Credit Services,					
00000	Sammons Enterprises, Inc. ESOT.	00000	35-2477543				Acsal Holdco LLC	DE	NIA	LLĽČ	Management		SEI ESOT	NO	
00000	Sammons Enterprises Inc. ESOT	00000					AAFT-MSN 29573 Holdco LLC	DE	NIA	Acsal Holdco LLC	Ownership	100.0	SET ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 29574 Holdco LLC	DE	NIA	Acsal Holdco LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 31163 Holdco LLC	DE	NIA	Acsal Holdco LLC	.Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 31167 Holdco LLC	DE	NIA	Acsal Holdco LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 33227 Holdco LLC	DE	NIA	Acsal Holdco LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 33229 Holdco LLC	DE	NIA	Acsal Holdco LLC	Ownership	100.0	SET ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 33321 Holdco LLC	DE	NIA	Acsal Holdco LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 33486 Holdco LLC	DE	NIA	Acsal Holdco LLC	Ownership	100.0	SET ESOT.	N0	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 29573 LLC	DE	NIA	AAFT-MSN 29573 Holdco LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 29574 LLC	DE	NIA	AAFT-MSN 29574 Holdco LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 31163 LLC	DE	NIA	AAFT-MSN 31163 Holdco LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 31167 LLC.	DE	NIA	AAFT-MSN 31167 Holdco LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 33227 LLC	DE	NIA	AAFT-MSN 33227 Holdco LLC	Ownership	100.0	SEI ESOT	N0	
00000	ISammons Enterprises, Inc. ESOT	100000					AAFT-MSN 33229 LLC	DE	NIA	AAFT-MSN 33229 Holdco LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 33321 LLC	DE	NIA	AAFT-MSN 33321 Holdco LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					AAFT-MSN 33486 LLC	DE	NIA	AAFT-MSN 33486 Holdco LLC	Ownership	100.0	SET ESOT	N0	
										Guggenheim Credit Services,					
00000	Sammons Enterprises, Inc. ESOT.	00000					Lionel Investors LLC	DE	NIA	LLĽČ	. Management		SEI ESOT	NO	
	·									Guggenheim Partners					
										Investment Management					
00000	Sammons Enterprises, Inc. ESOT.	00000					Guggenheim Energy LLC	DE	NIA	Holdings, LLC	Ownership	100.0	SEI ESOT	N0	
							Guggenheim Energy Opportunities								
00000	Sammons Enterprises, Inc. ESOT.	00000	26-2726468				Fund, LP	DE	NIA	Guggenheim Energy LLC	Management		SEI ESOT	NO	
										Guggenheim Partners					
							Guggenheim Loan Services			Investment Management					
00000	Sammons Enterprises, Inc. ESOT.	00000					Company, LLC	DE	NIA	Holdings, LLC	Ownership	100.0	SEI ESOT	NO	
1		l		1			Guggenheim Loan Services		1	Guggenheim Loan Services					
00000	Sammons Enterprises, Inc. ESOT.	00000	46-2093280				Company, Inc.	CA	NIA	Company, LLC	Ownership	100.0	SEI ESOT	NO	
				1						Guggenheim Partners					
1		l		1			Guggenheim Transparent Value,		1	Investment Management		1			
00000	Sammons Enterprises, Inc. ESOT.	00000	26-3304818	.			LLC	DE	NIA	Holdings, LLC	Ownership	99.5	SEI ESOT	N0	
1		l		1			Guggenheim Transparent Value,		1		l	1			
00000	Sammons Enterprises, Inc. ESOT.	00000	26-3304818	-			LLC	DE	NIA	GPIMH Sub, LLC	Management		SEI ESOT	NO	
1		l		1					1	Guggenheim Transparent Value,					
00000	Sammons Enterprises, Inc. ESOT.	00000	30-0268934				Transparent Value, L.L.C	DE	NIA	LLC.	.Ownership	100.0	SEI ESOT	N0	
							Transparent Value Private								
00000	Sammons Enterprises, Inc. ESOT.	00000					Limited.	IND	NIA	Transparent Value, L.L.C	Ownership	100.0	SET ESOT	N0	
							Guggenheim Partners Advisory								
00000	Sammons Enterprises, Inc. ESOT.	00000	46-0438962				Company	SD	NIA	Guggenheim Partners, LLC	Ownership	1100.0	SET ESOT	N0	

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						Name of					Type of Control				
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or		Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)			Entity(ies)/Person(s)	(Yes/No)	*
00000	Sammons Enterprises, Inc. ESOT.		20 - 5833056				SBTree, Inc.]DE	N! A	Guggenheim Partners, LLC	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	20-1101914				South Blacktree Agency, LLC	DE		SBTree, Inc.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	20-8738804				Links Holdings, Inc.	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUL	00000	52-2144923				Links Holdings LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	52-2144923				Links Holdings LLC	DE		Guggenheim Manager, Inc	Management	400.0	SEI ESOT	N0 .	
00000	Sammons Enterprises, Inc. ESUI.	00000	52-2144448				Guggenheim Securities, LLC	DEDE.	NI A	Links Holdings LLC	Ownership	100.0	SET ESOT		
00000	Sammons Enterprises, Inc. ESOT.	00000	20-5717564				Guggenheim Trust Assets, LLC	DE	NIA	Guggenheim Securities, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Commono Entorprisos Inc. FCOT	00000					Guggenheim Securities International, Ltd.	GBR	NIA	Links Holdings LLC	Ownership.	100.0	SEI ESOT.	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					Guggenheim Partners Japan, Ltd	JPN		Links GPJL Holdco, LLC	Ownership	100.0	SEL ESOT	INU	
00000	Janinons Enterprises, Inc. ESUL	00000					Guggenheim Securities Credit	JFIN	N I A	LITIKS OF JE HOTOCO, ELG	Owner Sirrp	100.0	OE1 E301	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Partners. LLC	DE	NIA	Links Holdings LLC	Ownership	100.0	SET ESOT	NO.	
00000	Janinons Litterprises, Inc. Loui.	00000					Guggenheim Partners Middle East	⊅∟	N I A	LITIKS HOTUTINGS LLG	Owner Sirrp	100.0	OLI LOUI		
00000	Sammons Enterprises, Inc. ESOT.	00000					Limited.	ARE	NIA	Links GKPL Holdco. LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	30-1130409				Links GKPL Holdco. LLC	DE	NIA	Links Holdings LLC	Ownership	100.0	SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	35-2647677				Links GPJL Holdco. LLC	DE		Links Holdings LLC	Ownership		SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	26-0898051				GWM Holdco, LLC.	DE	NIA	Guggenheim Partners, LLC	Ownership		SET ESOT.	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	26 - 0898051				GWM Holdco, LLC.	DE	NIA	Guggenheim Manager, Inc	Management		SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	26-0887580				Asset Consulting Group, LLC	DE	NIA	GWM Holdco, LLC	Ownership	100 0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20 - 4095774				GP Feeder Fund Management, LLC	DE	NIA	GWM Holdco, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1231727				GIA Europe Holdings, Ltd	CYM	NIA	GWM Holdco, LLC.	Ownership	100.0	SET ESOT	NO	
00000	Comment 2.1(0.p. 1000, 1110. 2001.		00 1201121				Guggenheim Investment Advisors			10.000, 220	· · · · · · · · · · · · · · · · · · ·		02.1 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1231263				(Europe) Limited.	GBR	NIA	GIA Europe Holdings, Ltd.	Ownership	100.0	SET ESOT.	NO NO	
							Guggenheim Investment Advisors,]	,				
00000	Sammons Enterprises, Inc. ESOT.	00000	26-2634714				LLČ	DE.	NIA	GWM Holdco, LLC	Ownership.	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0158747				TEK Financial, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	99.5	SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0158747				TEK Financial, LLC	DE	NIA	Guggenheim Manager, Inc	Management		SET ESOT	NO .	
00000	Sammons Enterprises Inc ESOT	00000					PLUS Funding IV, LLC	DE	NIA	TEK Financial, LLC	Management		SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-3577179				GSFI, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	70.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-3577179				GSF1, LLC	DE	NIA	Guggenheim Partners, LLC	Management		SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	41-2218591				JLx3, LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	61.1	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	20-3577179				GSF1, LLC	DE	NIA	JLx3, LLC	Ownership	30.0	SET ESOT	N0 .	
00000	Sammons Enterprises, Inc. ESOT.	00000	74-3170540				Generation Financial Group LLC	DE	NIA	JLx3, LLC	Ownership	50.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	74-3170540				Generation Financial Group LLC	DE	NIA	Guggenheim Partners, LLC	Ownership	50.0	SEI ESOT	NO .	
00005			05 40767:5				LIDEN LLO			Generation Financial Group			051 5007		
00000	Sammons Enterprises, Inc. ESOT.		65-1276716				IPEX LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	N0 .	
00000	Sammons Enterprises, Inc. ESOT.	00000					IPEX Services, LLC	DE	NIA	IPEX LLC	Ownership	100.0	SEI ESOT	. N0 .	
00000	0	00000	07 0040000				Generation Mortgage Company,	0.4	l NILA	OFMIL IIIO	0	400.0	051 5007	1	
00000	Sammons Enterprises, Inc. ESOT		27 - 0016233				LLC.	CA	NIA	GFMH, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU		{			GPH Funding II, LLC.	DE	NIA	Guggenheim Partners, LLC	Ownership	100.0	SEI ESOT		
00000	Commons Fatoursians In: FOOT	00000	07 4004000				Silver Sustainable Solutions	DE	NII A	Metric Finance Holdings III,	O	100.0	SEI ESOT	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1204962 46 - 5629811				Corp. (fka GPH Funding I, LLC)	DEDE.	NIA	Cuggophoim Conital IIC	Ownership	100.0	SELESOT		
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	36-4482919				GPM: Fundrise, LLC Guggenheim Payroll Agent, LLC) DE	NIA NIA	Guggenheim Capital, LLC	Ownership	100.0	ISET ESUT		
00000	Sammons Enterprises, Inc. ESUI.	00000	26-0745813				GC Parent Holdings, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership Ownership		SET ESOT		
00000	Sammons Enterprises, Inc. ESUI.	00000	36-4917915				GRREP Holdings, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership		ISET ESUT	NO	
00000	Sammons Enterprises, Inc. ESUT	00000	36-4917915				GRREP Holdings, LLC	DE	NIA	Guggenheim Manager, Inc	Management	99.3	SEL ESOT		
	Sammons Enterprises, Inc. ESOT.	00000	90-0593190				Cainhoy Land & Timber, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	65.5	SEL ESOT		
	ounmons Litterprises, IIIc. ESUL	00000	JU-0JJJ 13U				oarmioy Lana a rilliber, LLO	ן	1	Too raidiit notulliys, LLG	οπιισι 3111 β	J	OLI LOVI		

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						Name of					Type of Control				
						Securities			L		(Ownership,			l	
						Exchange if			Relationship)	Board,	If Control is		Is an SCA	
		NAIC	15	F. 4		Publicly	Names of	D	to	Bissell Controlled	Management,	Ownership		Filing	
Group Code	Croup Nama	Company Code	ID Number	Federal RSSD	CIK	Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	Domiciliary Location		Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact,	Provide	Ultimate Controlling Entity(ies)/Person(s)		*
00000	Group Name	nnnnn	Number	KSSD	CIK	international)	Seven Sticks, LLC	SC	NIA	Cainhoy Land & Timber, LLC	Ownership	100 0	SET ESOT	(Tes/NO)	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	20-8818434				GFPID, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership	40.0	SET ESOT		
00000	Sammone Enterprises, Inc. ESOT	00000	98-0545986				GFPIC, L.P.	CYM	NIA	GFPID, LLC	Ownership	34.5	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	98-0545987				GGIC, Ltd.	CYM	NIA	GFPIC, L.P.	Ownership	75.6	SET ESOT	NO	
00000	Sammons Enterprises Inc ESOT	00000	00 0040007				GGIC KTI Holdings Ltd	CYM	NIA	GGIC, Ltd.	Ownership	100.0	SET ESOT	NO.	
00000	Sammons Enterprises Inc. ESOT	00000					GFP Green Inc.	CYM.	NIA	GGIC, Ltd.	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	26-1197465				GFP Peru Dunas Holdings. Inc	CYM	NIA	GGIC, Ltd.	Ownership.	100.0	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	20 1101 100				GGIC Greenbacker Funding Ltd	CYM	NIA	GGIC Itd	Ownership	100.0	SEI ESOTSEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC IIP Holdings, L.P.	CYM	NIA	GGIC IIP Holdings Ltd.	Management		SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT	00000					GGIC IIP Holdings, L.P.	CYM	NIA	GGIC, Ltd.	Ownership	85.7	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Franklin Park (Cyprus) Limited	CYP	NIA	GGIC IIP Holdings LP	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					GGIC IIP Holdings Ltd	CYM	NIA	GGIC Itd	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	39-2080411				GGIC Manager, LLC	DE	NIA	GGIC, Ltd	Ownership	100.0	SET ESOT	NO	
							Guggenheim Golf Properties								
00000	Sammons Enterprises, Inc. ESOT.	00000	20-3310881				Investor, LLC.	DE	NIA	GC Parent Holdings, LLC	Ownership	99.5	SET ESOT	NO	
							Guggenheim Golf Properties								
00000	Sammons Enterprises, Inc. ESOT.	00000	20-3310881				Investor, LLC	DE	NIA	Guggenheim Manager, Inc	Management		SEI ESOT	NO	
							Guggenheim GN Fund I, Ltd. (fka			0 1 : 0 16 5 1:					
00000	0	00000	00 4000047				Guggenheim-Nicklaus Fund I,	FI	NIA	Guggenheim Golf Properties	O	00.5	051 5007	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-4669817				Ltd.)	FL	NIA	Investor, LLC	Ownership	89.5	SEI ESOT		
							Guggenheim GN Fund I, Ltd. (fka Guggenheim-Nicklaus Fund I,								
00000	Sammons Enterprises, Inc. ESOT.	00000	20 4660017				Ltd.)	FL	NIA	GN Fund I, LLC	Management		SEI ESOT	NO.	
00000	Saminons Enterprises, inc. ESOL	00000	20-4009017				Ltu.)		N I A	Guggenheim GNP, LLC (fka	. Manayement		. 351 5301		
										Guggenheim Nicklaus Partners.					
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8144058				GNP-BA, LLC	FL	NIA	LLC)	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.		98-0535371				GNP-BA S.R.L.	ARG	NIA	GNP-BA, LLC.	Ownership	95.0	SET ESOT	NO	
00000	Commone Enterprised, me. 2001.	00000	00 000001 1				Guggenheim GNP, LLC (fka			John 1971, 220	0 #1101 0111 p				
							Guggenheim Nicklaus Partners.								
00000	Sammons Enterprises, Inc. ESOT.	00000	20-3160999				LLČ	DE	NIA	GC Parent Holdings, LLC	Ownership	70.0	SET ESOT	NO	
İ	' '						j '			GN Fund I, Ltd. (fka	İ '				
										Guggenheim-Nicklaus Fund I,					
00000	Sammons Enterprises, Inc. ESOT.	00000	20-5737003				GNP-IC, LLC	DE	NIA	Ltd.)	Ownership	100.0	SEI ESOT	NO	
										GN Fund I, Ltd. (fka					
1	<u> </u>									Guggenheim-Nicklaus Fund I,	l				
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8392416				GNP-MR, LLC	ID	NIA	Ltd.)	Ownership	100.0	SEI ESOT	N0	
							Carmocela Investimentos								
00000	Commons Entonosis Inc. 5007	00000					Imobiliarios e Turisticosm	DOT	NI LA	CND MD 110	Owen and it	400.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Unipessoal, Lda	PRT	NIA	GNP-MR, LLC	Ownership	100.0	SET ESUI	NU	
										Guggenheim GNP, LLC (fka Guggenheim Nicklaus Partners,					
00000	Sammons Enterprises, Inc. ESOT.	00000	20-4669663				GN Fund I, LLC	FL	NIA	LLC)	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 1493411				GDP - Pilara Lotes, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership		SET ESUT		
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8716009				GC Pilar Golf Investment, LLC	DE	NIA	GC Parent Holdings, LLC	Ownership		SET ESOT		
00000	Commons Enterprises, Inc. Ecol.	00000	20 0/ 10000				100 1141 0011 11103tillolit, LEU			Guggenheim GNP, LLC (fka	0 milor 3111 p		001 0001		
1										Guggenheim Nicklaus Partners.					
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8144136				Pilar Holdings, LLC	FL	NIA	LLC)	Management		SEI ESOT	NO	
									1	Guggenheim Nicklaus Partners.					
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8144136				Pilar Holdings, LLC	FL	NIA	LLC	Management		SEI ESOT	NO	
•			•	•			•	-	•	•		•	•	-	

1	2	3	4	5	6	7 Name of Securities	8	9	10	11	12 Type of Control (Ownership.	13	14	15	16
		NAIC				Exchange if Publicly	Names of		Relationship to		Board, Management,	If Control is Ownership		Is an SCA Filing	
Group Code	Group Name	Company Code	ID Number	Federal RSSD	CIK	Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	Domiciliary Location	Reporting Entity	Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact, Influence, Other)	Provide	Ultimate Controlling Entity(ies)/Person(s)	Required? (Yes/No)	*
00000	Sammons Enterprises, Inc. ESOT.		Number	KSSD	CIK	international)	To Ledo-MNG. LLC	DE	NIA	GC Pilar Golf Investment, LLC.	Ownership		SEL ESOT	(Tes/No)	
00000	Sammons Enterprises, Inc. ESOT.						Toledo-SLS, LLC Guggenheim Retail Real Estate	DE	NIA	GC Pilar Golf Investment, LLC			SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-2035275				Partners, LLC	DE	NIA	GRREP Holdings, LLC Guggenheim Retail Real Estate	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	80-0586065				Services, LLC	DE	NIA	Partners, LLCGuggenheim Development	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					LLCJava Acquisition Company 0013,	DE	NIA	Services, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-5132465				LLCJava Acquisition Company 0031,	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-3475826				LLC	DE	NIA	Partners, LLCGuggenheim Retail Real Estate	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	85-3718197				JL Ada 1846, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		85-1946518				JL Bedford 1458, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 2667641				JL Bee Cave 1559, LLC	DE	NIA	Partners, LLC	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	85-4816890				JL Beloit 1365, LLC	DE	NIA	Partners, LLC	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		87 - 1498116				JL Bolivar 1575, LLC	DE	NIA	Partners, LLCGuggenheim Retail Real Estate	Ownership			NO	
00000	Sammons Enterprises, Inc. ESOT.		86-2945532				JL Brownsville 1524, LLC	DE	NIA	Partners, LLCGuggenheim Retail Real Estate	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		85-3451003				JL Cape Girardeau 1502, LLC	DE	NIA	Partners, LLCGuggenheim Retail Real Estate	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		86-3982939				JL Centerton 1337, LLC	DE	NIA	Partners, LLC Guggenheim Retail Real Estate			SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		86-1489005				JL Chattanooga 1491, LLC	DE	NIA	Partners, LLC	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		85-3435259				JL Chickasha 1476, LLC	DE	NIA	Partners, LLC	Ownership			NO	
00000	Sammons Enterprises, Inc. ESOT.		85-3119460				JL Corpus Christi 1454, LLC	DE	NIA	Partners, LLC Guggenheim Retail Real Estate			SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		85 - 2959604 84 - 3735577				JL Cortlandville 1462, LLC	DE	NIA	Partners, LLC			SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.		87 - 1786425				JL Delavan 1363, LLC JL El Paso 1537, LLC	DE DE	NIA	Partners, LLC	Ownership Ownership		SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESUL.		86-3646562				JJL EI Paso 1537, LLC	DE	NIA	Guggenheim Retail Real Estate Partners. LLC			SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESUL.		87 - 2272008				JL EI Paso 1542, LLC	DE	NIA	Guggenheim Retail Real Estate Partners. LLC	Ownership			NO	
	Sammons Enterprises, Inc. ESUL.		85-1657665				IJL EVANSVIIIe 1459. LLC	DE	NIA	Guggenheim Retail Real Estate	Ownership		SEI ESOT	NO NO	
	Sammons Enterprises, Inc. ESUI.		86-3646562				JL Fayetteville 1336, LLC	DE	NIA	Guggenheim Retail Real Estate Partners, LLC	Ownership		SEI ESOT	NIO	
00000	Commons Litterprisos, Inc. Loui.	00000	00 0070002	I			10L 1 ayottoviiio 1000, LLO	I	PU//	ur thors, LLU	O#11013111P	100.0	TOL: LOUI		

												1			
1 1	2	3	4	5	6	7 Name of	8	9	10	11	12 Type of Control	13	14	15	16
						Securities					(Ownership,				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
Group		NAIC Company	ID	Federal		Publicly Traded (U.S. or	Names of Parent. Subsidiaries	Domiciliary	to Reporting	Directly Controlled by	Management, Attorney-in-Fact,	Ownership Provide	Ultimate Controlling	Filing Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)		Entity(ies)/Person(s)		*
						•				Guggenheim Retail Real Estate					
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 3244620				JL Flowood 1515, LLC	DE	NIA	Partners, LLC Guggenheim Retail Real Estate	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-2880223				JL Fort Oglethorpe 1492, LLC	DE	NIA	Partners, LLC	Ownership.	100.0	SEI ESOT	NO	
	' '						, ,			Guggenheim Retail Real Estate					
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2951317				JL Fort Worth 834, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3839771				JL Fort Worth 1393. LLC	DE	NIA	Partners. LLC	Ownership.	100.0	SEI ESOT	NO	
	_ ' . '						l., <u>-</u>			Guggenheim Retail Real Estate	l'				
00000	Sammons Enterprises, Inc. ESOT.	00000	85 - 1959322				JL Frankfort 1460, LLC	DE.	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-4966066				JL Georgetown 1407, LLC	DE	NIA	Partners. LLC	Ownership	100.0	SET ESOT	NO	
	·									Guggenheim Retail Real Estate					
00000	Sammons Enterprises, Inc. ESOT.	00000	85 - 1359820				JL Jeffersonville 1440, LLC	DE	N I A	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 27 16364				JL Kyle 1338, LLC	DE	N I A	Partners, LLC	Ownership	100.0	SET ESOT	NO	
	·		05 0000040							Guggenheim Retail Real Estate		400.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2620816				JL Lake Hallie 1434, LLC	DE.	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-2960302				JL Lexington 1436, LLC	DE	NIA	Partners. LLC	Ownership	100.0	SEI ESOT	NO	
	·									Guggenheim Retail Real Estate	ļ'			ll	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-2897054				JL Little Rock 1489, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-3242971				JL Louisville 1437, LLC	DE	N I A	Partners, LLC	Ownership	100.0	SEI ESOT	NO	
	·		05 0455050				, , , , , , , , , , , , , , , , , , ,			Guggenheim Retail Real Estate		400.0	051 5007	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2455259				JL Machesney Park 1388, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3705866				JL Manitowoc 1257, LLC	DE	NIA	Partners, LLC.	Ownership	100.0	SEI ESOT	NO	
00000		00000	07.0740455					DE		Guggenheim Retail Real Estate		400.0	051 5007	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 3742155				JL Manvel 1255, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1443824				JL Marion 1499, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Commons Enterprises Inc ECOT	00000	86-3930852				JL Mason 1523, LLC	DE	NIA	Guggenheim Retail Real Estate Partners, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	00-3930032				JL WaSON 1323, LLG		N I A	Guggenheim Retail Real Estate		100.0	SET ESUT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 2994079				JL McAlester 1490, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1696406				JL Mobile 1536. LLC	DE.	NIA	Guggenheim Retail Real Estate Partners. LLC	Ownership	100.0	SEI ESOT	NO	
00000	oaninons Litterprises, Inc. ESUL	00000	01 - 1090400							Guggenheim Retail Real Estate	οωιισι 2111h			INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2356877				JL New Hartford 1463, LLC	DE	NIA	Partners, LLC.	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2710328				JL Nixa 1386, LLC	DE	NIA	Guggenheim Retail Real Estate Partners. LLC	Ownership	100 0	SEI ESOT	NO	
00000	Commons Litterprises, IIIc. ESUI.	00000	00-21 10020				NIA 1300, LLU			Guggenheim Retail Real Estate	οπιισι 3ιτιμ			INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-3935205				JL Normal 1457, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1630968				JL Oconomowoc 1513. LLC	DE	NIA	Guggenheim Retail Real Estate Partners. LLC	Ownership	100.0	SEI ESOT	NO	
	·									Guggenheim Retail Real Estate	οπιιστοιτιμ				
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1005370				JL Oshkosh 1465, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of Securities					Type of Control (Ownership.				
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	*
00000	Sammons Enterprises, Inc. ESOT.	00000	85-2698206				JL Owensboro 1461. LLC	DE	NIA	Guggenheim Retail Real Estate Partners, LLC	Ownership.	100.0	SEI ESOT	NO	
00000	Sammons Litterprises, Inc. Loui.	00000	03-2090200	-			. JL 0WellSD010 1401, LL0			Guggenheim Retail Real Estate	Ownersinp	100.0	OL1 LOUI	INO	
00000	Sammons Enterprises, Inc. ESOT	00000	86 - 2866544				JL Pharr 1482, LLC	DE	NIA	Partners, LLC.	Ownership.	100.0	SEI ESOT	NO	
										Guggenheim Retail Real Estate	· ·				
00000	Sammons Enterprises, Inc. ESOT.	00000	86 - 2866544				JL Radcliff 1569, LLC	DE	N I A	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-3069959				JL Richland 1509. LLC	DE	NIA	Guggenheim Retail Real Estate Partners, LLC	Ownership.	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUI.	00000	00-3009939	-			JL KICHTANG 1509, LLG		N I A	Guggenheim Retail Real Estate	Ownership	100.0	SET ESUI	INU	
00000	Sammons Enterprises, Inc. ESOT	00000 8	85-4158299	<u> </u>	l		JL Rockford 1428, LLC	DE	NIA	Partners, LLC	Ownership.	100.0	SEI ESOT.	NO.	
										Guggenheim Retail Real Estate					
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1674612				JL Saraland 1552, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Commons Enternalisms Inc. ECOT	00000	00 2040754				II Cilean Carines 1110	DE	NII A	Guggenheim Retail Real Estate	O	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-3810751				JL Siloam Springs 1448, LLC	DE	NIA	Partners, LLCGuggenheim Retail Real Estate	Ownership	100.0	SET ESUT	NU	
00000	Sammons Enterprises, Inc. ESOT	00000	84-4994372				JL Springfield 1433, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT.	NO.	
	1									Guggenheim Retail Real Estate					
00000	Sammons Enterprises, Inc. ESOT.	00000	86 - 1218816				JL Springfield 1495, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	0	00000	07 4400740				II 04 Pelest 4500 110	DE		Guggenheim Retail Real Estate	Owner and his	400.0	051 5007	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1488719				JL St. Robert 1582, LLC	DE	N I A	Partners, LLC	Ownership	100.0	SEI ESOT	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1711110				JL Webster 1313. LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT.	NO.	
										Guggenheim Retail Real Estate					
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0554458				Lansing 824 Retail, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Common Fatanasiana Ina FOOT	00000	87 - 3762007				00 11 00110000000 4272 110	DE	NII A	Guggenheim Retail Real Estate	O	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	07-3/02007	-			SC-H Sellersburg 1373, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SET ESUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-4305239				SE Napa 1279, LLC	DE	NIA	Partners. LLC	Ownership	100.0	SEI ESOT	NO.	
	İ ' '	İ					· · · · · · · · · · · · · · · · · · ·			Guggenheim Retail Real Estate					
00000	Sammons Enterprises, Inc. ESOT.	00000	37 - 1912667				SE Orangevale 1223, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises. Inc. ESOT	00000	85-1403507				SE Stockton 1394. LLC	DE	NIA	Guggenheim Retail Real Estate Partners. LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUI.	00000	00-1403007	-			5E STOCKTOH 1394, LLC		N I A	Guggenheim Retail Real Estate	Ownership	100.0	SET ESUI	INU	
00000	Sammons Enterprises, Inc. ESOT.	000003	38-3940929				Stonebridge Investors III, LLC	DE	NIA	Partners, LLC	Ownership.	100.0	SEI ESOT.	NO	
										Stonebridge Investors III,	,				
00000	Sammons Enterprises, Inc. ESOT.	00000	86 - 3986639				National Brand Investors I, LLC	DE	N I A	LLC.	Management		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	46-4285653				Retail Investors III, LLC	DE	N I A	Stonebridge Investors III,	Managamant		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.		40-4283033 32 - 0507993	-			FD Orange Beach 859, LLC	DE	NTA NTA	Retail Investors III, LLC	Management Ownership	100 0	SET ESOT	N∪ N∩	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0508568				NE Lewiston 820, LLC	DE	NIA	Retail Investors III, LLC	Ownership.	100.0	SET ESOT.	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	000006	61-1806677				GW Phoenix 799, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	38 - 3922242				NC Lincolnshire 624, LLC	DE	NIA	Retail Investors III, LLC	Ownership		SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	37 - 1754019 90 - 1036369				NC Little Rock 642, LLC NC Naperville 623, LLC	DE DE	NIA NIA	Retail Investors III, LLC Retail Investors III, LLC	Ownership Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	000000	90 - 1036369 38 - 4096359				SE Sacramento 1224, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	N∪ N∩	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0582430				SE Union City 1247, LLC.	DE		Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO	
	·									Guggenheim Retail Real Estate	'				
00000	Sammons Enterprises, Inc. ESOT.		61-1879383				TCFBK Richton Park 866, LLC	DE	NIA	Partners, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU	37 - 1872507	-			TLEXP Ellisville 926, LLC	DE	NIA	Retail Investors III, LLC	Ownership	1100.0	SET ESOT	NO	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
						Name of					Type of Control				
						Securities					(Ownership,			ll	
						Exchange if			Relationship		Board,	If Control is		Is an SCA	
0		NAIC	ID	Fadanal		Publicly	Names of	D ! - !!!	to	Discoulis Constantly delay	Management,	Ownership	Lillting at a Comptantilling	Filing	
Group Code	Group Name	Company Code	ID Number	Federal RSSD	СІК	Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	Domiciliary Location	Reporting Entity	Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact, Influence, Other)	Provide	Ultimate Controlling Entity(ies)/Person(s)	Required? (Yes/No)	*
00000	Sammons Enterprises. Inc. ESOT.		36-4915976	NOOD	OIIC	international)	TLEXP Kansas City 1250, LLC	DE	NIA	Retail Investors III. LLC	Ownership		SET ESOT.	NO	
00000			30-1140283				TLEXP Overland Park 978, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	38-4051268				TLEXP St. Peters 899, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO NO	
00000		00000	38-4093611				TLEXP St. Peters 1200, LLC.	DE	NIA	Retail Investors III, LLC	Ownership.	100.0	SET ESOT.	NO NO	
00000	Sammons Enterprises, Inc. ESOT.		35-2553419				GM Lansing 824, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO	
00000	ISammons Enterprises, Inc. ESOT.	00000	84-2619926				JL Appleton 980, LLC.	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3526145				JL Bentonville 1412, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	85-1376573				JL Cypress 821, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000	84-4422043				JL Cypress 894, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO .	
	·								1	Guggenheim Retail Real Estate		1			
00000	Sammons Enterprises, Inc. ESOT.		84-3800257				JL Dripping Springs 1369, LLC	DE	NIA	Partners, LLC	Ownership			NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4916316				JL Hamburg 1301, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3612315				JL Huntley 797, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-2633589				JL Irondequoit 1252, LLC	DE	N ! A	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	N0 .	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3582527				JL Joplin 1391, LLC	DE	N! A	Retail Investors III, LLC	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	37 - 1911317				JL Katy 916, LLC	DE	NIA	Retail Investors III, LLC	Ownership		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-2639102				JL Milwaukee 1397, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT	00000	84-3512756 84-2655056				JL Nicholasville 1389, LLC	DE DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000					JL Normal 1378, LLC	DE DE	NIA	Retail Investors III, LLC	Ownership		SET ESOT	NU .	
00000	Sammons Enterprises, Inc. ESOT.	00000	84 - 2678796 85 - 1457200				JL Plover 1320, LLC JL Porter 1414, LLC	DE	N I A	Retail Investors III, LLC Retail Investors III, LLC	Ownership Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESUL	00000	84-3793426				JL Princeton 1332, LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT. Sammons Enterprises, Inc. ESOT.	00000	84-3687299				JL Rib Mountain 1319. LLC	DEDE	NIA	Retail Investors III. LLC	Ownership	100.0	SET ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-2701309				JL Romeoville 1318. LLC	DEDE	NIA	Retail Investors III, LLC	Ownership		SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3768363				JL Somers 1403, LLC	DE	NIA	Retail Investors III, LLC	Ownership.	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3626820				JL Spring 1384, LLC	DE	NIA	Retail Investors III, LLC	Ownership.	100.0	SET ESOT.	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84 - 3568404				JL Springdale 1357. LLC.	DE	NIA	Retail Investors III. LLC	Ownership.	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3599745				JL Sycamore 1379, LLC	DE	NIA	Retail Investors III, LLC	Ownership.	100.0	SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	84-3541018				JLSB Fort Smith 1405, LLC.	DE	NIA	Retail Investors III, LLC	Ownership.	100.0	SET ESOT.	NO	
	' '						Stonebriar JL Henrietta 1273,			,	İ '			i i	
00000	Sammons Enterprises, Inc. ESOT.		84-2184075				LLC	DE	NIA	Retail Investors III, LLC	Ownership	100.0	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4464896				Guggenheim Services, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT	00000	36-4464896				Guggenheim Services, LLC	DE	NIA	Guggenheim Manager, Inc	Management		SEI ESOT	NO .	
00000	Sammons Enterprises, Inc. ESOT.	00000	32-0575985				GC Disbursement Agent, LLC	DE	NIA	Guggenheim Services, LLC	Ownership	100.0	SEI ESOT	N0 .	
		00000	07 4007500				Guggenheim Disbursement Agent,	DE				100 0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	27 - 4297522				LLU	DE	NIA	Guggenheim Services, LLC	Ownership	1100.0	SEI ESOT	NO .	
00000	Commons Enternalisms Inc. FOOT	00000					Guggenheim Receivable	DE.	NII A	Commanda in Canoniana III C	O	100.0	051 5007	NO.	
00000	Sammons Enterprises, Inc. ESOT		36-4406374				Financing, LLC	DEDE.	NIA	Guggenheim Services, LLC	Ownership		SEI ESOTSEI ESOT		
00000	Sammons Enterprises, Inc. ESOT Sammons Enterprises, Inc. ESOT		30-44003/4				Guggenheim Manager, Inc GMI GPIMH. LLC	DE DE	NIA NIA	Guggenheim Capital, LLC	Ownership Ownership	100.0	SET ESUT	NO	
00000	Sammons Enterprises, Inc. ESUL	00000					GMI GPIMH, LLC	DE	NIA	Guggenheim Manager, Inc Guggenheim Manager, Inc		100.0	SET ESOT	NO NO	
00000			90-0135327				Guagenheim Premises I. LLC	DE	NIA	Guggenheim Capital, LLC	Ownership Ownership		SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.		90-0135327				Guggenheim Premises I, LLC	DE	NIA	Guggenheim Manager, Inc	Management		SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-2773465				Guggenheim SPAC Holdings, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SEI ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	86-2773465				Guggenheim SPAC Holdings, LLC	DE	NIA	Guggenheim Manager, Inc	Management		SET ESOT	NO.	
00000	Sammons Enterprises, Inc. ESOT.	00000	00 Z110-100				Guggenheim GGP Holdings, LLC	DEDE	NIA	Guggenheim SPAC Holdings, LLC	Ownership.	100.0	SET ESOT	NO NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					GGP Holdco, LLC	DE	NIA	Guggenheim GGP Holdings, LLC	Ownership	100.0	SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.]			GGP Sponsor Holdings, LLC	DE		GGP Holdco, LLC	Ownership	100.0	SET ESOT.	NO NO	
	,						1			, *					

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						Name of					Type of Control				
						Securities			Dalatianahia		(Ownership,	If () = = t == 1 :=		Is an SCA	
		NAIC				Exchange if Publicly	Names of		Relationship to		Board, Management,	If Control is Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent, Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)			Entity(ies)/Person(s)		*
00000	Sammons Enterprises, Inc. ESOT.	00000					Gores Guggenheim Sponsor LLC	DE	NIA	GGP Sponsor Holdings, LLC	Ownership	50.0	SET ÉSOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Gores Guggenheim Inc	DE	NIA	GGP Sponsor Holdings, LLC	Ownership	50.0	SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT	00000					Guggenheim GPH Holdings, LLC	DE	NIA	Guggenheim SPAC Holdings, LLC	Ownership	100.0	SEI ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					GPH Holdco, LLC.	DE	NIA	Guggenheim GPH Holdings, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Commons Fotograpions Inc. FCOT	00000					Guggenheim Partners Holdings,	DF	NII A	CDI II-Idaa II C	O	100.0	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Guggenheim Special Purpose)DE	N I A	GPH Holdco, LLC	Ownership	100.0	SET ESUI		
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1580017				Acquisition Corp. I	CYM	N I A	Touggerine ill Farthers nordrigs,	Ownership.	100.0	SEI ESOT	NO	
	Sammons Enterprises, Inc. ESOT.		86-3001692				Metric Finance TopCo, LLC.	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	86-3001692				Metric Finance TopCo, LLC	DE	NIA	Guggenheim Manager, Inc	Management		SET ESOT.	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					GPH Funding II, LLC	DE	NIA	Metric Finance TopCo, LLC	Ownership	100.0	SET ESOT	NO	
							Metric Finance Acquisition				·				
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 0890512				Corp. I	DE	NIA	Metric Finance TopCo, LLC	Ownership	100.0	SEI ESOT	NO	
00000	0 5 1 5 1 5007	00000					Metric Finance Acquisition	0)///				400.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000					Corp. II	CYM	NIA	Metric Finance TopCo, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000					Metric Finance Acquisition	CYM	NIA	Metric Finance TopCo, LLC	Ownership	100 0	SEL ESOT	NO	
00000	Janimons Enterprises, mc. Eour	00000					Metric Finance Acquisition			I meti i i i i i i i i i i i i i i i i i i	Owner strip	100.0	JOL 1 LOUT		
							Corp. IV (fka Bound Brook								
00000	Sammons Enterprises, Inc. ESOT.	00000	46-2847694				Capital Corporation)	DE	NIA	Metric Finance TopCo, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Metric Finance Holdings I, LLC	DE	NIA	Metric Finance TopCo, LLC	Ownership	100.0	SET ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Metric Finance Holdings II, LLC	DE	NIA	Metric Finance TopCo, LLC	Ownership	100.0	SEI ESOT	NO	
							Metric Finance Holdings III,	25				400.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000					LLC	DE	NIA	Metric Finance TopCo, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	87 - 1204962				Silver Sustainable Solutions Corp. (fka GPH Funding I, LLC)	DE	NIA	Metric Finance Holdings III,	Ownership	79.8	SEI ESOT	NO	
	Sammons Enterprises, Inc. ESOT.		20-4863472				GC Deferred Compensation I, LLC	DE	NIA	Guggenheim Capital, LLC	Ownership		SEI ESOT		
00000	daimions Enterprises, me. Loui.	00000	20-4000472				do berefred compensation 1, EEc.			GC Deferred Compensation I.	0 w 110 1 3111 p	100.0	061 6001	1	
00000	Sammons Enterprises, Inc. ESOT.	00000	83-0415853				AC Storage, LLC	DE	NIA	LLC.	Ownership	100.0	SEI ESOT	NO	
							Collectible Antiquities			GC Deferred Compensation I,	, ,				
00000	Sammons Enterprises, Inc. ESOT.	00000	26-0822684				Company, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
							Guggenheim Opportunities	25		GC Deferred Compensation I,		400.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	26 - 2684884				Investors I-B, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8368645				Guggenheim Opportunities Investors IV. LLC	DE	NIA	GC Deferred Compensation I,	Ownerchin	100 0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESUI.	00000	ZU-03U0U43				Guggenheim Opportunities	⊐ע	N I A	GC Deferred Compensation I,	Ownership	100.0	OLI EOUI		
00000	Sammons Enterprises, Inc. ESOT.	00000	20-8511161				Investors V. LLC	DE	NIA	III.C.	Ownership	100.0	SEI ESOT	NO	
00000	cammone Enterprises, me. 2001.	00000	20 0011101				Guggenheim Opportunities			GC Deferred Compensation I,	о и пот оттр		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	26-3682958				Investors VI, LLC	DE	NIA	LLC	Ownership	100.0	SET ESOT	NO	
	' '									GC Deferred Compensation I,	'				Ï
00000	Sammons Enterprises, Inc. ESOT.	00000					Pilara Lotes II, LLC	DE	NIA	LLC	Ownership	100.0	SEI ESOT	NO	
00000		00000	00 5400444				White Oak Guggenheim Feeder	DE		GC Deferred Compensation I,		00.0	051 5007		
00000	Sammons Enterprises, Inc. ESOT.	00000	20-5433411				Fund, LLC	DE	NIA	LLC	Ownership	29.2	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-5433411				White Oak Guggenheim Feeder Fund. LLC	DE	NIA	Guggenheim Partners, LLC	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	77 - 0709769				Liberty Hampshire Holdings, LLC.	DE	NIA	Guggenheim Capital, LLC	Ownership	99.5	SEL ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	77 -0709769				Liberty Hampshire Holdings, LLC.	DE		Guggenheim Manager, Inc	Management		SEI ESOT	NO	
30000	Cammono Enterprison, 1110. E001.		0, 00, 00				1 = . 20 Tramportito Hotalingo, ELO	L	4	1 3 2 2 3 3 3 1 1 1 1 1 1 1 1 1 1 1 1 1			10	· · · · · · · · · · · · · · · · · · ·	

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						Name of					Type of Control				
						Securities Exchange if			Relationship		(Ownership, Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or	Parent. Subsidiaries	Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling	Required?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Influence, Other)		Entity(ies)/Person(s)		*
				1		,	Guggenheim Treasury Services,			Liberty Hampshire Holdings.		Ŭ	*	(1001110)	
00000	Sammons Enterprises, Inc. ESOT.	00000	45-3935220				LLČ	DE	NIA	LLC	Ownership	100.0	SET ESOT	NO	
	, ,						The Liberty Hampshire Company,			Guggenheim Treasury Services,					
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4068485				LLC	DE	NIA	LLČ	Ownership	100.0	SEI ESOT	NO	
										The Liberty Hampshire					
00000	Sammons Enterprises, Inc. ESOT.	00000	13-4074350				BFC Capital Company, LLC	DE	NIA	Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000		00000	40 0057070				Chelsea Creek Capital Company,	DE.		The Liberty Hampshire		400.0	051 5007	l uo	
00000	Sammons Enterprises, Inc. ESOT.	00000	13-3957679				LLC	DE	NIA	Company, LLC	Ownership	100.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT	00000					Jasmine Asset Funding Limited	CYM	NIA	The Liberty Hampshire Company, LLC	Ownership.	100.0	SEI ESOT.	NO	
00000	Janiinons Litterprises, inc. Loui.	00000		1			Kemps Landing Capital Company,		N I A	The Liberty Hampshire	Owner Sirry	100.0	OL1 L301		
00000	Sammons Enterprises, Inc. ESOT.	00000	13-3957675				IIIC	DE	NIA	Company. LLC	Ownership	100.0	SET ESOT	NO	
00000	daminorio Eritorpi roco, mo. 2001.	00000	10 0001010				Kips Bay Capital Company #2,			The Liberty Hampshire	о и пот эттр		021 2001		
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1363646				LLC.	CYM	NIA	Company, LLC.	Ownership	100.0	SET ESOT.	NO.	
							Kips Bay Capital Company #4,			The Liberty Hampshire					
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1364107				LLC	CYM	NIA	Company, LLC	Ownership	100.0	SET ESOT	NO	
	·						Guggenheim Treasury Services			The Liberty Hampshire					
00000	Sammons Enterprises, Inc. ESOT.	00000	98-0519573				International Limited	CYM	NIA	Company, LLC	Ownership	100.0	SEI ESOT	N0	
1							Guggenheim Treasury Services			Guggenheim Treasury Services					
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1231174				(Europe) Limited	GBR	N I A	International Limited	Ownership	100.0	SEI ESOT	NO	
00000	Commons Enteresises Inc. ECOT	00000	71-0978028				Moore's Creek Capital	DE	NII A	The Liberty Hampshire	O	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	/ 1-09/0020				Corporation	ν⊏	NIA	Company, LLC Moore's Creek Capital	Ownership	100.0	SE ESU	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Forrestal Portfolios LLC	DE	NIA	Corporation	Ownership	100.0	SET ESOT	NO	
00000	Joannions Enterprises, me. 2001.	00000					The Liberty Hampshire Capital	DL		The Liberty Hampshire	Ownership	100.0	061 6001		
00000	Sammons Enterprises, Inc. ESOT	00000	26-2310174				Company, LLC	DE	NIA	Company, LLC.	Ownership.	100.0	SET ESOT	NO	
							Guggenheim Treasury Services			The Liberty Hampshire					
00000	Sammons Enterprises, Inc. ESOT.	00000	13-3959215				Corporation (N.Y.)	DE	NIA	Company, LLC	Ownership	100.0	SET ESOT	NO	
							Bennington Stark Capital			The Liberty Hampshire					
00000	Sammons Enterprises, Inc. ESOT.	00000	26-2826279				Company, LLC	DE	NIA	Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000		00000	40.0047004				D 10 10 11 10 11	DE		The Liberty Hampshire		400.0	051 5007	l No	
00000	Sammons Enterprises, Inc. ESOT.	00000	46 - 2847694				Bound Brook Capital Corporation	DE	NIA	Company, LLCThe Liberty Hampshire	Ownership	100.0	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000	42-1548166				Cedar Springs Capital Company,	DE	NIA	Company, LLC	Ownership.	100.0	SEI ESOT	NU	
00000	Joannions Litterprises, Inc. ESUL	00000	TL-1040100	-			LLU	µ∟		Cedar Springs Capital	O#11619111P	100.0	OL1 LUU1	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Cedar Springs (Cayman) Ltd	CYM	NIA	Company, LLC	Ownership	100 0	SEI ESOT	NO	
							Concord Minutemen Capital]	The Liberty Hampshire	p				
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4203696				Company . LLC	DE	NIA	Company, LLC	Ownership	100.0	SEI ESOT	N0	
	, ,			1]		Crown Point Capital Company,		1	The Liberty Hampshire	'				7
00000	Sammons Enterprises, Inc. ESOT.	00000	13-4033531				LLC	DE	NIA	Company, LĹC	Ownership	100.0	SEI ESOT	N0	
									l	The Liberty Hampshire					
00000	Sammons Enterprises, Inc. ESOT.	00000	20-0240846				Legacy Capital Company, LLC	DE	N I A	Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Command Fatanasiana Inc. 5007	00000	40 4074040				Ontinue Fundine Courses II C	DE-	NI A	The Liberty Hampshire	Own a mala i -	400.0	051 5001	NO.	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU	13-4074348	-			Optimus Funding Company, LLC	DE	NIA	Company, LLC	Ownership	1	SEI ESOT	NU	
00000	Sammons Enterprises, Inc. ESOT.	00000					Ridgefield Funding Company, LLC.	DE	NIA	The Liberty Hampshire Company. LLC	Ownership	100.0	SEI ESOT	NIO	
00000	Joannions Litterprises, Inc. ESUL			1			Thomas Creek Capital	⊐ע	IN I A	The Liberty Hampshire	Owner 2011 h	100.0	OLI LOVI	INU	
00000	Sammons Enterprises, Inc. ESOT.	00000	46 - 2685787				Corporation	DE	NIA	Company, LLC	Ownership	100 0	SEI ESOT.	NO	
_ 00000	Todinions Enterprises, Inc. Loui		TO 2000101	-			1001 por at 1011	⊅∟	·······	Toompany, LLO	omilio 1 3111 p	100.0	TOE : LOUI	INO	

1	2	3	4	5	6	7 Name of Securities	8	9	10	11	12 Type of Control	13	14	15	16
						Exchange if			Relationship		(Ownership, Board,	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to		Management,	Ownership		Filing	
Group Code	Group Name	Company Code	ID Number	Federal RSSD	CIK	Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	Domiciliary Location	Reporting Entity	Directly Controlled by (Name of Entity/Person)	Attorney-in-Fact, Influence, Other)	Provide	Ultimate Controlling Entity(ies)/Person(s)	Required?	*
Code	Group Name	Code	Number	ROOD	CIK	international)	Valcour Bay Capital Company,	Location	Entity	The Liberty Hampshire	iniliderice, Other)	reiteillage	Entity(les)/Ferson(s)	(Tes/NO)	
00000	Sammons Enterprises, Inc. ESOT.	00000	20-4313228				LLC	DE	NIA	Company, LLCThe Liberty Hampshire	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000					LLC	DE	NIA	Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	26-0419808				GIFS Capital Company, LLC	CYM	NIA	The Liberty Hampshire Company, LLCThe Liberty Hampshire	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1374616				GIFMS Capital Company, LLC	CYM	NIA	Company, LLC	Ownership	99.5	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT	00000	98-1374616				GIFMS Capital Company, LLC Blanford Capital Company #1,	CYM	NIA	Guggenheim Manager, Inc	Management		SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1408448				LLCBlanford Capital Company #2,	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100 . 0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1420448				LLCBlanford Capital Company #3,	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1420464				LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1425479				Blanford Capital Company #4,	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1425486				Blanford Capital Company #5,	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1442968				Blanford Capital Company #6, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1447231				Blanford Capital Company #7, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1457600				Blanford Capital Company #8, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1458988				Blanford Capital Company #9, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1458993				Blanford Capital Company #10, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1471119				Blanford Capital Company #11, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1471189				Blanford Capital Company #12, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1471178				Blanford Capital Company #13, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1472672				Blanford Capital Company #14, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1473165				Blanford Capital Company #15, LLC	CYM	NIA		Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98-1473196				Blanford Capital Company #16, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1484583				Blanford Capital Company #17, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	N0	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1485538				Blanford Capital Company #18, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1486468				Blanford Capital Company #19, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	

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						Name of					Type of Control				
						Securities Exchange if			Relationship		(Ownership, Board.	If Control is		Is an SCA	
		NAIC				Publicly	Names of		to	ή	Management,	Ownership		Filing	
Group		Company	ID	Federal		Traded (U.S. or		Domiciliary		Directly Controlled by	Attorney-in-Fact,	Provide	Ultimate Controlling		
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates Blanford Capital Company #20,	Location	Entity	(Name of Entity/Person)	Influence, Other)	Percentage	Entity(ies)/Person(s)	(Yes/No)	*
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1506701				LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1506708				Blanford Capital Company #21, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
	Sammons Enterprises, Inc. ESOT.						Blanford Capital Company #22,	CYM	NIA	GIFMS Capital Company, LLC	Ownershin	100 0	SEI ESOT	NO	
	·						Blanford Capital Company #23,								
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1523545				LLC Blanford Capital Company #24,	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1523557				LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	98 - 1523592				Blanford Capital Company #25, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Blanford Capital Company #26, LLC	CYM	NIA	GIFMS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					GIFM Holdings Trust	DE	NIA	GIFS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Saratoga Springs Capital Company, LLC	DE	NIA	GIFS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Saratoga (Cayman), Ltd	CYM	NIA	GIFS Capital Company, LLC	Ownership	100.0	SET ESOT		
	Sammons Enterprises, Inc. ESOT.						Sandy Point Capital Company,	DF	NIA	GIFS Capital Company, LLC	Ownership	100.0	SEI ESOT	NO	
į i	'						Lexington Parker Capital			The Liberty Hampshire	İ '				
00000	Sammons Enterprises, Inc. ESOT.	00000	36-4145892				Company, L.L.CGreat Bridge Capital Company,	DE	NIA	Company, LĹC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					ILLC	DE	NIA	Company, LĹC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Washington Morgan Capital Company, LLC	DE	NIA	The Liberty Hampshire Company, LLC	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000					Washington Lee Capital Company,	DF	NIA	The Liberty Hampshire Company, LLC	Ownership	100 0	SEI ESOT	NO	
	·						Relationship Funding (Cayman)			The Liberty Hampshire	İ '				
00000	Sammons Enterprises, Inc. ESOT.	00000					LtdSammons Distribution Holdings,	<u>C</u> YM	NIA	Company, LLCConsolidated Investment	Ownership	100.0	SEI ESOT	. NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	75-2738314				Inc.	DE	NIA	Services, Inc.	Ownership	100.0	SEI ESOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	00000	75-0155260				Sammons BW, Inc.	DE	NIA	Sammons Distribution Holdings, Inc	Ownership	100.0	SEI ESOT	NO	
	'		75 0700700				Deslaway Mantages Lag	DE	NII A	Consolidated Investment	,	100.0	CEL FOOT	NO	
00000	Sammons Enterprises, Inc. ESOT.	UUUUU	/3-2/00/99				Parkway Mortgage, Inc	DE	NIA	Services, Inc	Ownership	1100.0	SET ESOT	. [

Asterisk	Explanation

54

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1			4	5	6		8	9	10	11	12	13
					Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage	Incurred in Connection with Guarantees or Undertakings for the	Management	Income/ (Disbursements) Incurred Under		Any Other Material Activity Not in the Ordinary Course of		Reinsurance Recoverable/ (Payable) on Losses and/or Reserve
NAIC Company	ID		Shareholder	Capital	Loans or Other	Benefit of any	Agreements and Service Contracts	Reinsurance		the Insurer's		Credit
Code	Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Dividends	Contributions	Investments	Affiliate(s)	Service Contracts	Agreements	*	Business	Totals	Taken/(Liability)
000004	46-0441748	SAMMONS FINANCIAL GROUP, INC.	299,652,000	(225,500,000)					ļ		74, 152,000	
660444	46-0164570	NORTH AMERICAN CO FOR LIFE & HEALTH INS	(299,652,000)	(30,000,000)					ļ		(329,652,000)	5,240,933,497 2,820,497,162
669743	36-2428931	NORTH AMERICAN CO FOR LIFE & HEALTH INS		37,000,000					ļ		37,000,000	2,820,497,162
000002	27 - 4013872 61 - 1583842	MNL RE SOLBERG RE.		30,000,000					ļ		30,000,000	(2,795,456,633) (930,723,539) (682,116,131)
000006	01-1583842	SULBERG RE							ļ			(930,723,539)
00000	84-3081181	CANAL RE		400 500 000					ł		400 500 000	(682,116,131)
000009	98 - 1600408	SFG Bermuda, LTD		188,500,000					ł		188 , 500 , 000	(3,653,134,357)
									 			
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9999999 Cor	ntrol Totals								XXX			

SCHEDULE Y

PART 3 – ULTIMATE CONTROLLING PARTY AND LISTING OF OTHER U.S. INSURANCE GROUPS OR ENTITIES UNDER THAT ULTIMATE CONTROLLING PARTY'S CONTROL

1 Insurers in Holding Company	2 Owners with Greater than 10% Ownership	3 Ownership Percentage Column 2 of Column 1	of Column 2 Over	5 Ultimate Controlling Party	6 U.S. Insurance Groups or Entities Controlled by Column 5	7 Ownership Percentage (Columns 5 of Column 6)	8 Granted Disclaimer of Control\Affiliation of Column 5 Over Column 6 (Yes/No)
Midland National Life Insurance Company	Sammons Financial Group, Inc.	100.000 %	NO	Sammons Enterprises, Inc. ESOT	Sammons Enterprises, Inc. ESOT	100.000 %	NO.
North American Company for Life and Health	John Marie Comp, M			2.1(0.) p. 1000 ; 1110 : 200 ;	Commission	1	
Insurance.	Sammons Financial Group, Inc.	100.000 %	NO	Sammons Enterprises, Inc. ESOT	Sammons Enterprises, Inc. ESOT	100.000 %	NO NO
THOUT WHOO.	Joannions i manorar oroap, mo			Committee Enterprises, The Education	Continuono Enterprisos, mo. 2001	1 100.000 %	1,0
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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

Responses

	MARCH FILING	
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	SEE EXPLANATION
3.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4.	Will an actuarial opinion be filed by March 1?	YES
	APRIL FILING	
5.	Will Management's Discussion and Analysis be filed by April 1?	YES
6.	Will the Life, Health & Annuity Guaranty Association Assessable Premium Exhibit – Parts 1 and 2 be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	SEE EXPLANATION
7.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
	JUNE FILING	
8.	Will an audited financial report be filed by June 1?	YES
9.	Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	SEE EXPLANATION
The fol	lowing supplemental reports are required to be filed as part of your annual statement filing if your company is engaged in the type of busines ment. However, in the event that your company does not transact the type of business for which the special report must be filed, you c interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your	ss covered by the r response of NO to the
	whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.	our company such necessing
	MARCH FILING	
10	Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies)	SEE EXPLANATION
11.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	N0
13.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	N0
14.	Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	NO
15.	Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	YES
16.	Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
17.	Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	N0
18.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	N0
19.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
22.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	N0
23.	Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24.	Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO
25.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
26.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	N0
27.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition	NO

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?

28.	Will the Workers' Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)	NO
29.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	NO
30.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
31.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
32.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
33	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	NO
34.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?	NO
35.	Will the Health Care Receivables Supplement be filed with the state of domicile and the NAIC by March 1?	N0
	APRIL FILING	
36.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?	NO
37.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
38.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	NO
39.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	NO
40.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	NO
41.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
42.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?	SEE EXPLANATION
43.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?	N0
44.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?	NO
45.	Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
46.	Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
47.	Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
	AUGUST FILING	
48.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	YES

Explanation:

2.As captive reinsurer, domiciled in lowa, the Company is not required to file any financial statements (including RBC) with the NAIC.

6.Exhibit will be filed with lowa, but not the NAIC.

9.Exhibit will be filed with lowa, but not the NAIC.

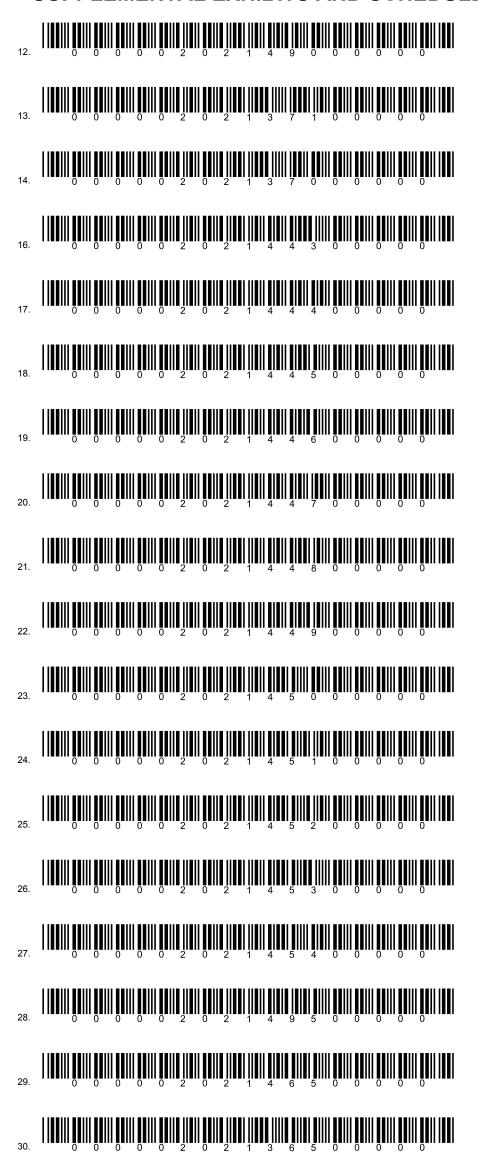
10.100% owned and controlled by one stockholder, therefore none of the disclosures in Schedule SIS are relevant.

42. Exhibit will be filed with lowa, but not with the NAIC.

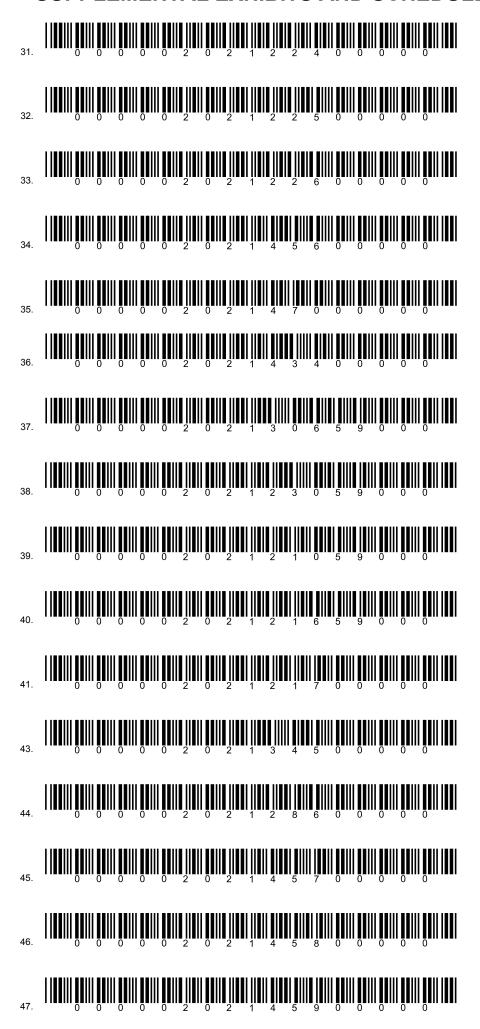
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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES



SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES



OVERFLOW PAGE FOR WRITE-INS

SUMMARY INVESTMENT SCHEDULE

				COLLEGE			
		Gross Inve			Admitted Assets a in the Annual S		
		1	2 Percentage of Column 1		4 Securities Lending Reinvested Collateral	5 Total (Col. 3+4)	6 Percentage of Column 5
	Investment Categories	Amount	Line 13	Amount	Amount	Amount	Line 13
1.	Long-Term Bonds (Schedule D, Part 1):						
	1.01 U.S. governments			7,350,992		7 ,350 ,992	6.808
	1.02 All other governments		1				
	1.03 U.S. states, territories and possessions, etc. guaranteed 1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed						
	1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed			16 ,744 ,670		16,744,670	15.508
	1.06 Industrial and miscellaneous			1 00 004 700		30,834,798	28.558
	1.07 Hybrid securities		1				
	1.08 Parent, subsidiaries and affiliates		1				
	·						
	1.11 Total long-term bonds		1	54,930,460		54,930,460	50.874
2			50 . 07 4				30 .074
۷.	Preferred stocks (Schedule D, Part 2, Section 1): 2.01 Industrial and miscellaneous (Unaffiliated)	2 070 000	2 042	2 070 000		2 070 000	2 0/2
	` '		1	1			2.843
	2.02 Parent, subsidiaries and affiliates					2 070 000	0.040
	2.03 Total preferred stocks	3,070,000	2.843	3,070,000		3,070,000	2.843
3.	Common stocks (Schedule D, Part 2, Section 2):						
	3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)		1				
	3.02 Industrial and miscellaneous Other (Unaffiliated)			i i			
	3.03 Parent, subsidiaries and affiliates Publicly traded						
	3.04 Parent, subsidiaries and affiliates Other						
	3.05 Mutual funds						
	3.06 Unit investment trusts						
	3.07 Closed-end funds						
	3.08 Total common stocks						
4.	Mortgage loans (Schedule B):						
	4.01 Farm mortgages						
	4.03 Commercial mortgages		i				
	4.04 Mezzanine real estate loans		I	i i			
	4.05 Total valuation allowance		1				
	4.06 Total mortgage loans						
5	Real estate (Schedule A):						
0.	5.01 Properties occupied by company						
	5.02 Properties held for production of income						
			i				
6	5.04 Total real estate						
0.	Cash, cash equivalents and short-term investments:	40 400 406	44 040	40 400 406		40 400 406	44 040
	6.01 Cash (Schedule E, Part 1)		44 . 848	48,423,426		48,423,426	44 .848
	6.02 Cash equivalents (Schedule E, Part 2)			ļ		l	
	6.03 Short-term investments (Schedule DA)		i	40, 400, 400		40, 400, 400	44.040
_	6.04 Total cash, cash equivalents and short-term investments		44 . 848	48 , 423 , 426		48,423,426	44 .848
	Contract loans		-				
8.	,						
9.	Other invested assets (Schedule BA)	1,548,928	1.435	1,548,928		1,548,928	1.435
10.			1				ļ
11.	Securities Lending (Schedule DL, Part 1)		-	ļ	XXX	XXX	XXX
12.	Other invested assets (Page 2, Line 11)						
13.	Total invested assets	107,972,814	100.000	107,972,814		107,972,814	100.000

SCHEDULE A – VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year.
	Cost of acquired:
	2.1 Actual cost at time of acquisition (Part 2, Column 6)
	2.2 Additional investment made after acquisition (Part 2, Column 9)
	Current year change in encumbrances:
	3.1 Totals, Part 1, Column 13
	3.2 Totals, Part 3, Column 11
4.	Total gain (loss) on disposals, Part 3, Column 18 Deduct amounts received on disposals, Part 3, Column 15
5.	Deduct amounts received on disposals, Part 3, Column 15
	Total foreign exchange change in book/adjusted carrying value
	6.1 Totals, Part 1, Column 15
	6.2 Totals, Part 3, Column 13.
7.	Deduct current year's other-than-temporary impairment recognized:
	7.1 Totals, Part 1, Column 12
	7.2 Totals, Part 3, Column 10
	Deduct current year's depreciation:
	8.1 Totals, Part 1, Column 11
	8.2 Totals, Part 3, Column 9
	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)
	Deduct total nonadmitted amounts
11.	Statement value at end of current period (Line 9 minus Line 10)

SCHEDULE B – VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year.
2.	Cost of acquired:
	2.1 Actual cost at time of acquisition (Part 2, Column 7)
	2.2 Additional investment made after acquisition (Part 2, Column 8)
3.	Capitalized deferred interest and other:
	3.1 Totals, Part 1, Column 12
	3.2 Totals, Part 3, Column 11
4.	Accrual of discount
5	Unrealized valuation increase (decrease):
	5.1 Totals, Part 1, Column 9 5.2 Totals, Part 3, Column 8 Total gain (loss) on disposals, Part 3, Column 18
	5.2 Totals, Part 3, Column 8
6.	Total gain (loss) on disposals, Part 3, Column 18
7.	Deduct amounts received on disposals, Part 3, Column 15
8.	Deduct amortization of premium and mortgage interest points and commitment fees.
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:
	9.1 Totals, Part 1, Column 13
	9.2 Totals, Part 3, Column 13
10.	Deduct current year's other-than-temporary impairment recognized:
	10.1 Totals, Part 1, Column 11
	10.2 Totals, Part 3, Column 10
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)
12.	Total valuation allowance
13.	Subtotal (Line 11 plus Line 12)
14.	Deduct total nonadmitted amounts
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)

SCHEDULE BA – VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	1,549,842
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2. Column 8)	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	
3.		
	3.1 Totals, Part 1, Column 16	
	3.2 Totals. Part 3. Column 12	
4.	Accrual of discount	353
5.	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 13	
	5.1 Totals, Part 1, Column 13 5.2 Totals, Part 3, Column 9	
6.	Total gain (loss) on disposals, Part 3, Column 19	
7.	Deduct amounts received on disposals, Part 3, Column 16	
8.	Deduct amortization of premium and depreciation.	1,267
9.		
	9.1 Totals, Part 1, Column 17	
	9.2 Totals, Part 3, Column 14	
10.	Deduct current year's other-than-temporary impairment recognized:	
	10.1 Totals, Part 1, Column 15	
	10.1 Totals, Part 1, Column 15 10.2 Totals, Part 3, Column 11	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,548,928
12.	Deduct total nonadmitted amounts.	
13.	Statement value at end of current period (Line 11 minus Line 12)	1 ,548 ,928

SCHEDULE D – VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	57,031,997
2.	Cost of bonds and stocks acquired. Part 3. Column 7	9.221.482
3.	Accrual of discount.	546.591
4.	Unrealized valuation increase (decrease):	
	4.1 Part 1, Column 12	
	4.2 Part 2, Section 1, Column 15	
	4.3 Part 2, Section 2, Column 13	
	4.4 Part 4, Column 11	70,000
5.	Total gain (loss) on disposals, Part 4, Column 19	
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	9,048,905
7.	Deduct amortization of premium.	51,708
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1 Part 1, Column 15	
	8.3 Part 2, Section 2, Column 16	
	8.4 Part 4, Column 15	
9.	Deduct current year's other-than-temporary impairment recognized:	
٥.	9.1 Part 1, Column 14	
	9.2 Part 2. Section 1. Column 17	
	9.3 Part 2, Section 2, Column 14	
	9.4 Part 4, Column 13	
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line (2)	220 , 400
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	58,000,464
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	58,000,464

SCHEDULE D - SUMMARY BY COUNTRY

			1	2	3	4
Description			Book/Adjusted Carrying Value	Fair Value	Actual Cost	Par Value of Bonds
BONDS	1.	United States			7,211,617	19,125,000
Governments (including all obligations	2.				, ,211,011	
quaranteed by governments)	3.					
gaarameed by gevernmente,	4.	Totals	7,350,992	6,837,304	7,211,617	19,125,000
U.S. States, Territories and Possessions			, ,			, ,
(direct and guaranteed)	5.	Totals				
U.S. Political Subdivisions of States, Territories						
and Possessions (direct and guaranteed)	6.	Totals				
U.S. Special Revenue and Special Assessment						
Obligations and all Non-Guaranteed						
Obligations of Agencies and Authorities of						
Governments and their Political Subdivisions	7.		16,744,670	20,694,442	14,190,344	25,427,684
Industrial and Miscellaneous, SVO Identified	8.	United States			27,616,945	27 , 298 , 476
Funds, Unaffiliated Bank Loans and Hybrid	9.	Canada			1,078,758	1,085,000
Securities (unaffiliated)	10.	Other Countries			2,244,488	2,216,300
	11.	Totals	30,834,794	34,734,170	30,940,191	30,599,776
Parent, Subsidiaries and Affiliates	12.	Totals				
	13.		54,930,456	62,265,916	52,342,152	75,152,460
PREFERRED STOCKS	14.	United States	3,070,000	3,132,950	3,000,000	
Industrial and Miscellaneous (unaffiliated)	15.	Canada				
	16.	Other Countries				
	17.	Totals	3,070,000	3,132,950	3,000,000	
Parent, Subsidiaries and Affiliates	18.	Totals				
	19.	Total Preferred Stocks	3,070,000	3,132,950	3,000,000	
COMMON STOCKS	20.	United States				
Industrial and Miscellaneous (unaffiliated)	21.	Canada				
	22.	Other Countries				
	23.	Totals				
Parent, Subsidiaries and Affiliates	24.	Totals				
	25.	Total Common Stocks				
	26.	Total Stocks	3,070,000	3,132,950	3,000,000	
	27.	Total Bonds and Stocks	58,000,456	65,398,866	55,342,152	

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11	12
	'	Over 1 Year Through	Over 5 Years	Over 10 Years	3	No Maturity	Total	Col. 7 as a	Total from Col. 7	% From Col. 8	Total Publicly	Total Privately Placed
NAIC Designation	1 Year or Less	5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.7	Prior Year	Prior Year	Traded	(a)
1. U.S. Governments			_									
1.1 NAIC 1				2,664,388	4,686,604	XXX	7,350,992	13.4			7 , 350 , 992	
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals				2,664,388	4,686,604	XXX	7,350,992	13.4			7,350,992	
2. All Other Governments												
2.1 NAIC 1						XXX						
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals						XXX						
3. U.S. States, Territories a	ind Possessions, etc.,	Guaranteed										
3.1 NAIC 1						XXX						
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals						XXX						
4. U.S. Political Subdivision	ns of States, Territories	and Possessions, Gua	ranteed									
4.1 NAIC 1						XXX						
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX						
5. U.S. Special Revenue &	Special Assessment C	bligations, etc., Non-G	uaranteed									
5.1 NAIC 1			2,604,546	2,774,258	5,013,315	XXX	16,744,674	30.5	18,497,022	33.6	16 ,744 ,674	
5.2 NAIC 2	, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,		, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,	XXX			243,604	0.4		
5.3 NAIC 3						XXX			, ,			
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	1,365,016	4,987,539	2,604,546	2,774,258	5,013,315	XXX	16,744,674	30.5	18,740,626	34.1	16,744,674	

SCHEDULE D - PART 1A - SECTION 1 (Continued) Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
6. Industrial and Miscellaneo		0 Todis	Through to rears	Through 20 Tears	OVCI ZO TCUIS	Dute	Ourient Tear	70 OI LINC 11.7	Thor rear	T Hot T car	Hadea	(α)
6.1 NAIC 1	87,460	6,295,851	205,079	1,766,508	5,235,637	XXX	13,590,535	24.7	13,601,438	24.7	5,497,955	8,092,579
6.2 NAIC 2	770,795	5,851,172	137,672	6,727,809	3,539,876	XXX	17,027,324	31.0	22,689,930	41.2	9,914,809	
6.3 NAIC 3	,	, , , ,	216,936	, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,	XXX	216,936	0.4	, ,		, , , , , , , , , , , , , , , , , , , ,	216,936
6.4 NAIC 4			,			XXX	,					,
6.5 NAIC 5						XXX						
6.6 NAIC 6						XXX						
6.7 Totals	858,255	12,147,023	559,687	8,494,317	8,775,513	XXX	30,834,795	56.1	36,291,368	65.9	15,412,764	15,422,030
7. Hybrid Securities	,	, ,	,	, ,	, ,		, ,		, ,		, ,	, ,
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX						
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries ar	nd Affiliates											
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	ХХХ	XXX	XXX							
9.2 NAIC 2	XXX	XXX	ХХХ	ХХХ	XXX							
9.3 NAIC 3	XXX	XXX	ХХХ	XXX	XXX							
9.4 NAIC 4	XXX	XXX	ХХХ	XXX	XXX							
9.5 NAIC 5	XXX	XXX	ХХХ	XXX	XXX							
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.1 NAIC 1						XXX						
10.2 NAIC 2						XXX						
10.3 NAIC 3						XXX						
10.4 NAIC 4						XXX						
10.5 NAIC 5						XXX						
10.6 NAIC 6						XXX						
10.7 Totals						XXX						

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations 12 Over 1 Year Through Over 5 Years Over 10 Years Col. 7 as a Total from Col. 7 % From Col. 8 Total Publicly Total Privately Placed No Maturity Total **NAIC** Designation 5 Years Through 10 Years Through 20 Years Over 20 Years Date % of Line 11.7 1 Year or Less Current Year Prior Year Prior Year Traded (a) 11. Total Bonds Current Year 2.809.625 .29.593.621 .8.092.579 .452.476 .11.283.390 .14,935,556 .37.686.201 XXX 11.1 NAIC 1 ..3,539,876 ...5.851.172 ..137.672 .6.727.809 ..17,027,324 XXX 11.2 NAIC 2 ..770.795 .31.0 XXX. ...9.914.809 ..7.112.515 216.936 216.936 XXX XXX 11.3 NAIC 3 ..0.4 ..216.936 XXX XXX 11.4 NAIC 4 XXX XXX 11.5 NAIC 5 XXX 11.6 NAIC 6 XXX 2,223,271 ..17 , 134 , 562 .3,164,233 ..13,932,963 .18,475,432 54.930.461 100.0 XXX XXX 39.508.430 15,422,030 11.7 Totals XXX XXX XXX 25.4 33.6 100.0 71.9 11.8 Line 11.7 as a % of Col. 7 4.0 31.2 5.8 28.1 12. Total Bonds Prior Year ..1,453,365 10,538,008 .6,804,318 4,911,609 ..8,391,160 XXX XXX .32,098,460 .58.3 .22,997,783 ..9,100,675 12.1 NAIC 1 363,163 4,593,584 ...7,035,343 4,782,807 ...6, 158, 637 XXX .22,933,534 41.7 .14,681,524 .8,252,013 12.2 NAIC 2 XXX 12.3 NAIC 3 XXX XXX 12.4 NAIC 4 XXX. XXX XXX 12.5 NAIC 5 _XXX_ XXX 12.6 NAIC 6 XXX 11,397,902 .55,031,994 .1,816,528 17,573,351 9.694.416 14.549.797 XXX XXX 37.679.307 17,352,688 12.7 Totals XXX 12.8 Line 12.7 as a % of Col. 9 3.3 31.9 20.7 17.6 26.4 XXX XXX 100.0 68.5 31.5 13. Total Publicly Traded Bonds 29.593,621 ..11.929.557 .29.593.621 .53.9 13.1 NAIC 1 ..1.447.461 .6.614.363 .2.769.096 .6.833.144 .22.997.783 .41.8 XXX 13.2 NAIC 2 .752.500 3.283.880 .4.878.429 ...1.000.000 ...9.914.809 .18.0 ..14.681.524 .26.7 ..9.914.809 XXX 13.3 NAIC 3 XXX 13.4 NAIC 4 XXX. 13.5 NAIC 5 XXX XXX 13.6 NAIC 6 2.199,961 9.898.243 2.769.096 11.711.573 12.929.557 39.508.430 .37 . 679 . 307 39.508.430 XXX 13.7 Totals ..32.7 ...100.0 XXX .100.0 13.8 Line 13.7 as a % of Col. ..5.6 .25.1..7.0 .29.6 _XXX_ XXX 13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11 4.0 18.0 5.0 21.3 23.5 71.9 XXX XXX XXX 71.9 XXX 14. Total Privately Placed Bonds 40,529 8.092.580 5.015 .4,669,027 372,010 3.005.999 14.7 9.100.676 .16.5 XXX 8.092.580 14.1 NAIC 1 .2,567,292 .137,672 ..2,539,876 ..7, 112, 515 .12.9 XXX 14.2 NAIC 2 18.294 .1,849,381 ...8,252,013 15.0 ..7, 112, 515 14.3 NAIC 3 .216,936 ..216,936 0.4 _XXX. ...216,936 14.4 NAIC 4 _XXX. _XXX. 14.5 NAIC 5. XXX 14.6 NAIC 6 23.309 7.236,319 395.137 2,221,391 ..5,545,875 .15,422,031 .28.1 17.352.689 .15,422,031 14.7 Totals 31.5 XXX .46.9 ..2.6 ..14.4 .36.0 .100.0 XXX XXX XXX ..XXX.. .100.0 14.8 Line 14.7 as a % of Col. ..0.2 14.9 Line 14.7 as a % of Line 0.0 13.2 0.7 4.0 10.1 28.1 XXX XXX XXX 28.1 11.7, Col. 7, Section 11 XXX

a) Includes \$	13,995,520	freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.
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8.04 Other Loan-Backed and Structured Securities

8.05 Affiliated Bank Loans - Issued

8.07 Totals

8.06 Affiliated Bank Loans - Acquired.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE MNL Reinsurance Company

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, At Book/Adjusted Carrying Values by Major Type and Subtype of Issues Distribution by Type 10 12 Over 10 Years Over 1 Year Over 5 Years No Maturity Total Col. 7 as a Total from Col. 7 % From Col. 8 Total Publicly Total Privately 1 Year or Less Through 5 Years Through 10 Years Through 20 Years Over 20 Years Date Current Year 6 of Line 11.08 Prior Year Traded Placed Prior Year 1. U.S. Governments XXX ..7,350,992 1.01 Issuer Obligations 2.664.388 4.686.604 ..7,350,992 13.4 1.02 Residential Mortgage-Backed Securities XXX XXX 1.03 Commercial Mortgage-Backed Securities . XXX 1.04 Other Loan-Backed and Structured Securities . 2.664.388 4.686.604 XXX 7,350,992 13.4 7,350,992 1.05 Totals 2. All Other Governments 2.01 Issuer Obligations . XXX 2.02 Residential Mortgage-Backed Securities XXX 2.03 Commercial Mortgage-Backed Securities... XXX 2.04 Other Loan-Backed and Structured Securities... XXX XXX 2.05 Totals 3. U.S. States, Territories and Possessions, Guaranteed XXX. 3.01 Issuer Obligations 3.02 Residential Mortgage-Backed Securities. XXX 3.03 Commercial Mortgage-Backed Securities... XXX XXX 3.04 Other Loan-Backed and Structured Securities... XXX 4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed XXX. 4.01 Issuer Obligations XXX 4.02 Residential Mortgage-Backed Securities. XXX 4.03 Commercial Mortgage-Backed Securities . XXX 4.04 Other Loan-Backed and Structured Securities... XXX 4.05 Totals 4,681,034 2,274,761 2,465,080 2,917,518 XXX .15,095,137 13,597,644 .24.8 27.4 .13,597,644 5.02 Residential Mortgage-Backed Securities. 105 765 306 506 .329.784 309 178 .2.147 XXX .1.053.380 ..1.9 ..1.514.635 ..2.8 .1.053.380 2.093.650 ..2.130.855 2.093.650 3 8 ..2,093,650 5.03 Commercial Mortgage-Backed Securities. XXX 3 9 5.04 Other Loan-Backed and Structured Securities... XXX 1,365,016 4,987,540 2,604,545 2.774.258 5,013,315 XXX 16.744.674 30.5 18,740,627 34.1 16.744.674 5.05 Totals 6. Industrial and Miscellaneous .775,809 .8,476,288 178,201 7,597,949 .5,851,149 XXX .22,879,396 41.7 .26,254,977 47.7 12,906,904 .9,972,493 6.01 Issuer Obligations . .50,901 132,859 .57,801 .3,973 ..985,469 XXX ..1,231,003 2.2 .322,682 1,231,002 6.02 Residential Mortgage-Backed Securities 0.6 .31.545 .1.123.023 XXX .1.154.568 1.209.483 ..31,545 .1.123.023 6.03 Commercial Mortgage-Backed Securities... ..2.1 ..2.2 323.684 892.395 1.938.895 5,569,827 8.504.224 1,243,312 6.04 Other Loan-Backed and Structured Securities 2.414.853 XXX 10.1 15.5 4.326.514 858.255 559,686 8.494.317 8.775.513 XXX 15.422.030 6.05 Totals 12.147.023 30,834,794 56.1 36,291,366 15.412.763 7. Hybrid Securities XXX 7.01 Issuer Obligations 7.02 Residential Mortgage-Backed Securities. XXX 7.03 Commercial Mortgage-Backed Securities XXX 7.04 Other Loan-Backed and Structured Securities. XXX 7.05 Totals XXX 8. Parent, Subsidiaries and Affiliates XXX 8.01 Issuer Obligations XXX 8.02 Residential Mortgage-Backed Securities. 8.03 Commercial Mortgage-Backed Securities... XXX

XXX

XXX XXX

XXX

SCHEDULE D - PART 1A - SECTION 2 (Continued) Maturity Distribution of All Bonds Owned December 31 at Book/Adjusted Carrying Values by Major Type and Subtrace

		Maturity Distribution	n of All Bonds Owne	ed December 31, at E	Book/Adjusted Carry	ring Values by Majo	or Type and Subtype	of Issues				
	1	2 Over 1 Year	3 Over 5 Years	4 Over 10 Years	5	6 No Maturity	7 Total	8 Col. 7 as a	9 Total from Col. 7	10 % From Col. 8	11 Total Publicly	12 Total Privately
Distribution by Type	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Date	Current Year	% of Line 11.08	Prior Year	Prior Year	Traded	Placed
9. SVO Identified Funds	NAM.	VVV	VVV	NAM.	VVV							
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans						VVV						
10.01 Bank Loans - Issued						XXX XXX						
10.02 Bank Loans – Acquired						XXX						
						XXX						
11. Total Bonds Current Year	0.005.000	40 457 000	0 450 000	40 707 447	40 455 074	VVV	40,000,000	70.0	VVV	VVV	00 055 540	0.070.400
11.01 Issuer Obligations	2,035,060	13,157,322	2,452,962	12,727,417	13,455,271	XXX		79.8	XXX	XXX	33,855,540	9,972,493
11.02 Residential Mortgage-Backed Securities	156,666	439,365	387,585	313,151	987,616	XXX	2,284,383	4.2	XXX	XXX	2,284,382	
11.03 Commercial Mortgage-Backed Securities	31,545	1,123,023		000 005	2,093,650	XXX	3,248,218	5.9	XXX	xxx	2,125,195	1,123,023
11.04 Other Loan-Backed and Structured Securities		2,414,853	323,684	892,395	1,938,895	XXX	5,569,827	10.1	XXX	XXX	1,243,312	4,326,514
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
11.06 Affiliated Bank Loans						XXX	+		XXX	XXX		
11.07 Unaffiliated Bank Loans						XXX			XXX	XXX		
11.08 Totals	2,223,271	17,134,563	3,164,231	13,932,963	18,475,432		54,930,460	100.0	XXX	XXX	39,508,429	15,422,030
11.09 Lines 11.08 as a % Col. 7	4.0	31.2	5.8	25.4	33.6		100.0	XXX	XXX	XXX	71.9	28.1
12. Total Bonds Prior Year												
12.01 Issuer Obligations	613,268	11,948,437	10,068,269	8,474,305	10,245,835	XXX	XXX	XXX	41,350,114	75.1	32,006,951	9,343,163
12.02 Residential Mortgage-Backed Securities	244,547	674,692	451,779	457 , 180	9,119	XXX	XXX	XXX	1,837,317	3.3	1,837,317	
12.03 Commercial Mortgage-Backed Securities	35,128	1,174,355			2,130,855	XXX	ххх	XXX	3,340,338	6.1	2,165,983	1 , 174 , 355
12.04 Other Loan-Backed and Structured Securities	923,586	3,775,868	877,854	762,931	2,163,985	XXX	ХХХ	XXX	8,504,224	15.5	1,669,055	6,835,170
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		XXX	XXX				
12.06 Affiliated Bank Loans						XXX	.LXXX	XXX				
12.07 Unaffiliated Bank Loans						XXX	XXX	XXX				
12.08 Totals	1,816,529	17,573,352	11,397,902	9,694,416	14 , 549 , 794		ХХХ	ХХХ	55,031,993		37,679,306	17 , 352 , 688
12.09 Line 12.08 as a % of Col. 9	3.3	31.9	20.7	17.6	26.4		XXX	XXX	100.0	XXX	68.5	31.5
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	2,011,751	9,458,879	2,274,761	10,506,027	9,604,122	XXX		61.6	32,006,952	58.2	33,855,540	XXX
13.02 Residential Mortgage-Backed Securities	156,666	439,365	387 , 586	313,150	987 ,616	XXX	2,284,383	4.2	1,837,317	3.3	2,284,383	XXX
13.03 Commercial Mortgage-Backed Securities	31,545				2,093,650	XXX	2,125,195	3.9	2,165,983	3.9	2,125,195	XXX
13.04 Other Loan-Backed and Structured Securities			106,749	892,395	244,169	XXX	1,243,313	2.3	1,669,055	3.0	1,243,313	XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		ļ	ļ				XXX
13.06 Affiliated Bank Loans						XXX						XXX
13.07 Unaffiliated Bank Loans						XXX						XXX
13.08 Totals	2,199,962	9,898,244	2,769,096	11,711,572	12,929,557		39,508,431	71.9	37,679,307	68.5	39,508,431	XXX
13.09 Line 13.08 as a % of Col. 7	5.6	25.1	7.0	29.6	32.7		100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	4.0	18.0	5.0	21.3	23.5		71.9	XXX	XXX	XXX	71.9	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations	23,309	3,698,443	178,201	2,221,390	3,851,149	XXX	9,972,492	18.2	9,343,163	17.0	XXX	9,972,492
14.02 Residential Mortgage-Backed Securities						XXX					XXX	
14.03 Commercial Mortgage-Backed Securities		1,123,023				XXX	1,123,023	2.0	1,174,355	2.1	XXX	1,123,023
14.04 Other Loan-Backed and Structured Securities		2,414,853	216,936		1,694,726	XXX	4,326,515	7.9	6,835,170	12.4	XXX	4,326,515
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX						XXX	
14.06 Affiliated Bank Loans						XXX					XXX	
14.07 Unaffiliated Bank Loans						XXX					XXX	
14.08 Totals	23,309	7,236,319	395,137	2,221,390	5,545,875		15,422,030	28.1	17,352,688	31.5	XXX	15,422,030
14.09 Line 14.08 as a % of Col. 7	0.2	46.9	2.6	14.4			100.0	ХХХ	ХХХ	XXX	XXX	100.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	0.0	13.2	0.7	4.0	10.1		28.1	XXX	XXX	XXX	XXX	28.1

Schedule DA - Verification Between Yrs

NONE

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

Schedule E - Part 2 - Verification Between Yrs

NONE

Schedule A - Part 1

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 1

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

SCHEDULE BA - PART 1

						U		JULL	DV - 1	ANI	l							
						Showing Other	r Long-Term	Invested Asse	ts OWNED D	ecember 31 of 0	Current Year							
1	2	3	Locati	ion	6	7 8	9	10	11	12		Change in E	Book/Adjusted Carr	ying Value		18	19	20
	_		4	5	1	NAIC					13	14	15	16	17	1		
						Desig- nation, NAIC Desig- nation Modifier &				Book / Adjusted		Current Year's	Current Year's		Total			
CUSIP					Name of Vendor or	SVO Administrative Originally	Type	Actual	Fair	Carrying Value Less	Unrealized Valuation Increase	(Depreciation) or (Amortization)/	Other-Than- Temporary Impairment	Capitalized Deferred Interest and	Foreign Exchange Change in	Investment	Commitment for Additional	Percentage
Identification		Code	City	State	General Partner	Symbol Acquired		Cost	Value	Encumbrances		Accretion	Recognized	Other	B./A.C.V.	Income	Investment	Ownership
	duction – Unaffiliated and Gas Production – Unaffiliate	ed										1	1		1	1		T XXX
Oil and Gas Prod	duction - Affiliated																	
	and Gas Production - Affiliated Equipment - Unaffiliated																	XXX
	nsportation Equipment - Unaffilia	ated																XXX
	Equipment – Affiliated nsportation Equipment – Affiliate	ed										T	T		1	<u> </u>	1	XXX
Mineral Rights	- Unaffiliated																	
0599999 - Min Mineral Rights	eral Rights - Unaffiliated - Affiliated									L			1		1			XXX
0699999 - Min	eral Rights - Affiliated																	XXX
	Private Funds with Underlying Ass -Registered Private Funds with Un										1		<u> </u>					_
(SVO) - Unaff	iliated	, ,	•		· ·	,												XXX
	Private Funds with Underlying Ass -Registered Private Funds with Un									1	1	_			1		1	
(SVO) - Affil	iated	, ,			ŭ	,												XXX
Non-Registered F	Private Funds with Underlying Ass -Registered Private Funds with Un	ets Having	Characteristics of:	Bonds - NAIC Des	ignation Not Assigned by	the Securities Valuation	Office (SVO) -	Unaffiliated			1							
Office (SVO)	- Unaffiliated																	XXX
	Private Funds with Underlying Ass -Registered Private Funds with Un							Affiliated		1	1	1		1			1	
Office (SVO)	- Affiliated	, ,				Assigned by the Securiti	cs varuation											XXX
	Private Funds with Underlying Ass -Registered Private Funds with Un					1					1		1		1	1	1	I XXX
Non-Registered F	Private Funds with Underlying Ass	ets Having	Characteristics of:	Mortgage Loans	- Affiliated													
	-Registered Private Funds with Un Private Funds with Underlying Ass																	XXX
1399999 - Non	-Registered Private Funds with Un	nderlying As	ssets Having Charact	eristics of: Othe	r Fixed Income Instrument	s - Unaffiliated												XXX
	Private Funds with Underlying Ass -Registered Private Funds with Un										1				1		1	I XXX
	artnership or Limited Liability						ruments - NAIC D	esignation Assign	ed by the Secur	ties Valuation Off	ice (SVO) - Unaffil	iated				<u> </u>	1	
1599999 - Joi	nt Venture, Partnership or Limite NAIC Designation Assigned by the	ed Liability	Company Interests	for Which the Und	erlying Assets Have the C													XXX
Joint Venture, I	Partnership or Limited Liability	Company Int	erests for Which the	e Underlying Asset	ts Have the Characteristi			esignation Assign	ed by the Secur	ities Valuation Off	ice (SVO) - Affilia	ited						
1699999 - Joi	nt Venture, Partnership or Limite NAIC Designation Assigned by the	ed Liability	Company Interests	for Which the Und	erlying Assets Have the C	Characteristics of: Fixed	Income											XXX
Joint Venture, I	Partnership or Limited Liability	Company Int	erests for Which the	e Underlying Asset	ts Have the Characteristi			esignation Not As	signed by the S	ecurities Valuation	Office (SVO) - Una	ffiliated						
1799999 - Joi	nt Venture, Partnership or Limite NAIC Designation Not Assigned by	ed Liability the Securi	Company Interests	for Which the Und	erlying Assets Have the C Liated	Characteristics of: Fixed	Income											XXX
Joint Venture, F	Partnership or Limited Liability	Company Int	erests for Which the	e Underlying Asse	ts Have the Characteristi			esignation Not As	signed by the S	ecurities Valuation	Office (SVO) - Aff	iliated						
	nt Venture, Partnership or Limite NAIC Designation Not Assigned by					Characteristics of: Fixed	Income											XXX
Joint Venture, I	Partnership or Limited Liability	Company Int	erests for Which the	e Underlying Asse	ts Have the Characteristi													
1999999 - Joi	nt Venture, Partnership or Limite	ed Liability	Company Interests	for Which the Und	erlying Assets Have the C	Characteristics of: Comm	on Stocks -											XXX
Joint Venture, I	Partnership or Limited Liability										-						-	
2099999 - Joi	nt Venture, Partnership or Limite	ed Liability	Company Interests	tor Which the Und	eriying Assets Have the C	naracteristics of: Comm	on Stocks -											XXX
Joint Venture, I	Partnership or Limited Liability											-						
2199999 - Joi Unaffiliated	nt Venture, Partnership or Limite	ed Liability	company Interests	tor Which the Und	eriying Assets Have the C	maracteristics of: Real	Estate -											XXX
Joint Venture, I	Partnership or Limited Liability	Company Int	erests for Which the	e Underlying Asse	ts Have the Characteristi	cs of: Real Estate - Af	filiated	_										
2299999 - Jon Affiliated	nt Venture, Partnership or Limite	au Liability	company interests	ior which the Und	errying assets have the C	maracteristics of: Real	Estate -											XXX
	Partnership or Limited Liability							_										
Unaffiliated	nt Venture, Partnership or Limite	ed Liability	company interests	tor which the Und	eriying Assets Have the C	naracieristics of: Mort	gage Loans -											XXX
Joint Venture	Partnership or Limited Liability	Company Int	erests for Which the	e Underlying Asse	ts Have the Characteristi	cs of: Mortgage Loans -	Affiliated	•	•	•	•	•	•	•	•	•	•	

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year													
		1	ecember 31 of C	Current Year									
1 2 3 <u>Location</u> 6 7 8 9	10	11	12		Change in B	ook/Adjusted Carr	ying Value		18	19	20		
4 5 NAIC				13	14	15	16	17	1		'		
Desig-											'		
nation,											'		
NAIC Desir													
Desig- nation													
Modifier &			Book / Adjusted		Current Year's	Current Year's		Total					
SVO			Carrying	Unrealized	(Depreciation)	Other-Than-	Capitalized	Foreign		Commitment	'		
Name of Admin- Date Type			Value	Valuation	or	Temporary	Deferred	Exchange		for	Percentage		
CUSIP Vendor or istrative Originally and	Actual	Fair	Less	Increase	(Amortization)/	Impairment	Interest and	Change in	Investment	Additional	of		
Identification Name or Description Code City State General Partner Symbol Acquired Strategy	Cost	Value	Encumbrances	(Decrease)	Accretion	Recognized	Other	B./A.C.V.	Income	Investment	Ownership		
2499999 - Joint Venture, Partnership or Limited Liability Company Interests for Which the Underlying Assets Have the Characteristics of: Mortgage Loans -													
Affiliated											XXX		
Joint Venture, Partnership or Limited Liability Company Interests for Which the Underlying Assets Have the Characteristics of: Other - Unaffiliated													
2599999 - Joint Venture, Partnership or Limited Liability Company Interests for Which the Underlying Assets Have the Characteristics of: Other - Unaffiliated											XXX		
Joint Venture, Partnership or Limited Liability Company Interests for Which the Underlying Assets Have the Characteristics of: Other - Affiliated													
2699999 - Joint Venture, Partnership or Limited Liability Company Interests for Which the Underlying Assets Have the Characteristics of: Other - Affiliated											XXX		
Surplus Debentures, etc Unaffiliated													
309588-AC-5. FARMERS EXCHANGE CAPITAL	245,407	307,790	246,988		353			ļ	17,625				
309580-AA-9, FARWERS EVCHANGE CAPITAL III. XXXX. 12/01/2016.	1,000,000	1,207,500	1,000,000		/4 007)			ļ					
636792 - Aa - 1. NATIONAL LIFE INSURANCE CO	306,466	413,765	301,940		(1,267)				26,250				
2799999 - Surplus Debentures, etc Unaffiliated	1,551,873	1,929,055	1,548,928		(914)				98,415		XXX		
Surplus Debentures, etc Affiliated													
2899999 - Surplus Debentures, etc Affiliated											XXX		
Collateral Loans - Unaffiliated 2999999 - Collateral Loans - Unaffiliated											T XXX		
Collateral Loans - Affiliated	1				1						T VVV		
3099999 - Collateral Loans - Affiliated											XXX		
Non-col lateral Loans - Unaffiliated	1				1						T XXX		
3199999 - Non-collateral Loans - Unaffiliated													
Non-Col lateral Loans - Affiliated	1				1						T XXX		
3299999 - Non-collateral Loans - Affiliated Capital Notes - Unaffiliated													
	1				1								
3399999 - Capital Notes - Unaffiliated Capital Notes - Affiliated											XXX		
349999 - Capital Notes - Affiliated											XXX		
Suspense - Capital Notes - Affiliated Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated											1 ///		
odal articeur retural a Low Timolie Polisting Tax Credit - Unaffiliated 359999 - Guaranteed Federal Low Timome Housing Tax Credit - Unaffiliated	1				1	ı		1	1	ı	T XXX		
3.599999 - Guaranteeur Perurant Low Income Housing Tax Credit - Violatificated Guaranteed Federal Low Income Housing Tax Credit - Affiliated			L			<u> </u>					^^^		
odarianteeur regieral Low Timoulie Pousing Tax Credit - Affiliated 369999 - Guaranteed Federal Low Timoulie Fousing Tax Credit - Affiliated	1				1	1				1	T XXX		
3099999 - Oldra alreed Federal Low Income Housing Tax Credit - Affiliated Non-Guaranteed Federal Low Income Housing Tax Credit - Affiliated													
379999 - Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated	1				T	ı		1	1	1	T XXX		
3799999 - NOT-Guarianteed Pederal Low Income Pousing Tax Credit - Affiliated Non-Guaranteed Federal Low Income Housing Tax Credit - Affiliated											1 ///		
Non-Quaranteer Tederal Commission (Income notion) as Credit - Artificiated 3899999 - Non-Quaranteed Federal Low Income Housing Tax Credit - Affiliated					1					1	XXX		
Suppose State Low Income Housing Tax Credit - Unaffiliated													
399999 - Guarantee Town Income Housing Tax Credit - Unaffiliated	1				T	1				1	T XXX		
3999999 - Vinarianteed State Low Income Housing Tax Credit - Affiliated			<u> </u>			<u> </u>							
duranteed of the Low Income Housing Tax Credit - Affiliated											XXX		
Non-Guaranteed State Low Income Housing Tax Credit - Inaffiliated													
4199999 - Non-Guaranteed State Low Income Housing Tax Credit - Unaffiliated	1				T	ı				ı	T XXX		
41595999 - Non-Guaranteed State Low Income Housing Tax Credit - Affiliated			<u> </u>			<u> </u>		l					
1 A 29999 - Non-Guaranteed State Low Income Housing Tax Credit - Affiliated						1					I XXX		
All Other Low Income Housing Tax Credit - Unaffiliated													
439999 - All Other Low Income Housing Tax Credit - Unaffiliated						I					T XXX		
4339393 - A TO THE LOW INCOME MOUSING TAX Credit - VIGITITIATED													
449999 - All Other Low Income Housing Tax Credit - Affiliated	1	1	1			1				1	I XXX		
44399999 - ATT OTTER LOW INCOME MUST INTEREST AND CHEMIC - ATTITIZED Working Capital Finance Investment - Unaffiliated											^^^		
working capital Finance investment - onarithtated 4599999 - Working Capital Finance Investment - Unaffiliated	1		1			ı				1	T XXX		
4,999999 - Morking Capitar Finance investment - onaffiliated Any Other Class of Assets - Unaffiliated	1										^^^		
Adjustic Class of Assets - oldaffiliated 4699999 - Any Other Class of Assets - Unaffiliated		1	1			1				1	XXX		
4099999 - nij Vtriet Urdss Urdssets - bildittifated Anv Other Class of Assets - Affiliated	1										^^^		
Arry other Class of Assets - Affiliated 4799999 - Any Other Class of Assets - Affiliated											T XXX		
4739393 - Nujv Otter Crass Or Assets - ATTITATED	1.551.873	1.929.055	1.548.928		(914)		+	 	98.415		XXX		
499999 - Subtotals - Affiliated	1,001,0/0	1,929,000	1,040,920		(914)				90,413		XXX		
	1,551,873	1,929,055	1,548,928		(914)		-	-	98,415		XXX		
5099999 Totals	1,001,8/3	1,929,055	1,040,928		(914)				90,415				

1.												
Line												
Numb	erBoo	k/A	Adjusted Carrying Value b	y NAI	C Designation Category For	ootnote	:					
1A	1/	١.	\$	1B	\$	1C	\$	1D	\$ 1E	\$ 1F	\$ 1G	\$
1B	2/	١.	\$	2B	\$	2C	\$					
1C	3/	١.	\$	3B	\$	3C	\$					
1D	4/	١.	\$	4B	\$	4C	\$					
1E	5/	١.	\$	5B	\$	5C	\$					
1F	6		\$									

Schedule BA - Part 2

NONE

Schedule BA - Part 3

SCHEDULE D - PART 1 Showing All Long-Term BONDS Owned December 31 of Current Year 1 2 Codes 6 7 Fair Value 10 11 Change in Book / Adjusted Carrying Value Interest Dates																
1 2 Codes 6	7	T Fair	r Value	Showing All L	11				1			Interes	t		T D:	ates
3 4 5 NAIC	'	8	q	1 10	''	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification Description F Designati P Designati P Designati P Designati P Designati Addininati P Description Code n CHAR ive Symb	on -) at- Actual	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change In B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
Bonds - U.S. Governments - Issuer Obligations	•	'		'	'	,	'				'					•
3133EN-JY-7. FFCB 2.68 01/37-04/22 (A) 2 1.A FE 88059F-BP-5. TVASP 0.0 04/01/56. 0 1.A 88059F-BS-9. TVASP 0.0 09/15/39 0 1.A 88059F-CG-4. TVA PRIN STIP 0 1.A	1,928,630 1,630,886 2,652,851	42 .5160 64 .2330 18 .6790	2,338,380 1,605,825 1,891,249	10,125,000	999,250 1,978,040 1,665,138 2,708,564		49,410 34,252 55,713			2.680	2.680 3.000 2.300 3.030	JJ N/A N/A			12/28/2021 02/24/2021 02/04/2021 04/22/2021	04/01/2056 09/15/2039 09/15/2065
0199999 - Bonds - U.S. Governments - Issuer Obligations Bonds - U.S. Governments - Residential Mortgage-Backed Securities	7,211,617	XXX	6,837,304	19,125,000	7,350,992		139,375			XXX	XXX	XXX			XXX	XXX
0299999 - Bonds - U.S. Governments - Residential Mortgage-Backed Securities XXX XXX XXX XXX XXX XXX XXX XXX XXX																
Securities XXX XXX XXX XXX XXX XXX XXX XXX XXX X															XXX	
0399999 - Bonds - U.S. Governments - Commercial Mortgage-Backed Securities XXX XXX XXX XXX XXX XXX XXX XXX XXX X															XXX	
Securities XXX XXX XXX XXX XXX XXX XXX XXX XXX X															AAA	
0499999 - Bonds - U.S. Governments - Other Loan-Backed and Structured		VVV										.,,,,			VVV	VVV
Securities 0599999 - Bonds - U.S. Governments - Subtotals - U.S. Governments	7,211,617	XXX	6 027 204	10 105 000	7,350,992		120 275			XXX	XXX	XXX			XXX	XXX
Bonds - All Other Governments - Issuer Obligations	1,211,011		6,837,304	19,125,000	7,300,992		139,375			1 ///		۸۸۸			^^^	
0699999 - Bonds - All Other Governments - Issuer Obligations		XXX								XXX	XXX	XXX			XXX	XXX
Bonds - All Other Governments - Residential Mortgage-Backed Securities										<u>'</u>						
0799999 - Bonds - All Other Governments - Residential Mortgage-Backed		XXX								VVV	vvv	XXX			XXX	VVV
Securities Bonds - All Other Governments - Commercial Mortgage-Backed Securities		1 , , , ,		1	l .		1	l	1	XXX	XXX	۸۸۸			۸۸۸	XXX
0899999 - Bonds - All Other Governments - Commercial Mortgage-Backed																
Securities		XXX								XXX	XXX	XXX			XXX	XXX
Bonds - All Other Governments - Other Loan-Backed and Structured Securiti 0999999 - Bonds - All Other Governments - Other Loan-Backed and	es	1	1	1	T		T	T	T	1	1	1			I	
Structured Securities		XXX								XXX	XXX	XXX			XXX	XXX
1099999 - Bonds - All Other Governments - Subtotals - All Other		VVV								VVV	VVV	VVV			VVV	VVV
Governments Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed)	lecuer Obligat	XXX								XXX	XXX	XXX			XXX	XXX
1199999 - Bonds - U.S. States, Territories and Possessions (Direct and	- issuer obrigat									Ī		I				
Guaranteed) - Issuer Obligations	Danislantial Ma	XXX	0:							XXX	XXX	XXX			XXX	XXX
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) 1299999 - Bonds - U.S. States, Territories and Possessions (Direct and	- Residential Mo	rigage-Backed	Securities						I							
Guaranteed) - Residential Mortgage-Backed Securities		XXX								XXX	XXX	XXX			XXX	XXX
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) 1399999 - Bonds - U.S. States, Territories and Possessions (Direct and	- Commercial Mort	gage-Backed S	ecurities T				T	ı	1						1	
Guaranteed) - Commercial Mortgage-Backed Securities		XXX								XXX	XXX	XXX			XXX	XXX
Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed)	- Other Loan-Back	ked and Structi	ured Securities													
1499999 - Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed) - Other Loan-Backed and Structured Securities		XXX								XXX	XXX	XXX			XXX	XXX
1799999 - Bonds - U.S. States, Territories and Possessions (Direct and																
Guaranteed) - Subtotals - U.S. States, Territories and Possessions (Direct and Guaranteed)		XXX								XXX	XXX	XXX			XXX	XXX
Bonds - U.S. Political Subdivisions of States, Territories and Possession	s (Direct and Gua		ı suer Obligations	<u> </u>	<u> </u>				1	1 ^^^		۸۸۸			۸۸۸	۸۸۸
1899999 - Bonds - U.S. Political Subdivisions of States, Territories and		T	T SDITIGUETORIE	, 												
Possessions (Direct and Guaranteed) - Issuer Obligations		XXX		L David L O						XXX	XXX	XXX			XXX	XXX
Bonds - U.S. Political Subdivisions of States, Territories and Possession 1999999 - Bonds - U.S. Political Subdivisions of States, Territories and	s (Direct and Gua	aranteed) - Ke	sidential Mortga T	age-Backed Secur	ities		T	1	1						1	
Possessions (Direct and Guaranteed) - Residential Mortgage-	'	,,,,,,								VVV	,,,,,	VVVV			VVV	VVV
Backed Securities Bonds - U.S. Political Subdivisions of States, Territories and Possession	e (Direct and Cua	XXX	mmercial Mortgo	Racked Socuri	ties		<u> </u>	<u> </u>		XXX	XXX	XXX			XXX	XXX
2099999 - Bonds - U.S. Political Subdivisions of States, Territories and Possession		1 4111660) - 601	miororai mortgag	JO-DAUNGU 380011	1100			1	1							
Possessions (Direct and Guaranteed) - Commercial Mortgage- Backed Securities	´	XXX								XXX	XXX	XXX			XXX	XXX
השהעבת הפרתוונובף		1 ^^^	1					l		T	1 ^^^	۸۸۸			^^^	۸۸۸

SCHEDULE D - PART 1

Showing All Long	g-Term BONDS Ow	nod Docombor 31	of Current Voor

Showing All Long-Term BONDS Owned December 31 of Current Year																				
1	2		des	6	7	Fair	Value	10	11		nange in Book / Adjuste	ed Carrying Value				Interes	t		Da	ates
CUSIP		3 4 F O r e i a	5 Bond	NAIC Designation , NAIC Designation Modifier and SVO Administrat-	Actual	8 Rate Used to Obtain Fair	9 Fair	Par	Book/ Adjusted Carrying	12 Unrealized Valuation Increase/	13 Current Year's (Amortization)/	14 Current Year's Other Than Temporary Impairment	Total Foreign Exchange Change In	16 Rate	17 Effective Rate	18 When	Admitted Amount Due &	20 Amount Rec. Durina	21	22 Stated Contractual Maturity
Identification	Description	Code n		ive Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	. Political Subdivisions of State						er Loan-Backed			(Bedreade)	71001011011	rtcoograzed	D.71.0.1.	<u> </u>	01	i ala	71001404	i cui	7 toquilou	Bate
	Bonds - U.S. Political Subdivis Possessions (Direct and Guarar Structured Securities	ions of St	ates, Terr	itories and	Division and Gala	XXX	20011 2001100							XXX	XXX	XXX			XXX	XXX
2499999 -	Bonds - U.S. Political Subdivis Possessions (Direct and Guarar Political Subdivisions of Stat (Direct and Guaranteed)	nteed) - S	Subtotals -	U.S.		XXX								XXX	XXX	XXX			XXX	XXX
	. Special Revenue and Special As	sessment (Obligations	and all Non-	Guaranteed Obl	igations of Ag	encies and Auth	orities of Gove	ernments and The	ir Political Subdi	visions - Issuer Obli	igat ions					•			
	ALHSCD 0 8/1/2029	@		1.C FE	187,245	90.2270	622,566	690,000	403,544		27 , 558				7.200				02/10/2011	08/01/2029
205759-GX-5	ALHSCD 0 8/1/2030		1,2	1.C FE 1.C FE 1.D FE	250 , 470 700 , 000 229 , 788	88 . 1970 100 . 3690 91 . 8040	881,970 702,583 716,071	1,000,000 700,000 780,000	542,647 700,000 489,617		33,436			6.300	7.250 6.300 7.200	MN	7,350	44 , 100	02/10/2011 04/13/2011 04/15/2011	08/01/2030 05/01/2026 08/01/2028
232723-HV-1_ 355514-MD-9_	CYPSCD 6.65 8/1/2025 FSRSCD 6.05 5/1/2026 FMTSCD 6.08 8/1/2026		1,2	1.D FE 1.C FE	700,000 1,250,000 250,000	110 .8100 100 .3080 114 .4250	775,667 1,253,850 286,063	700,000 1,250,000 250,000	700,000 1,250,000					6.650 6.050 6.080	6 .650 6 .050 6 .080	FA	19,396 12,604 6.333	46 , 550 75 , 625 15 , 200	04/07/2011 04/20/2011 03/11/2011	08/01/2025 05/01/2026 08/01/2026
413890-EP-5.	TX HOUSTON SPORT SENIOR LIEN 2014A	@	1,2	1.A FE	1,016,600	40.7970	1,631,880	4,000,000	1,384,475		62,755				4.690	N/A			04/29/2015	11/15/2044
45750T-AP-8	CA INLAND VALLEY REDEV 2014B CA INLAND VALLEY REDEV 2014B LONSCD 5.914 8/1/2025		11	1.C FE 1.C FE 1.D FE	500,000 500,000 1,500,000	100 .6130 105 .6720 110 .2370	503,065 528,360 1,653,555	500,000 500,000 1,500,000	500,000 500,000 1,500,000					4.232 4.432 5.914	4.230 4.430 5.910	MS	7,053 7,387 36,963	21,160 22,160 .88,710	05/08/2014 05/08/2014 04/20/2011	03/01/2022 09/01/2023 08/01/2025
567389-TA-9_ 59333N-NQ-2_	MARSCD 5.834 7/1/2025 FL MIAMI-DADE CNTY SPL OBLIG NJ TTF 2006C.		11	1.C FE 1.E FE 1.F FE	250,000 1,070,550 1,053,650	115.7560 51.8880 .81.6660	289,390 2,594,400 2,041,650	250,000 5,000,000 2,500,000	250,000 1,533,040 1,480,450					5.834	5.830 5.040 4.840	JJ	7,293	14,585	03/18/2011 10/08/2014 11/18/2014.	07/01/2025 10/01/2045 12/15/2032
725894-KL-2_	PCT 5.4 2/1/2026CA SANTA CRUZ REDEV AGCY			1.D FE	273,951	115.6070	346,821	300,000	290,284		2,027			5.400	6.310	FA	6,750	16,200	03/30/2011	02/01/2026
	2015A YBCSCD 0 9/1/2027 Bonds - U.S. Special Revenue and		1,2 Assessment	1.C FE 1.F FE	979,940 382,005	107 .7860 92 .1920	1,077,860 1,166,229	1,000,000 1,265,000	984,630 838,953		805 58,649			4.250	4 . 400 7 . 380	MS N/A	14,167	42,500	04/17/2015 02/17/2011	09/01/2035 09/01/2027
2555555	and all Non-Guaranteed Obligat Authorities of Governments and	tions of A	gencies an	d [44 004 400	VVV	47,074,000	22 405 000	40 507 040		200 440			VVV	VVV	VVV	405 000	200 700	VVV	VVV
Rondo II C	Issuer Obligations Special Revenue and Special As	coccmont (hligations	and all Non	11,094,199 Guarantood Obl	XXX	17,071,980			ir Dolitical Cubdi	366,110	Mortagao Rocked C	Popurition	XXX	XXX	XXX	125,296	386,790	XXX	XXX
	FNMA12-102	3033110111	ovi iyat i 0118 T	1.A	365,000	95.2440	476,220			ii rullital SUDUI	7,939	morryaye-backed 3)GGUITTTES	2.000	4.650	I MON I	833	10,000	06/25/2013	09/25/2042
3137A5-P2-4 31397Q-G5-1	FHR 3795 VZ			1.A 1.A	90,937 213,133	107 .1230 109 .9800	112,615 258,921	105,127	100,037		1,602 2,453			4.000 4.500	5.220 5.380	MON	710 872	4,274	05/24/2011 02/01/2011	01/15/2041 03/25/2041
	FNR 2011-46 Z				324,081	110.5000	389,988	352,930	338,206		8,831			4.500	5.510	MON	2,696	15,319	04/13/2011	05/25/2041
	Authorities of Governments and Residential Mortgage-Backed Se	d Their Po ecurities	oĬitical Su	bdivisions -	993,151	XXX	1,237,744				20,825			XXX	XXX	XXX	5,111	40,909	XXX	XXX
	. Special Revenue and Special As	sessment (bligations							ir Political Subdi		Mortgage-Backed Se	ecurities		0.000	1 11011	7 000 1	05.000	10.10.1.100.7	
	FNMA MF 30YR				2,102,994	116.3730	2,384,718	2,049,202	2,093,650		(2,465)			4.130	3.890	MON	7,288 .	85,808	12/24/2015	01/01/2046
Ronds - II 9	Authorities of Governments and Commercial Mortgage-Backed Sec Special Revenue and Special As	d Their Po curities	olitical Su	bdivisions -	2,102,994 Guaranteed Ohl	XXX	2,384,718	1	1,	ir Political Subdi	(2,465)	Racked and Structu	ured Securities	XXX	XXX	XXX	7,288	85,808	XXX	XXX
Donas 0.0.	. opoorar novorido dila opoorar no	oooomont (oo i i gat i Olia	, and all 14011-	Juan antiood ODT	igaciono di Ag	onorga ana Auth	0111103 01 0010	Jimonto ana ma	ii i Siii tibai babai	TIOTOTO OTHOR LUCIT-L	saonou ana otrubtu	1100 0000111103							

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year																				
1	2	С	odes	6	7	Fair	Value	10	11	Cł	nange in Book / Adjust	ed Carrying Value				Interes	st		Da	tes
		3 4 F o r e	:	NAIC Designation , NAIC Designation Modifier and SVO		8 Rate Used to Obtain	9		Book/ Adiusted	12 Unrealized Valuation	13 Current Year's	14 Current Year's Other Than Temporary	Total Foreign Exchange Change	16	17 Effective	18	19 Admitted Amount	20 Amount Rec.	21	22 Stated Contractual
CUSIP		a	Bond	Administrat	- Actual	Fair	Fair	Par	Carrying	Increase/	(Amortization)/	Impairment	In	Rate	Rate	When	Due &	During		Maturity
Identification	Description	Code n	CHAR	ive Symbol	Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
2899999 - 1	Bonds - U.S. Special Revenue ar	nd Special	Assessmen	t Obligations																
0.400000	and all Non-Guaranteed Obliga Authorities of Governments ar Other Loan-Backed and Structu	nd Their F ired Secur	Political S rities	ubdivisions -		XXX								XXX	XXX	XXX			XXX	XXX
3199999 - 1	Bonds - U.S. Special Revenue ar and all Non-Guaranteed Obliga Authorities of Governments ar Subtotals - U.S. Special Reve Obligations and all Non-Guara	ations of nd Their F enue and S anteed Obl	Agencies a Political S Special Assoligations o	nd ubdivisions - essment																
Danda Indu	Governments and Their Politic			bligations	14,190,344	XXX	20,694,442	25,427,684	16,744,670		384,470			XXX	XXX	XXX	137,695	513,507	XXX	XXX
	ustrial and Miscellaneous (Unaf APOLLO MANAGEMENT HOLDINGS LP.		- issuer 0	I1.G FE	999,120	110.4070	1,104,070	1,000,000	999,565		88		1	4.400	4.410	MN	4,156	44,000	05/24/2016	05/27/2026
		t	1 1	1.6 FE 1.B FE	497.650	125.6620	628,310	500,000	498 . 130		92		†	4.641	4.410	FA	8,956	22.500	02/16/2016	02/23/2036
	ARES FINANCE CO LLC	[1,2	2.A FE	982,680	104.6650	1,046,650	1,000,000	994,462		1,848			4.000	4.210	A0	9,222	40,000	10/01/2014	10/08/2024
04250C-AB-8	ARMY HAWAII FMLY HSING TRST	ļ		1.G FE	500,000	128.2440	641,220	500,000	500,000					5.750	5.750	JD	1,278	28,750	04/15/2015	06/15/2050
04250G-AB-9_	ARMY HAWAII FMLY HSING TRST			1.G FE	500,000	121.7330	608,665	500,000	500,000					5.750	5.750	JD	1,278	28,750	04/15/2015	06/15/2050
	FT BELVOIR LAND LLC.			1.E FE	231,630	124.9870	374,962	300,000	243,001		2,923			5.400	7.620	JD	720	16,200	02/11/2011	12/15/2047
	NY CHARITY HEALTH (WESTCH MED) 201			2.C FE	500,000	130.6880	653,440	500,000	500,000		_,			6.250	6.250	MN	5,208	31,250	05/14/2015	11/01/2035
14069B-AA-2	CPMRK 2007-AETC A1	ļ		2.A	778,511	115.0200	892,102	775,606	778,170		(218)			5.746	5.710	MON	2,600	44,532	02/11/2015	02/10/2052
20453K-AA-3	COMPASS BANK	ļ	1,2	1.G FE	990,190	107 .4500	1,074,500	1,000,000	996,353		1,023			3.875	3.990	A0	8,719	38,750	04/07/2015	04/10/2025
320844-PD-9 33972P-AA-7	FIRST MERIT BANK (OHIO) FLNG LIQUEFACTION 2 LLC.	 		2.A FE 2.B FE	1,000,000	109 .9410 107 .5000	1,099,410 988,785	1,000,000 919,800	1,000,000 919,800				 	4.270 4.125	4.270 4.120	MN MS	4,270 9,591	42,700 37,942	11/18/2014 07/12/2016	11/25/2026 03/31/2038
		†		2.B FE	476.520	106.7200	475.377	445.444	472.846		(2.269)			5.465	4.910	MON	1.420	24.346	05/20/2016	05/10/2050
38141G-GM-0	GOLDMAN SACHS GROUP INC.			2.A FE	1,479,914	145.6500	2,111,925	1,450,000	1,474,647		(669)			6.250	6.090	FA	37,760	90,625	04/25/2011	02/01/2041
413875-AS-4	HARRIS CORPORATIONLMH SPE AM LLC / LMH SP 5.9	ļ		2.B FE	500,000	122.3530	611,765	500,000	500,000					4.854	4.850	A0	4,315	24,270	04/22/2015	04/27/2035
	21JUN48 MAGELLAN MIDSTREAM PARTNERS		2	2.B PL	926,510	102.2600	947,449	926,510	926,510					5.900	5.900	JD	1,518	54,616	03/13/2015	06/21/2048
559080-AK-2 665501-AM-4	NORTHERN NATURAL GAS COMPANY	 	1,2	2.A FE	749,063	102.9940	837,833 1,029,940		749,552 991,276		94 116			5.000 3.400	5.010 3.440	MS AO	12,500	37 ,500 17 ,567	02/22/2016 04/07/2021	03/01/2026 10/16/2051
677071-AC-6	IOHANA MILITARY COMM LLC	ļ		1.F FE	378,834	140.3270	526,226	375,000	378,545		(74)		†	6.193	6.080	A0	5.806	23,224	04/07/2021	04/01/2049
677071-AK-8	OHANA 5.88 10/51			1.G FE	424,231	128.8990	590,533	458,138	429,104		934			5.880	6.500	AO	6.735	26,938	02/14/2012	10/01/2051
68389X-BE-4_	ORACLE CORPORATION		1,2	2.A FE	473,960	107 .2780	536,390	500,000	479,821		1,097			3.900	4.300	MN	2,492	19,500	02/09/2016	05/15/2035
718546-AK-0	PHILLIPS 66	ļ	1,2	2.A FE	1,918,466	117 . 3890	2,277,347	1,940,000	1,923,961		887			4.650	4.730	MN	11,527	90,210	11/13/2014	11/15/2034
744320 - AV - 4 785592 - A* - 7	PRUDENTIAL FINANCIAL INC	 	1,2	2.B FE	1,000,000	106.7760 113.8110	1,067,760 569,057	1,000,000	1,000,000 500.000					5.375 5.000	5.370 5.000	MN MS	6,868 7,361	53,750 25.000	05/13/2015 02/14/2017	05/15/2045 09/15/2037
852891-AC-4	STANCORP FINANCIAL GROUP INC	····	1	2.C FE 2.A FE	780,758	102.5590	769,193	750,000	752,500		(3,884)		†	5.000	4.450	FA	14,167	37,500	06/06/2013	08/15/2022
1002001 NO 1	SUNOCO LOGISTICS PARTNERS					102.0000					(0,001)									
86765B-AS-8	OPERATIO	ļ	1,2	2.C FE	1,070,120	114.0520	1,140,520	1,000,000	1,031,673		(7,668)			5.950	4.990	JD	4,958	59,500	05/20/2016	12/01/2025
90983V - AA - 1	MCGAFB 5.611 9/51	ł		2.B FE	237,494	105.5890	300,572	284,663	244,310		730			5.611	7.070	MS	4,703	15,972	02/04/2011	09/15/2051
	BROOKFIELD FINANCE INC	A	1,2	1.G FE		110 . 1330 109 . 5660	550,665 640,961	500,000 585,000	497,612 584.335		480 143			4.250 4.150	4.370 4.180	JD MS	1,712	21,250 24,278	05/25/2016 03/01/2016	06/02/2026 03/04/2026
		† ^	·	1.G FE 2.B FE	505,306	111.8090	559,045	500,000	502,656		(531)		†	5.200	5.060	MN		24,276	05/20/2016	05/12/2026
2027A0-HR-3_	COMMONWEALTH BANK OF AUSTRALIA	۵		2.A FE	1,022,890	109.8090	1,098,090	1,000,000	1,010,569		(2,419)			4.500	4.200	JD	2,750	45,000	05/20/2016	12/09/2025
585270-AA-9	MEIJI YASUDA LIFE INSURANCE CO	D	1,2	1.G FE	500,000	109.9360	549,680	500,000	500,000					5.200	5.200	A0	5,128	26,000	10/15/2015	10/20/2045
	Bonds - Industrial and Miscella Obligations				22,913,565	XXX	26,302,442	22,960,161	22,879,398		(7,277)			XXX	XXX	XXX	206,230	1,118,420	XXX	XXX
	istrial and Miscellaneous (Unaf	filiated)	- Resident																	
		 		1.A	985,469	98.7400	987,395	1,000,000	985,469		445		ļ	2.500	2.590	MON	2,083	0.474	12/28/2021	01/25/2052
35563P-DD-8	SCRT 2017-4 HTBonds - Industrial and Miscella	pooue /Un	offiliated	<u>.</u> 1.A	245,542	96.4930	237 , 525	246 , 158	245,534		115			3.250	3.260	MON	665	8,171	12/07/2017	06/01/2057
339999 - 1	Residential Mortgage-Backed S			, -	1,231,011	XXX	1,224,920	1,246,158	1,231,003		115			XXX	XXX	XXX	2,748	8,171	XXX	XXX

SCHEDULE D - PART 1

Showing All	l ong-Term RONDS	Owned December 3	1 of Current Vear
SHOWING AIL	i ono-reim bunu s	o Owned December 3	ii oi Curreni reai

									Showing All L	ong-Term BON	DS Owned Dece	ember 31 of Current \	Year								
1	2		Codes		6	7	Fair	Value	10	11	Cł	nange in Book / Adjust	ed Carrying Value				Interes	st .		Da	ites
				5	NAIC		8	9		Ī	12	13	14	15	16	17	18	19	20	21	22
			F		Designation	ı							Current								
			0		, NAIC								Year's	_Total							
			r		Designation	1	l			5 .,			Other	Foreign							
			e		Modifier and SVO		Rate Used to Obtain			Book/	Unrealized	Current Year's	Than	Exchange		Effective		Admitted	Amount		Stated
CUSIP			' Pa	ond l	Administrat-	Actual	Fair	Fair	Par	Adjusted Carrying	Valuation Increase/	(Amortization)/	Temporary Impairment	Change In	Rate	Rate	When	Amount Due &	Rec. During		Contractual Maturity
Identification	Description	Code			ive Symbol		Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
	ustrial and Miscellaneous (Unaf							Value	value	value	(Decrease)	Accidion	recognized	D./A.O.V.	OI .	01	I alu	Accided	i cai	Acquired	Date
	BSCMS 2007-T26 AM	11114100	1) 00111111	5	1.A FM	35,209	L99.4600	33,906	34,090	31,545		(2,155)			5.430	(0.870)	MON	154	1,863	03/29/2011	01/12/2045
	FREMF 2014-K39 B.			5	1.A FM	1.012.042	106.0360	1,060,360	1.000.000	1.005.846		(3,863)			4 . 154	3.870	MON	3.462	42.076	09/04/2014	08/25/2047
682439-AA-2	AACMT 2005-C6A A1				1.D FM	125,663	104.9970	124,474	118,550	117 , 178		(2,503)			5.689	6 . 880	MON	375	6,749	02/18/2011	10/13/2037
3499999 -	Bonds - Industrial and Miscella			ated) -	-	Ì															
	Commercial Mortgage-Backed Se					1,172,914		1,218,740	1,152,640	1,154,569		(8,521)			XXX	XXX	XXX	3,991	50,688	XXX	XXX
	istrial and Miscellaneous (Unaf	filiated	d) - Othe	er Loan								(10.110)									
	CPMRK 2008-AMCW A1A	ļ			1.E FE	925,362	145.9690	1,055,427	723,049	906,379		(12,118)			6.897	4.940	MON	2,909	49,869		07/10/2055
14855J-AB-1	CLAST 2016-1 A	ł			1.G FE		99.1210	103,646	104,566	106,749		1,260		ļ	4 . 450	3.850	MON	207	2,928	08/01/2016	08/15/2041
66516X-AB-1	HENDR 2014-3A BNORTHERN GROUP HOUSING	 			2.C FE 1.C FE.		107 .4610	847 , 180 1 , 312 , 766	788,361 1,000,000	788,347 1,136,564		(24) (7,325)		 	4 . 400 6 . 503	4 . 480 5 . 010	MON	1,542 2,890	34,652	11/18/2014 10/28/2015	06/15/2079 08/15/2043
	STR 2014-1A A2				1.6 FE	505 . 150	101.7510	489.465	481,042	492,712		(3,034)		†	5.000	3.960	MON	735		07/30/2014	04/20/2044
86213C-AB-1		† -			1.E FE	1.440.079	102.6300	1,488,135	1,450,000	1.444.641		1,333		†	4.170	4.370	MON	1,848	60.464	05/06/2015	04/20/2044
	BELL 2016-1A A23	†			2.B FE	477,500	104 .8280	500,554	477,500	477,500		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		1	4.970	5.060	FMAN	2,373	23,732	05/04/2016	05/25/2046
	KDAC 2017-1A A		.D.	2	3.C FE	216,292	88.2550	190,895	216,300	216,936		584			4.212	4.160	MON	405	9,111	12/12/2017	12/15/2042
3599999 -	Bonds - Industrial and Miscella			ated) ·	- Other														·		
	Loan-Backed and Structured Se			-		5,622,703	XXX	5,988,068	5,240,818	5,569,828		(19,324)			XXX	XXX	XXX	12,909	269,838	XXX	XXX
3899999 -	Bonds - Industrial and Miscella				- Subtotals																
	- Industrial and Miscellaneou	_ \	filiated))		30,940,193	XXX	34,734,170	30,599,777	30,834,798		(35,007)			XXX	XXX	XXX	225,878	1,447,117	XXX	XXX
	id Securities - Issuer Obligat																1				
	Bonds - Hybrid Securities - Iss						XXX								XXX	XXX	XXX			XXX	XXX
	rid Securities - Residential Mo																				
4399999 -	Bonds - Hybrid Securities - Res	sidentia	ıl Mortgaç	ge-Back	ked		VVV								VVV	VVV	VVV			VVV	VVV
Davida Ilida	Securities	4 D-	11 0		_		XXX								XXX	XXX	XXX			XXX	XXX
	rid Securities – Commercial Mor Bonds – Hybrid Securities – Con						1											1			
4499999 -	Securities	IIIICICIAI	wor tyaye	e-backe	eu		XXX								XXX	xxx	XXX			XXX	XXX
Ronds - Hybi	rid Securities - Other Loan-Bac	ked and	Structur	ed Sec	urities	1									λλλ	AAA	AAA			ΛΛΛ	AAA
	Bonds - Hybrid Securities - Oth					1															
1000000	Securities	ioi Louii	Daonoa (ana oti	1 40 (41 04		XXX								XXX	XXX	I xxx I			XXX	XXX
4899999 -	Bonds - Hybrid Securities - Sub	ototals	- Hybrid	Secur	ities		XXX								XXX	XXX	XXX			XXX	XXX
Bonds - Pare	ent, Subsidiaries and Affiliate	s - Issu	uer Oblia	ations	i																
4999999 -	Bonds - Parent, Subsidiaries ar	nd Affil	iates -	Issuer																	
	Obligations (XXX								XXX	XXX	XXX			XXX	XXX
	ent, Subsidiaries and Affiliate					ecurities															
5099999 -	Bonds - Parent, Subsidiaries ar	nd Affil	iates - F	Resider	ntial																
	Mortgage-Backed Securities						XXX								XXX	XXX	XXX			XXX	XXX
	ent, Subsidiaries and Affiliate					curities															
5199999 -	Bonds - Parent, Subsidiaries ar	nd Affil	rates - (Commer	cıal		VVV								VVV	VVV	, vvv			VVV	VVV
Dondo Don	Mortgage-Backed Securities ent, Subsidiaries and Affiliate	0 0+1	ar Loon D	اممادمدا	and Cteur-to	wood Coouwities	XXX								XXX	XXX	XXX			XXX	XXX
5299999 -	Bonds - Parent, Subsidiaries ar and Structured Securities	IO ATTII	rates - (utner t	Loan-Backed		XXX								XXX	XXX	XXX			XXX	XXX
Ronde Pare	ent, Subsidiaries and Affiliate	c Affi	iliated R	Pank La	ane Lecuo	d	1 ^^^								۸۸۸	۸۸۸	۸۸۸			۸۸۸	۸۸۸
	Bonds - Parent, Subsidiaries an					T .				Т								ı			
333333	Loans - Issued	iu AIIII	10169 - 1	AIIIII (atou Dalik		l xxx								XXX	xxx	XXX			XXX	XXX
Bonds - Pare	ent, Subsidiaries and Affiliate	s - Affi	iliated R	Bank Lo	ans – Acqui	red	1 77/11	1						1			7077	l.		70111	70371
	Bonds - Parent. Subsidiaries ar					Ī															
	Loans – Acquired						XXX								XXX	XXX	XXX			XXX	XXX
5599999 -	Bonds - Parent, Subsidiaries ar		iates - S	Subtota	als -																
	Parent, Subsidiaries and Aff	iliates					XXX								XXX	XXX	XXX			XXX	XXX
	ldentified Funds – Exchange Tra					SV0															
6099999 -	Bonds - SVO Identified Funds -	Exchang	e Traded	Funds	- as																
	Identified by the SVO						XXX								XXX	XXX	XXX			XXX	XXX

SCHEDULE D - PART 1

Showing All Long-Term **BONDS** Owned December 31 of Current Year

3 4 5 NAIC Designation , NAIC Designation , NAIC												ember 31 of Current									
CLISH Description	1 1	2			6	7			10	11											
Page - Pa			F o r e i	Bond	Designation , NAIC Designation Modifier and SVO Administrat-	Actual	Rate Used to Obtain Fair	Fair		Adjusted Carrying	Unrealized Valuation Increase/	Current Year's (Amortization)/	Current Year's Other Than Temporary Impairment	Total Foreign Exchange Change In	Rate	Effective Rate	When	Admitted Amount Due &	Amount Rec. During		Stated Contractual Maturity
1000 1000	Identification					Cost	Value	Value	Value	Value	(Decrease)	Accretion	Recognized	B./A.C.V.	of	of	Paid	Accrued	Year	Acquired	Date
Instance 1,000 1																					
### Company of the Co			ns – Unaffil	liated Bank	Loans -		.,,,,,										VVVV			VVV	, , , , , , , , , , , , , , , , , , ,
Application Company							XXX								XXX	XXX	XXX			XXX	XXX
Magnetic Magnetic																					
Control Cont		Acquired					XXX								XXX	XXX	XXX			XXX	XXX
Page Page			ns - Subtota	als - Unaff	iliated Bank																
Property Property			I - I ·	0h1:4:		44 040 004		FO 044 700	04 070 404	42,000,000		400.000						224 522	4 505 040		
Secret S	7500000 B	ionas - Iotal Bonas - Subtotal	is - Issuer	upligations	S one Decline	41,219,381	XXX	50,211,726	64,270,161	43,828,030		498,208			ХХХ	XXX	XXX	331,526	1,505,210	XXX	XXX
Souri First First Souri First First Souri First Firs		Securities		·		2,224,162	XXX	2,462,664	2,439,640	2,284,383		20,940			XXX	XXX	ххх	7,859	49,080	XXX	XXX
Profession Floris Profession Floris Profession Floris Profession Floris Flo		Securities			-	3,275,908	XXX	3,603,458	3,201,842	3,248,219		(10,986)			XXX	XXX	XXX	11,279	136,496	XXX	XXX
S009999 Sends Total Bonds Subtotals AFT Institute Bank Loans XXX	7999999 - Bo	onds – Total Bonds – Subtotal Structured Securities	ls – Other L	Loan-Backed	and	5.622.703	XXX	5.988.068	5.240.818	5.569.828		(19.324)			XXX	XXX	ххх	12.909	269.838	XXX	XXX
Stepsing - Sends - Total Bonds - Subtotals - Affiliated Bank Loans XXX X			ls - SVO Ide	entified Fur	nds	0,022,000		2,000,000	0,2.0,0.0	0,000,020		(10,021)						,			
							XXX														XXX
8399996 Subtotals - Total Bonds 52,342,154 XXX 52,265,916 75,152,461 54,930,400 488,838 XXX XXX XXX XXX XXX XXX XX							XXX								XXX	XXX	XXX			XXX	XXX
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,162,461 54,930,400 488.888 XXX XXX XXX XXX XXX XXX																					
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 486,838 XXX XXX XXX XXX XXX XXX XXX																					
839999 Subtotals - Total Bonds					1	İ															1
839999 Subtotals - Total Bonds																					
839999 Subtotals - Total Bonds						ļ										ļ					
839999 Subtotals - Total Bonds						ļ											ļ				ļ
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX XXX XXX XX						ļ											·				
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX XXX XXX XX																 	·				t
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX XXX XXX XX					†											†	·				†
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,400 488,838 XXX XXX XXX XXX XXX XXX XX																					
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX XXX XXX XX						ļ										<u> </u>					
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX XXX XXX XX																ļ					
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX XXX XXX XX						ļ								ļ		ļ					
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX 363,573 1,960,624 XXX XXX					+	ł	·····									 	·				
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX XXX XXX XXX XXX					†	 											·				t
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX 363,573 1,960,624 XXX XXX				·-	†	İ										†	ļ				†
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX 363,573 1,960,624 XXX XXX				1		1										I					1
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX 363,573 1,960,624 XXX XXX					1																
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX 363,573 1,960,624 XXX XXX					ļ	ļ									ļ						ļ
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX 363,573 1,960,624 XXX XXX	[ļ			 				ļ	ļ			ļ				}
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX XXX 363,573 1,960,624 XXX XXX					+	ł			 				 			 	·				ł
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX 363,573 1,960,624 XXX XXX				+	·	<u> </u>									L	 					t
839999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX 363,573 1,960,624 XXX XXX				··	†	İ										†				· · · · · · · · · · · · · · · · · · ·	†
8399999 Subtotals - Total Bonds 52,342,154 XXX 62,265,916 75,152,461 54,930,460 488,838 XXX XXX XXX 363,573 1,960,624 XXX XXX																					
	8399999 Si	Subtotals - Total Bonds				52,342,154	XXX	62,265,916	75,152,461	54,930,460		488,838			XXX	XXX	XXX	363,573	1,960,624	XXX	XXX

10.5

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE MNL Reinsurance Company

1.															
Line															
					C Designation Category For										
1A	1A	\$	13,016,416	1B	\$ 498,130	1C	\$ 6,267,385	1D	\$ 3,475,624	1E	\$ 4,619,773	1F	\$ 4,695,154	1G	\$ 5,113,718
1B	2A	\$	9,163,682	2B	\$ 5,043,622	2C	\$ 2,820,020								
1C	3A	\$		3B	\$	3C	\$ 216,936								
1D	4A	\$		4B	\$	4C	\$								
1E	5A	\$		5B	\$	5C	\$								
1F	6	Φ													

SCHEDULE D - PART 2 - SECTION 1

Showing All PREFERRED STOCKS Owned December 31 of Current Year

1 2 Cotes 5 6 7 6 February 11 Cotes											EKKED 31	OCKS OWING	d December 31	of Current Year							
CLISIP CANONICATION Number Per Carrying Fair Actual Declared Recovered Carrying Fair Actual Declared Carrying Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Actual Declared Carrying Fair Fair Carrying Fair Carrying Fair Fair Carrying Fair Fair Carrying Fair	1 1	2	Co	des	5	6	7	8	Fair \	/alue	11		Dividends			Change in E	Book/Adjusted Carrying	y Value		20	21
Cuttor Part			3	4					9	10		12	13	14	15	16	17	18	19	NAIC	
Custor C																					
Place Plac																				NAIC	
Cuber Part									Rate Per										Total		
Cubic Part																	Current Year's	Total		Modifier	
Number Value Rate Adjusted October Fair Actual During Decired Decired Decired Property In Calunging In Calungi						Dor		Pook/					Amount	Nonadmitted	Uproplized	Current					
Colspan="8">Colspan="8">Colspan="8">Colspan="8">Feriging Cols Foreign Colspan="8">Cols Foreign Colspan="8">Colspan="8">Colspan="8">Series Share Share Share Share Share Valu					Number		Data					Dealared									
	CLICID									Fair	Astual										Data
Total risk and Neutral Processing - Proces		B		₋ .																	
1997-9-7-2 CBMW A25		Description			Snares	Snare	Snare	value	Value	Value	Cost	Unpaid	Year	Unpaid	(Decrease)	Accretion	Recognized	(15+16-17)	B./A.C.V.	Symbol	Acquired
			Jai Prete	errea	40,000,000	400.00		4 070 000	407.000	4 070 000	4 000 000	1	00.000		70.000			70.000		0 4 55	1 44/40/0044
	19075Q-86-Z	LUBANK AUB.		ł	10,000.000	100.00		1,070,000	107.000	1,070,000	1,000,000			ļ	/0,000					Z.A FE	11/19/2014
Industrial and Negotial Jamons (Postfillation Negotial Profession Preferred 1000,000 28.40 5.00 600 1000,000 51.000 100.000 51.000 100.0000 100.0000 100.0000 100.0000 100.0000 100.0000 100.0000 100.0000 100.0000 10	400200 Jacks	JPMURGAN CHASE & CU.	D			100.00		1,000,000							70.000			70.000			
1,000,000 35 (0) 1,000,000 1					rerrea			2,070,000	λλλ	2,070,000	2,000,000		102,244		70,000			70,000		XXX	1 111
Signified Industrial and Niscolal invoice, (Supfill Intellect) Recipitation 1,000,100 100 1,000,200 1,			abie Pret	rerrea	40,000,000	05.00		4 000 000	00 440	4 050 400	4 000 000	1	F4 000	1						0.0.55	04/00/0040
Tarent, Socializes and Affiliates Perpetual Preferred			· · · · · · · · · · · · · · · · · · ·	<u> </u>		25.00															
Special Side (all research Affiliates and Affiliates Professor State (all research profess				mable Pret	terred			1,000,000	XXX	1,056,400	1,000,000		51,000							XXX	XXX
Farent, Stasidaries, and Affiliates Redemake Preferred XX XXX Stasidaries, and Affiliates Redemake Preferred XX XXX XXX XXX XXX XXX XXX XXX				, ,																	
Special State dia ries, and Aff				terred					XXX											XXX	XXX
8999997 Total Preferred Stocks 3.070.00 XX 3.18-60 3.000.00 153.244 70.00 70.00 XX XX XX	8799999 - Parent	t, Subsidiaries, and AffiliatesRedee	mable Pre	eferred					XXX											XXX	XXX
899999 Total Prefered Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				·			-													.	ļ
899999 Total Preferred Stocks 3.070.00 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX				·																+	
899999 Total Preferred Stocks 3.070.00 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX				ł									ļ		-					+	
899999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000,000 153.244 770.000 770.000 XXX XXX				·}																+	····
599999 Total Preferred Stocks 3 070 000 XXX 3 3.126.400 3 000.000 153.244 70.000 70.000 XXX XXX			· · · · · · · · · · · · · · · · · · ·	ł																+	
899999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.0000 153.244 70.000 70.000 XXX XXX			· · · · · · · · · · · · · · · · · · ·	†·····																+	
999999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX			· · · · · · · · · · · · · · · · · · ·	†t																·	
8999999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 770.000 70.000 XXX XXX							-													İ	
8999999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX																					
8999999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX																					
8999999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				<u> </u>													L			1	
899999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				.l																	
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8999999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX				·																+	
899999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX				 		····	·					 	ļ	<u> </u>	·		····			+	····
899999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				t			+					·····	····	·	·					+	····
899999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				†			·													†	l
899999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				†			1					†	·····	·						†	
899999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				†			1													†	·····
899999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX				†			1					İ	İ	İ						†	l
8999999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX																					
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899999 Total Preferred Stocks 3.070.000 XXX 3.126.400 3.000.000 153.244 70.000 70.000 XXX XXX				L																1	L
899999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX XXX				ļ																1	
899999 Total Preferred Stocks 3,070,000 XXX 3,126,400 3,000,000 153,244 70,000 70,000 XXX XXX				<u> </u>		<u> </u>	<u>. </u>					<u></u>	<u></u>				<u></u>			<u> </u>	<u> </u>
	8999999 Total	Preferred Stocks						3,070,000	XXX	3,126,400	3,000,000		153,244		70,000			70,000		XXX	XXX

1.												
Line												
Number	Book/	Adjusted Carrying Value b	y NAI	C Designation Category Fo	ootnot	e :						
1A	1A	\$	1B	\$	1C	\$	1D	\$ 1E	\$ 1F	\$ 10	3	\$
1B	2A	\$ 1,070,000	2B	\$ 2,000,000	2C	\$						
1C	3A	\$	3B	\$	3C	\$						
1D	4A	\$	4B	\$	4C	\$						
1E	5A	\$	5B	\$	5C	\$						
1F	6	\$										

9899999 Total Preferred and Common Stocks

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE MNL Reinsurance Company

SCHEDULE D - PART 2 - SECTION 2

Showing all COMMON STOCKS Owned December 31 of Current Year																	
1	2		Codes	5	6	Fair		9	December 51 0	Dividends			Change in Book/Adju	isted Carrying Value	2	17	18
'	2	3	4	1 "	0	7	8	9	10	11	12	13	14	15	16	1 ''	NAIC
CUSIP		3	•	Number of	Book / Adjusted Carrying	Rate per Share Used To Obtain Fair	Fair	Actual	Declared	Amount Received	Nonadmitted Declared	Unrealized Valuation Increase/	Current Year's Other-Than- Temporary Impairment	Total Change in B./A.C.V.	Total Foreign Exchange Change in	Date	Designation, NAIC Designation Modifier and SVO Administrat
Identification	Description	Code	Foreign	Shares	Value	Value	Value	Cost	but Unpaid	During Year	But Unpaid	(Decrease)	Recognized	(13-14)	B./A.C.V.	Acquired	ive Symbol
Industrial and Miscel	laneous (Unaffiliated) Publicly Tradeo	Í		•										, ,			
	and Miscellaneous (Unaffiliated) Pub	olicly Trad	led			XXX										XXX	XXX
	laneous (Unaffiliated) Other Land Miscellaneous (Unaffiliated) Oth	10r				T XXX	1		1						1	XXX	XXX
	and Affiliates Publicly Traded	IEI				۸۸۸									<u> </u>		
	ubsidiaries and Affiliates Publicly Tr	aded				XXX										XXX	XXX
Parent, Subsidiaries a	and Affiliates Other																
	ubsidiaries and Affiliates Other					XXX										XXX	XXX
Mutual Funds						I WWW										T WW	VVV
9499999 - Mutual Fur Unit Investment Trusts						XXX										XXX	XXX
9599999 - Unit Inves						I XXX			1						1	I XXX	I XXX
Closed-End Funds	Strict Trusts					AAA										AAA	AAA
9699999 - Closed-End	f Funds					XXX										XXX	XXX
		······	+	·····						·					 	 	
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		†	+	 	-	t			 	†	·		+		 	t	
		<u> </u>														1	
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		 	+	 	-					 	-		·			 	
9799999 Total Cor	mmon Stocks	<u> </u>	.4	 		XXX				 					 	XXX	XXX
	famout Stocks				2 070 000	VVV	0.400.400	2 000 000		450.044	1	70.000		70.000	-	AAA VVV	VVV

Line											
lumber	Book/	Adjusted Carrying Value b	y NAI	C Designation Category For	ootnote	e:					
1A	1A	\$	1B	\$	1C	\$	1D	\$ 1E	\$ 1F	\$ 1G	\$
1B	2A	\$	2B	\$	2C	\$					
				\$							
				\$							
				\$							
1F	6	\$									

SCHEDULE D - PART 3

			SI	nowing All Long-Term Bonds and Stocks ACQUIRED During Current Yea	ar			
1	2	3	4	5	6	7	8	9
CUSIP	Description	F	Data Assuring d	Name of Vandan	Number of	Actual	Den Velve	Paid for Accrued
Identification Bonds - U.S. Governme	Description	Foreign	Date Acquired	Name of Vendor	Shares of Stock	Cost	Par Value	Interest and Dividends
	FFCB 2.68 01/37-04/22 (A)		12/28/2021	WELLS FARGO	XXX	999.250	1,000,000	
88059F-BP-5	TVASP 0.0 04/01/56		02/24/2021	BREAN CAPITAL LLC	XXX	1,928,630	5,500,000	
88059F -BS -9	TVASP 0.0 09/15/39		02/04/2021	KEYBANC CAPITAL MARKETS	XXX	1,630,881	2,500,000	
	TVA PRIN STRIP		04/22/2021	NOMURA INC	XXX	2,652,851	10 , 125 , 000	
0599999 - Bonds - U						7,211,612	19,125,000	
Bonds - All Other Gov								
	II Other Governments							
	Territories and Possessions (Direct and Guaranteed)							
	.S. States, Territories and Possessions (Direct and Gu		I)					
	I Subdivisions of States, Territories and Possessions							
	.S. Political Subdivisions of States, Territories and			f Comments and Their Delitical Condining				
	Revenue and Special Assessment and all Non-Guaranteed	Ubligations of Agenci		CAPITALIZED INTEREST	T XXX	2 061	2 064	
3137A5-P2-4 31397Q-G5-1	FNR 2011-18 ZE			CAPITALIZED INTEREST		3,861 9,294	3,861 9,294	
31397\$-G9-9	FNR 2011-46 Z		08/01/2021	CAPITALIZED INTEREST	XXX	16.689	16.689	
		n Guarantood Obligati		Authorities of Governments and Their Political Subdivisions	^^^	29.844	29.844	
	d Miscellaneous (Unaffiliated)	ii-ouaranteeu obrigati	uns un Agencies anu	AUTHORITIES OF GOVERNMENTS and THEFF POLITICAL SUBDITIESTORS		29,044	29,044	
	CPMRK 2007-AETC A1		10/15/2021	CAPITALIZED INTEREST	T XXX	1,074	1,074	
	FHR 5180 KZ		12/28/2021	WELLS FARGO.	XXX	985,469	1,000,000	2.014
35563P-DD-8	SCRT 2017-4 HT.		10/15/2021	CAPITALIZED INTEREST	XXX	1,492	1.492	
46618H-AB-5	HENDR 2014-3A B		09/14/2021	CAPITALIZED INTEREST	XXX	891	891	
	NORTHERN NATURAL GAS COMPANY.		04/07/2021	MIZUHO SECURITIES USA INC.	XXX	991,100	1,000,000	
3899999 - Bonds -	Industrial and Miscellaneous (Unaffiliated)					1,980,026	2,003,457	2,014
Bonds - Hybrid Securi	ties				•	, ,	, ,	,
4899999 - Bonds -	Hybrid Securities							
Bonds - Parent, Subsi	diaries, and Affiliates							
	arent, Subsidiaries, and Affiliates							
Bonds - SV0 Identifie	d Funds				•			1
8099999 - Bonds - S	VO Identified Funds							
Bonds - Subtotals - U	naffiliated Bank Loans							
8299999 - Bonds - S	ubtotals - Unaffiliated Bank Loans							
8399997 - Bonds - S	ubtotals - Bonds - Part 3					9,221,482	21,158,301	2,014
	ummary item from Part 5 for Bonds					, , ,	,,	, ,
8399999 - Bonds - S	ubtotals - Bonds					9.221.482	21.158.301	2.014
Preferred Stocks - In	dustrial and Miscellaneous (Unaffiliated) Perpetual Pr	eferred				., , .	,,	, ,
8499999 - Preferred	Stocks - Industrial and Miscellaneous (Unaffiliated)	Perpetual Preferred					XXX	
Preferred Stocks - In	dustrial and Miscellaneous (Unaffiliated) Redeemable P	referred						
	Stocks - Industrial and Miscellaneous (Únaffiliated)						XXX	
Preferred Stocks - Pa	rent, Subsidiaries and Affiliates Perpetual Preferred				•			1
8699999 - Preferred	Stocks - Parent, Subsidiaries and Affiliates Perpetua	I Preferred					XXX	
Preferred Stocks - Pa	rent, Subsidiaries and Affiliates Redeemable Preferred				<u> </u>	<u> </u>		
8799999 - Preferred	Stocks - Parent, Subsidiaries and Affiliates Redeemab	le Preferred					XXX	
8999997 - Preferred	Stocks - Subtotals - Preferred Stocks - Part 3						XXX	
8999998 - Preferred	Stocks - Summary item from Part 5 for Preferred Stock	S					XXX	
	Stocks - Subtotals - Preferred Stocks						XXX	
	trial and Miscellaneous (Unaffiliated) Publicly Traded				'			
9099999 - Common St	ocks - Industrial and Miscellaneous (Únaffiliated) Pub	licly Traded					XXX	
Common Stocks - Indus	trial and Miscellaneous (Unaffiliated) Other				<u> </u>	<u>. </u>		-
9199999 - Common St	ocks – Industrial and Miscellaneous (Únaffiliated) Oth	er					XXX	
Common Stocks - Paren	t, Subsidiaries, and Affiliates Publicly Traded					<u> </u>		
	ocks - Parent, Subsidiaries, and Affiliates Publicly T	raded					XXX	
	t, Subsidiaries and Affiliates Other						· · · · · · · · · · · · · · · · · · ·	•
	ocks - Parent, Subsidiaries and Affiliates Other					1	XXX	
Common Stocks - Mutua								
9499999 - Common St							XXX	
Common Stocks - Unit								
	ocks - Unit Investment Trusts						XXX	

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	lowing All Long-Term Bonds and Stocks ACQUIRED During Current Year 5	6	7	8	9
OLIOID					NI orboros	A . ()		Deld for Arrest
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
Common Stocks - Closed		i oreigii	Date Acquired	Name of Vendor	Shares of Stock	Cost	rai vaiue	Interest and Dividends
	ocks - Closed-End Funds						XXX	_
9799997 - Common Sto	ocks - Subtotals - Common Stocks - Part 3						XXX	+
9799998 - Common Sto	ocks - Summary item from Part 5 for Common Stocks						XXX	
9799999 - Common Sto	ocks – Subtotals – Common Stocks						XXX	
9899999 - Common Sto	ocks – Subtotals – Preferred and Common Stocks						XXX	
						 		
						 		
						<u> </u>		
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9999999 Totals						9,221,482	XXX	2,014
_ aaaaaaa roigis						9,221,402	۸۸۸	2,014

SCHEDULE D - PART 4

	Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year 1 2 3 4 5 6 7 8 9 10 Change in Book/Adjusted Carrying Value 16 17 18 19 20 21																		
					Showing all	Long-Term E	Bonds and St		REDEEMED o				Year						
1	2	3 4	5	6	7	8	9	10						16	17	18	19	20	21
		-							11	12	13	14	15						
		r																	
		e						Prior Year			Current Year's			Book/				Bond	
		i		1				Book/	Unrealized		Other-Than-	Total	Total Foreign	Adjusted	Foreign			Interest/Stock	Stated
CUSIP Identi-		g Diapoool		Number of Shares of				Adjusted Carrying	Valuation	Current Year (Amortization)/	Temporary Impairment	Change in B./A.C.V.	Exchange Change in	Carrying Value		Realized Gain (Loss) on	Total Gain (Loss) on	Dividends Received	Contractual Maturity
fication	Description	n Disposal Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Value	Increase/ (Decrease)	Accretion	Recognized	(11+12-13)	B./A.C.V.	Disposal Date	(Loss) on Disposal	Disposal	Disposal	During Year	Date
	Governments							10.00	(= 00:0000)			(** = :=/							
	Bonds - U.S. Governments																		XXX
	Other Governments									1			ı	1					VVV
	Bonds - All Other Governments States, Territories and Possessions (D	Direct and Guaran	ntood)																XXX
	Bonds - U.S. States, Territories and P																		XXX
	Political Subdivisions of States, Ter																		•
2499999 -	Bonds - U.S. Political Subdivisions of	States, Territor	ries and Possessions (Direct	t and															VVV
Ronde - II S	Guaranteed) Special Revenue and Special Assessmen	t and all Non-Gua	aranteed Obligations of Agen	ncies and Author	ities of Governme	inte and Their Po	olitical Subdiv	eione											XXX
	T I	and arr non-out	SECURITY CALLED BY ISSUER				JITTICAL GUDULV												
13034P-UH-8_	. CA HOUSING FIN AGCY MFH III 2015A	09/22/2021	at 100.000	XXX	370,000	370,000	370,000	370,000		ļ				370,000		ļ		14,214	08/01/2025
13034P-UJ-4_	CA HOUSING FIN AGCY MFH III 2015A	09/22/2021	SECURITY CALLED BY ISSUER at 100.000	XXX	1,000,000	1,000,000	1,000,000	1,000,000						1,000,000		1		38,318	08/01/2030
			SECURITY CALLED BY ISSUER							1						[
230337-MJ-3																			
3137A5-P2-4 31397Q-G5-1	FNR 2011-18 ZE	12/01/2021	VARIOUS.	XXX								462							
31397S-G9-9	FNR 2011-46 Z	12/27/2021	VARIOUS	XXX	323,034	323,034	295,706	319,329		3,705		3.705		323,034				7,335	
			SECURITY CALLED BY ISSUER																
355514-MD-9_	FSRSCD 6.05 5/1/2026	05/03/2021	at 100.000	XXX	250,000	250,000	250,000	250,000		(40)		(40)		250,000				7,563	
BGH493-1C-5	FNMA MF 30YR		MBS PAYDOWN.		34,721	34,721	35,632	34,740		(19)		(19)		34,721				791	01/01/2046
3133333 -	Agencies and Authorities of Govern	ments and Their F	Political Subdivisions	110113 01	2,416,484	2,416,484	2,363,448	2,410,202		5,078		5,078		2,415,280		1,207	1,207	91,541	XXX
Bonds - Indu	strial and Miscellaneous (Unaffiliated)												•				·		•
	BSCMS 2007-T26 AM		MBS PAYDOWN	XXX	1,388	1,388	1,433	1,429		(41)		(41)		1,388				46	
12479R-AB-3_	. CARS 2014-1 A		MBS PAYDOWN	XXXXXX		923,642	923,087	923,586		56		56		923,642				22,509	
14069B-AA-2 14070E-AA-3.	. CPMRK 2007-AETC A1	12/10/2021	VARIOUS SINKING FUND REDEMPTION	XXX	10,105	10 , 105 5 ,046	10,143	10,106		(11)		(11)		10,105 5,046				318 191	
14855J-AB-1	CLAST 2016-1 A	12/15/2021	MBS PAYDOWN	XXX	62,187	62,187	62,168	61,323						62,187				(43)	
			SECURITY CALLED BY ISSUER															,	
30256W-AC-1	- FDF 2015-1A C	02/18/2021	at 100.000	XXX	1,000,000	1,000,000	992,500	994,727		11		11		994,739		5,261	5,261	18,333	
33972P-AA-7 35563P-DD-8	FLNG LIQUEFACTION 2 LLC	09/30/202112/27/2021	SINKING FUND REDEMPTION MBS PAYDOWN.		34,600	34,600	34,600	34,600						34,600 78,831				1,081	
38012D-AB-3	GMACN 2005-DRUM A.	12/10/2021	SINKING FUND REDEMPTION	XXX	5,953	5,953	6,368	5,958		(5)		(5)						178	
46618H-AB-5	. HENDR 2014-3A B.	12/15/2021	MBS PAYDOWN	XXX	72,103	72,103	72,086	71,994		109		109						1,671	
48203R-AG-9	HALDED METWODICS INC	04/44/2024	SECURITY CALLED BY ISSUER at 112.572	XXX	ECO 000	E00 000	491,935	496,428		29		20		400 457		3,543	3.543	60 005	02/45/2024
402U3K-AU-9	. JUNIPER NETWORKS INC	01/11/2021	SECURITY CALLED BY ISSUER		562,860	500,000	491,935	490,420		29		29		496,457				69,985	03/15/2024
48203R-AJ-3	JUNIPER NETWORKS INC	01/11/2021	at 115.754	XXX	1, 157, 540	1,000,000	998,830	999,401		3		3		999,404		596	596	160,440	
	LMH SPE AM LLC / LMH SP 5.9 21JUN48.		SINKING FUND REDEMPTION	XXX	14,253	14,253	14,253	14,253						14,253				633	
677071-AK-8 682439-AA-2	OHANA 5.88 10/51	10/01/2021	SINKING FUND REDEMPTION VARIOUS	XXX	4,884	4,884 44,782	4,523	4,880 44,967						4,884 44,782		·····		217	
002439-AA-2	AAUNI 2005-COA AI	12/15/2021	SECURITY CALLED BY ISSUER		44,702	44 , / 02	47 ,409	44,967		(100)		(100)		44,702				1,300	10/13/203/
82669G-AS-3	. SIGNATURE BANK	04/19/2021	at 100.000		1,500,000	1,500,000	1,500,000	1 ,500 ,000						1,500,000				39,750	
86213B-AB-3_	STR 2014-1A A2	12/20/2021	MBS PAYDOWN	XXX	2,500	2,500	2,625	2,504		(4)		(4)		2,500		 		67	
86213C-AB-1_ 87342R-AC-8_	STR 2015-1A A2	12/20/2021	MBS PAYDOWN	XXX		7,500 5,000	7,453			20		20						169 155	
90983V-AA-1	BELL 2016-1A A23	11/25/2021	SINKING FUND REDEMPTION	XXX	3,496	5,000	5,000	3,481		15		15		3,496		†		147	
95058X-AC-2	WEN 2015-1A A23.	06/22/2021	VARIOUS	XXX	473,750	473,750	473,750	473,750						473,750				11,050	
BGH316-1A-2	ROSENTHAL + ROSEN 6.4 24JUN21 RESTR.	07/09/2021	SINKING FUND REDEMPTION	XXX	41,429	41,429	41,429	41,429						41,429		ļ		(3,429)	
G1710#-AB-4_	. CHRISTIE MANSON & WOODS LTD	03/31/2021	VARIOUS	XXX	250,000	250,000	250,000	250,000		 				250,000		 		7,463	03/31/2021
055451-AX-6	BHP BILLITON FIN USA LTD	.D01/11/2021	PRIOR YEAR INCOME	XXXXXX	050 004	250 201	050 050	050 050		(00)		/00)		050 004				(1)	10/19/2075
37952U-AD-5	SEACO 2014-1A A1	.D03/17/2021	MBS PAYDOWN		358,334	358,334	358,259	358,353		(20)		(20)		358,334		t		2,791	07/17/2024
	244X-AA-0. KDAC 2017-1A A D. 12/15/2021 MBS PAYDOWN XXX 12,238 12,238 12,189 50 50 12,238 50 343 12/15/2042																		
Bonds - Hybr	389999 - Bonds - Industrial and Miscellaneous (Unaffiliated) 6,632,421 6,412,021 6,398,157 6,401,651 969 969 6,402,621 9,400 9,400 336,594 XXX nds - Hybrid Securities																		
	Bonds - Hybrid Securities																		XXX
	nt, Subsidiaries, and Affiliates Bonds - Parent, Subsidiaries and Affil	iatos								ı			Ι	, ,					I XXX
	Identified Funds	1at69						l		<u> </u>			l						Ι ΛΛΛ

SCHEDULE D - PART 4

						1 / 1 1									
	Showing all	Long-Term	Bonds and St	ocks SOLD, F	REDEEMED 0	r Otherwise DI	SPOSED OF D	ouring Current	Year						
1 2 3 4 5 6	7	8	9	10		Change in E	look/Adjusted Car	rrying Value		16	17	18	19	20	21
				Ī	11	12	13	14	15	1					
							-								
				Prior Year			Current Year's			Book/				Bond	
				Book/	Unrealized		Other-Than-	Total	Total Foreign	Adjusted	Foreign			Interest/Stock	Stated
CUSIP g Number of				Adjusted	Valuation	Current Year	Temporary	Change in	Exchange	Carrying Value	Exchange Gain	Realized Gain	Total Gain	Dividends	Contractual
Identi- n Disposal Shares of				Carrying	Increase/	(Amortization)/	Impairment	B./A.C.V.	Change in	at	(Loss) on	(Loss) on	(Loss) on	Received	Maturity
fication Description Date Name of Purchaser Stock	Consideration	Par Value	Actual Cost	Value	(Decrease)	Accretion	Recognized	(11+12-13)	B./A.C.V.	Disposal Date	Disposal	Disposal	Disposal	During Year	Date
8099999 - Bonds - SVO Identified Funds															XXX
Bonds - Subtotals - Unaffiliated Bank Loans				•						•				•	
8299999 - Bonds - Subtotals - Unaffiliated Bank Loans															XXX
839997 - Bonds - Subtotals - Bonds - Part 4	9,048,905	8,828,505	8,761,605	8,811,853		6,047		6,047		8,817,901		10,607	10,607	428,135	XXX
8399998 - Bonds - Summary item from Part 5 for Bonds															XXX
8399999 - Bonds - Subtotals - Bonds	9,048,905	8,828,505	8,761,605	8,811,853		6,047		6,047		8,817,901		10,607	10,607	428,135	XXX
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred			•												
8499999 - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred		XXX													XXX
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Redeemable Preferred			•	•		•				•	•			•	
8599999 - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Redeemable Preferred		XXX													XXX
Preferred Stocks - Parent, Subsidiaries and Affiliates Perpetual Preferred						•			•		•				
8699999 - Preferred Stocks - Parent, Subsidiaries and Affiliates Perpetual Preferred		XXX													XXX
Preferred Stocks - Parent, Subsidiaries and Affiliates Redeemable Preferred															
8799999 - Preferred Stocks - Parent, Subsidiaries and Affiliates Redeemable Preferred		XXX													XXX
8999997 - Preferred Stocks - Subtotals - Preferred Stocks - Part 4		XXX													XXX
899998 - Preferred Stocks - Summary item from Part 5 for Preferred Stocks		XXX													XXX
8999999 - Preferred Stocks - Subtotals - Preferred Stocks		XXX													XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded															
9099999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded		XXX													XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other															
9199999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other		XXX													XXX
Common Stocks - Parent, Subsidiaries, and Affiliates Publicly Traded															
9299999 - Common Stocks - Parent, Subsidiaries, and Affiliates Publicly Traded		XXX													XXX
Common Stocks - Parent, Subsidiaries and Affiliates Other															
9399999 - Common Stocks - Parent, Subsidiaries and Affiliates Other		XXX													XXX
Common Stocks - Mutual Funds										1			· ·		
9499999 - Common Stocks - Mutual Funds		XXX													XXX
Common Stocks - Unit Investment Trusts															
9599999 - Common Stocks - Unit Investment Trusts		XXX													XXX
Common Stocks - Closed-End Funds															
9699999 - Common Stocks - Closed-End Funds		XXX													XXX
9799997 - Common Stocks - Subtotals - Common Stocks - Part 4		XXX													XXX
979998 - Common Stocks - Summary item from Part 5 for Common Stocks		XXX													XXX
9799999 - Common Stocks - Subtotals - Common Stocks		XXX													XXX
9899999 - Common Stocks - Subtotals - Preferred and Common Stocks		XXX													XXX
										ļ					
												10.7.			1000
9999999 Totals	9,048,905	XXX	8,761,605	8,811,853		6,047		6,047		8,817,901		10,607	10,607	428,135	XXX

Schedule D - Part 5

Schedule D - Part 6 - Section 1

NONE

Schedule D - Part 6 - Section 2

NONE

Schedule DA - Part 1

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part A - Section 2

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part B - Section 2

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DB - Part E

NONE

Schedule DL - Part 1

Schedule DL - Part 2

NONE

SCHEDULE E - PART 1 - CASH

		SCHEDULE E -			CASH			
	1		2	3	4	5	6	7
				Rate of	Amount of Interest Received During	Amount of Interest Accrued December 31 of		*
	Depository PEN DEPOSITORIES		Code	Interest	Year	Current Year	Balance	
CitiBank N.A	PEN DEPOSITORIES	w York, NY		T .	1,190		48,373,690	XXX
								АЛЛ
0199998 Deposits in	nit in any one depository - O	epositories that do not exceed the	XXX	XXX			49,736	XXX
0199999 Totals - Open	Depositories	peri Depositories	XXX	XXX	1,190		48,423,426	XXX
0 199999 Totals - Open	Depositories		۸۸۸	۸۸۸	1,190		40,423,420	۸۸۸
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0399999 Total Cash on	Deposit		XXX	XXX	1,190	y	48,423,426	XXX
0499999 Cash in Comp	any's Office		XXX	XXX	XXX	XXX		XXX
0599999 Total Cash			XXX	XXX	1,190		48,423,426	XXX

тот	ALS OF DEPOSITORY F	BALANCES ON THE LAS	T DAY OF EACH MO	NTH DURING THE CU	RRENT YEAR

1. January	3,259,305	4. April	6,362,058	7. July	5,470,238	10. October	2,429,794
2. February	15,571,470	5. May	3,765,077	8. August	1,408,294	11. November	19, 189, 337
3. March	6,324,508	6. June	5,275,893	9. September	2,154,638	12. December	48,423,426

Schedule E - Part 2

NONE

Schedule E - Part 3