

**FILED**

**AUG 28 2018**

COMMISSION OF INSURANCE  
INSURANCE DIVISION OF IOWA

BEFORE THE IOWA INSURANCE COMMISSIONER

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IN THE MATTER OF	)	Division Case No. 88815
	)	
MARK A. SCHRODER,	)	<b>ORDER FOR REISSUANCE</b>
NPN 241983,	)	<b>AFTER LICENSE SUSPENSION AND</b>
DOB 06/30/XXXX,	)	<b>EXPIRATION</b>
Applicant.	)	

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Pursuant to Iowa Code Chapter 522B—Licensing of Insurance Producers and Iowa Administrative Code Chapter 10, this matter comes before the Commissioner of Insurance upon an application by Mark Schroder for reissuance of an Iowa insurance producer license.

**I. PARTIES AND JURISDICTION**

1. The Commissioner of Insurance, Doug Ommen, directly and through his designees, administers and enforces Iowa Code Chapter 522B—Licensing of Insurance Producers and Iowa Administrative Code Chapter 10, pursuant to Iowa Code § 505.8.
2. Mark Schroder (“Applicant”) is an individual with a last-known mailing address of 8177 S. Harvard, Suite 501 Tulsa, Oklahoma 74137 and a National Producer Number of 241983.
3. Applicant has filed an application with the Iowa Insurance Division (“Division”) for reissuance of his Iowa insurance producer license and filed an application through the National Insurance Producer Registry (NIPR) for individual producer license.
4. The Commissioner is authorized to issue this order pursuant to Iowa Administrative Code rule 191—10.10.

**II. FINDINGS OF FACT**

5. Applicant was previously licensed as a non-resident insurance producer in Iowa from February 16, 1994 until November 25, 2015.

6. On September 24, 2015, the Division received a certificate of noncompliance from the Iowa Department of Revenue (“Certificate of Noncompliance”) indicating that Applicant was not in compliance with a qualifying debt being enforced by the Iowa Department of Revenue (“IDR”).
7. On September 25, 2015, the Division mailed Applicant notification of the intent to suspend his insurance producer license if the IDR did not issue a withdrawal of the Certificate of Noncompliance within sixty days.
8. On November 25, 2015, the Division issued an order immediately suspending Applicant’s Iowa insurance producer license (“Suspension Order”). Applicant was also notified by letter that the reinstatement of his Iowa insurance producer license was contingent upon the IDR issuing a withdrawal of the Certificate of Noncompliance and meeting the Division’s requirements for reinstatement.
9. On June 28, 2018, the IDR issued a notice of withdrawal of the Certificate of Noncompliance for Applicant (“Withdrawal”).
10. On June 30, 2018, Applicant’s Iowa insurance producer license expired.
11. On August 21, 2018, Applicant’s Iowa Insurance Producer Application for Reissuance (“Reissuance Application”) was filed with the Division, which included a statement that the IDR had issued a Withdrawal.
12. On August 22, 2018, Applicant applied for a non-resident insurance producer license with the Division by submitting through NIPR a Uniform Application for Individual Insurance Producer License (“Uniform Application”).
13. On August 24, 2018, the Division received another certificate of noncompliance from the Iowa Department of Revenue (“Certificate of Noncompliance”) indicating that Applicant was

not in compliance with a qualifying debt being enforced by the Iowa Department of Revenue (“IDR”).

14. On August 28, 2018, the IDR issued a notice of withdrawal of the Certificate of Noncompliance for Applicant (“Withdrawal”).

### **III. CONCLUSIONS OF LAW**

15. Iowa Code § 522B.11(1) authorizes a range of disciplinary actions for insurance producers, including suspensions.

16. Iowa Administrative Code 191—10.10(6) provides that when a suspension of a producer’s license extends beyond the license expiration date, the license terminates on the license expiration date.

17. Iowa Administrative Code 191—10.10 provides that a non-resident producer whose license has been terminated must apply for a new license.

18. Applicant is in compliance with the terms of the Suspension Order and has submitted a Reissuance Application.

19. Applicant has met his burden of establishing that the basis for the suspension, his noncompliance with the IDR, no longer exists.

20. Applicant should be issued a new non-resident insurance producer license.

### **IV. ORDER**

**IT IS THEREFORE ORDERED** that:

A. Mark Schroder’s Reissuance Application is granted and the administrative suspension block is removed from his Iowa licensing record;

B. Marl Schroder’s Uniform Application for Individual License Registration is approved;

C. Mark Schroder shall immediately be permitted to transact and participate in the business of insurance in Iowa.


Dated this 28<sup>th</sup> day of August, 2018.

COMMISSIONER OF INSURANCE



Douglas M. Ommen  
Commissioner of Insurance

Submitted by,



Tracy Swalwell  
Compliance Attorney

8/28/18

Date

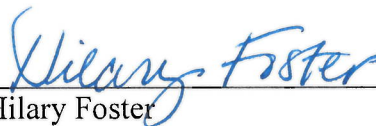
CERTIFICATE OF SERVICE

County of Polk            )  
  ) ss  
State of Iowa            )

The undersigned affiant certifies under penalty of perjury that she has entered the above order into the records of the Iowa Commissioner of Insurance and on the 29<sup>th</sup> day of August, 2018, the foregoing order was delivered to the United States Postal Service, postage prepaid, for first class mail to:

Mark A. Schroder  
8177 S Harvard, Suite 501  
Tulsa, OK 74137

I further certify that the foregoing order was sent by email to:  
mschroder2@msn.com.



Hilary Foster  
Iowa Insurance Division